
APPENDICES

Annexure-1

(Referred to in paragraph No 1.2.1 and 1.4)

Statement showing particulars of capital, loans/equity received out of budget, other loans and loans outstanding as on 31 March 2000 in respect of Government companies and Statutory corporations

(Figures in column 3(a) to 4(f) are Rupees in lakh)

Sl. No.	Sector & name of the company/corporation	Paid-up capital as at the end of the current year (Figures in bracket indicate share application money)					Equity/loans received out of Budget during the year		Other loans received during the year ⁵⁶	Loans ⁵⁷ outstanding at the close of 1999-2000			Debt equity ratio for 1999-2000 (Previous year) 4 (f)/3(e)
		State Government	Central Government	Holding Companies	Others	Total	Equity	Loans		Government	Others	Total	
(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
A. Government Companies													
	Agriculture and allied												
1.	Uttar Pradesh State Agro Industrial Corporation Limited	3667.17	332.83	-	-	4000.00	-	-	-	1000.00	-	1000.00	0.25:1 (-)
2.	Uttar Pradesh Poultry and Livestock Specialties Limited	44.00 (243.50)	6.00	-	-	50.00 (243.50)	-	-	-	109.75	-	109.75	0.37:1 (0.37:1)
3.	Uttar Pradesh Pashudhan Udyog Nigam Limited	146.85 (126.00)	-	-	-	146.85 (126.00)	-	-	-	217.33	-	217.33	0.80:1 (0.22:1)
4.	Uttar Pradesh (Rohelkhand-Tarai) Ganna Beej Evam Vikas Nigam Limited	38.25	-	-	33.05 (0.19)	71.30 (0.19)	-	-	-	-	-	-	- (-)
5.	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	50.50	-	-	10.82 (0.27)	61.32 (0.27)	-	-	-	-	-	-	- (-)
6.	Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited	22.73	-	-	8.11 (0.44)	30.84 (0.44)	-	-	-	-	-	-	- (-)
7.	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	15.30	-	-	8.00	23.30	-	-	-	-	118.00	118.00	5.06:1 (4.69:1)
8.	Uttar Pradesh Projects & Tubewells Corporation Limited	540.00 (447.00)	100.00	-	-	640.00 (447.00)	-	-	-	-	-	-	- (-)

⁵⁶ Includes bonds, debentures, inter-corporate deposits etc.

⁵⁷ Loans outstanding at the close of 1999-2000 represents long-term loans only.

(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
9.	Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited	640.68	-	-	64.25	704.93	-	-	-	122.48	-	122.48	0.17:1 (0.17:1)
10.	Uttar Pradesh Bhumi Sudhar Nigam	150.00	-	-	-	150.00	-	-	-	-	-	-	- (-)
11.	Uttar Pradesh Matsya Vikas Nigam Limited	107.00	-	-	-	107.00	-	-	-	-	-	-	- (-)
	Sector wise total	5422.48 (816.50)	438.83 (-)	- (-)	124.23 (0.90)	5985.54 (817.40)	-	-	-	1449.56	118.00	1567.56	0.26:1 (0.06:1)
	Industry												
12.	Uttar Pradesh Small Industries Corporation Limited	596.05	-	-	-	596.05	-	-	-	631.41	-	631.41	1.06:1 (1.06:1)
13.	Mohammadabad Peoples Tannery Limited	3.06	-	-	2.55	5.61	-	-	-	-	-	-	- (-)
14.	Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	-	-	1.63	-	1.63	-	-	-	-	3.00	3.00	1.84:1 (1.84:1)
15.	Auto Tractors Limited	562.59	-	-	187.41	750.00	-	-	-	37.50	-	37.50	0.05:1 (0.05:1)
16.	Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	-	-	177.72	15.50 (9.00)	193.22 (9.00)	-	1213.57	-	-	1157.40	1157.40	5.72:1 (5.72:1)
17.	Trans Cables Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	-	-	162.80	0.44	163.24	25.00	-	-	-	250.00	250.00	1.53:1 (1.81:1)
18.	Northern Electrical Equipment Industries Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	-	-	0.07	-	0.07	-	-	-	-	-	-	- (-)
19.	Uttar Pradesh State Leather Development and Marketing Corporation Limited	573.94	-	-	-	573.94	-	-	-	191.40	-	191.40	0.33:1 (0.33:1)

(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
20.	Uttar Pradesh State Brassware Corporation Limited	527.86	10.00	-	-	537.86	-	-	-	194.23	-	194.23	0.36:1 (0.36:1)
21.	UPSIC Potteries Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	-	-	76.25	-	76.25	-	-	-	82.50	40.00	122.50	1.60:1 (-)
22.	Uttar Pradesh Digitals Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	-	-	35.20	-	35.20	-	-	-	-	467.66	467.66	13.29:1 (13.29:1)
23.	Continental Float Glass Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	-	-	2922.00	1702.00	4624.00	-	-	-	-	13820.00	13820.00	2.99:1 (2.99:1)
24.	The Turpentine Subsidiary Industries Limited (Subsidiary of The Indian Turpentine and Rosin Company Limited)	-	-	15.56	-	15.56	-	-	-	-	-	-	- (-)
25.	Indian Bobbin Company Limited	2.74	-	-	-	2.74	-	-	-	-	-	-	- (-)
26.	Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	-	-	4.85	-	4.85	-	-	-	-	-	-	- (-)
27.	Uttar Pradesh Tyre and Tubes Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	-	-	183.16	-	183.16	-	-	-	-	-	-	- (-)
28.	UPAI Limited	15.00	-	-	2.01	17.01	-	-	-	-	-	-	- (-)
	Sector wise total	2281.24 (-)	10.00 (-)	3579.24 (-)	1909.91 (9.00)	7780.39 (9.00)	25.00	1213.57	-	1137.04	15738.06	16875.10	2.17:1 (2.16:1)

(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
	Electronics												
29.	Uttar Pradesh Electronics Corporation Limited	7751.31 (143.20)	-	-	-	7751.31 (143.20)	143.20	-	-	3544.00	-	3544.00	0.45:1 (0.46:1)
30.	Uptron Powertronics Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	-	-	117.00	-	117.00	-	-	-	-	20.00	20.00	0.17:1 (0.17:1)
31.	Shreetron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	-	-	124.08	50.63	174.71	-	-	-	-	324.00	324.00	1.85:1 (1.85:1)
32.	Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	-	-	5315.59	-	5315.59	-	-	-	-	8507.96	8507.96	1.60:1 (1.60:1)
33.	Uttar Pradesh Hill Electronics Corporation Limited	894.53	-	-	-	894.53	-	-	-	-	-	-	- (-)
34.	Kumtron Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited)	-	-	9.34	8.97	18.31	-	16.50	-	16.50	-	16.50	0.90:1 (-)
35	Uttar Pradesh Hill Phones Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited)	-	-	1.67	1.60	3.27	-	-	-	-	-	-	- (-)
36	Uttar Pradesh Hill Quartz Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation limited)	-	-	0.79	-	0.79	-	-	-	-	-	-	- (-)
37.	Teletronix Limited (Subsidiary of Kumaon Mandal Viaks Nigam Limited)	-	-	110.00	64.71	174.71	-	-	-	-	-	-	- (-)
38.	Uptron Sempack Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	-	-	2.55	-	2.55	-	-	-	-	2.77	2.77	1.09:1 (1.09:1)
39.	Kumaon Television Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	-	-	52.00	47.75	99.75	-	-	-	-	-	-	- (-)

(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
40.	Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	-	-	5.25	-	5.25	-	-	-	-	-	-	- (-)
	Sector wise total	8645.84 (143.20)	- (-)	5738.27 (-)	173.66 (-)	14557.77 (143.20)	143.20	16.50	-	3560.50	8854.73	12415.23	0.84:1 (0.85:1)
	Textiles												
41.	Uttar Pradesh State Textile Corporation Limited	20732.37	-	-	-	20732.37	-	530.00	-	-	28.56	28.56	- (-)
42.	Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	- (2176.00)	-	3190.52	-	3190.52 (2176.00)	-	-	3500.00	-	3724.15	3724.15	0.69:1 (0.98:1)
43.	Uttar Pradesh State Spinning Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	-	-	7842.83 (1022.78)	0.01	7842.84 (1022.78)	-	-	-	-	1758.00	1758.00	0.20:1 (0.38:1)
44.	Uttar Pradesh Textile Printing Corporation Limited (Subsidiary of Uttar Pradesh State Handloom Corporation Limited)	16.20	-	26.00	-	42.20	-	-	-	-	-	-	- (-)
45.	Bhadohi Woollens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	-	-	375.54	-	375.54	-	-	-	-	-	-	- (-)
	Sector wise total	20748.57 (2176.00)	- (-)	11434.89 (1022.78)	0.01 (-)	32183.47 (3198.78)	-	530.00	3500.00	-	5510.71	5510.71	0.16:1 (0.20:1)
	Handloom and Handicrafts												
46.	Uttar Pradesh State Handloom Development Corporation Limited	3644.49	1062.95	-	-	4707.44	-	490.00	-	1865.71	-	1865.71	0.40:1 (0.29:1)

(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
47.	Handloom Intensive Development Corporation (Gorakhpur and Basti) Limited (Subsidiary of Uttar Pradesh State Handloom Development Corporation Limited)	-	-	3.00	-	3.00	-	-	-	19.06	41.34	60.40	20.13:1 (20.13:1)
48.	Handloom Intensive Development Project (Bijnore) Limited (Subsidiary of Uttar Pradesh State Handloom Development Corporation Limited)	-	-	2.00	-	2.00	-	-	-	208.67	-	208.67	104.33:1 (104.33:1)
	Sector wise total	3644.49 (-)	1062.95 (-)	5.00 (-)	- (-)	4712.44 (-)	-	490.00	-	2093.44	41.34	2134.78	0.45:1 (0.35:1)
	Mining												
49.	Uttar Pradesh State Mineral Development Corporation Limited	5943.48	-	-	-	5943.48	-	-	-	1949.61	-	1949.61	0.33:1 (0.33:1)
50.	Vindhyaachal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	-	-	3.73	3.87	7.60	-	-	-	-	84.42	84.42	11.11:1 (11.11:1)
	Sector wise Total	5943.48 (-)	- (-)	3.73 (-)	3.87 (-)	5951.08 (-)	-	-	-	1949.61	84.42	2034.03	0.34:1 (0.32:1)
	Construction												
51.	Uttar Pradesh State Bridge Corporation Limited	1000.00	-	-	-	1000.00	-	-	-	-	-	-	- (-)
52.	Uttar Pradesh Rajkiya Nirman Nigam Limited	100.00	-	-	-	100.00	-	-	-	-	-	-	- (-)
53.	Uttar Pradesh Police Avas Nigam Limited	300.00	-	-	-	300.00	-	-	-	-	-	-	- (-)
	Sector wise total	1400.00 (-)	- (-)	- (-)	- (-)	1400.00 (-)	-	-	-	-	-	-	- (-)
	Area Development												
54.	Kumaon Mandal Vikas Nigam limited	1341.88	-	-	-	1341.88	-	-	-	292.50	-	292.50	0.22:1 (-)
55.	Uttar Pradesh Bundelkhand Vikas Nigam Limited	123.30	-	-	-	123.30	-	-	-	5.00	-	5.00	0.04:1 (0.04:1)
56.	Uttar Pradesh Poorvanchal Vikas Nigam Limited	129.80	-	-	-	129.80	-	-	-	-	-	-	- (-)

(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
57.	Bundelkhand Concrete Structurals Limited (Subsidiary of Uttar Pradesh Bundelkhand Vikas Nigam Limited)	-	-	1.22	-	1.22	-	-	-	-	-	-	- (-)
58.	Allahabad Mandal Vikas Nigam Limited	67.00	-	-	-	67.00	-	-	-	65.93	-	65.93	0.98:1 (0.98:1)
59.	Bareilly Mandal Vikas Nigam Limited	125.00	-	-	-	125.00	-	-	-	-	-	-	- (-)
60.	Lucknow Mandaliya Vikas Nigam Limited	70.00	-	-	-	70.00	-	-	-	85.79	-	85.79	1.22:1 (1.22:1)
61.	Agra Mandal Vikas Nigam Limited	100.00	-	-	-	100.00	-	-	-	5.00	-	5.00	0.05:1 (0.05:1)
62.	Gorakhpur Mandal Vikas Nigam Limited	93.56	-	-	32.47	126.03	-	-	-	91.60	-	91.60	0.73:1 (0.73:1)
63.	Garhwal Mandal Vikas Nigam Limited	646.00	-	-	-	646.00	-	-	-	957.42	-	957.42	1.48:1 (1.48:1)
64.	Meerut Mandal Vikas Nigam Limited	100.00	-	-	-	100.00	-	-	-	-	-	-	- (-)
65.	Varanasi Mandal Vikas Nigam Limited	70.00	-	-	-	70.00	-	-	-	30.00	-	30.00	0.43:1 (0.43:1)
66.	Moradabad Mandal Vikas Nigam Limited	25.00	-	-	-	25.00	-	-	-	64.60	-	64.60	2.58:1 (2.58:1)
67.	Gandak Smadesh Kshetriya Vikas Nigam Limited	46.00	-	-	-	46.00	-	-	-	-	-	-	- (-)
	Sector wise total	2937.54 (-)	- (-)	1.22 (-)	32.47 (-)	2971.23 (-)	-	-	-	1597.84	-	1597.84	0.54:1 (0.44:1)
	Development of Economically Weaker Section												
68.	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	5989.31 (700.00)	5626.22 (85.72)	-	-	11615.53 (785.72)	-	1746.51	-	1746.51	1615.30	3361.81	0.27:1 (-)
69.	Garhwal Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Garhwal Mandal Vikas Nigam Limited)	20.00	-	30.00	-	50.00	-	-	-	17.48	-	17.48	0.35:1 (0.35:1)
70.	Kumaon Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	22.00	-	28.00	-	50.00	-	-	-	-	-	-	- (-)
71.	Tarai Anusuchit Janjati Vikas Nigam Limited	45.00	-	-	-	45.00	-	-	-	125.00	-	125.00	2.78:1 (2.78:1)

(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
72	Uttar Pradesh Samaj Kalyan Nirman Nigam Limited	15.00	-	-	-	15.00	-	-	-	-	37.83	37.83	2.52:1 (12.52:1)
73.	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited (Formerly Uttar Pradesh Pichhari Jati Vitta Evam Vikas Nigam Limited)	910.00 (87.82)	-	-	-	910.00 (87.82)	87.82	-	230.00	312.03	1007.02	1319.05	1.32:1 (0.62:1)
	Sector wise total	7001.31 (787.82)	5626.22 (85.72)	58.00 (-)	- (-)	12685.53 (873.54)	87.82	1746.51	230.00	2201.02	2660.15	4861.17	0.36:1 (0.08:1)
	Public Distribution												
74	Uttar Pradesh Food and Essential Commodities Corporation Limited	500.00 (50.39)	- (-)	- (-)	- (-)	500.00 (50.39)	-	110.60	-	1607.10	-	1607.10	2.92:1 (2.72:1)
	Sector wise total	500.00 (50.39)	- (-)	- (-)	- (-)	500.00 (50.39)	-	110.60	-	1607.10	-	1607.10	2.92:1 (2.72:1)
	Sugar												
75.	Uttar Pradesh State Sugar Corporation Limited	47616.12	-	-	-	47616.12	-	7521.53	-	-	18358.02	18358.02	0.38:1 (0.24:1)
76.	Kichha Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	32.59	-	1641.79	45.46	1719.84	-	-	-	-	-	-	- (-)
77.	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	-	-	2407.29	-	2407.29	-	-	-	-	421.14	421.14	0.17:1 (0.41:1)
78.	Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	-	-	3404.05	-	3404.05	-	-	-	-	763.57	763.57	0.22:1 (0.22:1)
79.	Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	-	-	879.85	15.00	894.85	-	225.00	-	-	194.50	194.50	0.22:1 (2.05:1)
	Sector wise total	47648.71 (-)	- (-)	8332.98 (-)	60.46 (-)	56042.15 (-)	-	7746.53	-	-	19737.23	19737.23	0.35:1 (0.27:1)

(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
	Cement												
80.	Uttar Pradesh Cement Corporation Limited	6828.00	-	-	-	6828.00	-	-	-	12476.52	-	12476.52	1.83:1 (1.83:1)
	Sector wise total	6828.00 (-)	- (-)	- (-)	- (-)	6828.00 (-)	-	-	-	12476.52	-	12476.52	1.83:1 (1.83:1)
	Tourism												
81.	Uttar Pradesh State Tourism Development Corporation Limited	1512.53	-	-	-	1512.53	-	-	-	48.33	-	48.33	0.03:1 (0.03:1)
	Sector wise total	1512.53 (-)	- (-)	- (-)	- (-)	1512.53 (-)	-	-	-	48.33	-	48.33	0.03:1 (0.03:1)
	Drugs, Chemicals & Pharmaceuticals												
82.	The Indian Turpentine and Rosin Company Limited	18.75	-	-	3.27	22.02	-	238.00	-	283.00	-	283.00	12.85:1 (2.04:1)
83.	Uttar Pradesh Carbon and Chemicals Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	-	-	1.27	-	1.27	-	-	-	-	-	-	- (-)
84.	Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	-	-	658.73	-	658.73	-	-	-	-	-	-	- (-)
	Sector wise total	18.75 (-)	- (-)	660.00 (-)	3.27 (-)	682.02 (-)	-	238.00	-	283.00	-	283.00	0.41:1 (0.07:1)
	Power												
85.	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	105471.00 ⁵⁸ (25180.50)	-	-	-	105471.00 ³ (25180.50)	-	7100.00	-	7100.00	265239.00	272339.00 ⁵⁹	2.58:1
86.	Uttar Pradesh Jal Vidyut Nigam Limited	18329.00 ⁶⁰	-	-	-	18329.00 ⁵	-	855.00	-	3615.00	86604.00 ⁶¹	90219.00 ⁶	4.92:1 (39.43:1)

⁵⁸ Includes Rs.105371.00 lakh transferred from erstwhile UPSEB on provisional basis.

