

Appendix 1.1

Part A: Structure and Form of Government Accounts (Reference: Paragraph 1.1 Page 2)

Structure of Government Accounts: The accounts of the State Government are kept in three parts (i) Consolidated Fund, (ii) Contingency Fund and (iii) Public Account.

Part I: Consolidated Fund

All revenues received by the State Government, all loans raised by issue of treasury bills, internal and external loans and all moneys received by the Government in repayment of loans shall form one consolidated fund entitled 'The Consolidated Fund of State' established under Article 266(1) of the Constitution of India.

Part II: Contingency Fund

Contingency Fund of State established under Article 267(2) of the Constitution is in the nature of an imprest placed at the disposal of the Governor to enable him to make advances to meet urgent unforeseen expenditure, pending authorisation by the Legislature. Approval of the Legislature for such expenditure and for withdrawal of an equivalent amount from the Consolidated Fund is subsequently obtained, whereupon the advances from the Contingency Fund are recouped to the Fund.

Part III: Public Account

Receipts and disbursements in respect of certain transactions such as small savings, provident funds, reserve funds, deposits, suspense, remittances etc which do not form part of the Consolidated Fund, are kept in the Public Account set up under Article 266(2) of the Constitution and are not subject to vote by the State legislature.

PART B
Layout of Finance Accounts
(Reference: Paragraph 1.1 Page 2)

Statement	Lay Out
Statement No.1	Presents the summary of transactions of the State Government –receipts and expenditure, revenue and capital, public debt receipts and disbursements etc in the Consolidated Fund, Contingency Fund and Public Account of the State.
Statement No.2	Contains the summarized statement of capital outlay showing progressive expenditure to the end of 2005-06.
Statement No.3	Gives financial results of irrigation works, their revenue receipts, working expenses and maintenance charges, capital outlay, net profit or loss, etc.
Statement No.4	Indicates the summary of debt position of the State which includes borrowing from intern debt, Government of India, other obligations and servicing of debt.
Statement No. 5	Gives the summary of loans and advances given by the State Government during the year repayments made, recoveries in arrears etc.
Statement No.6	Gives the summary of guarantees given by the Government for repayment of loans etc. raised by the statutory corporations, local bodies and other institutions.
Statement No.7	Gives the summary of cash balances and investments made out of such balances.
Statement No.8	Depicts the summary of balances under Consolidated Fund, Contingency Fund and Public Account as on 31 March 2006
Statement No.9	Shows the revenue and expenditure under different heads for the year 2005-06 as a percentage of total revenue/expenditure
Statement No.10	Indicates the distribution between the charged and voted expenditure incurred during the year.
Statement No.11	Indicates the detailed account of revenue receipts by minor heads.
Statement No.12	Provides accounts of revenue expenditure by minor heads under non-plan and plan separately and capital expenditure by major head wise.
Statement No.13	depicts the detailed capital expenditure incurred during and to the end of 2005-06.
Statement No.14	Shows the details of investment of the State Government in statutory corporations, Government companies, other joint stock companies, co-operative banks and societies etc up to the end of 2005-06.
Statement No.15	Depicts the capital and other expenditure to the end of 2005-06 and the principal sources from which the funds were provided for that expenditure.
Statement No.16	Gives the detailed account of receipts disbursements and balances under heads of account relating to Debt, Contingency Fund and Public Account.
Statement No.17	Presents detailed account of debt and other interest bearing obligations of the Government of Uttar Pradesh.
Statement No.18	Provides the detailed account of loans and advances given by the Government of Uttar Pradesh, the amount of loan repaid during the year, the balance as on 31 March 2006.
Statement No.19	Gives the details of earmarked balances of reserve funds.

Part C
List of Terms Used in the Chapter I and basis of their Calculation
(Reference: Paragraph 1.3 Page 6)

Terms	Basis of calculation
Buoyancy of a parameter	Rate of Growth of the parameter/ GSDP Growth
Buoyancy of a parameter (X) With respect to another parameter (Y)	Rate of Growth of parameter (X)/ Rate of Growth of parameter (Y)
Rate of Growth (ROG)	$[(\text{Current year Amount} / \text{Previous year Amount}) - 1] * 100$
Development Expenditure	Social Services + Economic Services
Average interest paid by the State	$\text{Interest payment} / [(\text{Amount of previous year's Fiscal Liabilities} + \text{Current year's Fiscal Liabilities}) / 2] * 100$
Interest spread	GSDP growth – Weighted Interest Rate
Quantum spread	Debt stock * Interest spread
Interest received as per cent to Loans Outstanding	$\text{Interest Received} [(\text{Opening balance} + \text{Closing balance of Loans and Advances}) / 2] * 100$
Revenue Deficit	Revenue Receipt – Revenue Expenditure
Fiscal Deficit	Revenue Expenditure + Capital Expenditure + Net Loans and Advances – Revenue Receipts – Miscellaneous Capital Receipts
Primary Deficit	Fiscal Deficit – Interest payments
Balance from Current Revenue (BCR)	Revenue Receipts minus all Plan grants and Non-plan Revenue Expenditure excluding expenditure recorded under the major head 2048 – Appropriation for reduction of Avoidance of debt

Appendix 1.2
(Reference: Paragraph 1.1.4; Page 4)
Outcome Indicators of the States' Own Fiscal Correction Path

(Rs. in crore)

Items	2003-04 Actual	2004-05 Pre-Actual	2005-06 B.E.	2005-06	2006-07	2007-08	2008-09	2009-10	2005-10 Total
1	2	3	4	5	6	7	8	9	10
A. STATE REVENUE ACCOUNT									
1. Own Tax Revenue	13601.22	15684.50	18290.58	19780.00	22640.00	25913.52	29660.36	33948.95	131942.83
2. Own Non-Tax Revenue	2282.08	2718.86	2247.19	2247.19	2641.90	2782.47	2931.74	3090.27	13693.57
3. Own Tax + Non-Tax Revenue(1+2)	15883.30	18403.36	20537.77	22027.19	25281.90	28695.99	32592.10	37039.22	145636.40
4. Share in Central Taxes & Duties	13272.97	14724.65	17046.23	18306.23	20167.93	23141.59	26612.77	30671.38	118899.90
5. Plan Grants	1912.47	3721.82	3848.46	3712.91	4046.84	4414.17	4818.22	5262.79	22254.83
6. Non -Plan Grants	569.22	317.12	1235.15	2019.45	3101.49	3232.02	3375.57	3533.47	15262.00
7. Total Central Transfer (4 to 6)	15754.66	18763.59	22129.84	24038.59	27316.26	30787.78	34806.56	39467.54	156416.73
8. Total Revenue (3+7)	31637.96	37166.95	42667.61	46065.78	52598.16	59483.77	67398.66	76506.76	302053.13
9. Plan Expenditure	3275.97	5016.97	7090.44	5641.91	6283.03	6996.64	7791.35	8676.71	35389.64
10. Non -Plan Expenditure	34667.75	39612.59	40980.64	44021.08	47972.97	53599.48	58408.66	64170.77	268172.96
11. Salary Expenditure	12444.82	13679.64	16012.45	15380.20	16303.01	17281.19	18318.06	19417.14	86699.59
12. Pension	2900.10	3591.28	4149.58	4727.53	5021.87	5336.60	5673.30	6033.50	26792.80
13. Interest Payments	10123.60	11865.24	10792.08	10092.00	11299.00	12383.00	13630.00	14888.00	62292.00
14. Subsidies -General	105.89	117.43	129.17	129.17	142.09	156.30	171.93	189.12	788.61
15. Subsidies-Power	935.00	1001.80	1287.00	1251.80	1501.80	1700.00	1700.00	1700.00	7853.60
16. Total Revenue Expenditure (9+10)	37943.72	44629.56	48071.08	49662.99	54256.00	60596.12	66200.01	72847.48	303562.60
17. Salary + Interest+ Pension (11+12+13)	25468.52	29136.16	30954.11	30199.71	32623.88	35000.79	37621.36	40338.64	175784.39
18. As% of Revenue Receipts (17/8)	80.50	78.39	72.55	65.56	62.02	58.84	55.82	52.73	58.20
19. Revenue Surplus/Deficit (8-16)	-6305.76	-7462.60	-5403.47	-3597.21	-1657.84	-1112.35	1198.65	3659.28	-1509.47
20. Outstanding Debts	106407.04	119926.10	129154.91	132311.50	144056.28	155781.36	166610.87	177228.00	775988.01
B. CONSOLIDATED REVENUE ACCOUNT									
1. Power Sector loss/profit net of actual subsidy transfer	1083.00	2108.00	2186.00	2186.00	2152.00	1776.00	1208.00	418.00	7740.00
2. Increase in debtors during the year in power utility accounts [Increase(-)]	1070.00	1180.00	1170.00	1170.00	1031.00	854.00	617.00	309.00	3981.00
3. Interest payments on off budget borrowing and SPV borrowings made by PSU/SPUs outside budget	521.77	517.26	531.12	531.12	594.18	743.00	827.14	862.77	3558.21
4. Total (1 to 3)	2674.77	3805.26	3887.12	3887.12	3777.18	3373.00	2652.14	1589.77	15279.21
5. Consolidated Revenue Deficit (A19+B4)	8980.53	11267.87	9290.59	7484.33	5435.02	4485.35	1453.49	-2069.51	16788.68
C. CONSOLIDATED DEBT									
1. Outstanding Debts & Liabilities	107490.04	122034.10	131340.91	134497.50	146208.28	157557.36	1678183.87	177646.00	783728.01
2. Total Outstanding guarantee of which (a) guarantee on account off budgeted borrowing and SPV borrowing	10354.32	11154.32	11954.32	11954.32	12754.32	13544.32	14354.32	15154.32	67771.60
D. CAPITAL ACCOUNT:									
1. Capital Outlay	3448.52	5652.10	7897.91	9154.47	9769.92	10278.31	11675.73	13905.41	54783.84
2. Disbursement of Loans and Advances	1242.37	681.98	592.71	710.52	714.68	771.85	833.60	900.29	3930.94
3. Recovery of Loans and Advances	220.36	277.63	361.51	1076.80	397.66	437.43	481.17	529.29	2922.35
4. Other Capital Receipts	38540.58	37688.77	24464.98	26492.19	21818.14	21631.10	21277.25	20834.14	112052.82
E. GROSS FISCAL DEFICIT (GFD)	10776.29	13519.06	13532.58	12385.40	11744.78	11725.08	10829.91	10617.13	57301.90
1. GSDP (Rs. Crore) at current prices	217673.14	229413.80	256943.46	256943.46	287776.67	322309.87	360987.06	404305.50	1632322.56
2. Actual/Assumed Nominal Growth Rate (%)	---	---	---	12.00	12.00	12.00	12.00	12.00	---
3. Total Expenditure	42414.25	50686.01	56200.19	58451.18	64342.94	71208.85	78228.17	87123.89	359355.03

Excluding Rs. 12277.40 crore (UPSEB write off amount)

Including salary (Grant-in-aid)for Teachers and Non-teaching staff of the Aided Educational Institutions

Rs. 5871.83 crore of Power Bonds.

Items	2003-04 Actual	2004-05 Pre-Actual	2005-06 B.E.	2005-06	2006-07	2007-08	2008-09	2009-10	2005-10 Total
1	2	3	4	5	6	7	8	9	10
1. Own Tax Revenue as %ge of GSDP	6.25%	6.84%	7.12%	7.70%	7.87%	8.04%	8.22%	8.40%	8.08%
2. Own Non-Tax Revenue as %ge of GSDP	1.05%	1.19%	0.87%	0.87%	0.92%	0.86%	0.81%	0.76%	0.84%
3. Own Tax + Non-Tax Revenue as %ge of GSDP	7.30%	8.02%	7.99%	8.57%	8.79%	8.90%	9.03%	9.16%	8.90%
4. Share in Central Taxes & Duties as %ge of GSDP	6.10%	6.42%	6.63%	7.12%	7.01%	7.18%	7.37%	7.59%	7.28%
5. Plan Grants as %ge of GSDP	0.88%	1.62%	1.50%	1.45%	1.41%	1.37%	1.33%	1.30%	1.36%
6. Non -Plan Grants as %ge of GSDP	0.26%	0.14%	0.48%	0.79%	1.08%	1.00%	0.93%	0.87%	0.93%
7. Total Central Transfer as %ge of GSDP	7.24%	8.18%	8.61%	9.36%	9.49%	9.55%	9.64%	9.76%	9.58%
8. Total Revenue Receipts as %ge of GSDP	14.53%	16.20%	16.61%	17.93%	18.28%	18.46%	18.67%	18.92%	18.50%
9. Plan Expenditure as %ge of GSDP	1.50%	2.19%	2.76%	2.20%	2.18%	2.17%	2.16%	2.15%	2.17%
10. Non -Plan Expenditure as %ge of GSDP	15.93%	17.27%	15.95%	17.13%	16.67%	16.63%	16.18%	15.87%	16.43%
11. Salary Expenditure as %ge of GSDP	5.72%	5.96%	6.23%	5.99%	5.67%	5.36%	5.07%	4.80%	5.21%
12. Pension as %ge of GSDP	1.33%	1.56%	1.61%	1.84%	1.75%	1.66%	1.57%	1.49%	1.64%
13. Interest Payments as %ge of GSDP	4.65%	5.17%	4.20%	3.93%	3.93%	3.84%	3.78%	3.68%	3.82%
14. Subsidies –General as %ge of GSDP	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%
15. Subsidies-Power as %ge of GSDP	0.43%	0.44%	0.50%	0.49%	0.52%	0.53%	0.47%	0.42%	0.48%
16. Total Revenue Expenditure as %ge of GSDP	17.43%	19.45%	18.71%	19.33%	18.85%	18.80%	18.34%	18.02%	18.60%
17. Salary + Interest+ Pension as %ge of GSDP	11.70%	12.70%	12.05%	11.75%	11.34%	10.86%	10.42%	9.98%	10.77%
18. Revenue Surplus/Deficit as %ge of GSDP	-2.90%	-3.25%	-2.10%	-1.40%	-0.58%	-0.35%	0.33%	0.51%	-0.09%
19. Capital Outlay as %ge of GSDP	1.58%	2.46%	3.07%	3.56%	3.39%	3.19%	3.23%	3.44%	3.36%
20. Disbursement of Loans and Advances as %ge of GSDP	0.58%	0.30%	0.23%	0.28%	0.25%	0.24%	0.23%	0.22%	0.24%
21. Recovery of Loans and Advances as %ge of GSDP	0.10%	0.12%	0.14%	0.42%	0.14%	0.14%	0.13%	0.13%	0.18%
22. Other Capital Receipts as %ge of GSDP	17.71%	16.43%	9.52%	10.31%	7.58%	6.71%	5.89%	5.15%	6.86%
23. GFD as %ge of GSDP	4.95%	5.89%	5.27%	4.82%	4.08%	3.64%	3.00%	2.63%	3.51%
24. Outstanding Public Debt	48.9%	52.3%	50.3%	51.5%	50.1%	48.3%	46.2%	43.8%	%
25. Consolidated Outstanding Debt	49.4%	53.2%	51.1%	52.3%	50.8%	48.9%	46.5%	43.9%	48.0%

Appendix – 1.3
(Reference: Paragraphs: 1.3 and 1.7; page 5 and 19)

Summarised Financial Position of the Government of Uttar Pradesh as on
31 March 2006

(Rupees in crore)

As on 31.3.2005	Liabilities		As on 31-3-2006
64591.37	Internal Debt		74451.96
26317.84	Market Loans bearing interest	28325.44	
43.07	Market loans not bearing interest	42.76	
(-3.57)	Loans from LIC	(-)10.78	
9329.07	Loans from other Institutions	9590.48	
28910.17	Special Securities issued	36534.75	
(-5.21)	Ways and Means Advance from RBI	(-)30.69	
24614.05	Loans and Advances from Central Government		23758.57
519.77	Pre 1984-85 Loans	9.96	
1043.07	Non-Plan Loans	145.39	
22753.26	Loans for State Plan Schemes	23298.91	
1.37	Loans for Central Plan Schemes	1.26	
308.03	Loans for Centrally sponsored Plan Schemes	301.62	
(-)11.45	Ways and Means Advances from Central Government	1.43	
15343.38	Small Savings, provident funds, etc.		17230.42
10750.86	Deposit		14163.38
16101.73	Reserve Funds		19263.46
98.02	Deposit with Reserve Bank		-----
131499.41	Total		148867.79
As on 31 March 2005	Assets		As on 31 March 2006
49509.90	Gross Capital Outlay on Fixed Assets		58221.13
12310.47	Investments in shares of companies Corporation, etc.	13174.81	
37199.43	Capital Outlays	45046.32	
8060.79	Loans and advances		8159.58
3370.51	Loans for Power Projects	3125.89	
4811.95	Other Development Loans	5178.08	
(-)121.67	Loans to Government Servants & Miscellaneous loans	(-)144.39	
891.77	Contingency Fund		776.02
45.20	Reserve Fund Investments		45.20
5.74	Advance		6.63
103.87	Suspense and Miscellaneous Balances		(-)652.47
625.08	Remittance balances		485.32
193.36	Cash		8494.69
0.64	Cash in Treasuries and Local Remittances	1.80	
----	Deposit with Reserve Bank	148.74	
12.37	Departmental Cash Balances	13.77	
0.34	Permanent Advances	0.34	
180.01	Cash Balance Investments	8330.04	
72063.70	Deficit on Government Accounts		73331.69
6992.91	(i) Revenue Deficit of the Current Year	1267.99	
65070.79	(ii) Accumulated deficit	72063.70	
131499.41	Total		148867.79

Appendix -1.4
(Reference: Paragraph 1.3; page 5)
Abstract of Receipts and Disbursements for the year 2005-06
(Rupees in crore)

Receipts			Disbursements				
2004-05	Section A- Revenue	2005-06	2004-05		Non-plan	Plan	Total 2005-06
37617.44	I-Revenue Receipts	45349.15	44610.35	I-Revenue Expenditure	40172.33	6444.81	46617.14
15692.67	Tax-Revenue	18858.10	22761.43	General Services	20875.07	44.61	20919.68
2720.29	Non-Tax Revenue	2930.32	13089.72	Social Services	10878.56	4731.14	15609.70
15055.20	State's Share of Union Taxes	18202.93	7272.46	Education, Sports, Art and Culture	7210.64	1579.25	8789.89
334.90	Non-Plan Grants	2026.50	2037.25	Health and Family Welfare	1806.58	789.03	2595.61
2399.95	Grants for State Plan Scheme	1916.24	564.64	Water supply, Sanitation, Housing and Urban Development	79.79	673.55	753.34
1414.43	Grants for Central and Centrally Sponsored Scheme	1415.06	18.31	Information and Broadcasting	19.55	4.77	24.32
			1370.88	Welfare of Scheduled Caste, Scheduled Tribes and Other Backward Classes	583.45	883.82	1467.27
			115.39	Labour and Labour Welfare	113.07	17.51	130.58
			1686.90	Social Welfare and Nutrition	1039.32	779.21	1818.53
			23.89	Others	26.16	4.00	30.16
			6976.27	Economic Services	6086.78	1669.06	7755.84
			1397.11	Agriculture and Allied Activities	1133.48	346.92	1480.40
			1700.36	Rural Development	1487.79	771.63	2259.42
			36.37	Special Area Programme	----	49.50	49.50
			1580.34	Irrigation and Flood Control	1141.46	249.27	1390.73
			189.44	Industry and Minerals	109.80	180.94	290.74
			1443.89	Energy	1352.95	48.10	1401.05
			517.41	Transport	763.75	0.78	764.53
			5.60	Science, Technology and Environment	2.10	5.50	7.60
			105.75	General Economic Services	95.45	16.42	111.87
			1782.93	Grants-in-aid Contribution	2331.92	----	2331.92
6992.91	II-Revenue Deficit carried over to Section-B	1267.99		II-Revenue surplus carried over to Section-B			
44610.35	Total	46617.14	44610.35	Total			46617.14
	Section -B-Others						
(-)196.25	III-Opening Cash Balance including Permanent Advances and Cash Balance Investment	95.16		III-Opening Overdraft from RBI			
	IV-Miscellaneous Capital Receipts		5653.35	IV-Capital Outlay	539.22	8172.01	8711.23
			255.43	General Services	55.54	258.00	313.54
			496.22	Social Services	16.70	1142.13	1158.83
			106.62	Education, Sport, Art and Culture	----	322.12	322.12
			217.64	Health and Family Welfare	----	471.82	471.82
			127.77	Water supply, Sanitation, Housing and Urban Development	9.74	257.43	267.17
			----	Information and Broadcasting	6.70	2.22	8.92

