

APPENDIX-I

(Refer paragraph 1.4; page 4)

Part A- Government Accounts

I. Structure:

The accounts of the State Government are kept in three parts (i) Consolidated Fund, (ii) Contingency Fund and (iii) Public Account.

Part I: Consolidated Fund

All receipts of the State Government from revenues, loans and recoveries of loans go into the Consolidated Fund of the State, constituted under Article 266(1) of the Constitution of India. All expenditure of the Government is incurred from this Fund from which no amount can be withdrawn without authorisation from the State Legislature. This part consists of two main divisions, namely, Revenue Account (Revenue Receipts and Revenue Expenditure) and Capital Account (Capital Receipts, Capital Expenditure, Public Debt and Loans, etc.).

Part II: Contingency Fund

The Contingency Fund created under Article 267(2) of the Constitution of India is in the nature of an imprest placed at the disposal of the Governor of the State to meet urgent unforeseen expenditure pending authorisation from the State Legislature. Approval of the State Legislature is subsequently obtained for such expenditure and for transfer of equivalent amount from the Consolidated Fund to Contingency Fund. The corpus of this Fund authorised by the Legislature during the year was Rs 35 crore.

Part III: Public Account

Receipts and disbursements in respect of small savings, provident funds, deposits, reserve funds, suspense, remittances, etc., which do not form part of the Consolidated Fund, are accounted for in Public Account and are not subject to vote by the State Legislature.

II. Form of Annual Accounts

The accounts of the State Government are prepared in two volumes *viz.*, the Finance Accounts and the Appropriation Accounts. The Finance Accounts present the details of all transactions pertaining to both receipts and expenditure under appropriate classification in the Government accounts. The Appropriation Accounts, present the details of expenditure by the State Government *vis-a-vis* the amounts authorised by the State Legislature in the budget grants. Any expenditure in excess of the grants requires regularisation by the Legislature.

Part B- List of terms used in the Chapter-I and basis for their calculation

Term	Basis for calculation
Buoyancy of a parameter	<u>Rate of Growth of the parameter</u> GSDP Growth
Buoyancy of a parameter (X) with respect to another parameter (Y)	<u>Rate of Growth of the parameter (X)</u> Rate of Growth of the parameter (Y)
Rate of Growth (ROG)	$[(\text{Current year's Amount}/\text{Previous year's Amount})-1] * 100$
Development Expenditure	Social Services + Economic Services
Weighted Interest Rate (Average interest paid by the State)	Interest Payment / $[(\text{Amount of previous year's Fiscal Liabilities} + \text{Current year's Fiscal Liabilities})/2]*100$
Interest received as <i>per cent</i> to Loans Advanced	Interest received $[(\text{Opening balance} + \text{Closing balance of Loans and Advances})/2]*100$
Revenue Deficit	Revenue Receipts – Revenue Expenditure
Fiscal Deficit	Revenue Expenditure + Capital Expenditure + Net Loans and Advances – Revenue Receipts – Miscellaneous Capital Receipts
Primary Deficit	Fiscal Deficit – Interest Payments
Balance from Current Revenues (BCR)	Revenue Receipts <i>minus</i> all Plan grants and Non-Plan Revenue Expenditure excluding debits under "2048 – Appropriation for Reduction or Avoidance of Debt"

APPENDIX-II

(Refer paragraph 1.7.2; page 14)

Statement showing pending Utilisation Certificates as on 31 March 2006

Department	Period	Number of outstanding Utilisation Certificates	Amount (Rupees in crore)
Animal Husbandry	1994-2005	16	2.21
Social Welfare	1995-2005	701	6.72
Medical and Health	2003-2005	2	0.16
Public Health Engineering	2003-2005	11	0.02
Environment	1996-2005	13	0.19
Science and Technology	1997-2005	117	0.29
Fisheries	2001-2005	52	0.75
Industries	1998-2005	18	19.12
Co-operative	2004-2005	6	0.44
Total		936	29.90

APPENDIX-III

(Refer paragraph 1.8.3; page 16)

List of Government Enterprises running in loss

(Rupees in crore)

Sl. No.	Name of the enterprises	Government investment upto 31 March 2006	Financial results received	Accumulated loss
I- Statutory Corporations				
1.	Rajasthan State Road Transport Corporation, Jaipur	193.24	2004-05	345.49
II- Rural Banks				
2.	Mewar Anchalik Gramin Bank, Udaipur	2.03	2004-05	12.84
III- Government Companies				
3.	Rajasthan State Hotels Corporation Ltd., Jaipur	1.36	2004-05	2.69
4.	Rajasthan State Agro Industries Corporation Ltd., Jaipur	4.13	2004-05	40.82
5.	Rajasthan State Dairy Development Corporation Ltd., Jaipur	0.16	2004-05	0.19
6.	Rashtriya Pariyojana Nirman Nigam Ltd., New Delhi (The National Projects Construction Corporation Limited, New Delhi)	0.10	2003-04	616.32
7.	National Textiles Corporation, New Delhi	0.46	2002-03	572.40
8.	Rajasthan State Handloom Development Corporation Ltd., Jaipur	5.60	2004-05	42.01
9.	Rajasthan State Electricity Corporation, Jaipur	0.05	2002-03	*
IV Joint Stock Companies				
10.	Jaipur Udyog Ltd., Sawaimadhopur	0.75	30.6.85	24.64
11.	Man Industrial Corporation Ltd., Jaipur	0.15	2003-04	3.60
12.	News Paper Ltd., Allahabad	**	1986-87	0.24
13.	Rampur Industries Ltd., Rampur	***	1995-96	0.19
14.	Aditya Mills Ltd., Kishangarh, Ajmer	0.16	2003-04	17.07
15.	Associated Iron and Steel Industries Ltd., Ram Ganj Mandi, Kota	0.01	31.12.84	0.17
16.	Mewar Textiles Mills Ltd., Bhilwara	0.50	2003-04	21.89
	Total	208.70		1,700.56

* Rs 0.36 lakh only.

** Rs 0.10 lakh only.

*** Rs 0.07 lakh only.

APPENDIX-IV

(Refer paragraph 2.3.1; page 31)

Statement showing areas in which major savings occurred

(Rupees in crore)

Grant No.	Head of account	Savings
3-Secretariat		
	3451-Secretariat-Economic Services-District Planning Machinery-Expenditure for District Poverty Eradication Project under World Bank Assistance	99.63
14-Sales Tax		
	2040-Taxes on Sales, trade etc.-Other expenditure-Rajasthan Investment Promotion Policy-Interest Grant	32.21
19-Public Works		
	4055-Capital Outlay on Police-Police Housing-Through the Public Works Department-Construction Works	4.60
	4059-Capital Outlay on Public Works-General-Construction-General Building (Other Administrative Services-General Administrative Buildings)-Through the Chief Engineer, Public Works Department	11.95
	General Building (Jails)-Through the Inspector General	6.52
	General Building (Construction of Building under Police Modernisation Scheme)	15.02
	4250-Capital Outlay on Other Social Services-Employment-Training-Construction Works	4.79
	4853-Capital Outlay on Non-Ferrous Mining and Metallurgical Industries-Mineral Exploration and Development-Research and Development-Approach Roads-Construction Works	1.74
22-Area Development		
	4705-Capital Outlay on Command Area Development-Development of Indira Gandhi Nahar Area-Land Development Work through the agency of Chief Engineer, Command Area Development, Indira Gandhi Nahar Project-Land Development Work Stage-II	32.52
27-Drinking Water Scheme		
	4215-Capital Outlay on Water Supply and Sanitation-Water Supply-Urban Water Supply-General Urban Water Supply Schemes-Chambal Project, Bharatpur	15.00
	Jawai-Pali-Jalore Water Supply Scheme (Jawai-Jodhpur Pipeline Project)	30.00
	Rural Water Supply-Accelerated Rural Water Supply Scheme-Chambal Project, Bharatpur	43.93
	Jawai-Pali-Jalore Water Supply Scheme (Jawai-Jodhpur Pipeline Project)	15.00
	Rajsamand Water Supply Scheme (Bageri ka Naka)	18.00
	Fluoride Control Project Bisalpur-Dudu	25.96
	Fluoride Control Project Chambal-Baler-Sawaimadhapur	29.35
	Maintenance Percentage Charges (O & M) for Rural Water Supply Scheme transferred from Major head 2215-Water Supply and Sanitation-01.102	25.86
	Water Supply Schemes with the assistance from KFW Germany (through Chief Engineer, Project Management Cell, Churu)-Construction Works	13.27
	Summer Season Contingency	13.22
	Bisalpur-Dudu Water Supply Scheme	13.00

