

### Annexure-1

## Statement showing particulars of up-to-date paid-up capital, budgetary outgo, loans given out of budget and loans outstanding as on 31 March 2005 in respect of Government companies and Statutory corporations (Referred to in paragraphs 1.4, 1.5, 1.6 and 1.20)

(Figures in column 3(a) to 4(f) are Rs. in lakh)

Sl. No	Sector & name of the company/corporation	Paid-up capital as at the end of the current year (Figures in bracket indicate share application money and dash indicates nil value)					Equity/loans received out of Budget during the year		Other loans received during the year*	Loans** outstanding at the close of 2004-05			Debt equity ratio for 2004-05 (previous year) 4(f)/3(e)
		State Government	Central Government	Holding Companies	Others	Total	Equity	Loans		Government	Others	Total	
(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
<b>A. Working Government Companies</b>													
<b>Agriculture and allied</b>													
1.	Uttar Pradesh State Agro Industrial Corporation Limited	3667.17 (-)	332.83 (-)	- (-)	- (-)	4000.00 (-)	-	-	-	500.00	-	500.00	0.13:1 (0.19:1)
2.	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	50.50 (-)	- (-)	- (-)	13.18 (1.23)	63.68 (1.23)	-	-	-	-	-	-	- (-)
3.	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	15.30 (-)	- (-)	- (-)	8.19 (2.00)	23.49 (2.00)	-	-	-	-	-	-	- (-)
4.	Uttar Pradesh Projects Corporation Limited	540.00 (-)	100.00 (-)	- (-)	- (-)	640.00 (-)	-	-	-	-	-	-	- (-)
5.	Uttar Pradesh Bhumi Sudhar Nigam	150.00 (-)	- (-)	- (-)	- (-)	150.00 (-)	-	-	-	-	-	-	- (-)
6.	Uttar Pradesh Matsya Vikas Nigam Limited	107.00 (-)	- (-)	- (-)	- (-)	107.00 (-)	-	-	-	-	-	-	- (-)
7.	Uttar Pradesh Beej Vikas Nigam Limited	125.00 (-)	- (-)	- (-)	67.00 (-)	192.00 (-)	-	-	-	500.00	-	500.00	2.60:1 (7.81:1)
<b>Sector wise total</b>		<b>4654.97</b> (-)	<b>432.83</b> (-)	<b>-</b> (-)	<b>88.37</b> (3.23)	<b>5176.17</b> (3.23)	<b>-</b>	<b>-</b>	<b>-</b>	<b>1000.00</b>	<b>-</b>	<b>1000.00</b>	<b>0.19:1</b> (0.51:1)
<b>Industry</b>													
8.	Uttar Pradesh Small Industries Corporation Limited	596.05 (-)	- (-)	- (-)	- (-)	596.05 (-)	-	-	-	898.89	125.00	1023.89	1.72:1 (1.72:1)
9.	Uttar Pradesh State Leather Development and Marketing Corporation Limited	573.94 (-)	- (-)	- (-)	-	573.94 (-)	-	-	-	191.40	-	191.40	0.33:1 (0.33:1)
10.	UPSIC Potteries Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	- (-)	- (-)	76.25 (-)	- (-)	76.25 (-)	-	-	-	82.50	40.00	122.50	1.61:1 (1.61:1)
<b>Sector wise total</b>		<b>1169.99</b> (-)	<b>-</b> (-)	<b>76.25</b> (-)	<b>-</b> (-)	<b>1246.24</b> (-)	<b>-</b>	<b>-</b>	<b>-</b>	<b>1172.79</b>	<b>165.00</b>	<b>1337.79</b>	<b>1.07:1</b> (1.07:1)

\* Includes bonds, debentures, inter-corporate deposits etc.

\*\* Loans outstanding at the close of 2004-05 represent long-term loans only.

(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
	<b>Electronics</b>												
11.	Uttar Pradesh Electronics Corporation Limited	8765.98 (742.50)	- (-)	- (-)	- (-)	8765.98 (742.50)	-	533.89	-	8045.89	-	8045.89	0.85:1 (0.82:1)
12.	Uptron Powertronics Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	- (-)	- (-)	407.00 (-)	- (-)	407.00 (-)	290.00	-	-	-	20.00	20.00	0.05:1 (0.17:1)
13.	Shreetron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	- (-)	- (-)	671.08 (-)	50.63 (-)	721.71 (-)	-	-	246.44	-	263.44	263.44	0.36:1 (0.37:1)
14.	Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	- (-)	- (-)	5792.51 (-)	- (-)	5792.51 (-)	-	-	-	-	970.00	970.00	0.17:1 (0.17:1)
	<b>Sector wise total</b>	<b>8765.98 (742.50)</b>	<b>- (-)</b>	<b>6870.59 (-)</b>	<b>50.63 (-)</b>	<b>15687.20 (742.50)</b>	<b>290.00</b>	<b>533.89</b>	<b>246.44</b>	<b>8045.89</b>	<b>1253.44</b>	<b>9299.33</b>	<b>0.57:1 (0.57:1)</b>
	<b>Textiles</b>												
15.	Uttar Pradesh State Yarn Company Limited	3190.52 (2176.00)	- (-)	- (-)	- (-)	3190.52 (2176.00)	-	776.24	-	776.24	1392.83	2169.07	0.40:1 (0.26:1)
16.	Uttar Pradesh State Spinning Company Limited	8864.84 (-)	- (-)	- (-)	- (-)	8864.84 (-)	-	582.59	-	600.17	-	600.17	0.07:1 (0.20:1)
	<b>Sector wise total</b>	<b>12055.36 (2176.00)</b>	<b>- (-)</b>	<b>- (-)</b>	<b>- (-)</b>	<b>12055.36 (2176.00)</b>	<b>-</b>	<b>1358.83</b>	<b>-</b>	<b>1376.41</b>	<b>1392.83</b>	<b>2769.24</b>	<b>0.19:1 (0.22:1)</b>
	<b>Handloom and Handicrafts</b>												
17.	Uttar Pradesh State Handloom Corporation Limited	3644.49 (-)	1062.95 (-)	- (-)	- (-)	4707.44 (-)	-	-	500.00	7506.72	500.00	8006.72	1.70:1 (1.59:1)
	<b>Sector wise total</b>	<b>3644.49 (-)</b>	<b>1062.95 (-)</b>	<b>- (-)</b>	<b>- (-)</b>	<b>4707.44 (-)</b>	<b>-</b>	<b>-</b>	<b>500.00</b>	<b>7506.72</b>	<b>500.00</b>	<b>8006.72</b>	<b>1.70:1 (1.59:1)</b>
	<b>Construction</b>												
18.	Uttar Pradesh State Bridge Corporation Limited	1000.00 (-)	- (-)	- (-)	-(-)	1000.00 (-)	-	-	-	1006.00	1697.00	2703.00	2.70:1 (4.48:1)
19.	Uttar Pradesh Rajkiya Nirman Nigam Limited	100.00 (-)	- (-)	- (-)	- (-)	100.00 (-)	-	-	-	-	-	-	- (-)
20.	Uttar Pradesh Police Avs Nigam Limited	300.00 (-)	- (-)	- (-)	- (-)	300.00 (-)	-	-	-	-	-	-	- (-)
	<b>Sector wise total</b>	<b>1400.00 (-)</b>	<b>- (-)</b>	<b>- (-)</b>	<b>- (-)</b>	<b>1400.00 (-)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1006.00</b>	<b>1697.00</b>	<b>2703.00</b>	<b>1.93:1 (3.20:1)</b>
	<b>Development of Economically Weaker Section</b>												
21.	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	8189.31 (-)	7345.27 (-)	-	-	15534.58 (-)	668.73	-	5248.05	-	8041.60	8041.60	0.52:1 (0.33:1)
22.	Uttar Pradesh Samaj Kalyan Nirman Nigam Limited	15.00 (-)	- (-)	- (-)	- (-)	15.00 (-)	-	-	-	-	-	-	- (2.52:1)
23.	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	910.00 (422.82)	- (-)	- (-)	- (-)	910.00 (422.82)	100.00	-	-	-	2244.77	2244.77	1.68:1 (3.04:1)
	<b>Sector wise total</b>	<b>9114.31 (422.82)</b>	<b>7345.27 (-)</b>	<b>- (-)</b>	<b>- (-)</b>	<b>16459.58 (422.82)</b>	<b>768.73</b>	<b>-</b>	<b>5248.05</b>	<b>-</b>	<b>10286.37</b>	<b>10286.37</b>	<b>0.61:1 (0.54:1)</b>

(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
	<b>Public Distribution</b>												
24.	Uttar Pradesh Food and Essential Commodities Corporation Limited	500.00 (50.39)	- (-)	- (-)	- (-)	500.00 (50.39)	-	-	-	1347.05	-	1347.05	2.45:1 (2.46:1)
	<b>Sector wise total</b>	<b>500.00 (50.39)</b>	<b>- (-)</b>	<b>- (-)</b>	<b>- (-)</b>	<b>500.00 (50.39)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1347.05</b>	<b>-</b>	<b>1347.05</b>	<b>2.45:1 (2.46:1)</b>
	<b>Sugar</b>												
25.	Uttar Pradesh State Sugar Corporation Limited	59066.92 (35280.49)	- (-)	- (-)	- (-)	59066.92 (35280.49)	-	-	-	4372.01	-	4372.01	0.05:1 (0.05:1)
26.	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	- (-)	- (-)	3618.28 (-)	- (-)	3618.28 (-)	-	-	-	400.00	31.85	431.85	0.12:1 (0.12:1)
27.	Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	- (-)	- (-)	3404.05 (-)	- (-)	3404.05 (-)	-	-	167.00	-	2944.80	2944.80	0.87:1 (0.82:1)
28.	Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	- (-)	- (-)	879.85 (-)	15.00 (-)	894.85 (-)	-	-	-	7.46	3209.34	3216.80	3.59:1 (3.59:1)
29.	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited	843.70 (54459.42)	-	-	-	843.70 (54459.42)	-	859.00	-	939.00	-	939.00	0.02:1 (0.001:1)
	<b>Sector wise total</b>	<b>59910.62 (89739.91)</b>	<b>- (-)</b>	<b>7902.18 (-)</b>	<b>15.00 (-)</b>	<b>67827.80 (89739.91)</b>	<b>-</b>	<b>859.00</b>	<b>167.00</b>	<b>5718.47</b>	<b>6185.99</b>	<b>11904.46</b>	<b>0.08:1 (0.07:1)</b>
	<b>Tourism</b>												
30.	Uttar Pradesh State Tourism Development Corporation Limited	1512.53 (-)	- (-)	- (-)	- (-)	1512.53 (-)	-	-	-	4.45	-	4.45	0.003:1 (0.03:1)
	<b>Sector wise total</b>	<b>1512.53 (-)</b>	<b>- (-)</b>	<b>- (-)</b>	<b>- (-)</b>	<b>1512.53 (-)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>4.45</b>	<b>-</b>	<b>4.45</b>	<b>0.003:1 (0.03:1)</b>
	<b>Power</b>												
31.	Uttar Pradesh Rajya Viyut Utpadan Nigam Limited	213291.62 (100.00)	- (-)	- (-)	- (-)	213291.62 (100.00)	100.00	6739.02	59865.11	55362.00	167588.58	222950.58	1.04:1 (0.75:1)
32.	Uttar Pradesh Jal Vidyut Nigam Limited	39394.44 (-)	- (-)	- (-)	- (-)	39394.44 (-)	2106.00	150.00	-	6615.00	-	6615.00	0.17:1 (0.17:1)
33.	Uttar Pradesh Power Corporation Limited	470744.96 (663450.13)	- (-)	- (-)	- (-)	470744.96 (663450.13)	553839.17	20906.47	80440.82	9981.00	46273.00	56254.00	0.05:1 (0.56:1)
34.	Kanpur Electricity Supply (Subsidiary of Uttar Pradesh Power Corporation Limited)	0.07 (-)	- (-)	5999.93 (-)	- (-)	6000.00 (-)	-	-	-	-	11000.00	11000.00	1.83:1 (1.83:1)
35.	UPSIDC Power Company Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	- (-)	- (-)	5.07 (-)	- (-)	5.07 (-)	-	-	-	-	-	-	- (0)
36.	Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	34619.00 (-)	- (-)	- (-)	- (-)	34619.00 (-)	-	5568.00	16057.00	5818.00	45142.00	50960.00	1.47:1 (0.85:1)
37.	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	15543.00 (-)	- (-)	- (-)	- (-)	15543.00 (-)	-	-	14220.97	500.00	50066.97	50566.97	3.25:1 (2.34:1)

(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
38.	Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	54000.00 (-)	- (-)	- (-)	- (-)	54000.00 (-)	-	9225.00	32934.00	9600.00	66415.00	76015.00	1.41:1 (0.63:1)
39.	Poorvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	11515.00 (-)	- (-)	- (-)	- (-)	11515.00 (-)	-	-	-	375.00	39081.00	39456.00	3.43:1 (3.43:1)
	<b>Sector wise total</b>	<b>839108.09</b> (663550.13)	- (-)	<b>6005.00</b> (-)	- (-)	<b>845113.09</b> (663550.13)	<b>556045.17</b>	<b>42588.49</b>	<b>203517.90</b>	<b>88251.00</b>	<b>425566.55</b>	<b>513817.55</b>	<b>0.34:1</b> (0.67:1)
	<b>Drugs, Chemicals and Pharmaceuticals</b>												
40.	Uttar Pradesh Drugs and Pharmaceuticals Limited	55.93 (-)	- (-)	- (-)	53.73 (-)	109.66 (-)	-	-	-	-	-	-	-
	<b>Sector wise total</b>	<b>55.93</b> (-)	- (-)	- (-)	<b>53.73</b> (-)	<b>109.66</b> (-)	-	-	-	-	-	-	-
	<b>Financing</b>												
41.	Uttar Pradesh State Industrial Development Corporation Limited	2407.51 (-)	- (-)	- (-)	- (-)	2407.51 (-)	-	-	-	2782.73	570.00	3352.73	1.39:1 (1.68:1)
42.	The Pradeshiya Industrial and Investment Corporation of Uttar Pradesh Limited	11057.50 (-)	- (-)	- (-)	2500.00 (-)	13557.50 (-)	-	-	-	1750.00	49254.96	51004.96	3.76:1 (5.05:1)
43.	Uttar Pradesh Alpsankhyak Vittyta Avam Vikas Nigam Limited	2999.95 (-)	- (-)	- (-)	- (-)	2999.95 (-)	-	-	-	707.97	6447.76	7155.73	2.39:1 (2.39:1)
	<b>Sector wise total</b>	<b>16464.96</b> (-)	- (-)	- (-)	<b>2500.00</b> (-)	<b>18964.96</b> (-)	-	-	-	<b>5240.70</b>	<b>56272.72</b>	<b>61513.42</b>	<b>3.24:1</b> (4.14:1)
	<b>Miscellaneous</b>												
44.	Uttar Pradesh Export Corporation Limited	634.27 (-)	90.00 (-)	- (-)	- (-)	724.27 (-)	-	-	-	722.68	-	722.68	1.00:1 (1.03:1)
45.	Uttar Pradesh Development Systems Corporation Limited	100.00 (-)	- (-)	- (-)	- (-)	100.00 (-)	-	-	-	-	-	-	- (-)
46.	Uttar Pradesh Waqf Vikas Nigam Limited	350.00 (200.00)	- (-)	- (-)	- (-)	350.00 (200.00)	-	-	-	-	-	-	- (-)
47.	Uttar Pradesh Mahila Kalyan Nigam Limited	471.00 (-)	48.03 (-)	- (-)	- (-)	519.03 (-)	400.00	-	-	-	-	-	- (-)
48.	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	42.54 (-)	- (-)	- (-)	- (-)	42.54 (-)	-	-	-	-	-	-	- (-)
	<b>Sector wise total</b>	<b>1597.81</b> (200.00)	<b>138.03</b> (-)	- (-)	- (-)	<b>1735.84</b> (200.00)	<b>400.00</b>	-	-	<b>722.68</b>	-	<b>722.68</b>	<b>0.37:1</b> (0.48:1)
	<b>Total – A (All sector wise Working Government companies)</b>	<b>959955.04</b> (756881.75)	<b>8979.08</b> (-)	<b>20854.02</b> (-)	<b>2707.73</b> (3.23)	<b>992495.87</b> (756884.98)	<b>557503.90</b>	<b>45340.21</b>	<b>209679.39</b>	<b>121392.16</b>	<b>503319.90</b>	<b>624712.06</b>	<b>0.36:1</b> (0.65:1)

(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
<b>B</b>	<b>Working Statutory Corporations</b>												
	<b>Transport</b>												
1.	Uttar Pradesh State Road Transport Corporation	25211.49	6001.46	-	-	31212.95	-	-	6060.84	747.00	17677.04	18424.04	0.59:1 (0.71:1)
	<b>Sector wise total</b>	<b>25211.49</b>	<b>6001.46</b>	<b>-</b>	<b>-</b>	<b>31212.95</b>	<b>-</b>	<b>-</b>	<b>6060.84</b>	<b>747.00</b>	<b>17677.04</b>	<b>18424.04</b>	<b>0.59:1 (0.71:1)</b>
	<b>Financing</b>												
2.	Uttar Pradesh Financial Corporation	11450.78	-	-	6477.68	17928.46	-	-	2650.00	-	103589.19	103589.19	5.78:1 (6.23:1)
	<b>Sector wise total</b>	<b>11450.78</b>	<b>-</b>	<b>-</b>	<b>6477.68</b>	<b>17928.46</b>	<b>-</b>	<b>-</b>	<b>2650.00</b>	<b>-</b>	<b>103589.19</b>	<b>103589.19</b>	<b>5.78:1 (6.23:1)</b>
	<b>Agriculture and Allied</b>												
3.	Uttar Pradesh State Warehousing Corporation	558.25 (220.70)	558.25 (-)	- (-)	- (-)	1116.50 (220.70)	-	-	1500.00	-	10202.22	10202.22	7.63:1 (8.27:1)
	<b>Sector wise total</b>	<b>558.25 (220.70)</b>	<b>558.25 (-)</b>	<b>- (-)</b>	<b>- (-)</b>	<b>1116.50 (220.70)</b>	<b>-</b>	<b>-</b>	<b>1500.00</b>	<b>-</b>	<b>10202.22</b>	<b>10202.22</b>	<b>7.63:1 (8.27:1)</b>
	<b>Forest</b>												
4.	Uttar Pradesh Forest Corporation	-	-	-	-	-	-	-	-	-	-	-	- (-)
	<b>Sector wise total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>- (-)</b>
	<b>Miscellaneous</b>												
5.	Uttar Pradesh Avas Evam Vikas Parishad	-	-	-	-	-	-	-	-	-	-	-	- (-)
6.	Uttar Pradesh Jal Nigam	-	-	-	-	-	-	302.10	-	12331.07	644.14	12975.21	- (-)
7.	Uttar Pradesh State Employees Welfare Corporation	-	-	-	-	-	-	-	-	165.05	-	165.05	- (-)
	<b>Sector wise total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>302.10</b>	<b>-</b>	<b>12496.12</b>	<b>644.14</b>	<b>13140.26</b>	<b>- (-)</b>
	<b>Total – B (All sector wise Statutory Corporations)</b>	<b>37220.52 (220.70)</b>	<b>6559.71 (-)</b>	<b>- (-)</b>	<b>6477.68 (-)</b>	<b>50257.91 (220.70)</b>	<b>-</b>	<b>302.10</b>	<b>10210.84</b>	<b>13243.12</b>	<b>132112.59</b>	<b>145355.71</b>	<b>2.88:1 (3.12:1)</b>
	<b>Grand Total (A+B)</b>	<b>997175.56 (757102.45)</b>	<b>15538.79 (-)</b>	<b>20854.02 (-)</b>	<b>9185.41 (3.23)</b>	<b>1042753.78 (757105.68)</b>	<b>557503.90</b>	<b>45642.31</b>	<b>219890.23</b>	<b>134635.28</b>	<b>635432.49</b>	<b>770067.77</b>	<b>0.42:1 (0.75:1)</b>
<b>C.</b>	<b>Non-working Companies</b>												
	<b>Agriculture and allied</b>												
1.	Uttar Pradesh Pashudhan Udyog Nigam Limited	209.85 (-)	63.00 (-)	- (-)	- (-)	272.85 (-)	-	-	-	61.11	-	61.11	0.22:1 (0.22:1)
2.	Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited	22.73 (-)	- (-)	- (-)	8.11 (0.22)	30.84 (0.22)	-	-	-	169.70	-	169.70	5.46:1 (5.46:1)
3.	Uttar Pradesh (Rohelkhand-Tarai) Ganna Beej Evam Vikas Nigam Limited	38.25 (-)	- (-)	- (-)	32.95 (0.21)	71.20 (0.21)	-	-	-	654.87	-	654.87	9.17:1 (-)
4.	Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited	640.68 (-)	- (-)	- (-)	64.25 (-)	704.93 (-)	-	-	-	122.48	-	122.48	0.17:1 (0.17:1)

(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
5.	Uttar Pradesh Poultry and Livestock Specialities Limited	44.00 (121.75)	6.00 (121.75)	- (-)	- (-)	50.00 (243.50)	-	-	-	109.75	-	109.75	0.37:1 (0.37:1)
	<b>Sector wise total</b>	<b>955.51</b> <b>(121.75)</b>	<b>69.00</b> <b>(121.75)</b>	<b>-</b> <b>(-)</b>	<b>105.31</b> <b>(0.43)</b>	<b>1129.82</b> <b>(243.93)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1117.91</b>	<b>-</b>	<b>1117.91</b>	<b>0.81:1</b> <b>(0.36:1)</b>
	<b>Industry</b>												
6.	Mohammadabad Peoples Tannery Limited	3.06 (-)	- (-)	- (-)	2.55 (-)	5.61 (-)	-	-	-	-	-	-	- (-)
7.	Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	- (-)	- (-)	1.63 (-)	- (-)	1.63 (-)	-	-	-	-	3.00	3.00	1.84:1 (1.84:1)
8.	Auto Tractors Limited	562.59 (-)	- (-)	- (-)	187.41 (-)	750.00 (-)	-	-	-	37.50	-	37.50	0.05:1 (0.05:1)
9.	Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	-	-	177.72	15.50 (9.00)	193.22 (9.00)	-	-	-	554.62	1149.26	1703.88	8.43:1 (8.43:1)
10.	Uttar Pradesh State Brassware Corporation Limited	527.86 (-)	10.00 (-)	- (-)	- (-)	537.86 (-)	-	-	-	194.23	-	194.23	0.36:1 (0.36:1)
11.	Continental Float Glass Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	- (-)	- (-)	2921.72 (-)	1702.23 (-)	4623.95	-	-	-	-	13885.08	13885.08	3.00:1 (3.00:1)
12.	Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	- (-)	- (-)	4.85 (-)	- (-)	4.85 (-)	-	-	-	-	-	-	- (-)
13.	Uttar Pradesh Tyre and Tubes Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	- (-)	- (-)	183.16 (-)	- (-)	183.16 (-)	-	-	-	-	-	-	- (-)
	<b>Sector wise total</b>	<b>1093.51</b> <b>(-)</b>	<b>10.00</b> <b>(-)</b>	<b>3289.08</b> <b>(-)</b>	<b>1907.69</b> <b>(9.00)</b>	<b>6300.28</b> <b>(9.00)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>786.35</b>	<b>15037.34</b>	<b>15823.69</b>	<b>2.51:1</b> <b>(2.50:1)</b>
	<b>Electronics</b>												
14.	Uptron Sempack Limited (Subsidiary of Uttar Pradesh Electronics Corporation Ltd.)	- (-)	- (-)	2.55 (-)	- (-)	2.55 (-)	-	-	-	-	2.77	2.77	1.09:1 (1.09:1)
15.	Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Ltd.)	- (-)	- (-)	5.25 (-)	- (-)	5.25 (-)	-	-	-	-	-	-	- (-)
	<b>Sector wise total</b>	<b>-</b> <b>(-)</b>	<b>-</b> <b>(-)</b>	<b>7.80</b> <b>(-)</b>	<b>-</b> <b>(-)</b>	<b>7.80</b> <b>(-)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>2.77</b>	<b>2.77</b>	<b>0.36:1</b> <b>(0.36:1)</b>
	<b>Textiles</b>												
16.	Uttar Pradesh State Textile Corporation Limited	16079.37 (4653.00)	- (-)	- (-)	- (-)	16079.37 (4653.00)	-	-	-	8945.00	-	8945.00	0.43:1 (0.43:1)
17.	Uttar Pradesh Textile Printing Corporation Limited (Subsidiary of Uttar Pradesh State Handloom Corporation Limited)	16.20 (-)	- (-)	26.00 (-)	- (-)	42.20 (-)	-	-	-	-	-	-	- (-)
18.	Bhadohi Woollens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Ltd.)	- (-)	- (-)	375.54 (-)	- (-)	375.54 (-)	-	-	-	-	-	-	- (-)
	<b>Sector wise total</b>	<b>16095.57</b> <b>(4653.00)</b>	<b>-</b> <b>(-)</b>	<b>401.54</b> <b>(-)</b>	<b>-</b> <b>(-)</b>	<b>16497.11</b> <b>(4653.00)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>8945.00</b>	<b>-</b>	<b>8945.00</b>	<b>0.42:1</b> <b>(0.42:1)</b>

(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
	<b>Mining</b>												
19	Uttar Pradesh State Mineral Development Corporation Limited	5640.48 (-)	- (-)	- (-)	- (-)	5640.48 (-)	-	-	-	1949.61	-	1949.61	0.35:1 (0.35:1)
20.	Vindhyachal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	- (-)	- (-)	3.73 (-)	3.87 (-)	7.60 (-)	-	-	-	-	84.42	84.42	11.11:1 (11.11:1)
	<b>Sector wise total</b>	<b>5640.48</b> (-)	<b>-</b> (-)	<b>3.73</b> (-)	<b>3.87</b> (-)	<b>5648.08</b> (-)	-	-	-	<b>1949.61</b>	<b>84.42</b>	<b>2034.03</b>	<b>0.36:1</b> <b>(0.36:1)</b>
	<b>Area Development</b>												
21.	Uttar Pradesh Bundelkhand Vikas Nigam Limited	123.30 (-)	- (-)	- (-)	- (-)	123.30 (-)	-	-	-	5.00	-	5.00	0.04:1 (0.04:1)
22.	Uttar Pradesh Poorvanchal Vikas Nigam Limited	129.80 (-)	- (-)	- (-)	- (-)	129.80 (-)	-	-	-	35.00	-	35.00	0.27:1 (0.27:1)
23.	Bundelkhand Concrete Structurals Limited (Subsidiary of Uttar Pradesh Bundelkhand Vikas Nigam Ltd.)	- (-)	- (-)	1.22 (-)	- (-)	1.22 (-)	-	-	-	-	-	-	- (-)
24.	Allahabad Mandal Vikas Nigam Limited	67.00 (-)	- (-)	- (-)	- (-)	67.00 (-)	-	-	-	65.93	-	65.93	0.98:1 (0.98:1)
25.	Bareilly Mandal Vikas Nigam Limited	125.00 (-)	- (-)	- (-)	- (-)	125.00 (-)	-	-	-	-	-	-	- (-)
26.	Lucknow Mandaliya Vikas Nigam Limited	70.00 (-)	- (-)	- (-)	- (-)	70.00 (-)	-	-	-	85.79	-	85.79	1.22:1 (1.22:1)
27.	Agra Mandal Vikas Nigam Limited	100.00 (-)	- (-)	- (-)	- (-)	100.00 (-)	-	-	-	5.00	-	5.00	0.05:1 (0.05:1)
28.	Gorakhpur Mandal Vikas Nigam Limited	93.56 (-)	- (-)	- (-)	32.47 (-)	126.03 (-)	-	-	-	88.24	-	88.24	0.70:1 (0.70:1)
29.	Meerut Mandal Vikas Nigam Limited	100.00 (-)	- (-)	- (-)	- (-)	100.00 (-)	-	-	-	-	-	-	- (-)
30.	Varanasi Mandal Vikas Nigam Limited	70.00 (-)	- (-)	- (-)	- (-)	70.00 (-)	-	-	-	30.00	-	30.00	0.43:1 (0.43:1)
31.	Moradabad Mandal Vikas Nigam Limited	25.00 (-)	- (-)	- (-)	- (-)	25.00 (-)	-	-	-	64.60	-	64.60	2.58:1 (2.58:1)
32.	Gandak Smadesh Kshetriya Vikas Nigam Limited	46.00 (-)	- (-)	- (-)	- (-)	46.00 (-)	-	-	-	-	-	-	- (-)
	<b>Sector wise total</b>	<b>949.66</b> (-)	<b>-</b> (-)	<b>1.22</b> (-)	<b>32.47</b> (-)	<b>983.35</b> (-)	-	-	-	<b>379.56</b>	-	<b>379.56</b>	<b>0.39:1</b> <b>(0.39:1)</b>
	<b>Development of Economically Weaker Section</b>												
33.	Tarai Anusuchit Janjati Vikas Nigam Limited	45.00 (-)	- (-)	- (-)	- (-)	45.00 (-)	-	-	-	125.00	-	125.00	2.78:1 (2.78:1)
	<b>Sector wise total</b>	<b>45.00</b> (-)	<b>-</b> (-)	<b>-</b> (-)	<b>-</b> (-)	<b>45.00</b> (-)	-	-	-	<b>125.00</b>	-	<b>125.00</b>	<b>2.78:1</b> <b>(2.78:1)</b>
	<b>Cement</b>												
34.	Uttar Pradesh Cement Corporation Limited	6828.00 (-)	- (-)	- (-)	- (-)	6828.00 (-)	-	-	-	12476.52	-	12476.52	1.83:1 (1.83:1)
	<b>Sector wise total</b>	<b>6828.00</b> (-)	<b>-</b> (-)	<b>-</b> (-)	<b>-</b> (-)	<b>6828.00</b> (-)	-	-	-	<b>12476.52</b>	-	<b>12476.52</b>	<b>1.83:1</b> <b>(1.83:1)</b>