Audit Report (Civil) for the year ended 31 March 2006

			34.50	Welfare of Scheduled Castes, Scheduled Tribes and Other Backward Classes	----	78.80	78.80
			4.50	Social Welfare and Nutrition	----	5.34	5.34
			5.19	Others	0.26	4.40	4.66
			4901.70	Economic Services	466.98	6771.88	7238.86
			954.33	Agriculture and Allied Activities	25.21	206.34	231.55
			508.64	Rural Development	----	663.19	663.19
			319.19	Special Area Programmes	---	546.30	546.30
			878.66	Irrigation and Flood Control	----	1641.27	1641.27
			1046.18	Energy	----	760.08	760.08
			(-)10.38	Industry and Minerals	145.91	20.27	166.18
			1192.17	Transport	295.86	2891.14	3187.00
			(-)2.54	Science Technology and Environment Services	----	----	----
			15.45	General Economic Services	----	43.29	43.29
2004-05	Receipts		2004-05	Disbursements			2005-06
277.63	V-Recoveries of Loans and Advances	585.05	628.98	V-Loans and Advances Disbursed			683.84
132.07	From Industry and Minerals	25.89	288.34	For Industry and Minerals		112.18	
----	From Power Project	334.53		For Power Projects		89.91	
34.74	From Government Servants	33.12	19.39	To Government Servants		10.40	
110.82	From Others	191.51	321.25	To Others		471.35	
-----	VI-Revenue Surplus brought down	-----	6992.91	VI-Revenue Deficit brought down			1267.99
17463.25	VII-Public Debt Receipts	14257.46	9156.70	VII-Repayment of Public Debt			5252.34
14596.90	Internal Debt other than WMA and Overdrafts	13931.20	2902.57	Internal Debt other than WMA and Overdrafts		4045.12	
25.48*	Net Transactions under WMA (RBI)	-----	-----	Net Transactions under WMA (RBI)		25.48	
2840.87	Loans and Advances from GOI other than WMA	326.26	6254.13	Loans and Advances from GOI		1181.74	
-----	WMA from GOI	-----	-----	WMA (GOI)		-----	
-----	VIII-Appropriation to Contingency Fund	-----	-----	VIII-Appropriation to Contingency Fund			----
75.69	IX-Amount transferred to Contingency Fund	298.52	43.31	IX-Expenditure from Contingency Fund			182.77
45212.58	X-Public Account Receipts	52528.51	40262.49	X-Public Accounts Disbursements			43171.84
3225.60	Small Savings, Provident Funds etc.	3605.37	1415.72	Small Savings, Provident Funds etc.		1645.29	
2710.30	Reserve Funds	3181.42	458.95	Reserve Funds		19.70	
21787.00	Suspense and Miscellaneous	20951.61	20785.91	Suspense and Miscellaneous		20195.09	
5095.29	Remittances	8240.72	5001.06	Remittances		8100.96	
12394.39	Deposits and Advances	16549.39	12600.85	Deposits and Advances		13210.80	
	XI-Closing Overdraft from RBI		95.16	XI-Cash Balance at end			8494.69
			0.18	Cash in Treasuries and Local Remittances		0.68	
			(-)98.20	Deposits with Reserve Bank		148.74	
			12.71	Departmental Cash Balances including Permanent Advances		14.11	
			180.01	Cash Balance Investments		8330.04	
			0.46	Remittance in Transit		1.12	
62832.90	Total	67764.70	62832.90	Total			67764.70

* Net of Receipts of Rs 20251 crore and Disbursements of Rs 20225.52 crore

Appendix -1.5
(Reference: Paragraph 1.3; page 5)

Sources and Application of funds for the year 2005-06

(Rupees in crore)

2004-05	Sources	2005-06
37617.44	Revenue Receipts	45349.15
277.63	Recoveries of Loans and Advances	585.05
8306.55	Increase in Public debt	9005.11
3293.52	- Market loans bearing interest	2007.61
(-)1.15	- Market loans not bearing interest	(-)0.31
(-)7.22	- Loans from LIC	(-)7.22
8409.17	- Loans from other institutions	7885.98
25.48	- Ways and Means Advances (RBI)	(-)25.48
	Loans and Advances from Central Government.	(-)855.48
(-)134.53	- Pre 1984-85 loans	(-)509.81
(-)4245.44	- Non-Plan loans	(-)897.67
965.81	- Loans for State Plan Scheme	545.65
(-)0.11	- Loans for Central Plan Scheme	(-)0.11
1.02	- Loans for Centrally Sponsored Plan Scheme	(-)6.42
0.00	- Ways and Means Advances from GOI	12.88
4950.09	Net receipts from Public Account	9356.68
1809.88	- Increase in Small Savings	1960.08
(-)206.46	- Increase in Deposits & Advances	3338.59
2251.35	- Increase in Reserve Funds	3161.73
1001.09	- Net effect of Suspense and Miscellaneous transactions	756.52
94.23	- Net effect of Remittance transactions	139.76
32.38	Net effect of Contingency Fund transactions	115.75
51184.09	Total	64411.74
2004-05	Application	2005-06
44610.35	Revenue Expenditure	46617.14
628.98	Lending for developing and other purposes	683.84
5653.35	Capital Expenditure	8711.23
--	Net effect of Contingency Fund transactions	-----
291.41	Increase in closing cash balance	8399.53
51184.09	Total	64411.74

1. The abridged accounts in the foregoing statements have to be read with comments and explanations in the Finance Accounts.
2. Government accounts being mainly on cash basis, the deficit on Government account, as shown in Statement-I indicates the position on cash basis, as opposed to accrual basis in commercial accounting. Consequently, items payable or receivable or items like depreciation or variation in stock figures etc. do not figure in accounts.
3. Suspense and miscellaneous balances include cheques issued but not paid, payments made on behalf of the State and other pending settlement etc.

Appendix -1.6
(Reference: Paragraph 1.3 & 1.7; page 5 and 19)

Time Series Data on the Finances of Uttar Pradesh

(Rupees in crore)

	2000-01	2001-02	2002-03	2003-04	2004-05	2005-06
Part A. Receipts						
I. Revenue Receipts	24743	25598	27821	31638	37617	45349
(i) Tax Revenue	10980(44)	10330(40)	12767(46)	13601(43)	15693(42)	18858(42)
Sales Tax/Trade Tax	6118(56)	6163(59)	7124(56)	7684(56)	8888(57)	11285(60)
State Excise	2239(20)	1961(19)	2555(20)	2472(18)	2686(17)	3089(16)
Taxes on vehicles	543(05)	503(5)	619(5)	677(5)	776(5)	965(5)
Stamps and Registration fees	1270(11)	1429(14)	2079(16)	2296(17)	2682(17)	2997(16)
Land Revenue	70(1)	73(1)	64(1)	118(1)	102(1)	109(1)
Other Taxes	740(7)	260(2)	326(2)	354(3)	559(3)	413(2)
(ii) Non- Tax Revenue	1945(8)	1787(7)	1913(7)	2282(7)	2720(7)	2930(6)
(iii) State's share in union taxes	9045(37)	10189(40)	10832(39)	13273(42)	15055(40)	18203(40)
(iv) Grants in aid from GOI	2773(11)	3292(13)	2309(8)	2482(8)	4149(11)	5358(12)
2. Miscellaneous Capital Receipts	---	-----	---	-----	-----	-----
3. Total Revenue and Non Debt Capital Receipts (1+2)	24743	25598	27821	31638	37617	45349
4. Recoveries of Loans and Advances	296	366	219	12498	278	585
5. Public Debt Receipts	9251	11383	12390	22110	17463	14257
Internal Debt (Excluding Ways and Means Advances and Overdrafts)	6734(73)	7936(70)	9282(75)	19029(86)	14597(84)	13931(98)
Net Transactions under Ways and Means Advances and Overdraft	---	690(6)	---	---	25(0)	---
Loans and Advances from Government of India	2517(27)	2757(24)	3108(25)	3081(14)	2841(16)	326(2)
6. Total Receipts in the Consolidated Fund (3+4+5)	34290	37347	40430	66246	55358	60191
7. Contingency Fund Receipts	92	81	---	52	76	299
8. Public Account Receipts	37567	36965	37327	46875	45213	52529
9. Total Receipts of the State (6+7+8)	71949	74393	77757	113173	100647	113019
Part B. Expenditure						
10. Revenue Expenditure	31030(88)	31793(89)	32939(88)	50221(83)	44610(88)	46617(83)
Plan	3686(12)	3561(11)	3575(11)	3276(7)	5098(11)	6445(14)
Non Plan	27344(88)	28232(89)	29364(89)	46945(93)	39512(89)	40172(86)
General Services (incl. Interest payments)	15155(49)	16178(51)	15583(47)	19540(39)	22761(51)	20919(45)
Economic Services	5572(18)	5350(17)	5883(18)	18970(38)	6976(16)	7756(17)
Social Services	9219(30)	9337(29)	10308(31)	10354(21)	13090(29)	15610(33)
Grants in aid and contributions	1084(3)	928(3)	1165(4)	1357(3)	1783(4)	2332(5)
11. Capital Expenditure	3268(9)	3556(10)	3794(10)	9320(15)	5653(11)	8711(16)
Plan	2862(88)	2671(75)	3361(89)	3437(37)	4618(82)	8172(94)
Non Plan	405(12)	885(25)	433(11)	5883(63)	1035(18)	539(6)
General Services	106(3)	128(4)	330(9)	224(2)	255(4)	313(4)
Economic Services	2900(89)	3217(90)	3176(84)	8767(94)	4902(87)	7239(83)
Social Services	261(8)	211(6)	288(7)	329(4)	496(9)	1159(13)
12. Disbursement of Loans and Advances	918(3)	526(1)	804(2)	1242(2)	629(1)	684(1)
13. Total (10+11+12)	35216	35875	37537	60783	50892	56012
14. Repayments of Public Debt	2573	2942	6226	8988	9157	5252
Internal Debt (excluding Ways and Means Advances and Overdrafts)	509(20)	1509(51)	1811(29)	2300(26)	2903(32)	4045(7)
Net Transactions Under Ways and Mean. Advances and Overdraft	534(21)	---	777(12)	---	---	25(0)
Loans and Advances from Government of India	1530(59)	1433(49)	3638(59)	6688(74)	6254(68)	1182(23)
15. Appropriation to Contingency Fund	---	-----	---	---	-----	-----
16. Total Disbursement out of Consolidated Fund (13+14+15)	37789	38817	43763	69771	60049	61264
17. Contingency Fund disbursements	414	10	69	85	43	183
18 Public Account Disbursements	32147	35397	34359	43480	40262	43172
19. Total Disbursement by the State (16+17+18)	70350	74224	78191	113336	100354	104619

Part C. Deficits						
20. Revenue Deficit (1-10)	6287	6195	5118	18583	6993	1268
21. Fiscal Deficit (3+4-13)	10177	9911	9497	16647	12997	10078
22. Primary Deficit (21-23)	2725	1691	2437	6523	1124	980
Part D. Other data						
23. Interest Payments (included in Revenue expenditure)	7452	8220	7060	10124	11873	9098
24. Arrears of Revenue (percentage Tax & Non- Tax Rev. Receipt)	7152(55)	6589(54)	5891(40) ¹	6376(40)	7922(43)	8772(40)
25. Financial Assistance to local bodies etc.	3839	2039	759	2835	3540	4960
26. Ways and Means Advances and Overdrafts (days)	312	179	203	247	256	41
27. Interest on Way and Means & Advance / Overdraft	40	26	33	44	49	5
28. Gross State Domestic Product (GSDP)	173068	181303	197426	217573 ²	235678 ³	259778 ⁴
29. Outstanding Debt (year end)	80331	92982	102485	119240	131401	148868
30. Outstanding Guarantees (year end)	7421	6997	6270	3601	10354	8433
31. Maximum Amount Guaranteed (year end)	16934	11013	10840	10549	22770	15073
32. Number of incomplete projects	47	89	27	24	35	27
33. Capital blocked in incomplete projects	6052	8053	2073	2336	5804	3551
34. Revenue Buoyancy of Own Taxes	1.457	(-)1.731	2.802	0.903	1.848	1.960

¹ Includes Trade Tax and Entertainment Tax only. Figures of other were not available.

² Figure of GSDP for 2003-04 is provisional.

³ Figure of GSDP for 2004-05 is quick.

⁴ Figure of GSDP for 2005-06 is advance.

Appendix -1.7
(Reference: Paragraph 1.6.5; page 18)
Delay in furnishing Utilisation Certificates

(Rs. in lakhs)

Sl. No	Name of Department	Total U.C. Due		For grants outstanding		For Loan outstanding		Total outstanding	
		No. of U.C.	Amount	No. of U.Cs.	Amount	No. of U.Cs.	Amount	No. of U.Cs.	Amount
1.	Director, Technical Education,U.P.Kanpur	25	1414.41	10	327.05	---	---	10	327.05
2.	Director, Sports, Lucknow	5	359.08	02	128.53	---	---	02	128.53
3.	Higher Education	29	5865.29	16	4812.29	---	---	16	4812.29
4.	Non Conventional Energy	21	1995.36	17	1203.10	---	---	17	1203.10
5.	Environment	02	12.82	02	12.82	---	---	02	12.82
6.	SUDA	02	1308.61	01	479.72	---	---	01	479.72
7.	Local Bodies	626	3418.23	134	391.61	---	---	134	391.61
8.	Nagar Vikas Anubhag-1	01	215.00	01	215.00	---	---	01	215.00
9.	Nagar Vikas Anubhag-5	58	7993.52	55	4135.66	01	302.10	56	4437.76
10.	K.G.M.U., Lucknow	18	2240.96	10	1896.78	---	---	10	1896.78
11.	Medical & Health	28	719.95	01	75.00	--	---	01	75.00
12.	Family Welfare	18	162.78	04	26.21	---	---	04	26.21
13.	Minorities Welfare	04	134.25	02	50.00	---	---	02	50.00
14.	Ayurvedic/Unani Services	03	100	01	11.03	---	---	01	11.03
15.	Avas Avam Sahari Anubhag	109	9224.76	109	1906.38	---	---	109	1906.38
16.	Director,Industries, Kanpur	58	13182.59	22	491.22	---	---	22	491.22
17.	Handicraft & Textiles	438	4459.61	242	2465.53	39	49.24	281	2514.77
18.	Co-operative Societies	18	483.36	03	54.32	---	---	03	54.32
19.	Dairy Development	169	1557.54	100	1131.54	---	---	100	1131.54
20.	Commissioner, Sugarcane	6	1300.93	04	126.06	---	---	04	126.06
21.	Commissioner, Sugar	94	1510.11	88	108.97	---	---	88	108.97
22.	Medical Edu. & Training	1	5.00	00	000	---	---	000	000
23.	Science & Technology	4	560.00	00	000			000	000
24.	Bal Vikas & Pushtahar	2	9839.03	00	000	---		000	000
25.	Literacy & Vakalpik Shiksha	3	609.21	00	000			000	000
26.	U.P. Power Corporation	2	1051.92	00	000			000	000
27.	Rajya Vidyut Utpadan Nigam	3	8028.00	00	000			000	000
28.	Udhyan Avam Prasanskaran	8	482.55	00	000			000	000
29.	Ram Ganga Kammand	1	2143.00	00	000			000	000
30.	Chief Conservator of Forest, U.P.	2	135.35	00	000			000	000
31.	Directorate of Agriculture	2	24000.00	00	000			000	000
32.	Commissioner, Gramya Vikas	1	25748.00	00	000			000	N000
33.	Sanjay Ghandhi Ayurvigyan Sansthan	2	4489.78	01	271.99			1	271.99
34.	State Backward Commission	1	75.00	00	000			000	000
35.	Sainik Kalyan Avam Punarvas	65	1004.25	00	000			000	000
36.	U.P.Khadi & Village Industries Board	12	3644.84	00	000			000	000
37.	Animal Husbandry	2	115.00	00	000			000	000
38.	Fisheries	70	400.00	00	000			000	000
39.	Directorate of Tourism	13	218.50	00	000			000	000
40.	Directorate of Cultry	9	183.25	00	000			000	000
	Total	1935	140391.84	825	20320.81	40	351.34	865	20672.15

Appendix -1.8
(Reference: Paragraph 1.6.6; page 19)

Non-Submission of Accounts

Sl. No.	Name of Department	Year for which account had not been furnished.
1.	Education (Kanpur University)	2003-04, 2004-05 & 2005-06
2.	Roadways Corporation, Lucknow	2005-06
3.	K.G.M.U., Lucknow	2004-05, 2005-06
4.	Director of Industries, Kanpur	2005-06
5.	Co-operative Department	2005-06
6.	Fisheries	2005-06
7.	U.P. State Sugar Corporation Ltd.	2005-06
8.	U.P. Power Corporation, Lucknow	2004-05, 2005-06
9.	Energy (Non-conventional Energy Development Agency)	2004-05, 2005-06

Appendix -1.9
(Reference: Paragraph 1.6.7; page 19)
Abstract of Performance of the Autonomous Bodies

Name of Body	Period of entrustment of audit to accounts to C.A.G.	Year for which accounts due	Year up to which accounts submitted	Year up to which audit report issued	Year up to which audit report sent to Government	Reason
Varanasi Jal Sansthan, Varanasi under Section 20(1)	2001-2010	2005-2006	2000-2001	2000-2001	N/A	Audit report is sent to Managing Director, U.P. Jal Nigam, Lucknow and concerned unit
Lucknow Jal Sansthan, Lucknow under Section 20(1)	2001-2010	2005-06	2003-04	2003-04	N/A	----do----
Jhansi Jal Sansthan, Jhansi under section 20(1)	2001-2010	2005-06	2002-03	2002-03	N/A	----do----
Allahabad Jal Sansthan, Allahabad under section 20 (1)	2001-2010	2005-06	1999-00	1999-00	N/A	----do----
Agra Jal Sansthan, Agra under section 20 (1)	2001-2010	2005-06	1997-98	1997-98	N/A	----do----
Kanpur Jal Sansthan, Kanpur under section 20 (1)	2001-2010	2005-06	2000-01	2000-01	N/A	----do----
Chitrakoot Jal Sansthan, Chitrakoot under section 20(1)	2001-2010	2005-06	2001-02	2001-02	N/A	----do----
U.P. Khadi & Gramodhyog Board, Lucknow, under section 19(3)	1999-2005	2005-06	2002-03	2001-02	2001-02	Report for 2002-03 under approval.