⁵⁹ Includes Rs.265239.00 lakh transferred from erstwhile UPSEB on provisional basis.

⁶⁰ Includes Rs.18259.00 lakh transferred from erstwhile UPSEB on provisional basis.

⁶¹ Includes Rs.86604.00 lakh transferred from erstwhile UPSEB on provisional basis.

(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
87.	Uttar Pradesh Power Corporation Limited	140294.00 ⁶²				140294.00 ⁷	-	-	-	-	528045.0 ⁷	528045.00 ⁷	3.76:1 (-)
	Sector wise total	264094.00 (25180.50)	- (-)	- (-)	- (-)	264094.00 (25180.50)	-	7955.00	-	10715.00	879888.00	890603.00	3.08:1 (0.11:1)
	Financing												
88.	Uttar Pradesh State Industrial Development Corporation Limited	2407.51	-	-	-	2407.51	-	537.65	-	4387.04	-	4387.04	1.82:1 (3.08:1)
89.	The Pradeshiya Industrial and Investment Corporation of Uttar Pradesh Limited	11057.50	-	-	2500.00	13557.50	-	1000.00	8724.40	1000.00	71736.98	72736.98	5.37:1 (4.61:1)
90.	Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited	77.77	-	-	70.02	147.79	-	-	-	-	-	-	- (-)
91.	Uttar Pradesh Alpsankhyak Vitty Avam Vikas Nigam Limited	2352.50	-	-	-	2352.50	-	-	-	1010.42	4441.18	5451.60	2.32:1 (2.32:1)
92.	Uplease Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	-	-	100.00	5.87	105.87	-	-	-	-	-	-	- (-)
	Sector wise total	15895.28 (-)	- (-)	100.00 (-)	2575.89 (-)	18571.17 (-)	-	1537.65	8724.40	6397.46	76178.16	82575.62	4.45:1 (3.97:1)
	Miscellaneous												
93.	Uttar Pradesh Export Corporation Limited	634.27	-	-	70.00	704.27	-	-	-	351.88	-	351.88	0.50:1 (0.23:1)
94.	Uttar Pradesh Chalchitra Nigam Limited	818.20	-	-	0.22	818.42	-	-	-	798.00	-	798.00	0.97:1 (0.85:1)
95.	Uttar Pradesh Development Systems Corporation Limited	100.00	-	-	-	100.00	-	-	-	-	-	-	- (-)
96.	Uttar Pradesh Waqf Vikas Nigam Limited	350.00 (50.00)	-	-	-	350.00 (50.00)	50.00	-	-	-	-	-	- (-)
97.	Uttar Pradesh Mahila Kalyan Nigam Limited	25.00 (36.00)	- (48.03)	-	-	109.03	-	-	-	-	-	-	- (-)

(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
98.	Uttar Pradesh Bhutpoorva Sainik Kalyan Nigam Limited	42.54	-	-	-	42.54	-	-	-	-	-	-	- (-)
	Sector wise total	1970.01 (86.00)	- (48.03)	- (-)	70.22 (-)	2040.23 (134.03)	50.00	-	-	1149.88	-	1149.88	0.53:1 (0.40:1)
	Total – A (All sector wise Government companies)	396492.23 (29240.41)	7138.00 (133.75)	29913.33 (1022.78)	4953.99 (9.90)	438497.55 (30406.84)	306.02	21584.36	12454.40	46666.30	1008810.80	1055477.10	2.25:1 (0.70:1)
(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
B	Statutory Corporations												
	Transport												
1.	Uttar Pradesh State Road Transport Corporation	25231.95	6925.29	-	-	32157.24	-	-	1999.97	995.70	7845.72	8841.42	0.27:1 (0.33:1)
	Sector wise total	25231.95	6925.29	-	-	32157.24	-	-	1999.97	995.70	7845.72	8841.42	0.27:1 (0.33:1)
	Financing												
2.	Uttar Pradesh Financial Corporation	6345.00 (4605.78)	-	-	3655.00 (2880.18)	10000.00 (7485.96)	3625.60	-	6035.00	-	128348.62	128348.62	7.34:1 (14.23:1)
	Sector wise total	6345.00 (4605.78)	-	-	3655.00 (2880.18)	10000.00 (7485.96)	3625.60	-	6035.00	-	128348.62	128348.62	7.34:1 (14.23:1)
	Agriculture and Allied												
3.	Uttar Pradesh State Warehousing Corporation	558.25 (220.70)	558.25	-	-	1116.50 (220.70)	20.00	-	-	-	108.13	108.13	0.08:1 (0.11:1)
	Sector wise total	558.25 (220.70)	558.25	-	-	1116.50 (220.70)	20.00	-	-	-	108.13	108.13	0.08:1 (0.11:1)
	Forest												
4.	Uttar Pradesh Forest Corporation	-	-	-	-	-	-	-	7098.10	-	3257.93	3257.93	-
	Sector wise total	-	-	-	-	-	-	-	7098.10	-	3257.93	3257.93	-
	Miscellaneous												
5.	Uttar Pradesh Avas Evam Vikas Parishad	-	-	-	-	-	-	50.00	459.31	1963.88	-	1963.88	-
6.	Uttar Pradesh Jal Nigam	-	-	-	-	-	-	66.50	-	12231.07	870.74	13101.81	-
7.	Uttar Pradesh State Employees Welfare Corporation	-	-	-	-	-	-	-	-	120.05	200.00	320.05	-
	Sector wise total												
	Total – B (All sector wise Statutory Corporations)	32135.20 (4826.48)	7483.54 (-)	- (-)	3655.00 (2880.18)	43273.74 (7706.66)	3645.60	116.50	15592.38	15310.70	140631.14	155941.84	3.06:1 (39.25:1)
	Grand Total(A+B)	428627.43 (34066.89)	14621.54 (133.75)	29913.33 (1022.78)	8608.99 (2890.08)	481771.29 (38113.50)	3951.62	21700.86	28046.78	61977.00	1149441.94	1211418.94	2.33:1 (7.74:1)

Note: Except in respect of Companies and Corporations which finalised their accounts for 1999-2000 (Serial No.A- 30, 31 &B -3) figures are provisional and as given by the companies/corporations.

Annexure-2

(Referred to in paragraph 1.2.1,1.2.2,1.5.1,1.6,1.6.1,1.6.2.1 & 1.7)

**Summarised financial results of Government Companies and Statutory Corporations for the latest year for which accounts were finalised
(Figures in column 7 to 12 are in Rupees in lakh)**

Sl. No.	Sector and name of company/corporation	Name of Department	Date of Incorporation	Period of accounts	Year in which accounts finalised	Net Profit(+)/loss(-)	Net impact of audit comments	Paid-up capital	Accumul-ated profit(+)/loss(-)	Capital employed (A)	Total Return on capital-employed	Percentage of total return on capital employed	Arrear s of accounts in terms of years	Latest status of the Company/ Corporation
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
A	Government Companies													
	Agriculture and Allied													
1.	Uttar Pradesh State Agro Industrial Corporation Limited	Agriculture	29.03.1967	1997-98	1998-99	(+) 324.24	-	2732.00	(-)5314.11	294.31	531.86	180.71	2	Working company
2.	Uttar Pradesh Poultry and Live-stocks Special-ties Limited	Animal Husbandry	07.12.1974	1995-96	1999-2000	(-)10.57	-	50.00	(-)21.83	220.94	(-)10.57	-	4	Working company
3.	Uttar Pradesh Pashudhan Udyog Nigam Limited	Animal Husbandry	05.03.1975	1992-93	1999-2000	(-)36.08	(-) 3.16	146.85	(-)204.81	341.20	(-)26.61	-	7	Non working – Others
4.	Uttar Pradesh (Rohelkhand-Tarai) Ganna Beej Evam Vikas Nigam Limited	Sugar and Cane Development	27.08.1975	1998-99	1999-2000	(-)28.31	-	70.92	(-)57.50	1675.56	(-)28.31	-	1	Working company
5.	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	Sugar and Cane Development	27.08.1975	1998-99	1999-2000	(+)7.16	-	61.32	(+)39.34	967.59	146.80	15.17	1	Working company
6.	Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited	Sugar and Cane Development	27.08.1975	1998-99	1999-2000	(-)18.36	-	30.40	(-)16.37	321.12	18.07	5.63	1	Working company

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
7.	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	Sugar and Cane Development	27.08.1975	1997-98	1998-99	(+) 8.18	-	25.00	(+) 1.00	749.31	55.02	7.34	2	Working company
8.	Uttar Pradesh Projects and Tubewells Corporation Limited	Irrigation	26.05.1976	1998-99	1999-2000	(-)404.16	(+) 35.06	640.00	(-) 1125.39	(-)60.72	(-) 404.16	-	1	Working company
9.	Uttar Pradesh Horticultural Produce Marketing and Processing Corporation Limited	Agriculture	06.04.1977	1984-85	1994-95	(-) 66.57	-	190.76	(-) 255.33	80.72	(-) 51.97	-	15	Non Working – Others
10.	Uttar Pradesh Bhumi Sudhar Nigam	Agriculture	30.03.1978	1998-99	1999-2000	(+)39.06	-	150.00	(-)15.07	14162.42	39.06	0.28	1	Working company
11.	Uttar Pradesh Matsya Vikas Nigam Limited	Fisheries	27.10.1979	1991-92	1999-2000	(-)62.45	(-) 15.72	100.00	(-)216.05	437.29	(-)47.77	-	8	Working company
	Sector wise total					(+)378.64 (-)626.50	(+) 35.06 (-) 18.88	4197.25	40.34 (-) 7226.46	19250.46 (-) 60.72	790.81 (-) 569.39	4.11		
	Industry													
12.	Uttar Pradesh Small Industries Corporation	Rural and Small Industries	01.06.1958	1993-94	1999-2000	(-)196.38	-	596.05	(-)644.99	1477.08	30.22	2.05	6	Working company
13.	Mohammadabad Peoples Tannery Limited	Planning	21.12.1964	1976-77	1992-93	(-) 0.01	-	5.61	(-) 4.26	1.35	(-) 0.01	-	23	Non working – Others
14.	Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	Rural and Small Industries	28.06.1972	1974-75	1984-85	(-) 0.81	-	0.92	(-) 0.81	6.79	(-) 0.81	-	25	Non working – Others

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
15.	Auto Tractors Limited	Industries and Industrial Development	28.12.1972	1991-92	1995-96	(+) 10.71	-	750.00	(-) 6482.96	1114.18	36.32	3.26	8	Non working – Others
16.	Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	Industries and Industrial Development	1.01.1975	1997-98	1999-2000	(-)529.06	-	193.22	(-) 3436.21	(-) 2163.30	(-) 193.13	-	2	Working company
17.	Trans Cables Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	Hill Development	29.11.1973	1995-96	1999-2000	(-)52.29	-	63.24	(-)322.95	97.11	(-)25.27	-	4	Working company
18.	Northern Electrical Equipment Industries Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	Hill Development	29.01.1974	1989-90	1997-98	(-) 0.01	-	0.07	(-)0.55	0.07	(-) 0.01	-	10	Non working – Others
19.	Uttar Pradesh State Leather Development and Marketing Corporation Limited	Export Promotion	12.02.1974	1998-99	1999-2000	(-) 54.18	-	573.94	(-) 685.90	435.90	(-) 40.58	-	1	Working company
20.	Uttar Pradesh State Brassware Corporation Limited	Export Promotion	12.02.1974	1991-92	1995-96	(-) 45.29	-	537.86	(-) 648.86	793.04	(-) 34.96	-	8	Non working – Others
21.	UPSIC Potteries Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	Rural and Small Industries	27.04.1976	1990-91	1998-99	(-) 47.05	-	76.26	(-) 272.71	(-) 54.51	(-) 28.61	-	9	Working company

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
22.	Uttar Pradesh Digital Limited (Subsidiary of Uttar Pradesh State Industrial Corporation Limited)	Industries and Industrial Development	08.03.1978	1996-97	1997-98	(-) 118.66	–	35.20	(-) 694.54	35.26	(-) 57.60	–	3	Working company
23.	Continental Float Glass Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	Industries and Industrial Development	12.04.1985	1995-96	1996-97	–	–	4599.95	–	11818.42	–	–	4	Non working – Others
24.	The Turpentine Subsidiary Industries Limited (Subsidiary of The Indian Turpentine and Rosin Company Limited)	Industries and Industrial Development	11.07.1939	1977-78	–	(-)1.91	–	15.56	–	11.64	(-)0.47	–	Nil	Under liquidation from 01.04.78
25.	Indian Bobbin Company Limited	Textile	22.02.1964	1973-74	–	(+)0.03	–	2.74	–	3.67	0.03	0.82	Nil	Under liquidation from 10.09.73
26.	Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	Industries and Industrial Development	28.06.1972	1975-76	–	(-)1.55	-	4.85	–	12.39	(-)0.41	–	10	Under liquidation from 19.04.86
27.	Uttar Pradesh Tyre and Tubes Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	Industries and Industrial Development	14.01.1976	1992-93	–	(-) 217.08	–	183.16	(-) 996.09	(-) 405.96	209.53	–	3	Under liquidation from 09.01.96

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
28.	UPAI Limited	Agriculture	20.04.1977	1988-89	1999-2000	(-) 0.48	-	17.01	(-)5.25	10.30	(-)0.48	-	3	Under liquidation from 31.03.91
	Sector wise total					10.74 (1264.76)	-- (--)	7655.64 (-)	- (-) 14196.08	15817.20 (2623.77)	276.10 (382.34)	1.98		
	Electronics													
29.	Uttar Pradesh Electronics Corporation Limited	Electronics	20.03.1974	1998-99	1999-2000	(-)14.23	-	7030.07	(+)24.59	4839.13	(-)14.21	-	1	Working company
30.	Uptron Powertronics Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics	10.04.1977	October 1998 to Sept. 1999	1999-2000	(-)92.72	-	117.00	(-)150.64	576.70	(-)34.57	-	Nil	Working company
31.	Shreetron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics	01.02.1979	1999-2000	1999-2000	(+)1.56	-	174.71	(-)259.22	1084.30	66.01	6.09	Nil	Working company
32.	Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics	18.10.1979	1995-96	1997-98	(-)3212.23	-	5315.59	(-) 19693.43	5206.05	(-) 406.07	-	4	Working company
33.	Uttar Pradesh Hill Electronics Corporation Limited	Hill Development	26.06.1985	1993-94	1997-98	(-) 21.41	-	794.03	(-) 68.10	447.27	(-) 21.41	-	6	Working company

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
34.	Kumtron Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited)	Hill Development	27.04.1987	1989-90	1990-91	(-) 1.61	-	18.31	(-) 1.61	12.35	(-) 1.61	-	10	Non working Others
35.	Uttar Pradesh Hill Phones Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited)	Hill Development	10.08.1987 ⁶³										13	Non working – Others
36.	Uttar Pradesh Hill Quartz Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited)	Hill Development	18.07.1989 ⁶⁴										11	No working – Others
37.	Teletronix Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	Hill Development	27.01.1973	1992-93	1998-99	(-) 79.09	(+) 1.59	174.71	(-) 230.11	211.37	(-) 73.29	-	4	Under liquidation from 30.11.96
38.	Uptron Sempack Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics	23.05.1977	1979-80	1983-84	(-) 0.78	-	2.55	(-) 3.37	1.86	(-) 0.36	-	16	Under liquidation from 10.06.96

63 Accounts not finalized since inception.

64 Accounts not finalized since inception.

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
39.	Kumaon Television Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	Hill Development	24.08.1977	1995-96	1998-99	(-) 43.48	(+) 0.98	99.75	(-) 276.91	101.72	(-) 3.71	-	1	Under liquidation from 30.11.96
40.	Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics	31.03.1978 ⁶⁵		-	-	-	5.25	-	-	-	-	18	Under liquidation from 10.06.96
	Sector wise total					(+)1.56 (-)3465.55	(+) 2.57 -	13731.97	24.59 (-) 20683.39	12480.75	66.01 (-) 555.23	0.53		
	Textiles													
41.	Uttar Pradesh State Textile Corporation Limited	Textile	02.12.1969	1997-98	1998-99	(+) 280.63	-	16079.37	(-) 18056.07	3844.60	1700.60	44.23	2	Working company
42.	Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	Textile	20.08.1974	1998-99	1999-2000	(-)1236.36	(+) 93.92	3190.52	(-) 6872.84	575.38	(-) 999.43	-	1	Working company
43.	Uttar Pradesh State Spinning Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	Textile	20.08.1976	1998-99	1999-2000	(+)103.62	(-) 4.72	7842.84	(-) 7937.59	3863.83	391.92	10.14	1	Working company

⁶⁵ Accounts not finalized since inception.