(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
	<b>Drugs, Chemicals &amp; Pharmaceuticals</b>												
35.	The Indian Turpentine and Rosin Company Limited	18.75 (-)	- (-)	- (-)	3.27 (-)	22.02 (-)	-	-	-	533.00	-	533.00	24.21:1 (24.21:1)
36.	Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Ltd.)	- (-)	- (-)	658.73 (-)	- (-)	658.73 (-)	-	-	-	1102.00	-	1102.00	1.67:1 (1.67:1)
	<b>Sector wise total</b>	<b>18.75</b> (-)	<b>-</b> (-)	<b>658.73</b> (-)	<b>3.27</b> (-)	<b>680.75</b> (-)	<b>-</b>	<b>-</b>	<b>-</b>	<b>1635.00</b>	<b>-</b>	<b>1635.00</b>	<b>2.40:1</b> (2.40:1)
	<b>Financing</b>												
37.	Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited	77.77 (-)	- (-)	-	65.68 (-)	143.45 (-)	-	-	-	-	-	-	-
38.	Uplease Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	- (-)	- (-)	100.00 (-)	5.87 (-)	105.87 (-)	-	-	-	-	414.76	414.76	3.92:1 (3.92:1)
	<b>Sector wise total</b>	<b>77.77</b> (-)	<b>-</b> (-)	<b>100.00</b> (-)	<b>71.55</b> (-)	<b>249.32</b> (-)	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>414.76</b>	<b>414.76</b>	<b>1.66:1</b> (1.66:1)
	<b>Miscellaneous</b>												
39	Uttar Pradesh Chalchitra Nigam Limited	818.22 (-)	- (-)	- (-)	0.20 (-)	818.42 (-)	-	-	-	301.43	-	301.43	0.37:1 (0.37:1)
	<b>Sector wise total</b>	<b>818.22</b> (-)	<b>-</b> (-)	<b>-</b> (-)	<b>0.20</b> (-)	<b>818.42</b> (-)	<b>-</b>	<b>-</b>	<b>-</b>	<b>301.43</b>	<b>-</b>	<b>301.43</b>	<b>0.37:1</b> (0.37:1)
	<b>Grand total (C)</b>	<b>32522.47</b> (4774.75)	<b>79.00</b> (121.75)	<b>4462.10</b> (-)	<b>2124.36</b> (9.43)	<b>39187.93</b> (4905.93)	<b>-</b>	<b>-</b>	<b>-</b>	<b>27716.38</b>	<b>15539.29</b>	<b>43255.67</b>	<b>0.98:1</b> (0.97:1)
	<b>Grand Total (A+B+C)</b>	<b>1029698.03</b> (761877.20)	<b>15617.79</b> (121.75)	<b>25316.12</b> (-)	<b>11309.77</b> (12.66)	<b>1081941.71*</b> (762011.61)	<b>557503.90</b>	<b>45642.31</b>	<b>219890.23</b>	<b>162351.66</b>	<b>650971.78</b>	<b>813323.44</b>	<b>0.44:1</b> (0.76:1)

Note: Except in respect of Companies, which finalised their accounts for 2004-05 (Serial No.A-2, 12, 13, 16 and 35) figures are provisional and as given by the companies/corporations.

\* State Government's investment was Rs.19,539.27 crore (others: Rs.7,033.51 crore). Figure as per Finance Accounts 2004-05 is Rs.14,232.31 crore. The difference is under reconciliation.



**Annexure-2**

**Summarised financial results of Government companies and Statutory corporations for the latest year for which accounts were finalised  
(Referred to in paragraphs 1.7, 1.8, 1.10, 1.11, 1.12, 1.14, 1.22 and 1.23)  
(Figures in column 7 to 12 and 15 are Rs. in lakh)**

Sl. No.	Sector and name of company/ corporation	Name of Department	Date of Incorporation	Period of accounts	Year in which accounts finalised	Net Profit/ loss(-)	Net impact of comments	Paid-up capital	Accumulated profit /loss(-)	Capital employed (A)	Total Return on capital employed	Percentage of total return on capital employed	Arrears of accounts in terms of years	Turnover	Man-power (Numbers of employees)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
<b>A. Working Government Companies</b>															
<b>Agriculture and Allied</b>															
1.	Uttar Pradesh State Agro Industrial Corporation Limited	Agriculture	29.03.1967	2002-03	2004-05	146.39	428.75	4000.00	(-)6839.42	(-)426.49	508.99	-	2	36321.61	1884
2.	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	Sugar industry and Cane Development	27.08.1975	2004-05	2005-06	(-)27.11	-	63.68	(-)112.22	86.29	(-)10.01	-	-	10.37	14
3.	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	Sugar industry and Cane Development	27.08.1975	2003-04	2005-06	(-)21.83	-	23.49	(-)47.28	299.40	(-)5.89	-	1	36.66	29
4.	Uttar Pradesh Projects Corporation Limited	Irrigation	26.05.1976	2003-04	2005-06	30.60	21.08	640.00	(-)1765.73	(-)1143.99	30.60	-	1	28156.35	769
5.	Uttar Pradesh Bhumi Sudhar Nigam	Agriculture	30.03.1978	2001-02	2004-05	2.16	45.18	150.00	(-)1.45	36376.98	2.16	0.01	3	1135.72	560
6.	Uttar Pradesh Matsya Vikas Nigam Limited	Matsya Utpadan	27.10.1979	1996-97	2003-04	21.56	-	100.00	(-)256.79	262.24	21.56	8.22	8	321.66	268
7.	Uttar Pradesh Beej Vikas Nigam	Agriculture	15.02.2002	2002-03	2005-06	126.46	-	100.00	80.00	191.27	47.56	24.86	2	3311.11	349
<b>Sector wise total</b>						<b>327.17 (-)48.94</b>		<b>5077.17</b>	<b>80.00 (-)9022.89</b>	<b>37216.18 (-)1570.48</b>	<b>610.87 (-)15.90</b>	<b>1.62</b>		<b>69293.48</b>	<b>3873</b>
<b>Industry</b>															
8.	Uttar Pradesh Small Industries Corporation	Laghu Udyog	01.06.1958	1997-98	2005-06	(-)56.83	79.21	596.05	(-)917.76	1205.10	(-) 56.83	-	7	7548.60	364
9.	Uttar Pradesh State Leather Development and Marketing Corporation Limited	Niryat Protsahan	12.02.1974	2000-01	2002-03	26.16	-	573.94	(-)685.60	531.10	31.36	9.42	4	360.44	50
10.	UPSIC Potteries Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	Laghu Udyog	27.04.1976	1991-92	2004-05	(-)52.88	0.65	76.26	(-)325.59	40.54	(-) 30.10	-	13	29.43	124
<b>Sector wise total</b>						<b>26.16 (-)109.71</b>		<b>1246.25</b>	<b>- (-)1928.95</b>	<b>1776.74 -</b>	<b>31.36 (-)86.93</b>	<b>-</b>		<b>7938.47</b>	<b>538</b>

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
	<b>Electronics</b>														
11.	Uttar Pradesh Electronics Corporation Limited	Electronics and Information Technology	20.03.1974	2003-04	2005-06	(-)50.77	-	8765.98	(-)179.78	9434.43	(-)50.77	-	1	242.16	37
12.	Uptron Powertronics Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics and Information Technology	10.04.1977	2004-05	2005-06	(-)61.59	-	407.00	(-)923.19	383.33	(-)7.49	-	-	786.05	121
13.	Shreetron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics and Information Technology	01.02.1979	1.10.2003 to 31.3.2005	2005-06	59.96	-	721.71	(-) 530.53	820.39	65.49	7.98	-	121.04	20
14.	Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics and Information Technology	18.10.1979	1995-96	1997-98	(-)3212.23	-	5315.59	(-) 19693.43	5206.05	(-) 406.07	-	9	9715.47	Not available
	<b>Sector wise total</b>					<b>59.96</b> <b>(-)3324.59</b>		<b>15210.28</b>	<b>-</b> <b>(-)21326.93</b>	<b>15844.20</b> <b>-</b>	<b>65.49</b> <b>(-)464.33</b>			<b>10864.72</b>	<b>178</b>
	<b>Textiles</b>														
15.	Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	Textile	20.08.1974	2003-04	2005-06	(-) 1854.66	-	3190.52	(-)10676.22	(-)3014.52	(-)1467.99	-	1	2763.41	1100
16.	Uttar Pradesh State Spinning Company Limited	Textile	20.08.1976	2004-05	2005-06	(-)901.92	-	8864.85	(-)11122.32	4331.40	(-)824.30	-	-	13864.46	6932
	<b>Sector wise total</b>					<b>-</b> <b>(-)2756.58</b>		<b>12055.37</b>	<b>-</b> <b>(-)21798.54</b>	<b>4331.40</b> <b>(-)3014.52</b>	<b>-</b> <b>(-)2292.29</b>	<b>-</b>		<b>16627.87</b>	<b>8032</b>
	<b>Handloom and Handicrafts</b>														
17.	Uttar Pradesh State Handloom Corporation Limited	Hathkargha Evam Vastra Udyog	09.01.1973	1991-92	2005-06	(-)286.79	-	1375.49	(-)1694.33	6476.70	(-)149.82		13	12669.20	2374
	<b>Sector wise total</b>					<b>(-)286.79</b>		<b>1375.49</b>	<b>(-)1694.33</b>	<b>6476.70</b>	<b>(-)149.82</b>			<b>12669.20</b>	<b>2374</b>
	<b>Construction</b>														
18.	Uttar Pradesh State Bridge Corporation Limited	Public Works	18.10.1972	2002-03	2005-06	(-)2284.19	17.21	1000.00	(-)836.17	4345.77	(-)2206.61		2	17185.74	7110
19.	Uttar Pradesh Rajkiya Nirman Nigam Limited	Public Works	01.05.1975	2003-04	2005-06	191.44	-	100.00	1054.79	1743.85	221.43	12.70	1	35838.54	3795
20.	Uttar Pradesh Police Avas Nigam Limited	Home	27.03.1987	2003-04	2004-05	27.78	-	300.00	596.98	901.76	27.78	3.08	1	322.66	152
	<b>Sector wise total</b>					<b>219.22</b> <b>(-)2284.19</b>		<b>1400.00</b>	<b>1651.77</b> <b>(-)836.17</b>	<b>6991.38</b> <b>-</b>	<b>249.21</b> <b>(-)2206.61</b>	<b>-</b>		<b>53346.94</b>	<b>11057</b>

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
	<b>Development Of Economically Weaker Section</b>														
21.	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	Samaj Kalyan	25.03.1975	1997-98	2004-05	108.48	-	7362.67	836.02	15800.34	216.45	1.37	7	625.22	562
22.	Uttar Pradesh Samaj Kalyan Nirman Nigam Limited	Samaj Kalyan	25.06.1976	2003-04	2005-06	143.88	-	15.00	917.53	915.98	143.88	15.71	1	15117.08	625
23.	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	Pichra Varg Kalyan	26.04.91	1998-99	2005-06	45.82	-	810.00	73.72	2696.48	108.25	4.01	6	136.79	15
	<b>Sector wise total</b>					<b>298.18</b>		<b>8187.67</b>	<b>1827.27</b>	<b>19412.80</b>	<b>468.58</b>	<b>2.41</b>		<b>15879.09</b>	<b>1202</b>
						-		-	-	-					
	<b>Public Distribution</b>														
24.	Uttar Pradesh Food and Essential Commodities Corporation Limited	Food and Civil Supplies	22.10.1974	1988-89	2003-04	(-)204.64	4.05	327.50	(-)300.48	548.59	(-)101.90	-	16	3090.21	765
	<b>Sector wise total</b>					<b>(-)204.64</b>		<b>327.50</b>	<b>(-)300.48</b>	<b>548.59</b>	<b>(-)101.90</b>	<b>-</b>		<b>3090.21</b>	<b>765</b>
	<b>Sugar</b>														
25.	Uttar Pradesh State Sugar Corporation Limited	Sugar Industry and Cane Development	26.03.1971	2002-03	2005-06	(-)17120.65	420.48	59066.77	(-)49529.01	110862.75	(-)5882.35		2	41717.68	813
26.	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	Sugar Industry and Cane Development	18.04.1975	2002-03	2005-06	(-)1135.44	-	3618.28	(-)5660.29	1947.01	(-)1034.96	-	2	2574.09	222
27.	Nandganj Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	Sugar Industry and Cane Development	18.04.1975	2001-02	2005-06	(-)1209.03	-	3404.05	(-)12791.53	(-)3294.80	(-)325.05	-	3	1776.06	787
28.	Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	Sugar Industry and Cane Development	30.05.1986	2001-02	2004-05	(-)662.64	13.44	894.86	(-)5555.34	(-)1749.80	(-)196.82	-	3	1045.48	693
29.	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited	Sugar Industry and Cane Development	16.05.2002	*			-						3		Not available
	<b>Sector wise total</b>					<b>-</b>		<b>66983.96</b>	<b>-</b>	<b>112809.76</b>	<b>-</b>	<b>-</b>		<b>47113.31</b>	<b>2515</b>
						<b>(-)20127.76</b>			<b>(-)73536.17</b>	<b>(-)5044.60</b>	<b>(-)7439.18</b>				

\* First account not received.

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
	<b>Tourism</b>														
30.	Uttar Pradesh State Tourism Development Corporation Limited	Tourism	05.08.1974	2002-03	2004-05	(-)154.50	16.55	1512.53	(-)913.30	860.36	(-)153.00	-	2	1264.01	603
	<b>Sector wise total</b>					<b>(-)154.50</b>		<b>1512.53</b>	<b>(-)913.30</b>	<b>860.36</b>	<b>(-)153.00</b>	<b>-</b>		<b>1264.01</b>	<b>603</b>
	<b>Power</b>														
31.	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	Energy	22.08.1980	2003-04	2004-05	(-)18487.18	9330.00	179706.62	(-)105787.32	269822.95	15806.53	5.85	1	288729.53	12000
32.	Uttar Pradesh Jal Vidyut Nigam Limited	Energy	15.04.1985	2003-04	2005-06	(-)747.31	8197.00	37288.44	(-)14190.55	34151.27	21.96	0.06	1	6858.84	792
33.	Uttar Pradesh Power Corporation Limited	Energy	30.11.1999	2002-03	2004-05	(-)83961.70	30370.00	435355.46	(-)507234.41	569227.50	(-)46208.00	-	2	661020.57	Not available
34.	Kanpur Electricity Supply Company Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	Energy	21.07.1999	2001-02	2005-06	(-)8941.15	6366.77	6000.00	(-)16687.94	17325.11	(-)1063.18	-	3	61042.61	3107
35.	UPSIDC Power Company Limited (Subsidiary of Uttar Pradesh State Industrial Corporation Limited)	Energy	11.04.2000	2004-05	2005-06	0.09		5.07	0.09	-	-	-	-	-	-
36.	Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	Energy	01.05.2003	*									2		
37.	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	Energy	01.05.2003	*									2		
38.	Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	Energy	01.05.2003	*									2		
39.	Poorvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	Energy	01.05.2003	*									2		
	<b>Sector wise total</b>					<b>0.09</b> <b>(-)112137.34</b>		<b>658355.59</b>	<b>0.09</b> <b>(-)643900.22</b>	<b>890526.83</b> <b>-</b>	<b>15828.49</b> <b>(-)47271.18</b>	<b>-</b>		<b>1017651.55</b>	<b>15899</b>

\* First accounts after becoming State Government company has not been received.

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
	<b>Drugs, Chemicals and Pharmaceuticals</b>														
40.	Uttar Pradesh Drugs and pharmaceuticals Limited	Industrial Development											1		
	<b>Financing</b>														
41.	Uttar Pradesh State Industrial Development Corporation Limited	Industrial Development	29.03.1961	2002-03	2004-05	1264.32	120.00	2407.51	1801.48	9464.31	1833.14	19.37	2	4432.15	703
42.	The Pradshiya Industrial and Investment Corporation of Uttar Pradesh Limited	Industrial Development	29.03.1972	2003-04	2004-05	(-)13834.76	-	13557.50	(-)50025.97	83881.20	(-)5118.40		1	3397.97	320
43.	Uttar Pradesh Alpsankhyak Vittyta Avam Vikas Nigam Limited	Alpsankhyak Kalyan Avam Waqf	17.11.1984	1992-93	2004-05	5.64	0.17	407.50	(-)19.75	755.92	5.64	0.75	12	29.90	24
	<b>Sector wise total</b>					<b>1269.96</b> <b>(-)13834.76</b>		<b>16372.51</b>	<b>1801.48</b> <b>(-)50045.72</b>	<b>94101.43</b> <b>-</b>	<b>1838.78</b> <b>(-)5118.40</b>			<b>7860.02</b>	<b>1047</b>
	<b>Miscellaneous</b>														
44.	Uttar Pradesh Export Corporation Limited	Niryat Protasahan	20.01.1966	1999-2000	2004-05**	(-)261.49	-	704.27	(-)1762.69	(-)177.71	(-)242.90	--	5	880.06	144
45.	Uttar Pradesh Development Systems Corporation Limited	Electronics and Information technology	15.03.1977	2002-03	2005-06	38.00	-	100.00	(-)32.09	67.91	38.00	55.96	2	256.41	125
46.	Uttar Pradesh Waqf Vikas Nigam Limited	Alpsankhyak Kalyan Avam Waqf	27.04.1987	1996-97	2004-05	6.34	-	150.00	9.46	53.58	6.34	11.83	8	14.02	20
47.	Uttar Pradesh Mahila Kalyan Nigam Limited	Mahila Avam Bal Kalyan	17.03.1988	2000-01	2005-06	(-)17.91	-	25.00	(-)103.61	145.20	(-)17.91	-	4	6.72	16
48.	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	Samaj Kalyan	23.05.1989	2001-02	2005-06	331.58		42.54	1013.47	1062.24	331.58	31.22	3	3539.81	132
	<b>Sector wise total</b>					<b>375.92</b> <b>(-)279.40</b>		<b>1021.81</b>	<b>1022.93</b> <b>(-)1898.39</b>	<b>1328.93</b> <b>(-)177.71</b>	<b>375.92</b> <b>(-)260.81</b>	<b>1.00</b>		<b>4697.02</b>	<b>437</b>
	<b>Total (A-Working Government companies)</b>					<b>(-)152972.54</b>		<b>789126.13</b>	<b>(-)820818.53</b>	<b>1182417.99</b>	<b>(-)46091.65</b>	<b>-</b>		<b>1268295.89</b>	<b>48520</b>
<b>B. Working Statutory Corporations</b>															
	<b>Transport</b>														
1.	Uttar Pradesh State Road Transport Corporation	Transport	01.06.1972	31.10.2003 to 31.3.2004	2005-06	(-)1928.54	3981.00	31212.95	(-)73996.81	(-)21918.67	(-)1260.70	-	1	1009.53	45960
	<b>Sector wise total</b>					<b>(-)1928.54</b>		<b>31212.95</b>	<b>(-)73996.81</b>	<b>(-)21918.67</b>	<b>(-)1260.70</b>	<b>-</b>		<b>1009.53</b>	<b>45960</b>

\* First accounts after becoming State Government company has not been received.

\*\* The Company submitted revised accounts for the year 1999-2000.

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
	<b>Financing</b>														
2.	Uttar Pradesh Financial Corporation	Industrial Development	01.11.1954	2003-04	2005-06	(-)9273.95	-	17928.46	(-)81784.02	133683.96	5004.95	3.74	1	7531.07	1006
	<b>Sector wise total</b>					<b>(-)9273.95</b>		<b>17928.46</b>	<b>(-)81784.02</b>	<b>133683.96</b>	<b>5004.95</b>	<b>3.74</b>		<b>7531.07</b>	<b>1006</b>
	<b>Agriculture and Allied</b>														
3.	Uttar Pradesh State Warehousing Corporation	Co-operative	19.03.1958	2003-04	2004-05	973.49	25.17	1337.20	7556.30	21720.28	2201.68	10.14	1	12436.91	1947
	<b>Sector wise total</b>					<b>973.49</b>		<b>1337.20</b>	<b>7556.30</b>	<b>21720.28</b>	<b>2201.68</b>	<b>10.14</b>		<b>12436.91</b>	<b>1947</b>
	<b>Forest</b>														
4.	Uttar Pradesh Forest Corporation	Forest	25.11.1974	1998-99	1999-2000	(+)2930.30	-	-	35245.27	35756.00	2930.00	8.19	6	16284.00	2858
	<b>Sector wise total</b>					<b>(+)2930.30</b>	<b>-</b>	<b>-</b>	<b>35245.27</b>	<b>35756.00</b>	<b>2930.00</b>	<b>8.19</b>		<b>16284.00</b>	<b>2858</b>
	<b>Miscellaneous</b>														
5.	Uttar Pradesh Avas Evam Vikas Parishad	Avas	03.04.1966	1998-99	2004-05	1728.17	-	-	6688.74	12073.30	2135.60	17.68	6	7674.75	3724
6.	Uttar Pradesh Jal Nigam	Urban Development	06.06.1975	2003-04	2005-06	(-)5597.89			(-)20523.71	387931.13	(-)4002.46		1	15307.60	16623
7.	Uttar Pradesh State Employees Welfare Corporation	Food & Civil Supplies	05.05.1965	1998-99*	2004-05	102.81			264.14	(-)807.38	149.30	-	6	7491.22	1562
	<b>Sector wise total</b>					<b>1830.98</b>	<b>-</b>	<b>-</b>	<b>6952.88</b>	<b>400004.43</b>	<b>2284.90</b>			<b>30473.57</b>	<b>21909</b>
	<b>Total B( Working Statutory Corporations)</b>					<b>(-)5597.89</b>			<b>(-)20523.71</b>	<b>(-)807.38</b>	<b>(-)4002.46</b>				
	<b>Grand Total (A+B)</b>					<b>(-)11065.61</b>		<b>50478.61</b>	<b>(-)126550.09</b>	<b>568438.62</b>	<b>7158.37</b>	<b>1.26</b>	<b>-</b>	<b>67735.08</b>	<b>73680</b>
	<b>Grand Total (A+B)</b>					<b>(-)164038.15</b>		<b>839604.74</b>	<b>(-)947368.62</b>	<b>1750856.61</b>	<b>(-)38933.28</b>			<b>1336030.97</b>	<b>122200</b>
	<b>C. Non Working companies</b>														
	<b>Agriculture and Allied</b>														
1.	Uttar Pradesh Pashudhan Udyog Nigam Limited	Pashudhan	05.03.1975	1999-2000	2005-06	(-)95.10		272.85	(-)705.32	329.94	(-)68.34	-	5	22.28	Not available
2.	Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited	Sugar Industry and Cane Development	27.08.1975	2002-03	2004-05	(-)18.38	-	30.84	(-)54.75	152.67	(-)14.19	-	2	4.53	19
3.	Uttar Pradesh (Roohelkhand Tarai) Ganna Beej Evam Vikas Nigam Limited	Sugar Industry and Cane Development	27.08.1975	2001-02	2003-04	(-)64.82	-	71.20	(-)420.73	323.22	(-)64.82	-	3	12.77	21
4.	Uttar Pradesh Horticultural Produce Marketing and Processing Corporation Limited	Agriculture	06.04.1977	1984-85	1994-95	(-) 66.57	-	190.76	(-) 255.33	80.72	(-) 51.97	-	20		330

\* Audit of Annual Accounts for the year 1998-99 is under progress.

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
5.	Utaar Pradesh Poultry & Livestock Specialities Limited	Pashudhan	07.12.1974	2000-01	2005-06	(-)23.34		50.00	(-)152.76	81.27	(-)23.19	-	4	6.68	32
	<b>Sector wise total</b>					-		<b>615.65</b>	-	<b>967.82</b>	-			<b>46.26</b>	<b>402</b>
	<b>Industry</b>					<b>(-)268.21</b>			<b>(-)1588.89</b>	<b>-</b>	<b>(-)222.51</b>				
6.	Mohammadabad Peoples Tannery Limited	Planning	21.12.1964	1976-77	1992-93	(-) 0.01	-	5.61	(-) 4.26	1.35	(-) 0.01	-	28		Not available
7.	Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	Laghu Udyog	28.06.1972	1974-75	1984-85	(-) 0.81	-	0.92	(-) 0.81	6.79	(-) 0.81	-	Under liquidation from 11/2003	0.39	Not available
8.	Auto Tractors Limited	Industrial Development	28.12.1972	1991-92	1995-96	10.71	-	750.00	(-) 6482.96	1114.18	36.32	3.26	13	631.34	Not available
9.	Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	Industrial Development	1.01.1975	2001-02	2005-06	(-)28.57		193.22	(-)3874.89	34.99	(-)26.79	-	3	16.22	259
10.	Uttar Pradesh State Brassware Corporation Limited	Niryat Protsahan	12.02.1974	1995-96	2004-05	2.69		537.86	(-)761.30	313.31	17.28	5.52	9	70.51	Not available
11.	Continental Float Glass Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	Industrial Development	12.04.1985	1997-98	2002-03	-	-	4623.95	-	8387.22	-	-	Under liquidation 01.04.2002	Company went into liquidation when it was under construction	Not available
12.	Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	Industrial Development	28.06.1972	1975-76	-	(-)1.55	-	4.85	-	12.39	(-)0.41	-	Under liquidation from 19.04.1986		Not available
13.	Uttar Pradesh Tyre and Tubes Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	Industrial Development	14.01.1976	1992-93	-	(-) 217.08	-	183.16	(-) 996.09	(-) 405.96	209.53	-	Under liquidation from 09.01.1996	138.08	-
	<b>Sector wise total</b>					<b>13.40</b>		<b>6299.59</b>	-	<b>9870.23</b>	<b>263.13</b>			<b>856.54</b>	<b>259</b>
						<b>(-)248.02</b>			<b>(-)12120.31</b>	<b>(-)405.96</b>	<b>(-)28.02</b>				

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
	<b>Electronics</b>														
14.	Uptron Sempack Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics and Information Technology	23.05.1977	1979-80	1983-84	(-) 0.78	-	2.55	(-) 3.37	1.86	(-) 0.36	-	Under liquidation from 10.06.1996	0.05	Not available
15.	Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics and Information Technology	31.03.1978	*	-	-	-	5.25	-	-	-	-	Under liquidation from 10.06.1996	Under Construction	Not available
	<b>Sector wise total</b>					<b>(-)0.78</b>		<b>7.80</b>	<b>(-)3.37</b>	<b>1.86</b>	<b>(-)0.36</b>	<b>-</b>		<b>0.05</b>	<b>-</b>
	<b>Textiles</b>														
16.	Uttar Pradesh State Textile Corporation Limited	Industrial Development	02.12.1969	2001-02	2005-06	(-)8654.24	-	16079.37	(-)38303.87	(-)1621.21	(-)7767.51	-	3	20.80	Not available
17.	Uttar Pradesh Textile Printing Corporation Limited (Subsidiary of Uttar Pradesh State Handloom Corporation Limited)	Hathkargha Avam Vastra Udyog	05.12.1975	1990-91	2002-03	(-)17.98		26.00	(-)33.50	53.25	(-)16.13	-	14	475.42	74
18.	Bhadohi Woollens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	Industrial Development	14.06.1976	1994-95	-	(-) 165.77	-	375.54	(-) 1195.91	(-) 49.09	85.35	-	Under liquidation from 20.2.1996	26.72	-
	<b>Sector wise total</b>					<b>-</b> <b>(-)8837.99</b>		<b>16480.91</b>	<b>-</b> <b>(-)39533.28</b>	<b>53.25</b> <b>(-)1670.30</b>	<b>85.35</b> <b>(-)7783.64</b>			<b>522.94</b>	<b>74</b>
	<b>Mining</b>														
19.	Uttar Pradesh State Mineral Development Corporation Limited	Industrial Development	23.03.1974	2003-04	2005-06	(-)2079.88		5640.48	(-)6672.84	884.48	(-)2079.88	-	1	1529.33	3
20.	Vindhyachal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	Industrial Development	05.12.1985	1987-88	1995-96	(-) 11.78	-	0.002*	(-)11.30	0.79	(-) 10.86	-	Under liquidation from 28.11.2002	0.22	
	<b>Sector wise total</b>					<b>-</b> <b>(-)2091.66</b>		<b>5640.48</b>	<b>-</b> <b>(-)6684.14</b>	<b>885.27</b> <b>-</b>	<b>-</b> <b>(-)2090.74</b>			<b>1529.55</b>	<b>3</b>
	<b>Area Development</b>														
21.	Uttar Pradesh Bundelkhand Vikas Nigam Limited	Bhumi Vikas Avam Jal Sansadhan	30.03.1971	1991-92	1997-98	(-) 8.72	-	123.30	(-)129.27	(-) 0.98	(-) 8.71	-	13	46.21	Not available

\* Accounts not finalised since inception.  
\* Rs.270 only.