Appendix – 1.10
(Reference: Paragraph 1.6.8; page 19)

Department-wise/ year-wise break-up of the cases in which final action was pending at the end of October 2006

(Figures in bracket are Rupees in lakh)

Name of the department	Upto 5 years	5 to 10 years	10 to 15 years	15 to 20 years	20 to 25 years	25 years to more	Total
Agriculture	3 (8.91)	---	---	2 (0.34)	---	---	5 (9.25)
Animal Husbandry	1 (3.20)	2 (0.56)	7 (2.12)	5 (0.66)	---	1 (0.02)	16 (6.56)
Co-operative	---	1 (1.68)	1 (0.17)	1 (0.44)	---	---	3 (2.29)
Education	---	1 (5.00)	---	---	---	---	1 (5.00)
Finance	---	---	---	1 (0.67)	---	---	1 (0.67)
Fisheries	---	---	5 (2.11)	1 (0.10)	2 (1.00)	---	8 (3.21)
Food and Supplies	1 (3.06)	---	2 (4.16)	5 (20.90)	1 (0.66)	---	9 (28.78)
Irrigation	---	1 (1.60)	---	---	---	---	1 (1.60)
Judiciary	---	---	---	1 (0.66)	---	---	1 (0.66)
Land Acquisition	---	---	---	---	---	3 (331.44)	3 (331.44)
Medical & Health	---	2 (1.93)	4 (5.79)	9 (9.98)	3 (1.59)	---	18 (19.29)
Police	---	---	1 (1.21)	---	2 (2.50)	2 (1.04)	5 (4.75)
PAC	---	1 (47.48)	---	1 (0.51)	---	---	2 (47.99)
PWD	4 (20.32)	1 (3.23)	---	---	---	---	5 (23.55)
Revenue	---	---	---	2 (1.40)	1 (0.41)	---	3 (1.81)
Rural Development	---	---	3 (1.65)	4 (0.73)	2 (0.42)	3 (1.04)	12 (3.84)
Social Welfare	---	---	1 (0.25)	---	1 (0.65)	1 (0.05)	3 (0.95)
Technical Education	---	1 (11.59)	---	---	---	---	1 (11.59)
Weight and Measurement	---	---	---	1 (1.01)	---	---	1 (1.01)
Total	9 (35.49)	10 (73.07)	24 (17.46)	33 (37.40)	12 (7.23)	10 (333.59)	98 (504.24)

Appendix-1.11
(Reference: Paragraph 1.7.3; page 20)
Position of arrears in preparation of Pro forma Accounts

Sl. No.	Name of Department	Number of undertakings	Name of undertakings	Year from which accounts are due	Remarks
1	Food and Civil Supplies Department	1	Scheme for public distribution system of food grains	2004-05	Accounts finalised upto 2002-03. Accounts of the year 2003-04 are under audit
2	Animal Husbandry Department	1	Consolidated Accounts of Government Live Stock and Agriculture Farms	-----	Accounts finalised upto 2003-04. Accounts of the year 2004-05 and 2005-06 are under audit
3	Irrigation Department	7	Irrigation Workshop Division, Meerut	-----	Accounts finalised upto 2004-05. Accounts of the year 2005-06 are under audit
			Irrigation Workshop Division, Jhansi	-----	Accounts finalised upto 2004-05. Accounts of the year 2005-06 are under audit
			Irrigation Workshop Division, Bareilly	-----	Accounts finalised upto 2004-05. Accounts of the year 2005-06 are under audit
			Irrigation Workshop Division, Kanpur	-----	Accounts finalised upto 2003-04. Accounts of the year 2004-05 and 2005-06 are under audit
			Irrigation Workshop Division, Allahabad		Accounts finalised upto 2003-04. Accounts of the year 2004-05 and 2005-06 are under audit
			Irrigation Workshop Division, Gorakhpur		Accounts are updated (2004-05). Accounts of the year 2005-06 are under audit. Accounts prepared since 1987-88 without details about opening balances.
			Erection Division, Faizabad	1985-86	Accounts not prepared since inception
4	Samaj Kalyan Department	1	Criminal Tribes Settlement Tailoring Factory (Kanpur)	1980-81	
5	Health Department	1	State Pharmacy of Ayurvedic and Unani medicines	1988-89	
	Total	11			

Appendix-1.12

(Reference: Paragraph 1.7.3; page 20)

Investment made by the Government in departmental commercial undertakings

Sl. No.	Name of Department	Number of undertakings	Name of undertakings	Investments as per last Accounts (Rs. in lakh)	Remarks
1	Food and Civil Supplies Department	1	Scheme for public distribution system of food grains	5269.88	Accounts finalised upto 2002-03. Accounts of the year 2003-04 are under audit
2	Animal Husbandry Department	1	Consolidated Accounts of Government Live Stock and Agriculture Farms	659.76	Accounts finalised upto 2003-04. Accounts of the year 2004-05 and 2005-06 are under audit
3	Irrigation Department	7	Irrigation Workshop Division, Meerut	22.81	Accounts finalised upto 2004-05. Accounts of the year 2005-06 are under audit
			Irrigation Workshop Division, Jhansi	52.94	Accounts finalised upto 2004-05. Accounts of the year 2005-06 are under audit
			Irrigation Workshop Division, Bareilly	148.22	Accounts finalised upto 2004-05. Accounts of the year 2005-06 are under audit
			Irrigation Workshop Division, Kanpur	12.54	Accounts finalised upto 2003-04. Accounts of the year 2004-05 and 2005-06 are under audit
			Irrigation Workshop Division, Allahabad	189.27	Accounts finalised upto 2003-04. Accounts of the year 2004-05 and 2005-06 are under audit
			Irrigation Workshop Division, Gorakhpur	143.98	Accounts are updated (2004-05). Accounts of the year 2005-06 are under audit. Accounts prepared since 1987-88 without details about opening balances.
			Erection Division, Faizabad	00.00	Accounts not prepared since inception
4	Samaj Kalyan Department	1	Criminal Tribes Settlement Tailoring Factory (Kanpur)	3.67	
5	Health Department	1	State Pharmacy of Ayurvedic and Unani medicines	9.16	
	Total	11		6512.23	

Appendix-1.13
(Reference: Paragraph 1.7.3; page 20)

Summarised financial results of Government undertakings

(Rupees in lakh)

Sl. No	Name of Units	Year of the Account (Finalised)	Mean ¹ Capital	Block Asset	Accumulated Depreciation	Profit(+)/ Loss(-)	Interest on Govt. Capital	Total ² return	Percentage of total return to Mean Capital
A. Irrigation Department									
1.	IWD, Meerut	2004-05	247.61	36.03	22.26	4.81	13.62	18.43	7.44
2.	IWD, Jhansi	2004-05	(-)724.09	60.08	34.56	8.75	---	8.75	---
3.	IWD, Bareilly	2004-05	(-)15.80	189.95	68.88	(-)11.37	---	(-)11.37	---
4.	IWD, Kanpur	2003-04	437.82	13.06	11.05	2.84	26.06	28.90	6.10
5.	IWD, Allahabad	2003-04	367.84	171.42	56.99	10.95	20.23	31.18	8.48
6.	IWD, Gorakhpur	2004-05	746.63	283.31	47.86	5.30	41.06	46.36	6.21
	Total		1096.01	753.85	241.60	21.28	100.97	122.25	28.23
B. Animal Husbandry Department									
7.	State Live Stock and Agriculture Farms	2003-04	759.37	135.34	11.03	(-) 247.09	---	---	---
	Total		759.37	135.34	11.03	(-) 247.09	---	---	---
C. Food and Civil Supplies Department									
8.	Scheme for public distribution system of food grains	2002-03	1053.85	1059.08	56.61	2701.89	55.85	2757.74	---
	Total		1053.85	1059.08	56.61	2701.89	55.85	2757.74	---
D. Health Department									
9.	State Pharmacy of Ayurvedic and Unani medicines	1987-88	72.11	22.96	7.59	(-) 8.46	3.83	(-) 4.63	---
	Total		72.11	22.96	7.59	(-) 8.46	3.83	(-) 4.63	---
E. Samaj Kalyan Department									
10.	Criminal Tribes Settlement Tailoring Factory (Kanpur)	1979-80	4.16	0.26	0.28	0.89	0.21	1.10	26.44
	Total		4.16	0.26	0.28	0.89	0.21	1.10	26.44

Source: State Government.

¹ Mean Capital represents opening capital plus 50 per cent of net drawals after deduction of remittances and adjustments during the year.

² Total return represents profit plus interest on Government Capital.

Appendix - 2.1

(Reference: Paragraph 2.2 & 2.3.2; page 31 and 33)

Details of savings against the provisions under various Grants

(Rupees in crore)

Sl. No.	Grant No.	Name of Grant	Total provision	Expenditure	Saving
A-Revenue Voted					
1.	1	Excise Department	35.99	32.57	3.42
2.	2	Housing Department	155.18	141.32	13.86
3.	3	Industries Department (Small Industries and Export Promotion)	51.98	46.46	5.52
4.	4	Industries Department (Mines and Minerals)	19.25	18.99	0.26
5.	5	Industries Department (Handloom & Village Industry)	44.71	43.24	1.47
6.	6	Industries Department (Handloom Industry)	53.65	33.76	19.89
7.	7	Industries Department (Heavy and Medium Industry)	36.44	28.05	8.39
8.	9	Power Department	1933.17	1883.37	49.80
9.	10	Agriculture and Other Allied Department (Horticulture and Sericulture Development)	71.86	60.25	11.61
10.	11	Agriculture and Other Allied Department (Agriculture)	870.89	827.92	42.97
11.	13	Agriculture and Other Allied Department (Rural Development)	1188.65	1041.66	146.99
12.	14	Agriculture and other Allied department (Panchayati Raj)	1303.58	1293.20	10.38
13.	15	Agriculture and Other Allied Department (Animal Husbandry)	197.35	170.62	26.73
14.	16	Agriculture and Other Allied Department (Dairy development)	15.90	15.41	0.49
15.	17	Agriculture and Other Allied Department (Fisheries)	32.56	28.94	3.62
16.	18	Agriculture and Other Allied Department (Co-operative)	34.91	33.75	1.16
17.	20	Personnel Department (Public service Commission)	1.51	0.90	0.61
18.	21	Food and Civil Supplies Department	137.56	122.31	15.25
19.	22	Sports Department	21.56	18.56	3.00
20.	23	Cane Development Department (Cane)	68.81	65.26	3.55
21.	24	Cane Development Department (Sugar Industry)	119.83	119.36	0.47
22.	25	Home Department (Jails)	139.33	130.20	9.13
23.	26	Home department (Police)	2668.51	2630.93	37.58
24.	27	Home department (Civil Defence)	122.70	120.90	1.80
25.	28	Home department (Political Pension and other Expenditure)	61.88	57.64	4.24
26.	30	Confidential Department (Revenue Special Intelligence Directorate and Other expenditure)	1.34	1.22	0.12
27.	31	Medical Department (Medical Education and Training)	760.32	685.12	75.20
28.	32	Medical Department (Allopathy)	1191.38	1003.78	187.60
29.	33	Medical Department (Ayurvedic and Unani)	175.62	166.83	8.79
30.	34	Medical Department (Homeopathy)	88.88	82.15	6.73
31.	35	Medical Department (Family Welfare)	503.40	441.39	62.01
32.	36	Medical Department (Public Health)	228.13	186.26	41.87

Audit Report (Civil) for the year ended 31 March 2006

33.	37	Urban Development department	513.54	367.43	146.11
34.	38	Civil Aviation Department	7.00	6.97	0.03
35.	39	Language Department	5.75	5.56	0.19
36.	40	Planning Department	58.06	51.35	6.71
37.	41	Election Department	24.70	15.94	8.76
38.	42	Judicial Department	343.95	309.36	34.59
39.	43	Transport Department	36.19	32.70	3.49
40.	44	Tourism Department	10.70	9.62	1.08
41.	45	Environment Department	82.81	2.78	80.03
42.	46	Administrative Reforms Department	1.82	1.25	0.57
43.	47	Technical Education Department	125.94	74.31	51.63
44.	48	Muslim Waqf Department	217.96	185.75	32.21
45.	49	Women and Child Welfare Department	857.25	819.92	37.33
46.	50	Revenue Department (District Administration)	204.17	190.67	13.50
47.	51	Revenue Department (Relief on Account of Natural Calamities)	303.30	77.26	226.04
48.	52	Revenue Department (Board of Revenue and other Expenditure)	671.74	625.58	46.16
49.	54	Public Works Department (Establishment)	576.12	292.28	283.84
50.	59	Public Works Department (Estate Directorate)	55.06	54.58	0.48
51.	60	Forest Department	142.82	140.73	2.09
52.	61	Finance Department (Debt Services and other Expenditure)	3271.54	2341.69	929.85
53.	62	Finance Department (Superannuation Allowances & Pension)	3750.04	2889.09	860.95
54.	63	Finance Department (Treasury & Accounts Administration)	64.85	58.70	6.15
55.	64	Finance Department (State Lottery)	0.81	0.68	0.13
56.	65	Finance Department (Audit, Small Saving etc.)	70.52	65.03	5.49
57.	66	Finance Department (Group Insurance)	0.99	0.92	0.07
58.	67	Legislative Council Secretariat	12.95	11.80	1.15
59.	68	Legislative Assembly Secretariat	37.09	35.93	1.16
60.	70	Science and Technology Department	22.62	17.14	5.48
61.	71	Education Department (Primary Education)	5983.91	5699.03	284.88
62.	73	Education Department (Higher Education)	618.31	612.98	5.33
63.	75	Education Department (State Council of Educational Research and Training)	58.90	51.12	7.78
64.	76	Labour Department (Labour Welfare)	87.66	79.44	8.22
65.	77	Labour Department (Employment)	82.80	79.67	3.13
66.	78	Secretariat Administration Department	174.35	162.17	12.18
67.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	631.83	612.72	19.11
68.	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)	844.71	834.76	9.95
69.	81	Social Welfare Department (Tribal Welfare)	18.01	10.66	7.35
70.	82	Vigilance Department	12.86	12.67	0.19
71.	83	Social Welfare Department (Special Component Plan Scheduled Castes)	1700.48	1150.30	550.18
72.	84	General Administration Department	0.47	0.44	0.03
73.	85	Public Enterprises Department	1.97	1.72	0.25
74.	86	Information Department	30.66	21.37	9.29
75.	87	Soldier's Welfare Department	25.07	21.50	3.57
76.	88	Institutional Finance Department (Directorate)	2.22	1.94	0.28
77.	89	Institutional Finance Department (Trade Tax)	210.06	198.74	11.32

78.	90	Institutional Finance Department (Entertainment and Betting tax)	28.80	28.00	0.80
79.	91	Institutional Finance Department (Stamps and Registration)	62.88	56.72	6.16
80.	92	Culture Department	17.13	16.29	0.84
81.	95	Irrigation Department (Establishment)	599.62	564.50	35.12
82.	96	Irrigation Department (Works)	872.93	583.41	289.52
		Total (A)	35872.75	31017.49	4855.26
Capital Voted					
83.	3	Industries Department (Small Industry and Export Promotion)	6.00	3.20	2.80
84.	4	Industries Department (Mines and Minerals)	0.46	0.45	0.01
85.	7	Industries Department (Heavy and Medium Industries)	131.67	111.32	20.35
86.	9	Power Department	1310.28	1097.70	212.58
87.	10	Agriculture and Other Allied Department (Horticulture and Sericulture Development)	8.19	7.44	0.75
88.	11	Agriculture and Other Allied Department (Agriculture)	144.38	93.18	51.20
89.	13	Agriculture and Other Allied Department (Rural Development)	920.81	894.50	26.31
90.	14	Agriculture and Other Allied Department (Panchayati Raj)	8.47	2.92	5.55
91.	21	Food and Civil Supplies Department	5322.23	4915.01	407.22
92.	22	Sports Department	78.34	77.72	0.62
93.	25	Home Department (Jails)	71.17	51.11	20.06
94.	26	Home Department (Police)	272.11	136.56	135.55
95.	27	Home Department (Civil Defence)	6.00	0.86	5.14
96.	31	Medical Department (Medical Education & Training)	79.91	79.30	0.61
97.	32	Medical Department (Allopathy)	309.76	303.41	6.35
98.	35	Medical Department (Family Welfare)	28.59	27.55	1.04
99.	42	Judicial Department	31.10	14.69	16.41
100.	43	Transport Department	2.95	2.80	0.15
101.	44	Tourism Department	69.82	65.87	3.95
102.	45	Environment Department	80.00	11.30	68.70
103.	47	Technical Education Department	22.57	14.65	7.92
104.	48	Muslim Waqf Department	13.04	11.04	2.00
105.	50	Revenue Department (District Administration)	33.79	32.37	1.42
106.	52	Revenue Department (Board of Revenue and other Expenditure)	0.59	0.56	0.03
107.	53	National Integration Department	0.01	0.00	0.01
108.	59	Public Works Department (Estate Directorate)	28.31	28.17	0.14
109.	60	Forest Department	116.28	114.22	2.06
110.	61	Finance Department (Debt Services and other Expenditure)	131.20	105.23	25.97
111.	62	Finance Department (Superannuation Allowances and Pension)	100.00	38.51	61.49
112.	63	Finance Department (Treasury and Accounts Administration)	12.19	12.05	0.14
113.	67	Legislative Council Secretariat	0.50	0.14	0.36
114.	68	Legislative Assembly Secretariat	0.70	0.30	0.40
115.	71	Education Department (Primary Education)	3.28	1.53	1.75
116.	72	Education Department (Secondary Education)	24.65	24.42	0.23
117.	77	Labour Department (Employment)	3.52	3.29	0.23
118.	78	Secretariat Administration Department	0.27	0.25	0.02
119.	79	Social Welfare Department (Welfare of the	8.30	3.94	4.36

Audit Report (Civil) for the year ended 31 March 2006

		Handicapped and Backward Classes)			
120.	81	Social Welfare Department (Tribal Welfare)	3.96	0.35	3.61
121.	83	Social Welfare Department (Special Component Plan Scheduled Caste)	503.18	462.57	40.61
122.	87	Soldier's Welfare Department	1.02	1.00	0.02
123.	89	Institutional Finance Department (Trade Tax)	3.47	2.44	1.03
124.	92	Culture Department	12.25	11.86	0.39
125.	95	Irrigation Department (Establishment)	120.00	106.08	13.92
		Total (B)	10025.32	8871.86	1153.46
C. Revenue-Charged					
126.	2	Housing Department	15.76	13.20	2.56
127.	3	Industries Department (Small Industry and Export Promotion)	0.04	0.00	0.04
128.	9	Power Department	23.16	16.26	6.90
129.	10	Agriculture and other Allied Department (Horticulture & Sericulture Development)	0.40	0.36	0.04
130.	13	Agriculture and Other Allied Department (Rural Development)	0.80	0.67	0.13
131.	15	Agriculture and Other Allied Department (Animal Husbandry)	0.05	0.01	0.04
132.	18	Agriculture and Other Allied Department (Co-operative)	6.49	5.74	0.75
133.	20	Personnel Department (Public Service Commission)	12.05	11.31	0.74
134.	21	Food and Civil Supplies Department	190.01	0.00	190.01
135.	22	Sports Department	0.01	0.00	0.01
136.	23	Cane Development Department (Cane)	0.01	0.00	0.01
137.	24	Cane Development Department (Sugar Industry)	0.60	0.00	0.60
138.	25	Home Department (Jails)	0.08	0.00	0.08
139.	26	Home Department (Police)	0.52	0.01	0.51
140.	29	Confidential Department (Governors Secretariat)	3.33	2.98	0.35
141.	32	Medical Department (Allopathy)	0.10	0.03	0.07
142.	36	Medical Department (Public Health)	0.07	0.00	0.07
143.	42	Judicial Department	63.77	59.00	4.77
144.	47	Technical Education Department	0.01	0.00	0.01
145.	48	Muslim Waqf Department	0.02	0.01	0.01
146.	50	Revenue Department (District Administration)	0.04	0.00	0.04
147.	54	Public Works Department (Establishment)	0.04	0.00	0.04
148.	55	Public Works Department (Buildings)	1.66	1.30	0.36
149.	58	Public Works Department (Communication-Roads)	0.05	0.00	0.05
150.	60	Forest Department	0.14	0.00	0.14
151.	61	Finance Department (Debt Services and other expenditure)	13772.80	11590.85	2181.95
152.	62	Finance Department (Superannuation allowances and Pension)	1.01	0.88	0.13
153.	63	Finance Department (Treasury and Accounts Administration)	0.07	0.00	0.07
154.	66	Finance Department (Group Insurance)	108.70	105.66	3.04
155.	67	Legislative Council Secretariat	0.39	0.36	0.03
156.	72	Education Department (Secondary Education)	0.03	0.00	0.03
157.	82	Vigilance Department	1.06	0.94	0.12
158.	89	Institutional Finance Department (Trade Tax)	6.42	0.67	5.75

