

क्षेत्रीय क्षमता निर्माण एवं ज्ञान संस्थान, जयपुर

Audit Planning (online)

09.10.2023 to 13.10.2023

Day	Session No.	Topic	Faculty
	10:10 am	Inauguration by Principal Director	
09-10-2023, Monday	Session 1	Audit planning- Meaning & Importance; Strategic Audit planning- Objective & Process, Preparation and implementation of Annual audit Plan (PA Guideline C-3)	Mr. P K Jain, Sr. Administrative officer, Core Faculty, RCBKI Jaipur
	Session 2	Risk Based Audit Approach "Identification and Assessment of various risks e.g. Inherent Risk,	Mr. Amit Goyal, Senior Audit Officer, Accountant General (Audit Ii), Rajasthan, Jaipur
	Session 3	Control Risk and Detection risk and Risk Model- An introduction to COSO Enterprise Risk Model (ERM)	
	Session 4	Holistic wellbeing	Ms Vandana Choudhary, Counselling Psychologist
10-10-2023, Tuesday	Session 1	Planning an individual audit "Understanding the auditee entity, framing the objectives/Sub Objectives and the scope of the audit,	Mr. P K Jain, Sr. Administrative officer, Core Faculty, RCBKI Jaipur
	Session 2	Determining audit criteria & Deciding audit approach (Compliance Audit Guideline chapter 3&4)	
	Session 3	Planning audit of an individual unit (PA Guide line Chapter 4	Mr. Rakesh Vijay Vergia, Sr. Administrative officer, Core Faculty, RCBKI Jaipur
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11-10-2023, Wednesday	Session 1	Sampling using IT tools (Idea, Excel etc.) :	Mr. Puneet Kumar Sharma, Asst. Administrative officer, Core Faculty, RCBKI Jaipur
	Session 2	Statistical Sampling in Audit- Meaning & importance, Relevance of Statistical Sampling in Audit; law of large Inertia, Sampling Error and non-sampling error, Biasness in Sampling :	Mr. Vinod Sencha, Asst. Administrative officer, Core Faculty, RCBKI Jaipur
	Session 3	Various Sampling methods e.g. Simple random Sampling, Statistical random Sampling	Mr. Gaurav Kumar Prajapat, Senior Audit Officer, Accountant General (Audit Ii), Rajasthan, Jaipur
	Session 4	cluster sampling, Stratified sampling Probability proportional to size Sampling and Multistage Statistical Sampling	
12-10-2023, Thursday	Session 1 & 2	Monetary unit Sampling and application of sampling in EDP Environment	Ms. Meenu Sharma, CA
	Session 3	Materiality "Its scope and determination of materiality, how materiality is fixed on the basis of value	Mr. Amit Goyal, Senior Audit Officer, Accountant General (Audit Ii), Rajasthan, Jaipur
	Session 4	nature and context, its importance in Risk Based Audit Approach internal control meaning and scope of internal control, (with respect to COSO framework)	
13-10-2023, Friday	Session 1 & 2	Preparing an Audit Design Matrix (Compliance Audit) and Preparing an Audit Design Matrix (Performance Audit)	Mr. Surendra Kumar Jain, Sr. Administrative officer
	Session 3	Introduction to OIOS (One IAAD One System) Project : Introduction to OIOS	Mr. Deepak Saini Faculty OIOS, Assistant Audit Officer
		Valediction by Principal director	