(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
22.	Uttar Pradesh Poorvanchal Vikas Nigam Limited	Bhumi Vikas Avam Jal Sansadhan	30.03.1971	1987-88	1994-95	(-)13.64	-	114.80	(-)107.90	19.02	(-)13.64	-	17	130.37	Not available
23.	Bundelkhand Concrete Structural Limited (Subsidiary of Uttar Pradesh Bundelkhand Vikas Nigam Limited)	Bhumi Vikas Avam Jal Sansadhan	02.03.1974	1986-87	1993-94	(-) 0.01	-	2.40	(-) 0.65	4.45	(-) 0.01	-	18	Nil	Not available
24.	Allahabad Mandal Vikas Nigam Limited	Bhumi Vikas Avam Jal Sansadhan	31.01.1976	1983-84	1992-93	(-) 11.42	-	67.00	(-) 11.42	39.52	(-) 3.97	-	21		Not available
25.	Bareilly Mandal Vikas Nigam Limited	Bhumi Vikas Avam Jal Sansadhan	31.01.1976	1.7.1986 to 30.6.1987	2004-05	7.82	-	125.00	(-)82.26	506.72	7.82	1.54	18	318.57	Not available
26.	Lucknow Mandaliya Vikas Nigam Limited	Bhumi Vikas Avam Jal Sansadhan	31.01.1976	1981-82	1992-93	0.44	-	50.00	1.49	60.57	0.52	0.86	23	169.69	Not available
27.	Agra Mandal Vikas Nigam Limited	Bhumi Vikas Avam Jal Sansadhan	31.03.1976	1987-88	2004-05	6.34	-	100.00	(-)26.79	116.85	11.41	9.76	17	788.63	Not available
28.	Gorakhpur Mandal Vikas Nigam Limited	Bhumi Vikas Avam Jal Sansadhan	31.03.1976	1985-86	1995-96	2.36	-	122.03	(-) 118.16	61.31	2.36	3.85	19	353.94	Not available
29.	Meerut Mandal Vikas Nigam Limited	Bhumi Vikas Avam Jal Sansadhan	31.03.1976	1998-99	2005-06	(-)18.57	-	100.00	(-)112.94	37.16	(-)18.57	-	6	1.08	1
30.	Varanasi Mandal Vikas Nigam Limited	Bhumi Vikas Avam Jal Sansadhan	31.03.1976	1987-88	1993-94	(-)2.71	-	70.00	(-)26.38	88.29	(-)2.71	-	17	147.02	Not available
31.	Moradabad Mandal Vikas Nigam Limited	Bhumi Vikas Avam Jal Sansadhan	30.03.1978	1988-89	1999-2000	(-)11.23	-	25.00	(-)21.80	68.73	(-)0.57	-	16	146.74	Not available
32.	Gandak Samadesh Kshetriya Vikas Nigam Limited	Bhumi Vikas Avam Jal Sansadhan	15.03.1975	1976-77	-	0.28	-	46.00	-	46.27	0.28	0.61	Under liquidation from 07.06.1977	-	Not available
	<b>Sector wise total</b>					<b>17.24 (-)66.30</b>		<b>945.53</b>	<b>1.49 (-)637.57</b>	<b>1048.89 (-)0.98</b>	<b>22.39 (-)48.18</b>			<b>2102.25</b>	
	<b>Development Of Economically Weaker Section</b>														
33.	Tarai Anusuchit Janjati Vikas Nigam Limited	Samaj Kalyan	02.08.1975	1982-83	1990-91	(-) 4.00	-	45.00	0.45	70.44	(-) 4.00	-	22	3.13	Not available
	<b>Sector wise total</b>					<b>(-)4.00</b>		<b>45.00</b>	<b>0.45</b>	<b>70.44</b>	<b>(-)4.00</b>	-		<b>3.13</b>	<b>-</b>
	<b>Cement</b>														
34.	Uttar Pradesh State Cement Corporation Limited	Industrial Development	29.03.1972	1995-96	1996-97	(-)4775.52	-	6828.00	(-) 42599.38	(-) 23980.30	(-) 2291.33	-	9	11301.85	Not available
	<b>Sector wise total</b>					<b>(-)4775.52</b>		<b>6828.00</b>	<b>(-)42599.38</b>	<b>(-)23980.30</b>	<b>(-)2291.33</b>	-		<b>11301.85</b>	<b>-</b>

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
	<b>Drugs, Chemicals &amp; Pharmaceuticals</b>														
35	The Indian Turpentine and Rosin Company Limited	Industrial Development	22.02.1924	2002-03	2003-04	(-)256.94		22.02	(-)3706.93	(-)3013.41	(-)240.94	-	2	5.64	Not available
36.	Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	Industrial Development	23.04.1979	1992-93	-	(-) 617.54	-	658.73	(-) 3531.51	(-) 1844.86	(-) 50.57	-	Under liquidation from 19.02.1994	226.21	Not available
	<b>Sector wise total</b>					<b>(-)874.48</b>		<b>680.75</b>	<b>(-)7238.44</b>	<b>(-)4858.27</b>	<b>(-)291.51</b>	-		<b>231.85</b>	
	<b>Financing</b>														
37.	Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited	Panchayati Raj	24.04.1973	1990-91	2002-03	0.28		133.46	0.66	148.17	0.35	0.24	14	47.90	52
38.	Uplease Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics and Information Technology	05.01.1988	1997-98	1998-99	(-) 39.55	-	105.87	(-) 39.53	534.08	14.43	2.70	7	128.63	
	<b>Sector wise total</b>					<b>(+)0.28</b> <b>(-)39.55</b>		<b>239.33</b>	<b>(+)0.66</b> <b>(-)39.53</b>	<b>682.25</b>	<b>14.78</b>	<b>2.17</b>		<b>176.53</b>	<b>52</b>
	<b>Miscellaneous</b>														
39.	Uttar Pradesh Chalchitra Nigam Limited	Tax Registration	10.09.1975	2000-01	2005-06	(-)104.75		818.42	(-)1129.43	260.94	(-)54.75	-	4	20.39	Not available
	<b>Sector wise total</b>					<b>(-)104.75</b>		<b>818.42</b>	<b>(-)1129.43</b>	<b>260.94</b>	<b>(-)54.75</b>	-	<b>4</b>	<b>20.39</b>	
	<b>Grand total C</b>					<b>(-)17280.34</b>		<b>38601.46</b>	<b>(-)111571.74</b>	<b>(-)17074.86</b>	<b>(-)12429.39</b>			<b>16791.34</b>	<b>791</b>
	<b>Grand total (A+B+C)</b>					<b>(-)181318.49</b>		<b>878206.20</b>	<b>(-)1058940.36</b>	<b>1733781.75</b>	<b>(-)51362.67</b>			<b>1352822.31</b>	<b>122991</b>

Note: (A) Capital employed represents net fixed assets (including capital work-in-progress) plus working capital except in case of financing companies/corporations where the capital employed is worked out as a mean of aggregate of the opening and closing balances of paid-up capital, free reserves, bonds, deposits and borrowings (including refinance).

(B) Companies at serial No. C- 17 is under merger.

(C) Companies at serial No. C-7, 11, 12, 13, 14, 15, 18, 20, 32, and 36 are under liquidation. Respective dates of liquidation are:

Sl.No.	Date of liquidation	Sl.No.	Date of liquidation	Sl.No.	Date of liquidation	Sl.No.	Date of liquidation	Sl.No.	Date of liquidation	Sl.No.	Date of liquidation
07	November 2003	11	01.04.1978	12	01.09.1973	13	19.04.1986	14	09.01.1996	15	10.06.1996
18	20.02.1996	20	28.11.2002	32	07.06.1977	36	19.02.1994				

**Annexure-3**

**Statement showing subsidy received, guarantees received, waiver of dues, loans on which moratorium allowed and loans converted into equity during the year and subsidy receivable and guarantees outstanding at the end of March 2005  
(Referred to in Paragraphs 1.6 and 1.20)  
(Figures in columns 3(a) to 7 are in Rs. in lakh)**

SI No.	Name of the Public Sector Undertaking	Subsidy and grant received during the year <sup>1</sup>				Guarantees received during the year and outstanding at the end of the year <sup>2</sup>					Waiver of dues during the year				Loans on which moratorium allowed	Loans converted into equity during the year
		Central Government	State Government	Others	Total	Cash credit from banks	Loans from other sources	Letters of credit opened by banks in respect of imports	Payment obligation under agreement with foreign consultants or contractors	Total	Loans repayment written off	Interest waived	Penal interest waived	Total		
(1)	(2)	3(a)	3(b)	3(c)	3(d)	4(a)	4(b)	4(c)	4(d)	4(e)	5(a)	5(b)	5(c)	5(d)	(6)	(7)
<b>A. Working Government Companies</b>																
1.	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited					129.75 (129.75)				129.75 (129.75)						
2.	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	-	-	-	-	500.00 (241.04)	-	-	-	500.00 (241.04)	-	-	-	-	-	-
3.	Uttar Pradesh Beej Vikas Nigam Limited	-	74.72	-	74.72	-	-	-	-	-	-	-	-	-	-	-
4.	Uttar Pradesh Electronics Corporation Limited	-	150.00 <sup>3</sup>	-	150.00 <sup>3</sup>	-	-	-	-	-	-	-	-	-	-	-
5.	Shreeron India Limited	-	-	-	-	-	-	-	-	-	-	-	-	-	-	307.00
6.	Uttar Pradesh State Yarn Company Limited	-	6.55 <sup>3</sup>	-	6.55 <sup>3</sup>	-	-	-	-	-	-	-	-	-	-	-
7.	Uttar Pradesh State Handloom Corporation Limited	-	1000.00 <sup>3</sup>	-	1000.00 <sup>3</sup>	(1640.00)	-	-	-	(1640.00)	-	-	-	-	-	-
8.	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	-	760.00 <sup>3</sup> 10477.98	-	760.00 <sup>3</sup> 10477.98	-	-	-	-	-	-	-	-	-	-	-
9.	Uttar Pradesh Pichhra Varg Vitta Evam Vika Nigam Limited	-	-	-	-	-	416.00 (378.00)	-	-	416.00 (378.00)	-	-	-	-	-	-
10.	Uttar Pradesh State Food and Essential Commodities Corporation Limited	-	-	-	-	1225.00 (1225.00)	1500.00 (240.00)	-	-	2725.00 (1465.00)	-	-	-	-	-	-
11.	Uttar Pradesh State Sugar Corporation Limited	-	-	-	-	37710.00 (-)	-	-	-	37710.00 (-)	-	-	-	-	-	-
12.	Uttar Pradesh Rajya Chini Evam Ganna Vikas Nigam Limited	-	-	-	-	1884.00 (1884.00)	-	-	-	1884.00 (1884.00)	-	-	-	-	-	-
13.	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	-	-	-	-	-	7000.00 (7000.00)	-	-	7000.00 (7000.00)	-	-	-	-	-	-
14.	Uttar Pradesh Jal Vidyut Nigam Limited	-	1050.00	-	1050.00	-	-	-	-	-	-	-	-	-	-	-
15.	Uttar Pradesh Power Corporation Limited	-	105566.00 10244.50 <sup>3</sup>	-	105566.00 10244.50 <sup>3</sup>	-	82713.00 (56853.00)	14500.00 (14500.00)	-	97213.00 (71353.00)	-	-	-	-	-	-
16.	Uttar Pradesh State Industrial Development Corporation Limited	1139.71	- 814.33 <sup>3</sup>	-	1139.71 814.33 <sup>3</sup>	-	- (570.00)	-	-	- (570.00)	-	-	-	-	-	-

(1)	(2)	3(a)	3(b)	3(c)	3(d)	4(a)	4(b)	4(c)	4(d)	4(e)	5(a)	5(b)	5(c)	5(d)	(6)	(7)
17.	Uttar Pradesh Development Systems Corporation Limited	-	105.00 <sup>3</sup>	-	105.00 <sup>2</sup>	-	-	-	-	-	-	-	-	-	-	-
	<b>Total – A</b>	<b>1139.71</b>	<b>117168.70</b> <b>13080.38<sup>3</sup></b>		<b>118308.41</b> <b>13080.38<sup>3</sup></b>	<b>41448.75</b> <b>(5119.79)</b>	<b>91629.00</b> <b>(65041.00)</b>	<b>14500.00</b> <b>(14500.00)</b>		<b>147577.75</b> <b>(84660.79)</b>						<b>307.00</b>
<b>B. Statutory Corporations</b>																
1	Uttar Pradesh State Road Transport Corporation	-	-	-	-	-	10200.00 (9497.79)	-	-	10200.00 (9497.79)	-	-	-	-	-	-
2.	Uttar Pradesh Financial Corporation	-	-	-	-	-	1650.00 (51673.00)	-	-	1650.00 (51673.00)	-	-	-	-	-	-
3	Uttar Pradesh State Warehousing Corporation	338.12	-	-	338.12	-	4000.00 (12.57)	-	-	4000.00 (12.57)	-	-	-	-	-	-
4	Uttar Pradesh Jal Nigam		7691.00 <sup>3</sup>		7691.00 <sup>3</sup>	-	(644.14)			(644.14)						-
5	Uttar Pradesh State Employees Welfare Corporation															-
	<b>Total-B</b>	<b>338.12</b>	<b>7691.00<sup>3</sup></b>		<b>338.13</b> <b>7691.00<sup>2</sup></b>	<b>-</b> <b>(644.14)</b>	<b>15850.00</b> <b>(61183.36)</b>			<b>15850.00</b> <b>(61827.50)</b>						-
	<b>Grand Total (A)+(B)</b>	<b>1477.83</b>	<b>117168.70</b> <b>20771.38<sup>3</sup></b>		<b>118646.53</b> <b>20771.38<sup>3</sup></b>	<b>41448.75</b> <b>(5763.93)</b>	<b>107479.00</b> <b>(126224.36)</b>	<b>14500.00</b> <b>(14500.00)</b>		<b>163427.75</b> <b>(146488.29)</b>						<b>307.00</b>
<b>C. Non Working Government Companies</b>																
1.	Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited	-	-	-	-	-	(55.51)	-	-	(55.51)	-	-	-	-	-	-
2.	Uttar Pradesh Textile Printing Corporation Limited					(2200.00)				(2200.00)						-
3.	Uttar Pradesh State Mineral Development Corporation Limited	-	-	-	-		(537.00)			(537.00)						-
4.	The Indian Turpentine and Rosin Company Limited	-	-	-	-		(479.68)			(479.68)						-
5.	Uttar Pradesh Carbide and Chemicals Limited					(335.00)				(335.00)						-
	<b>Total C</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(2535.00)</b>	<b>(1072.19)</b>	<b>-</b>	<b>-</b>	<b>(3607.19)</b>						
	<b>Grand total (A+B+C)</b>	<b>1477.83</b>	<b>117168.70</b> <b>20771.38<sup>3</sup></b>		<b>118646.53</b> <b>20771.38<sup>3</sup></b>	<b>41448.75</b> <b>(8298.93)</b>	<b>107479.00</b> <b>(127296.55)</b>	<b>14500.00</b> <b>(14500.00)</b>	<b>-</b>	<b>163427.75</b> <b>(150095.48)</b>						<b>307.00</b>

<sup>1</sup> Subsidy/Grant includes subsidy/Grant receivable at the end of year which is shown in brackets.  
<sup>2</sup> Figures in bracket indicate guarantees outstanding at the end of the year.  
<sup>3</sup> Grant.

**Annexure-4**  
**Statement showing financial position of Statutory corporations**  
**(Referred to in paragraph 1.8)**

**Working Statutory corporations**

**1. Uttar Pradesh State Road Transport Corporation**

(Rs. in crore)

Particulars	2001-2002	2002-03	01.04.2003 to 30.10.2003	31.10.2003 to 31.03.2004
<b>A. Liabilities</b>				
Capital (including capital loan and equity capital)	321.37	321.37	321.37	312.13
Borrowings:				
Government				
Central	9.00	-		
State	10.65	9.65	9.40	7.47
Others	118.03	143.85	166.88	175.37
Funds	0.62	0.69	1.02	1.23
Trade dues and other current liabilities (including provisions)	675.15	776.32	801.59	603.07
Uttar Pradesh and Uttaranchal State Road Transport Corporation reorganisation settlement account	-	-	-	26.41
<b>Total A</b>	<b>1134.82</b>	<b>1251.88</b>	<b>1300.26</b>	<b>1125.68</b>
<b>B. Assets</b>				
Gross Block	645.91	712.89	778.46	698.19
Less: Depreciation	466.49	483.04	509.46	437.31
Net fixed assets	179.42	229.85	269.00	260.88
Capital work in progress (including of cost of chassis)	5.55	9.94	8.70	9.62
Investments	1.87	1.74	1.74	1.83
Current Assets, Loans and Advances	116.70	105.96	117.53	113.38
Accumulated Losses	831.28	904.39	903.29	739.97
<b>Total B</b>	<b>1134.82</b>	<b>1251.88</b>	<b>1300.26</b>	<b>1125.68</b>
<b>C. Capital employed*</b>	<b>(-) 373.48</b>	<b>(-) 430.57</b>	<b>(-) 406.36</b>	<b>(-) 219.19</b>

**2. Uttar Pradesh Financial Corporation**

(Rs. in crore)

Particulars	2001-02	2002-03 (Provisional)	2003-04 (Provisional)
<b>A. Liabilities</b>			
Paid-up capital	179.28	179.28	179.28
Share application money	-	-	-
Reserve fund and other reserves and surplus	20.23	20.25	20.12
Borrowings			
(i) Bonds and debentures	820.76	799.33	755.82
(ii) Fixed deposits	-	-	7.78
(iii) Industrial Development Bank of India and Small Industries Development Bank of India	375.16	354.18	365.01
(iv) Reserve Bank of India	20.00	-	-
(v) Loans in lieu of share capital			
(a) State Government	-	-	-
(b) Industrial Development Bank of India	-	-	-
(c) New Okhla Industrial Development Authority	-	-	-
(vi) Others (including State Govt.)	1.50	31.50	1.50
Other Liabilities and Provision	377.16	373.85	425.24
<b>Total A</b>	<b>1794.09</b>	<b>1758.39</b>	<b>1754.75</b>

\* Capital employed represents net fixed assets (including capital work-in-progress) plus working capital.

(Rs. in crore)

Particulars	2001-02	2002-03 (Provisional)	2003-04 (Provisional)
<b>B. Assets</b>			
Cash and Bank balances	22.95	34.66	27.75
Investments	102.42	68.02	37.03
Loans and Advances	973.78	916.87	847.19
Net Fixed Assets	16.58	14.65	14.01
Other Assets	36.38	12.03	10.93
Misc. Expenditure	-	-	-
Profit and Loss Account	641.98	712.16	817.84
<b>Total B</b>	<b>1794.09</b>	<b>1758.39</b>	<b>1754.75</b>
<b>C. Capital Employed*</b>	<b>1390.63</b>	<b>1380.50</b>	<b>1336.84</b>

### 3. Uttar Pradesh State Warehousing Corporation

(Rs. in crore)

Particulars	2001-02	2002-03	2003-04
<b>A. Liabilities</b>			
Paid up capital	13.37	13.37	13.37
Reserves and surplus	86.00	90.68	93.21
Subsidy	0.30	-	-
Borrowings:			
Government	-	-	-
Others	131.07	123.53	110.62
Trade Dues and Current Liabilities (including provisions)	65.55	60.42	61.05
<b>Total A</b>	<b>296.29</b>	<b>288.00</b>	<b>278.25</b>
<b>B. Assets</b>			
Gross Block	247.13	274.03	278.96
Less Deprecation	21.49	42.16	49.05
Net Fixed Assets	225.64	231.87	229.91
Capital work-in-progress	7.00	1.94	(-) 0.55
Current Assets, Loans and Advances	63.65	54.19	48.89
Profit and Loss Account	-	-	-
<b>Total B</b>	<b>296.29</b>	<b>288.00</b>	<b>278.25</b>
<b>Capital Employed**</b>	<b>230.74</b>	<b>227.58</b>	<b>217.20</b>

### 4. Uttar Pradesh Forest Corporation

(Rs. in crore)

Particulars	1996-97	1997-98	1998-99
<b>A. Liabilities</b>			
Reserve and Surplus	287.35	323.15	352.45
Borrowings	0.16	0.16	0.16
Current Liabilities (including provisions)	73.52	103.87	147.54
Other Liabilities	7.00	7.00	7.00
<b>Total A</b>	<b>368.03</b>	<b>434.18</b>	<b>507.15</b>
<b>B. Assets</b>			
Net Fixed Assets	10.92	10.31	11.16
Current Assets, Loans and Advances	354.64	421.45	493.94
Accumulated loss	-	-	-
Miscellaneous Expenditure	2.47	2.42	2.05
<b>Total B</b>	<b>368.03</b>	<b>434.18</b>	<b>507.15</b>
<b>C. Capital employed**</b>	<b>292.04</b>	<b>327.89</b>	<b>357.56</b>

\* Capital employed represents the mean of the aggregate of opening and closing balances of paid-up capital, Seed money, debentures, reserves (other than those which have been funded specifically and backed by Investment outside), bonds, deposits and borrowings (including refinance).

\*\* Capital employed represents the net fixed assets (including capital work-in-progress) plus working capital.

## 5. Uttar Pradesh Avas Evam Vikas Parishad

(Rs. in crore)

Particulars	1996-97	1997-98 Revised Accounts (Provisional)	1998-99 (Provisional)
<b>A. Liabilities</b>			
Surplus	35.88	49.61	66.89
Borrowings	158.75	130.91	121.63
Deposits	46.82	76.59	111.82
Current Liabilities (including Registration Fee)	250.78	274.14	290.26
Excess of assets over liabilities	0.03	1.49	0.43
<b>Total A</b>	<b>492.26</b>	<b>532.74</b>	<b>591.03</b>
<b>B. Assets</b>			
(i) Net Fixed Assets	1.39	1.50	1.73
(ii) Investments	84.29	103.30	180.04
(iii) Current Assets, Loans and Advances	406.58	427.94	409.26
<b>Total B</b>	<b>492.26</b>	<b>532.74</b>	<b>591.03</b>
<b>C. Capital employed*</b>	<b>157.19</b>	<b>155.30</b>	<b>120.73</b>

## 6. Uttar Pradesh Jal Nigam

(Rs. in crore)

Particulars	2001-02	2002-03 (Provisional)	2003-04 (Provisional)
Particulars			
<b>A. Liabilities</b>			
Borrowings	342.93		
Loans fund			
(i) From LIC		14.13	14.52
(ii) From UP Government		285.58	287.97
(iii) From Banks			7.63
Grants from Government	4476.30	3886.88	3964.73
Deposits	1211.09		
Current Liabilities	1565.11		
Centage on material unconsumed	31.01	27.77	24.51
Other liabilities		1784.86	2477.32
(i) Deposits (deposit received for project)	1211.09	1311.93	1402.01
(ii) Provision for gratuity		6.85	5.32
Pension and Gratuity	6.00		
Unclassified Reserve	19.70		
Project transferred from LSGED to Jal Nigam		9.08	9.06
<b>Total A</b>	<b>7652.14</b>	<b>7327.08</b>	<b>8193.07</b>
<b>B. Assets</b>			
Gross Block	1021.96	23.52	25.93
Less: Depreciation	24.73	8.57	8.84
Net Fixed Assets	997.23	14.95	17.09
Investments	302.89		
PF Invested		206.71	195.60
Project			
(i) Material		237.92	219.09
(ii) Work in progress		3305.31	3600.51
(iii) Completed rural water project maintained by UP Jal Nigam		917.38	824.27
(iv) Rural water work project cost of LSGED transferred to UP Jal Nigam		9.08	9.08
Current Assets	6226.67	1984.11	2622.80
Loans and advances		502.36	499.39
Deficit	125.35	149.26	205.24
<b>Total B</b>	<b>7652.14</b>	<b>7327.08</b>	<b>8193.07</b>
<b>C. Capital employed*</b>	<b>5658.79</b>	<b>3837.47</b>	<b>3879.31</b>

\* Capital employed represents net fixed assets (including capital work-in-progress) plus working capital.

**Annexure-5**  
**Statement showing working results of Statutory corporations**  
**(Referred to in paragraph 1.8)**

**A. Working Statutory corporations**

**1. Uttar Pradesh State Road Transport Corporation**

(Rs. in crore)

Particulars	2001-02	2002-03	1.04.03 to 30.10.03 (Provisional)	31.10.03 to 31.03.04 (Provisional)
<b>Operating</b>				
(a) Revenue	662.70	741.04	489.36	304.96
(b) Expenditure	833.19	847.76	508.58	327.66
(c) Surplus (+)/Deficit (-)	(-) 170.49	(-) 106.72	(-) 19.22	(-) 22.70
<b>Non operating</b>				
(a) Revenue	64.31	71.24	12.51	10.09
(b) Expenditure	15.27	19.70	10.73	6.68
(c) Surplus (+)/Deficit (-)	49.04	51.54	1.78	3.41
<b>Total</b>				
(a) Revenue	727.01	812.28	501.87	315.05
(b) Expenditure	848.46	867.46	519.31	334.34
(c) Net Profit (+)/Loss (-)	(-) 121.45	(-) 55.18	(-) 17.44	(-) 19.29
Interest on Capital and Loans	15.27	19.70	10.73	6.68
Total return on Capital employed	(-) 106.18	(-) 35.48	(-) 6.71	(-) 12.61
Percentage of total return on capital employed	-	-	-	-

**2. Uttar Pradesh Financial Corporation**

(Rs. in crore)

Particulars	2001-02	2002-03 (Provisional)	2003-04 (Provisional)
<b>1 Income</b>			
(a) Interest on loans	93.73	85.59	65.62
(b) Other Income	10.42	7.40	9.72
(c) NPA Provision written back	7.96	4.02	-
(d) Depreciation investment written back			0.08
<b>Total 1</b>	<b>112.11</b>	<b>97.01</b>	<b>75.42</b>
<b>2. Expenses</b>			
(a) Interest on long term	155.94	142.06	142.79
(b) Provision for non performing assets	-	-	-
(c) Other expenses	28.37	25.13	25.37
<b>Total 2</b>	<b>184.31</b>	<b>167.19</b>	<b>168.16</b>
3. Profit (+)/Loss (-) before tax (1-2)	(-) 72.20	(-) 70.18	(-) 92.74
4. Other appropriations	-	-	-
5. Amount available for dividend*	-	-	-
6. Dividend paid/payable	-	-	-
7. Total return on capital employed	83.74	71.88	50.05
8. Percentage of return on capital employed	6.02	5.21	3.74

**3. Uttar Pradesh State Warehousing Corporation**

(Rs. in crore)

Particulars	2001-02	2002-03	2003-04
<b>1. Income</b>			
(a) Warehousing charges	184.13	167.18	124.08
(b) Other Income	0.28	0.77	0.29
<b>Total 1</b>	<b>184.41</b>	<b>167.95</b>	<b>124.37</b>
<b>2. Expenses</b>			
(a) Establishment charges	24.13	24.80	25.92

\* Represents profit of current year available for dividend after considering the specific reserves and provision for taxation.