159.	95	Irrigation Department (Establishment)	0.10	0.00	0.10
Total (C)			14209.79	11810.24	2399.55
D. Capital-Charged					
160.	2	Housing Department	25.32	25.07	0.25
161.	15	Agriculture and Other Allied Department (Animal Husbandry)	0.02	0.00	0.02
162.	21	Food and Civil Supplies Department	1400.01	1400.00	0.01
163.	24	Cane Development (Sugar Industry)	4.89	0.00	4.89
164.	58	Public Works Department (Communication- Roads)	5.50	2.88	2.62
165.	61	Finance Department (Debt Services and other expenditure)	12824.08	6894.82	5929.26
166.	96	Irrigation Department (Works)	4.00	3.90	0.10
Total (D)			14263.82	8326.67	5937.15
Grand Total (A)+(B)+(C)+(D)			74371.68	60026.26	14345.42

Appendix - 2.2

(Reference: Paragraph 2.2 & 2.3.4; page 32 and 34)

Excess Expenditure over Grants/Charged Appropriations

(Rupees in crore)

Sl. No.	Grant No.	Name of Grant/Charged Appropriation	Total Grant/ Appropriation	Expenditure	Excess
A. Revenue-Voted					
1	8	Industries Department (Printing and Stationary)	63.07	63.60	0.53
2	12	Agriculture and Other Allied Department (Land Development and Water Resources)	84.51	103.69	19.18
3	19	Personnel Department (Training and Other Expenditure)	11.04	23.86	12.82
4	53	National Integration Department	0.16	0.64	0.48
5	55	Public Works Department (Buildings)	14.69	14.85	0.16
6	57	Public Works Department (Communication-Bridges)	4.00	5.33	1.33
7	58	Public Works Department (Communication- Roads)	667.65	726.28	58.63
8	72	Education Department (Secondary Education)	2571.95	2606.52	34.57
Total (A)			3417.07	3544.77	127.70
B. Capital Voted					
9	15	Agriculture and Other Allied Department (Animal Husbandry)	33.37	36.59	3.22
10	16	Agriculture and Other Allied Department (Dairy development)	0.00	0.55	0.55
11	18	Agriculture and Other Allied Department (Co-operative)	26.96	27.06	0.10
12	23	Cane Development Department (Cane)	9.75	9.79	0.04
13	24	Cane Development Department (Sugar Industry)	26.00	170.91	144.91
14	33	Medical Department (Ayurvedic and Unani)	4.99	5.55	0.56
15	34	Medical Department (Homeopathy)	5.60	5.66	0.06
16	37	Urban Development Department	258.01	287.15	29.14
17	38	Civil Aviation Department	38.50	38.88	0.38
18	40	Planning Department	826.14	831.85	5.71
19	55	Public Works Department (Buildings)	20.64	218.98	198.34
20	56	Public Works Department (Special Area Programme)	322.50	322.69	0.19
21	57	Public Works Department (Communication-Bridges)	300.19	308.23	8.04
22	58	Public Works Department (Communication- Roads)	2002.28	2504.33	502.05
23	73	Education Department (Higher Education)	147.79	147.85	0.06
24	75	Education Department (State Council of Education Research Training)	7.25	7.98	0.73
25	96	Irrigation Department (Works)	1805.01	1807.85	2.84
Total (B)			5834.98	6731.90	896.92

		C. Revenue Charged			
26	01	Excise Department	0.25	0.31	0.06
27	52	Revenue Department (Board of Revenue and Other Expenditure)	0.68	2.33	1.65
		Total (C)	0.93	2.64	1.71
		D. Capital Charged			
28	52	Revenue Department (Board of Revenue and Other Expenditure)	0.10	0.28	0.18
29	55	Public Works Department (Building)	1.69	1.96	0.27
		Total (D)	1.79	2.24	0.45
		Grand Total	9254.77	10281.55	1026.78

Appendix - 2.3

(Reference: Paragraph 2.3.2; page 33)

Details of savings by more than Rs. 1 crore each and also by more than 10 per cent of total provisions

(Rupees in crore)				
Sl. No.	Grant No.	Description of Grant	Total Provision	Amount of savings (Percentage of total provision in brackets)
Revenue-Voted				
1.	3	Industries Department (Small Industry and Export Promotion)	51.98	5.52 (11)
2.	6	Industries Department (Handloom Industry)	53.65	19.89 (37)
3.	7	Industries Department (Heavy and Medium Industries)	36.44	8.39 (23)
4.	10	Agriculture and Other Allied Department (Horticulture and Sericulture Development)	71.86	11.61 (16)
5.	13	Agriculture and Other Allied Departments (Rural Development)	1188.65	146.99 (12)
6.	15	Agriculture and Other Allied Departments (Animal Husbandry)	197.35	26.73 (14)
7.	17	Agriculture and Other Allied Departments (Fisheries)	32.56	3.62 (11)
8.	21	Food and Civil Supplies Department	137.56	15.25 (11)
9.	22	Sports Department	21.56	3.00 (14)
10.	32	Medical Department (Allopathy)	1191.38	187.60 (16)
11.	35	Medical Department (Family Welfare)	503.40	62.01 (12)
12.	36	Medical Department (Public Health)	228.13	41.87 (18)
13.	37	Urban Development Department	513.54	146.11 (28)
14.	40	Planning Department	58.06	6.71 (12)
15.	41	Election Department	24.70	8.76 (35)
16.	45	Environment Department	82.81	80.03 (97)
17.	47	Technical Education Department	125.94	51.63 (41)
18.	48	Muslim Waqf Department	217.96	32.21 (15)
19.	51	Revenue Department (Relief on account of natural calamities)	303.30	226.04 (75)
20.	54	Public Works Department (Establishment)	576.12	283.84 (49)
21.	61	Finance Department (Debt Services and other expenditure)	3271.54	929.85 (28)
22.	62	Finance Department (Superannuation Allowances and Pension)	3750.04	860.95 (23)
23.	70	Science and Technology Department	22.62	5.48 (24)
24.	75	Education Department (State Council of Educational Research and Training)	58.90	7.78 (13)

25.	81	Social Welfare Department (Tribal Welfare)	18.01	7.35 (41)
26.	83	Social Welfare Department (Special Component Planned Scheduled Castes)	1700.48	550.18 (32)
27.	86	Information Department	30.66	9.29 (30)
28.	87	Soldier's Welfare Department	25.07	3.57 (14)
29.	96	Irrigation Department (Works)	872.93	289.52 (33)
Total			15367.20	4031.78
Capital-Voted				
30.	3	Industries Department (Small Industry and Export Promotion)	6.00	2.80 (47)
31.	7	Industries Department (Heavy and Medium Industry)	131.67	20.35 (15)
32.	9	Power Department	1310.28	212.58 (16)
33.	11	Agriculture and Other Allied Department (Agriculture)	144.38	51.20 (35)
34.	14	Agriculture and Other Allied Department (Panchayati Raj)	8.47	5.55 (66)
35.	25	Home Department (Jails)	71.17	20.06 (28)
36.	26	Home Department (Police)	272.11	135.55 (50)
37.	27	Home Department (Civil Defence)	6.00	5.14 (86)
38.	42	Judicial Department	31.10	16.41 (53)
39.	45	Environment Department	80.00	68.70 (86)
40.	47	Technical Education Department	22.57	7.92 (35)
41.	48	Muslim Waqf Department	13.04	2.00 (15)
42.	61	Finance Department (Debt Services and Other Expenditure)	131.20	25.97 (20)
43.	62	Finance Department (Superannuation Allowances and Pension)	100.00	61.49 (61)
44.	71	Education Department (Primary Education)	3.28	1.75 (53)
45.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	8.30	4.36 (53)
46.	81	Social Welfare Department (Tribal Welfare)	3.96	3.61 (91)
47.	89	Institutional Finance Department (Trade-Tax)	3.47	1.03 (30)
48.	95	Irrigation Department (Establishment)	120.00	13.92 (12)
Total			2467.00	660.39
Revenue-Charged				
49.	2	Housing Department	15.76	2.56 (16)
50.	9	Power Department	23.16	6.90 (30)
51.	21	Food and Civil Supplies Department	190.01	190.01 (100)

Audit Report (Civil) for the year ended 31 March 2006

52.	61	Finance Department (Debt Services and Other Expenditure)	13772.80	2181.95 (16)
53.	89	Institutional Finance Department (Trade-Tax)	6.42	5.75 (90)
		Total	14008.15	2387.17
Capital-Charged				
54.	24	Cane Development (Sugar Industry)	4.89	4.89 (100)
55.	58	Public Works Department (Communication-Roads)	5.50	2.62 (48)
56.	61	Finance Department (Debt Services and Other Expenditure)	12824.08	5929.26 (46)
		Total	12834.47	5936.77
		Grand Total	44676.82	13016.11

Appendix - 2.4
(Reference: Paragraph 2.3.4; page 34)

**Excess expenditure over provision relating to previous years
from 1990-91 to 2004-05**

(Rupees in crore)				
Year	No. of grants/ appropriation	Grant/Appropriation	Amount of excess	Amount for which explanations furnished to PAC
1990-91	55	Revenue-Voted 1,4,10,11,14,15,22,24,26,27,32,33,34,35,36,37,42,43,52, 56,57,63,72,73,74,75,76,77,78,81,82,83,84,93 Capital-Voted 16,42,43,47,59,73,76,79 Unnumbered grant Revenue-Charged 2,6,7,21,42,60,62,65,67 Capital-Charged 7,52,55	2450.89	28.86
1991-92	56	Revenue-Voted 8,22,29,33,34,36,37,41,42,44,56,66,71,72,73,74 75,76,78,81,82,83,84,85,88,90,93,94 Capital-Voted 1,8,10,13,23,27,32,35,47,52,63,73,76,79,86,92 Revenue-Charged 2,7,11,40,55,59,65,67,74,89 Capital-Charged 52,55	1158.06	22.37
1992-93	81	Revenue-Voted 1,8,9,10,11,12,13,21,23,24,25,35,36,37,38,39,41, 42,43,44,47,48,49,50,51,52,56,57,62,64,67,72, 77,81,83,85,87,89,90,91,93 Capital-Voted 3,12,13,15,16,18,19,21,27,35,38,49,55,58,63,73, 77,80,94,95 Revenue-Charged 2,16,24,26,28,37,42,46,52,55,65,66,67,72,78,88,94 Capital-Charged 24,51,52	1815.56	96.01
1993-94	73	Revenue-Voted 10,15,16,17,18,22,23,25,27,28,29,32,33,34,35,36,37,43, 50,52,53,54,55,56,57,58,70,73,81,83,85,89,90,91,93 Capital-Voted 4,12,15,16,17,18,21,28,33,38,40,43,50,55,58,69, 73,80,94 Revenue-Charged 7,20,28,29,37,46,47,52,58,65,78,88 Capital-Charged 18,23,26,42,52,61,95	930.59	207.63
1994-95	58	Revenue-Voted 1,5,9,10,23,25,35,41,44,48,50,51,52,56,57,58,59,62,64,6 7,70,73,78,81,83,85,90,91,93 Capital-Voted 3,8,9,12,13,15,20,22,25,26,42,55,57,58,60,69,72, 77,80,94,95 Revenue-Charged 1,24,29,42,61 Capital-Charged 26,52,58,	2011.01	85.36
1995-96	43	Revenue-Voted 2,9,14,16,36,43,47,48,55,58,62,66,70,75,81,83,84,93,94 Capital-Voted 5,7,13,33,49,50,53,55,58,59,72,94 Revenue-Charged 26,29,42,71,72,73,95 Capital-Charged 2,5,24,26,52	621.43	247.95
1996-97	27	Revenue-Voted 2,35,39,51,56,58,59,62,64,70,83,93,94, Capital-Voted 4,32,40,55,58,60,65,81,94,95 Revenue-Charged 95 Capital-Charged 26,51,52	711.95	345.47
1997-98	16	Revenue-Voted 28,35,56,58,64,66,78,81,90	590.21	203.26

Audit Report (Civil) for the year ended 31 March 2006

		Capital-Voted 11,34,42,55 Capital-Charged 2,52,61		
1998-99	27	Revenue-Voted 1,4,9,10,27,50,51,58,66,78,81,87,89,90 Capital-Voted 21,38,45,55,58,65,80,95 Revenue-Charged 42,66,89 Capital-Charged 52,61	730.56	240.66
1999-2000	21	Revenue -Voted 4,12,14,23,51,66,78,81,90 Capital-Voted 10,21,36,55,63,92 Revenue- Charged 47,73,89 Capital-Charged 52,61,75	8785.95	347.56
2000-2001	17	Revenue -Voted 12,32,59,62,81,90,93,94 Capital-Voted 36,55,59,93 Revenue- Charged 3,48,52,72 Capital-Charged 61	843.87	
2001-02	29	Revenue-Voted 90,94 Capital-Voted 10,21,42,55,73 Revenue-Charged 2,12,13,17,26,27,28,31,33,34,36,52,55,65,72,75,76,77, 80,91 Capital-Charged 21,52	668.62	
2002-03	27	Revenue- Voted 5,27,39,46,51,55,58,62,94 Capital- Voted 10,21,40,55,58,72,77,92 Revenue- Charged 2,10,47,48,49,52,78 Capital- Charged 2,52,61	5532.40	
2003-04	16	Revenue- Voted 12, 39, 91, 94 Capital- Voted 3, 10, 21, 23, 32, 34, 35, 55 Revenue- Charged 52, 55 Capital- Charged 52, 61	3644.99	
2004-05	22	Revenue- Voted 2, 3, 9, 22, 25, 55, 58, 85 Capital- Voted 4, 15, 16, 21, 32, 34, 55, 58, 59, 79 Revenue- Charged 52, 55, 61 Capital- Charged 61	1899.33	
Total			32395.42	1825.13

Appendix - 2.5
(Reference: Paragraph 2.3.6; page 34)
Grants where Supplementary Provisions proved entirely unnecessary

(Rupees in crore)

Sl. No.	Grant No.	Name of Grant	Supplementary provision Obtained	Saving
		Revenue- Voted		
1.	1	Excise Department	2.82	3.42
2.	3	Industries Department (Small Industries and Export Promotion)	3.92	5.52
3.	10	Agriculture and Other Allied Departments (Horticulture and Sericulture Development)	8.46	11.61
4.	21	Food and Civil Supplies Department	9.58	15.25
5.	22	Sports Department	2.33	3.00
6.	28	Home Department (Political Pension and Other Expenditure)	2.23	4.24
7.	30	Confidential Department (Revenue Special Intelligence Directorate and Other Expenditure)	0.07	0.12
8.	36	Medical Department (Public Health)	35.58	41.87
9.	40	Planning Department	4.83	6.71
10.	41	Election Department	0.77	8.76
11.	45	Environment Department	0.53	80.03
12.	47	Technical Education Department	6.01	51.63
13.	48	Muslim Waqf Department	18.64	32.21
14.	49	Women and Child Welfare Department	7.07	37.33
15.	51	Revenue Department (Relief on account of natural calamities)	123.79	226.04
16.	54	Public Works Department (Establishment)	40.40	283.84
17.	61	Finance Department (Debt Services and other Expenditure)	0.09	929.85
18.	62	Finance Department (Superannuation Allowances and Pension)	632.50	860.95
19.	63	Finance Department (Treasury and Accounts Administration)	5.05	6.15
20.	64	Finance Department (State Lottery)	0.06	0.13
21.	70	Science and Technology Department	2.02	5.48
22.	76	Labour Department (Labour Welfare)	7.22	8.22
23.	78	Secretariat Administration Department	11.07	12.18
24.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	5.82	19.11
25.	81	Social Welfare Department (Tribal Welfare)	1.73	7.35
26.	83	Social Welfare Department (Special Component Plan Scheduled Castes)	22.60	550.18
27.	85	Public Enterprises Department	0.14	0.25
28.	86	Information Department	1.79	9.29
29.	87	Soldier's Welfare Department	0.95	3.57
30.	88	Institutional Finance Department (Directorate)	0.19	0.28
31.	90	Institutional Finance Department (Entertainment and Betting Tax)	0.74	0.80
32.	91	Institutional Finance Department (Stamps and Registration)	1.87	6.16
33.	96	Irrigation Department (Works)	7.76	289.52
		Total	968.63	3521.05
		Capital-Voted		
34.	11	Agriculture and Other Allied Department (Agriculture)	41.55	51.20
35.	13	Agriculture and Other Allied Departments (Rural Development)	11.62	26.31
36.	14	Agriculture and other Allied Department (Panchayati Raj)	2.76	5.55
37.	21	Food and Civil Supplies Department	241.59	407.22
38.	26	Home Department (Police)	126.01	135.55
39.	42	Judicial Department	1.00	16.41
40.	67	Legislative Council Secretariat	0.14	0.36
41.	68	Legislative Council Assembly	0.28	0.40
42.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	2.22	4.36
43.	83	Social Welfare Department (Special Component Plan Scheduled Caste)	16.02	40.61
44.	89	Institutional Finance Department (Trade Tax)	0.30	1.03
		Total	443.49	689.00
		Revenue-Charged		
45.	15	Agriculture and Other Allied Department (Animal Husbandry)	0.02	0.04
46.	20	Personnel Department (Public service Commission)	0.05	0.74

Audit Report (Civil) for the year ended 31 March 2006

47.	21	Food and Civil Supplies Department	65.00	190.01
48.	29	Confidential Department (Governors Secretariat)	0.22	0.35
49.	42	Judicial Services	4.32	4.77
50.	62	Finance Department (Superannuation allowances and Pension)	0.01	0.13
		Total	69.62	196.04
		Capital Charged		
51.	15	Agriculture and Other Allied Department (Animal Husbandry)	0.02	0.02
		Total	0.02	0.02
		Grand Total	1481.76	4406.11

Appendix - 2.6

(Reference: Paragraph 2.3.7; page 34)

Inadequate Supplementary Grants to cover excess expenditure

(Rupees in crore)

Sl. No.	Grant No.	Name and number of Grants	Supplementary Provision	Excess Expenditure
(A) Inadequate supplementary grants				
Revenue Voted				
1.	8	Industries Department (Printing and Stationary)	3.47	0.53
2.	12	Agriculture and Other Allied Department (Land Development and Water Resources)	14.52	19.18
3.	19	Personnel Department (Training and Other Expenditure)	0.12	12.82
4.	58	Public Works Department (Communication- Roads)	338.24	58.63
5.	72	Education Department (Secondary Education)	191.19	34.57
Total			547.54	125.73
Capital Voted				
6.	15	Agriculture and Other Allied Department (Animal Husbandry)	3.06	3.22
7.	18	Agriculture and Other Allied Department (Co-operative)	22.65	0.10
8.	23	Cane Development Department (Cane)	3.43	0.04
9.	24	Cane Development Department (Sugar Industry)	26.00	144.91
10.	33	Medical Department (Ayurvedic and Unani)	3.77	0.56
11.	34	Medical Department (Homeopathy)	2.60	0.06
12.	37	Urban Development Department	145.01	29.14
13.	40	Planning Department	316.14	5.71
14.	55	Public Works Department (Buildings)	9.68	198.34
15.	56	Public Works Department (Special Area Programme)	30.00	0.19
16.	57	Public Works Department (Communication-Bridges)	126.33	8.04
17.	58	Public Works Department (Communication- Roads)	435.29	502.05
18.	73	Education Department (Higher Education)	108.79	0.06
19.	75	Education Department (State Council of Education Research Training)	7.25	0.73
20.	96	Irrigation Department (Works)	134.67	2.84
Total			1374.67	895.99
Revenue Charged				
21.	01	Excise Department	0.22	0.06
22.	52	Revenue Department (Board of Revenue and other expenditure)	0.54	1.65
Total			0.76	1.71
Capital Charged				
23.	55	Public Works Department (Buildings)	1.28	0.27