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
44.	Uttar Pradesh Textile Printing Corporation Limited (Subsidiary of Uttar Pradesh State Handloom Development Corporation Limited)	Textile	05.12.1975	1989-90	1999-2000	(-)5.17	-	26.00	(-)16.72	65.69	(-) 4.67	-	1	Under merger from 01.04.91
45.	Bhadohi Woollens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	Textile	14.06.1976	1994-95	-	(-) 165.77	-	375.54	(-) 1195.91	(-) 49.09	85.35	-	1	Under liquidation from 20.02.96
	Sector wise total					(+)384.25 (-)1407.30	(+) 93.92 (-) 4.72	27514.27	- (-) 34079.13	8349.50 (-) 49.09	2177.87 (-) 1004.10	26.08		
	Handloom and Handicrafts													
46.	Uttar Pradesh State Handloom Development Corporation Limited	Rural and Small Industries	09.01.1973	1989-90	1999-2000	(-)122.14	-	1325.49	(-)1245.41	5885.57	5.80	0.10	10	Working Company
47.	Handloom Intensive Development Corporation (Gorakhpur & Basti) Limited (Subsidiary of Uttar Pradesh State Handloom Development Corporation Limited)	Rural and Small Industries	26.05.1976	1989-90	1998-99	(+)4.55	(-) 4.24	3.00	(+) 2.71	88.41	103.21	116.74	1	Under merger from 01.04.1991

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
48.	Handloom Intensive Development Project (Bijnore) Limited (Subsidiary of Uttar Pradesh State Handloom Development Corporation Limited)	Rural and Small Industries	13.09.1976	1987-88	1999-2000	(+)29.32	-	2.00	(+) 101.04	321.28	46.76	14.55	3	Under merger From 01.04.91
	Sector wise total					(+) 33.87 (-)122.14	- (-) 4.24	1330.49	103.75 (-) 1245.41	6295.26	155.77	2.47		
	Mining													
49.	Uttar Pradesh State Mineral Development Corporation Limited	Industries and Industrial Development	23.03.1974	1995-96	1999-2000	(-) 296.26	-	5640.48	(-) 189.93	3296.94	(-) 91.23	-	4	Working company
50.	Vindhychal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	Industries and Industrial Development	05.12.1985	1987-88	1995-96	(-) 11.78	-	270.00	(-) 76.93	0.79	(-) 10.86	-	12	Non working – Others
	Sector wise total					- (-) 308.04	- (-)	5910.48 (-)	- (-) 266.86	3297.73 (-)	- (-) 102.09			
	Construction													
51.	Uttar Pradesh State Bridge Corporation Limited	Public Works	18.10.1972	1997-98	1998-99	(+) 264.21	-	1000.00	(+) 744.24	2191.20	264.21	12.05	2	Working Company
52.	Uttar Pradesh Rajkiya Nirman Nigam Limited	Public Works	01.05.1975	1998-99	1999-2000	(-)191.06	-	100.00	(+) 941.30	1321.37	(-) 190.04	-	1	Working Company

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
53.	Uttar Pradesh Police Avas Nigam Limited	Home	27.03.1987	1998-99	1999-2000	(+)19.47	-	300.00	(+) 410.07	710.07	19.47	2.74	1	Working Company
	Sector wise total					(+)283.68	-	1400.00	2095.61	4222.64	283.68	6.72		
	Area Development					(-)191.06	-	-	-	-	(-) 190.04			
54.	Kumaon Mandal Vikas Nigam Limited	Hill Development	30.03.1971	1996-97	1999-2000	(+) 48.68	-	836.61	(-) 209.00	1438.54	112.18	7.80	3	Working company
55.	Uttar Pradesh Bundelkhand Vikas Nigam Limited	Area Development	30.03.1971	1991-92	1997-98	(-) 8.72	-	123.30	(-) 134.50	(-) 0.98	(-) 8.71	-	8	Non working – Others
56.	Uttar Pradesh Poorvanchal Vikas Nigam Limited	Area Development	30.03.1971	1987-88	1994-95	(-) 13.64	-	114.80	(-) 107.90	19.02	(-) 13.64	-	12	Non working – Others
57.	Bundelkhand Concrete Structural Limited (Subsidiary of Uttar Pradesh Bundelkhand Vikas Nigam Limited)	Area Development	02.03.1974	1986-87	1993-94	(-) 0.01	-	2.40	(-) 0.65	4.45	(-) 0.01	-	13	Non working – Others
58.	Allahabad Mandal Vikas Nigam Limited	Area Development	31.01.1976	1983-84	1992-93	(-) 11.42	-	67.00	(-) 11.42	39.52	(-) 3.97	-	16	Non working – Others
59.	Bareilly Mandal Vikas Nigam Limited	Area Development	31.01.1976	1984-85	1994-95	(-) 69.26	-	125.00	(-) 90.00	449.13	(-) 56.84	-	15	Non working – Others
60.	Lucknow Mandaliya Vikas Nigam Limited	Area Development	31.01.1976	1981-82	1992-93	(+) 0.44	-	50.00	(+) 1.49	60.57	0.52	0.86	18	Non working – Others
61.	Agra Mandal Vikas Nigam Limited	Area Development	31.03.1976	1986-87	1989-90	(+) 11.24	(+) 2.51	100.00	(-) 33.13	132.02	12.48	9.45	13	Non working – Others
62.	Gorakhpur Mandal Vikas Nigam Limited	Area Development	31.03.1976	1985-86	1995-96	(+) 2.36	-	122.03	(-) 118.16	61.31	2.36	3.85	14	Non working – Others

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
63.	Garhwal Mandal Vikas Nigam Limited	Hill Development	1.03.1976	1993-94	1999-2000	(+)87.61	-	441.50	(+) 1541.56	2977.80	87.61	2.94	6	Working Company
64.	Meerut Mandal Vikas Nigam Limited	Area Development	31.03.1976	1993-94	1996-97	(-)10.48	-	100.00	(-)76.95	29.25	(-) 10.48	-	6	Non working – Others
65.	Varanasi Mandal Vikas Nigam Limited	Area Development	31.03.1976	1987-88	1993-94	(-) 2.71	-	70.00	(-) 26.38	88.29	(-) 2.71	-	12	Non working – Others
66.	Moradabad Mandal Vikas Nigam Limited	Area Development	30.03.1978	1988-89	1999-2000	(-)11.23	-	25.00	(-)21.80	68.73	(-)0.57	-	11	Non working – Others
67.	Gandak Samadesh Kshetriya Vikas Nigam Limited	Area Development	15.03.1975	1976-77	-	(+)0.28	-	46.00	-	46.27	0.28	0.61	-	Under liquidation from 07.06.77
	Sector wise total					(+) 150.61 (-) 127.47	(+) 2.51 (-) (-)	2223.64 (-) (-)	1543.05 (-) 829.89	5414.90 -	215.43 (96.93)	2.66		
	Development Of Economically Weaker Section													
68.	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	Harijan and Social Welfare	25.03.1975	1993-94	1999-2000	(+)138.18	-	3663.88	(+)743.96	5536.09	164.19	2.97	6	Working Company
69.	Garhwal Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Garhwal Mandal Vikas Nigam Limited)	Hill Development	30.06.1975	1987-88	1992-93	(-) 9.19	-	50.00	(-) 41.94	20.48	(-) 8.93	-	12	Working company
70.	Kumaon Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	Hill Development	30.06.1975	1985-86	1998-99	(-) 2.01	-	36.00	(-) 2.85	34.64	(-) 2.01	-	14	Working company

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
71.	Tarai Anusuchit Janjati Vikas Nigam Limited	Harijan and Social Welfare	02.08.1975	1982-83	1990-91	(-) 4.00	-	45.00	(+) 0.45	70.44	(-) 4.00	-	17	Non working Others
72.	Uttar Pradesh Samaj Kalyan Nirman Nigam Limited	Harijan and Social Welfare	25.06.1976	1998-99	1999-2000	(-)0.40	-	15.00	(+) 549.95	760.26	(-)0.40	-	1	Working Company
73.	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited (Formerly Uttar Pradesh Pichhari Jati Vitta Evam Vikas Nigam Limited)	Harijan and Social Welfare	26.04.91	1995-96	1999-2000	(+)49.35	-	100.00	(+)28.45	1628.62	55.13	3.39	4	Working company
	Sector wise total					(+)187.53 (-)15.60	- -	3909.88 -	1322.81 (-) 44.79	8050.53 -	219.32 (-) 15.34	2.72		
	Public Distribution													
74.	Uttar Pradesh Food and Essential Commodities Corporation Limited	Food and Civil Supplies	22.10.1974	1986-87	1999-2000	(-) 47.79	-	55.00	(+) 47.32	399.42	(-) 2.34	-	13	Working company
	Sector wise total					(+) 34.71		50.00	(+) 95.11	524.11	120.97	23.08		
	SUGAR													
75.	Uttar Pradesh State Sugar Corporation Limited	Sugar and Cane Development	26.03.1971	1995-96	1999-2000	(-) 12036.63	-	47575.92	(-) 68303.57	53369.11	(-) 3868.11	-	4	Working company
76.	Kichha Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	Sugar and Cane Development	17.02.1972	1998-99	1999-2000	(+)119.17	-	1698.64	(-)629.85	4158.02	474.55	11.41	1	Working company

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
77.	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	Sugar and Cane Development	18.04.1975	1997-98	1999-2000	(-)221.05	-	1224.52	(-) 3263.41	1824.84	177.99	9.75	2	Working company
78.	Nandganj Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	Sugar and Cane Development	18.04.1975	1996-97	1999-2000	(-)830.09	-	3404.05	(-)7585.58	(-)177.57	(-)452.35	-	3	Working company
79.	Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	Sugar and Cane Development	30.05.1986	1997-98	1999-2000	(-)404.09	(-)17.68	894.86	(-)3247.24	(-)228.31	(-)31.57	-	2	Working company
	Sector wise total					- (-) 47.79	-	55.00 -	47.32 -	399.42 -	- (2.34)	1.10		
	Cement													
80.	Uttar Pradesh State Cement Corporation Limited	Industries and Industrial Development	29.03.1972	1995-96	1996-97	(-)4775.52	-	6828.00	(-) 42599.38	(-) 23980.30	(-) 2291.33	-	4	Working company
	Sector wise total					119.17 13491.86	- 17.68	54797.99 -	- 83029.65	59351.97 405.88	652.54 4352.03	-		
	Tourism													
81.	Uttar Pradesh State Tourism Development Corporation Limited	Tourism	05.08.1974	1998-99	1999-2000	(+)12.33	-	1512.53	(-)153.28	1409.06	14.02	0.99	1	Working company
	Sector wise total					12.33 -	- -	1512.53 -	- (-)153.28	1409.06 -	14.02	0.99		

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
	Drugs, Chemicals & Pharmaceuticals													
82.	The Indian Turpentine and Rosin Company Limited	Industries and Industrial Development	22.02.1924	1999-2000	1999-2000	(-) 525.94	-	22.02	(-) 2665.21	(-) 2215.22	(-) 515.41	-	-	Working company
83.	Uttar Pradesh Carbon and Chemicals Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	Industries and Industrial Development	12.01.1982	1996-97	1999-2000	(-)0.08	-	1.27	(-)0.10	1.76	(-)0.08	-	3	Non working – Others
84.	Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	Industries and Industrial Development	23.04.1979	1992-93	-	(-) 617.54	-	658.73	(-) 3531.51	(-) 1844.86	(-) 50.57	-	1	Under liquidation w.e.f 19.02.1994
	Sector wise total					-	-	682.02	-	1.76	-	14.02		
	Power					1143.56	-	-	6196.82	4060.08	566.06			
85.	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	Power	22.08.1980	1998-99	1999-2000	(-)39.50	-	100.00	(-) 10941.27	14343.58	(-) 39.50	-	1	Working company
86.	Uttar Pradesh Jal Vidyut Nigam Limited	Power	15.04.1985	1998-99	1999-2000	(+)405.67	-	70.00	(+) 923.82	10541.60	405.67	3.85	1	Working company
87.	Uttar Pradesh Power Corporation Limited	Power	30.11.1999	⁶⁶										Working company
	Sector wise total					(+) 405.67	-	170.00	923.82	24885.18	405.67	1.63		
						(-) 39.50	-	-	(-) 10941.27	-	(-) 39.50			

⁶⁶ First Account was not due.

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
	Financing													
88.	Uttar Pradesh State Industrial Development Corporation Limited	Industries and Industrial Development	29.03.1961	1998-99	1999-2000	(+)684.33	-	2407.51	(+)1134.70	10072.66	1438.17	14.28	1	Working company
89.	The Pradshiya Industrial and Investment Corporation of Uttar Pradesh Limited	Industries and Industrial Development	29.03.1972	1998-99	1999-2000	(-) 5322.83	(+)385.25	11057.50	(-)11253.96	79532.22	4409.05	5.54	1	Working company
90.	Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited	Panchayati Raj	24.04.1973	1989-90	1996-97	(-) 3.42	-	132.46	(+) 3.06	143.07	(-) 3.42	-	10	Working company
91.	Uttar Pradesh Alpsankhyak Vitty Avam Vikas Nigam Limited	Harijan and Social Welfare	17.11.1984	1990-91	1999-200	(+)4.55	-	327.50	(+)0.23	676.99	17.32	2.56	9	Working company
92.	Uplease Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics	05.01.1988	1997-98	1998-99	(-) 39.55	-	105.87	(-) 39.53	534.08	14.43	2.70	2	Working company
	Sector wise total					(+) 688.88 (-) 5365.80	(+) 385.25 -	14030.84 -	1137.99 (-) 11293.49	90959.02 -	5878.97 (-) 3.42	6.46		
	Miscellaneous													
93.	Uttar Pradesh Export Corporation Limited	Export Promotion	20.01.1966	1996-97	1999-2000	(-)68.41	(+)6.94	674.27	(-)755.77	662.08	(-)16.28	-	3	Working company
94.	Uttar Pradesh Chalchitra Nigam Limited	Institutional Finance	10.09.1975	1997-98	1999-2000	(-) 8.86	-	818.42	(-) 881.08	248.77	41.59	16.71	2	Non working – Others

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
95.	Uttar Pradesh Development Systems Corporation Limited	Planning	15.03.1977	1997-98	1999-2000	(-)32.14	-	100.00	(-)66.59	33.41	(-)32.14	-	2	Working company
96.	Uttar Pradesh Waqf Vikas Nigam Limited	Waqf	27.04.1987	1992-93	1999-2000	0.002 ⁶⁷	-	100.00	(+)0.55	104.02	0.10	0.10	7	Working company
97.	Uttar Pradesh Mahila Kalyan Nigam Limited	Harijan and Social Welfare	17.03.1988	1996-97	1998-99	(-) 14.51	(+) 3.00	25.00	(-) 32.97	188.05	(-) 14.51	-	3	Working company
98.	Uttar Pradesh Bhutpurva Sainik Kalyan Nigam Limited	Harijan and Social Welfare	23.05.1989	1995-96	1998-99	(+) 144.68	(+) 0.46	42.54	174.42	216.26	144.68	66.90	4	Working company
	Sector wise total					(+) 144.68 (-) 123.92	(+) 10.40 -	1760.23 -	174.97 (-) 1736.41	1452.59 -	186.27 (-) 62.93	12.82		
	Total (A- Government companies)					2801.61 (32516.37)	529.71 (45.52)	147710.23 -	7414.25 (234522.31)	261637.97 (31379.84)	11322.46 (10233.07)	4.37		
B.	Statutory Corporations													
	Transport													
1.	Uttar Pradesh State Road Transport Corporation	Transport	01.06.1972	1998-99 ⁶⁸	1999-2000	(-)2039.66	-	31369.02	(-) 50462.51	(-) 9249.00	(-) 941.00	10.17	1	Working
	Sector wise total					- (-)2039.66	-	31369.02	- (-) 50462.51	- (-) 9249.00	- (-) 941.00	10.17		
	Financing													
2.	Uttar Pradesh Financial Corporation	Industrial Development	01.11.1954	1998-99	1999-2000	(-)12601.72	-	10000.00	(-)39452.65	152779.00	6474.05	1.59	1	Working
	Sector wise total					(-)12601.72	-	10000.00 -	- (-) 39452.65	152779.00 -	6474.05 -	1.59		
	Agriculture and Allied													
3.	Uttar Pradesh State Warehousing Corporation	Co-operative	19.03.1958	1999-2000	1999-2000	(+)1302.97	-	1116.50	3764.05	5490.00	1322.00	24.08	-	Working
	Sector wise total					(+)1302.97	-	1116.50	3764.05	5490.00	1322.00	24.08		

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Rs. 252 only.