(Rs. in crore)			
Particulars	2001-02	2002-03	2003-04
(b) Interest	3.18	17.65	12.28
(c) Other expenses	126.94	114.37	76.43
<b>Total 2</b>	<b>154.25</b>	<b>156.82</b>	<b>114.63</b>
3.Profit (+)/Loss (-) before tax	30.16	11.13	9.74
4. Other appropriations	-	-	-
5. Amount available for dividend	-	-	-
6. Dividend for the year	1.20	1.20	1.20
7. Total return on capital employed	33.34	28.78	22.02
8 Percentage of return on capital employed	14.45	12.65	10.14

#### 4. Uttar Pradesh Forest Corporation

(Rs. in crore)			
Particulars	1996-97	1997-98	1998-99
<b>1. Income</b>			
Sales	178.37	128.12	162.84
Other Income	29.86	27.84	35.43
Closing Stock	67.92	106.77	147.67
<b>Total 1</b>	<b>276.15</b>	<b>262.73</b>	<b>345.94</b>
<b>2. Expenditure</b>			
Purchases	39.07	74.06	124.25
Other Expenses	75.25	84.95	85.62
Opening Stock	117.19	67.92	106.77
<b>Total 2</b>	<b>231.51</b>	<b>226.93</b>	<b>316.64</b>
Net Profit	44.64	35.80	29.30
Total return on capital employed	44.64	35.80	29.30
Percentage of return on capital employed	15.29	10.92	8.19

#### 5. Uttar Pradesh Avas Evam Vikas Parishad

(Rs. in crore)			
Particulars	1996-97	1997-98 (Revised Accounts) (Provisional)	1998-99 (Provisional)
<b>1 Income</b>			
(a) Income from property	51.81	52.07	46.61
(b) Other Income	15.89	23.80	30.14
<b>Total 1</b>	<b>67.70</b>	<b>75.87</b>	<b>76.75</b>
<b>2. Expenditure</b>			
(a) Establishment	25.87	30.39	36.16
(b) Interest	14.63	11.34	4.07
(c) Other expenses	19.70	20.41	19.24
<b>Total 2</b>	<b>60.20</b>	<b>62.14</b>	<b>59.47</b>
3. Excess of income over expenditure	7.50	13.73	17.28
4. Total return on capital employed	22.13	25.07	21.35
5. Percentage of total return on capital employed	14.08	16.14	17.68

#### 6. Uttar Pradesh Jal Nigam

(Rs. in crore)			
Particulars	2001-02	2002-03	2003-04 (Provisional)
1.Income			
Centage	87.34	62.61	49.53
Survey and project fee	5.87	5.78	6.36
Receipt from consumers for scheme maintained by Jal Nigam		18.52	26.58

(Rs. in crore)			
Particulars	2001-02	2002-03	2003-04 (Provisional)
Other income		6.68	5.25
Income from financing activities		26.57	37.91
Revenue grant			
(i) From UP Government for maintenance		42.38	15.08
(ii) From Government for HRD		0.59	
Income of C&DS		21.21	26.07
Income of Nalkoop wing		1.32	1.37
Interest	59.78		
Grant	57.76		
Others	37.96		
<b>Total 1</b>	<b>248.71</b>	<b>185.66</b>	<b>168.15</b>
2. Expenditure			
Establishment charges/operating expenses	150.78	160.02	156.10
Expenditure on maintenance	47.18	29.72	31.42
Interest	26.90	19.31	15.95
Other expenses	22.01		
Depreciation	0.95	0.53	0.44
Expenditure of C&DS		15.36	19.19
Expenditure of Nalkoop Nigam		0.96	1.03
<b>Total 2</b>	<b>247.82</b>	<b>225.90</b>	<b>224.13</b>
Deficit (-)/Surplus (+)	(+) 0.89	(-) 40.24	(-) 55.98
Total return on capital employed	27.79	(-) 20.93	(-) 40.03
Percentage of total return on capital employed	0.49	-	-

## Annexure-6

### Statement showing operational performance of Statutory corporations

(Referred to in paragraph 1.13)

#### A. Working Statutory corporations

##### 1. Uttar Pradesh State Road Transport Corporation

Particulars	2001-02	2002-03	1.04.2003 to 31.10.2003	1.11.2003 to 31.03.2004
<b>Average number of vehicle held</b>				
(a) Own buses	6186	6333	6597	5639
(b) Hired buses	1008	902	1220	1166
<b>Total</b>	<b>7194</b>	<b>7235</b>	<b>7817</b>	<b>6805</b>
Average number of vehicles on the road	5460	5700	6020	5276
Percentage of utilisation of vehicles (Corporation)	88	90	91	94
Number of employees	45960	44508	38195	37747
Employee vehicle ratio	7.43:1	7.03:1	5.21:1	5.82:1
Number of routes operated at the end of year	2145	2055	2190	1840
Route Kilometres	470140	437747	490949	397076
Kilometres operated (in lakh)				
(a) Gross	6975	7126	4734	2962
(b) Effective				
(i) Own buses	5742	6012	3857	2377
(ii) Hired buses	1057	940	735	478
Total effective km. Operated	6799	6952	4592	2855
(c) Dead km.	176	174	124	89
Percentage of dead Kms. to gross kilometres	2.52	2.44	1.57	2.05
Average kilometres covered per bus per day	258	262	275	276
Average operating expenditure per kilometre (Paise)	1247	1219	1126	1164
Average operating revenue per kilometre (Paise)	1068	1066	1089	1097
Increase in operating expenditure per kilometre over previous year's expenditure (per cnet)	2.89	-		
Profit (+)/Loss (-) per Km. (Paise)	(-) 179	(-) 153	(-) 37	(-) 67
Number of operating depots	115	116	116	98
Average number of breakdowns per lakh kilometres	3.77	3.59	2.92	2.27
Average number of accidents per lakh kilometres	0.15	0.17		
Passenger Kms. Operated (in crore)	2043	2167	1409	846
Occupancy ratio	57	59	59	57
Kilometers obtained per litre of:				
(a) Diesel oil	4.82	4.79	4.85	4.94
(b) Engine oil	1093	1058	1214	1611

##### 2. Uttar Pradesh Financial Corporation

(Amount: Rs. in crore)

Particulars	2001-02		2002-03		2003-04	
	Number	Amount	Number	Amount	Number	Amount
1	2	3	4	5	6	7
Application pending at the beginning of the year	38	12.09	26	14.82	66	35.34
Applications received	766	246.35	890	406.08	360	164.83
<b>Total</b>	<b>804</b>	<b>258.44</b>	<b>916</b>	<b>420.90</b>	<b>426</b>	<b>200.17</b>
Applications sanctioned	620	161.73	610	203.77	266	94.42
Applications cancelled /withdrawn /rejected/reduced	158	81.89	240	181.79	121	76.48
Applications pending at the close of the year	26	14.82	66	35.34	39	29.27

\* Balance Sheet of previous period was not prepared in two parts, hence increase or decrease from corresponding period cannot be calculated.

1	2	3	4	5	6	7
Loans disbursed	386	100.19	610	203.77	241	86.55
Loan outstanding at the close of the year	16193	973.78	15602	916.87	14625	847.19
Amount overdue for recovery at the close of the year						
(a) Principal	-	238.68	-	246.54	--	274.99
(b) Interest	-	1001.07	-	1132.61	--	1735.47
<b>Total (a+b)</b>	-	<b>1239.75</b>	-	<b>1379.15</b>		<b>2010.46</b>
Amount involved in recovery certificate cases	-	691.33	-	1227.06	--	865.39
<b>Total</b>	-	<b>691.33</b>	-	<b>1227.06</b>		<b>865.39</b>
Percentage of overdue to the total loans outstanding		127.31		150.42	--	237.31

### 3. Uttar Pradesh State Warehousing Corporation

Particulars	2001-02	2002-03	2003-04
Number of stations covered	185	147	152
Storage capacity created up to the end of the year (tonne in lakh)			
(a) Owned	19.48	24.13	24.16
(b) Hired	15.00	3.53	3.24
<b>Total (a+b)</b>	<b>34.48</b>	<b>27.66</b>	<b>27.40</b>
Average capacity utilised during the year (tonne in lakh)	33.81	24.30	25.82
Percentage of utilisation	98.06	87.85	94.23
Average revenue per tonne per year (Rupees)	545.42	574.97	481.68
Average expenses per tonne per year (Rupees)	456.21	536.86	443.97
Profit (+)/Loss (-) per tonne (Rupees)	(+) 89.21	(+)38.11	(+) 37.71

### 4. Uttar Pradesh Forest Corporation

Particulars	1997-98	1998-99	1999-2000
1. Timber including Sawn Timber (in lakh cubic meters)			
(a) Opening balance*	3.92	6.70	7.53
(b) Sales	1.87	3.19	4.35
(c) Losses/Shortages	-	-	-
(d) Departmental use and other disposal	0.02	0.01	-
(e) Closing balance	2.03	3.50	3.18
2. Tendu leaves (Standard bags in lakh)			
(a) Opening balance*	4.41	4.40	5.61
(b) Sales	4.19	3.97	5.23
(c) Losses/Shortages	-	-	-
(d) Closing balance	0.22	0.43	0.38
3. Bamboo (Scores in lakh)			
(a) Opening balance*	2.51	3.44	2.07
(b) Sales	0.90	2.63	1.47
(c) Losses/Shortages	-	-	-
(d) Closing balance	1.61	0.81	0.60
4. Agriculture Produce (Qtls. in lakh)			
(a) Opening balance*	0.38	0.22	0.22
(b) Sales	0.33	0.20	0.21
(c) Losses/Shortages	-	0.01	-
(d) Closing balance	0.05	0.01	0.01
5. Baile Grass (Qtls. in lakh)			
(a) Opening balance*	0.30	0.42	0.36
(b) Sales	0.15	0.19	0.22
(c) Losses/Shortages	-	0.01	-
(d) Closing balance	0.15	0.22	0.14
6. Jari-Buti (in lakh kg.)			
(a) Opening balance*	3.41	6.67	9.29
(b) Sales	0.28	1.12	4.43
(c) Losses/Shortages	0.01	0.03	0.34
(d) Closing balance	3.12	5.52	4.52

\* Opening balance includes production during the year.

**Annexure-7**  
**Statement showing comments on Internal Audit/Internal Control**  
**(Referred to in paragraph 1.34)**

Sl. No.	Name of the Company	Comment
1.	Uttar Pradesh State Agro Industrial Corporation Limited	Formal Internal Audit system does not exist in the Corporation.
2.	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	The company does not have any internal audit system.
3.	Uttar Pradesh Project Corporation Limited	The scope of audit and also the periodicity of reporting is inadequate. Internal audit is conducted after completion of financial year.
4.	Uttar Pradesh Rajkiya Nirman Nigam Limited, Lucknow.	The system of internal audit and its compliance is not satisfactory nor does it commensurate with the size and nature of the Company.
5.	Uttar Pradesh Samaj Kalyan Nirman Nigam Limited	The internal audit system of the company needs to be strengthened in order to be commensurate with the size of the company and nature of its business.
6.	Chhata Sugar Company Limited	The internal audit system is not commensurate with the nature and size of the business of the company. The internal audit system of the company is very weak and it should be headed by a proper qualified person.
7.	Uttar Pradesh Export Corporation Limited	The Company does not have an internal audit system.
8.	The Pradeshiya Industrial & Investment Corporation of Uttar Pradesh	The internal audit system is not commensurate with the size of the business of the Corporation. The strength of staff in internal audit cell is not adequate in number and qualification keeping in view the size and nature of Corporation's business. Besides, internal audit function was carried out only after the end of the year and was not concurrent during the year 2003-04. The coverage of internal audit report is limited to account section of the Corporation and no examination of operating system, such as recovery bill section, investment section etc., was carried out. The follow up of internal audit report is not being ensured in a time bound manner.
9.	Uttar Pradesh Waqf Vikas Nigam Limited	Internal audit system of the company needs to be strengthened.
10.	Uttar Pradesh Mahila Kalyan Nigam Limited	No internal audit system is in force.
11.	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	The system, coverage and scope of internal audit need to be strengthened.

**Annexure-8**

**Statement showing companies whose turnover has been less than Rupees five crore during the last five years for which accounts have been certified (Referred to in paragraph 1.35)**

SI No.	Name of the company	Latest finalised Account (Year)	Turnover (Rs. in lakh)				
			Latest year	Second Year	Third Year	Fourth Year	Fifth Year
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
1.	Uttar Pradesh State Leather Development and Marketing Corporation Limited	2000-01	360.44	193.35	165.94	193.19	157.90
2.	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	2004-05	10.37	26.39	27.10	114.87	142.87
3.	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	2003-04	36.66	43.46	44.68	59.12	90.51
4.	Uttar Pradesh Matsya Vikas Nigam Limited	1996-97	321.66	289.92	265.81	270.09	233.44
5.	UPSIC Potteries Limited	1991-92	29.43	16.50	19.59	15.80	9.86
6.	Uttar Pradesh Alpsankhyak Vittiya Evam Vikas Nigam Limited	1992-93	29.90	26.15	36.11	24.57	28.62
7.	Uttar Pradesh Development Systems Corp. Limited	2002-03	256.41	229.15	178.67	239.67	210.34
8.	Uttar Pradesh Waqf Vikas Nigam Limited	1996-97	14.02	14.98	15.08	15.45	16.80
9.	Uttar Pradesh Mahila Kalyan Nigam Limited	2000-01	6.72	22.19	19.76	17.25	17.79
10.	Uttar Pradesh Pichhra Varg Vitta Evam Vikas Nigam Limited	1998-99	136.79	124.92	19.31	72.85	14.06

### Annexure-9

#### Statement showing companies incurring losses for five consecutive years leading to negative net worth (Referred to in paragraph 1.35)

(Rs. in lakh)

Sl. No.	Name of company	Date of Incorporation	Losses for five consecutive years leading to negative net worth					
			Year	1995-96	1994-95	1993-94	1992-93	1991-92
1.	Uptron India Limited	18.10.1979	<b>Year</b>	<b>1995-96</b>	<b>1994-95</b>	<b>1993-94</b>	<b>1992-93</b>	<b>1991-92</b>
			Net worth	(-) 14377.84	(-) 11165.41	(-) 8112.91	(-) 5653.09	(-) 4214.43
			Loss for year	3212.23	3118.95	3044.82	2638.66	2855.52
2.	Uttar Pradesh State Yarn Company Limited	20.08.1974	<b>Year</b>	<b>2003-04</b>	<b>2002-2003</b>	<b>2001-2002</b>	<b>2000-2001</b>	<b>1999-2000</b>
			Net worth	(-)5309.79	(-) 3455.04	(-) 3937.27	(-) 3384.15	(-) 4589.14
			Loss for year	(-)1854.66	690.17	571.61	806.23	906.82
3.	Nandganj Sihori Sugar Company Limited	18.04.1975	<b>Year</b>	<b>2001-02</b>	<b>2000-01</b>	<b>1999-2000</b>	<b>1998-99</b>	<b>1997-98</b>
			Net worth	(-)9348.20	(-)8139.17	(-) 6778.46	(-) 5813.21	(-) 4845.62
			Loss for year	(-)1209.03	(-)1469.82	959.32	945.25	809.13
4.	Ghatampur Sugar Company Limited	30.05.1986	<b>Year</b>	<b>2001-2002</b>	<b>2000-2001</b>	<b>1999-2000</b>	<b>1998-99</b>	<b>1997-98</b>
			Net worth	(-) 4660.48	(-) 1248.44	(-)3521.21	(-) 894.86	(-) 2352.37
			Loss for year	662.64	476.64	548.52	625.83	404.08
5.	UPSIC Potteries Limited	27.04.1976	<b>Year</b>	<b>1991-92</b>	<b>1990-91</b>	<b>1989-90</b>	<b>1988-89</b>	<b>1987-88</b>
			Net worth	(-)249.33	(-) 196.48	(-) 149.43	(-) 129.77	(-) 93.44
			Loss for year	(-)52.88	47.05	36.67	36.33	26.57
6.	Uptron Powertronics Limited	10.04.1977	<b>Year</b>	<b>2004-05</b>	<b>2003-2004</b>	<b>2002-2003</b>	<b>2001-2002</b>	<b>October 1999 to March 2001</b>
			Net worth	(-)516.19	(-) 604.59	(-) 486.92	(-) 441.06	(-) 148.21
			Loss for year	(-)61.59	116.04	195.02	282.05	108.63
7.	The Pradeshiya Industrial & Investment Corporation of Uttar Pradesh Limited	29.03.1972	<b>Year</b>	<b>2003-04</b>	<b>2002-2003</b>	<b>2001-2002</b>	<b>2000-2001</b>	<b>1999-2000</b>
			Net worth	(-)36468.45	(-) 22633.69	(-) 17939.83	(-) 10547.51	(-) 3665.22
			Loss for year	(-)13834.76	4693.86	7392.32	6882.29	5968.76

**Annexure-10**

**Statement showing paid-up capital, investment and summarised working results of 619-B companies as per their latest finalised accounts  
(Referred to in paragraph 1.37)**

(Figures in column 5 to 20 are in Rs. in lakh)

Sl. No.	Name of company	Status (working /Non-working)	Year of account	Paid-up capital	Equity by				Loans by			Grants by			Total investment by way of equity, loans and grants			Profit (+) /Loss (-)	Accumulated profit (+)/loss (-)
					State Government	State Government companies	Central Government and their companies	Others	State Government	State Government companies	Central Government and their companies	State Government	State Government companies	Central Government and their companies	State Government	State Government companies	Central Government and their companies		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)	(19)	(20)
1.	Almora Magnesite Limited	Working	2004-05	200.00	-	82.00 (41.00)	40.00 (20.00)	78.00 (39.00)	-	25.00	-	-	-	-	-	107.00	40.00	(+) 14.15	(-)90.51
2.	Command Area Poultry Development Corporation Limited	Non-working	1994-95	24.25	NA*	NA	NA	NA	-	-	-	25.00	-	-	25.00	-	-	(+)0.003	(-) 7.06
3.	Electronics & Computers (India) Limited	Non-working**		-	NA	NA	NA	NA	-	-	-	-	-	-	-	-	-	-	-
4.	Steel & Fasteners Limited	Non-working	1978-79	90.00	-	55.00 (61.11)	-	35.00 (38.89)	-	-	-	-	-	-	-	55.00	-	(-)45.00	-

Note: Figures in bracket indicates percentage to paid-up-capital in column No. 7, 8 and 9.

\* Information not furnished by the Company.

\*\* Accounts not finalised since inception.



**Annexure-11**

**Statement showing the details of delay in acquisition of land  
(Referred to in paragraph 2.1.10)**

Sl. Nos.	District	Village	Area of land (in acres)	Date of payment	Amount paid (Rs. in lakh)	Delay in months beyond one year
1.	Bulandsahar	Joly	188.410	24.11.01	54.16	28
		Malpur	175.315	24.11.01	58.35	28
		Mehtabpur	271.740	3.1.02	79.13	26
		Naglacitti	263.190	21.3.98	38.32	72
		Nizampur	306.320	21.3.98	95.57	72
				9.1.01	95.57	38
2.	Ghaziabad	Pachania	284.770	3.9.02	99.88	18
		Lutphullapur	80.048	13.9.02	148.05	18
		Elaichipur	210.00	29.1.01	147.33	38
				20.1.01	115.76	38
		Meerpur	562.750	30.12.02	585.26	15
		Massauri	404.76	10.8.03	398.89	7
		Gulawati		11.12.03	5.12	3
3.	Rampur	Naglabadwa	85.09	13.11.02	42.02	16
4.	Etah	Kurawali	1.110	31.12.03	0.26	3
5.	Unnao					
		Banther (Green belt)	12.859	13.12.02	5.91	15
		Leather complex	4.344	25.11.00	1.43	51
		SEZ, Devrakalan	14.341	26.5.03	180.08	10
6.	Chandauli	Ram Nagar	75.478	31.3.02	39.88	24
7.	Mau/Odhara	Odhara,Katra	92.182	29.9.02	35.18	16
		Tejopur,	1.758	31.3.94		120
		Odhara	0.187	31.3.94	0.20	
		Beharipur	1.995	31.3.94		
8.	Barabanki	Gujjaur	12.500	3.2.98	2.40	73
9.	Shahjahanpur	Jamaur	13.470	28.5.02	6.19	22
10.	Moradabad	Road broadification work of Pakwada, Sambhal, Hasimpur, Mahalakpur	57.635	15.7.03	17.51	8
		Lalpur ganjwari,	4.751	17.12.03	2.97	3
		Bahadurpur	217.960			
		Malipur	143.500			
		Gangadhar ki bedi	75.960			
		Ratanpur	199.440			
		Fazalpur	5.920			
		Lalpur Ganjawari	32.960	4.8.03	468.49	7
11.	Sultanpur	Trishundi	0.228	16.8.01	0.54	31
		<b>Total</b>	<b>3800.971</b>		<b>2724.45</b>	

## Annexure-12

**Statement showing industrial areas where the allotment of plots remained below 50 per cent**

**(Referred to in paragraph 2.1.28)**

Sl nos.	Region/ Industrial area	Plots available for allotment		Allotment done			Un-allotted Plots		Percentage of allotment	Rate per Acre	Value (Rs. in lakh)
		Nos	Acres	Unit	Plot	Area	Nos	Acres			
	<b>Lucknow</b>										
1.	Chatoh Ind. Estate	18	5.16	--	--	--	18	185.16	Nil	2.43	12.54
2.	Deeh	4	0.53	1	1	0.20	3	0.33	37.74	2.43	0.80
3.	Jamo	4	2.37	--	--	--	4	2.37	Nil	1.21	2.87
	<b>Agra</b>										
4.	Bhogaon	190	169.61	11	74	50.61	116	113.00	29.84	4.05	457.65
	<b>Kanpur</b>										
5.	Sumerpur	297	320.40	138	149	155.49	148	164.91	48.53	5.06	834.44
6.	GC, Jhansi	90	45.38	13	21	17.03	69	28.35	37.52	9.31	263.94
7.	GC, Jainpur	462	118.58	292	293	57.55	169	61.03	48.53	8.50	518.76
	<b>Allahabad</b>										
8.	Bargarh II Industrial	127	55.62	2	3	2.69	124	52.93	4.84	3.04	160.91
	<b>Total</b>	<b>1192</b>	<b>717.65</b>				<b>651</b>	<b>608.08</b>			<b>2251.91</b>

### Annexure-13

**Statement showing non-utilisation of plots by the allottees in the various industrial areas for the period of five years as on 31 March 2005**

**(Referred to in paragraph 2.1.28)**

Sl.No.	Industrial Areas	No. of industrial areas	No. of plots	Area (Sqm)	Date of allotment	Value of plots (Rs. In lakh)
1.	Basti	1	4	8468	13.10.81 to 3.5.01	12.70
2.	Deoria	1	6	5871.16	18.1.90 to 28.11.91	8.81
3.	Khalilabad	1	20	45930.24	31.3.86 to 15.1.03	114.83
4.	Mau	1	34	29395.41	10.3.84 to 12.10.03	117.58
5.	Sidhwan	1	8	23986.90	4.5.92 to 7.4.95	29.98
6.	Burendi	1	7	5676	19.11.92 to 22.2.97	5.68
7.	Naini	1	13	2600	<b>11.12.73 to 6.3.2000</b>	7.80
8.	Amausi	1	3	14175	11.12.86 to 20.11.96	113.40
9.	Sarojani Nagar	1	19	28570	25.5.79 to 8.4.96	228.56
10.	Faizabad I&II	2	7	20137.81	20.6.89 to 28.4.99	50.34
11.	Lakhimpur	1	9	7549.95	20.3.92 to 19.12.98	15.10
12.	Raibareilly I&II	2	11	31611.07	18.7.76 to 11.12.95	63.22
13.	Jagdishpur	5	32	215891	24.3.85 to 24.3.99	431.78
14.	Lalganj Ind. Estate	1	9	5881	3.12.90 to 19.1.98	3.53
15.	Tronica City	1	46	78188	21.2.98 to 16.2.98	938.20
		<b>21</b>	<b>228</b>	<b>523931.54</b>		<b>2141.56</b>

### Annexure-14

**Statement showing the industrial areas where the utilisation of plots is less than 15 per cent in very fast moving areas, 10 per cent in fast moving area and 5 per cent in slow moving areas**

**(Referred to in paragraph 2.1.28)**

	Allotted land			Utilisation of land		Percentage of units under production
	No. of units	Plots	Area	Units	Areas	
<b>Very Fast Moving</b>						
Massauri Gulawati	949	1690	718.75	18	47.74	1.90
Surajpur Site IV	333	339	133.47	39	40.91	<b>11.71</b>
Surajpur Site V	1090	1174	347.48	35	37.09	3.21
EPIP	275	329	88.44	9	4.78	3.27
Tronica	1021	1023	229.55	62	17.40	6.07
Panki Site Textile complex	59	62	12.43	Nil	NII	<b>Nil</b>
<b>Fast Moving</b>						
EPIP, Agra	114	228	50.88	5	4.45	4.38
Kosi-II, IA	245	258	177.34	8	9.05	3.27
IIDC, Koshi	358	370	23.11	1	0.07	0.27
SEZ, Moradabad	42	42	80.80	1	30.39	2.38
Sikandrabad, Gopalpur	163	310	85.65	8	12.54	<b>4.90</b>
Banther Industrial	105	148	118.40	Nil	Nil	<b>Nil</b>
Banther, IIDC	119	264	16.28	Nil	Nil	Nil
Rooma Extension	56	56	50.57	Nil	Nil	Nil
<b>Slow Moving</b>						
Koshi, IIDC	358	370	23.11	1	0.07	0.28
Firozabad Industrial	201	201	325.39	3	18.12	1.49
Talanagari –I	630	646	91.61	19	2.55	3.02
Talanagari-II	305	318	61.68	11	5.36	3.60
Burendi	50	62	19.25	2	1.67	4.00
Bargarh-II	2	3	2.69	Nil	Nil	Nil
Bargarh-I	1	1	499.00	Nil	Nil	Nil
Karkhiyan	37	39	15.12	Nil	Nil	Nil
Rampur	1	1	12.94	Nil	Nil	Nil
GC, Jhansi	13	21	17.03	Nil	Nil	Nil
Farukhabad	4	4	40.07	Nil	Nil	Nil
GC, Jainpur	292	293	57.55	Nil	Nil	Nil
Kursi Road, Agro Park	50	60	17.36	2	5.12	4
Salon	1	1	92.01	Nil	Nil	Nil
Gauriganj	3	11	67.37	Nil	Nil	Nil
Jagdishpur	1	3	702.85	Nil	Nil	Nil
Musafirkhana	6	37	7.77	Nil	Nil	Nil
Sangrampur	5	11	1.71	Nil	Nil	Nil
Sukul Bazar	2	9	1.67	Nil	Nil	Nil
Tikaria	96	197	144.72	4	82.13	4.16
Utelwa	2	2	175.00	Nil	Nil	Nil
Baghpat	1	104	16.02	Nil	Nil	Nil
Orai II Industrial	176	179	143.90	19	42.76	10.79
Rooma Textiles	147	158	34.80	Nil	Nil	Nil