(Rupees in crore)

Grant No.	Head of account	Savings
	Chambal-Baler-Sawaimadhopur Water Supply Scheme	14.25
	Water Supply in rural areas due to drought	13.09
	Dang Area Water Supply Scheme, Dholpur	10.00
	Chambal Project, Bharatpur	10.00
	Sewerage and Sanitation-Sewerage Services-Complete Cleaning Expedition	15.74
29-Urban Plan and Regional Development		
	4217-Capital Outlay on Urban Development-Other Urban Development Schemes-Land-Development of six main cities (EAP) Works-through the Rajasthan Urban Infrastructural Development Project	226.58
30-Tribal Area Development		
	2202-General Education-Elementary Education-Tribal Area Sub plan-Upper Primary Boys Schools	13.98
	Aid to Local Bodies/Grants to <i>Panchayat Samitis</i> for Primary Schools	3.70
	Secondary Education-Tribal Area Sub plan-Government Secondary School-Schools for boys	18.42
	2225-Welfare of Scheduled Castes, Scheduled Tribes and other Backward Classes-Welfare of Scheduled Tribes-Tribal Area Sub plan (Through the Commissioner, Tribal Area Development)(S.C.A.)-Grants-in-aid for Schemes of Tribal Area Sub-plan-Schemes under the provision of Article 275 (1) of the Constitution (SCA)	4.08
	Assistance under Agriculture Development Schemes (SCA)	3.00
	2236-Nutrition-Disribution of Nutritious Food and Beverages-Tribal Area Sub plan-Through the Woman and Child Development Department-Integrated Child Development Programme	3.60
	<i>Avyaska Balika Yojana</i>	5.14
	2402-Soil and Water Conservation-Assistance to <i>Zila Parishads</i> /District Level <i>Panchayats</i> -Grants for work plan in Tribal Area Sub plan-Plan for Soil Conservation Work	8.13
33-Social Security and Welfare		
	2225-Welfare of Scheduled Castes, Scheduled Tribes and other Backward Classes-Welfare of Scheduled Castes-Special Central Assistance for Scheduled Castes Component Plan-Scheduled Castes Sub plan	13.39
	Welfare of Scheduled Tribes-Education-Scholarship and Stipend-Through the Director, Social Welfare Department	12.33
	Welfare of Backward Classes-Education-Maintenance of Hostels	4.23
	2236-Nutrition-Disribution of Nutritious Food and Beverages-Special Nutrition Programme-Through the Woman and Child Development Department-Nutrition Crash Programme	32.73
	Integrated Child Development Scheme	33.14
	<i>Pradhan Mantri Gramodaya Yojana</i>	29.71
35-Miscellaneous Community and Economic Services		
	2047-Other Fiscal Services-Promotion of Small Savings-Small Saving Organisation	60.48
	5475-Capital Outlay on Other General Economic Services-Other expenditure-Information Technology in State Department	29.14
38-Minor Irrigation and Soil Conservation		
	2402-Soil and Water Conservation-Assistance to <i>Zila Parishads</i> /District Level <i>Panchayats</i> -Grants for Land Conservation Works of Work Plan-Grants for Land conservation work	45.46

(Rupees in crore)

Grant No.	Head of account	Savings
46-Irrigation		
	4700-Capital Outlay on Major Irrigation-Indira Gandhi Nahar Project (Commercial)-Direction and Administration-Second Stage-Through the Chief Engineer, Indira Gandhi Nahar Project, Bikaner	12.52
	Amount received from Government of India-under Rapid Irrigation Benefit Programme-Through the Chief Engineer, Indira Gandhi Nahar Project, Jaisalmer	37.23
	Suspense-Stage Second-Through the Chief Engineer, Indira Gandhi Nahar Project, Jaisalmer	18.92
	Narbada Project (Commercial)-Direction and Administration-Rapid Irrigation Benefit Programme-Share amount in construction work of Government of Gujarat	39.00
	4702-Capital Outlay on Minor Irrigation-Surface Water-Minor Irrigation Construction Work-Construction Work	17.39
51-Special Organisational Scheme for Welfare of Scheduled Castes		
	2225-Welfare of Scheduled Castes, Scheduled Tribes and other Backward Classes-Welfare of Scheduled Castes-Special Component Plan for Scheduled Castes-Scholarship and Stipend	6.40
	2236-Nutrition-Distribution of Nutritious Food and Beverages-Special Component Plan for Scheduled Castes-Through the Woman and Child Development Department-Pradhan Mantri Gramodaya Yojana	13.29
	2401-Crop Husbandry-Special Component Plan for Scheduled Castes-Through Agriculture Department-Integrated Schemes of Oil Seed, Pulses, Oilpam and Maize	3.34
	2505-Rural employment-National Programmes-Assistance to Zila Parishads/District Level Panchayats-Pradhan Mantri Gramodaya Yojana (Awas)-Grant	5.63
Public Debt		
	6003-Internal debt of the State Government-Ways and Means Advances from the Reserve Bank of India	3,000.00

APPENDIX-V

(Refer paragraph 2.3.1; page 31)

Cases involving substantial savings of more than Rs five crore and exceeding 80 per cent of the provision under various Heads

(Rupees in crore)

Sl. No.	Number and name of the grant	Head of account	Amount of Savings	Percentage of savings
Revenue-Voted				
1.	20-Housing	2216-02-800-01-04-Slum Areas Development Programme	29.35	97.7
2.	24-Education, Art and Culture	2202-01-800-04-01-Distribution of free books to Government Upper Primary School under <i>Pradhan Mantri Gramodaya Yojana</i>	7.96	100
3.	----do----	2202-02-107-07-Pre-metric Scholarship to student of Other Backward Classes	21.03	96.2
4.	26-Medical and Public Health and Sanitation	2211-105-01-IUD (Vasectomy), Tubectomy	11.20	100
5.	29-Urban Plan and Regional Development	2217-80-191-19-Urban Improvement Incentive Fund	20.05	86.6
6.	30-Tribal Area Development	2236-02-796-01-07- <i>Avyaska Balika Yojana</i>	5.14	83.6
7.	33-Social Security and Welfare	2236-02-101-01-05- <i>Pradhan Mantri Gramodaya Yojana</i>	29.71	92.2
8.	34-Relief from Natural Calamities	2245-01-104-03-Cattle Feeding Centre	13.02	86.8
9.	----do----	2245-02-113-Assistance for repairs/reconstruction of Houses	8.82	88.2
10.	42-Industries	2851-110-02-Handloom Co-operative Societies	6.46	85.9
11.	51-Special Organisational Scheme for Welfare of Scheduled Castes	2236-02-789-01-02- <i>Pradhan Mantri Gramodaya Yojana</i>	13.29	100
12.	----do----	2505-01-196-02-03-Grant	5.63	100
Capital-Voted				
13.	19-Public Works	4059-80-051-06-General Building (Construction of Building under Police Modernisation Scheme)	15.02	94.8
14.	20-Housing	4216-01-700-01-90-Construction Work (Through the Chief Engineer, Public Works Department)	5.70	80.5
15.	21-Roads and Bridges	5054-04-800-07-Agriculture Extension Programme	6.90	82.6
16.	24-Education, Art and Culture	4202-01-201-07-Construction works under <i>Pradhan Mantri Gramodaya Yojana</i>	7.96	100
17.	27-Drinking Water Scheme	4215-01-101-01-24-Chambal Project, Bharatpur	15.00	100