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Accounts are under audit.

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
	Forest													
4.	Uttar Pradesh Forest Corporation	Forest	25.11.1974	1998-99	1999-2000	(+) 2930.30	-	-	35245.27	35756.00	2930.00	8.19	1	Working
	Sector wise total					(+) 2930.30	-	-	35245.27	35756.00	2930.00	8.19		
	Miscellaneous													
5.	Uttar Pradesh Avas Evam Vikas Parishad	Housing	03.04.1966	1994-95 ⁶⁹	1999-2000	(+)84.00	-	-	2728.54	28619.00	2512.00	8.78	5	Working
6.	Uttar Pradesh Jal Nigam	Urban Development	06.06.1975	1997-98	1999-2000	(-) 110.95	-	-	(-) 16021.87	333252.00	1957.00	0.59	2	Working
7.	Uttar Pradesh State Employees Welfare Corporation ⁷⁰	Food & Civil Supplies	05.05.1965											
	Sector wise total					(+) 84.00 (-) 110.95	-	-	2728.54 (-) 16021.87	361871.00 -	4469.00 -	1.23		
	Total – B (Statutory Corporations)					(+) 4317.27 (-) 14752.33	-	42485.52	41737.86 (-) 105937.03	555896.00 (-) 9249.00	15195.05 (-) 941.00	2.00		
	Grand Total (A+B)					(+) 7118.88 (-) 42269.53	(+)529.71 (-) 45.52	190195.75	49152.11 (-) 340459.36	817533.97 (-) 40428.84	22465.46 (-) 11174.075	2.75		

Note: (A) Capital employed represents net fixed assets (including capital work-in-progress) plus working capital except in case of financing companies/corporations Sl.No. 68, 73, 88, 89, 90,91,92 & B-2 where the capital employed is worked out as a mean of aggregate of the opening and closing balances of paid-up capital, free reserves, bonds, deposits and borrowings including refinance).

⁶⁹ Accounts are under audit.

⁷⁰ Audit was entrusted during 1997-98. The accounts have not been submitted so far.

Annexure-3

(Referred to in paragraph 1.4)

Statement showing subsidy received, guarantees received, waiver of dues, loans on which moratorium allowed and loans converted into equity during the year and subsidy receivable and guarantees outstanding at the end of March 2000.

(Figures in columns 3(a) to 7 are in Rupees in lakh)

Sl No	Name of the Public Sector Undertaking	Subsidy received during the year ⁷¹				Guarantees received during the year and outstanding at the end of the year ⁷²					Waiver of dues during the year				Loans on which moratorium allowed	Loans converted into equity during the year
		Central Government	State Government	Others	Total	Cash credit from banks	Loans from other sources	Letters of credit opened by banks in respect of imports	Payment obligation under agreement with foreign consultants or contractors	Total	Loans repayment written off	Interest waived	Penal interest waived	Total		
(1)	(2)	3(a)	3(b)	3(c)	3(d)	4(a)	4(b)	4(c)	4(d)	4(e)	5(a)	5(b)	5(c)	5(d)	(6)	(7)
A. Government Companies																
1.	Uttar Pradeh Agro Industrial Development Corporation Limited	-	-	-	-	1000.00 (Nil)	-	-	-	1000.00 (Nil)	-	-	-	-	1000.00	1268.00
2.	Uttar Pradesh (Rohekhand-Tarai) Ganna Beej Evam Vikas Nigam Limited	-	-	-	-	2000.00 (1476.88)	-	-	-	2000.00 (1476.88)	-	-	-	-	-	-
3.	Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1143.14

⁷¹ Subsidy receivable at the end of year is shown in brackets.

⁷² Figures in bracket indicate guarantees outstanding at the end of the year.

(1)	(2)	3(a)	3(b)	3(c)	3(d)	4(a)	4(b)	4(c)	4(d)	4(e)	5(a)	5(b)	5(c)	5(d)	(6)	(7)
4.	Uttar Pradesh Electronics Corporation Limited	-	14.47 (Nil)	-	14.47 (Nil)	-	-	-	-	-	-	-	-	-	-	-
5.	Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh Textile Corporation Limited)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2176.00
6.	Uttar Pradesh State Spinning Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	-	-	-	-	1380.00 (303.59)	-	-	-	1380.00 (303.59)	-	-	-	-	-	1022
7.	Uttar Pradesh State Handloom Corporation Limited	-	298.20 (Nil)	-	298.20 (Nil)	1000.00 (608.49)	-	-	-	1000.00 (608.49)	-	-	-	-	-	-
8.	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	-	6245.78 (Nil)	-	6245.78 (Nil)	-	-	-	-	-	-	-	-	-	-	-
9.	Garhwal Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Garhwal Mandal Vikas Nigam Limited)	-	10.05 (10.05)	-	10.05 (10.05)	-	-	-	-	-	-	-	-	-	-	-
10.	Uttar Pradesh Food and Essential Commodities Corporation Limited	-	110.60 (Nil)	-	110.60 (Nil)	1500.00 (806.00)	750.00 (750.00)	-	-	2250.00 (1556.00)	-	1692.38	-	1692.38	-	-
11.	Uttar Pradesh State Sugar Corporation Limited	-	-	-	-	40225.66 (40225.66)	-	-	-	40225.66 (40225.66)	-	-	-	-	-	-

(1)	(2)	3(a)	3(b)	3(c)	3(d)	4(a)	4(b)	4(c)	4(d)	4(e)	5(a)	5(b)	5(c)	5(d)	(6)	(7)
12.	Kichha Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	-	-	-	-	4760.00 (3730.28)	-	-	-	4760.00 (3730.28)	-	-	-	-	-	-
13.	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	-	-	-	-	2415.00 (1573.16)	-	-	-	2415.00 (1573.16)	-	-	-	-	-	1134.20
14.	Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	-	-	-	-	1000.00 (504.91)	-	-	-	1000.00 (504.91)	-	-	-	-	-	-
15.	Uttar Pradesh State Tourism Development Corporation Limited	-	-	-	-	-	-	-	-	-	-	-	-	-	-	48.33
16.	Uttar Pradesh Jal Vidyut Nigam Limited	-	1042.22 (Nil)	-	1042.22 (Nil)	-	-	-	-	-	-	-	-	-	-	-
17.	Uttar Pradesh Export Corporation Limited	-	59.19 (Nil)	-	59.19 (Nil)	-	-	-	-	-	-	-	-	-	-	-
18.	Uttar Pradesh Development Systems Corporation Limited	-	105.00 (Nil)	-	105.00 (Nil)	-	-	-	-	-	-	-	-	-	-	-
19.	The Indian Turpentine and Rosin Company Limited	-	-	-	-	-	188.00	-	-	188.00	-	-	-	-	-	-
20.	The Pradeshiya Industrial and Investment Corporation of Uttar Pradesh Limited	-	-	-	-	-	20009.00 (43771.00)	-	-	20009.00 (43771.00)	-	-	-	-	-	-

21.	Uttar Pradesh Mahila Kalyan Nigam Limited	-	172.24	-	172.24	-	-	-	-	-	-	-	-	-	-	-
	Total – A	-	8057.75 (10.05)	-	8057.75 (10.05)	55280.66 (49228.97)	20947.00 (44521.00)	-	-	76227.66 (93749.97)	-	1692.38	-	1692.38	1000.00	6791.67
B. Statutory Corporations																
1.	U.P. Financial Corporation	-	-	-	-	-	6035.00 (62522.00)	-	-	6035.00 (62522.00)	-	-	-	-	-	1125.60
2.	U.P. Avas Evam Vikas Perished	-	-	-	-	-	-	-	-	-	-	-	-	-	1963.88	-
3.	Uttar Pradesh Jal Nigam	-	40455.30	-	40455.30	-	-	-	-	-	-	-	-	-	13101.81	-
4.	U.P. State Employees Welfare Corporation	-	173.10	-	173.10	200.00 (200.00)	-	-	-	200.00 (200.00)	-	-	-	-	-	-
	Total-B	-	40628.40	-	40628.40	200.00 (200.00)	6035.00 (62522.00)	-	-	6235.00 (62722.00)	-	-	-	-	15065.69	1125.60
	Grand Total (A)+(B)	-	48686.15 (10.05)	-	48686.15 (10.05)	55480.66 (49428.97)	26982.00 (107043.00)	-	-	82462.66 (156471.97)	-	1692.38	-	1692.38	16065.69	7917.27

Annexure – 4

(Referred to in paragraph 1.2.2)

Statement showing financial position of Statutory Corporations

1. Uttar Pradesh State Road Transport Corporation

(Rupees in crore)

Particulars	1996-97	1997-98 (Provisional)	1998-99 (Provisional)
A. Liabilities			
Capital (including capital loan and equity capital)	314.69	315.83	321.57
Borrowings:			
Government	10.54	17.61	11.71
Others	112.83	79.55	79.38
Funds ⁷³	0.30	0.31	0.35
Trade dues and other current liabilities (including provisions)	241.68	338.97	392.11
Total (A)	680.04	752.27	805.12
B. Assets			
Gross Block	510.75	557.34	558.49
Less: Depreciation	347.91	369.72	378.21
Net fixed assets	162.84	187.62	180.28
Capital work in progress (including of cost of chasis)	2.57	2.82	2.58
Investment	0.74	0.87	0.87
Current Assets, Loans and Advances	76.56	78.56	116.76
Deferred cost	-	-	-
Accumulated Losses	437.33	482.40	504.63
Total (B)	680.04	752.27	805.12
Capital employed⁷⁴	0.29	(-) 69.97	(-) 92.49

2. Uttar Pradesh Financial Corporation

(Rupees in crore)

Particulars	1996-97	1997-98	1998-99
(A). Liabilities			
Paid-up capital	100.00	100.00	100.00
Share application money	-	-	-
Reserve fund and other reserves and surplus	20.85	20.72	20.60
Borrowings			
(i) Bonds and debentures	694.71	777.53	817.83
(ii) Fixed deposits	-	-	-
(iii) Industrial Development Bank of India and Small Industries Bank of India	500.65	536.99	511.85
(iv) Reserve Bank of India	17.25	17.35	-
(v) Loans in lieu of share capital			
(a) State Government	9.80	9.80	9.80
(b) Industrial Development Bank of India	8.80	8.80	8.80
(vi) Others (including State Govt.)	54.76	40.76	74.76
Other Liabilities and Provision	157.50	249.08	300.22
Total A	1564.32	1761.03	1843.86
B. Assets			
Cash and Bank balances	73.67	66.20	83.28
Investments	2.73	24.24	35.85
Loans and Advances	1254.38	1310.81	1251.48

⁷³ Excluding Depreciation Funds.

⁷⁴ Capital employed represents net fixed assets (including capital work-in-progress) plus working capital.

Particulars	1996-97	1997-98	1998-99
Net Fixed Assets	41.05	61.83	41.68
Other Assets	39.18	29.44	37.03
Misc. Expenditure	-	-	-
Profit and Loss Account	153.31	268.51	394.53
Total B	1564.32	1761.03	1843.86
Capital Employed⁷⁵	1297.24	1459.38	1527.79

3. U.P. State Warehousing Corporation

(Rupees in crore)

Particulars	1997-98	1998-99	1999-2000
(A). Liabilities			
Paid up capital	11.37	12.77	13.37
Reserves and surplus	21.65	28.34	40.07
Subsidy	-	0.30	0.30
Borrowings:			
Government	0.14	0.23	-
Others	1.90	1.50	1.16
Trade Dues and Current Liabilities (including provisions)	13.96	16.62	27.05
Total A	49.02	59.76	81.95
B. Assets			
Gross Block	39.56	41.82	47.26
Less Deprecation	9.76	10.22	11.80
Net Fixed Assets	29.80	31.60	35.46
Capital Work in progress	1.38	0.77	1.48
Current Assets, Loans and Advances	17.84	27.39	45.01
Accumulated loss	-	-	-
Total B	49.02	59.76	81.95
Capital Employed⁷⁶	34.86	43.14	54.90

4. Uttar Pradesh Forest Corporation

(Rupees in crore)

Particulars	1996-97	1997-98	1998-99
A. Liabilities			
Reserve and Surplus	287.35	323.15	352.45
Borrowings	0.16	0.16	0.16
Current Liabilities (including provisions)	73.52	103.87	147.54
Other Liabilities	7.00	7.00	7.00
Total A	368.03	434.18	507.15
B. Assets			
Net Fixed Assets	10.92	10.31	11.16
Current Assets, Loans and Advances	354.64	421.45	493.94
Accumulated loss	-	-	-
Miscellaneous Expenditure	2.47	2.42	2.05
Total B	368.03	434.18	507.15
C. Capital employed⁷⁶	292.04	327.89	357.56

⁷⁵ Capital employed represents the mean of the aggregate of opening and closing balances of paid-up capital, Seed money, debentures, reserves (other than those which have been funded specifically and backed by Investment outside), bonds, deposits and borrowings (including refinance).

⁷⁶ Capital employed represents the net fixed assets (including capital work-in-progress) plus working capital.

5. Uttar Pradesh Avas Evam Vikas Parishad

(Rupees in crore)

Particulars	1993-94	1994-95 (Provisional)	1995-96 (Provisional)
A. Liabilities			
Surplus	26.45	27.29	28.21
Borrowings	248.32	249.78	195.48
Deposits	32.35	38.90	39.38
Current Liabilities (including Registration Fee)	221.19	218.13	245.47
Excess of assets over liabilities	-	-	1.18
Total A	528.31	534.10	509.72
B. Assets			
(i) Net Fixed Assets	1.04	1.06	1.15
(ii) Investments	7.66	29.78	35.37
(iii) Current Assets, Loans and Advances	519.61	503.26	473.20
Total B	528.31	534.10	509.72
C. Capital employed⁷⁷	299.46	286.19	228.88

6. Uttar Pradesh Jal Nigam

(Rupees in crore)

Particulars	1995-96	1996-97	1997-98
A. Liabilities			
Borrowings	255.52	273.24	286.96
Grants from Government	1807.42	2127.72	2495.85
Deposits	755.48	808.55	878.29
Current Liabilities	125.80	148.53	158.22
Centage on material unconsumed	21.42	23.88	29.08
Pension and Gratuity	6.00	6.00	6.00
Unclassified Reserve	20.51	20.51	20.48
Total A	2992.15	3408.43	3874.88
B. Assets			
Gross Block	370.16	450.17	591.28
Less: Depreciation	4.32	4.67	5.04
Net Fixed Assets	365.84	445.50	586.24
Investments	172.30	166.06	223.93
Current Assets	2146.36	2436.87	2904.50
Divisional Surplus	267.51	307.64	159.10
Deficit	40.14	52.36	1.11
Total B	2992.15	3408.43	3874.88
C. Capital employed⁷⁷	2386.40	2733.84	3332.52

⁷⁷ Capital employed represents the fixed assets (including capital work-in-progress) plus working capital.