**Annexure-15**

**Statement showing the details of plots under litigation/earmarking/restoration  
since more than five years**

**(Referred to in paragraph 2.1.28)**

<b>Region and Industrial Area</b>	<b>Number of Plots</b>	<b>Area in acres</b>	<b>Rate in acres (Rs.in lakh)</b>	<b>Value (Rs. In lakh)</b>
<b>Agra</b>				
Foundry Nagar	25	26.96	40.47	1091.07
Sikandara (A&B)	5	4.27	40.47	172.81
Mathura A	82	91.70	24.28	2226.48
<b>Bareilly</b>				
ParsaKhera IA	2	2.19	12.14	26.59
<b>Gaziabad</b>				
Bulandshahar, Khurja	1	0.69	6.07	4.19
Sikandara bad, IA	9	8.71	16.19	141.01
Sikandarabad(Gopalpur)	26	5.38	16.19	87.10
Dasna	18	7.81	80.94	632.14
Loha Mandi	24	1.67	202.35	337.92
SSGT Road	6	9.75	105.22	1025.90
Sector 17, Kavi Nagar	6	2.30	121.41	279.24
Site Buland shahar Road	7	5.39	105.22	567.14
Site II Ioni Road	5	7.17	113.32	812.50
Site III Meerut Road	11	20.40	105.22	2146.49
Site IV Sahibabad	21	35.35	129.50	4577.83
<b>Gorakhpur</b>				
Khalilabad	7	0.87	10.12	8.80
<b>Kanpur</b>				
Orai -1	1	0.44	5.06	2.23
Orai-II	5	3.78	5.06	19.13
Bijauli	6	4.44	12.14	53.90
Panki site -1	1	0.91	56.66	51.56
Panki site V	2	0.21	68.80	14.45
Jainpur	11	16.77	10.12	169.71
Rania-1	4	2.10	12.14	25.49
Unnao-II	1	0.49	20.24	9.92
<b>Lucknow</b>				
Amausi	2	1.42	32.38	45.98
RaeBareli	1	1.29	2.43	3.13
Salon Ind. Estate	8	1.44	2.43	3.50
<b>Meerut</b>				
Muzaffar Nagar- Begraipur	40	11.28	12.14	136.94
<b>Surajpur</b>				
Site-II	9	6.25	44.52	278.25
Site-III	3	4.36	44.52	194.11
Site-IV	2	1.17	72.85	85.23
Site-V	3	2.67	64.75	172.88
<b>Total</b>	<b>354</b>	<b>289.63</b>		<b>15403.62</b>

## Annexure-16

### Statement showing revision of rates for premium of land

(Referred to in paragraph 2.1.29)

Sl. No.	Name of the Industrial area	Rates of industrial areas per sq. mtr. (Rs.)					
		1999-00	2000-01	2001-02	2002-03	2003-04	2004-05
1	2	4	5	6	7	8	9
<b>Very Fast Moving Areas</b>							
<b>Premium revision not reviewed for two years</b>							
1.	Panki Site-V	800.00	800.00	800.00	850.00	950.00	1700.00
2.	Surajpur Site-II	500.00 450.00	500.00 450.00	500.00 450.00	600.00 500.00	850.00 700.00	1400.00 1000.00
3.	Surajpur Site-III	500.00 450.00	500.00 450.00	500.00 450.00	600.00 500.00	850.00 700.00	1400.00 1000.00
4.	Dasna	1000.00	1250.00	1250.00	1250.00	1500.00	2000.00
5.	Loha Mandi	1400.00	2500.00	2500.00	2500.00	2750.00	5000.00
6.	Loni Ind. Estate	1200.00	1500.00	1500.00	1500.00	1750.00	1800.00
7.	SSGT Road	1200.00	1500.00	1500.00	1500.00	1750.00	2600.00
8.	Sector 17, Kavinagar	1200.00	1500.00	1500.00	1500.00	1750.00	3000.00
9.	Sector 22,	1200.00	1500.00	1500.00	1500.00	1750.00	2600.00
10.	Site-I BSR Road	1200.00	1500.00	1500.00	1500.00	1750.00	2600.00
11.	Site-II Loni Road	1200.00	1500.00	1500.00	1500.00	1750.00	2600.00
12.	Site-III Meerut Road	1200.00	1500.00	1500.00	1500.00	1750.00	2600.00
13.	Site-IV Sahibabad	1400.00	1900.00	1900.00	1900.00	2150.00	3200.00
14.	Surajpur Site-IV	500.00	850.00 600.00	850.00 600.00	850.00 600.00	1500.00 1300.00	2700.00 2200.00
15.	Tronica Industrial	890.00 820.00	1300.00 1100.00 950.00	1300.00 1100.00 950.00	1300.00 1100.00 950.00	1500.00 1300.00 1200.00	1500.00 1300.00 1200.00
16.	Masauri Gulawati	365.00	490.00	490.00	490.00	490.00	900.00
17.	Surajpur Site-II	600.00	750.00	750.00	750.00	1000.00	1700.00
18.	Masauri Gulawati, IIDC	-	450.00	450.00	450.00	500.00	900.00
<b>Premium revision not reviewed for three years</b>							
1.	Panki Site-I	700.00	700.00	700.00	700.00	850.00	1400.00
2.	Panki Site-II	700.00	700.00	700.00	700.00	850.00	1300.00
3.	Panki Site-III	700.00	700.00	700.00	700.00	850.00	1300.00
4.	Surajpur Site-V	600.00 450.00	600.00 450.00	600.00 450.00	600.00 450.00	1400.00 1200.00	2500.00 1900.00
<b>Premium revision not reviewed for four years</b>							
1.	Surajpur Site-I	600.00	600.00	600.00	600.00	600.00	2800.00
<b>Fast Moving Areas</b>							
<b>Premium revision not reviewed for two years</b>							
1.	Gorakhpur	125.00	125.00	125.00	250.00	400.00	400.00
2.	Mau	125.00	125.00	125.00	250.00	400.00	400.00
3.	Chandauli Ramnagar	300.00	400.00	400.00	400.00	600.00	700.00
4.	Bulandsahar, Khurja	NA	65.00	65.00	65.00	100.00	150.00
5.	Unnao-II	200.00	370.00	370.00	370.00	450.00	500.00
6.	Udyogpuram	415.00	500.00	500.00	500.00	700.00	800.00
7.	Fuondrey Nagar	300.00	500.00	500.00	500.00	700.00	1000.00
8.	Sikandra A	300.00	500.00	500.00	500.00	700.00	1000.00
9.	Sikandra B	300.00	500.00	500.00	500.00	700.00	1000.00
10.	Sikandra C	300.00	400.00	400.00	400.00	600.00	900.00
11.	Begrajpur	100.00	200.00	200.00	200.00	300.00	300.00
12.	Banthar IA	450.00 650.00	370.00 460.00	370.00 460.00	370.00 460.00	550.00 450.00	500.00 600.00
<b>Premium revision not reviewed for three years</b>							
1.	Kosi Kalan	200.00	200.00	200.00	200.00	200.00	400.00
2.	Kosi II IA	225.00	225.00	225.00	225.00	275.00	400.00
3.	Gajraula II	150.00	150.00	150.00	150.00	250.00	300.00
4.	Kosi IIDC	200.00	330.00	330.00	330.00	330.00	400.00
5.	Sidwan	90.00	100.00	100.00	100.00	100.00	125.00
6.	Sikandrabad IA	350.00	400.00	400.00	400.00	400.00	450.00

1	2	4	5	6	7	8	9
7.	Amausi	<b>500.00</b>	<b>525.00</b>	525.00	525.00	525.00	<b>800.00</b>
8.	Chinhat	<b>500.00</b>	<b>525.00</b>	525.00	525.00	525.00	<b>800.00</b>
9.	Sarojani Nagar	<b>500.00</b>	<b>525.00</b>	525.00	525.00	525.00	<b>800.00</b>
10.	Partapur	<b>250.00</b>	<b>500.00</b>	500.00	500.00	500.00	<b>700.00</b>
11.	SG Complex	<b>250.00</b>	<b>500.00</b>	500.00	500.00	500.00	<b>700.00</b>
<b>Premium revision not reviewed for four years</b>							
1.	Bajpur-I	<b>125.00</b>	125.00	125.00	125.00	125.00	<b>560.00</b>
2.	Bajpur-II	<b>125.00</b>	125.00	125.00	125.00	125.00	<b>560.00</b>
3.	Kashipur	<b>100.00</b>	100.00	100.00	100.00	100.00	<b>560.00</b>
4.	Peeplasana	<b>100.00</b>	100.00	100.00	100.00	100.00	<b>560.00</b>
5.	Chamoli	<b>112.50</b>	112.50	112.50	112.50	112.50	<b>112.50</b>
6.	Jshodhara pur	<b>110.00</b>	110.00	110.00	110.00	110.00	<b>560.00</b>
7.	Kotdwara	<b>110.00</b>	110.00	110.00	110.00	110.00	<b>560.00</b>
8.	Haridwar-1d	<b>200.00</b>	200.00	200.00	200.00	200.00	<b>560.00</b>
9.	Roorki	<b>73.00</b>	73.00	73.00	73.00	73.00	<b>560.00</b>
10.	Haridwar-II	<b>400.00</b>	400.00	400.00	400.00	400.00	<b>560.00</b>
<b>Premium revision not reviewed for five years</b>							
1.	Mohan	<b>25.00</b>	25.00	25.00	25.00	25.00	25.00
2.	Nainital, Bhimtal	<b>125.00</b>	125.00	125.00	125.00	125.00	125.00
3.	Selaquri	<b>120.00</b>	120.00	120.00	120.00	120.00	120.00
4.	Mui Ki Reti	<b>175.00</b>	175.00	175.00	175.00	175.00	175.00

*Note: The figures given in bold represent revision of rates.*

**Annexure-17**

**Statement showing encroachment of land in the various industrial areas by local residents**

**(Referred to in paragraph 2.1.31)**

Sl. No.	Name of the Industrial area	Encroachment of land (In sqm)			Total land encroached (sqm)	Current rate of premium per sqm (In Rs.)	Value of encroached Industrial plots (Rs. in lakh)
		Industrial Plots	Open space	Road side			
1.	Site-4 Sahibabad	11294	4850	10232	26376	3200	361.41
2.	Site-3, Meerut Road	1000	8000	490	9490	2600	26.00
3.	Site-2 Loni Road	44432	65828	4046	114306	2800	1244.10
4.	Loha Mandi	7072	2700	--	9772	5000	353.60
5.	South Site GT Road	26501	5050	540	32091	2600	689.03
6.	Site-1, Bulandsahar	--	3000	14565	17565	2000	--
7.	IA, Loni Estate	--	8092	540	8632	1800	--
8.	Sector-22, Meerut Road	--	610	2160	2770	2600	--
9.	Sectro-17, Kavi Nagar	--	--	235	235	3000	--
	<b>Total</b>	<b>90299</b>	<b>98130</b>	<b>32808</b>	<b>221237</b>		<b>2674.14</b>



**Annexure-18****Statement showing non-cancellation of unutilised plots****(Referred to in paragraph 2.1.34)**

<b>Name of the Industrial area</b>	<b>No. of plots</b>	<b>Amount of premium received (Rs. in lakh)</b>	<b>Transfer levy charged (Rs. in lakh)</b>	<b>Total (Rs. in lakh) (3+4)</b>	<b>Amount of premium at current rate on the date of transfer (Rs. in lakh)</b>	<b>Difference (Rs. in lakh) (6-5)</b>
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>
Surajpur, VFM	51	197.20	84.63	281.83	642.09	360.26
Ghaziabad, VFM	6	0.93	9.10	10.03	57.39	47.36
Kanpur, Panki, FM	4	24.32	11.45	35.77	87.80	52.03
Gorakhpur, FM	2	3.01	3.33	6.34	71.87	65.53
Lucknow, FM	1	0.18	1.43	1.61	9.52	7.91
<b>Total</b>	<b>64</b>	<b>225.64</b>	<b>109.94</b>	<b>335.58</b>	<b>868.67</b>	<b>533.09</b>

**Annexure-19**

**Statement showing total number of projects financed under the Self Employment Programme (bankable scheme) and number of unviable projects financed during the three years 2002-03 to 2004-05**

(Referred to in paragraph 2.2.11)

Sl. No.	Name of District	2002-03					2003-04					2004-05 (up to December)				
		Total no. of projects financed	No. of projects financed up to Rs.0.20 lakh			Percentage of col.6 to col. 3	Total no. of projects financed	No. of projects financed up to Rs.0.20 lakh			Percentage of col.11 to col. 8	Total no. of projects financed	No. of projects financed up to Rs.0.20 lakh			Percentage of col.16 to col. 13
			Agri-culture	Non agriculture	Total			Agri-culture	Non agriculture	Total			Agri-culture	Non agriculture	Total	
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17
1.	Agra	2376	639	190	829	35	1993	436	200	636	32	1104	250	150	400	36
2.	Aligarh	2252	1388	211	1599	71	1272	897	94	991	78	1092	681	78	759	70
3.	Meerut	1802	576	212	788	44	1948	626	126	752	38	870	183	76	259	30
4.	Bulandhsahar	2167	1041	170	1211	56	2601	1191	161	1352	52	1487	675	75	750	50
5.	Ghaziabad	1011	119	216	335	33	1438	215	292	507	35	751	55	169	224	30
6.	Saharanpur	1162	263	164	427	36	1049	181	150	331	32	528	45	30	75	14
7.	Muzaffarnagar	1453	502	344	846	58	1385	401	249	650	47	683	110	235	345	50
8.	Moradabad	1603	1171	370	1541	96	2868	1889	811	2700	94	528	370	142	512	97
9.	J.P. Nagar	1662	1211	125	1336	80	959	582	71	653	68	465	330	20	350	75
10.	Rampur	1353	649	554	1203	89	1523	1039	421	1460	96	1020	821	83	904	89
11.	Bijnor	1862	912	223	1135	61	1776	1046	345	1391	78	985	689	50	739	75
12.	Bareilly	1418	605	315	920	65	1011	435	256	691	68	888	236	62	298	33
13.	Piliphit	990	724	209	933	94	1208	896	231	1127	93	541	362	179	541	100
14.	Sahajpur	2929	1822	1104	2926	99	3002	1794	1149	2943	98	1663	963	664	1627	98
15.	Lucknow	860	278	256	534	62	1433	673	197	870	61	922	585	273	858	93
16.	Hardoi	3518	2840	150	2990	85	3481	2482	45	2527	73	1834	1473	73	1546	84
17.	Lakhimpur	5588	4715	206	4921	89	4885	4074	66	4140	85	2855	2388	159	2547	89
18.	Sitapur	4548	3755	727	4482	99	3501	3144	312	3456	99	1676	1310	249	1559	93
19.	Varanasi	1344	138	1085	1223	91	1661	558	1012	1570	95	908	328	527	855	94
20.	Jaunpur	3035	1959	990	2949	97	2663	2433	183	2616	98	2310	1773	486	2259	98
21.	Azamgarh	3089	1712	1353	3065	99	3189	1745	1413	3158	99	1656	902	738	1640	99
22.	Basti	1562	642	870	1512	97	1520	763	724	1487	98	1310	351	936	1287	98
23.	Baharich	987	882	82	964	98	1124	1067	56	1123	99	671	619	41	660	98
24.	Gonda	1672	1513	74	1587	95	1971	1667	42	1709	87	2472	2062	36	2098	85
		<b>50243</b>	<b>30056</b>	<b>10200</b>	<b>40256</b>	<b>80</b>	<b>49461</b>	<b>30234</b>	<b>8606</b>	<b>38840</b>	<b>79</b>	<b>29219</b>	<b>17561</b>	<b>5531</b>	<b>23092</b>	<b>79</b>

## Annexure-20

**Statement showing total number of projects financed under various schemes and the status of their physical verification during the period of five years up to 2004-05**

**(Referred to in paragraph 2.2.13)**

Sl. No.	Name of the district	Total number of projects financed	Number of projects verified	Number of projects not found in existence	Number of projects not verified
1.	Meerut	8450	2630	89	5820
2.	Bulandhsahar	11136	1163	--	9973
3.	Ghaziabad	6118	107	--	6011
4.	Sahaanpur	6082	440	17	5642
5.	Rampur	6123	79	--	6044
6.	Bijnor	7274	907	96	6367
7.	Bareilly	7183	889	48	6294
8.	Piliphit	5103	684	--	4419
9.	Sahahjanpur	11185	1378	--	9807
10.	Lucknow	9433	2393	--	7040
11.	Lakhimpur	22631	319	--	22312
12.	Sitapur	16703	786	19	15917
13.	Varanasi	5941	190	--	5751
14.	Jaunpur	13039	474	--	12565
15.	Azamgarh	12792	341	--	12451
16.	Basti	7163	994	--	6169
17.	Baharich	4753	385	18	4368
18.	Gonda	10775	708	--	10067
	<b>Total</b>	<b>171884</b>	<b>14867</b>	<b>287</b>	<b>157017</b>
	Amount of subsidy (Rupees in crore)	158.66	14.16	0.29	345.44

**Annexure-21**

**Statement showing amount remitted to banks and position of receipt of 'Statement of Accounts' thereagainst under Self Employment Programme (bankable) for the period 2002-03 to 2004-05 (up to December 2004)**

**(Referred to in paragraph 2.2.14)**

**(Value: Rupees in lakh)**

Sl.No	Name of district	Projects against which amount remitted to banks		Projects against which amount refunded by banks		Projects against which 'Statements of Accounts' received		Projects against which 'Statements of Accounts' not received		Percentage of Statement of Accounts not received to Net Remission 9 / (3-5) x 100
		Number	Value	Number	Value	Number	Value	Number	Value	
1	2	3	4	5	6	7	8	9	10	11
1	Agra	5473	1489.52	0	0.00	599	136.12	4874	1353.40	89.06
2	Aligarh	4616	1077.74	60	13.00	2030	369.38	2526	695.36	55.44
3	Ferozabad	3696	765.11	29	7.84	2098	424.84	1569	332.43	42.79
4	Meerut	4297	1223.38	43	12.64	3464	991.70	790	219.04	18.57
5	Saharanpur	2739	726.24	57	14.58	1791	446.14	891	265.52	33.22
6	Muzaffarnagar	3521	874.74	115	29.42	2897	723.24	509	122.08	14.94
7	Moradabad	4408	965.47	150	21.66	903	195.11	3355	748.70	78.79
8	J.P. Nagar	2987	612.54	0	0.00	298	59.60	2689	552.94	90.02
9	Rampur	3409	710.82	61	12.20	599	141.75	2749	556.87	82.11
10	Bijnor	4236	801.84	147	24.80	1329	261.04	2760	516.00	67.50
11	Bareilly	3904	1007.26	69	10.14	424	130.74	3411	866.38	88.94
12	Piliphit	2287	462.08	84	15.14	745	151.08	1458	295.86	66.18
13	Sahajanpur	6729	1356.78	0	0.00	3678	705.00	3051	651.78	45.34
14	Lucknow	3215	760.36	64	14.94	2340	549.40	811	196.02	25.74
15	Sitapur	8119	1777.55	15	3.16	3913	779.89	4191	994.50	51.72
16	Varanasi	3913	782.60	0	0.00	1783	356.60	2130	426.00	54.43
17	Jaunpur	8008	1601.60	0	0.00	3426	685.20	4582	916.40	57.22
18	Azamgarh	7937	1602.21	91	18.20	3808	761.60	4038	822.41	51.47
19	Basti	4392	892.54	162	32.44	2811	572.79	1419	287.31	33.55
20	Baharaich	2930	586.00	0	0.00	1294	258.80	1636	327.20	55.84
21	Gonda	6121	1223.30	0	0.00	4295	859.00	1826	364.30	29.83
		<b>96937</b>	<b>21299.68</b>	<b>1147</b>	<b>230.16</b>	<b>44525</b>	<b>9559.02</b>	<b>51265</b>	<b>11510.50</b>	<b>53.52</b>

**Annexure-22**  
**Statement showing position of loan fund received, disbursed, recovery made from beneficiaries**  
**and repayment to NSFDC and RSKVVN during five years up to 2004-05**

(Referred to in paragraph 2.2.17)

(Rupees in crore)

Year	2000-01		2001-02		2002-03		2003-04		2004-05		Total	
	NSFDC	RSKVVN	NSFDC	RSKVVN	NSFDC	RSKVVN	NSFDC	RSKVVN	NSFDC	RSKVVN	NSFDC	RSKVVN
Opening balance of unutilised fund	--	--	8.32	0.02	22.77	2.08	22.49	7.92	1.78	4.55		--
Fund received during the year	14.35	1.00	25.16	3.01	25.47	11.54	8.15	5.54	40.58	11.90	<b>113.71</b>	<b>32.99</b>
Refund to NSFDC/RSKVVN (due to non-identification of potential beneficiaries)	0.75	--	--	--	8.22	2.51	11.40	4.05	0.05	0.02	<b>20.42</b>	<b>6.58</b>
											<b>(18)</b>	
Net fund available for disbursement	13.60	1.00	33.48	3.03	40.02	11.11	19.24	9.41	42.31	16.43		--
Fund utilised	5.28	0.98	10.71	0.95	17.53	3.19	17.46	4.86	25.91	NA	<b>76.89</b>	--
											<b>(68)</b>	
Balance of unutilised fund lying at the end of the year	8.32	0.02	22.77	2.08	22.49	7.92	1.78	4.55	16.40	NA		--
									(14)			
Amount repaid to NSFDC/RSKVVN	6.42	0.02	7.61	0.39	10.68	1.00	11.27	1.37	18.01	4.72	<b>53.99</b>	<b>7.50</b>
Amount recovered from beneficiaries	3.30	--	5.36	0.18	5.70	0.42	8.08	0.63	7.21	0.97	<b>29.65</b>	<b>2.20</b>
Amount repaid to NSFDC/RSKVVN in excess of amount recovered from the beneficiaries	3.12	0.02	2.25	0.21	4.98	0.58	3.19	0.74	10.80	3.75	<b>24.34</b>	<b>5.30</b>

Note: Figures in bracket represent percentages.

**Annexure – 23**

**Details of implementation of schemes financed by NSFDC and RSKVVN and audit observations**

**(Referred to paragraph 2.2.18)**

Salient feature of scheme	Audit observations	Management reply
<b>Uttar Pradesh Scheduled Castes Finance Development Corporation</b>		
<b>1</b>	<b>2</b>	<b>3</b>
<b>Scheme financed by NSFDC</b>		
<b>Small business sector</b> Under the scheme, projects of small business sector up to Rs.one lakh were to be financed to the beneficiaries by way of subsidy, MM loan and NSFDC loan in the proportion of 10:5:85 respectively.	Test check of 216 projects (costing Rs.1.93 crore) financed during 2002-03 and 2003-04 in 22 district offices revealed as under:	
According to the scheme guidelines, payments were to be released by the banks directly to the supplier through bank drafts or account payee cheques on the instruction of the district offices of the Company. The assets were to be created under supervision of District Managers (ADDOS).	In 16 district offices, beneficiaries were allowed to draw fund from their bank accounts for purchase of assets. In 3 district offices, the beneficiaries were even allowed to draw the money in cash. Thus, the District Managers (ADDOS) failed to arrange the purchase of assets in their supervision.	The Management/ Government stated (July/August 2005) that cash withdrawals by beneficiaries became unavoidable in some cases for purchase of assets of smaller size. The reply is not tenable as withdrawal other than account payee cheque/bank draft in favour of suppliers was not allowed in the scheme.
Vouchers in support of creation of assets and utilisation of loan were to be obtained. The financed projects were also to be physically verified by the Assistant Managers/District Managers in all the cases.	Vouchers in support of creation of assets and utilisation of loan were not obtained in 177 cases in above 16 district offices. The financed projects were also not physically verified by the Assistant Managers/ District Managers in all the cases which was contrary to the provisions of the scheme guidelines.	The Management/ Government stated (July/August 2005) that related vouchers had been obtained and placed in project files.
As per the scheme guidelines, the District Managers (ADDOS) were required to obtain insurance covers of the assets created out of the loans.	In contravention of the scheme guidelines, the District Managers (ADDOS) did not obtain insurance covers of the assets created out of the loans in most of the projects in all the 22 district offices.	The Management/ Government stated (July/August 2005) that related vouchers had been obtained and placed in project files.
<b>Transport Sector</b>		
Projects under transport sector were to be financed to the beneficiaries having valid commercial driving licence.	Audit observed the following shortcomings in test check of records of transport sector of 22 district offices in 130 cases costing Rs.5.02 crore:  In 14 district offices, 46 beneficiaries did not hold driving licence of the required categories for which transport project were financed.	The Management/ Government stated (July/August 2005) that vehicles were not provided to private licence holders. The reply is not tenable as driving licence papers for required categories were not available in the project files of the beneficiaries.

1	2	3
The Company was also required to ensure that the beneficiaries had obtained permit to operate vehicle in commercial manner and the financed vehicle were driven by the beneficiaries themselves.	Contrary to the provisions of the scheme for the project under transport sector, in 22 cases, the beneficiaries (in 8 district offices) to whom an amount of Rs.1.01 crore was disbursed, used their vehicles for private purposes which not only defeated the objectives of the scheme but also resulted in misutilisation of funds to that extent.	
Registration certificates of vehicles, permit of the vehicle for commercial use and insurance cover were to be obtained.	Registration certificates of vehicles in 120 cases, permit of the vehicle for commercial use in 87 cases and insurance cover in 20 cases were not obtained by the district offices. Thus, the actual utilisation of the vehicles for income generation purposes could not be ensured in audit. In three cases pertaining to three district offices, the vehicles were registered with Road Transport Authority in the State of Haryana.	The Management/ Government stated (July/August 2005) that documents were obtained and placed in the file. The reply only shows that loans were disbursed without obtaining the necessary documents from the beneficiaries. The Management's contention (July 2005) that there was no such provision in the scheme guidelines is not tenable as the vehicles should have been registered in the districts where from it was financed.
Income certificates were to be obtained from the beneficiaries in proof of their eligibility for loans.	In Azamgarh district, in two cases, the projects were financed to the beneficiaries whose income was above the ceiling limit.	
<b>Scheme financed by RSKVVN</b>		
According to the scheme guidelines, the beneficiaries were required to make payment to the suppliers through bank drafts or account payee cheques.	Test check of records of 12 out of 70 district offices in which 175 cases valuing Rs.1.81 crore were financed during 2002-03 and 2003-04 under business sector (167 cases valuing Rs.1.48 crore) and transport sector (eight cases valuing Rs.0.33 crore) revealed the following: All the 12 offices, however, allowed the beneficiaries to draw fund from the bank account for making payments to suppliers. Consequently, the District Managers (ADDOs) could not supervise creation of assets in their presence as was required in the scheme.	The Management/Government stated (July/August 2005) that cash withdrawals by beneficiaries for purchase of assets of smaller size became unavoidable in some cases. The reply is not tenable as cash withdrawal was not allowed under the scheme.
Vouchers in support of creation of assets and utilisation of loan were to be obtained.	Vouchers in support of creation of assets and utilisation of loan were not obtained in 56 cases in four district offices.	The Management/ Government stated (July/August 2005) that respective vouchers had been obtained and placed in project files. The reply only shows that loans were disbursed without obtaining the necessary documents from the beneficiaries.
Physical verification of project was to be done by Asstt. Managers/District Managers. Insurance cover of the projects were also required to be obtained from the beneficiaries.	The projects financed during the year 2002-03 and 2003-04 were not physically verified by the Assistant Managers/ District Managers. In almost all the cases in eight district offices, insurance covers of the projects were not obtained as a result of which existence of projects at the site could not be ascertained.	