	Total		1.28	0.27
	Grand Total (A)		1924.25	1023.70
(B) Cases where no demand for supplementary grants were anticipated				
	Revenue Voted			
1.	53	National Integration Department	Nil	0.48
2.	55	Public Works Department (Buildings)	Nil	0.16
3.	57	Public Works Department (Communication-Bridges)	Nil	1.33
		Total	Nil	1.97
	Capital Voted			
4.	16	Agriculture and Other Allied Department (Dairy development)	Nil	0.55
5.	38	Civil Aviation Department	Nil	0.38
		Total	Nil	0.93
	Capital Charged			
6.	52	Revenue Department (Board of Revenue and other expenditure)	Nil	0.18
		Total	Nil	0.18
		Grand Total (B)	Nil	3.08

Appendix - 2.7

(Reference: Paragraph 2.3.9; page 35)

Details of savings not surrendered

(Rupees in crore)

Sl. No.	Grant Number	Description of the grant	Savings	Surrendered	Saving not surrendered	
					Total	Partial
(A) Cases of partial surrender						
Revenue – Voted						
1.	11	Agriculture and Other Allied Departments (Agriculture)	42.97	0.75		42.22
2.	22	Sports Department	3.00	1.80		1.20
3.	35	Medical Department (Family Welfare)	62.01	18.17		43.84
4.	37	Urban Development Department	146.11	119.03		27.08
5.	40	Planning Department	6.71	2.89		3.82
6.	48	Muslim Waqf Department	32.21	1.71		30.50
7.	49	Women and Child Welfare Department	37.33	4.31		33.02
8.	50	Revenue Department (District Administration)	13.50	8.02		5.48
9.	52	Revenue Department (Board of Revenue and Other Expenditure)	46.16	25.24		20.92
10.	54	Public Works Department (Establishment)	283.84	2.85		280.99
11.	61	Finance Department (Debt Services and Other Expenditure)	929.85	906.80		23.05
12.	62	Finance Department (Superannuation Allowances And Pensions)	860.95	845.75		15.20
13.	65	Finance Department (Audit, Small Savings etc.)	5.49	0.16		5.33
14.	73	Education Department (Higher Education)	5.33	2.14		3.19
15.	86	Information Department	9.29	1.57		7.72
16.	95	Irrigation Department (Establishment)	35.12	31.05		4.07
Total			2519.87	1972.24		547.63
Capital-Voted						
17.	95	Irrigation Department (Establishment)	13.92	12.12		1.80
Total			13.92	12.12		1.80
Revenue – Charged						
18.	61	Finance Department (Debt Services and Other Expenditure)	2181.95	476.21		1705.74
19.	89	Institutional Finance Department (Trade Tax)	5.75	0.06		5.69
Total			2187.70	476.27		1711.43
Capital-Charged						
20.	58	Public Works Department (Communication-Roads)	2.62	0.57		2.05
21.	61	Finance Department (Debt Services and Other Expenditure)	5929.26	178.83		5750.43
Total			5931.88	179.40		5752.48
Grand Total			10653.37	2640.03		8013.34
(B) Cases where savings were not surrendered						
Revenue – Voted						
1.	3	Industries Department (Small Industries and Export Promotion)	5.52			5.52
2.	5	Industries Department (Handloom & Village Industry)	1.47			1.47
3.	6	Industries Department (Handloom Industry)	19.89			19.89
4.	7	Industries Department (Heavy and Medium Industry)	8.39			8.39
5.	10	Agriculture and Other Allied Departments (Horticulture And Sericulture Development)	11.61			11.61
6.	13	Agriculture and Other Allied Department (Rural Development)	146.99			146.99
7.	14	Agriculture and other Allied department (Panchayati Raj)	10.38			10.38
8.	15	Agriculture and Other Allied Department (Animal Husbandry)	26.73			26.73
9.	17	Agriculture and Other Allied Department (Fisheries)	3.62			3.62
10.	18	Agriculture and Other Allied Department (Co-operative)	1.16			1.16

Audit Report (Civil) for the year ended 31 March 2006

11.	21	Food and Civil Supplies Department	15.25	15.25
12.	23	Cane Development Department (Cane)	3.55	3.55
13.	26	Home Department (Police)	37.58	37.58
14.	27	Home Department (Civil Defence)	1.80	1.80
15.	28	Home Department (Political Pension and Other Expenditure)	4.24	4.24
16.	31	Medical Department (Medical Education and Training)	75.20	75.20
17.	32	Medical Department (Allopathy)	187.60	187.60
18.	34	Medical Department (Homeopathy)	6.73	6.73
19.	36	Medical Department (Public Health)	41.87	41.87
20.	41	Election Department	8.76	8.76
21.	44	Tourism Department	1.08	1.08
22.	45	Environment Department	80.03	80.03
23.	47	Technical Education Department	51.63	51.63
24.	51	Revenue Department (Relief on Account of Natural Calamities)	226.04	226.04
25.	60	Forest Department	2.09	2.09
26.	71	Education Department (Primary Education)	284.88	284.88
27.	75	Education Department (State Council of Educational Research and Training)	7.78	7.78
28.	76	Labour Department (Labour Welfare)	8.22	8.22
29.	77	Labour Department (Employment)	3.13	3.13
30.	78	Secretariat Administration Department	12.18	12.18
31.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	19.11	19.11
32.	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Caste)	9.95	9.95
33.	81	Social Welfare Department (Tribal Welfare)	7.35	7.35
34.	83	Social Welfare Department Special Component Plan Scheduled Caste	550.18	550.18
35.	87	Soldier's Welfare Department	3.57	3.57
36.	96	Irrigation Department (Works)	289.52	289.52
Total			2175.08	2175.08
Capital-Voted				
37.	3	Industries Department (Small Industry and Export Promotion)	2.80	2.80
38.	7	Industries Department (Heavy and Medium Industry)	20.35	20.35
39.	11	Agriculture and Other Allied Departments (Agriculture)	51.20	51.20
40.	13	Agriculture and Other Allied Department (Rural Development)	26.31	26.31
41.	14	Agriculture and Other Allied Department (Panchayati Raj)	5.55	5.55
42.	26	Home Department (Police)	135.55	135.55
43.	27	Home Department (Civil Defence)	5.14	5.14
44.	32	Medical Department (Allopathy)	6.35	6.35
45.	44	Tourism Department	3.95	3.95
46.	45	Environment Department	68.70	68.70
47.	47	Technical Education Department	7.92	7.92
48.	48	Muslim Waqf Department	2.00	2.00
49.	50	Revenue Department (District Administration)	1.42	1.42
50.	60	Forest Department	2.06	2.06
51.	61	Finance Department (Debt Services and Other Expenditure)	25.97	25.97
52.	62	Finance Department (Superannuation allowances And Pension)	61.49	61.49
53.	71	Education Department (Primary Education)	1.75	1.75
54.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	4.36	4.36
55.	81	Social Welfare Department (Tribal Welfare)	3.61	3.61
56.	83	Social Welfare Department Special Component Plan Scheduled Caste	40.61	40.61
Total			477.09	477.09

		Revenue-Charged			
57.	21	Food and Civil Supplies Department	190.01		190.01
		Total	190.01		190.01
		Capital-Charged			
58.	24	Cane Development Department (Sugar Industry)	4.89		4.89
		Total	4.89		4.89
		Grand Total	2847.07		2847.07

Appendix - 2.8

(Reference: Paragraph 2.3.9; page 35)

Savings surrendered in March 2006

(Rupees in crore)

Sl. No.	Grant No.	Descriptions of the Grants	Amount
Revenue Voted			
1	1	Excise Department	2.83
2	2	Housing Department	24.12
3	9	Power Department	50.69
4	19	Personnel Department (Training and Other Expenditure)	9.54
5	22	Sports Department	1.80
6	25	Home Department (Jails)	8.75
7	33	Medical Department (Ayurvedic and Unani)	8.68
8	35	Medical Department (Family Welfare)	18.17
9	37	Urban Development department	119.03
10	40	Planning Department	2.89
11	42	Judicial Department	36.81
12	43	Transport Department	3.60
13	48	Muslim Waqf Department	1.71
14	49	Women and Child Welfare Department	4.31
15	50	Revenue Department (District Administration)	8.02
16	52	Revenue Department (Board of Revenue and other Expenditure)	25.24
17	54	Public Works Department (Establishment)	2.85
18	58	Public Works Department (Communications- Roads)	4.27
19	61	Finance Department (Debt Service and Other Expenditure)	906.80
20	62	Finance Department (Superannuation Allowances and Pension)	845.75
21	63	Finance Department (Treasury & Accounts Administration)	5.66
22	70	Science and Technology Department	5.78
23	73	Education Department (Higher Education)	2.14
24	86	Information Department	1.57
25	89	Institutional Finance Department (Trade Tax)	11.03
26	91	Institutional Finance Department (Stamps & Registration)	9.79
27	95	Irrigation Department (Establishment)	31.05
Total			2152.88
Capital Voted			
28	9	Power Department	212.58
29	21	Food and Civil Supplies Department	551.70
30	25	Home Department (Jails)	20.06
31	42	Judicial Department	21.62
32	57	Public Works Department (Communication-Bridges)	8.50
33	58	Public Works Department (Communication-Road)	95.39
34	89	Institutional Finance Department Trade-Tax	1.03
35	95	Irrigation Department (Establishment)	12.12
36	96	Irrigation Department (Works)	304.67
Total			1227.67
Revenue Charged			
37	2	Housing Department	2.56
38	9	Power Department	6.90
39	20	Personnel Department (Public service Commission)	1.43
40	42	Judicial Department	5.06
41	61	Finance Department (Debt Services and Other Expenditure)	476.21
42	66	Finance Department (Group Insurance)	3.02
Total			495.18

Capital Charged			
43	61	Finance Department (Debt Services And Other Expenditure)	178.83
Total			178.83
Grand Total			4054.56

Appendix - 2.9
(Reference: Paragraph 2.5; page 36)

Rush of Expenditure

(Rupees in crore)

Sl. No.	Head of Account	Total Provision	Total Expenditure	Expenditure during March	Percentage of expenditure during the month of March to total expenditure
1	2058-Stationary and Printing	59.76	58.71	23.61	40
2	2217-Urban Development	336.07	110.57	71.37	65
3	2505- Rural Employment	689.29	403.67	159.65	40
4	2575-Other Special Area Programme	63.00	49.50	21.00	42
5	2810-Non-Conventional Sources of Energy	19.03	11.19	8.00	71
6	2852-Industries	133.76	133.97	110.59	83
7	2853- Non-Ferrous Mining and Metallurgical Industries	13.56	18.99	8.58	45
8	3452-Tourism	10.28	9.62	3.99	41
9	4055- Capital Outlay on Police	272.08	133.23	118.66	89
10	4058- Capital Outlay on Stationary and Printing	6.58	6.58	6.58	100
11	4070- Capital Outlay on Other Administrative Services	82.70	51.67	43.71	85
12	4202- Capital Outlay on Education, Sports, Art and Culture	339.35	305.23	134.73	44
13	4210- Capital Outlay on Medical and Public Health	497.29	466.20	185.83	40
14	4215- Capital Outlay on Water supply and sanitation	165.77	232.06	111.15	48
15	4220-Capital Outlay on Information and Publicity	9.15	8.92	8.88	100
16	4225- Capital Outlay on Welfare of Scheduled Castes, Schedule Tribes and Other Backward Classes	87.71	78.80	73.27	93
17	4235- Capital Outlay on Social Security and Welfare	5.51	5.34	3.71	69
18	4250- Capital Outlay on Other Social Services	4.90	4.65	2.52	54
19	4401-Capital Outlay on Crop Husbandry	3.84	17.08	13.69	80
20	4403- Capital Outlay on Animal Husbandry	33.42	32.14	12.97	40
21	4404-Capital Outlay on Dairy Development	Nil	0.10	0.08	80
22	4415- Capital Outlay on Agricultural Research and Education	12.75	12.75	5.07	40
23	4425- Capital Outlay on Cooperation	6.94	2.62	2.41	92
24	4711- Capital Outlay on Flood Control Project	363.27	302.50	139.06	46
25	4858- Capital Outlay on Engineering Industries	0.24	0.24	0.24	100
26	4860- Capital Outlay on Consumer Industries	Nil	145.91	145.91	100
27	5053-Capital Outlay on Civil Aviation	38.50	38.49	25.20	65
28	5452-Capital Outlay on Tourism	69.82	42.80	27.62	65
	Total	3324.57	2683.53	1468.08	55

Appendix-3.1.1
(Reference: paragraph 3.1.1, page; 38)

Table showing literacy rate for SC and ST category population

Items	SC	ST
Total Literacy rate	46.3	35.1
District with highest literacy rate	62.3 Ghazibad	61.0 Maharaj ganj
District with lowest literacy rate	24.4 Balrampur	17.9 Shrawasti
District with highest male literacy rate	75.2 Ghazibad	80.0 Maharaj ganj
District with highest female literacy rate	48.1 Kanpur nagar	98.68 Jhansi
District with lowest male literacy rate	35.2 Balrampur	29.05 Shrawasti
District with lowest female literacy rate	11.3 Shrawasti	5.69 Shrawasti

Appendix-3.1.2
(Reference: paragraph 3.1.3, page; 39)

Definitions

1. Gross enrolment ratio (GER) is the percentage of the enrollment in class I-V and VI-VIII and/or I-VIII to the estimated child population in the age groups 6 to below 11 years and 11 to below 14 years and/or 6 to below 14 years respectively.
2. Gross drop-out rate (GDR) represents the percentage of students who drop out from a given grade or cycle or level of education in a given cycle/school year.
3. Gender parity index (GPI) measures progress towards gender equity in education and calculated by dividing girls' GER by boys' GER.

Appendix-3.1.3
(Reference: paragraph 3.1.8.1, page; 42)

Table showing the incorrect reporting of progress

Sl. No.	Name of the districts	(Rs in lakh)		
		Amount refunded	Amount with the institutions	Amount for which UCs awaited from institutions
1	Azamgarh	00	27.42	00
2	Balrampur	00	3.63	93.97
3	Budaun	00	0.68	55.66
4	Fatehpur	8.85	1.05	48.91
5	Hardoi	00	12.55	00
6	Jhansi	15.41	00	00
7	Kanpur Dehat	00	00	165.27
8	Kanpur Nagar	00	10.05	1159.22
9	Kushinagar	00	7.55	361.18
10	Lakhimpur-Kheri	10.25	00	107.01
11	Lucknow	15.85	57.00	00
12	Moradabad	0.77	00	7.91
13	MuzaffarNagar	27.32	00	00
14	Orai (Jalaun)	00	00	394.82
15	Rampur	24.01	6.21	9.23
16	Rae-Bareilly	27.58	00	00
17	Sitapur	00	00	380.42
18	Sultanpur	10.14	00	00
19	Varanasi	8.28	12.01	313.88
	Total	148.46	138.15	3097.48

Appendix-3.1.4
(Reference: paragraph 3.1.110.1, page; 44)

Details of irregularities in supply and issue of books under post matric scheme

Sl. No.	Institution	Subject	Books supplied		Actual Requirement	Excess Supplied		Remarks
			Nos.	Cost (Rs in Lakh)		Nos.	Cost (Rs in Lakh)	
1.	PG College, Jagatpur, Varanasi	Bio-Science	360	18.00	21	339	16.95	Books were not issued to SC students
2.	Shibli National PG College, Azamgarh	LLB	215	10.75	52	163	8.15	Only 9 books worth Rs 3400 were issued to 9 students taking book value as caution money. Caution money was refunded after deducting 20 per cent.
		Bio-Science	47 sets	2.35	Nil	47	2.35	
3.	Dayanand Law College, Kanpur	LLB	100 sets	5.00	--	174 Books	0.90	Only four books at a time were issued taking caution money of Rs 400.
4.	Chandra Sekhar Azad University, Kanpur	Agriculture	130 sets	5.85	--	50 Books	0.18	Only 2 or 3 books at a time for a month were issued and general students were allowed for spot study.
5.	Jai Narain PG College, Lucknow	Bio-Science	761 Books	3.00	Nil	761 Books	3.00	No demand was placed by the college. Books were not related to prevailing syllabi of the subject
6.	State Ayurved College, Lucknow	Medical	41 sets	--	22	19	00	Books were never issued to students but made available for reading in the library only.
7.	BHU, Varanasi	Medical	38 sets	2.85	38	--	00	Books were kept with general books and SC students were issued as per normal procedure like other students.
8.	Mahatma Gandhi Kashi Vidyapeeth, Varanasi	LLB and LLM	138 sets	2.94	138	--	00	Books were kept with general books and SC students were issued as per normal procedure like other students.
9.	Dr B R Ambedkar University, Lucknow	--	--	--	--	--	00	Not aware about the scheme and no student was benefited during 2001-06.
Total							31.53	

Appendix-3.1.5
(Reference: paragraph 3.1.11.1, page;46)

Table showing the details of funds released to SKNN and physical progress

Year	Funds Received		Total fund	Amount released to SKNN	Physical progress	
	GOI	State			Target	Achievement
	(Rs. in crore)				Numbers	
2001-2002	2.62	2.62	5.24	7.01	18	14
2002-2003	1.06	1.06	2.12	8.18	11	9
2003-2004	1.57	1.57	3.14	2.87	6	4
2004-2005	0.00	0.00	0.00	0.00	-	-
2005-2006	3.17	3.17	6.34	6.35	6	-
Total	8.42	8.42	16.84	24.41	41	27

Appendix-3.1.6
(Reference: paragraph 3.1.11.2, page; 48)
Table showing district wise non-functional hostels

Name of District	2001-06		Upto 2001		Total
	Boys	Girls	Boys	Girls	
AGRA	0	1	1	1	3
ALIGARH	0	0	0	1	1
ALLAHABAD	0	0	1	0	1
AMBEDKER NGR	0	0	1	0	1
AZAMGARH	0	0	0	1	1
BAHRAICH	0	1	0	1	2
BALLIA	1	0	2	0	3
BANDA	0	0	1	0	1
BARABANKI	1	0	0	0	1
BAREILLY	0	0	1	1	2
BUDAUN	0	0	1	0	1
BULANDSHAHAR	0	0	0	1	1
CHANDAULI	0	0	1	0	1
CHITTRAKUT	0	0	2	0	2
DEORIA	0	0	0	1	1
FAIZABAD	0	2	1	1	4
FATEHPUR	0	0	1	0	1
GHAZIABAD	0	1	0	1	2
GHAZIPUR	0	0	1	1	2
GONDA	0	0	3	0	3
GORAKHPUR	1	1	1	1	4
HAMIRPUR	0	0	0	1	1
JALAUN	0	0	2	0	2
JAUNPUR	0	1	0	0	1
JP NAGAR	0	0	1	0	1
KANNAUJ	0	0	2	0	2
KANPUR DEH	0	0	0	1	1
Lucknow	0	0	1	0	1
LALITPUR	0	0	0	1	1
MAINPURI	0	0	0	1	1
MIRZAPUR	0	0	0	1	1
MORADABAD	0	0	2	2	4
PRATAPGARH	0	1	0	0	1
RAEBARELI	0	1	0	1	2
RAMPUR	0	1	1	2	4
SANTKABIR NGR	1	0	1	0	2
SHAHJAHANPUR	1	2	0	0	3
SULTANPUR	0	0	0	1	1
UNNAO	0	0	1	1	2
Total	5	12	29	23	69

Appendix-3.1.7

(Reference: paragraph 3.1.12.1, page; 49)

Institution wise details of Pre-Examination Coaching Scheme

Name of Institute	Research and Training Institute, Lucknow	Government PCS (J) Coaching Centre, Allahabad	Adarsh Pre-Examination Training Centre (Women), Lucknow						
Sanctioned	300 seats	50 Seats	150 Seats						
Year	No. of Examinations for which training imparted and results								
	No of Exams	Candidate		No of Exams	Candidate		No of Exams	Candidate	
SC		ST	SC		ST	SC		ST	
2001-02	2	196	20	Nil	NIL	NIL	1	61	NIL
2002-03	3	125	02	Nil	Nil	NIL	1	NIL	NIL
2003-04	4	154	02	1	49	01	1	49	NIL
2004-05	5	193	09	1	45	01	1	68	NIL
2005-06	4	121	08	1	09	01	1	65	NIL
Total		789	41		103	3		243	

Appendix-3.1.8

(Reference: paragraph 3.1.13, page; 49)

Table showing financial position of up gradation of merit

(Rs. In Crore)

Year	Opening balance	Central assistance released	Total	Expenditure(percentage)	Balance	Physical achievement	
						Target*	Achievement (percentage)
(Rs. in crore)							
2001-02	0.10	0.31	0.41	0.36 (88)	0.05	276	179 (65)
2002-03	0.05	0.36	0.41	0.30 (74)	0.11	275	202 (73)
2003-04	0.11	0.34	0.45	0.35 (78)	0.10	303	245 (81)
2004-05	0.10	0.41	0.51	0.35 (69)	0.16	343	276 (80)
2005-06	0.16	0.37	0.53	0.44 (83)	0.09	357	284 (80)
Total		1.79		1.80		1554	1186 (76)

* Target was based on 120 (20 x 6 GICs) for class 9 and total no. of students qualified previous year in 9, 10 and 11 classes.