Annexure-5

(Referred to in paragraph 1.2.2 and 1.6)

Statement showing working results of Statutory Corporation

1. Uttar Pradesh State Road Transport Corporation

(Rupees in crore)

Particulars	1996-97	1997-98 (Provisional)	1998-99 (Provisinal)
Operating			
(a) Revenue	526.67	584.17	650.21
(b) Expenditure	564.85	629.56	675.04
(c) Surplus (+)/Deficit (-)	(-) 38.18	(-) 45.39	(-) 24.83
Non operating			
(a) Revenue	13.94	15.58	15.42
(b) Expenditure	23.90	15.16	10.98
(c) Surplus (+)/Deficit (-)	(-) 9.96	(+) 0.42	(+) 4.44
Total:			
(a) Revenue	540.61	599.75	665.63
(b) Expenditure	588.75	644.72	686.02
(c) Net Profit (+)/Loss (-)	(-) 48.14	(-) 44.97	(-) 20.39
Interest on Capital and Loans	23.90	15.16	10.98
Total return on Capital employed	(-) 24.24	(-) 29.81	(-) 9.41
Percentage of total return on capital employed	-	-	-

2. Uttar Pradesh Financial Corporation

(Rupees in crore)

Particulars	1996-97	1997-98	1998-99
1 Income			
(a) Interest on loans	163.30	151.42	142.35
(b) Other Income	16.55	23.65	12.68
Total 1	179.85	175.07	155.03
2. Expenses			
(a) Interest on long term and short term loans	151.21	178.72	190.76
(b) Provision for non performing assets	-	142.02	49.99
(c) Other expenses	42.90	21.04	40.30
Total 2	194.11	341.78	321.57
3. Profit (+)/Loss (-) before tax (1-2)	(-) 14.26	(-) 166.71	(-) 126.02
4. Prior period adjustment	-	-	-
5. Provision for tax	-	-	-
6. Profit (+)/Loss (-) after tax	(-) 14.26	(-) 166.71	(-) 126.02
7. Other appropriations	-	-	-
8. Amount available for dividend	-	-	-
9. Dividend paid/payable	-	-	-
10. Total return on capital employed	136.95	12.01	64.74
11. Percentage of return on capital employed	10.56	0.82	4.24

3. Uttar Pradesh State Warehousing Corporation

(Rupees in crore)

Particulars	1997-98	1998-99	1999-2000
1. Income			
(a) Warehousing charges	24.02	61.35	98.82
(b) Other Income	0.14	0.28	0.77
Total 1	24.16	61.63	99.59

(Rupees in crore)

Particulars	1997-98	1998-99	1999-2000
2. Expenses			
(a) Establishment charges	12.17	12.76	16.39
(b) Interest	0.28	0.26	0.19
(c) Other expenses	9.46	39.74	66.45
Total 2	21.91	52.76	83.03
3. Profit (+)/Loss (-) before tax	(+) 2.25	(+) 8.87	(+) 16.56
4. Provision for tax	-	-	-
5. Prior period adjustment	(+) 1.49	(-) 1.61	(-) 3.53
6. Other appropriations	-	-	-
7. Amount available for dividend	3.74	7.26	13.03
8. Dividend paid/payable	0.21	0.52	1.12
9. Total return on capital employed	4.02	7.52	13.22
10 Percentage of return on capital employed	11.53	17.43	24.08

4. Uttar Pradesh Forest Corporation

(Rupees in crore)

Particulars	1996-97	1997-98	1998-99
1. Income			
Sales	178.37	128.12	162.84
Other Income	29.86	27.84	35.43
Closing Stock	67.92	106.77	147.67
Total 1	276.15	262.73	345.94
2. Expenditure			
Purchases	39.07	74.06	124.25
Other Expenses	75.25	84.95	85.62
Opening Stock	117.19	67.92	106.77
Total 2	231.51	226.93	316.64
Net Profit	44.64	35.80	29.30
Total return on capital employed	44.64	35.80	29.30
Percentage of return on capital employed	15.29	10.92	8.19

5. Uttar Pradesh Avas Evam Vikas Parishad

(Rupees in crore)

Particulars	1993-94	1994-95	1995-96
			(Provisional)
1 Income			
(a) Income from property	59.03	47.71	50.93
(b) Other Income	12.38	10.57	11.28
Total 1	71.41	58.28	62.21
2. Expenditure			
(a) Establishment	16.95	19.14	22.51
(b) Interest	28.28	24.28	21.75
(c) Other expenses	25.58	14.02	17.03
Total 2	70.81	57.44	61.29
3. Excess of income over expenditure	0.60	0.84	0.92
4. Total return on capital employed	28.88	25.12	22.67
5. Percentage of total return on capital employed	9.64	8.78	9.90

6. Uttar Pradesh Jal Nigam

(Rupees in crore)

Particulars	1995-96	1996-97	1997-98
1. Income			
Centage	37.75	38.31	56.50
Survey and project fee	7.60	11.38	15.36
Interest	20.80	16.43	21.33

(Rupees in crore)

Particulars	1995-96	1996-97	1997-98
Grant	20.02	42.10	36.42
Others	9.76	10.89	51.01
Total 1	95.93	119.11	180.62
2. Expenditure			
Establishment charges	62.00	68.50	81.43
Expenditure on maintenance	39.48	62.16	66.46
Interest	20.91	26.15	20.68
Other expenses	13.28	14.30	12.80
Depreciation	0.39	0.36	0.36
Total 2	136.06	171.47	181.73
Deficit	(-) 40.13	(-) 52.36	(-) 1.11
Total return on capital employed	(-) 19.22	(-) 26.21	19.57
Percentage of total return on capital employed	-	-	0.59

Annexure – 6

(Referred to in paragraph 1.6.2.3)

Statement showing operational performance of Statutory Corporations

1. Uttar Pradesh State Road Transport Corporation

Particulars	1997-98	1998-99	1999-2000
Average number of vehicle held			
(a) Own buses	7352	6859	6531
(b) Hired buses	846	982	1367
Average number of vehicles on the road	6432	6177	5804
Percentage of utilisation of vehicles	87	90	89
Number of employees	52537	50552	48909
Employee vehicle ratio	7.26	7.10	6.74
Number of routes operated at the end of year	2305	2234	2135
Route Kilometres	503160	492505	465320
Kilometres operated (in lakh)			
(a) Gross	6726	7160	7282
(b) Effective	6560	6988	7102
(c) Dead & Dept.	166	172	180
Percentage of dead Kms. To gross kilometres	2.47	2.40	2.47
Average kilometers covered per bus per day	218	243	245
Average operating revenue per kilometre (Paise)	914	949	1000
Average expenditure per Km. (Paise)	983	974	1082
Profit (+)/Loss (-) per Km. (Paise)	(-) 69	(-) 25	(-) 82
Number of operating depots	114	114	114
Average number of breakdowns per lakh kilometres	5.50	4.55	4.30
Average number of accidents per lakh kilometres	0.20	0.22	0.19
Passenger Kms. Operated (in crore)	67.26	71.60	72.82
Occupancy ratio	64	65	61
Kilometers obtained per litre of:			
(a) Diesel oil	4.56	4.60	4.69
(b) Engine oil	823	870	894

2. Uttar Pradesh Financial Corporation

(Amount : Rupees in crore)

Particulars	1996-97		1997-98		1998-99	
	Number	Amount	Number	Amount	Number	Amount
Application pending at the beginning of the year	261	110.46	175	68.43	111	28.79
Applications received	2982	994.11	2077	581.87	1078	302.80
Total	3243	1104.57	2252	650.30	1189	331.59
Applications sanctioned	2687	707.45	1741	360.26	560	106.18
Applications cancelled /withdrawn /rejected/reduced	381	328.70	400	261.25	290	145.44
Applications pending at the close of the year	175	68.43	111	28.78	339	79.97
Loans disbursed	1491	423.14	1300	268.89	637	129.39
Loan outstanding at the close of the year	20669	1254.38	21452	1310.81	-	-
Amount overdue for recovery at the close of the year						
(a) Principal	-	137.65	-	164.60	-	238.22
(b) Interest	-	370.52	-	377.04	-	498.89
Total	-	508.17	-	541.64	-	737.11

(Amount : Rupees in crore)

Particulars	1996-97		1997-98		1998-99	
	Number	Amount	Number	Amount	Number	Amount
Amount involved in recovery certificate cases	-	146.18	-	280.03	-	-
Total		146.18	-	280.03	-	-
Percentage of overdue to the total loans outstanding	-	4051	-	41.32	-	-

3. Uttar Pradesh State Warehousing Corporation

Particulars	1997-98	1998-99	1999-2000
Number of stations covered	101	118	133
Storage capacity created upto the end of the year (tonne in lakh)			
(a) Owned	11.80	11.81	11.94
(b) Hired	1.09	1.72	5.97
Total	12.89	13.53	17.91
Average capacity utilised during the year (tonne in lakh)	10.58	11.91	15.25
Percentage of utilisation	82.08	88.03	94.00
Average revenue per tonne per year (Rupees)	227.06	517.38	633.05
Average expenses per tonne per year (Rupees)	207.09	443.16	544.33
Profit (+)/Loss (-) per tonne (Rupees)	(+) 19.97	(+) 74.22	(+) 88.72

4. Uttar Pradesh Forest Corporation

Particulars	1997-98	1998-99	1999-2000
1. Timber including Sawn Timber (in lakh cubic meters)			
(a) Opening balance	3.92	6.70	7.53
(b) Sales	1.87	3.19	4.35
(c) Losses/Shortages	-	-	-
(d) Departmental use and other disposal	0.02	0.01	-
(e) Closing balance	2.03	3.50	3.18
2. <i>Tendu</i> leaves (Standard bags in lakh)			
(a) Opening balance	4.41	4.40	5.61
(b) Sales	4.19	3.97	5.23
(c) Losses/Shortages	-	-	-
(d) Closing balance	0.22	0.43	0.38
3. Bamboo (Scores in lakh)			
(a) Opening balance ⁷⁸	2.51	3.44	2.07
(b) Sales	0.90	2.63	1.47
(c) Losses/Shortages	-	-	-
(d) Closing balance	1.61	0.81	0.60
4. Agriculture Produce (Qtls. in lakh)			
(a) Opening balance	0.38	0.22	0.22
(b) Sales	0.33	0.20	0.21
(c) Losses/Shortages	-	0.01	-
(d) Closing balance	0.05	0.01	0.01

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Opening balance includes production during the year.

Particulars	1997-98	1998-99	1999-200
5. Baile Grass (Qtls. in lakh)			
(a) Opening balance ⁷⁹	0.30	0.42	0.36
(b) Sales	0.15	0.19	0.22
(c) Losses/Shortages	-	0.01	-
(c) Closing balance	0.15	0.22	0.14
6. Jari-Buti (in lakh kg.)			
(a) Opening balance [*]	3.41	6.67	9.29
(b) Sales	0.28	1.12	4.43
(c) Losses/Shortages	0.01	0.03	0.34
(c) Closing balance	3.12	5.52	4.52

⁷⁹ Opening balance includes production during the year.

Annexure-7

(Referred to in paragraph 1.8.D)

Statement showing turnover of the companies whose turnover has been less than Rs. 5.00 crore during the last five years for which accounts have been certified

Sl No.	Name of the company	Latest finalised account (Year)	Turnover (Rupees in lakh)				
			Latest year	Second Year	Third Year	Fourth Year	Fifth Year
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
1.	U.P. State Poultry and Livestock Specialities Ltd.	1995-96	65.07	163.86	120.48	49.18	42.96
2.	U.P. (Rohelkhand-Tarai) Ganna Beej Evam Vikas Nigam Ltd.	1998-99	171.84	198.60	296.67	280.15	192.26
3.	U.P. (Paschim) Ganna Beej Evam Vikas Nigam Ltd.	1998-99	206.37	264.97	301.96	231.27	127.34
4.	U.P. (Poorva) Ganna Beej Evam Vikas Nigam Ltd.	1998-99	36.18	46.16	60.96	57.10	51.32
5.	U.P. (Madhya) Ganna Beej Evam Vikas Nigam Ltd.	1997-98	74.25	76.43	63.70	25.31	23.85
6.	U.P. Matsya Vikas Nigam Ltd.	1991-92	201.21	183.59	147.59	119.94	93.31
7.	U.P. Instruments Ltd.	1997-98	348.39	133.72	64.31	168.18	161.69
8.	Trans Cables Ltd.	1995-96	4.79	4.03	2.43	2.88	1.39
9.	U.P. State Leather Development and Marketing Corporation Ltd.	1998-99	165.94	193.19	157.90	159.04	54.91
10.	UPSIC Potteries Ltd.	1990-91	16.50	19.59	15.80	9.86	57.21
11.	U.P. Digitals Ltd.	1996-97	28.55	19.78	19.87	19.69	35.58
12.	U.P. Electronics Corporation Ltd.	1998-99	87.34	93.41	99.69	107.17	88.43
13.	U.P. Hill Electronics Corporation Ltd.	1993-94	175.01	124.40	63.60	30.20	10.66
14.	U.P. Scheduled castes Finance & Development Corp. Ltd.	1993-94	435.71	444.40	290.01	253.38	212.49
15.	Garhwal Anusuchit Janjati Vikas Nigam Ltd.	1987-88	28.63	60.66	52.68	20.09	13.58
16.	Kumaon Anusuchit Janjati Vikas Nigam Ltd.	1985-86	11.74	7.05	6.16	2.77	2.14
17.	U.P. Rajya Vidyut Utpadan Nigam Ltd. ⁸⁰	1997-98	71.00	67.90	70.20	40.84	60.35
18.	U.P. Panchayati Raj Vitta Evam Vikas Nigam Ltd.	1989-90	33.79	33.67	20.42	30.60	27.91
19.	U.P. Alpsankhyak Vittiya Evam Vikas Nigam Ltd.	1990-91	36.11	24.57	28.62	11.31	5.73
20.	Uplease Financial Services	1997-98	128.63	71.61	50.04	55.26	67.88
21.	U.P. Development Systems Corp. Ltd.	1997-98	165.11	171.39	192.77	169.82	158.3
22.	U.P. Waqf Vikas Nigam Ltd.	1992-93	16.80	15.39	11.59	9.17	6.02
23.	U.P. Mahila Kalyan Nigam Ltd.	1996-97	17.79	19.46	25.20	20.98	22.29

⁸⁰

Consequent upon re-structuring of Uttar Pradesh State Electricity Board, the Company has been assigned the work of thermal generation w.e.f. 14.01.2000.