1	2	3
Projects under transport sector were to be financed to the beneficiaries having valid commercial driving licence.	In three cases, the beneficiaries did not hold licence of required category for which the projects of the transport were provided.	The Management/Government stated (July/August 2005) that vehicles were not provided to private licence holder. The reply is not tenable as the papers of private licence were available in the files of the cases cited by Audit.
The Company was required to ensure that the beneficiaries had obtained permit to operate vehicle in commercial manner and the financed vehicles were driven by the beneficiaries themselves.	Three vehicles in two district offices valuing Rs.12.66 lakh were put to private use by beneficiaries instead of commercial use. The loan to that extent was misutilised and purpose of the scheme was defeated.	
Registration certificates of vehicles, permit of the vehicle for commercial use and insurance covers were to be obtained.	Registration certificates of vehicles, permits required to operate the vehicles for commercial uses, and insurance covers were not obtained in five, five and three cases respectively. Thus, the Management could not ensure actual utilisation of vehicles provided for income generation. In Ghaziabad district, a vehicle was registered with Road Transport Authority of the State of Haryana.	The Management/Government stated (July/August 2005) that documents were obtained and placed in the files. The reply only shows that loans were disbursed without obtaining the necessary documents from the beneficiaries.  The Management's contention (July 2005) that there was no such provision in the scheme guidelines is not tenable in view of the fact that vehicles should have been registered in the districts where from it was financed.
The potential beneficiaries were to be identified out of the list of Safai Karmcharis identified in 2002-03 by District Urban Development Authority (DUDA), a Government agency.	In 34 cases of two district offices (Lucknow and Hardoi), old survey lists of 1993-94 and 1996-97 were used in contravention of the guidelines.	The Management/Government stated (July/August 2005) that survey lists of 1992-93 and 1996-97 were used for financing. The reply is not tenable as survey list of 2002-03 only could be used for financing to beneficiaries as per the guidelines indicated in the Annual Action Plan of 2003-04 of the Company.



**Annexure-24**

**Schemes for Construction of Shops in Urban Areas and Kaushal Vridhi Yojana – Computer Training Programme**

**(Referred to paragraph 2.2.19)**

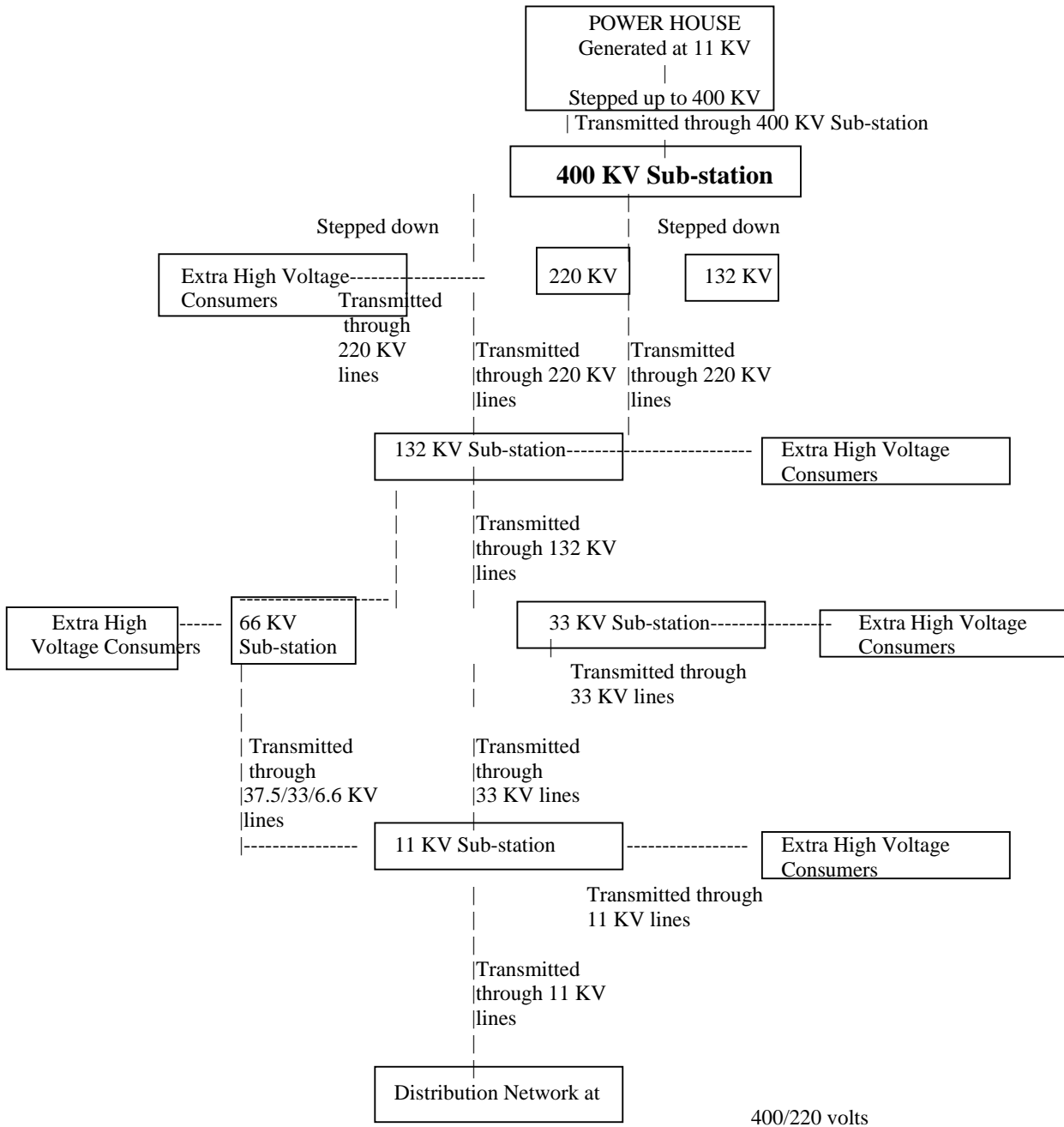
Salient feature of scheme	Audit observations	Management reply
<b>Uttar Pradesh Scheduled Castes Finance Development Corporation</b>		
<b>1</b>	<b>2</b>	<b>3</b>
<b>Scheme for Construction of Shops in Urban Areas</b> The State Government introduced a scheme of providing interest free loan to the beneficiaries living below the poverty line for construction of shops in urban areas. The financial assistance of Rs.38,000 (including subsidy of Rs.6,000) was to be given as per the guidelines of the scheme to each beneficiary. Guidelines, <i>inter alia</i> , provided that:	The Company provided records of only 200 shops of four district offices (Gonda, Basti, Baharaich and Agra). Examination of records of these four district offices revealed:	The Management/ Government stated (July/August 2005) that replies of audit comments would be furnished after obtaining replies from the concerned district offices. The replies were, however, awaited as of date (September 2005).
Selection of beneficiary was to be done at district level.	Records relating to selection of beneficiaries were not maintained properly at the district level.	
Financial assistance was to be given to only one member of a family.	In 14 cases of Basti and Baharaich district offices, loans for construction of shops were provided to more than one member of family.	
Shop (10' x 8') was to be constructed at beneficiary's own land in urban areas at commercially developed place.	Out of 200 cases, 164 shops were constructed at the cost of Rs.62.32 lakh in rural areas. Sanctions were accorded to construct 87 shops in bigger areas than the approved area (10' x 8') in Basti and Bahraich district offices without ensuring source of fund to meet out the increased cost. Chances of utilisation of bigger size shops for residential purpose could, therefore, not be ruled out.	
The loan was to be released in three instalments against utilisation certificates.	In none of the cases, evidence of utilisation of third and last instalment of loan was available on records. In Gonda district office, third instalment of loans were released in two cases without obtaining utilisation certificates of second instalment.	
After construction of shops, the Company had to provide working capital loan in <i>cent per cent</i> cases immediately.	The Company did not provide working capital assistance to any of the 200 cases examined in audit. As such, objective of the scheme to make the beneficiaries businessmen (shopkeepers) and thereby improving their economic status had been defeated despite incurring an expenditure of Rs.76 lakh on construction of 200 shops. The entire expenditure, thus, rendered wasteful.	

1	2	3
<b>Kaushal Vridhi Yojna – Computer Training Programme</b>		
The State Government introduced (March 1999) Kaushal Vridhi Yojana to impart one year computer training to beneficiaries through the Company in order to generate employment opportunities for them. The scheme guidelines provided that selection of training institutes, fee structure and course module were to be approved by the State Government before finalisation of the contracts with training institutes.		The Management/ Government stated (July/August 2005) in reply that KIITPL was authorised executed agreement on behalf of UPDESCO. It was further stated that the training imparted to the beneficiaries was equivalent to DOAECC 'O' level and payment was made after obtaining certificate of satisfactory performance from ADDOs. As regards, providing employment/self employment it stated that efforts were being made.
In the first phase of training, the Company obtained Government's approval (August 2000) for imparting one year 'O' level course of Department of Electronics Accreditation of Computer Courses (DOEACC) at a fee of Rs.13,000 per beneficiary for imparting training to 525 beneficiaries in 21 districts through UPDESCO, a State Government Company.	The Company, instead of executing agreement with UPDESCO, irregularly entered into three agreements (September to November 2000) with Kamal Infosys Integrated Technology Private Limited (KIITPL), for imparting computer training in 21 districts at the rate of Rs.13000 per beneficiary. The KIITPL imparted training for unrecognised computer course to 477 beneficiaries through different institutes in 21 districts and was released advance payments of Rs.60.52 lakh during September 2000 to July 2001. The Company thus, violated the scheme guidelines and incurred an unauthorised expenditure of Rs.60.52 lakh on non-qualitative training.	
In second phase of training (2001-02), the Company without obtaining approval of the Government entered into (September to December 2001) agreements with 15 firms including UPDESCO for imparting training at the fee of Rs.13,000 per beneficiary for the course module designed by the Company itself though the State Government had approved (October 2001) fee of Rs.9,180 (including Rs.1,180 for study material kit) per beneficiary for DOEACC's-'O' level computer course.	All the firms imparted training for other than DOAECC 'O' level course to 8,729 beneficiaries during this phase. Thus, award of work at higher rate resulted in not only an extra expenditure of Rs.3.33 crore but also the entire expenditure of Rs.11.35 crore incurred on training for unrecognised computer course module, proved unfruitful.	The reply is not tenable as KIITPL was not authorised signatory of UPDESCO and the Government had not approved any course module equivalent to DOAECC 'O' level. Further, submission of satisfactory performance certificates by the ADDOs without conducting any test was not convincing.
In the third phase, the Company obtained (June 2003) Government's approval for imparting training during third phase of the scheme (2002-03) through UPDESCO at a fee of Rs. 11,180 per beneficiary (including Rs.1,180 for study material kit) for 600 hours.	The Company awarded (June 2003) the work to UPDESCO to impart computer training in all districts of the State at the Government's approved fee without mentioning DOEACC's 'O' level course. The UPDESCO, however, completed the training course to 11,350 beneficiaries but did not impart DOEACC's 'O' level computer training to the beneficiaries. As a result, Company incurred an unfruitful expenditure of Rs.12.69 crore for an unrecognised computer training course module.	
The scheme guidelines further provided that ADDOs were required to conduct test of the beneficiaries on random basis to ascertain qualitative training. . After furnishing certificates by them regarding satisfactory performance of the institutes, the Company was to release balance fifty <i>per cent</i> fee to them.	Test check of records of 24 district offices revealed that none of them except Ferozabad district had conducted test on random basis and performance certificates were given by the ADD Os only to facilitate the release of 50 <i>per cent</i> fee. In the test taken in Ferozabad district, none of the beneficiary had passed the tests. Therefore, adverse performance was reported to the Company and the Government.	
In terms of the scheme guidelines, the Company was to finance sustainable income generating projects to trained beneficiaries within one year so as to provide them self-employment.	The Company did not finance any project to the trained beneficiaries. As such, the purpose of the scheme to provide self-employment to the beneficiaries had completely been defeated despite incurring expenditure of Rs.24.66 crore on implementation of the scheme.	

Annexure-25

Flow chart of a typical transmission and distribution system in respect of Uttar Pradesh Power Corporation Limited

(Referred to in paragraph 2.3.7)



**Annexure-26**

**Statement showing physical target and achievement for construction, augmentation of sub-station and erection of lines during five years up to 2004-05**

(Referred to in paragraph 2.3.8 and 2.3.9)

<b>Year</b>	<b>Number of sub-station (New)</b>	<b>Number of sub-station (Augmented)</b>	<b>Addition in transformation capacity (MVA)</b>	<b>Erection of transmission lines (Ckt kms)</b>
<b>2000-01</b>				
Target	12	29	2025	1834.02
Achievements	2	33	1317.50	1247.19
Shortfall in percentage	83	-	34.94	32.00
<b>2001-02</b>				
Target	1	46	1527.50	387.56
Achievements	1	25	1090.00	106.36
Shortfall in percentage	-	46	28.64	72.56
<b>2002-03</b>				
Target	8	26	1110.00	313.56
Achievements	6	32	1150.00	192.00
Shortfall in percentage	25	-	-	38.77
<b>2003-04</b>				
Target	25	50	3255.00	982.27
Achievements	7	25	1062.50	343.00
Shortfall in percentage	72	50	67.36	65.08
<b>2004-05</b>				
Target	5	33	1380.00	218.50
Achievements	9	33	1462.50	379.054
Shortfall in percentage	--	--	--	--
<b>Total</b>				
Target	51	184	9297.5	3735.91
Achievements	25	148	6082.5	2267.604
Shortfall in percentage	51	20	34.57	39.30

**Annexure-27**  
**Statement showing time and cost overrun in respect of sub-stations**  
**(Referred to in paragraph 2.3.9)**

Sr. No	Name of Sub-stations	Plan	Capacity (MVA)	Date of start	Contractor	Target	Project estimate (Rs in lakh)	Date of completion	Actual expenditure (Rs in lakh)	Time overrun (Months)	Cost overrun (Rs. in lakh)
<b>New Sub-stations</b>											
1	400/220 Bareilly	VII	2X315	7/96	CGL	1/98	2338.51	12.2.01	6389.17	37	4050.66
2	220/132 Banda	VII	1X100	2/98	Deptt	10/99	424.26	18.11.02	752.22	37	327.96
3	220/33 RPH, Kanpur	IX	2X60	1/95	Deptt	7/96	1450.00	18.12.02	823.57	77	
4	220/132 Deoria	VII	1X100	4/91	ABB	12/92	424.26	8.10.04	781.71	142	357.45
5	220/132 Shatabadi Nagar	VIII	2 X 100 + 40	3/02	ABB	09/03	1768.73	20.11.04	1337.94	14	--
6	220/132 Allahabad Cantt.	IX	2 X 100	7/99	ABB	01/01	1304.00	25.12.04	953.28	47	--
7	132/33 Rath	VII	1X20	3/99	NA	9/00	173.79	26.3.01	289.07	-	115.28
8	132/33 Sidhauhi	V	1X12.5	3/99	Deptt	9/00	121.84	31.12.02	210.59	9	88.75
9	132/33 Loni	VI	40	4/97	Deptt	10/98	137.32	24.2.03	212.60	52	75.28
10	132/33 Chhaprauli	VIII	20	3/96	Deptt	9/97	382.45	12.6.03	271.37	69	
11	132/33 Mohammdi	VII	20	6/99	Deptt	12/00	169.60	25.11.03	185.53	35	15.93
12	132/33 Dumariaganj	VI	20	7/89	Deptt	1/91	185.05	31.01.04	301.46	156	116.41
13	132/33 Kankarkhera	IX	20	11/02	Deptt.	4/04	325.00	25.8.04	424.81	4	99.81
14	132/33 Phulpur	VI	20	11/96	Deptt.	4/98	137.32	26.8.04	174.12	76	36.80
15	132/33 Milkipur	VI	20	12/83	Deptt.	6/85	154.49	3.11.04	223.56	232	69.07
16	132/33 Koillsa	V	20	8/96	Deptt.	3/98	127.21	30.12.04	185.01	81	57.80
17	132/33Khuramnagar	IX	2 X 20	2/01	ABB	9/02	435.23	16.3.05	572.45	29	137.22
<b>Sub-total</b>											<b>5548.42</b>
<b>Augmented</b>											
1	132/33 Mintopark		40-20	8/00	Deptt	11/00	252.00	16.11.00	323.09	-	71.09
2	132/33 Baghat	VIII	40-20	4/01	Deptt	7/01	68.00	9.6.01	97.33	-	29.33
3	132/33 Bilari	VI	II-20	1/02	Deptt	4/02	44.91	10.4.03	102.15	12	57.24
4	132/33 Soraon	VII	II20	10/01	Deptt	1/02	51.68	12.5.03	111.50	16	59.82
5	132/33 Bhoor	VIII	III-20	NA	Deptt		92.77	26.5.03	114.03	-	21.26
<b>Sub-total</b>											<b>238.74</b>
<b>Total</b>											<b>5787.16</b>

**Annexure-28**  
**Statement showing time and cost overrun in respect of EHT lines**  
**(Referred to in paragraph 2.3.9)**

SL No	Name of the Line	Plan	Route length (Ckt kms)	Date of start	Contractor	Target	Project estimate (Rs. in lakh)	Date of completion	Actual expenditure (Rs. in lakh)	Excess time (months)	Excess expenditure (Rs in lakh)
<b>400 KV</b>											
1	400KV DC LILO at Obra TPS	VIII	2X10.50	4/96	RS&CO	12/97	1000.00	5/02	1051.28	53	51.28
2	Agra-Murad Nagar SC	VII	194.112	9/94	KECL	3/96	2834.31	20.12.2000	4823.48	57	1989.17
3	DC Unnao-Bareilly	VII	2X270.91	8/94	SAE	2/96	6866.84	4.2.01	14062.41	60	7195.57
4	SC Anpara-Unnao	VII	409.03	1/96	HYISAE	7/97	13731.64	12.7.00	47264.20	36	33532.56
<b>220 KV</b>											
1	SC Bareilly(400KV) Shahjahanpur	VII	90.050	6/98	PCC	12/99	637.00	22.7.03	828.82	43	191.82
2	SC Fatehpur-Banda	VII	66.698	12/91	PCC	6/93	432.00	10.5.02	933.67	108	501.67
3	DC LILO at Allahabad Cantt	IX	2X4.37	9/99	Deptt	3/01	131.00	18.5.02	111.07	14	-
4	LILO of unnao-Panki at RPH	IX	2X7.625	4/94	PCC	10/95	793.00	8.11.02	260.20	85	-
5	LILO of C.B. Gang-Haldwani at 400 KV Bareilly (Railway Tie Line)	VII	2X5.52	12/99	SCAN	6/01	53.34	8.3.03	220.92	21	167.58
6	LILO of Sarojini Nagar Sitapur at 220KV-Hardoi Road	IX	2X0.791	9/00	KPTL	3/02	23.00	24.3.03	41.04	12	18.04
7	220KV LILO at Sarojini Nagar Sitapur line at 220KV Chinhat	IX	2X31.678	7/01	KPTL	3/03	429.10	6.6.04	409.61	15	-
8	SC Gorakhpur-Deoria	VII	35.50	6/90	KPTL	12/9	370.62	29.8.04	473.00	152	102.38
<b>132 KV</b>											
1	LILO Dohna-Muradabad at 220KV CB Gang	NA	2X9.112	3/96	SCNTC	9/97	110.00	24.7.2000	138.37	34	28.37
2	LILO of Sitapur-Shahjahanpur	NA	2X3.416	10/95	Deptt	4/97	63.00	11.10.2000	68.02	42	5.02
3	SC Bharua Sumerpur-Rath	VII	64.62	9/98	SCNTC	5/00	277.00	10.3.01	891.96	10	614.96
4	LILO of Agra(220KV)-Shamsahad at Bodla S/S	IX	2X2.5	9/00	Deptt	5/02	45.00	30.04.01	48.25	-	3.25
5	DC Gazipur-Zamania	NA	2X25.372	5/96	Deptt	11/97	637.00	28.1.02	567.35	50	-
6	DC GomtiNagar-SGPGI	VII	2X15.31	9/99	Deptt	5/01	111.60	18.3.02	322.26	10	210.66
7	LILO of Varanasi-Jaunpur at Pindra	VII	2X4.773	8/93	Deptt	2/95	14.08	10.12.02	18.08	94	4.00
8	LILO of NKN-Sitapur at Sidhali	V	2X0.75	2/96	NA	8/97	19.16	31.12.02	25.48	64	6.32
9	SC Baghpat-Loni (MNL)	VI	19.776	4/97	SCAN	10/98	62.10	13.2.03	236.72	52	174.62
10	SC Baraut-Chhaprauli	VIII	20.490	6/94	SCAN	12/95	112.92	12.6.03	211.56	90	98.64
11	LILO of Mathura-Kosikalan at Gokul	IX	2X25.033	12/00	SCAN	6/02	301.00	8.8.03	456.03	14	155.03
12	SC Fatehpur-Khaga	VII	41.803	6/01	SCAN	12/02	170.27	23.11.03	413.17	11	242.90
13	SC Basti-Dumariaganj	VI	50.686	3/91	Deptt	9/92	150.17	31.1.04	428.56	136	278.39
14	SC Khurram Nagar - NKN	IX	7.87	5/01	KPTL	11/02	96.00	12.04.	140.7	25	44.70
15	Sultanpur-Milkipur SC	VI	48.55	9/01	Deptt	5/03	158.32	3.11.04	463.81	17	305.49
16	Azamgarh-Koilsa D/C/Sc	V	37.782	8/02	Deptt	4/03	96.84	30.12.04	130.42	20	33.58
17	LILO of Deoria-Kasya at 220 KV Deoria	VII	2 X 2.112	4/02	KPTL	10/03	70.43	17.5.04	30.63	6	--
18	SC, Azamgarh-Phulpur	VI	32.156	9/02	SCAN	3/04	122.48	23.8.04	269.10	5	146.62
19	DC/SC, Azamgarh-Koilsa	V	2 X 7.44 + 22.902	8/02	ETLEU	2/04	96.84	30.12.04	130.96	10	34.12
20	SC, Chihat-Khurramnagar	IX	21.375	5/01	KPTL	11/02	264.00	18.1.05	176.64	25	--
<b>Total</b>			<b>2017.824</b>				<b>27955.53</b>		<b>74092.27</b>		<b>46136.74</b>

**Annexure-29**

**Statement showing preparation of estimates at higher rates in erection lines**

**(Referred to in paragraph 2.3.24)**

<b>Sl No</b>	<b>Details of work</b>	<b>Route length (Ckt km)</b>	<b>Estimated cost world bank (Rs in lakh)</b>	<b>Estimated cost per km as per UPPCL norms (Rs in lakh)</b>	<b>Estimated cost as per UPPCL norms (Rs in lakh)</b>	<b>Excess estimated cost (Rs in lakh)</b>
1	SC 132 KV Khurramnagar –Chinhat Line	21.375	299.75	11.13	237.90	61.85
2	SC 132 KV Khurramnagar –NKN Line	7.870	239.80	11.13	87.59	152.21
3	LILO of 132 KV TRT- Chinhat Line at Hardoi Road s/s	1.555	32.64	20.47	31.83	0.81
4	LILO of 132 KV TRT-NKN Line at Hardoi Road s/s	0.850	32.64	20.47	17.40	15.24
5	Re-alignment of 132KV Neebu Park Line	0.775	40.80	20.47	15.86	24.94
6	LILO of 132 KV Kasia-Deoria Line at 220 KV s/s Deoria	2.112	48.96	20.47	43.23	5.73
7	LILO of 220 KV Sarojininagar-Sitapur Line at	32.256	927.50	28.68	925.10	2.40
8	SC 220 KV Line from 400 KV Muzaffarnagar-220 KV Modipuram	45.019	918.90	17.29	778.38	140.52
9	SC 220 KV Line from 400 KV MuzaffarNagar – 220 KV MuzaffarNagar	11.704	204.20	17.29	202.36	1.84
10	220 KV SC Shatabdi Nagar- Simbholi Line	40.153	735.12	17.29	694.25	40.87
11	220 KV SC Gorakhpur- Deoria Line (Tower erection. Stringing & commissioning only)	35.522	708.00	17.29	614.18	93.82
12	LILO 132 SGPGI-Chinhat line at Martinpurwa	5.178	163.20	20.47	105.99	57.21
	<b>Total</b>					<b>597.44</b>

**Annexure-30**

**Statement showing TPS wise average coal consumption per unit during  
2000-01 to 2004-05**

(Referred to in paragraph 2.4.17)

Name of Thermal power station	Year	Total power generated (MU)	Coal consumption (MT)	Average consumption per unit (KG/KWH)*
Anpara 'A'	2000-01	4156.310	3212329	0.77
	2001-02	4387.840	3372010	0.77
	2002-03	4261.770	3258490	0.76
	2003-04	4367.410	3362884	0.77
	2004-05	4163.760	3281160	0.79
Anpara 'B'	2000-01	7347.210	4749970	0.65
	2001-02	7733.360	4929165	0.64
	2002-03	7407.880	4815200	0.65
	2003-04	7616.040	5030743	0.66
	2004-05	7345.610	5057950	0.69
Obra 'A'	2000-01	993.973	901064	0.91
	2001-02	926.868	834111	0.90
	2002-03	1058.496	1002228	0.95
	2003-04	785.020	742747	0.98
	2004-05	545.110	5458733	1.00
Obra 'B'	2000-01	9717.638	4084665	0.83
	2001-02	4760.980	3838575	0.80
	2002-03	5487.647	4563789	0.83
	2003-04	5459.802	4626345	0.85
	2004-05	5007.762	4212361	0.84
Panki	2000-01	860.655	723825	0.84
	2001-02	946.705	804697	0.85
	2002-03	1016.307	904744	0.89
	2003-04	1065.560	954603	0.90
	2004-05	1043.149	913170	0.88
Parichha	2000-01	601.631	537572	0.89
	2001-02	1027.407	913116	0.89
	2002-03	948.705	846682	0.89
	2003-04	654.547	589091	0.90
	2004-05	967.208	873465	0.90
Harduaganj	2000-01	705.220	774016	1.10
	2001-02	680.489	732122	1.08
	2002-03	767.699	805825	1.05
	2003-04	732.863	787294	1.07
	2004-05	631.177	668997	1.06
<b>Total</b>		<b>101379.808</b>	<b>78253738</b>	

\* Average consumption = coal consumption/total power generated X 1000.



**Annexure-31**  
**Statement showing coal consumption on the basis of regressed heat rate and calorific value of coal received by Obra TPS during 2000-01 to 2004-05**  
**(Referred to in paragraph 2.4.17)**

Sl. No.	Particulars	2000-01	2001-02	2002-03	2003-04	2004-05	Total
	<b>Obra 'A'</b>						
1.	Generation (MU)	993.973	926.868	1058.496	785.020	545.110	4309.467
2.	Weighted average of Calorific Value of coal received (Kcal/Kg.)	3824	3674	3617	3664	3664	
3.	Regressed station heat rate (Kcal/KWH) (Design heat rate)	3060 (2623)	3060 (2623)	3060 (2623)	3060 (2623)	3060 (2623)	
4.	Coal required on the basis of regressed station heat rate and calorific value of coal received* (Kg./KWH) (3/2)	0.800	0.833	0.846	0.835	0.835	
5.	Total coal required (MT) (1 x 4 x 10 <sup>3</sup> )	795178	772081	895488	655492	455167	3573406
6.	Total coal consumed (MT)	901064	834111	1002228	742747	548733	4028883
7.	Excess coal consumed (MT) (6 - 5)	105886	62030	106740	87255	93566	455477
8.	Average cost of coal per MT (Rupees)	896.33	1163.47	1007.66	1125.27	1350.80	
9.	Value of excess coal consumed (Rupees in crore) (7 X 8/10 <sup>7</sup> )	9.49	7.22	10.76	9.82	12.64	49.93
	<b>Obra 'B'</b>						
1.	Generation (MU)	4917.638	4760.980	5487.647	5459.802	5007.762	25633.829
2.	Weighted average of Calorific Value of coal received (Kcal/Kg.)	3824	3674	3617	3664	3664	
3.	Regressed station heat rate (Kcal/KWH) (Design heat rate)	2916 (2324)	2916 (2324)	2916 (2324)	2916 (2324)	2916 (2324)	
4.	Coal required on the basis of regressed station heat rate and calorific value of coal received* (Kg./KWH) (3/2)	0.763	0.794	0.806	0.796	0.796	
5.	Total coal required (MT) (1 x 4 x 10 <sup>3</sup> )	3752157	3780218	4423043	4346002	3986179	20287599
6.	Total coal consumed (MT)	4084665	3838575	4563789	4626345	4212361	21325735
7.	Excess coal consumed (MT) (6 - 5)	332508	58357	140746	280343	226182	1038136
8.	Average cost of coal per MT (Rupees)	896.33	1163.47	1007.66	1125.27	1330.38	
9.	Value of excess coal consumed (Rupees in crore) (7 X 8/10 <sup>7</sup> )	29.80	6.79	14.18	31.55	30.09	112.41

\* Coal required = regressed station heat rate/calorific value of coal received.