Sl. No.	Number and name of the grant	Head of account	Amount of Savings	Percentage of savings
18.	27-Drinking Water Scheme	4215-01-101-01-27-Jawai-Pali-Jalore Water Supply Scheme (Jawai-Jodhpur Pipeline Project)	30.00	100
19.	----do----	4215-01-101-01-34-Coffer Dam, Isarda (Through Irrigation Department)	10.82	94.1
20.	----do----	4215-01-101-01-35-Sarwar Nasirabad Pipeline Project	9.50	95.0
21.	----do----	4215-01-102-01-07-Renovation/Alteration of Old Water Sources	8.19	81.9
22.	----do----	4215-01-102-01-08-Chambal Project, Bharatpur	43.93	97.6
23.	----do----	4215-01-102-01-12- Jawai-Pali-Jalore Water Supply Scheme (Jawai-Jodhpur Pipeline Project)	15.00	100
24.	----do----	4215-01-102-01-14-Fluoride Control Project Bisalpur-Dudu	25.96	99.8
25.	----do----	4215-01-102-01-21-Dang Area Water Supply Scheme, Dholpur	9.64	96.4
26.	----do----	4215-01-102-03-03-D-Fluoridation (25:75)	9.77	97.7
27.	----do----	4215-01-102-18-Bisalpur-Dudu Water Supply Scheme	13.00	100
28.	----do----	4215-01-102-19-Chambal-Baler-Sawai Madhopur Water Supply Scheme	14.25	100
29.	----do----	4215-01-102-32- Dang Area Water Supply Scheme, Dholpur	10.00	100
30.	----do----	4215-01-102-33- Chambal Project, Bharatpur	10.00	100
31.	----do----	4215-02-106-02-Complete Cleaning Expedition	15.74	86.6
32.	36-Co-operation	4425-107-01-Purchase of share of Co-operatives through Registrar, Co-operative Societies	6.04	98.1
33.	----do----	6425-108-07-Investment to Spin Fed/Cotton Complex	17.91	100
34.	46-Irrigation	4700-80-800-02-04-Through the Chief Engineer, Ground Water Department	8.50	88.5
Revenue-Charged				
35.	Interest Payments	2049-01-101-01-99-New Loan	47.83	100
36.	----do----	2049-05-101-01-Water Works	18.69	100
Capital-Charged				
37.	Public Debt	6003-105-02-Loan under Rural Infrastructure Development Fund	11.82	100
38.	----do----	6003-110-Ways and Means Advances from the Reserve Bank of India	3,000.00	100
Total			3,558.93	

APPENDIX-VI

(Refer paragraph 2.3.1; page 31)

Grants/appropriations where the savings exceeded more than Rs one crore in each case and also 10 per cent of the total grant/appropriation

(Rupees in crore)

Sl. No.	Number and name of the grant	Total grant	Expenditure	Savings	Percentage of savings
Revenue-Voted					
1.	3-Secretariat	240.72	142.99	97.73	40.6
2.	9-Forest	198.58	176.72	21.86	11.0
3.	11-Miscellaneous Social Services	12.15	10.85	1.30	10.7
4.	14-Sales Tax	107.58	73.24	34.34	31.9
5.	20-Housing	51.13	28.53	22.60	44.2
6.	30-Tribal Area Development	554.73	474.76	79.97	14.4
7.	33-Social Security and Welfare	615.20	504.11	111.09	18.1
8.	35-Miscellaneous Community and Economic Services	125.62	67.56	58.06	46.2
9.	36-Co-operation	38.66	29.70	8.96	23.2
10.	38-Minor Irrigation and Soil Conservation	142.42	95.09	47.33	33.2
11.	42-Industries	62.29	54.42	7.87	12.6
12.	49-Compensations and Assignments to Local Bodies and Panchayati Raj Institutions	2.90	1.58	1.32	45.5
13.	50-Rural Employment	9.80	7.38	2.42	24.7
14.	51-Special Organisational Scheme for Welfare of Scheduled Castes	139.92	102.40	37.52	26.8
Capital- Voted					
15.	3-Secretariat	15.00	7.32	7.68	51.2
16.	19-Public Works	193.70	131.99	61.71	31.9
17.	20-Housing	25.55	15.30	10.25	40.1
18.	22-Area Development	210.07	177.31	32.76	15.6
19.	23-Labour and Employment	9.95	4.70	5.25	52.8
20.	24-Education, Art and Culture	31.54	21.27	10.27	32.6
21.	27-Drinking Water Scheme	1,374.93	1,066.47	308.46	22.4
22.	29-Urban Plan and Regional Development	942.92	728.49	214.43	22.7
23.	33-Social Security and Welfare	23.91	20.92	2.99	12.5
24.	35-Miscellaneous Community and Economic Services	61.78	30.65	31.13	50.4
25.	36-Co-operation	69.23	53.47	15.76	22.8
26.	37-Agriculture	21.31	9.96	11.35	53.3
27.	38-Minor Irrigation and Soil Conservation	19.52	16.75	2.77	14.2

(Rupees in crore)

Sl. No.	Number and name of the grant	Total grant	Expenditure	Savings	Percentage of savings
28.	43-Minerals	2.51	0.58	1.93	76.9
29.	46-Irrigation	1,085.70	954.52	131.18	12.1
Capital-Charged					
30.	Public Debt	4,001.77	992.48	3,009.29	75.2
	Total	11,622.44	6,932.16	4,690.28	

APPENDIX-VII

(Refer paragraph 2.3.2; page 31)

Persistent savings more than Rs one crore in each case and in excess of 10 per cent of the total grant

(Rupees in crore)

Sl. No.	Number and name of the grant	Amount of savings (Percentage)		
		2003-04	2004-05	2005-06
Revenue-Voted				
1.	33-Social Security and Welfare	74.65 (14.6)	51.92 (10.1)	111.09 (18.1)
2.	36-Co-operation	5.05 (17.4)	6.08 (19.7)	8.96 (23.2)
3.	42-Industries	11.30 (19.4)	26.20 (48.0)	7.87 (12.6)
Capital-Voted				
4.	19-Public Works	24.15 (26.8)	9.59 (10.4)	61.71 (31.9)
5.	20-Housing	9.03 (16.0)	8.36 (32.8)	10.25 (40.1)
6.	24-Education, Art and Culture	16.99 (46.8)	13.35 (36.2)	10.27 (32.6)
7.	27-Drinking Water Scheme	253.31 (24.5)	452.81 (36.1)	308.46 (22.4)
8.	38-Minor Irrigation and Soil Conservation	2.06 (13.8)	3.95 (22.0)	2.77 (14.2)

APPENDIX-VIII

(Refer paragraph 2.3.5; page 33)

Cases of unnecessary supplementary grants/appropriations (Savings in excess of Rs one crore in each case)

(Rupees in crore)

Sl. No.	Number and name of the grant	Amount of grant/appropriation			
		Original	Supplementary	Actual expenditure	Savings
Revenue-Voted					
1.	8-Revenue	218.81	2.83	213.82	7.82
2.	28-Special Programmes for Rural Development	60.82	2.24	58.24	4.82
3.	39-Animal Husbandry and Medical	149.44	7.10	145.91	10.63
4.	41-Community Development	847.57	27.88	845.70	29.75
5.	46-Irrigation	878.62	27.35	878.34	27.63
Capital-Voted					
6.	19-Public Works	152.77	40.93	131.99	61.71
7.	22-Area Development	204.73	5.34	177.31	32.76
8.	30-Tribal Area Development	187.01	10.26	178.74	18.53
9.	34-Relief from Natural Calamities	50.00	2.00	47.97	4.03
10.	35-Miscellaneous Community and Economic Services	49.79	11.99	30.65	31.13
11.	43-Minerals	0.79	1.72	0.58	1.93
12.	48-Power	996.42	3.22	992.02	7.62
	Total	3,796.77	142.86	3,701.27	238.36

APPENDIX-IX

(Refer paragraph 2.3.5; page 33)