Annexure-8

(Referred to in paragraph 1.8.D)

Statement showing Paid-up Capital and Accumulated Loss of the Companies for the last five years for which Accounts have been certified

Sl No.	Name of company	Amount of Paid-up capital and accumulated loss (Rupees in lakh)										
			First year		Second year		Third year		Fourth year		Fifth year	
		Latest finalised account year	Paid-up capital	Accumulated loss	Paid-up capital	Accumulated loss	Paid-up capital	Accumulated loss	Paid-up capital	Accumulated loss	Paid-up capital	Accumulated loss
1.	U.P. State Agro Industrial Corporation Limited	1997-98	2732.00	5309.36	2732.00	5638.35	2732.00	5250.50	1904.05	4865.26	1904.05	4972.89
2.	U.P. Projects & Tubewells Corporation Limited	1998-99	640.00	1125.39	590.00	721.23	590.00	438.18	590.00	453.86	540.00	336.77
3.	Shretron India Limited	1999-2000	174.71	259.22	174.71	260.79	174.71	270.88	174.71	277.84	174.71	283.35
4.	Upron India Limited	1995-96	5315.59	19693.43	5315.59	16481.00	5249.15	13362.06	4664.15	10317.24	3464.15	7678.58
5.	U.P. State Textile Corporation Limited	1997-98	16079.37	18056.07	16079.37	18336.70	16079.37	24841.57	15579.37	19655.38	15579.37	17769.73
6.	U.P. State Yarn Company Limited	1998-99	3190.52	6872.84	3190.52	5635.61	3190.52	5090.56	3190.52	6569.32	3190.52	5138.14
7.	U.P. State Spinning Company Limited	1998-99	7842.84	7937.59	7842.84	8041.21	7842.84	9901.63	7842.84	11525.19	7842.84	10980.31
8.	U.P. State Sugar Corporation Limited	1995-96	47575.92	68302.57	46740.12	56265.94	46740.12	52076.40	44851.12	46047.53	36714.12	37159.99
9.	Chhata Sugar Company Limited	1997-98	1224.52	3263.41	1224.52	3042.36	1224.52	2403.44	600.81	1987.10	600.81	1986.31
10.	Nandganj-Sihori Sugar Company Limited	1996-97	3404.05	7585.58	3404.05	6659.56	2457.72	5899.85	2457.72	5335.88	2457.72	5032.14
11.	Ghatampur Sugar Company Limited	1997-98	894.86	3247.23	894.86	2843.15	894.85	2301.48	794.08	1768.97	794.08	1527.21
12.	The Indian Turpentine & Rosin Company Limited	1999-2000	22.02	2665.21	22.02	2139.28	22.02	1721.84	22.02	1232.65	22.02	1000.12
13.	U.P. Export Corporation Limited	1996-97	674.27	755.77	674.27	687.35	620.27	618.66	514.27	546.15	510.27	361.00

Annexure-9

(Referred to in paragraph 2A.5.1)

Financial position of the Company

(Rupees in lakh)

Sl. No.	Particulars	1994-95	1995-96	1996-97	1997-98	1998-99
1.	LIABILITIES					
A.	Paid up Share Capital	11057.50	11057.50	11057.50	11057.50	11057.50
B.	Reserve and Surplus	1037.11	129.92	124.24	124.24	124.24
C.	Borrowings	40349.11	42724.39	52191.05	65838.22	70862.74
D.	Current Liabilities & Provisions	11870.01	15286.73	13665.26	11933.97	13444.30
	TOTAL	64313.73	69198.54	77038.05	88953.93	95488.78
2.	ASSETS					
E.	Gross Block	3038.00	3263.85	3463.91	3451.06	3394.05
F.	Less Depreciation	1658.76	1861.77	1837.56	1983.63	2099.63
G.	Net Fixed Assets	1379.24	1402.08	1626.35	1467.43	1294.42
H.	Capital Work in progress	1.24	3.04	3.04	3.56	3.56
I.	Investments	6926.19	7681.75	8657.71	8881.96	10524.44
J.	Current Assets Loans and Advances	56007.06	60111.67	64614.00	72669.85	72412.40
K.	Profit & Loss A/c	--	--	2136.95	5931.13	11253.96
	TOTAL	64313.73	69198.54	77038.05	88953.93	95488.78
3.	Capital employed ⁸¹	52421.28	53177.77	58642.30	70196.37	79532.22
4.	Net worth ⁸²	12094.61	11187.42	9044.79	5250.61	(-) 72.22

⁸¹ Capital employed represents the means of aggregate of opening and closing balance of (a) paid up capital (b) reserve and surplus (c) bonds and debentures (d) borrowings (including refinance).

⁸² Net worth represents paid up capital plus reserve and surplus less intangible assets and loss.

Annexure-10

(Referred to in paragraph 2A.5.2)

Working results of the Company

(Rupees in lakh)

Sl. No.	Particulars	1994-95	1995-96	1996-97	1997-98	1998-99
1.	Income	7423.02	7879.70	8997.07	8454.90	7304.03
2.	Expenditure on Salary and others	1096.72	1125.70	1578.25	1864.31	1494.26
3.	Interest on loan	5357.60	6257.48	7262.54	9115.34	9764.67
4.(a)	Profit (+)/Loss (-) before tax	(+ 968.70)	(+ 496.52)	(+ 156.28)	(-) 2524.75	(-) 3954.90
(b)	Provision for Assets classification including Lease Rentals	--	--	2293.17	1269.43	1367.93
(c)	Provision for Bad & Doubtful Debts	38.13	22.87	5.74	--	--
(d)	Special Reserve	289.79	154.11	--	--	--
(e)	Provision for Tax	87.11	32.94	--	--	--
(f)	Profit (+) Loss (-) After tax	(+) 553.67	(+) 286.60	(-) 2142.63	(-) 3794.18	(-) 5322.83
5.	Percentage of Profit after tax to					
(a)	Capital Employed	1.06	0.54	--	--	--
(b)	Net Worth	4.58	2.56	--	--	--
(c)	Equity Capital	5.01	2.59	--	--	--
6.	Percentage of Administrative expenses to Income (salaries and other expenses to interest and other business receipts)	14.77	14.29	17.54	22.05	20.45
7.	Interest as a percentage of income	72.18	79.41	80.72	107.81	133.69

Annexure-11
(Referred to in paragraph 2A.7.1)
Position of non-recovery of dues under Term Loan Scheme

(Rupees in lakh)

Sl. No.	Name of Companies	Month of disbursement	Amount of term loan disbursed	Principal	Interest	Total	Remarks
1.	G.S. Products (P) Ltd.	April 1990 to November 1991	90.00	79.00	77.05	156.05	The Corporation suffered loss of Rs. 1.56 crore due to failure to review the viability of the project, non-invoking the personal guarantees of old promoters, delay in issue of notice under Section 29 of SFC Act and failure to reattach unit after default in payment. (Paragraph 2A.7.1.1)
2.	Hunter Foods	August 1987	90.00	90.00	280.27	370.37	The chances of recovery of overdues of Rs. 3.70 crore were remote due to non-availability of property in the name of promoters except unlisted shares with zero value. (Paragraph 2A.7.1.2)
3.	Renuka Resorts (P) Ltd.	September 1997	300.00	300.00	129.72	439.72	Recovery certificate issued for Rs. 3.99 crore was received back with the remark of DM regarding non-availability of immovable/movable property. In respect of other certificate nothing was intimated. (Paragraph 2A.7.1.3)
4.	Ganga Industries Ltd	March 1991	112.50	112.50	224.47	336.97	The Corporation was put to loss of Rs. 3.37 crore which was mainly due to submission of false inspection reports. (Paragraph 2A.7.1.4)
5.	L.R. Brothers	September 1996	225.00	225.00	186.15	411.15	Due to incorrect assessment regarding viability of the project, partial implementation of production capacity, non-attachment of unit in spite of issue of notices three times and issue of recovery certificate at the wrong address, the chances of recovery are remote. (Paragraph 2A.7.1.5)
6. to 12.	Manu Group of companies (seven companies)	May 1988 to July 1991	568.95	568.95	1613.19	2182.14	The chances of recovery of overdues of Rs. 21.82 crore outstanding against seven units were quite remote. (Paragraph 2A.7.1.6)
13.	Hindustan Teknik	September 1997	200.00	200.00	88.54	288.54	Disbursal of bridge loan against the forged Chartered Accountants certificate and relaxing most of the conditions for pre disbursement of loan including procurement of land, approval of building plan and promoters equity contribution. The Company committed financial irregularities diverting the funds by showing inter corporate deposits (Rs. 410 lakh) and advances for civil works (Rs. 118 lakh) without commencing construction activities.

(Rupees in lakh)

Sl. No.	Name of Companies	Month of disbursement	Amount of term loan disbursed	Principal	Interest	Total	Remarks
14.	Khatauli Machine fabricators (P) Ltd.	March 1990	77.40	77.40	126.78	204.18	Disbursal of loan without fulfilling many conditions of pre-disbursement of loan. Delayed action for attachment of defaulting unit facilitated the removal of assets from the site.
15.	Kashipur Edible Oils Ltd.	July 1989	39.55	39.55	534.38	573.93	Disbursement of loan without ensuring viability of project and the promoters capability. Further, Management's injudicious decision for non-disposal of assets at Rs. 60 lakh in June 1991 and later at meagre value of Rs. 26.10 lakh in December 1998 led to non recovery of dues.
16.	M.M. Polytex Ltd.	November 1987	88.00	49.52	489.34	538.86	Failure in assessing viability of project. Disbursement of loan without ensuring status of project and adequacy of the personal assets in the name of guarantors.
17.	Mohan Agro Rice Mill	October 1991	77.50	77.50	227.96	305.46	Disbursement of loan without ensuring the availability of assets in the name of guarantors as mentioned in affidavits. Delayed action for attachment of unit and disposal of assets lead to removal of assets from the site.
18.	Wimpy Foods (I) Pvt. Ltd.	March 1984	54.00	40.65	582.09	622.74	Disbursal of loan to the Company promoted by NRIs who did not set up the unit at the plot mortgaged to the Corporation and without obtaining details of movable/immovable properties of NRIs promoters directors who have now left the country.
19.	Lari Hotels (P) Ltd.	June 1988 and December 1990	150.00	150.00	357.00	507.00	Disbursal of loan despite non viability of project and worsening condition of law and order problem and terrorists activity in Tarai region of Nainital district. Acceptance of OTS proposal (eight times) as and when requested during past five years without taking any strict action for recovery of dues. Eighth extension given was at the directive of the State Government.
20.	Fortune Polymers Industries (P) Ltd.	March 1990	90.00	90.00	262.12	352.12	Settlement of dues under OTS for principal without making efforts to recover it from the properties of promoters. The Corporation abandoned entire interest overdues of Rs. 2.62 crore against OTS settlement.
21.	Net Ram Roller & Floor Mills (P) Ltd.	September 1988	50.00	29.40	206.48	235.88	Settlement of dues under OTS without taking any strict action for recovery of dues. Abandoned interest overdues of Rs. 2.07 crore.
	Total					7525.01	

Annexure-12
(Referred to in paragraph 2A.7.2)
Position of non-recovery of dues under short term loan scheme

(Rupees in lakh)

Sl. No.	Name of the unit	Amount disbursed	Principal	Interest and others	Total	Remarks
1.	Anand Agrochem India (P) Ltd.	500.00	500.00	790.27	1290.27	Delayed action of attachment of unit gave sufficient time to the loanee unit to move the Court for obtaining stay order which could lead to loss to the Corporation to the extent of Rs. 12.90 crore. (Paragraph 2A.7.2.1)
2.	Yogi Pharmacy Ltd.	150.00	150.00	115.37	265.37	The chances of recovery of Rs. 2.65 crore had become remote and the Corporation was put to loss to that extent. (Paragraph 2A.7.2.3)
3.	H. Lon Hosiery Ltd.	150.00	150.00	109.64	259.64	The unit has gone in liquidation and no property was available in the name of guarantors available for recovery. (Paragraph 2A.7.2.3)
4.	Lunar Diamond Ltd.	150.00	150.00	58.87	208.87	The Corporation disbursed loan to an ineligible unit against submission of false financial statement and without compliance of conditions of STL. (Paragraph 2A.7.2.4)
5.	ATV Projects India Ltd.	150.00	150.00	193.96	343.96	The Management neither disposed of pledged 700000 shares nor invoked PG of promoters. Management's decision of issuing notice of winding up of the Company on 10 December 1998 after its registration with BIFR on 5 December 1998 at a gap of only five days between two actions seems suspect and favoured the defaulting unit.
6.	Premium International Finance Ltd.	134.22	90.68	137.56	228.24	Disbursal of loan to Finance Company not eligible for STL. Failure to dispose of pledged shares of two companies and non availability of movable/immovable in the name of guarantor resulted in loss.
7.	Digiflex India Ltd.	74.63	69.63	143.39	213.02	Non disposal of pledged shares immediately on default and non invoking of PG of guarantors resulted in loss.
	Total				2809.37	

Annexure-13

(Referred to in paragraph 2A.7.5)

Position of Lease assistance

(Rupees in lakh)

Year	Lease sanction	Disbursement	Cumulative disbursement	Lease rent due			Lease rent recovered	Lease rent outstanding	Percentage of recovery
				Opening balance	Current	Total			
Up to 31.3.1994	2157.46	1867.54	1867.54						
1994-95	NIL	9.88	1877.42	314.88	294.22	609.10	267.63	341.47	43.94
1995-96	784.00	292.24	2169.66	341.47	231.37	572.84	170.23	402.61	29.72
1996-97	175.86	501.21	2670.87	402.61	258.7	661.31	131.99	529.32	19.96
1997-98	575.20	548.32	3219.19	529.32	299.72	829.04	165.87	663.17	20.00
1998-99	NIL	NIL	3219.19	663.17	289.61	952.78	102.37	850.41	10.74

Annexure-14
(Referred to in paragraph 2A.7.6)
Position of investment

Sl. No.	Name of scrip	Quantity (nos.)	Acquisition price (In rupees)	Amount (Rupees in lakh)	Quantity disinvested (nos.)	Price as on 31.3.99 (Rs./ per share)	Total value of shares as on 31.3.99 (Rupees in lakh)
1.	Dewan Steels Ltd.			21.63	full (21.63)		
2.	Sidh Industries Ltd.	200000	Rs. 15 per share	30.00	NIL	Rs. 5.95	Rs. 11.90
3.	Amco Vinyl Ltd.	100000	Rs. 20	20.00	NIL	Rs. 18.00	Rs. 18.00
4.	Paam Pharma Ltd.	40000	Rs. 55	22.00	NIL	Rs. 2.45	0.98
	-Do-	40000	Rs. 65	26.00	NIL	Rs. 2.45	0.98
5.	Mahan foods Ltd.	62500	Rs. 15	9.38	NIL	Rs. 2.75	1.09
6.	Kitply Industries Ltd.	100000	Rs. 50	50.00	NIL	Rs. 10.00	10.60
7.	Rungta irrigation Ltd.	50000	Rs. 60	30.00	NIL	Rs. 15.85	7.63
8.	Tinna overseas Ltd.	28200	Rs. 95	26.79	NIL	Rs. 20.00	5.64
9.	Dewan Industries Ltd.	100000	Rs. 90	90.00	47500 (42.75)	Rs. 2.50	1.84
	-Do-	300000	Rs. 75	225.00	NIL	Rs. 3.50	10.50
10.	IDBI	68600	Rs.130	89.18	NIL	Rs. 29.70	20.37
11.	Raymed labs Ltd.	50000	Rs. 10	5.00	11200 (1.12)	Rs. 6.50	2.52
12.	Kanan Steels Ltd.	297100	Rs. 15	44.57	NIL	Rs. 7.50	22.28
13.	Malvika Steels Ltd.	46600	Rs. 40	18.64	NIL	Rs. 20.00	9.32
14.	Keshlata cancer Hospital Ltd.	63000	Rs. 15	9.45	2300 (0.35)	Rs. 1.10	0.67
15.	Pashupati Fabrics Ltd.	499300	Rs. 10	49.93	NIL	No quotation	49.93
16.	Paras rampuria synthetics Ltd.	99600	Rs. 10 (partly paid)	11.95	NIL	No quotation	NIL
	Total			713.67			174.25

Annexure-15

(Referred to in paragraph 2A.8.2)

Position of non-performing assets

(Rupees in lakh)

Category	1994-95	1995-96	1996-97	1997-98	1998-99
(A) Performing asset					
Standard	30169.31 (64.08)	28520.56 (60.04)	29605.12 (54.23)	30854.85 (50.77)	25595.3 (41.46)
(B) Non-performing assets					
Substandard	5502.59	8108.29	13326.87	16947.47	20183.68
Doubtful (D1)	8530.88	7799.08	6912.03	5670.27	7511.81
Doubtful (D2)	1710.74	2241.44	3917.96	6594.72	7718.10
Loss	1165.30	830.49	826.45	705.87	728.11
Total NPAs	16909.51	18979.30	24983.31	29918.33	36141.70
Total loan assets	47078.82	47499.86	54588.43	60773.18	61737.02
Percentage of NPAs to total loan assets	35.92	39.96	45.77	49.23	58.54

Note: Figures in bracket represent percentage of Standard assets to total loan assets.