### Annexure-32

#### Statement showing excess consumption of coal during 2000-01 to 2004-05

(Referred to in paragraph 2.4.17)

#### At Anpara TPS

Name of Unit	Year	Total power generated (MU)	Total coal consumed (MT)	Norms of specific coal consumption (Kg/Kwh)	Consumption of coal as per norm (MT)	Excess coal consumed (MT)	Coal cost (Rs./MT)	Total excess cost (Rs in crore)
1	2	3	4	5	6 (3 X 5 X 10 <sup>3</sup> )	7 (4 - 6)	8	9 (7 X 8/10 <sup>7</sup> )
'A' TPS	2000-01	4156.310	3212329	0.74	30,75,669	136660	695.73	9.51
	2001-02	4387.840	3372010	0.74	32,47,002	125008	750.56	9.38
	2002-03	4261.770	3258490	0.74	31,53,710	104780	750.88	7.87
	2003-04	4367.410	3362884	0.74	32,31,883	131001	822.66	10.78
	2004-05	4163.760	3281160	0.74	30,81,182	199978	861.27	17.22
<b>Total</b>		<b>21337.090</b>	<b>16486873</b>			<b>697427</b>		<b>54.76</b>
'B' TPS	2000-01	7347.210	4749970	0.64	47,02,214	47756	756.80	3.61
	2001-02	7733.360	4929165	0.64	49,49,350	-	784.51	-
	2002-03	7407.880	4815200	0.64	47,41,043	74157	820.81	6.09
	2003-04	7616.040	5030743	0.64	48,74,266	156477	952.64	14.91
	2004-05	7345.610	5057950	0.64	47,01,190	356760	1064.60	37.98
<b>Total</b>		<b>37450.010</b>	<b>24583028</b>			<b>635150</b>		<b>62.59</b>

#### At Harduaganj TPS

Year	Total power generated (MU)	Total coal consumed (MT)	Norms of specific coal consumption (Kg/Kwh)	Consumption of coal as per norm (MT)	Excess coal consumed (MT)	Coal cost (Rs./MT)	Total excess cost (Rs in crore)
1	2	3	4	5 (2 X 4 X 10 <sup>3</sup> )	6 (3 - 5)	7	8 (6 X 7/10 <sup>7</sup> )
2000-01	705.220	774016	1.04	733429	40587	1483.83	6.02
2001-02	680.489	732122	1.04	707709	24413	1585.28	3.87
2002-03	767.699	805825	1.04	798407	7418	1575.29	1.17
2003-04	732.863	787294	1.04	762177	25117	1796.19	4.51
2004-05	631.177	668997	1.04	656424	12573	1901.17	2.39
<b>Total</b>	<b>3517.448</b>	<b>3768254</b>			<b>110108</b>		<b>17.96</b>

### Annexure-33

**Statement showing average consumption of fuel oil per unit during 2000-01 to 2004-05  
(Referred to in paragraph 2.4.22)**

Name of Thermal power station	Year	Total power generated (MU)	Oil consumption (KL)	Average consumption per unit (ml/Kwh)
Anpara 'A'	2000-01	4156.310	6525	1.57
	2001-02	4387.840	4577	1.04
	2002-03	4261.770	4562	1.07
	2003-04	4367.410	5302	1.21
	2004-05	4163.760	6364	1.53
Anpara 'B'	2000-01	7347.210	2712	0.37
	2001-02	7733.360	2123	0.27
	2002-03	7407.880	2293	0.31
	2003-04	7616.040	1819	0.24
	2004-05	7345.610	1900	0.26
Obra 'A'	2000-01	993.973	8891	9.05
	2001-02	926.868	9715	10.48
	2002-03	1058.496	10079	9.52
	2003-04	785.020	7612	9.70
	2004-05	545.110	7017	12.87
Obra 'B'	2000-01	9717.638	17656	3.59
	2001-02	4760.980	14476	3.04
	2002-03	5487.647	11316	2.06
	2003-04	5459.802	11059	2.03
	2004-05	5007.762	16094	3.21
Panki	2000-01	860.655	6236	7.25
	2001-02	946.705	4133	4.36
	2002-03	1016.307	3218	3.17
	2003-04	1065.560	3727	3.50
	2004-05	1043.149	3725	3.57
Parichha	2000-01	601.631	5074	8.43
	2001-02	1027.407	7543	7.34
	2002-03	948.705	11253	11.86
	2003-04	654.547	6587	10.06
	2004-05	967.208	3797	3.93
Harduaganj	2000-01	705.220	5345	7.58
	2001-02	680.489	4499	6.61
	2002-03	767.699	4288	5.59
	2003-04	732.863	6675	9.11
	2004-05	631.177	7202	11.41
<b>Total</b>		<b>101379.808</b>	<b>235661</b>	

### Annexure-34

#### Statement showing excess consumption of fuel oil during 2000-01 to 2004-05

(Referred to in paragraph 2.4.23)

#### At Obra TPS

Name of Unit	Year	Total power generated (MU)	Total oil consumed (KL)	Norms of oil consumption (MI/Kwh)	Consumption of oil as per norm (KL)	Excess oil consumed (KL)	Average rate of LDO (Rs./KL)	Total excess cost (Rs in crore)
1	2	3	4	5	6 (3 X 5)	7 (4-6)	8	9(7X8/10 <sup>7</sup> )
'A'TPS	2000-01	993.973	8991	5.52	5487	3504	11497	4.03
	2001-02	926.868	9715	5.52	5116	4599	11196	5.15
	2002-03	1058.496	10079	5.52	5843	4236	12709	5.38
	2003-04	785.020	7612	5.52	4333	3279	12007	3.94
	2004-05	545.110	7017	5.20	2835	4182	14857	6.21
<b>Total</b>		<b>4309.467</b>	<b>43414</b>			<b>19800</b>		<b>24.71</b>
'B'TPS	2000-01	4917.638	17656	2.94	14458	3198	11497	3.68
	2001-02	4760.980	14476	2.94	13997	479	11196	0.54
	2002-03	5487.647	11316	2.94	16134	-	12709	-
	2003-04	5459.802	11059	2.94	16052	-	12007	-
	2004-05	5007.762	16094	2.70	13521	2573	16707	4.30
<b>Total</b>		<b>25633.829</b>	<b>70601</b>			<b>6250</b>		<b>8.52</b>

#### At Anpara TPS

Name of Unit	Year	Total power generated (MU)	Total oil consumed (KL)	Norms of oil consumption (MI/Kwh)	Consumption of oil as per norm (KL)	Excess oil consumed (KL)	Average rate of LDO (Rs./KL)	Total excess cost (Rs in crore)
1	2	3	4	5	6 (3 X 5)	7 (4-6)	8	9(7X8/10 <sup>7</sup> )
'A'TPS	2000-01	4156.310	6525	1.10	4572	1953	14193.98	2.77
	2001-02	4387.840	4577	1.10	4827	-	15050.66	-
	2002-03	4261.770	4562	1.10	4688	-	16101.86	-
	2003-04	4367.410	5302	1.10	4804	498	15891.03	0.79
	2004-05	4163.760	6364	2.00	8328	-	21464.78	-
<b>Total</b>		<b>21337.090</b>	<b>27330</b>			<b>2451</b>		<b>3.56</b>
'B'TPS	2000-01	7347.210	2792	0.30	2204	588	14193.98	0.84
	2001-02	7733.360	2123	0.30	2320	-	15050.66	-
	2002-03	7407.880	2293	0.30	2222	71	16101.86	0.11
	2003-04	7616.040	1819	0.30	2285	-	15891.03	-
	2004-05	7345.610	1900	2.00	14691	-	20748.55	-
<b>Total</b>		<b>37450.010</b>	<b>10927</b>			<b>659</b>		<b>0.95</b>

#### At Harduaganj TPS

Year	Total power generated (MU)	Total oil consumed (KL)	Norms of oil consumption (MI/Kwh)	Consumption of oil as per norm (KL)	Excess consumption of oil (KL)	Average rate of LDO (Rs./MT)	Total excess cost (Rs in crore)
1	2	3	4	5 (3 X 4)	6 (3-5)	7	8(6X7X10 <sup>7</sup> )
2000-01	705.220	5345	5.73	4041	1304	12505.17	1.63
2001-02	680.489	4499	5.73	3899	600	12106.27	0.73
2002-03	767.699	4288	5.73	439	-	11842.94	-
2003-04	732.863	6675	5.73	4199	2476	14601.87	3.62
2004-05	631.177	7202	5.50	3471	3731	14511.42	5.41
<b>Total</b>	<b>3517.448</b>	<b>28009</b>			<b>8111</b>		<b>11.39</b>

**Annexure-35**  
**Statement showing excess consumption of heat during 2000-01 to 2004-05**  
**(Referred to in paragraph 2.4.25)**

**At Obra**

Sl. No.	Particulars	Unit	2000-01	2001-02	2002-03	2003-04	2004-05	Total
1	Target heat rate <sup>9</sup> (Kcal/Kwh)	A	3028	3028	3028	3028	3000	
		B	2916	2916	2916	2916	2900	
2	Actual heat rate Kcal/Kwh)	A	2971 <sup>*</sup>	2971	3081	3234	3212	
		B	2982 <sup>*</sup>	2982	3019	3147	2978	
3	Excess heat consumed (Kcal/Kwh) (1-2)	A	-	-	53	206	212	471
		B	66	66	103	231	78	544
4	Units generated (MU)	A	993.973	926.868	1058.496	785.02	545.110	4309.467
		B	4917.638	4760.980	5487.647	5459.802	5007.762	25633.829
5	Total excess heat consumed (Mkcal) (3 X 4)	A	-	-	56100	161714	115563	333377
		B	324564	314225	565228	1261214	390605	2855836
6	Weighted average calorific value of coal (Kcal/Kg)	A	3824	3674	3617	3664	3664	
		B	3824	3674	3617	3664	3664	
7	Excess heat consumed in terms of coal (MT) (10 <sup>7</sup> X 5 / 6)	A	-	-	15510	44136	31540	91186
		B	84876	85527	156270	344218	106606	777497
8	Average cost of coal (Rupees MT)	A	896.33	1163.47	1007.66	1125.27	1350.80	
		B	896.33	1163.47	1007.66	1125.27	1330.38	
9	Value of excess heat consumed (Rupees in crore) (7 X 8 / 10 <sup>4</sup> )	A	-	-	1.56	4.97	4.26	10.79
		B	7.61	9.95	15.75	38.73	14.18	86.22

**At Anpara**

Sl. No.	Particulars	Unit	2000-01	2001-02	2002-03	2003-04	2004-05	Total
1.	Target heat rate (Kcal/Kwh)	A	2549	2549	2549	2549	2500	
		B	2549	2549	2549	2549	2500	
2.	Total heat consumed (Kcal/Kwh)	A	2381	2906	2961	3077	3168	
		B	2179	2404	2514	2629	2758	
3.	Consumption of excess heat (Kcal/Kwh) (1-2)	A	-	357	412	528	668	1965
		B	-	-	-	80	258	338
4.	Gross generation (MKWH)	A	4156.31	4387.84	4261.77	4367.41	4163.760	21337.09
		B	7347.21	7733.36	7407.88	7616.04	7345.610	37450.10
5.	Total excess consumption of heat (MKCal) (3 X 4)	A	-	1566458.88	1755849.24	2305992.48	2781392	8409692.60
		B	-	-	-	609283.20	1895167	2504450.20
6.	Gross calorific value of coal (Kcal/Kg)	A	3829	3825	3849	3983	3986	
		B	3829	3825	3849	3983	3986	
7.	Total excess consumption of heat in terms of coal (MT) (10 <sup>7</sup> X 5 / 6)	A	-	409532	456183	578959	697790	2142464
		B	-	-	-	152971	475456	628427
8.	Average procurement cost of coal (Rs/MT)	A	695.73	750.56	750.88	822.66	861.27	
		B	756.80	784.51	820.81	952.64	1064.60	
9.	Total value of excess consumption of heat (Rs. in crore) (7 X 8 / 10 <sup>4</sup> )	A	-	30.74	34.25	47.63	60.10	172.72
		B	-	-	-	14.57	50.62	65.19

**At Harduaganj TPS**

Sl. No.	Particulars	2000-01	2001-02	2002-03	2003-04	2004-05	Total
1.	Target heat rate (Kcal/Kwh)	3480	3480	3480	3480	3450	
2.	Total heat consumed (Kcal/Kwh)	5006	3815	3468	3660	3680	
3.	Consumption of excess heat (Kcal/Kwh) (1-2)	1526	335	-	180	230	2271
4.	Gross generation (MKWH)	705.220	680.489	767.699	732.863	631.177	3517.448
5.	Total excess consumption of heat (MKCal) (3 X 4)	1076165.72	227963.82	-	131915.34	145171	1581215.88
6.	Weighted average calorific value of coal (Kcal/Kg)	4497	3506	3252	3334	4076	
7.	Total excess consumption of heat in terms of coal (MT) (10 <sup>3</sup> X5/ 6)	239307.476	65021	-	39567	35616	379511
8.	Average procurement cost of coal (Rs/tonne)	1483.83	1585.28	1575.29	1796.19	1901.17	
9.	Total value of excess consumption of heat (Rs. in crore) (7 X 8 / 10 <sup>4</sup> )	35.51	10.31	-	7.11	6.77	59.70

<sup>9</sup> As per Tariff Order issued by UPERC.  
<sup>\*</sup> Provisional based on the actual heat rate for the year 2001-02.

### Annexure-36

#### Statement showing loss of generation due to shortage of Oil and coal

(Referred to in paragraph 2.4.27)

#### In Obra TPS

Unit no.	Tripping		Synchronisation		Total outage (In hours)	Loss of generation (MU)	Reasons for tripping
	Date	Time	Date	Time			
III	28.05.2001	10.03	08.06.2001	17.30	271.27	10.851	Shortage of oil.
IV	24.05.2001	11.52	08.06.2001	16.00	364.08	14.563	-do-
III	22.06.2001	00.00	05.07.2001	12.15	324.15	12.966	-do-
IX	22.06.2001	21.59	23.06.2001	14.37	16.35	3.270	Coal exhausted in coal bunker
XI	23.06.2001	08.52	25.06.2001	17.48	56.56	11.312	Coal exhausted in coal bunker
IV	24.06.2001	14.00	07.07.2001	24.00	322.00	12.880	-do-
VI	30.06.2001	06.47	04.07.2001	15.30	104.63	9.835	-do-
XIII	14.08.2002	07.58	17.08.2002	14.04	78.06	15.612	Coal system jamming.
III	18.08.2002	00.00	20.08.2002	20.58	68.58	2.743	-do-
VII	10.12.2002	10.55	11.12.2002	09.55	23.00	2.162	Shortage of coal in coalbunker.
IV	17.06.2004	01.38	21.06.2004	11.54	106.17	4.247	Shortage of oil.
V	17.06.2004	01.38	17.06.2004	13.53	12.19	0.488	-do-
VI	18.06.2004	00.00	22.06.2004	00.35	96.35	9.057	-do-
IV	24.06.2004	18.45	26.06.2004	14.00	43.16	1.726	-do-
VI	14.08.2004	07.17	14.08.2004	13.05	5.48	0.515	No coal in coalbunkers.
X	18.08.2004	00.00	02.09.2004	24.00	384.0	76.800	Shortage of oil.
XI	25.06.2004	07.08	26.06.2004	04.59	21.51	4.302	Non-availability of Coal.
XIII	14.08.2004	21.59	15.08.2004	20.37	22.38	4.476	No coal in coalbunker.
<b>Total</b>						<b>197.805</b>	

#### In Anpara TPS

Unit no.	Tripping		Synchronisation		Total outage (In hours)	Loss of generation (MU)	Reasons for tripping
	Date	Time	Date	Time			
I	30.07.2001	04.58	30.07.2001	09.33	4.35	0.914	Bowl mill become empty due to no coal.
I	11.08.2004	14.56	11.08.2004	16.49	1.53	0.321	-do-
<b>Total</b>						<b>1.235</b>	

#### In Harduaganj TPS

Unit no.	Tripping		Synchronisation		Total outage (In hours)	Loss of generation (MU)	Reasons for tripping
	Date	Time	Date	Time			
IV	13.06.2000	06.20	13.06.2000	09.26	3.06	0.168	Poor dust level of coal.
IV	14.06.2000	12.05	16.06.2000	17.43	53.38	2.936	-do-
III	22.10.2000	12.14	23.10.2000	13.54	25.40	1.397	Non-availability of coal
IV	08.12.2000	21.05	10.12.2000	13.33	40.28	2.215	Low coal dust.
VII	15.03.2001	00.25	17.03.2001	18.22	65.57	6.885	Non-availability of usable coal.
IV	19.03.2001	21.25	23.03.2001	12.32	87.07	4.789	-do-
VII	22.03.2001	09.25	25.03.2001	07.33	70.08	7.358	-do-
IV	16.05.2001	09.34	21.05.2001	11.13	121.39	6.676	-do-
VII	17.05.2001	05.50	24.05.2001	20.20	182.30	19.142	-do-
III	12.01.2003	06.20	12.01.2003	11.35	5.15	0.283	Low oil level in main oil tank.
IV	17.08.2003	09.52	17.08.2003	14.55	2.20	0.121	Coal problems.
VII	12.09.2003	12.27	13.09.2003	10.30	22.03	2.313	Shortage of coal.
IV	19.08.2004	02.30	19.08.2004	18.45	16.15	0.888	Wet coal feeding.
IV	30.08.2004	18.25	30.08.2004	22.05	3.40	0.187	Coal bunker chocking.
IV	27.12.2004	01.05	27.12.2004	18.05	17.00	0.935	Coal interruption.
<b>Total</b>						<b>56.293</b>	

**Annexure-37**

**Statement showing fuel cost and cost of generation of the Company during 2000-01 to 2004-05  
(Referred to in paragraph 2.4.28)**

Name of the TPS	Year	Anpara		Obra		Panki	Harduaganj	Parichha	Average cost of the Company
		A	B	A	B				
Total Generation cost (per Unit)	2000-01	97	160	188	115	220	335	320	150
	2001-02	108	142	262	146	232	397	251	158
	2002-03	101	121	223	128	246	371	263	144
	2003-04	103	123	270	138	230	389	323	148
	2004-05	114	132	387	164	265	409	282	164
Total Fuel Cost (Per Unit)	2000-01	62	54	108	90	144	216	179	80
	2001-02	64	54	154	108	146	224	169	88
	2002-03	63	56	126	96	155	209	187	87
	2003-04	69	65	138	110	161	234	201	95
	2004-05	79	80	203	131	197	265	209	113
Percentage of fuel cost to total cost of generation (Per Unit)	2000-01	64	34	57	78	65	64	56	53
	2001-02	59	38	59	74	63	56	67	56
	2002-03	62	46	57	75	63	56	71	60
	2003-04	67	53	51	80	70	60	62	64
	2004-05	69	61	52	80	74	65	74	69

**Annexure-38**

**Statement showing details of companies having similar objectives  
(Referred to in paragraph 3.25)**

(Rs. in core)

Sl. No.	Name of company	Date of incorporation	Main objective	Three years expenses based on latest finalised accounts			Avoidable expenses	Accumulated loss	
				Years	Administrative expenses	Staff cost	Amount	Latest finalised account	Amount
<b>A. Construction Sector</b>									
1	U.P. Rajkiya Nirman Nigam Limited	01.05.1975	Construction of buildings and roads	2001-02 to 2003-04	108.86	74.84	-	2003-04	-
2	U.P. Samaj Kalyan Nirman Nigam Limited	25.06.1976	Construction of buildings and roads	2001-02 to 2003-04	38.59	28.27	38.59	2003-04	-
3	U.P. Police Avas Nigam Limited	27.03.87	Construction of buildings and roads	2001-02 to 2003-04	8.36	5.55	8.36	2003-04	-
<b>B. Textile Sector</b>									
4	U.P. State Spinning Company Limited	20.08.1976	Production of yarn	2002-03 to 2004-05	88.39	63.11	--	2004-05	111.22
5	U.P. State Yarn Company Limited	20.08.1974	Production of yarn	2001-02 to 2003-04	19.03	14.30	19.03	2003-04	106.76
	<b>Total</b>						<b>65.98</b>		



### Annexure-39

**Statement showing number of working State Government companies  
(Referred to in paragraph 3.27.4)**

<b>1.</b> U.P. State Yarn Company Limited (UPSYC)	<b>2.</b> U.P. State Handloom Corporation Limited (UPSHC)	<b>3.</b> U.P. Small Industries Corporation Limited (UPSIC)
<b>4.</b> U.P. State Industrial Development Corporation (UPSIDC)	<b>5.</b> U.P. State Spinning Company Limited (UPSSpC)	<b>6.</b> U.P. Project Corporation Limited (UP Projects Corpn)
<b>7.</b> U.P. State Bridge Corporation Limited (UPSBC)	<b>8.</b> U.P. Electronics Corporation Limited. (UPLC)	<b>9.</b> The Pradeshiya Industrial & Investment Corporation of Uttar Pradesh Limited (PICUP)
<b>10.</b> U.P. State Tourism Development Corporation (UPSTDC)	<b>11.</b> U.P. State Sugar Corporation Limited (UPSSuC)	<b>12.</b> U.P. Export Corporation Limited (UPEC)
<b>13.</b> U.P. State Leather Development and Marketing Corporation Limited (LAMCO)	<b>14.</b> Nandganj Sihori Sugar Company Limited. (NSSC)	<b>15.</b> Ghatampur Sugar Company Limited (GSC)
<b>16.</b> Chhata Sugar Company Limited. (CSC)	<b>17.</b> U.P. Power Corporation Limited (UPPCL)	<b>18.</b> U.P. Rajya Vidyut Utpadan Nigam Limited (UPRVUNL)
<b>19.</b> U.P. Jal Vidyut Nigam Limited (UPJVNL)	<b>20.</b> Kanpur Electricity Supply Company Limited (KESCO)	<b>21.</b> Madhyanchal Vidyut Vitran Nigam Limited (Madhyanchal VVNL)
<b>22.</b> Dakshinanchal Vidyut Vitran Nigam Limited (DVVNL)	<b>23.</b> Purvanchal Vidyut Vitran Nigam Limited (PuVVNL)	<b>24.</b> Pashchimanchal Vidyut vitran Nigam Limited (Pa.VVNL)

**Annexures-40**  
**Statement showing gap between two meetings**  
**(Referred to in paragraph 3.27.6)**

Name of company	Date of meetings		Number of times Section 285 was violated	Interval between two meetings (Months)	Name of company	Date of meetings		Number of times Section 285 was violated	Interval between two meetings (months)	
UPSYC	30.11.2000	16.7.2001	4	8	UPSSuC	30.8.2000	27.12.2000	5	4	
	16.4.2002	13.12.2002		8		19.5.2001	29.9.2001		4	
	21.3.2003	24.7.2003		4		27.5.202	13.9.2002		4	
	21.1.2004	29.6.2004		5		13.9.2002	16.1.2003		4	
							6.2.2004		22.7.2004	4
UPSHC	6.9.2000	23.4.2001	6	7	UPEC	24.3.2000	29.7.2000	7	4	
	23.7.2001	23.1.2002		6		16.11.2000	29.3.2001		4	
	23.1.2002	17.7.2002		6		4.10.2001	26.2.2002		4	
	17.7.2002	30.11.2002		4		26.2.2002	28.6.2002		4	
	30.11.2002	4.9.2003		9		30.9.2002	23.1.2003		4	
	23.12.2003	9.6.2004		6		23.1.2003	22.5.2003		4	
							29.7.2003		7.5.2004	10
UPSIC	25.3.2000	11.8.2000	7	5	LAMCO	9.11.2000	20.3.2001	2	4	
	30.11.2000	4.5.2001		6		30.1.2002	17.5.2002		4	
	20.9.2001	4.1.2002		4						
	22.3.2002	6.7.2002		4						
	20.3.2003	30.7.2003		4						
	30.7.2003	23.12.2003		5						
	4.6.2004	19.10.2004		4						
UPSIDC	22.5.2002	26.9.2002	4	4	NSC	30.3.2000	12.7.2000	2	4	
	28.3.2003	16.7.2003		4		13.9.2002	14.1.2003		4	
	18.10.2003	7.2.2004		4						
	7.2.2004	18.9.2004		7						
UPSSpC	24.6.2000	23.10.2000	6	4	GSC	303.2000	12.7.2000	2	4	
	6.12.2000	16.7.2001		7		13.9.2002	16.1.2003		4	
	30.10.2001	20.3.2002		5						
	20.3.2002	29.8.2002		5						
	25.10.2002	20.3.2003		5						
	20.3.2003	27.9.2003		6						
UP Projects Corpn.	28.6.2000	9.11.2000	3	5	CSC	30.3.2000	12.7.2000	2	4	
	23.5.2001	29.9.2001		4		29.7.2002	16.1.2003		6	
	28.3.2003	11.8.2003		5						
UPSBC	27.9.2000	7.2.2001	7	4	UPJVNL	23.1.2001	19.10.2001	3	9	
	7.2.2001	26.6.2001		5		13.8.2003	11.3.2004		7	
	18.8.2001	7.12.2001		4		27.3.2004	18.10.2004		7	
	5.7.2002	30.12.2002		6						
	24.6.2003	23.12.2003		6						
	23.12.2003	2.4.2004		3						
	27.4.2004	2.11.2004		6						
UPLC	--.3.2000	7.6.2000	6	4	KESCO	5.8.1999	28.12.1999	5	4	
	29.12.2000	12.4.2001		4		3.2.2001	3.8.2001		5	
	22.5.2001	26.7.2002		14		3.8.2001	6.12.2001		4	
	26.7.2002	7.1.2003		5		6.12.2001	30.5.2002		5	
	9.5.2003	25.2.2004		10		30.5.2002	27.9.2002		4	
	25.2.2004	27.9.2004		7						
PICUP	8.8.2000	13.12.2000	7	4	MVVNL	23.8.2003	15.1.2004	2	5	
	21.3.2001	22.9.2001		6		15.1.2004	1.5.2004		4	
	20.11.2001	16.3.2002		4						
	25.10.2002	26.2.2003		4						
	26.2.2003	14.8.2003		6						
	27.3.2004	12.8.2004		5						
	12.8.2004	1.12.2004		4						
UPSTDC	10.4.2000	30.9.2000	8	6	DVVNL	20.10.2003	1.5.2004	2	6	
	30.9.2000	30.3.2001		6		31.8.2004	10.1.2005		5	
	30.3.2001	28.9.2001		6						
	28.9.2001	17.1.2002		4						
	27.4.2002	26.9.2002		5						
	24.12.2002	16.7.2003		7						
	16.7.2003	6.2.2004		7						
	6.2.2004	22.7.2004		6						

**Annexure-41**  
**Statement showing frequent change of Chairman and Managing Directors/Chief Executives**  
**(Referred to in paragraph 3.27.9)**