Excessive supplementary grants/appropriations (Savings of Rs one crore and above in each case)

(Rupees in crore)

Sl. No.	Number and name of the grant	Amount of grant/appropriation				Savings
		Original	Supple-mentary	Total	Actual expenditure	
Revenue-Voted						
1.	5-Administrative Services	43.76	21.11	64.87	63.54	1.33
2.	6-Administration of Justice	145.73	12.31	158.04	154.56	3.48
3.	12-Other Taxes	51.33	11.71	63.04	59.40	3.64
4.	13-Excise	23.72	11.95	35.67	34.16	1.51
5.	15-Pensions and Other Retirement Benefits	1,619.12	70.49	1,689.61	1,650.24	39.37
6.	16-Police	897.21	25.27	922.48	913.51	8.97
7.	19-Public Works	159.38	15.31	174.69	162.71	11.98
8.	37-Agriculture	294.11	100.31	394.42	388.64	5.78
Capital-Voted						
9.	33-Social Security and Welfare	14.31	9.60	23.91	20.92	2.99
10.	36-Co-operation	41.94	27.29	69.23	53.47	15.76
11.	50-Rural Employment	71.51	5.00	76.51	74.70	1.81
12.	51-Special Organisational Scheme for Welfare of Scheduled Castes	46.65	11.07	57.72	52.82	4.90
	Total	3,408.77	321.42	3,730.19	3,628.67	101.52

APPENDIX-X

(Refer paragraph 2.3.6; page 34)

Cases involving substantial excesses under the scheme (Rs five crore and above)

(Rupees in crore)

Sl. No.	Grant number and name	Head of Account	Total provision	Actual expenditure	Amount of excess	Percentage of excess
Revenue-Voted						
1.	21-Roads and Bridges	3054-Roads and Bridges 02-Strategic and Border Roads 337-Road Works 01-Through the Border Road Development Board 01-Maintenance and Restoration	30.00	47.73	17.73	59.1
2.	24-Education, Art and Culture	2202-General Education 02-Secondary Education 109-Government Secondary Schools 01-Boys School	1,090.82	1,100.22	9.40	0.9
Capital-Voted						
3.	21-Roads and Bridges	5054-Capital Outlay on Roads and Bridges 02-Strategic and Border Roads 337-Road Works 03-Through Border Road Development Board	12.00	19.08	7.08	59.0
4.	27-Drinking Water Scheme	4215-Capital Outlay on Water Supply and Sanitation 01-Water Supply 799-Suspense 02-Miscellaneous Public Works Advances	4.50	16.02	11.52	256.0
5.	----do----	4215-Capital Outlay on Water Supply and Sanitation 01-Water Supply 102-Rural Water Supply 01-Accelerated Rural Water Supply Scheme 02-Desertation	59.00	69.36	10.36	17.6

(Rupees in crore)

Sl. No.	Grant number and name	Head of Account	Total provision	Actual expenditure	Amount of excess	Percentage of excess
6.	42-Industries	6851-Loans for Village and Small Industries 200-Other Village Industries 05-Interest free Loan through the agency of Commercial Taxes Department	-	9.27	9.27	-
Total			1,196.32	1,261.68	65.36	

APPENDIX-XI

(Refer paragraph 2.3.7; page 34)

Significant cases of major re-appropriation which were injudicious on account of non-utilisation

(Rupees in crore)

Sl. No.	Grant No.	Major head affecting the grant	Original + Supplementary	Re-appropriation	Total grant	Expenditure	Amount of final savings
1.	15	2071-Pensions and Other Retirement Benefits 01-Civil 102-Commutated value of Pensions	65.49	(+) 8.51	74.00	69.31	(-) 4.69
2.	21	3054-Roads and Bridges 04-District and Other Roads 800-Other expenditure 02-Rural Roads	27.81	(+) 5.16	32.97	31.66	(-) 1.31
3.	26	2210-Medical and Public Health 06-Public Health 101-Prevention and Control of Diseases 01-National Malaria Eradication Programme	30.29	(+) 1.74	32.03	25.84	(-) 6.19
4.	27	4215-Capital Outlay on Water Supply and Sanitation 01-Water Supply 799-Suspense 01-Stock	175.00	(+) 115.00	290.00	262.18	(-) 27.82
5.	30	2700-Major Irrigation 27-Mahi Project (Commercial) 796-Tribal Area Sub-plan 04-Other expenditure	61.58	(+) 1.79	63.37	59.46	(-) 3.91
6.	34	2245-Relief on account of Natural Calamities 02-Floods, Cyclones etc. 114-Assistance to Farmers for purchase of Agricultural Inputs 02-Agriculture import grant to Small Marginal Farmers for loss of crops	1.00	(+) 5.24	6.24	2.98	(-) 3.26
Total			361.17	(+) 137.44	498.61	451.43	(-) 47.18

APPENDIX-XII

(Refer paragraph 2.3.7; page 34)

Cases of re-appropriation under which the expenditure finally showed excess over the balance provision

(Rupees in crore)

Sl. No.	Grant No.	Major head affecting the grant	Original + Supplementary	Re-appropriation	Total grant	Expenditure	Amount of excess after re-appropriation
1.	14	2040-Taxes on Sales, Trade etc. 101-Collection Charges 04-Expenditure on collection of tax on contract basis	8.00	(-) 5.25	2.75	4.29	(+) 1.54
2.	15	2071-Pensions and Other Retirement Benefits 01-Civil 101-Superannuation and Retirement Allowances 01-Pensions to State employees	1,157.00	(-) 17.00	1,140.00	1,142.65	(+) 2.65
3.	15	2071-Pensions and Other Retirement Benefits 01-Civil 105-Family Pensions	285.00	(-) 2.00	283.00	284.26	(+) 1.26
4.	21	3054-Roads and Bridges 03-State Highways 337-Road Works 01-Maintenance and restoration 01-Maintenance of Roads	45.99	(-) 1.45	44.54	46.47	(+) 1.93
5.	24	2202-General Education 02-Secondary Education 109-Government Secondary Schools 01-Boys School	1,206.74	(-) 115.92	1,090.82	1,100.22	(+) 9.40
6.	24	2202-General Education 02-Secondary Education 109-Government Secondary Schools 02-Girls School	214.11	(-) 31.95	182.16	183.71	(+) 1.55

(Rupees in crore)

Sl. No.	Grant No.	Major head affecting the grant	Original + Supplementary	Re-appropriation	Total grant	Expenditure	Amount of excess after re-appropriation
7.	27	2215- Water Supply and Sanitation 02-Sewerage and Sanitation 001-Direction and Administration 04-Shilp Shala	36.60	(-) 7.37	29.23	30.40	(+) 1.17
8.	27	2215-Water Supply and Sanitation 01-Water Supply 101-Urban Water Supply Programmes 12-Other Urban Water Supply Schemes	190.00	(-) 1.27	188.73	190.06	(+) 1.33
9.	27	2215-Water Supply and Sanitation 01-Water Supply 102-Rural Water Supply Programmes 03-Maintenance under <i>Janta Jal Yojana</i>	2.00	(-) 1.00	1.00	2.28	(+) 1.28
10.	27	4215- Capital Outlay on Water Supply and Sanitation 01-Water Supply 102-Rural Water Supply 01-Accelerated Rural Water Supply Scheme 01-General	151.55	(-) 6.55	145.00	147.05	(+) 2.05

(Rupees in crore)

Sl. No.	Grant No.	Major head affecting the grant	Original + Supplementary	Re-appropriation	Total grant	Expenditure	Amount of excess after re-appropriation
11.	27	4215- Capital Outlay on Water Supply and Sanitation 01-Water Supply 102-Rural Water Supply 04-Water Supply Schemes with the assistance from KFW, Germany (through Chief Engineer, Project Management Cell, Churu) 01-Reserve funds of Chief Engineer, Project Management Cell, Churu under head "8235"	15.79	(-) 9.31	6.48	7.89	(+) 1.41
12.	27	4215- Capital Outlay on Water Supply and Sanitation 01-Water Supply 102-Rural Water Supply 01-Accelerated Rural Water Supply Scheme 02-Desertation	70.25	(-) 11.25	59.00	69.36	(+) 10.36
13.	27	4215- Capital Outlay on Water Supply and Sanitation 01-Water Supply 102-Rural Water Supply 04-Water Supply Schemes with the assistance from KFW, Germany (through Chief Engineer, Project Management Cell, Churu) 02-Grant to CPU	4.03	(-) 1.34	2.69	4.03	(+) 1.34