Annexure-16

(Referred to in paragraph 2A.8.3)

Position of recovery certificate (RC)

(Rupees in lakh)

Particulars	Number of cases	Principal	Interest	Commitment charges	Interest tax	Total
Recovery Certificate pending as on 1.4.94	29	1191.40	866.66	0.41	7.05	2065.52
Recovery Certificate issued during five years	95	7805.21	7688.88	2.00	201.17	15697.26
Recovery Certificate returned/withdrawn	7	293.77	189.50	-	5.27	488.54
Recovery made/written off	-	-	2.06	-	0.06	2.12
Recovery Certificates pending for recovery as on 31st March 1999	117	8702.84	8363.98	2.41	202.89	17272.12

Annexure-17

(Referred to in paragraph 2A.9)

Position of one time settlement

(Rupees in lakh)

Year	Fully honoured			Partly honoured			OTS cancelled			Amount waived off	
	No. of cases settled	Amount of OTS	Amount paid	No. of cases settled	Amount of OTS	Amount paid	No. of cases settled	Amount of OTS	Amount paid	Penal and compound	Simple interest
Up to 31.3.94	03	93.98	93.98	-	-	-	-	-	-	62.70	-
1994-95	17	840.09	840.09	01	60.40	56.79	-	-	-	447.50	19.50
1995-96	21	916.11	916.11	07	373.69	257.00	04	363.35	20.00	722.16	169.60
1996-97	05	328.93	328.93	02	128.00	88.80	03	286.05	36.00	598.06	252.53
1997-98	07	463.82	463.82	06	466.58	307.18	-	-	-	594.14	115.06
1998-99	04	269.78	269.78	07	614.88	366.79	05	436.39	28.12	720.61	252.71
Total	57	2912.71	2912.71	23	1643.55	1076.56	12	1085.79	84.12	3145.17	809.40

Annexure-18

(Referred to in paragraph 2B.8.3)

Workshop-wise and year-wise details of short recovery of burnt and dirty transformer oil

Year	Varanasi workshop				Allahabad workshop				Kanpur workshop				Bareilly workshop				Grand Total			
	T/F recd. in w/s (Nos.)	Recoverable T/F oil as per 70% norms (litre)	T/F oil recovered litre/ (%age)	Short reco - very litre/ (%age)	T/F recd. in w/s (Nos.)	Recoverable T/F oil as per 70% norms (litre)	T/F oil recovered litre/ (%age)	Short reco - very litre/ (%age)	T/F recd. in w/s (Nos.)	Recoverable T/F oil as per 70% norms (litre)	T/F oil recovered litre/ (%age)	Short reco - very litre/ (%age)	T/F recd. in w/s (Nos.)	Recoverable T/F oil as per 70% norms (litre)	T/F oil recovered litre/ (%age)	Short reco - very litre/ (%age)	T/F recd. in w/s (Nos.)	Recoverable T/F oil as per 70% norms (litre)	T/F oil recovered litre	Short reco - very litre
1995-96	1870	123371	84597 (48)	38774 (22)	N.A.	N.A.	N.A.	N.A.	2296	175647	145301 (58)	30346 (12)	1257	121831	60915 (35)	60916 (35)	5423	420849	290813	130036
1996-97	3140	210748	156555 (52)	54193 (18)	3142	262657	180107 (48)	82550 (22)	3496	258496	176674 (48)	81822 (22)	2000	152999	76499 (35)	76500 (35)	11778	884900	589385	295065
1997-98	4056	260424	193458 (52)	66966 (18)	2769	173869	129160 (52)	44709 (18)	2818	190102	173850 (64)	16252 (06)	1750	108328	51820 (33.5)	56508 (36.5)	11393	732723	548288	184435
1998-99	4179	259227	207318 (56)	51846 (14)	3886	239813	178146 (52)	61667 (18)	2492	166513	134157 (56)	32356 (14)	2133	129741	57267 (31)	72474 (39)	12690	795294	576951	218343
199-2000	5986	406766	337034 (58)	69732 (12)	3264	229763	180528 (55)	49235 (15)	3181	244836	123875 (36)	120961 (34)	2191	152079	57547 (26.5)	94532 (43.5)	14622	1033444	698984	334460
Total	19231	1260536	979025	281511	13061	906102	667941	238161	14283	1035594	753857	281737	9331	664978	304048	360930	55906	3867210	2704871	1162339
Total value of oil short recovered @ Rs. 18 per litre																				20922102

Annexure-19

(Referred to in paragraph 2C4.2)

Statement showing the physical and financial targets and achievement in respect of TT works

(Rupees in crore)

Sl. No.	Name of the works	Targets as per project report		Actual completion		Shortfall(-) /Saving(+) as per project report	Time overrun (In months)
		Month	Amount	Month	Amount		
A	Short term measures						
1.	Construction of 132 KV sub-station Shamsabad and associates lines	August 1996	6.27	August 1996	6.27	--	NIL
2.	Construction of 33/11 KV sub-station Sghah Talkies, Agra						
3.	Capacity enhancement of 4 number 33/11 KV sub-station						
Total (A)			6.27		6.27		
B	Medium term measures						
1.	Construction of 220 KV sub-station at Gokul, Mathura and its associated lines	June 1997	23.83	March 1999	16.60	(+) 7.23	21
2.	Construction of 400 KV sub-station at Agra	June 1997	60.00	November 1998	49.36	(+) 10.64	17
3.	Construction of :	April 1998	7.00	October 1998	5.68	(+) 1.32	6
	(i) 132/33 KV sub-station at Agra Cantt and associated line						
	(ii) 132/33 KV sub-station at Etmadpur and its associated lines	---do---	9.00	October 1998	6.58	(+) 2.42	6

(Rupees in crore)

Sl. No.	Name of the works	Targets as per progress report		Actual completion		Shortfall(-) / Saving (+) as per project report	Time overrun (In months)
		Month	Amount	Month	Amount		
4.	Capacity enhancement of existence 132/33 KV sub-stations: (i) Agra, Foundry Nagar (ii) Hathras (iii) System improvement at Agra 400 KV sub-station by provision of 132 KV system	April 1998	1.35	November 1997	1.29	(+) 0.06	NIL
		---do---	1.35	February 1998	1.33	(+) 0.02	NIL
		--do--	12.73	April 1999	10.51	(+) 2.22	12
5.	Construction of 33/11/0.4 KV sub-station	---do---	8.51	April 1998	7.28	(+) 1.23	NIL
6.	Capacity enhancement of existing 33/11 KV sub-stations	---do---	0.59	April 1998	0.58	(+) 0.01	NIL
7.	Other system improvement works (capacitor banks)	---do---	0.25	December 1998	1.61	(-) 1.36	8
Total (B)			124.61		100.82	(+) 23.79	
C	Long term measures						
1.	Construction of balance transmission and distribution works	April 1999	58.76	In progress	55.33	(+) 3.43	Physical achievement ranged from 60 to 100 per cent.
Total C			58.76		55.33		
Grand total	(A + B + C)		189.64⁸³		162.42	(+) 27.22	

⁸³ Out of Rs. 189.64 crore, Rs. 99.54 crore was proposed to be financed by State Government through central assistance against which only Rs. 90 crore was provided by State Government.

Annexure-20

(Referred to in paragraph 3.4)

Financial position and working results

(a) Financial Position

(Rupees in crore)

Particulars	1994-95	1995-96	1996-97	1997-98	1998-99
A. Capital and Liabilities					
State Government Contribution	244.26	244.76	245.44	246.58	252.32
Central Government Contribution	69.25	69.25	69.25	69.25	69.25
General & other reserves	318.96	329.94	348.21	370.03	378.55
Debentures					
Loans from State Government	4.15	15.45	10.54	17.61	11.71
Loans from IDBI	79.11	87.70	68.36	35.18	35.01
Loans from the other banks	4.97	--	--	--	--
Loans from LIC	39.11	44.27	44.27	44.27	44.27
Public deposits	3.64	4.54	5.06	6.41	7.18
Others	--	0.20	0.20	0.11	0.11
Current liabilities (including short term borrowings and provisions)	126.98	166.99	244.52	339.96	390.45
Total	890.43	963.10	1035.85	1129.40	1188.85
B. Assets					
(a) Fixed assets (net after depreciation)					
Land	6.00	5.86	5.81	6.21	6.23
Building	29.85	32.62	33.78	34.06	35.34
Passengers buses & chassis	438.50	450.83	461.11	506.93	506.59
Tool and equipment	4.76	5.07	5.27	5.28	5.31
Plant & machinery	0.91	0.91	1.03	1.05	1.14
Furniture & others	3.42	3.66	3.76	3.80	3.86
Total (a)	483.44	498.95	510.76	557.33	558.47
(b) Work-in-progress	4.88	3.30	2.58	2.82	2.59
(c) Investments	0.80	1.30	0.74	0.88	0.88
(d) Current assets					
Stores	16.26	15.49	17.94	17.45	16.21
Sundry debtors	15.71	17.21	9.13	13.92	16.11
Advances & deposits	10.56	13.05	11.22	12.56	18.78
Cash	9.16	16.39	37.18	33.66	64.60
Others including deficit of inter office adjustment	9.39	9.10	8.97	8.38	6.60
Total (d)	61.08	71.25	84.44	85.97	122.29
Accumulated loss	340.23	388.30	437.33	482.40	504.62
Total Assets	890.43	963.10	1035.85	1129.40	1188.85

(b) Working results

(Rupees in crore)

Particulars	1994-95	1995-96	1996-97	1997-98	1998-99
A Revenue					(Provisional)
(i) Traffic	439.95 (6.93)	484.44 (7.60)	513.84 (8.46)	565.19 (8.62)	638.46 (9.14)
(ii) Non-traffic	16.07 (0.25)	20.75 (0.25)	26.78 (0.44)	34.56 (0.53)	27.16 (0.39)
Total Revenue (i) & (ii)	456.02 (7.18)	505.19 (7.92)	540.62 (8.90)	599.75 (9.14)	665.62 (9.53)
B Expenditure					
1. Personnel costs					
(a) Drivers & Conductors	97.28 (1.53)	109.63 (1.72)	121.02 (1.99)	127.25 (1.94)	135.95 (2.07)

(Rupees in crore)

	1994-95	1995-96	1996-97	1997-98	1998-99
(b) Others	95.85 (1.51)	104.95 (1.65)	113.93 (1.88)	118.38 (1.80)	125.40 (1.91)
(c) PF/Welfare etc.	28.01 (0.44)	39.55 (0.62)	38.86 (0.64)	43.25 (0.66)	41.75 (0.64)
Total (a) to (c)	221.14 (3.49)	254.13 (3.99)	273.81 (4.51)	288.88 (4.40)	303.10 (4.62)
2. Material costs					
(a) Fuel	104.26 (1.64)	102.46 (1.61)	107.01 (1.76)	129.28 (1.97)	140.80 (2.15)
(b) Lubricants	9.90 (0.16)	8.75 (0.14)	9.41 (0.15)	9.34 (0.14)	9.18 (0.14)
(c) Spare parts	27.24 (0.43)	25.81 (0.40)	31.07 (0.51)	37.45 (0.57)	31.99 (0.49)
(d) Tyre & Tubes	25.23 (0.40)	25.17 (0.39)	32.75 (0.54)	26.43 (0.40)	26.25 (0.40)
(e) Batteries	1.52 (0.02)	1.28 (0.02)	1.95 (0.03)	1.57 (0.02)	1.70 (0.03)
(f) Others ⁸⁴	28.01 (0.44)	37.90 (0.59)	44.33 (0.73)	66.04 (1.01)	82.17 (1.25)
Total (a) to (f)	196.16 (3.10)	201.37 (3.16)	226.52 (3.73)	270.11 (4.12)	292.09 (4.45)
3. Taxes					
(a) Motor Vehicle Tax	5.42 (0.09)	5.28 (0.08)	5.05	5.35	5.35
(b) Others	0.27 (0.004)	0.26 (0.004)	0.21 (0.004)	0.27 (0.004)	0.28 (0.004)
Total (a) & (b)	5.69 (0.094)	5.54 (0.09)	5.26 (0.09)	5.62 (0.09)	5.63 (0.08)
4. Interest	20.17 (0.32)	22.64 (0.36)	23.90 (0.39)	15.16 (0.23)	10.98 (0.16)
5. Misc. & Others	14.95 (0.24)	16.56 (0.26)	14.56 (0.24)	17.79 (0.27)	22.29 (0.32)
6. Depreciation					
(a) on buses	32.66 (0.51)	45.90 (0.72)	43.77 (0.72)	46.27 (0.71)	51.06 (0.73)
(b) on other assets	0.87 (0.01)	0.92 (0.01)	0.94 (0.02)	0.89 (0.01)	0.87 (0.01)
Total (a) & (b)	33.53 (0.56)	46.82 (0.73)	44.71 (0.74)	47.16 (0.72)	51.93 (0.74)
Total expenditure (1 to 6)	491.64 (7.75)	547.06 (8.58)	588.76 (9.69)	644.72 (9.83)	686.02 (9.82)
C Loss ⁸⁵	35.62 (0.56)	41.87 (0.66)	48.14 (0.79)	44.97 (0.69)	20.40 (0.29)

Note: Figures in bracket denote per km expenditure/revenue (in rupees).

⁸⁴ These include minor tools and tackles, tyre retreading material, M.S bars and sheets, uniform and other general items.

⁸⁵ The loss indicates loss before prior period adjustments.

Annexure-21

(Referred to in paragraph 3.5 and 3.5.13)

Performance parameters achieved by Uttar Pradesh State Road Transport Corporation for five years up to 1998-99

Attributes	1994-95	1995-96	1996-97	1997-98	1998-99
Fleet					
<i>Average no. of buses held:</i>					
Own					
Hired	7920	7753	7570	7352	6859
Total	310	488	497	846	982
	8230	8241	8067	8198	7841
<i>Average no. of buses on road:</i>					
Own					
Hired	6891	6552	6432	6432	6177
Total	310	488	497	846	982
	7201	7040	6929	7278	7159
Percentage of on road buses	87	85	85	87	90
Operational efficiency (in lakh)					
<i>Scheduled kms</i>	8685.86	8870.29	8426.19	8565.06	8668.64
<i>Effective kms⁸⁶</i>	6321.68	6356.20	6055.72	6527.70	6950.82
<i>Dead kms</i>	163.00	161.00	152.00	166.00	172.00
<i>Gross kms</i>	6484.68	6517.20	6207.72	6693.70	7122.82
<i>Cancelled kms</i>	2364.18	2514.09	2370.47	2037.36	1717.82
<i>Earned kms of own and hired buses(in lakh):</i>					
Own	6006.47	5858.80	5555.08	5689.70	5879.73
Hired	315.21	497.40	500.64	838.00	1071.09
Other	22.29	21.10	16.64	32.36	36.75
Total	6343.97	6377.30	6072.36	6560.06	6987.57
<i>Vehicle productivity (km/bus/day):</i>					
Own	208	206	201	212	235
Hired	279	278	276	271	299
Total	210	211	206	218	243
<i>No. of passengers carried (in lakh):</i>					
Own	3220.44	3093.18	2732.25	2738.45	3014.13
Hired	265.78	444.89	421.72	618.11	768.07
Total	3486.22	3538.07	3153.97	3356.56	3782.20
<i>Load factor (per cent):</i>					
Own	65	70	68	64	65
Hired	62	62	60	62	63
Total	65	69	67	64	65
<i>Occupancy ratio (per cent)</i>	65	69	67	64	66
<i>Staff productivity (km per employee per day)</i>	31.21	31.87	31.07	34.21	37.87
<i>Bus staff ratio</i>	7.75	7.71	7.52	7.26	7.10
Financial parameters⁸⁷					
<i>Total income (Rs. in crore):</i>					
Own	418.68	450.01	475.31	494.11	542.38
Hired	21.27	34.43	38.53	71.08	96.08
Miscellaneous	16.07	20.75	26.78	34.56	27.16
Total	456.02	505.19	540.62	599.75	665.62
<i>Total expenditure (Rs. in crore):</i>					
Own	474.40	519.83	557.98	586.18	604.35
Hired	17.22	27.23	30.78	58.54	81.67
Total	491.64	547.06	588.76	644.72	686.02

⁸⁶ There is slight difference in the figure of effective kms as shown in the MIS with that of shown in annual activity reports for the years 1994-95 to 1998-99. The Management has been asked to reconcile the figures.

⁸⁷ The financial parameters (taken from accounts) did not tally with the performance reported through MIS. The Nigam assured to reconcile the difference.

<i>Profit/loss (-) (Rs. in crore):</i>					
Own (loss)	-39.67	-49.07	-55.89	-53.52	-34.82
Hired (profit)	4.05	7.20	7.75	12.55	14.42
Total (loss)	-35.62	-41.87	-48.14	-40.97	-20.40
Depreciation (Rs. in crore)	33.53	46.82	44.71	47.16	51.93
Cash profit/loss (-) (Rs. in crore) ⁸⁸	-2.09	4.95	-3.43	6.19	31.53
Income per km (paise)	718	79	890	914	953
Expenditure per km (paise)	778	859	970	983	982
Loss per km (paise)	56	66	79	69	29
Fare per km (in paise)	20.10	20.60	23.80	25.50	26.50
Fuel efficiency					
Diesel average (km per litre)	4.51	4.51	4.53	4.65	4.60
Topping up average (km per litre)	934	911	859	823	871
Safety and maintenance					
Accident (per lakh kms)	0.20	0.21	0.20	0.20	0.22
Breakdown (per ten thousand kms)	0.44	0.48	0.57	0.55	0.45

Annexure-22

(Referred to in paragraph 3.5)

Statement indicating position of Uttar Pradesh State Road Transport Corporation in major performance parameters⁸⁹ from top amongst the 67 STUs (hill, urban or mofussil services considered separately for comparison) of the country during 1998-99.