Sl. No.	Name of Company	Tenure of Chairman			Tenure of Managing Director/Executive Director		
		Name	Period Tenure	Month	Name	Period	Month
1	UPSYC	A.P. Singh	20.04.01 to 09.05.01	19 days	Dr Ashok Kumar	16.03.00 to 21.05.00	2
		B.M. Vohra	09.05.01 to 25.11.02	19	G.C.Pathak	22.05.00 to 16.07.01	14
		Jagdish Rai	25.11.02 to 29.03.03	4	N.S.Ravi	16.07.01 to 13.12.02	17
		C.K. Tewari	29.03.03 to 06.09.03	5	Jagdish Rai	13.12.02 to 23.7.03	7
		V. Venkatachalam	06.09.03 to 23.11.04	3	C.K.Tiwari	24.7.03 till date	-
		Ravindra Singh	23.11.04 to till date	-			-
2	UPSHC	Shiv Ganesh Lodhi	26.02.00 to 12.04.02	1	D.Diptivilas	01/00 to 07/02	31
		Mohinder Singh	12.04.02 to 17.07.02	3	C.K.Tewari	08/02 to 08/03	13
		Bhupender Singh	17.07.02 to 30.08.02	1	S.K.Nigam	09/03 to 08/04	12
		J.S. Deepak	30.08.02 to 20.08.03	12	P.K.Dixit	09/04 to till date	-
		V. Ventachalam	20.08.03 to 29.10.03	2			
		Smt. Johra Chatterji	29.10.03 to 11.11.03	13 days			
		Ravindra Singh	11.11.03 to 07.07.04	8			
		Smt. Uma Kiran	07.07.04 to till date	-			
3	UPSIC	Anand Prakash	01.01.00 to 05.07.02	30	Kunwar A.SDev	01/00 to 04/01	16
		Bhupender Singh	28.09.02 to 29.07.03	10	D.Diptivilas	05/01 to 09/01	4
		G. Pataniak	30.07.03 to 22.12.03	5	C.K.Tiwari	10/01 to 06/02	9
		C.K. Tewari	01.01.04 to 05.07.04	6	S.V.S.Saxena	07/02 to 12.03	18
		Mulchand	06.07.04 to till date	-	C.K.Tiwari	01/04 to 02/05	14
					S.V.S.Saxena	03/05 to till date	-
4	UPSIDC	A.P. Singh	29.12.00 to 29.09.01	9	Rajiv Kumar	03/00 to 07/03	41
		S.N. Shukla	29.09.01 to 22.05.02	8	P.D.Sudhakar	08/03 to 09/04	14
		Laxmi Chand	22.05.02 to 22.05.22	1 day	Sharda Prasad	10/04 to 12/04	3
		Shashank Sheker Singh	22.05.02 to 06.09.03	15	Balvinder Kumar	01/05 to till date	-
		Sujeet Banerji	06.09.03 to 18.09.04	12			
		Ravi Mathur	18.09.04 to 18.09.04	1 day			
		Sidh Gopal Sahu	18.09.04 to till date				
5	UPSSpC	B.M. Vohra	09.05.01 to 25.11.01	7	Jagdish Rai	No change	-
		Hari Krishan	25.11.01 to 20.03.02	4			
		Mohinder Singh	20.03.02 to 29.08.02	5			
		Bhupender Singh	29.08.02 to 27.09.03	13			
		V. Ventachalam	27.09.03 to 13.01.04	4			
		Ravindra Singh	13.01.04 to till date	-			
6	UP Projects Corpn	S.P. Arya	01.04.02 to 07.06.02	2	Kaptan Singh	01/00 to 09/00	9
		S.K. Agarwal	07.06.02 to 01.12.03	18	R.P.Sharma	01/01 to 03/03	27
		Atul Kumar Gupta	01.12.03 to 05.07.04	7	D.C.Samant	04/03 to till date	-
		Vijay Singh	05.07.04 to till date	-			
7	UPSBC	A.K. Jain	7.2.01 to 5.7.02	17	Vijai Kumar	01/00 to 12.02	36
		Alok Sinha	5.7.02 to 2.4.04	21	G.K.Pandey	01/03 to 06/03	6
		Chandra Pal	2.4.04 to 2.11.04	7	S.Lal	07/03 to 08/03	2
		S.K. Agrawal	2.11.04 till date	-	P.J.Ingle	09/03 to 12/03	4
					K.K.Mittal	01/04 to till date	-
8	UPLC	Pankaj Agarwal	06.04.00 to 29.12.00	9	Arun Arya	01/00 to 02/01	14
		O.N. Vaidh	29.12.00 to 31.10.01	10	Mukul Singhal	03/01	1
		C.K. Sharma	21.12.01 to 19.06.02	6	Anurag Srivastava	04/01	1
		G. Patnaik	19.06.02 to 11.07.03	13	Anil Kumar	05/01 to 02/02	10
		A.K. Vishnoi	29.07.03 to 16.10.03	3	Avanish Awasthi	03/02 to 04/02	2
		Rakesh Bhadur	16.10.03 to 28.05.04	7	R.N.Tripathi	05/02	1
		V.K. Agarwal	24.08.04 to till date	-	Amit Mohan Prasad	05/02 to 12/02	7
					Deepak Kumar	01/03 to 04/03	4
					D.C.Mishra	05/03 to 08/03	4
					A.K.Vishnoi	09/03	1
					Ajai Kumar	10/03 to 11/03	2
					Smt Archana Agrawal	12/03 to 1/04	2
					Rakesh Bahadur	02/04 to 06/04	5
					R.L.L.Dixit	07/04 to date	-
9	PICUP	B.N. Tiwari	18.11.00 to 01.08.01	8	P.C.Chaturvedi	12/00 to 05/02	19
		A.P. Verma	01.08.01 to 30.09.03	26	D.S.Bains	06/02 to 07/02	1
		A.P. Singh	29.11.03 to 31.12.03	1	Sanjiv Nair	08/02 to 10/03	15
		V.K. Dewan	31.12.03 to 30.04.04	4	Praveer Kumar	11/03 to 05/04	7

1	2	3	4	5	6	7	8
		V.K. Mittal	03.06.04 to till date	-	Anil Swaroop	06/04 to till date	-
10	UPTDC	P.L. Punia	02.04.02 to 26.09.02	6	Bhupendar Singh	04/00 to 09/01	6
		Smt. Manjulika Gautam	26.09.02 to 16.07.03	10	Smt. Leena Nandan	10/01 to 09/02	12
		Nawab Kazim Ali Khan	08.12.03 to till date	-	A.K. Vishnoi	10/02 to 06/03	8
					Mukul Singhal	07/03 to 01/04	7
					M.K. Gupta.	02/04 to 07/04	6
					Harpal Singh	08/04 to till date	-
11	UPSSuC	Dr. I.P. Airon	01.01.00 to 30.11.01	23	A.K. Jain	03/00 to 08/00	5
		Arun Kumar Mishra	01.12.01 to 21.03.02	16	Dr. Indu Prakash	08/00 to 12/00	4
		P.C. Shrama	21.03.02 to 06.05.02	2	R.P. Singh	12/00 to 09/01	9
		V.K. Dewan	06.05.02 to 11.10.02	5	B.M.Meena	09/01 to 09/02	12
		P.C. Sharma	11.10.02 to 02.12.02	2	Ms. Aradhana Johri	09/02 to 03/03	6
		R. Chandra	03.12.02 to 30.04.03	5	Hardev Singh	03/03 to 09/04	18
		Ravi Mathur	05.05.03 to 23.09.03	5	S.P.S. Chauhan	09/04 to till date	-
		D.S. Bains	03.11.03 to till date	-			8
12	UPEC	Shashank Sheker Singh	28.06.02 to 22.10.03	16	Tulsi Gaur	10/00 to 08/02	22
		Sujeet Banerji	22.10.03 to 07.05.04	6	Deepak Kumar	08/02 to 12/02	4
		Smt. Johra Chaterji	07.05.04 to 30.06.04	2	J.S. Deepak	12/02 to 03/03	3
		Dr. Mirajudin Ahmed	30.06.04 to till date	-	Smt. Aradhana Shukla	05/03 to 05/04	11
					Dr. R.C. Dua	05/04 to till date	-
13	LAMCO	Neeta Chaudhary	3/00 to 5/00	3	Mazid Ali	01/00 to 10/00	10
		Rajiv Gupta	6/00 to 3/01	10	Tulsi Gaur	11/00 to 10/03	36
		S.N. Jha	4/01 to 8/01	5	P.C. Jain	11/03 to till date	-
		V.K. Sharma	9/01 to date	-			
14	NSC	Arun Kumar Misra	28.12.01 to 27.03.02	3	Alok Kumar Jain	01/00 to 10/00	10
		P.C. Sharma	27.03.02 to 30.05.02	2	R.P. Sing	10/00 to 09/01	11
		V.K. Dewan	30.05.02 to 24.10.02	5	B.M. Meena	09/01 to 06/02	9
		P.C. Sharma	24.10.02 to 24.12.02	2	Ms. Aradhana Johiri	06/02 to 03/03	9
		R. Chandra	24.12.02 to 13.05.03	5	Hardev Singh	03/003 to 12/04	22
		Ravi Mathur	13.05.03 to 11.11.03	6			
		D.S. Bains	15.11.03 to till date	-			
15	GSC	A.K. Misra	28.12.01 to 27.03.02	3	Kesh Raj Singh	03/00 to 12/01	21
		P.C. Sharama	27.03.02 to 31.05.02	2	J.C. Batra	12/01 to 01/03	13
		R. Chandra	01/03 to 12.05.03	5	S.N. Tripathi	01/03 to 03/03	2
		Ravi Mathur	12.05.03 to 11.10.03	5	R.D. Sharma	03/03 to 06/03	3
		D.S. Bains	18.11.03 to till date	-	D.N. Singh	06/03 to 06/04	12
					S.P. Pandey	06/04 to 09/04	3
					D.N. Singh	09/04 to till date	-
16	CSC	A. K. Mishra	28.12.01 to 27.03.02	3	J.R. Tiwari	03/00 to 06/01	16
		P.C. Sharma	27.03.02 to 27.06.02	3	B.P. Tripathi	06/01 to 09/01	3
		V.K. Dewan	27.06.02 to 14.11.02	5	J.C. Bansal	09/01 to 03/02	6
		Mrs. Aradhana Johri	14.11.02 to 10.03.03	4	Sant Kumar Singhal	03/02 to 01/03	10
		Ravi Mathur	14.05.03 to 28.10.03	6	V.K. yaday	01/03 to 09/03	8
		D.S. Bains	12.12.03 to till date	-	Jai Singh	09/03	1
					Man Singh Yadav	09/03 to 12/03	3
					J.R. Tiwari	12/03 to 09/04	9
					V.K. Kapoor	09/04 to 12/04	3
					S.K. Sharma	01/05 to till date	-

**Annexure-42**

**Statement showing delay in formation of Audit Committee and Shortfall in holding its meetings  
(Referred to in paragraphs 3.27.12 and 3.27.15)**

Sl. No.	Name of Company	Delay in formation of Audit Committee			Meeting held		ToR (yes=y, Not=n)	Number of meetings attended by		
		Cut off Date	Date of formation	Delay (Months)	Period (Months)	No. of meetings held (Upto March 2005)		Statutory Auditors	Internal auditors	Director-in charge of finance
1.	UPSYC	13.12.2000	31.10.01	10	41	3	Y	Nil	Nil	Nil
2.	UPSHC	-do-	17.07.02	19	32	1	Y	Nil	Nil	
3.	UPSIC	-do-	23.03.03	27	24	-	Y			
4.	UPSIDC	-do-	26.06.99	-	69	4	N	Nil	Nil	2
5.	UPSSpC	-do-	16.07.01	07	44	4	N	Nil	Nil	2
6.	UPPCL	-do-	29.09.01	09	42	6	Y	Nil	Nil	6
7.	UPSBC	-do-	07.02.01	02	49	4	Y	Nil	Nil	4
8.	UPLC	-do-	26.07.02	19	32	2	Y	Nil	Nil	2
9.	PICUP	-do-	21.03.01	03	48	5	Y	2	Nil	5
10.	UPSTDC	-do-	27.04.02	16	35	Nil	Y	Meeting not held		
11.	UPSSuC	-do-	29.09.01	09	42	5	Y			
12.	UPEC	-do-	26.02.02	14	37	Nil	N	Meeting not held		
13.	LAMCO	-do-	No	51	Committee not constituted					
14.	NSC	-do-	June, 2001	06	45	4	N			
15.	GSC	-do-	14.06.02	18	33	2	Y	Nil	Nil	
16.	CSC	-do-	NA	NA	NA	4	Y	Nil	Nil	
17.	UPPCL	-do-	30.5.01	6	46	7	Y	2	Nil	
18.	UPRVUNL	-do-	6.8.01	7	43	5	N	Nil	Nil	
19.	UPIVNL	-do-	19.10.01	10	41	6	N	Nil	Nil	
20.	KESCO	-do-	30.5.02	17	34	2	Y	Nil	Nil	
21.	MVVNL	1.5.2003*	10.1.05	19	8	Nil	N	Meeting not held		
22.	DVVNL	1.5.2003*	19.7.04	13	2	Nil	N	Meeting not held		
23.	Pu VVN	1.5.2003*	19.7.04	13	8	Nil	N	Meeting not held		
24.	Pa VVN	1.5.2003*	19.7.04	13	8	Nil	N	Meeting not held		

\* Date of incorporation

**Annexure-43**

**Statement showing paragraphs/reviews for which replies were not received**

**(Referred to in paragraph 3.28)**

Sl. No.	Name of the department	1999-2000		2000-01		2001-02		2002-03		2003-04	
		No. of para in Audit Report	No. of para for which reply not received	No. of para in Audit Report	No. of para for which reply not received	No. of para in Audit Report	No. of para for which reply not received	No. of para in Audit Report	No. of para for which reply not received	No. of para in Audit Report	No. of para for which reply not received
1.	Power	20	5	18	8	20	13	20	18	14	14
2.	Transport	2	0	2	0	3	0	1	0	--	--
3.	Co-operative	--	--	--	--	--	--	2	0	--	--
4.	Harijan and Social Welfare	1	0	2	0	2	1	--	--	4	4
5.	Agriculture	--	--	--	--	1	0	2	0	--	--
6.	Textile	2	0	--	--	2	1	--	--	--	--
7.	Industries and Industrial Development	9	1	6	0	6	5	9	8	4	4
8.	Public Works	2	0	2	0	--	--	2	0	1	1
9.	Tourism	--	--	--	--	--	--	1	0	--	--
10.	Rural and Small Industries	4	1	--	--	3	1	1	0	--	--
11.	Sugar	2	0	2	0	--	--	3	1	1	1
12.	Urban Development	2	0	2	0	--	--	--	--	--	--
13.	Home	--	0	1	0	--	--	--	--	--	--
14.	Forest	--	--	1	0	--	--	1	0	1	1
15.	Housing	--	--	1	0	--	--	--	--	1	1
16.	Irrigation	--	--	1	0	1	0	--	--	2	2
17.	Fisheries	--	--	1	0	--	--	--	--	--	--
18.	Public Enterprises	1	0	--	--	--	--	--	--	2	2
	<b>Total</b>	<b>45</b>	<b>7</b>	<b>39</b>	<b>8</b>	<b>38</b>	<b>21</b>	<b>42</b>	<b>27</b>	<b>30</b>	<b>30</b>

**Annexure-44**

**Statement showing persistent irregularities pertaining to Government Companies appeared in the Reports of the Comptroller & Auditor General of India (Commercial)- Government of Uttar Pradesh  
(Referred to in paragraph 3.28.2)**

Year of Audit Report	Paragraph No.	Money Value (Rs. in crore)	Gist of Persistent Irregularities	Actionable points/action to be taken	Details of actions taken
<b>1.The Pradeshiya Industrial and Investment Corporation of Uttar Pradesh Limited</b>					
1998-99	4A.4	5.60	Loss due to inadequate pre-sanction appraisal and poor follow up of dues.	Responsibility was required to be fixed on officials besides strengthening of procedures/ system for appraisal of loan proposal and follow up of recovery of dues were required	The Company could recover Rs. 12.09 lakh only from the guarantor. Further action for OTS was awaited. Responsibility was not fixed on any official.
1999-2000	2A.7.1	75.25	-----do-----	-----do-----	Management's reply and action taken for fixation of responsibility were awaited.
	2A.7.2	28.09	-----do-----	-----do-----	-----do-----
	2A.7.3	8.70	-----do-----	-----do-----	-----do-----
	<b>Total</b>	<b>117.64</b>			
<b>2. Power Sector Companies</b>					
1997-98	3C.10.2(a)	2.37	Non-discontinuance of cheque facility after dishonour of cheques and non-disconnection of supply of electricity leading to accumulation of arrears.	Responsibility was required to be fixed on officials for not taking appropriate action.	Total dues against the consumer could not be recovered due to stay order of the court. The UPSEB/Company did not fix responsibility on any official for accumulation of dues.
1998-99	3A.6.2.3	8.99	-----do-----	-----do-----	Management's reply and further action were awaited.
	3A.6.2.6	16.66	-----do-----	-----do-----	-----do-----
1999-2000	4A.14	11.45	-----do-----	-----do-----	-----do-----
	4A.17	0.99	-----do-----	-----do-----	Management intimated the action taken for recovery of dues. Further action for recovery of balance amount of Rs. 0.99 crore was awaited. UPSEB did not fix responsibility on any official.
2001-02	3A.10	0.55	-----do-----	-----do-----	Management's reply and further action were awaited.
	3A.12	0.18	-----do-----	-----do-----	-----do-----
2002-03	2.2.25	0.79	-----do-----	-----do-----	-----do-----
2003-04	2.3.16	16.10	-----do-----	-----do-----	Management stated that action would be taken.

Year of Audit Report	Paragraph No.	Money Value (Rs. in crore)	Gist of Persistent Irregularities	Actionable points/action to be taken	Details of actions taken
1997-98	3C.12.1	61.39	Excessive damage of transformers (damage of transformers in excess of norm of 2 per cent) resulting in extra financial burden on repair	Examination for ascertaining reasons of excessive damage and adherence of schedule of preventive maintenance were required.	As a remedial measures, Management issued instructions from time to time to zonal offices to reduce excessive damage of transformers and intimated that UPSEB was increasing the capacity of existing transformers and establishing new sub station. The details of impact of remedial measures leading to reduction in damage of transformers was awaited.
1999-2000	3B.6.2	325.28	-----do-----	-----do-----	-----do-----
1999-2000	4A.19	1.94	Delay in raising assessment for energy of consumption resulted in delays in realisation from consumers.	Responsibility was required to be fixed on the officials who were making defaults in raising bills besides strengthening of the system of raising bills.	Management's reply and further action were awaited.
2000-01	4A.8	2.90	-----do-----	-----do-----	-----do-----
2002-03	2.2.21	0.43	-----do-----	-----do-----	-----do-----
1998-99	3A.5.17	3.17	Short billing and irregular waiver of minimum consumption guarantee.	Responsibility was required to be fixed in the cases of gross negligence on the part of official and where company sustained loss.	-----do-----
1999-2000	4A.13(a)	0.23	-----do-----	-----do-----	Government had directed to adjust the amount of outstanding dues from the loan of State Government to UPPCL. Intimation regarding adjustment of dues of UPPCL with the Government loan was awaited.
	4A.26	0.10	-----do-----	-----do-----	Management's reply and further action were awaited.
2001-02	3A.19	0.49	-----do-----	-----do-----	-----do-----
2002-03	2.2.21	0.52	-----do-----	-----do-----	-----do-----
1998-99	3A.6.2.1	68.95	Payment of monthly bills in instalments and waiver of late payment surcharge	Responsibility was required to be fixed on official violating the procedures of revenue collection.	Management replied that the instalment payment were allowed to consumers due to bad financial position of the consumers as a result of recession in the industry, after obtaining permission of competent authority/committee. UPPCL was taking action for recovery of balance amount of dues from consumer. Outcome of the action was awaited



Year of Audit Report	Paragraph No.	Money Value (Rs. in crore)	Gist of Persistent Irregularities	Actionable points/action to be taken	Details of actions taken
2000-01	4A.22	2.80	-----do-----	-----do-----	Management replied that the consumer was an important company of erstwhile KESA, decision taken by KESA had been adopted by the Corporation and recovery was made as per the decision of KESA.
	<b>Total</b>	<b>510.18</b>			
<b>3. U.P. Projects Corporation Limited</b>					
2000-01	4A.1	1.41	Expenditure incurred over the estimate/revised estimates not approved by client/not contemplated in the agreement and not admitted by the client resulting in loss.	Regularisation of such excess expenditure was required.	In the Government reply it was opined that excess expenditure was due to technical requirement/necessity. Technical sanction was awaited.
2001-02	2D.2.4.4	0.22	-----do-----	-----do-----	Revised estimates was approved by the client.
	<b>Total</b>	<b>1.63</b>			
<b>4. U.P. State Sugar Corporation Ltd.</b>					
1999-2000	4A.8	0.51	Improper storage leading to damage of sugar and consequential loss	Remedial action was required to be taken to avoid recurrence of loss due to improper storage.	Management stated that sugar became wet due to unavoidable circumstances and no official was responsible for it.
2000-01	4A.5	0.83	-----do-----	-----do-----	Government/Management explained that Sugar Directorate did not issue release order according to stock and sugar became wet due to excessive carry over of stock for longer period.
2002-03	3.1.6	1.19	-----do-----	-----do-----	Management's reply was awaited
	<b>Total</b>	<b>2.53</b>			

**Annexure-45**

**Statement showing persistent irregularities pertaining to Statutory Corporations appeared in the Reports of the Comptroller & Auditor General of India (Commercial)- Government of Uttar Pradesh**

(Referred to in paragraph 3.28.2)

Year of Audit Report	Paragraph No.	Money Value (Rs. in crore)	Gist of Persistent Irregularities	Actionable points/action to be taken	Details of actions taken
<b>1. Uttar Pradesh Financial Corporation</b>					
<b>1997-98</b>	3A.7.2.1	2.39	Faulty appraisal of proposal for sanction of loan where units were not viable from beginning leading to loss or non-recovery of the amount of loan.	Responsibility was required to be fixed on officials who appraised the proposal for sanction of loan besides strengthening of appraisal system and procedure.	Corporation could recover Rs. 36.32 lakh only from the directors of the assisted unit and issued Personal Recovery Certificate (PRC) for recovery of balance amount. Responsibility was not fixed on any official.
	3A.7.2.3	1.66	-----do-----	-----do-----	Corporation could recover Rs. 25.53 lakh only from the promoters. For recovery of balance amount PRC was issued. Responsibility was not fixed on any official.
<b>1999-2000</b>	4B.2	1.30	-----do-----	-----do-----	Corporation issued Recovery Certificate (RC) for recovery of dues and advertised for sale of hypothecated assets. Responsibility was not fixed on any official.
	4B.7	1.39	-----do-----	-----do-----	Corporation could recover Rs. 50.55 lakh only from promoters and through sale of assets of assisted unit. Responsibility was not fixed on any official
<b>2002-03</b>	3.2.2 3.2.3 3.2.4	11.68 7.09 4.85	-----do-----	-----do-----	Replies from the Management were awaited.
<b>1997-98</b>	3A.8.2.1	2.82	Non-observance of pre-disbursement conditions leading to loss due to recovery of loans becoming impossible.	Responsibility was required to be fixed on officials who failed to ensure pre-disbursement conditions besides the strengthening of system and procedure for disbursement of loan.	Corporation could recover Rs. 75 lakh only under One Time Settlement (OTS) decision.
	3A.8.2.2	1.75	-----do-----	-----do-----	Corporation could not recover any amount from the promoter. FIR was lodged against the directors of the assisted units. Corporation was trying to recover dues from the guarantors. Responsibility was not fixed on any official.

Year of Audit Report	Paragraph No.	Money Value (Rs. in crore)	Gist of Persistent Irregularities	Actionable points/action to be taken	Details of actions taken
	3A.8.2.3	1.36	-----do-----	-----do-----	Corporation issued notice under Section 29 of SFC Act for taking possession of hypothecated assets. Responsibility was not fixed on any official.
	3A.8.2.4	2.14	-----do-----	-----do-----	Corporation could not recover the dues and was to issue PRC. Responsibility was not fixed on any official.
<b>2003-04</b>	3.21	2.21	-----do-----	-----do-----	Corporation could not recover the dues and further action was awaited.
<b>1999-2000</b>	4B.6	0.56	Loss due to disbursement of loan on irregular legal documentation/forged documents.	Strengthening of procedure for fool proof verification/ independent checking of documents were required.	Corporation stated that OTS of Rs. 72.06 lakh was not honoured by the promoters of the assisted units. Further action was awaited
<b>2000-01</b>	4B.3	4.44	-----do-----	-----do-----	Corporation could recover only nominal amount from the promoters. Recovery of the balance amount was to be effected.
	4B.5	0.97	-----do-----	-----do-----	Corporation could recover Rs. 28.80 lakh only. Recovery of the balance amount was to be effected.
	4B.6	0.62	-----do-----	-----do-----	Corporation could not recover any amount from the promoter. Further action was awaited.
<b>2001-02</b>	3B.5	0.72	-----do-----	-----do-----	Corporation did not furnish reply to the para.
<b>2002-03</b>	3.2.6	4.50	-----do-----	-----do-----	Corporation did not furnish reply to the para. Management did not indicate any remedial action to avoid recurrence of such incidence.
	<b>Total</b>	<b>50.24</b>			
<b>2. Uttar Pradesh State Road Transport Corporation</b>					
<b>1997-98</b>	4B.2	0.32	Avoidable payment of damages on belated deposit of EPF.	Timely payment of EPF was required to ensure avoiding incidence of damages on delayed deposits	Management's reply was awaited
<b>1998-99</b>	4B.1	0.19	-----do-----	-----do-----	Management intimated that the amount of damages was adjusted in the wake of stay order of the court.
<b>2000-01</b>	4B.2	0.27	-----do-----	-----do-----	Management informed that a work plan had been prepared for deposit of tax. Further action was awaited
	<b>Total</b>	<b>0.78</b>			

**Annexure-46**  
**Statement showing the department-wise outstanding Inspection Reports (IRs)**  
**(Referred to in paragraph 3.29)**

Sl.No.	Name of Department	No. of PSUs	No. of outstanding IRs.	No. of outstanding paragraphs	Year from which paragraphs outstanding
1.	Agricultural	2	18	98	1985-86
2.	Pasudhan	2	9	15	1989-90
3.	Sugar & Cane Development	8	85	206	1982-83
4.	Irrigation	1	9	77	1994-95
5.	Matsya Utpadan	1	6	9	1995-96
6.	Laghu Udyog	2	19	164	1982.83
7.	Hathkargha Evam Vastra Udyog	2	19	112	1989-90
8.	Industrial Development	6	52	324	1984-85
9.	Niryat Protsahan	2	20	104	1988-89
10.	Textile	2	23	42	1992-93
11.	Electronics and Information Technology	6	34	148	1991-92
12.	Public Works	2	278	868	1979-80
13.	Samaj Kalyan	3	20	87	1993-94
14.	Mahila Kalyan	1	8	25	1993-94
15.	Pichra Varg Kalyan	1	7	31	1996-97
16.	Home	1	4	15	1996-97
17.	Food & Civil Supplies	2	67	232	1985-86
18.	Tourism	1	3	10	1994-95
19.	Alpsankhyak Kalyan & Waqf	2	8	50	1993-94
20.	Transport	1	79	304	1988-89
21.	Co-operative	1	11	84	1990-91
22.	Forest	1	80	346	1997-98
23.	Panchayati Raj	1	4	6	1982-83
24.	Energy	9	1921	6704	1982-83
	<b>Total</b>	<b>60</b>	<b>2784</b>	<b>10061</b>	

**Annexure-47**

**Statement showing department-wise draft paragraphs/reviews reply to which are awaited  
(Referred to in paragraph 3.29)**

<b>Sl. No.</b>	<b>Name of Department</b>	<b>No. of draft paragraphs</b>	<b>No. of reviews</b>	<b>Period of issue</b>
1.	Energy	12	2	February 2005 to May 2005
2.	Industrial Development	4	1	April 2005 to May 2005
3.	Public works	1	-	May 2005
4.	Transport	4	-	January 2005 to May 2005
5.	Urban development	2	--	August 2004 and April 2005
6.	Electronic and Information Technology	1	--	June 2005
7.	Public Enterprises	3	--	May 2005 to June 2005
8.	Alpsankhyak Kalyan Evam Waqf and Samaj Kalyan	-	1	June 2005
	<b>Total</b>	<b>27</b>	<b>4</b>	