Appendix-3.1.9

(Reference: paragraph 3.1.14.1, page;50)

Table showing financial position of the GOI scheme of ATs for ST

Year	Allotment	Expenditure	Percentage of Utilisation
2001-02	1.33	1.14	86
2002-03	1.42	1.31	92
2003-04	1.45	1.36	94
2004-05	1.47	1.32	90
2005-06	1.59	1.47	92
	7.26	6.60	91

Appendix-3.1.10
(Reference: paragraph 3.1.18, page; 52)

Table showing Position of GER, GDR and GPI in respect of SC students

Period	Expenditure incurred from Union and State Government on CS and CSS schemes	Expenditure incurred by States/UTs from own resources through separate or overlapping programmes	Total expenditure on education of SCs/STs	Improvement in the educational indicators from 2001-02 to 2005-06									
				Percentage increase/ decrease in the gap in literacy rate	Percentage increase/ decrease in the gap in drop-out rate			Trends in gross enrolment ratio			Trends in gender parity index		
Rs in crore					I-V	I-VIII	I-X	I-V	VI-VIII	I-VIII	I-V	VI-VIII	I-VIII
2001-02	69.57	283.93	353.50	46.27	56.88	72.41	68.96	73.04	38.51	62.14	0.57	0.37	0.53
2002-03	98.50	382.08	480.58		51.02	68.77	67.40	72.50	32.77	58.60	0.65	0.42	0.61
2003-04	88.51	336.28	424.79		49.84	67.96	79.25	69.25	41.53	61.25	0.67	0.41	0.61
2004-05	142.24	339.88	482.12		--	--	--	--	--	--	--	--	--
2005-06	339.62	378.46	718.08		--	--	--	--	--	--	--	--	--
Total	738.44	1720.63	2459.07		--	--	--	--	--	--	--	--	--

Appendix-3.1.11
(Reference: paragraph 3.1.18, page; 52)

Table showing Position of GER, GDR and GPI in respect of ST students

Period	Expenditure incurred from Union and State Governments on CS and CSS schemes	Expenditure incurred by States/UTs from own resources through separate or overlapping programmes	Total expenditure on education of SCs/STs	Improvement in the educational indicators from 2001-02 to 2005-06									
				Percentage increase/ decrease in the gap in literacy rate	Percentage increase/ decrease in the gap in drop-out rate (GDR)			Trends in gross enrolment ratio(GER)			Trends in gender parity index(GPI)		
Rs in crore					I-V	I-VIII	I-X	I-V	VI-VIII	I-VIII	I-V	VI-VIII	I-VIII
2001-02	1.22	0.29	1.51	35.13	28.63	38.08	43.22	74.34	57.12	68.90	0.70	0.52	0.65
2002-03	1.47	0.43	1.90		30.69	36.75	56.36	127.53	104.13	119.67	0.95	0.91	0.94
2003-04	1.49	0.44	1.93		23.11	33.07	52.11	64.67	56.71	62.37	0.71	0.51	0.65
2004-05	2.46	1.57	4.03		--	--	--	--	--	--	--	--	--
2005-06	2.37	1.57	3.94		--	--	--	--	--	--	--	--	--
Total	9.01	4.3	13.31		--	--	--	--	--	--	--	--	--

Appendix-3.2.1

(Reference: paragraph 3.2.6, page 58)

Year-wise statement of number of schools not covered during 2001-06 in the test-checked districts

Backward classes

Year	Total schools/colleges in test checked districts ¹	No. of schools/colleges which received funds	Schools/colleges uncovered (per cent)
2001-02	36544	28752	7792(21)
2002-03	38891	29498	9393(24)
2003-04	41794	32342	9452 (23)
2004-05	47482	39139	8343 (18)
2005-06	50927	34628	16299 (32)

Minorities

Year	Demands from number of schools/colleges /madarasas	No. of schools/colleges/ madarasas which received funds	Schools/colleges/ madarasas uncovered (per cent)
2001-02	15326	13556	1770 (12)
2002-03	20400	16666	3734 (18)
2003-04	21942	17801	4141 (19)
2004-05	22964	20879	2085 (9)
2005-06	19102	15851	3251 (17)

¹ Excluding DBCWO, Sant Ravidas Nagar for 2001-02 to 2003-04.

Appendix-3.2.2

(Reference: paragraph 3.2.11.1, page:64)

Details of excess teachers engaged in Madarsas as of 31 March 2006

Sl. No.	District	Name of Madarsas	Number of Teachers in position	Number of teachers required as per norms	Excess number of teachers engaged
1.	Jhansi	Islamia Oriental Niswan College, Chhaniapura	20	14	6
2.		Islamia Oriental College, Chhaniapura	20	18	2
3.		Cosmopoliton College, Bhtagaon	21	13	8
4.	J. P. Nagar	Darul Uloom Islamia Arabia Chilla, Amroha	11	7	4
5.	Moradabad	Madarsa Arabia Imdadia	20	16	4
6.	Ambedkar Nagar	Madarsa Kanzul-Uloom ,Tanda	17	15	2
7.		Madarsa Darul Uloom Nida-e-Haque, Jalalpur	25	24	1
8.		Madarsa Noorul Islam Iltifatganj	15	14	1
9.	Kushi Nagar	Madarsa Ashrafia Ahle-Sunnat Anwarul-Uloom, Khadda	12	10	2
10.		Madarsa Anjuman Islamia Faizul-Uloom, Dhanau Khurd Fazil Nagar	17	14	3
11.	Sant Ravidas Nagar	Madarsa Darul-Uloom Saidia, Khamaria, Bhadoi	21	15	6
12.		Madarsa Arbia Nazibul-Uloom Gyanpur, Bhadoi	16	9	7
13.		Darul-Uloom Habibia Rizvia, Gopiganj	15	14	1
14.	Shahjahanpur	Madarsa Ainul-Ilm Mahmand Hadaf	7	5	2
15.		Madarsa Faiz-e-Aam	10	9	1
16.		Madarsa Rahmania	12	10	2
17.	Ghazipur	Madarsa Azeemia Islamia	12	11	1
18.	Allahabad	Madarsa Sirajul-uloom Mohammadia Nizamia	11	6	5
19.		Madarsa Anjume Mohammadia Arbi school	7	5	2
20.		Madarsa Anwarul-uloom Hatiya	8	5	3
21.	Varanasi	Madarsa Jamia Islamia Madanpura	56	45	11
22.		Madarsa Jamia Rahmania	58	35	23
23.		Madarsa Jamia Farooquia	34	27	7
Total			445	341	104

Appendix – 3.3.1
(Reference: Paragraph 3.3.5; page 70)

Summary of beneficiary survey results of SRI

Assessment of Sarva Shiksha Abhiyan



State Report: Uttar Pradesh



SOCIAL AND RURAL RESEARCH INSTITUTE (SRI) IS A SPECIALIST UNIT OF IMRB INTERNATIONAL

Summary of Findings

Executive Summary

With regard to coverage of schools in Uttar Pradesh, 5.5 % of the villages and 7.7 % of the urban blocks were still not covered under Sarva Shiksha Abhiyan.

Among the children in the age group 6-14, about 83 out of every 1000 children are still out of school in the state. Of these 83 per 1000 in the rural areas and 83 per 1000 in the urban areas are out of school. Among these, 90 per 1000 are girls and 78 per 1000 are boys.

The main reason for parents not enrolling their children in schools as well as for not sending them to school even after enrolment was reported as affordability in Uttar Pradesh.

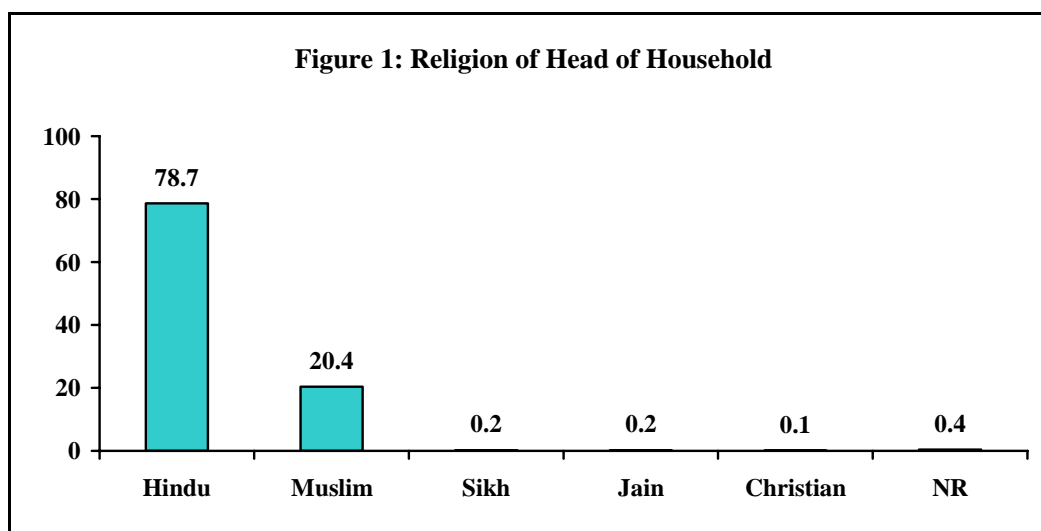
Overall about 4.8 % of the parents opined that they were not satisfied with the quality of the education in the schools.

4.1 Background

In Uttar Pradesh, a total of 876 primary sampling units were covered for the assessment of Sarva Shiksha Abhiyan. Among these 298 were urban and 578 were rural. A total of 81,145 households were listed out of which 51,932 were found eligible and a total of 17,542 households were covered for the study.

4.2 Household details

Out of all the households covered in Uttar Pradesh, in about 97.4 % of the households, the heads of the households were males and in about 2.6 % of the households, the head of the households were females. While majority of the households (78.7 %) followed Hinduism, 20.4 % of the household followed Islam and 0.1 % followed Christianity. Among the other religions, Sikhism was followed by 0.2 % and Jainism by 0.2 %.



In Percentages

About 23.5 % of the households belonged to scheduled castes and 2.4 % to scheduled tribes while close to 42.3 % were from backward and other backward classes. 30.8 % belonged to the general category.

Majority of the heads of the households (18.9 %) were involved in casual or other form of labour. While 15.6 % mentioned agriculture labour as their occupation, some of the other reported key occupations were small cultivators/animal husbandry (15.1 %), small shops/business (11.5 %) and salaried–personal (8.2 %).

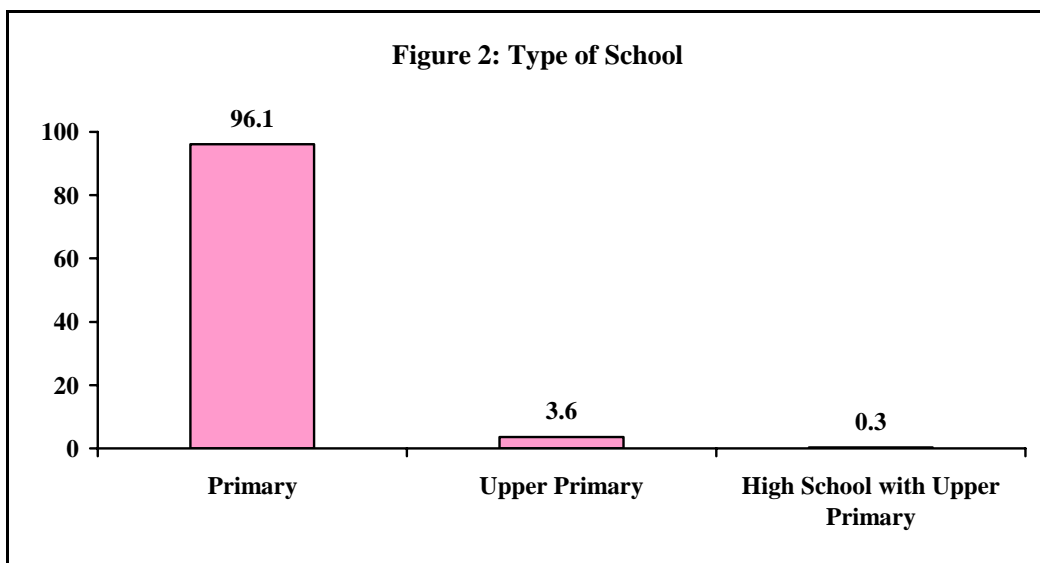
With regard to education of the heads of the households, it emerged that about 23.1 % of them were illiterates with just about 11.8 % reporting being literate but not completing primary schooling.

While 21.4 % of the heads of the households reported completing their primary schooling, only 18.2 % reportedly completed secondary schools. About 16.9 % completed higher secondary schooling. Out of the total households covered in Uttar Pradesh, only about 8.2 % of the head of households were reportedly graduates.

Among all the households, questions with regard to children earning for the households was also enquired, and it emerged that about 0.3 % of the households had children below the age of 15 years as earning members.

4.3 School Details

Among the schools covered in Uttar Pradesh, 96.1 % were primary schools, 3.6 % were upper primary schools and 0.3 % were high schools with upper primary sections. Out of the schools covered in the state, 92.2 % of the primary schools, 85.5 % of the Upper Primary schools and 80.0 % of the high schools with upper primary sections were aided.



In Percentages

Of all the schools covered 1.1 % of primary schools and 3.2 % of Upper primary schools were schools exclusively for boys, 2.4 % of primary, 8.1 % of Upper primary schools and 40.0 % of high schools with upper primary sections were schools exclusively for girls and 96.5 % of primary schools, 88.7 % of Upper primary schools and 60.0 % of high schools with upper primary sections were co-educational.

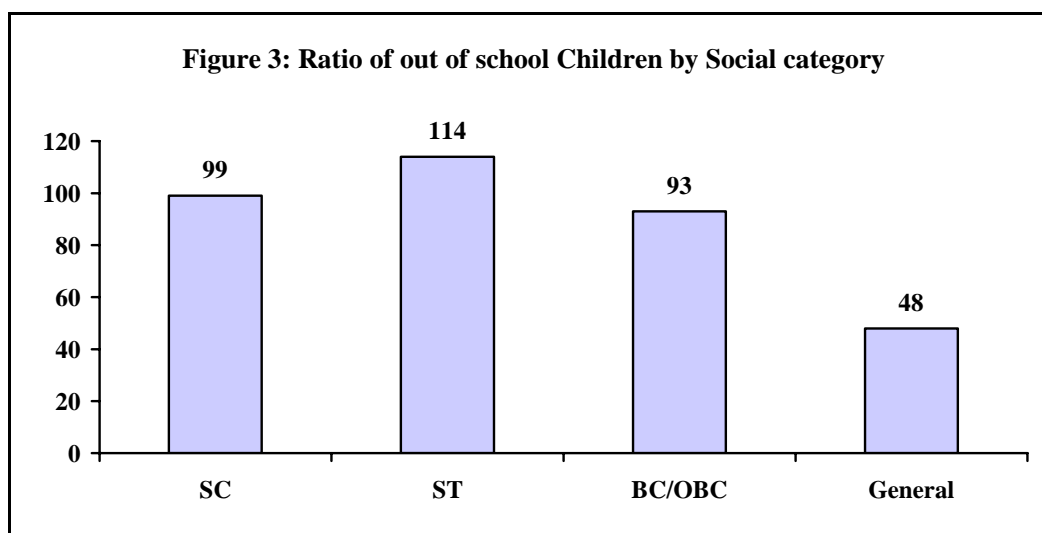
4.4 Coverage of children under SSA

The main objective of SSA is to ensure that all children in the age group 6-14 years are in schools. To assess the programme on this objective, an estimation of the number of children in the age group 6-14 attending schools or out of schools was done.

The total number of children estimated in the age group of 6-14 years is 4,10,88,887, out of which 2,28,40,724 are males and 1,82,48,163 are females. Among these children it is estimated that a total of 3410378 children are out of school, out of which 17, 72,068 are males and 16,38,310 are females.

In terms of ratios, about 83 children per 1000 in the age group 6-14 years were out of school in Uttar Pradesh. 83 out of 1000 children in rural areas and 83 out of 1000 in urban areas were out of school. Across the gender divide, it was found that 90 girls and 78 boys were out of school.

A distribution of children out of school by social category is presented below



Per thousand children in Age Group 6-14 years

4.5 Coverage of schools under SSA

When a village or an urban area does not have a primary school within a radius of 1 kilometre it is defined as not covered by a primary school and hence not covered by SSA.

When distributed by rural and urban, it emerged that about 5.5 % of the villages and 7.7 % of the urban blocks were not covered by schools.

Among those schools covered under the study, reportedly about 99.8 % of primary schools, 98.4 % of Upper primary schools and 100.0 % of high schools with upper primary sections had received grants/aid under Sarva Shiksha Abhiyan.

Among these 99.8 % of primary schools, 100.0 % of Upper primary schools and 100.0 % of high schools with upper primary sections in urban areas and 99.7 % of primary schools, 97.7 % of Upper primary schools and 100.0 % of high schools with upper primary sections in rural areas reported receiving grants/aid under SSA.

4.5.1 Civil works & Facilities under SSA

Among the schools in which civil works were taken up, about 30.7 % of primary schools, 43.6 % of Upper primary schools and 20.0 % of high schools with upper primary sections reported constructing new buildings for the schools. About 35.5 % of primary schools, 35.5 % of Upper primary schools and 40.0 % of high schools with upper primary sections reported utilising funds for repairing existing structures.

Some schools also reportedly gained sanitation facilities as 38.1 % of primary schools, 1.8 % of Upper primary schools and 0.1 % of high schools with upper primary sections reported construction of toilets. Also, in addition to existing toilets, about 21.5 % of primary schools, 0.8 % of Upper primary schools and 0.1 % of high schools with upper primary sections reported constructing separate toilets for girls.

Adding to the safety and security of the school environment, about 12.8 % of primary schools, 0.8 % of Upper primary schools and none of the high schools with Upper primary sections undertook construction of compound walls. Repairs in compound walls were undertaken in about 14.8 % of primary schools, 0.2 % of Upper primary schools and 0.1 % of high schools with upper primary sections. Installation of gates was taken up in 12.9 % of primary schools, 0.5 % of Upper primary schools and 0.1 % of high schools with upper primary sections utilising SSA funds. About 37.1 % of primary schools, 1.5 % of Upper primary schools and 0.1 % of high schools with upper primary sections reported utilising funds from SSA to construct water supply installations in the schools.