(Rupees in crore)

Sl. No.	Grant No.	Major head affecting the grant	Original + Supplementary	Re-appropriation	Total grant	Expenditure	Amount of excess after re-appropriation
14.	46	2700-Major Irrigation 31-Gang Canal (Commercial) (Through the Irrigation (North) Department) 800-Other expenditure 01-Other expenditure	30.32	(-) 3.14	27.18	28.31	(+) 1.13
		Total	3,417.38	(-) 214.80	3,202.58	3,240.98	(+) 38.40

APPENDIX-XIII

(Refer paragraph 2.3.7; page 34)

Cases of re-appropriation under which the expenditure finally showed excess over the increased provision

(Rupees in crore)

Sl. No.	Grant No.	Major head affecting the grant	Original + Supplementary	Re-appropriation	Total grant	Expenditure	Amount of excess after re-appropriation
1.	14	2040-Taxes on Sales, Trade etc. 101-Collection Charges 02-Other District Executive Staff	48.06	(+) 1.29	49.35	50.47	(+) 1.12
2.	21	3054-Roads and Bridges 02-Strategic and Border Roads 337-Road Works 01-Through the Border Road Development Board 01-Maintenance and Restoration	21.00	(+) 9.00	30.00	47.73	(+) 17.73
3.	27	2215-Water Supply and Sanitation 01-Water Supply 101-Urban Water Supply Programmes 07-Water Supply Scheme, Jaipur	62.80	(+) 6.90	69.70	72.09	(+) 2.39
4.	27	2215-Water Supply and Sanitation 01-Water Supply 102-Rural Water Supply Programmes 01-Other Rural Water Supply Schemes	322.18	(+) 6.42	328.60	347.02	(+) 18.42
5.	27	2215-Water Supply and Sanitation 01-Water Supply 102-Rural Water Supply Programmes 04-Water Supply Scheme, Sahwa Gandheli	20.46	(+) 1.55	22.01	23.05	(+) 1.04

(Rupees in crore)

Sl. No.	Grant No.	Major head affecting the grant	Original + Supplementary	Re-appropriation	Total grant	Expenditure	Amount of excess after re-appropriation
6.	27	4215- Capital Outlay on Water Supply and Sanitation 01-Water Supply 101-Urban Water Supply 01-General Urban Water Supply Schemes 12-Water Supply to Jaipur from Bisalpur Project	10.00	(+) 22.01	32.01	34.08	(+) 2.07
7.	46	4702-Capital Outlay on Minor Irrigation 800-Other expenditure 05-Water Harvesting Structure 02- <i>Pro rata</i> charges transferred from Major head 2701	10.23	(+) 4.31	14.54	18.44	(+) 3.90
		Total	494.73	(+) 51.48	546.21	592.88	(+) 46.67

APPENDIX-XIV

(Refer paragraph 2.3.7; page 34)

Cases of less re-appropriation of funds

(Rupees in crore)

Sl. No.	Grant No.	Major head affecting the grant	Original + Supplementary	Re-appropriation	Total grant	Expenditure	Amount of savings
1.	15	2071-Pensions and Other Retirement Benefits 01-Civil 104-Gratuities 01-Gratuity to State employees	117.00	(-) 13.70	103.30	98.85	(-) 4.45
2.	15	2071-Pensions and Other Retirement Benefits 01-Civil 110-Pensions of employees of Local Bodies 01-Pensions to employees of Zila Parishads and Panchayat Samitis	18.00	(-) 3.00	15.00	13.81	(-) 1.19
3.	24	2202-General Education 01-Elementary Education 101-Government Primary Schools 01-Upper Primary Schools for Boys	978.63	(-) 38.45	940.18	937.23	(-) 2.95
4.	26	2210-Medical and Public Health 06-Public Health 101-Prevention and Control of Diseases 14-External Aided Schemes 01-Health Development Programmes-State Level	75.98	(-) 33.62	42.36	37.96	(-) 4.40
5.	27	4215-Capital Outlay on Water Supply and Sanitation 01-Water Supply 101-Urban Water Supply 01-General Urban Water Supply Schemes 02-Other Urban Water Supply Schemes	86.01	(-) 1.81	84.20	83.08	(-) 1.12
6.	27	4215-Capital Outlay on Water Supply and Sanitation 01-Water Supply 102-Rural Water Supply 01-Accelerated Rural Water Supply Scheme	77.82	(-) 18.42	59.40	51.96	(-) 7.44

(Rupees in crore)

Sl. No.	Grant No.	Major head affecting the grant	Original + Supplementary	Re-appropriation	Total grant	Expenditure	Amount of savings
		25-Maintenance Percentage charges (O & M) for Rural Water Supply Scheme transferred from Major head 2215-Water Supply and Sanitation-01.102					
7.	27	4215-Capital Outlay on Water Supply and Sanitation 01-Water Supply 102-Rural Water Supply 03-Other Rural Water Supply Programmes 05-Maintenance Percentage charges (O & M) for Rural Schemes transferred from Major head 2215-Water Supply and Sanitation-01.102	25.62	(-) 1.43	24.19	19.37	(-) 4.82
8.	27	4215-Capital Outlay on Water Supply and Sanitation 01-Water Supply 102-Rural Water Supply 05-Water Supply Schemes with the assistance from KFW, Germany (through Chief Engineer, Project Management Cell, Churu) 01-Construction Works	19.82	(-) 10.65	9.17	6.55	(-) 2.62
9.	28	2501-Special Programmes for Rural Development 03-Desert Development Programme 196-Assistance to Zila Parishads/District Level Panchayats 01-Relating Operational	47.00	(-) 1.46	45.54	44.45	(-) 1.09
10.	30	4225-Capital Outlay on Welfare of Scheduled Castes, Scheduled Tribes and Other Backward Classes 02-Welfare of Scheduled Tribes 796-Tribal Area Sub-plan 20-Special Scheme Programme for the development of tribal areas (Maharashtra Pattern) 02-Construction of Hostel Building of Scheduled Tribes boys/girls (CSS)	8.42	(-) 3.16	5.26	2.50	(-) 2.76

(Rupees in crore)

Sl. No.	Grant No.	Major head affecting the grant	Original + Supplementary	Re-appropriation	Total grant	Expenditure	Amount of savings
11.	30	4515-Capital Outlay on Other Rural Development Programmes 796-Tribal Area Sub-plan 06-Drought Prone Area Development Programme 01-For Zila Parishad (Rural Development Cell)	4.00	(-) 1.27	2.73	1.21	(-) 1.52
12.	33	2225- Welfare of Scheduled Castes, Scheduled Tribes and other Backward Classes 03-Welfare of Backward Classes 277-Education 02-Maintenance of Hostels	7.49	(-) 2.02	5.47	3.25	(-) 2.22
13.	34	2245-Relief on account of Natural Calamities 01-Drought 800-Other expenditure 01-Expenditure on relief works 08-Other Special Relief Works	219.25	(-) 43.33	175.92	174.68	(-) 1.24
14.	46	4702-Capital Outlay on Minor Irrigation 101-Surface Water 02-Minor Irrigation Construction Work 04-Pro rata charges transferred from Major head 2701-Establishment	11.77	(-) 2.93	8.84	6.40	(-) 2.44
		Total	1,696.81	(-) 175.25	1,521.56	1,481.30	(-) 40.26

APPENDIX-XV

(Refer paragraph 2.3.8; page 34)

Anticipated savings not surrendered (Rupees one crore and above)

(Rupees in crore)