Attributes	Position from top for the year 1998-99/ performance	Highest performance/ name of the STU	Lowest performance/ name of the STU
No. of fleet as on 31 March	4/6582	18749/Andhra Pradesh SRTC	81/Mizoram ST
Fleet utilisation (<i>per cent</i>)	26/90	99.6 Coimbtore Dn-I, Tamilnadu	8.7/Bihar SRTC
Bus utilisation in km (per bus held per day)	28/235	595.9/State Express Transport Corpn. Ltd.-Tamilnadu Dn-II	16.6/Bihar SRTC
Bus utilisation in km (per bus on road per day)	32/266	704 /State Express Transport Corpn. Ltd.-Tamilnadu Dn-II	85.3/Sikkim Nationalised Transport
Load factor (<i>per cent</i>)	40/65	130.72/Metropolitan Transport Corpn. Ltd.-Chennai Dn.-II	36.78/Sikkim Nationalised Transport
Profit (+)/loss (-) per km (paise)	4/(-)29	+43.90/Bangalore Metropolitan Transport Corpn., Urban	-5673.6/Bihar SRTC
Cost on diesel (paise per km.)	2/2.15	150.5/DTC	1529/Sikkim NT
Bus staff ratio	25/7.10	5.14 /Himachal RTC	67.01/Bihar SRTC
Cost of personnel (paise per km)	12/462	396.6/Tamilnadu STC Ltd., Villupuram Dn.-I	3827.7/Bihar SRTC
Cost on spare parts (paise per km)	25/177	17.2 /Coimbatore Dn-I, Tamilnadu	460/Mizoram ST
Cost on tyre (paise per km)	7/40	23.6/ Kerala SRTC	298.9/Mizoram ST

⁸⁹ Source: CIRT report for 1998-99.

Annexure-23

(Referred to in paragraph 3.5.1)

Statement indicating year-wise and km run-wise buses held by Uttar Pradesh State Road Transport Corporation during five years up to 1998-99

(Number of buses)

Year	Year-wise analysis			kms. run-wise analysis			
	Up to 4 years	4 to 8 years	More than 8 years	Up to 5 lakh	5 to 8 lakh	8 to 10 lakh	More than 10 lakh
1994-95	2338 (30)	3401 (44)	2018 (26)	2925 (38)	4279 (55)	536 (7)	17 (0)
1995-96	2542 (33)	2635 (34)	2553 (33)	2698 (35)	4508 (58)	474 (6)	50 (1)
1996-97	1781 (24)	2592 (35)	3090 (41)	2123 (28)	4413 (59)	833 (12)	94 (1)
1997-98	2147 (31)	2316 (33)	2542 (36)	2234 (32)	3582 (51)	1070 (15)	119 (2)
1998-99	2079 (32)	2338 (36)	2165 (32)	2066 (31)	3112 (48)	1205 (18)	199 (3)

Note: Figures in bracket indicate percentage to total.

Annexure-24

(Referred to in paragraph 3.5.1)

Statement showing the physical targets and achievements

(Number of buses)						
(i)	Targets for replacement	700	800	800	700	800
(ii)	Achievements	731	427	122	800	415
(iii)	Percentage of achievement	104	53	15	114	52

Annexure-25

(Referred to in paragraph 3.5.3)

Statement indicating shortfall in vehicle productivity in respect of Uttar Pradesh State Road Transport Corporation during five years up to 1998-99

Year	Target (km per bus per day)	Achievem ent (km per bus per day)	Shortfall (kms)	Buses held	Total shortfall (kms in lakh)	Loss of contribution (Rs. in crore)
(1)	(2)	(3)	(4)	(5)	(6)	(7)
1994-95	235	210	25	8230	750.99	30.42
1995-96	234	211	23	8241	691.83	33.42
1996-97	234 ⁹⁰	206	28	8067	824.45	42.62
1997-98	232	218	14	8198	418.92	21.70
1998-99	225	243	(18)	7841	(515.15)	(30.39)
				Total	2215.59	97.77

Note: Figure in bracket denotes excess.

⁹⁰ Not fixed, taken as 234 on the basis of previous year.

Annexure-26

(Referred to in paragraph 3.5.3)

Statement indicating comparable position of cancellation of scheduled kms of five STUs (including the Uttar Pradesh State Road Transport Corporation) during three years up to 1998-99⁹¹

Year/Attributes	Name of the STUs				
	Gujarat	Maharashtra	Andhra Pradesh	Kerala	Uttar Pradesh
1996-97					
Bus/staff ratio (crew)	4.84	4.54	5.28	5.64	4.30
Scheduled kms per bus (in lakh)	1.29	1.04	1.16	1.37	1.22
Cancelled kms per bus (kms)	7404	2872	4898	17771	34211
Total cancellations (lakh kms) ⁹²	568.07	440.35	788.85	495.45	2370.47
1997-98					
Bus/staff ratio (crew)	4.90	4.62	5.15	4.92	4.23
Scheduled kms per bus (in lakh)	1.34	1.05	1.17	1.53	1.18
Cancelled kms per bus (kms)	10515	4189	3676	30557	27993
Total cancellations (lakh kms) ⁹²	831.43	624.84	622.75	915.19	2037.36
1998-99					
Bus/staff ratio (crew)	5.63	4.49	4.99	4.85	4.20
Scheduled kms per bus (in lakh)	Not available	1.06	1.18	Not available	1.21
Cancelled kms per bus (kms)	Not available	3752	4609	Not available	23995
Total cancellations (lakh kms) ⁹²	Not available	567.90	820.04	Not available	1717.82

⁹¹ Source: CIRT report for the year 1998-99.

⁹² Figures taken from CIRT report do not tally with those of the Nigam's figures given in Annexure-VII. The Nigam has been asked to reconcile the same.

Annexure-27

(Referred to in paragraph 3.5.3)

Statement indicating factors responsible for cancellation of scheduled kms. in respect of Uttar Pradesh State Road Transport Corporation for a period of five years up to 1998-99

Year	Total cancellation (lakh kms)	Cancellation for want of buses (lakh kms)	Loss of contribution (Rs. in crore)	Cancellation for want of crew (lakh kms)	Loss of contribution (Rs.in crore)	Cancellation due to break downs (Lakh km.)	Cancellation due to other reasons (lakh km.)
1994-95	2318.11	1520.26 (65.58)	61.57	332.47 (14.34)	13.47	182.53 (7.87)	282.85 (12.20)
1995-96	2397.94	1662.67 (69.34)	80.31	309.93 (12.90)	14.94	193.26 (8.06)	232.64 (9.70)
1996-97	2231.96	1559.61 (69.88)	80.63	253.64 (11.36)	13.11	187.77 (8.41)	230.94 (10.34)
1997-98	1790.89	1259.47 (70.33)	65.24	194.17 (10.84)	10.06	181.43(10. 13)	155.82 (8.70)
1998-99	1472.13	963.48 (65.45)	56.85	141.88 (9.64)	8.37	162.48 (11.03)	204.29 (13.88)
		Total	344.60		59.95		

Note: Figures in bracket indicate percentage of cancellation.

Annexure-28

(Referred to in paragraph 3.5.4)

Statement indicating shortfall in load factor and resultant loss of income of Uttar Pradesh State Road Transport Corporation during five years up to 1998-99

Year	Target %	Actual %	Shortfall %	Loss of income (Rs. in crore)
1994-95	70	65	5	33.84
1995-96	70	69	1	7.02
1996-97	70 ⁹³	67	3	23.01
1997-98	72	64	8	70.65
1998-99	70	65	5	49.11
			Total	183.64

⁹³ Not fixed, taken as 70.

Annexure-29

(Referred to in paragraph 3.5.5)

Statement indicating analysis of economically unviable routes of Uttar Pradesh State Road Transport Corporation for 1998-99

Range of load factor (per cent)	No. of services		Average no. of passengers on a bus	Percentage of services		
<i>Not recovering variable cost</i>						
	<i>Own</i>	<i>Hired</i>		<i>Own</i>	<i>Hired</i>	<i>Total</i>
Up to 14	1	0	1-8	-	-	-
15-24	7	2	8-13	-	-	-
25-34	46	1	13-18	-	-	-
Total	54	3		1.51	0.68	1.42
<i>Not recovering total cost</i>						
35-44	179	26	19-24	-	-	-
45-54	671	75	24-29	-	-	-
55-64	1318	104	29-35	-	-	-
65-72	890	124	35-39	-	-	-
Total	3058	329	-	85.66	75.11	84.51
<i>Contributing margin</i>						
73 and above	458	106	39-54	-	-	-
Grand total	3570	438	-	12.83	24.21	14.07

Annexure-30

(Referred to in paragraph 3.5.8)

Statement indicating cost of maintenance in respect of five comparable STUs of the country⁹⁴ (including Uttar Pradesh State Road Transport Corporation) for three years up to 1998-99.

Year/Attributes	Name of the STUs				
	Gujarat	Maharashtra	Andhra Pradesh	Kerla	Uttar Pradesh
1996-97					
No. of fleet at close	8998	16865	17636	3750	7463
Bus/maintenance staff ratio	0.90	0.83	1.43	1.90	2.23
Maintenance cost per effective km (Rs.)	1.69	0.65	1.23	2.22	2.99
Maintenance cost per bus p.a. (Rs. in lakh)	2.11	0.65	1.38	2.07	2.59
1997-98					
No. of fleet at close	9249	17073	18389	3783	7005
Bus/ maintenance staff ratio	0.85	0.82	1.37	1.80	2.17
Maintenance cost per effective km (Rs.)	1.83	0.67	1.28	2.30	3.04
Maintenance cost per bus p.a. (Rs. in lakh)	2.31	0.68	1.47	2.24	2.6
1998-99					
No. of fleet at close	9459	17241	18749	3928	6582
Bus/ maintenance staff ratio	0.81	0.80	1.28	1.60	2.18
Maintenance cost per effective km (Rs.)	1.64	0.70	1.27	2.59	2.75
Maintenance cost per bus p.a. (Rs. in lakh)	2.11	0.72	1.45	2.49	2.62

⁹⁴ Source: Obtained from respective Accountants General, except no. of fleet at close, which has been taken from CIRT reports.

Annexure-31

(Referred to in paragraph 3.5.9)

Statement indicating details of performance in respect of fuel efficiency of five comparable STUs (including Uttar Pradesh State Road Transport Corporation) during three years up to 1998-99⁹⁵

Year/Attributes	Name of the STUs				
	Gujarat	Maharashtra	Andhra Pradesh	Kerala	Uttar Pradesh
1996-97					
KMPL achieved for HSD	4.96	4.63	5.02	3.89	4.53
KMPL (engine oil) achieved for topping up	1552	1685	1556	919	859
1997-98					
KMPL achieved for HSD	5.01	4.66	5.05	3.89	4.56
KMPL (engine oil) achieved for topping up	1527	1696	1589	933	823
1998-99					
KMPL achieved for HSD	4.99	4.64	5.05	3.90	4.60
KMPL (engine oil) achieved for topping up	1544	1718	1699	898	870

⁹⁵

Source: CIRT report for the year 1996-97 to 1998-99.

Annexure-32

(Referred to in paragraph 3.5.10)

Statement indicating cause-wise analysis of premature failure of new tyres in respect of four regions of Uttar Pradesh State Road Transport Corporation during 1998-99

Causes of scraping	Allahabad	Agra	Dehradun	Meerut	Total
(i) Non-controllable (accident, mechanical defect or others) cause:	5	4	1	0	10
(ii) Controllable cause:					
Burst due to hit	9	16	35	20	80
Run flat ⁹⁶	57	28	76	60	221
Run to death (non-removal in time)	22	0	33	0	55
Ply worn out, run on wrong wheel alignment, loose wheel bearing etc.	10	0	31	13	54
Worn smooth, burst due to neglect, shoulder separation inside etc.	18	0	1	0	19
Concussion cut, gutter used and run on it	5	2	20	6	33
Side wall burst, scoring by breaker	12	0	0	6	18
Shoulder/tread separation causing weak shoulder	13	0	29	0	42
Side wall scoring, overheating	2	0	6	0	8
Total (ii)	148	46	231	105	530

⁹⁶ Run without air or with low air pressure.

Annexure-33

(Referred to in paragraph 3.6.1.2.1)

Statement indicating the economics of the use of aluminium chequered sheets in place of plywood chequered sheets by Uttar Pradesh State Road Transport Corporation

Sl. No.	Items	Quantity or value
A	Quantity of chequered plywood required per bus	8.5 sheets or 25.3028 sqm
	Average rate of supply (Rs. per sqm)	677.88
	Total cost (25.3028 x 677.88) – Rs. per bus	17152
	Resale value (Rs.)	Nil
	Actual cost (Rs.)	17152
B	Quantity of aluminium sheets required per bus (kg)	215
	Rate per kg (Rs.)	111.61
	Total cost of material (215 x 111.61) – Rs.	23996.15
	Resale value of scrap (80 <i>per cent</i> quantity considered) –172 x Rs. 66.90 per kg being auction price obtained by Central Workshop (Rs)	11506.80
	Actual cost of material for two life spans (Rs.)	12489.35
C	Actual cost per single time span (12489.35÷2) (Rs.)	6245
D	Extra expenditure per bus due to use of chequered plywood (17152 –6245) (Rs.)	10907
	Total extra expenditure (Rs. in crore) (Rs. 10907 x 2015⁹⁷)	2.20

Note: Cost of transmission belting between floors and structures ignored considering them as common in both the alternatives.

⁹⁷ No. of buses floored.

Annexure-34

(Referred to in paragraph 3.6.1.2.4)

Statement indicating loss due to excess consumption of new tyres by Uttar Pradesh Road Transport Corporation during five years up to 1998-99

Items	1994-95	1995-96	1996-97	1997-98	1998-99	Total
(A) Actual consumption (nos.)						
(a) New tyres	31359	32648	32291	33089	31016	160403
(b) Retreaded tyres	44428	42228	51082	44849	57421	240008
(B) Gross total kilometers (in lakh)	6507	6538	6224	6726	7160	33155
(C) Required consumption based on gross kms: (nos.)						
(a) New tyres $(B) \times 2^{98} \div 60000^{99}$	21690	21793	20747	22420	23867	110517
(b) Retreaded tyres $(B) \times 4^{100} \div 34000^{101}$	76653	76918	73224	79129	84235	390159
(E) Excess consumption of new tyres $(A) (a) - (C) (a)$ (nos.)	9669	10855	11544	10669	7149	49886
(F) Excess expenditure on new tyres $(E) \times \text{Rs. } 6000^{102}$ (Rs. in lakh)	580.14	651.30	692.64	640.14	428.94	2993.16
(G) Short consumption of retreaded tyres $(C) (b) - (A) (b)$ (nos.)	32225	34690	22142	34280	26814	150151
(H) Savings in expenditure on retreaded tyres $(G) \times \text{Rs. } 1000^{103}$ (Rs. in lakh)	322.25	346.90	221.42	342.80	268.14	1501.51
(I) Net excess expenditure $(E) - (G)$ (Rs. in lakh)	257.89	304.40	471.22	297.34	160.80	1491.65

98

Number of tyres used as front wheel in a bus.

99

Average life of new tyres as adopted by the Corporation.

100

Number of tyres used as rear wheel in a bus.

101

Average life of retreaded tyres as adopted by the Corporation.

102

Average rate of new tyre.

103

Average rate of retreaded tyre.

Annexure-35

(Referred to in paragraph 4A.19)

Statement showing belated assessment of revenue

Sl. No.	Names of Division	Amount to be assessed (Rs. in lakh)	Nature and period involved	Month of assessment	Amount assessed (Rs. in lakh)
1.	KESA	6.73	Theft of energy (upto August 1998)	December 1999	6.73
2.	EDD-I, Allahabad	9.10	Late payment surcharge (February 1999 to November 1999)	----do----	7.54
3.	EDD-I, Gorakhpur	142.46	Late payment surcharge (March 1997 to July 1999)	August 1999	142.46
4.	EDD-I, Ballia	6.23	Short levy of fuel surcharge (July 1998 to October 1998)	September 1999	6.23
5.	EDD, Khalilabad	9.12	Under charge of initial security from Janta Service Commission (May 1994 to September 1999)	March 2000	2.09
6.	EDD-Khalilabad	18.70	Under charge of demand charges and additional demand charges (March 1996 to September 1999)	March 2000	18.70
7.	EUDD-IV, Agra	10.04	Under billing due to incorrect application of tariff	February 2000	10.04
	Total	202.38			193.79