Coming to additional facilities, about 3.2 % of primary schools and 0.1 % of upper primary schools reportedly utilised SSA funds to construct library and 7.3 % of primary schools and 0.2 % of Upper primary schools constructed staff rooms for the teachers. None of the high schools with upper primary sections reported the construction of either a library or staff room. 17.8 % of primary schools, 1.2 % of Upper primary schools and 0.1 % of high schools with upper primary sections reported constructing separate room for the headmaster.

Apart from civil works, many schools have also reported utilising the funds under SSA to create facilities for better learning environment for the children. Some of these were Library Books (11.7 % in primary schools, 0.6 % in Upper primary schools and none in high schools with upper primary sections), Computer (1.8 % in primary schools and none in upper primary schools and high schools with upper primary sections), black boards (78.6 % in primary schools, 3.0 % in Upper primary schools and 0.2 % in high schools with upper primary sections) and electric fittings (4.0 % in primary schools, 0.4 % in Upper primary schools and none in high schools with upper primary sections).

Some of the other items for which SSA funds were utilised include Reference Books (19.0 % in primary schools, 0.9 % in Upper primary schools and 0.1 % in high schools with upper primary sections), computer training (1.7 % in primary schools and in none of the upper primary schools and high schools with upper primary sections) and essential items like Chalk (79.6 % in primary schools, 3.3 % in Upper primary schools and 0.2 % in high schools with upper primary sections) and Dusters (77.2 % in primary schools, 2.9 % in Upper primary schools and 0.2 % in high schools with upper primary sections).

4.5.2 Utilisation of grants under SSA

Provisions have been made for various grants under SSA to schools and teachers for procurement as well as replacement of teaching equipment, preparation of teaching learning material, assist schools upgraded from EGS to primary schools to buy equipment and also in order to integrate disabled children in the mainstream education.

It was found that about 92.6 % of primary schools, 93.4 % of Upper primary schools and 80.0 % of high schools with upper primary sections had accessed the school grant, almost 95.7 % of primary schools, 96.7 % of Upper primary schools and 80.0 % of high schools with upper primary sections had received the teachers grant and

about 2.6 % of primary schools and 4.9 % of Upper primary schools and none of the high schools with upper primary schools had accessed the grant under provisions for disabled children.

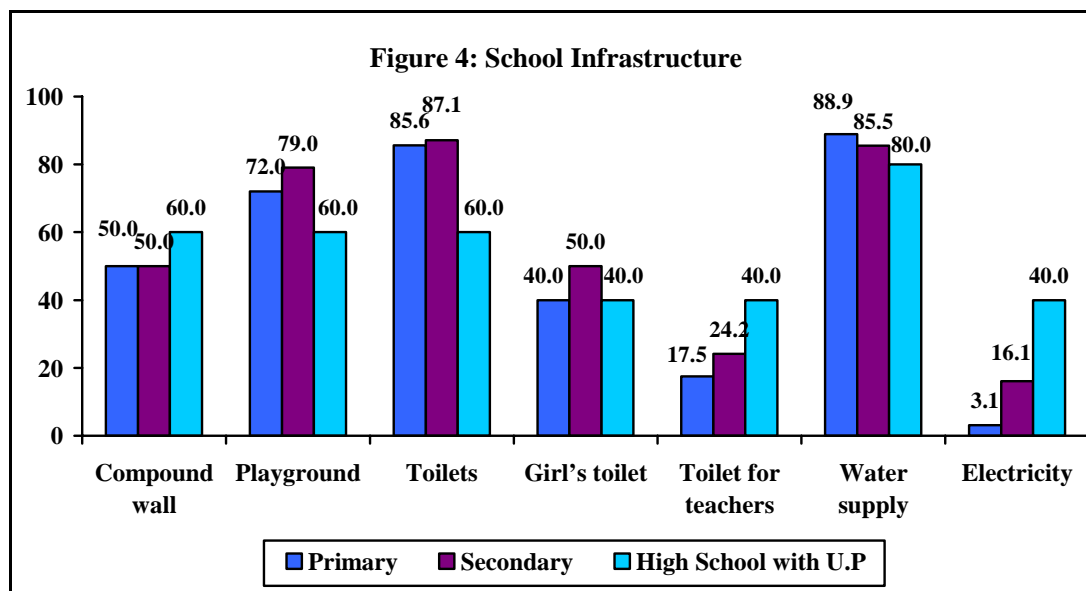
4.5.3 Community Involvement

Community involvement is one of the important aspects with respect to monitoring of school activities and participatory development of the schools. Towards that end, education committees are formed in schools which comprise of community members whose children are studying in the school. It was found that about 91.1 % of primary schools, 3.3 % of Upper primary schools and 0.2 % of high schools with upper primary sections had education committees formed in the locality. In about 88.1 % of primary schools, 3.2 % of Upper primary schools and 0.2 % of high schools with upper primary sections, the committees also had bank accounts jointly started with the headmaster of the school. This was to bring accountability to the expenditures incurred for the development of the school. Reportedly, in about 55.9 % of schools the community members have also been trained under SSA.

4.5.4 School infrastructure

The school infrastructure refers to the buildings, structures and installations that are necessary to run an educational institution. In this aspect, the type of school building is one of the most prominent indicators of the state of school infrastructure in the state. About 1.6 % of primary schools and 1.6 % of Upper primary schools were operating in Kuccha structures, which are basically not structurally stable and hence not suitable for running an institution like a school. In 1.0 % of primary schools and 6.5 % of Upper primary schools the structures were observed to be semi-Pucca. About 97.1 % of primary schools, 91.9 % of Upper primary schools and all high schools with upper primary sections were Pucca structures. Interestingly, about 0.4 % of primary schools did not have any building at all.

A broad overview of the infrastructure in the schools is given in the chart below



In Percentages

Apart from the infrastructure, there are some specific school based schemes that have been devised to ensure higher access to schools by ensuring availability and pushing added incentives to marginalised population sub-groups so that enrolment and attendance can be ensured. Among such schemes 38.7 % of primary schools, 24.2 % of Upper primary schools and 40.0 % of high schools with upper primary sections reported implementing operation blackboard. Mahila Samakhya was implemented in 9.1 % of primary schools, 17.7 % of Upper primary schools and in none of the high schools with upper primary sections. While 86.8 % of primary schools, 91.9 % of Upper primary schools and 60.0 % of high schools with upper primary sections reported that girl children are being given free text books, about 90.1 % of primary schools, 90.3 % of Upper primary schools and 80.0 % of high schools with upper primary sections reported that free text books are given to the SC & ST students in the schools.

Mid-day meal scheme, one of the flag ship programmes of the government, is reportedly implemented in 95.5 % of primary schools, 74.2 % of Upper primary schools and 40.0 % of high schools with upper primary sections of the government schools visited in the state.

Among the funded programmes, 4.7 % of primary schools and 3.2 % of Upper primary schools reported implementation of Janashala, which is basically a UNICEF assisted initiative to enhance the learning experience in government schools. In order to cater to special education needs of the girl children, NPEGEL is being implemented in about 2.0 % of primary schools and 9.7 % of Upper primary schools.

Apart from regular schools, innovative schemes are also being implemented to bring back those children who have dropped out, by conducting back to school camps. About 6.5 % of primary schools and 11.3 % of Upper primary schools in the state reported that back to school camps are being conducted. None of the high schools with upper primary sections reported the implementation of Janashala, NPEGEL and back to school camps.

Apart from curriculum based learning material, it is felt necessary that the children's learning should also be stimulated by other means that are enjoyable and more involving for the child, thereby generating interest in the child to learn. To aid such a learning environment, teaching learning materials are made available in the schools. In this regard about 82.7 % of primary schools, 93.6 % of Upper primary schools and all high schools with upper primary sections reported that teaching learning materials (TLM) have been given to all the classes. 5.4 % of primary schools reported that TLM have been given to some of the classes. About 11.9 % of primary schools and 6.5 % of Upper primary schools reported that no TLM have been provided.

4.6 Impact of education schemes

Apart from the facilities available in the school, it is also necessary to take cognizance of the quality of education provided in schools by delving into the reasons for children not attending or parents not enrolling their children in school. This to a large extent would bring out the expectations of the parents and the children from school by identifying the key factors that are keeping the children away from school. It emerged that across the state, affordability was the main reason for children not being enrolled in schools (as cited by 53.1 % of parents). Apart from that some of the other important reasons cited were 'don't like to go to school' (18.8 %) and 'too young to go to school' (6.2 %).

Though this gives an overall picture about the state, it is also necessary to understand the apprehensions of various sub sections of the population. Across the gender divide, it was found that affordability was the main reason for not enrolling girl children as well as the male children by 53.2 % and 53.0 % of parents respectively. The main reason of affordability remained the same across the type of locality as well.

4.6.1 Quality of education

While analysing the reasons for children not enrolled or not attending, it is also important to take a look at some of the factors that affect the functioning of the school and possibly the quality of education. Some of these characteristics are like the school opening time, functional days, regularity of teachers etc.

Among those children who attend school, nearly 0.4 % said that their school does not open on time. While about 0.4 % of children in urban areas said so, the proportion was slightly higher in rural areas as 0.5 % of children in rural areas said that their school does not open on time. It was interesting to note that about 0.7 % of children said that their school is not open on all days that it is meant to be open. This means that unscheduled holidays are being given, which finally affect the total number of days for which the child is supposed to come to school in a year. Apart from the school functioning, the role of teachers is also critical. About 0.4 % reported that teachers are not so regular to the school. About 3.6 % of children said that the teachers do not take for the full duration for which the classes are meant to be taken. This was reported by 4.5 % of children in rural areas and 1.9 % in urban areas.

4.6.2 Reach of Beneficiary schemes

Probably the most important of all schemes implemented in government schools is the mid day meal scheme. Envisaged to attract children from lower socio economic strata of the population, in many cases it ensures that the child does not stop attending schools for want of economic considerations. Across the state about 50.9 % of the children reported that mid-day meals are being served in their schools. This was 60.7 % in rural areas and 30.8 % in urban areas.

Apart from mid day meals, the government has also implemented many schemes which to some extent act as incentives for parents to send their children to school. But the proper reach of such schemes must be ensured so that the targeted beneficiaries actually realize the benefits of the schemes. In this regard, about 51.2 % of the children said that they received free text books and among all children about 92.2 % said that they received all the free text books on time. When segregated by type of locality, 92.7 % of children in rural areas said that they received all the free text books on time as against 90.2 % in urban areas.

4.6.3 Overall satisfaction level

On the whole about 32.9 % of the parents said that they were extremely satisfied as compared to 4.8 % who said that they were not satisfied with the quality of education that their children are getting in the schools.

Appendix – 3.3.2
(Reference: paragraph 3.3.6.2; page 70)

Position of approved outlay and receipt of funds

(Rs. in crore)

Year	Approved outlay along with matching shares			Funds received		Total funds received during the year
	GOI	State	Total	GOI	State	
2001-02	159.85	28.21	188.06	76.63	13.52	90.15
2002-03	323.07	107.69	430.76	201.66	67.22	268.88
2003-04	821.35	273.78	1095.13	340.42	113.48	453.90
2004-05	1203.37	401.13	1604.50	877.61	292.54	1170.15
2005-06	1981.41	660.48	2641.89	1827.99	609.33	2437.32
Total	4489.05	1471.29	5960.34	3324.31	1096.09	4420.40

Appendix-3.3.3

(Reference: paragraph No 3.3.8.6; page 74)

Target and achievement of civil works

Activity	Target	Achievement (Per cent)	Under execution (Per cent)
Reconstruction of buildings			
Primary Schools	4839	3013 (62)	1826 (38)
Upper Primary Schools	1239	758 (61)	481 (39)
Total	6078	3771	2307
Additional Class Rooms	93781	29163 (31)	64618 (69)
Toilets	7338	7325 (100)	13

Appendix-3.3.4

(Reference: paragraph 3.3.10.3; page 81)

Target and achievement under NPEGEL

Sl. No.	Name of the Activity	2003-04		2004-05		2005-06	
		Target	Achievement	Target	Achievement	Target	Achievement
1	Model Cluster School (MCS)	3096	782 (25%)	7822	6932 (89%)	2991	Nil
2	Teachers Training on Gender sensitization	6192	Nil	15606	4476 (29%)	160598	Nil
3	Community mobilisation	782	782 (100%)	7070	Nil	8206	Nil
4	Sports, Library etc	782	782 (100%)	7120	5932(83%)	-	-
5	Early Childhood Care and Education	3575	583 (16%)	7058	729 (10%)	2294	Nil
6	Civil works	nil	nil	839	810 (97%)	-	-
	Total	14427	2929	45515	18879	174089	Nil

Annexure 3.4.1

(Referred to in paragraph 3.4.7.3; page 88)

Details of short realization of Custom Milled Rice from millers (CMR)

Year	Paddy delivered to millers	Custom milled rice due @ 67 %	Custom milled rice actually received	Short receipt of CMR	Rate (Rupees per metric tonne)	Cost of the CMR received short
						(Rs. in crore)
(in metric tonne)						
2001-02	164490	110208	97222	12986	10787.30	14.01
2002-03	68175	45677	42445	3232	10772.20	3.48
2003-04	210321	140915	130616	10299	10861.80	11.19
2004-05	189068	126676	117982	8694	11646.10	10.13
2005-06	208979	140016	127683	14891	11922.30	14.70
Total	841033	563492	515948	47544		53.51

Annexure 3.4.2

(Referred to in paragraph 3.4.9.1; page 89)

Table showing transit losses, losses due to embezzlements and storage losses

Quantity in MT
Amount in Rupees in crore

Year		Transit		Theft/ embezzlement		Storage		Total	
		Quantity	Amount	Quantity	Amount	Quantity	Amount	Quantity	Amount
2001-02	Wheat	89.50	0.09	222.10	0.16	49.60	0.03	361.20	0.28
	Rice	433.00	0.35	94.60	0.09	4222.03	2.21	4749.63	2.65
2002-03	Wheat	104.83	0.10	118.30	0.82	128.52	0.10	351.65	1.02
	Rice	229.10	0.23	845.01	0.84	3327.42	3.49	4401.53	4.56
2003-04	Wheat	59.68	0.06	2752.80	1.99	768.64	0.49	3581.12	2.54
	Rice	77.80	0.07	305.34	0.32	3213.96	3.29	3597.10	3.68
2004-05	Wheat	122.30	0.12	567.20	0.42	993.80	0.98	1683.30	1.52
	Rice	176.10	0.16	499.00	0.44	3699.91	3.89	4375.01	4.49
2005-06	Wheat	NA	NA	NA	NA	NA	NA	NA	NA
	Rice	NA	NA	NA	NA	NA	NA	NA	NA
Total	Wheat	376.31	0.37	3660.40	3.39	1940.56	1.60	5977.27	5.36
	Rice	916.00	0.81	1743.95	1.69	14463.32	12.88	17123.27	15.38

Appendix-3.5.1
(Reference: paragraph 3.5.6.2; page 97)

Table showing proposal through Management Plan, demands through Annual Plan of Operation and Administrative approval by GOI during 2001-06

(A) Dudhwa National Park

Year	Non-recurring			Recurring		
	MP	APO	Approval	MP	APO	Approval
(Rs. In lakh)						
2001-02	304.10	234.80	56.01	486.31	218.71	113.10
2002-03	249.10	344.35	50.70	518.30	219.86	36.40
2003-04	100.90	353.05	63.45	555.56	234.57	92.50
2004-05	59.50	568.75	64.80	597.37	579.85	144.63
2005-06	29.20	504.50	79.17	644.10	523.90	214.70
Total (A)	742.80	2005.45	314.14	2801.64	1776.89	601.33

MP=Management Plan, APO=Annual Plan of operationl

(B) Katarniaghat Wildlife Divisionn

Year	Non-recurring			Recurring		
	MP	APO	Approval	MP	APO	Approval
(Rs. In lakh)						
2001-02	Not covered by Project Tiger					
2002-03	Not covered by Project Tiger					
2003-04	126.50	196.55	27.75	242.15	81.22	73.57
2004-05	108.00	299.55	23.20	266.26	134.33	29.80
2005-06	86.50	325.60	26.50	293.07	257.08	98.47
Total (B)	321.00	821.70	77.45	801.48	472.63	201.84

MP=Management Plan, APO=Annual Plan of operationl

(C) Total for the State

Year	Non-recurring			Recurring		
	MP	APO	Approval	MP	APO	Approval
(Rs. In lakh)						
Total (A)	742.80	2005.45	314.14	2801.64	1776.89	601.33
Total (B)	321.00	821.70	77.45	801.48	472.63	201.84
Grand Total	1063.8	2827.15	391.59	3603.12	2249.52	803.17

Appendix-3.5.2
(Reference: paragraph 3.5.7.1; page 98)

Delay in submission of A.P.O., release of funds and its utilization

Year	Annual plan of operation			Release of 1 st Installments						Utilization Certificate			Release of 2 nd Installments					
				Central to state Government			State govt. to Project Tiger						Central to state Government			State govt. to Project Tiger		
	Due date	Actual date of sub mission	Delay in sub mission	Due date	Actual date	Delay	Due date	Actual date	Delay	Due date	Actual date of sub mission	Delay in submi-ssion	Due date	Actual date of release	Delay	Due date	Actual date of release	Delay
2001-02	(!)	12.06.01	One month, 27 days	15.07.01 *	27.08.01	One month, 12 days	# 10.09.01	19.09.01 10.12.01	4 days to 2 months, 25 days	15 th of Dec.	1.01.02	17 days	\$ 15.1.02	19.3.02	2 month 4 days (x)	@	Not released	
2002-03	-do-	30.05.02	One month 15 days	1.07.02	2.08.02	One month	20.08.02	11.09.02 29.03.03	22 days to 7 months 9 days	-do-	9.01.03	25 days	25.1.03	Not released		-do-	Not released	
2003-04	-do-	Dudhwa-11.06.03	1 month 26 days	15.07.03	4.12.03	Four month 19 days	20.12.03	15.12.03 to 9.01.04	Zero to 21 days	-do-	27.02.04	2 month 14 day	15.03.04	1.3.04	Nil	15.03.04	31.03.04	15 days
		Katernia 29.08.03	4 month 14 days	1.10.03		Tow month 4 days												
2004-05	-do-	16.04.04	Nil	15.05.04	25.06.04	One month 10 days	10.07.04	15.10.04	3 month to 5 days	-do-	7.12.04	Nil	25.12.04	21.03.05	2 month 28 day	-do-	31.03.05	Nil
2005-06	-do-	27.04.05	12 days	1.05.05	7.10.05	4 month 7 days	25.10.05	26.10.05 to 30.03.06	One day to 5 month 5 days	-do-	13.03.06	2 month 28 days	28.03.06	Not released		-do-	Not released	

(!) Before mid of April.

* Four weeks from the date of receipt of A.P.O.

With in 15 days from the receipt of Central Share.