Sl. No.	Number and name of the grant	Savings	Amount surrendered	Amount not surrendered	Percentage not surrendered
Revenue- Voted					
1.	6-Administration of Justice	3.48	2.41	1.07	30.7
2.	12-Other Taxes	3.64	2.58	1.06	29.1
3.	15-Pensions and Other Retirement Benefits	39.37	33.19	6.18	15.7
4.	16-Police	8.97	7.79	1.18	13.2
5.	26-Medical and Public Health and Sanitation	112.97	101.16	11.81	10.5
6.	28-Special Programme for Rural Development	4.82	3.77	1.05	21.8
7.	29-Urban Plan and Regional Development	7.76	6.60	1.16	14.9
8.	30-Tribal Area Development	79.97	74.62	5.35	6.7
9.	33-Social Security and Welfare	111.09	109.11	1.98	1.8
10.	34-Relief from Natural Calamities	57.11	46.29	10.82	18.9
11.	37-Agriculture	5.78	4.24	1.54	26.6
12.	46-Irrigation	27.63	4.13	23.50	85.1
Capital- Voted					
13.	24-Education, Art and Culture	10.27	9.26	1.01	9.8
14.	27-Drinking Water Scheme	308.46	272.99	35.47	11.5
15.	30-Tribal Area Development	18.53	13.34	5.19	28.0
16.	34-Relief from Natural Calamities	4.03	2.96	1.07	26.6
17.	51-Special Organisational Scheme for Welfare of Scheduled Castes	4.90	1.53	3.37	68.8
Capital- Charged					
18.	Public Debt	3,009.29	3,001.14	8.15	0.3
	Total	3,818.07	3,697.11	120.96	3.2

APPENDIX-XVI

(Refer paragraph 2.3.8; page 35)

Amount surrendered on the last day of March 2006 (Rs 20 crore and above)

(Rupees in crore)

Sl. No.	Number and name of the grant	Grant/ Appropriation	Amount surrendered
1.	Interest Payments	Revenue-Charged	109.84
2.	Public Debt	Capital-Charged	3,001.14
3.	3-Secretariat	Revenue-Voted	97.58
4.	9-Forest	Revenue-Voted	21.94
5.	14-Sales Tax	Revenue-Voted	37.19
6.	15-Pensions and Other Retirement Benefits	Revenue-Voted	33.19
7.	19-Public Works	Capital-Voted	61.37
8.	20-Housing	Revenue-Voted	24.29
9.	22-Area Development	Capital-Voted	32.96
10.	24-Education, Art and Culture	Revenue-Voted	319.95
11.	26-Medical and Public Health and Sanitation	Revenue-Voted	101.16
12.	27-Drinking Water Scheme	Capital-Voted	272.99
13.	29-Urban Plan and Regional Development	Capital-Voted	214.43
14.	30-Tribal Area Development	Revenue-Voted	74.62
15.	33-Social Security and Welfare	Revenue-Voted	109.11
16.	34-Relief from Natural Calamities	Revenue-Voted	46.29
17.	35-Miscellaneous Community and Economic Services	Revenue-Voted	57.96
18.	35-Miscellaneous Community and Economic Services	Capital-Voted	31.16
19.	38-Minor Irrigation and Soil Conservation	Revenue-Voted	47.06
20.	41-Community Development	Revenue-Voted	29.81
21.	46-Irrigation	Capital-Voted	134.45
22.	51-Special Organisational Scheme for Welfare of Scheduled Castes	Revenue-Voted	36.71
	Total		4,895.20

APPENDIX-XVII

(Refer paragraph 2.4; page 36)

Statement showing flow of expenditure during the four quarters of 2005-06

(Rupees in crore)

Head	Description	Expenditure incurred during						Percentage of expenditure in March 2006 to total expenditure of 2005-06
		1 st Quarter	2 nd Quarter	3 rd Quarter	4 th Quarter	Total	March 2006	
2075	Miscellaneous General Services	0.02	0.03	0.10	14.09	14.24	14.07	98.8
3055	Road Transport	-	-	-	10.62	10.62	10.62	100.0
4236	Capital Outlay on Nutrition	-	-	-	10.35	10.35	10.28	99.3
4250	Capital Outlay on Other Social Services	0.10	-	0.08	7.06	7.24	6.39	88.3
4403	Capital Outlay on Animal Husbandry	-	-	-	0.16	0.16	0.16	100.0
4405	Capital Outlay on Fisheries	-	-	-	0.20	0.20	0.16	80.0
5475	Capital Outlay on Other General Economic Services	0.24	0.22	2.92	35.50	38.88	30.92	79.5
6245	Loans for Relief on account of Natural Calamities	-	(-) 0.26	0.26	1.06	1.06	0.88	83.0
6851	Loans for Village and Small Industries	-	-	-	9.27	9.27	9.27	100.0
6860	Loans for Consumer Industries	-	0.01	0.11	10.01	10.13	10.01	98.8
Grand Total						102.15	92.76	

APPENDIX–XVIII

(Refer paragraph 3.1.7.1; page 45)

Statement showing details of expenditure on *katcha* relief works

(Rupees in crore)

Sl. No.	District	Total number of relief works	Expenditure	Total number of <i>katcha</i> works	Expenditure on <i>katcha</i> works	Percentage (Col. 4 and 6)
1	2	3	4	5	6	7
1.	Banswara	1,152	9.85	197	1.61	16
2.	Barmer	2,701	37.52	636	8.76	23
3.	Bikaner	1,412	21.24	500	10.13	48
4.	Dungarpur	828	6.12	58	0.63	10
5.	Hanumangarh	799	6.98	472	4.54	65
6.	Jaisalmer	1,757	9.53	114	0.84	9
7.	Jodhpur	1,567	21.45	205	3.08	14
8.	Pali	1,320	23.53	108	7.51	32
9.	Rajsamand	1,867	19.16	634	7.19	38
10.	Udaipur	2,086	14.69	1,434	11.52	78
	Total	15,489	170.07	4,358	55.81	33

APPENDIX-XIX

(Refer paragraph 3.1.9.1; page 51)

Statement showing the details of sanctioned, lifted, distributed, embezzled and wastage of wheat during *Samvat* 2061

(Wheat quantity in quintal)

Sl. No.	District	Sanctioned	Wheat lifted			Distributed	Balance	Wheat embezzled by Fair Price Shops and Wholesale dealers	Number of FPS and wholesale dealers	Wheat shown as wastage not allowed, hence recovered		Quantity lying with FPS/Wholesale dealers as on May 2006
			Lifted	Carry over balance of previous <i>Samvat</i>	Total					Quintal	Cost (Rs)	
1.	Banswara	4,62,000	4,62,000.00	255.42	4,62,255.42	4,47,867.26	14,388.16	2,387.78	4	-	-	12,000.38
2.	Bikaner	9,76,020	9,76,020.00	3,753.25	9,79,773.25	9,60,561.52	19,211.73	-	-	-	-	19,211.73
3.	Dungarpur	5,86,000	5,86,000.00	-	5,86,000.00	5,81,792.15	4,207.85	-	-	-	-	4,207.85
4.	Hanumangarh	1,87,000	1,87,000.00	-	1,87,000.00	1,83,937.00	3,063.00	82.94	3	-	-	2,980.06
5.	Jaisalmer	9,60,000	9,60,000.00	322.35	9,60,322.35	7,77,644.46	1,82,677.89	20.49	-	5,997.58	27,58,886	1,76,659.82
6.	Jodhpur	9,33,000	9,33,000.00	-	9,33,000.00	8,73,872.48	59,127.52	-	-	-	-	59,127.52
7.	Pali	7,01,000	7,01,000.00	-	7,01,000.00	6,84,774.24	16,225.76	3,244.45	14	5,391.11	24,79,910	7,590.20
8.	Rajsamand	7,84,300	7,76,435.40	234.00	7,76,669.40	7,63,650.59	13,018.81	2,046.34	5	6,387.04	29,38,038	4,585.43
	Total	55,89,320	55,81,455.40	4,565.02	55,86,020.42	52,74,099.70	3,11,920.72	7,782.00	26	17,775.73	81,76,834	2,86,362.99

APPENDIX-XX

(Refer paragraph 3.1.10.2 (ii); page 53)

Statement showing the expenditure incurred by Gaushalas during drought and non-drought period in Hanumangarh district

(In rupees)

Sl. No.	Name of Gaushala	Total expenditure for the year 2005 (January to December)	Expenditure and percentage from 1-1-05 to 15-7-05	Expenditure and percentage from 16-7-05 to 31-12-05
1.	Gramotthan Pasu Nasal Sudhar Samiti, Makasar, Tehsil Hanumangarh	8,71,033	7,94,131 (91%)	76,902 (9%)
2.	Jai Durga, Brahamani Gaushala, Pallu, Rawatsar	18,71,735	18,71,735 (100%)	Nil (0%)
3.	Sri Krishan Gaushala, Ninan, Tehsil Bhadra	8,14,232	7,95,553 (98%)	18,679 (2%)
4.	Sri Krishan Gaushala, Goluwala, Tehsil Pilibanga	15,72,726	13,75,446 (87%)	1,97,280 (13%)
5.	Sri Krishan Gaushala, Gandhibari, Tehsil Bhadra	12,69,009	9,26,135 (73%)	3,42,874 (27%)
6.	Sri Ram Sagar Gaushala Samiti, Nethrana, Tehsil Bhadra	34,49,794	26,40,784 (77%)	8,09,010 (23%)
7.	Sri Prakashanand Gaushala, Tehsil Bhadra	16,85,770	11,24,343 (67%)	5,61,427 (33%)
8.	Gaushala Sewa Samiti, Chaiya, Tehsil Rawatsar	11,57,150	8,05,770 (70%)	3,51,380 (30%)
9.	Shiv Gorakhnath Gaushala Sewa Samiti, Ward No. 2, Tehsil Rawatsar	6,68,965	5,32,565 (80%)	1,36,400 (20%)
10.	Rajasthan Gau Sewa Sangh, Munda	17,18,894	10,70,415 (62%)	6,48,479 (38%)
11.	Gaushala Sewa Samiti, Sector 2, Tehsil Pilibanga	24,19,056	14,93,775 (62%)	9,25,281 (38%)
12.	Bhagwan Sri Krishan Gaushala, Sangaria	23,60,896	17,51,911 (74%)	6,08,985 (26%)
13.	Sri Krishan Gau Sewa Samiti, Rawatsar	5,98,278	4,30,107 (72%)	1,68,171 (28%)
14.	Sri Gau Sewa Samiti, 9 AM Chak, Gram Panchayat 22 AG, Tehsil Rawatsar	4,27,858	3,36,673 (79%)	91,185 (21%)
15.	Gaushala, Nohar	1,06,00,913	68,25,590 (64%)	37,75,323 (36%)
	Total	3,14,86,309	2,27,74,933 (72.33%)	87,11,376 (27.67%)

APPENDIX-XXI

(Refer paragraph 3.2.6; page 62)

A. Summary of findings of Social and Rural Research Institute

The major findings of the survey conducted by the Social and Rural Research Institute (SRI) on the impact of SSA, from the perspective of beneficiaries and their parents, during December 2005 to February 2006 covering a sample of 400 primary sampling units (160 urban and 240 rural) consisting of 7,963 households were as under.

1. Coverage of children under SSA

The survey estimated the number of children in the age group 6-14 years as 1,36,12,571 out of which 8,46,731 (6 *per cent*) were out of school. In the social categories, it emerged that 8.9 *per cent* of SC, 8.3 *per cent* of ST, 5.9 *per cent* of BC/OBC and 2.4 *per cent* of General Category children were out of school.

Reasons for non-enrolment/non-attendance in schools were cited as:

- 23.1 *per cent* of parents of non-enrolled children reported that they could not afford to enroll the children in the school.
- 21.9 *per cent* of parents of non-enrolled children did not allow the children to go to school.
- 14.2 *per cent* non-enrolled children did not like to go to school.

2. Schools facilities

Among the schools in which civil works were taken up, about 9.6 *per cent* of primary schools and 13.2 *per cent* of upper primary schools were constructing new building for the schools.

15.2 *per cent* of the primary schools, 15.3 *per cent* of the upper primary schools and 1.1 *per cent* of high schools with upper primary sections reported construction of toilets under SSA.

About 7.3 *per cent* of primary schools, 8.5 *per cent* of upper primary schools and 0.6 *per cent* of high schools with upper primary sections constructed separate toilets for girls under SSA.

About 1.1 *per cent* of primary schools were in rented buildings.

About 10.6 *per cent* of primary schools, 11.4 *per cent* of upper primary schools and 1.1 *per cent* of high schools having primary sections reported use of SSA funds for construction of water tank etc.

3. Grants and schemes under SSA

It was observed that about 45.1 *per cent* of primary schools, 54.5 *per cent* of upper primary schools and 14.9 *per cent* of high schools with upper primary sections utilised funds for repairing existing structures/buildings.

Some schools reported utilising SSA funds for purchase of library books (3.9 *per cent* of primary schools, 5.8 *per cent* of upper primary schools and 0.6 *per cent* of high schools with upper primary sections), computer (0.4 *per cent* of primary schools, 1.4 *per cent* of upper primary schools and 0.1 *per cent* of high schools with upper primary sections), black boards (24.5 *per cent* of primary schools and 20.2 *per cent* of upper primary schools) and electric fittings (2.7 *per cent* of primary schools, 3.5 *per cent* of upper primary schools and 0.6 *per cent* of high schools having primary sections).

4. School committees

The survey revealed that 37.6 *per cent* of primary schools, 34.3 *per cent* of upper primary schools and 3.9 *per cent* of high schools with upper primary sections had formed education committees in the locality. In about 49.2 *per cent* of schools the community members had been trained under SSA.

5. Joint Bank account

In about 35.4 *per cent* of primary schools, 31.9 *per cent* of upper primary schools and 3.7 *per cent* of high schools with upper primary sections, the committee started joint bank accounts with headmasters of the schools.

6. Overall satisfaction level

On the whole about 43.8 *per cent* of parents said that they were extremely satisfied as compared to 1.3 *per cent* who said that they were not satisfied with the quality of education that their children were getting in the schools.

B. Sampling Plan (Design and Estimation Procedure)

Sampling Methodology

A stratified multi-stage design was adopted for the survey. The first stage units (FSU) were the villages in the rural sector and Urban Frame Survey (UFS) blocks in the urban sector.

Within each district of a State/Union Territory, two basic strata were formed viz. (i) rural stratum comprising of all rural areas of the district and (ii) urban stratum comprising of all urban areas of the district. However, if there were one or more towns with population 10 lakh or more as per population census 2001 in a district, each of them also formed a separate basic stratum and the remaining urban areas of the district were considered as another basic stratum.

Selection of Primary Sampling Units

Rural Units: The villages for each district were selected through Probability Proportion to size with Replacement (PPS) from the sampling frames.

Urban Units: The list of blocks for each district was then selected through Simple Random Sampling Without Replacement (SRSWOR) from the sampling frames.

Sampling Design: Rural Sampling

Selection of hamlet groups

The first task was to ascertain the exact boundaries of the PSU, by discussing the layout of the village with the key informants of the village. After identifying the boundaries and layout of the village, if the population of village was found to be more than 600, it was divided into suitable number of "hamlet groups". The number of hamlet groups formed, based on the population of the village was as follows:

Village population	Number of hamlet groups formed
Less than 600	1
600-1199	3
1200-1799	5
1800-2399	6 and so on....

The hamlet groups thus formed had more or less an equal population size (i.e. the population across hamlets stays more or less same).

Sampling Design: Urban Sampling

Selection of sub-blocks

The first task was to ascertain the exact boundaries of the UFS Block as per the NSS Maps. After identifying the boundaries and layout of the block, if the population of the block was found be more than 600, it was divided into suitable number of "hamlet groups". Otherwise, the entire block was listed. The number of sub-blocks framed, based on the population of the village, was as follows:

PSU population	Number of hamlet groups formed
Less than 600	1
600-1199	3
1200-1799	5
1800-2399	6 and so on....