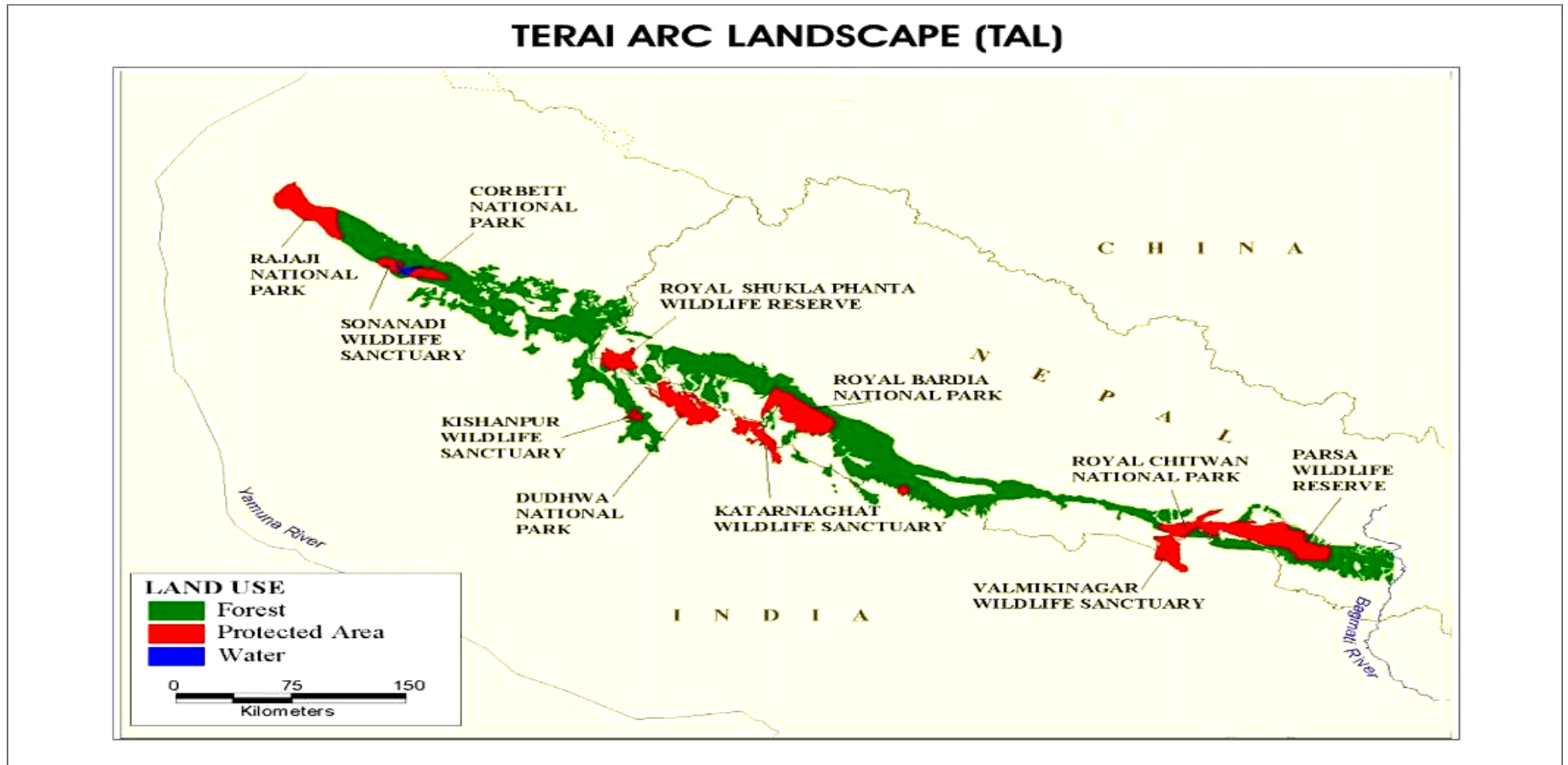
\$ Two weeks from the date of receipt of Utilization Certificate.

@ 15 days from the receipt of Central Share.

(x) Part release.

Appendix-3.5.3
 (Reference: paragraph 3.5.8.1; page 99)

Physical map showing location of Dudhwa Tiger Reserve and Katarniaghat Wildlife Division



Appendix-3.5.4
(Reference: paragraph 3.5.13; page 105)

Table showing growth of population of herbivores

Name of the animal	Name of reserve	2001	2003		2005	
		Number	Number	Percentage growth over 2001	Number	Percentage growth over 2003
Cheetal	Dudhwa	12679	12148	(-) 04	12427	02
	Katerniaghat	5490	5285	(-) 02	4566	(-) 15
Sambhar	Dudhwa	40	73	83	76	04
	Katerniaghat	31	36	16	08	(-) 78
Panda	Dudhwa	3932	3770	(-) 04	4036	07
	Katerniaghat	291	280	(-) 04	231	(-) 18
Kaakar	Dudhwa	751	941	25	785	(-) 17
	Katerniaghat	89	79	(-) 11	72	(-) 09
Wild boar	Dudhwa	5431	7411	36	7355	(-) 01
	Katerniaghat	5889	5875	(-) 0.5	5529	(-) 06
Neelgai	Dudhwa	944	1112	18	1126	01
	Katerniaghat	1132	1385	22	1196	(-) 14
Barasingha	Dudhwa	1806	2477	37	2854	15
	Katerniaghat	21	25	19	17	(-) 32

Table showing details of prey base available during 2001-05
(Reference: paragraph 3.5.13; page 105)

Year	Reserve	2001		2003		2005	
		Dudhwa	Katerniaghat	Dudhwa	Katerniaghat	Dudhwa	Katerniaghat
		(Prey count in cheetal units)					
	Population of adult tigers reported	90	52 ¹	96	55 ¹	94	48 ¹
	Total prey required (@ 72 cheetals of average weight of 50 kgs)	6480	3744	6912	3960	6768	3456
	Prey-base required (10 times the prey requirement)	64800	37440	69120	39600	67680	34560
	Prey base actually available	28927	17514	33050	17903	33977	16023
	Shortage of prey animals	35873	19926	36070	21697	23703	18537
	Percentage of shortage	55	53	52	55	35	54

¹ Population in respect of Katerniaghat included tigers reported in Motipur and Kakraha ranges also which despite being part of the same forest division are outside the reserve

Appendix-3.5.5
(Reference: paragraph 3.5.14.1; page 106)

Anticipated population of tiger after adding the cubs expected to turn adult

Year of census	Number of tigers reported									
	Dudhwa					Katarniaghat				
	Male	Female	Total adult	Cubs	Total adult (anticipated)	Male	Female	Total adult	Cu bs	Tot al
1999	28	64	92	10		16	20	36	13	
2001	31	59	90	11	(92+10) 102	19	33	52	15	49
2002	33	76	109	06	(90+10) 100	No census				
2003	26	70	96	14	(90+11) 101	18	37	55	06	67
2005	32	62	94	12	(96+14) 111	17	31	48	10	61

Comparison of the number of tiger cubs expected and actually reported
(Reference: paragraph 3.5.14.1; page 106)

Year	Dudhwa				Katarniaghat			
	Reported population of tigresses	Expected number of breeding tigresses	Anticipated number of cubs @ two cubs per breeding tigress	Number of cubs actually reported	Reported population of tigresses	Expected number of breeding tigresses	Anticipated number of cubs @ two cubs per breeding tigress	Number of cubs actually reported
2001	59	19	38	11	24	8	16	10
2002	76	25	50	06	No census			
2003	70	23	46	14	27	9	18	04
2005	62	21	42	12	23	8	16	05

Appendix -3.6.1
(Reference: Paragraph 3.6.6.1; page 113)

Overall fund utilisation in the State

Year	Opening Balance	Receipts			Total Fund available	Expenditure	Closing Balance
		Interest on saving account	Central releases	State releases			
Rs in crore							
1	2	3	4	5	6	7	8
2001-02	113.49 ¹	23.05	663.05	219.59	1019.18	639.90	379.28
2002-03	379.28	45.71	660.92	220.31	1306.22	928.46	377.76
2003-04	359.70	11.79	643.61	231.75	1246.85	1105.28	141.57
2004-05	128.73	6.40	788.56	266.58	1190.27	1064.68	125.59
2005-06	123.93	4.76	955.37	316.10	1400.16	1289.65	110.51
Total		91.71	3711.51	1254.33		5027.97	
		4965.84 (column 4+5)					
		5057.55 (column 3+4+5)					

(Source: Information as furnished by the Commissioner, Rural Development)

Appendix -3.6.2
(Reference: Paragraph 3.6.8.3; page 116)

Position of foodgrain released by FCI Depots and lifted by DFMOs in test checked RFCs

District	Releases by FCI		Lifting by DFMOs		Excess (+) & Short (-)	
	Quantity	Value	Quantity	Value	Quantity	Value
	(Quantity in MT and Value Rupees in crore)					
Azamgarh	49237	34.47	49237	34.47	Nil	Nil
Ghazipur	38710	27.10	44676	31.27	(+)5966	4.18
Kannauj	20831	10.42	20025	10.01	(-)806	0.40
Siddarthnagar	28564	19.99	15809	11.07	(-)12755	8.93
Sonebhadra	60539	42.38	58983	41.29	(-)1556	1.09
Ambedkarnagar	29204	14.60	NA	NA	NA	NA
Barabanki	55155	27.58	NA	NA	NA	NA
Mirzapur	37398	26.18	NA	NA	NA	NA
Total	319638	202.72	188730	128.11	(-)15117	14.60
					(+)5966	

(Source: Information as furnished by the Food Corporation of India and District Food and Marketing Officer under Regional Food Controllers)

¹ Unutilized balances of EAS and JGSY

Appendix – 4.1
(Reference: Paragraph 4.5.2; page 143)

Land transfer cases in which NPV could not be realised

Sl No.	Name of project	Approval letter No. with date	Forest area diverted in hectares	District	Cost of the land at minimum (Rs. 5.80 lakh per hectare)
1.	Upgradation of Bahraich–Gonda-Faizabad(Katra)road from Km. 16 to 40	8B/UP/06/26/2002/FC/72 dated 6.11.02	2.2000	Srawasti	12.76
2.	Nirmala convent school (Kanoria Chemicals Industries)	8B/UP/09/16/2001/FC/30 dated 14.11.02	1.6194	Sonbhadra	9.39
3.	Felling of 22 trees on NH-24 from Km.409 in drainage from NMY Sitapur to sarai river	8B/UP/09/24/2002/FC/20 dated 15.11.02	0.2150	Sitapur	1.24
4.	Upgradation of Bahraich – Gonda-Faizabad(Katra) road from Km. 1 to 15	8B/UP/06/25/2002/FC/35 dated 25.11.02	1.5000	Bahraieh	8.70
5.	Construction of Bansagar project	8-9/94-FC dated 23.12.02	180.7900	Mirzapur	945.20
6.	Felling of trees sitapur cantt. Provision of additional loop hot axle siding	8B/UP/09/20/2002/FC/30 dated 14.1.03	1.2700	Sitapur	7.36
7.	Upgradation of Jalalabad-Bangarmau -Bilhour road from Km 97 to 104	8B/UP/06/5/2003/FC/150/ dated 6.3.03	8.000	Kanpur	46.40
8.	Jaunpur to Muhammadpur widening of road	8B/UP/06/30/2002/FC/278 dated 1.4.03	2.7500	Jaunpur	15.95
9.	Felling of 4178 trees and widening of Pakbada – Dingarpur road from Km. 13.14 to 21.40	8B/UP/06/25/2003/FC/600 dated 6.10.03	7.2000	Moradabad	41.76
10.	Upgradation of Bahraich – Gonda-Faizabad(Katra) road from Km. 41 to 108	8B/UP/06/10/2003/FC/631 dated 16.10.03	6.7000	Gonda	38.86
11.	Windening of Katra- Jalalabad Bangarmau - Bilhour road.	8B/UP/06/38/2003/FC/1083 dated 19.12.03	5.9000	Hardoi	34.22
12.	Upgradation of Bhognipur-Ghatampur to Chaudagra road Km 1 to 54	8B/UP/06/15/2003/FC/1136 dated 1.1.2004	54.0000	Kanpur	313.20
13.	Const. of Pali sarai chori road to Charpura link road	8B/UP/06/01/2004/FC/1264 dated 4.2.04	0.5400	Etawah	3.13
14.	Felling of addl.1935trees due to alignment change but forest area 85.78 hectare remains unchanged for widening of NH-2from Km. 38 to 115	8B/UP/06/39/2003/FC/1160 dated 25.2.2004	85.7800	Fatehpur	497.52
15.	Widening of four lane of NH-25 from Km.7.90 to 11.38	8B/UP/06/03/2004/FC/53dated 27.4.04	3.9660	Awadh	23.00
16.	Collection of sand Bajri/ Maurang from Sone river	8-97/2003-FC dated 30.4.04	453.5060	Sonbhadra	2630.33
17.	Collection of sand Bajri/ Maurang from Sone river	8-98/2003-FC dated 30.4.04	280.4800	Sonbhadra	1626.78
18.	Collection of sand Bajri/ Maurang from Kanhar river	8-99/2003-FC dated 7.5.04	102.2470	Sonbhadra	593.03
19.	Deohana link road at Pilibhit	8B/UP/06/37/2000/FC/582 dated 10.8.04	0.3200	Pilibhit	1.85
20.	Felling of 346 trees and upgradation of Katra-Jalalabad – Bangarmau road	8B/UP/21/2003/FC/636 dated 20.8.04	6.4000	Shahjehanpur	37.12
21.	Shahjad dam	8-80/700-FC dated 12.9.04	368.1000	Lalitpur	2134.98
22.	Felling of 35 trees of 132 KV and 220 KVT/L on NH-24 between LKO-sitapur from Km 15 to 16 & 21 to 22	8B/UP/09/14/2004/FC/768 dated 16.9.04	0.1917	Lucknow	1.11
23.	Permission for making access to our new retail outlet at Sahmalpur (Pindra)	8B/UP/09/34/2004/FC dated 21.9.04	0.0250	Varanasi	0.14

Audit Report (Civil) for the year ended 31 March 2006

24.	Felling of addl.401 trees and 4.05 ha. area for realignment and widening of NH-2 between Km. 386 to 393 from 2 to 4 lane	8B/UP/06/55/2004/FC/1105 dated 8.12.04	8.7000	Kanpur - Dehat	50.46
25.	Diversion of forest land Kasganj- Garahara railway line for gauge conversion work.	8B/UP/07/96/2004/FC/1497 dated 7.1.05	1.5000	Etah	8.70
26.	400 KV D/C trans line from Mainpuri to Ballabgarh (Haryana)	8B/UP/04/32/2002/FC/1530 dated 17.1.05	0.1380	Mainpuri	0.80
27.	Modernisation of Lahchura dam at Mahoba region	8-20/2005-FC dated 22.3.05	24.4770	Mahoba	141.96
28.	- do – Jhansi region	8-20/2005-FC dated 22.3.05	23.7350	Jhansi	137.66
29.	Const. of Drain in road No. 36 from LKO to Manjhighat	8B/UP/06/10/2004/FC/1968 dated 8.4.05	0.7500	Jaunpur	4.35
30.	Permission of making access to our new retail outlet on NH-7 at Durjanipur vill.	8B/UP/06/23/2005/FC/2023 dated 26.4.05	0.0500	Mirzapur	0.29
31.	400 KV D/C transmission line from Mainpuri-Ballabgarh	8B/UP/04/97/2004/FC/2038 dated 5.5.05	0.2780	Mainpuri	1.61
32.	Felling of 1688 trees NH-24 from Moradabad by pass Junction to Gagan bridge from Km. 149 to 151	8B/UP/06/27/2005/FC/2081 dated 13.5.05	4.8000	Moradabad	27.84
33.	PMGSY between Kuraira- Amaura link road for rural connectivity at Lalitpur	8B/UP/06/26/2003/FC/2085 dated 13.5.05	0.2000	Lalitpur	1.16
34.	Strengthening of PMGSY road from Deviji Mandir to Narwadapur	8B/UP/06/11/2005/FC/2101 dated 20.5.05	3.3500	Chandauli	19.43
35.	Const. of railway bridge No. 82	8B/UP/07/34/2005/FC/2226 dated 15.6.05	1.2780	Ghazipur	7.41
36.	Establishment of retail outlet on Mirzapur – Aurai SH-5 from Km. 308-309	8B/UP/06/46/2005/FC/2576 dated 25.8.05	0.0704	Mirzapur	0.40
37.	66KV double circuit transline through the bank of upper ganga block form 7.5 left strip to 15 mile up to right strip	8B/UP/04/3/2003/FC/2209 dated 29.9.05	22.6800	Bareilly	131.54
38.	Cont. of bridge from Chopan –Katra- Kon road on Kanhar river	8B/UP/06/57/2005/FC/2688 dated 8.12.05	0.8400	Sonbhadra	4.87
39.	Felling of 2 trees and permission of making access to our new retail outlet at Jamuhi – Shahjehanpur	8B/UP/09/41/2004/FC/2733 dated 23.12.05	0.0560	Shahjehanpur	0.32
40.	Felling of 199 trees and road crossing over Madhya ganga canal project	8B/UP/02/69/2005/FC/2734 dated 23.12.05	0.6840	Moradabad	3.96
41.	Felling of 29 trees and permission of access to new retail outlet at Ogar nala, Raju -Aligarh	8B/UP/09/45/2004/FC/2737 dated 23.12.05	0.0500	Aligarh	0.29
42.	KVDC T/L between Gorakhpur and PCL substation	8B/UP/04/55/2005/FC/2990 dated 23.2.06	0.9984	Gorakhpur	5.79
TOTAL			1668.3349		Rs. 9572.87 lakh say Rs. 95.73 crore

Appendix – 4.2
(Reference: Paragraph 4.5.8; page 148)

Work: W/S of Meerut-Baraut road (Km. 35 to 52)
Area of widening 44100 sq.m

(Rs. in lakh)

Cost of work in widening portion with Flat Brick soling			Value
1	Flat Brick soling	Old 23062.55 sq m @ 12.50	2.88
		New 366.71 sq m @ 66.40	0.24
		Old 558.99 sq m @ 17.50	0.10
2	WBM Gr. II(45.63 mm)	1799.09 cum @ 1108/cum	19.93
3	WBM Gr.III(22.4-53mm)	1799.09 cum @ 1124.80/cum	20.22
4	WMM in 23988.25 sq. m	4602.11 cum @ 1205/cum	55.45
5	BM in 23983.25 sq.m	1217.64 cum @ 3345.50/cum	40.74
6	SDBC (25mm)	23988.25 sq m @ 116/sqm	27.82
7	Prime coat	23988.25 sq m @ 14.40/sqm	3.45
8	Tack coat	23988.25 sq m @ 5/ sqm	1.20
Total			172.03
Less tendered rate 19.486 percent below			- 33.52
Net			138.51
Say			1.39 crore

Appendix-5.1
(Reference: Paragraph 5.7.1; page 155)
Rush of expenditure in the month of March 2006

Name of the College/ Institute	Year	Provision (contingency heads)	Expenditure (contingency heads)	Expenditure in March	Percentage of expenditure during March
Jhansi	2003-04	3.91	2.54	1.56	61
	2004-05	3.83	3.49	2.27	65
	2005-06	10.17	6.48	3.85	59
Agra	2003-04	2.71	2.66	1.92	72
	2004-05	6.37	6.37	4.35	68
	2005-06	19.62	12.62	8.66	69
Kanpur	2003-04	4.63	4.52	2.30	51
	2004-05	6.21	6.10	4.47	73
	2005-06	24.19	13.50	10.16	75
Institute. of Cardiology, Kanpur	2003-04	1.77	1.76	1.44	82
	2004-05	2.57	0.86	0.57	67
	2005-06	6.62	6.03	4.97	82
College of Nursing, Kanpur	2003-04	0.08	0.08	0.08	94
	2004-05	0.09	0.07	0.05	70
	2005-06	0.11	0.11	0.08	72

Appendix -5.2
(Reference: Paragraph 5.9.3; page 158)

Number of seats for un-recognised courses

Sl No	Course Specialty	GKP	JHS	MRT	AGR	ALLD	TOTAL
1	MD ANESHT	3					3
2	MS ANOT	1	1				2
3	MS ENT		1				1
4	MD FOR MED.					1	1
5	MS OBS &GYN	6	5				11
6	MD MEDICINE	2	3				5
7	MS OPTH	3	3				6
8	MS ORTHO		3		5		8
9	MD PAED.	2	4				6
10	MD PATH.	2	3				5
11	MD PHARMA.	1	1				2
12	MD PHYS		1				1
13	MD.R DIAG.		1	1		1	3
14	MD.R.THR.				2	1	3
15	MD. SKIN	3		1	2		6
16	MD. SPM		2				2
17	MS SURG.	2	2				4
18	MD TB & CHEST				2	2	4
19	D.A.	1					1
20	D.C.H.	3				5	8
21	D.G.O.	5	3			6	14
22	D.L.O.		2	3	2		7
23	D.M.R.D.			1			1
24	D.ORTH.		3			3	6
25	D.V.D.			2	1		3
	TOTAL	34	38	8	14	19	113