The sub-blocks thus formed, had more or less equal population. Preference was given to sub-blocks having slum areas. If there were more than one slum sub-blocks, then the second sub-block was selected on a random basis. In a case where there was some slum clusters in the selected UFS (which incidentally was not a slum UFS) a minimum of 50 per cent of the household interviews were conducted in these clusters (subject to the availability of eligible households).

Sampling Design: Sampling of Schools

The Government schools (with primary/upper primary sections) in the selected UFS blocks/villages were identified. However, if there were no sufficient number in such areas, then the schools that were accessed by the children living in the selected UFS blocks/villagers were selected through random sampling.

Estimation Procedure (Rural)

Notation:

- i* = Subscript for *i*-th PSU [Village(Panchayat Ward)/Block]
j = subscript for *j*-th USU [Household]
Z = Population of Rural areas in district
H = Total number of listed households in the village/block
h = Number of eligible households in the village/block
z = Size of the sampled village used for selection
n = Number of sampled villages in a district
*B** = Number of hamlet groups formed in a village; *B**=1 if the number of hamlet groups is 1 and *B**=*B*/2 if the number of hamlet groups is greater than 1.

^

- Y* = Estimate of population total *Y* for the characteristics *y*

Formula for Estimation of aggregates at Stratum Level for Rural

$$\hat{Y} = (Z/n) * \sum_{i=1}^n (1/Z_i) \sum_{j=1}^{h_{iz}} H_j B^*_{ij} \sum y_{ij}$$

Estimation Procedure (Urban)

Notation:

- i* = Subscript for *i*-th PSU [Village(Panchayat Ward)/Block]
j = subscript for *j*-th USU [Household]
N = Number of NSSO blocks in district

- Z = Population of Rural areas in district
- n = Number of sampled blocks in a district
- H = Total number of listed households in the village/block
- h = Number of eligible households in the village/block
- B* = Number of sub-blocks formed; B*=1 if the number of sub-blocks formed is 1 and B*=B/2 if the number of sub-blocks formed is greater than 1.

Y = Estimate of population total Y for the characteristics y

Formula for Estimation of aggregates at Stratum Level for Rural

$$\hat{Y} = (N/n) * \sum (H_j/h_j) B^*_i \sum y_{ij}$$

The overall estimate for the State is obtained by summing the stratum estimates over all the strata.

Estimates of Error

The estimated variance of the above estimates would be

$$\widehat{Var}(\hat{Y}) = \sum_s \widehat{Var}(\hat{Y}_s) = \sum_s \sum_i \widehat{Var}(Y_{si})$$

Relative Standard Error

$$RSE(\hat{Y}) = \sqrt{\widehat{Var}(\hat{Y})} / \hat{Y} \times 100$$

Separate variances would be calculated for strata with PPSWR selection for first stage and SRSWOR.

APPENDIX-XXII

(Refer paragraph 3.3.5.4; page 76)

Statement showing objectives of different modules that were to be developed and their status

Sl. No.	Module	Components	Objective	Status
1.	Technical Section	Consenting Procedure	On line submission of forms and real-time processing of application for consent	Almost all tables relating to consent process do not have any data indicating that the consent management program was never operationalised.
		Development of database of industries, TSDFs and CETPs	Generation of Industry Key to facilitate easy record keeping and retrieval. Fast routine and specific queries for efficient management	No data in any table was found available.
		Water Cess Collection	Water Cess assessment and payment details	Assessment in respect of Major Assesseees is being done. Payment details, bank reconciliation and interest details are being entered but MIS reports are not being generated due to errors in software program and incomplete data.
		Bio Medical Waste Management	Authorisation of Bio Medical Waste Generation	Not working
		Hazardous Waste Management	Authorisation of Hazardous Waste Generation	Not working
		MIS Reports and forms generation for Industry compliance monitoring	Maintenance of industry compliance data in an organised manner facilitating easy information retrieval	Not working
2.	Laboratory Section	Sample analysis	Sample testing for industries applying for consent to operate and on payment basis for any industry	The software was last used in June 2004. But due to software errors, it was discontinued.
		National Air Management Project, National Water Management Project	Measures Air and Water quality of the samples collected from different parts of the cities in project	
		Stores and Inventory	Maintenance of inventory of Lab Instruments, Chemicals, Lab Consumables/Glassware	
3.	Accounts Section	Ledger, Day Book, Trial Balance, Monthly Summary, Budgets, Balance Sheet, Profit and Loss Statement	Automation of financial accounting procedures and enforcing budget limitations on expenses for the specified head	This module was not developed by RajCOMP. All work is being done manually.

Sl. No.	Module	Components	Objective	Status		
4.	Legal Section	Court cases details	Easy maintenance of court cases data, generation of advocate information, fast routine and specific queries for efficient management and case progress details and query generation	Masters are incomplete and the reports are not being generated.		
		Case Progress details				
		Case Monitoring				
5.	Personnel Management	Integration with Technical Section	To create a new Vision of cost effective and efficient HR administrative policies and processes	Master data in the database developed by RajCOMP was not updated. Reports were not generated due to run time errors.		
		Personnel database Administration				
		Pay Roll Preparation			Pay Roll preparation, pay bills and pay slips	Not in use.
		Leave Records			To create a central repository of data and fast retrieval of information	No data was entered.
		Loan Accounting				
Statutory Schedules integrated with all other sections						
6.	Library Section	Catalogue generation	Instant information retrieval, easy catalogue generation, fast routine and specific queries, easy generation of reminders, easy management of books/magazines and information regarding suppliers, publishers etc.	The last transaction of books was done on 31.7.2003. Different queries are running but the module is not in use since 2003.		
		Book Transactions				
		Reminders generation				
		Query and reporting				

APPENDIX-XXIII

(Refer paragraph 3.7.2.1; page 117)

Position of Budget provision, expenditure, surrender and lapse

(Rupees in crore)

Year	Budget Provision				Expenditure				Surrender				Amount lapsed
	Non-plan	Plan	CSS*	Total	Non-plan	Plan	CSS*	Total	Non-plan	Plan	CSS*	Total	
2001-02	2.21	14.29	2.66	19.16	1.83	7.13	2.62	11.58	0.37	5.75	-	6.12	1.46
2002-03	2.63	19.50	4.33	26.46	2.32	8.62	1.92	12.86	0.32	10.50	2.40	13.22	0.38
2003-04	2.34	12.56	8.71	23.62	2.32	10.09	5.96	18.37	0.02	2.45	2.75	5.22	0.03
2004-05	2.51	25.26	0.92	28.69	2.36	20.51	0.14	23.01	0.09	4.75	0.78	5.62	0.06
2005-06	2.59	24.74	34.52	61.85	2.59	21.94	34.52	59.05	-	2.80	-	2.80	0.00
Total	12.28	96.35	51.14	159.78	11.42	68.29	45.16	124.87	0.80	26.25	5.93	32.98	1.93

* Centrally Sponsored Schemes

APPENDIX-XXIV

(Refer paragraph 4.4.1; page 157)

Statement showing the details of irregularities commented in Inspection Reports pending as of March 2006

Sl. No.	Nature of irregularities	Forest Department		Ayurved and Indian Medicine Department		Women and Child Development Department	
		Number of paras	Amount (Rs in crore)	Number of paras	Amount (Rs in crore)	Number of paras	Amount (Rs in crore)
1.	Fraud/misappropriation/ embezzlement/losses/ theft of stores and cash	40	8.54	-	-	82	0.65
2.	Recoveries pointed out by Audit	149	13.58	11	0.06	16	0.04
3.	Overpayments	-	-	9	0.04	30	0.16
4.	Violation of contractual obligations	79	10.62	2	0.04	18	0.05
5.	Avoidable/excess/irregular expenditure	97	9.06	-	-	19	4.06
6.	Wasteful/infructuous expenditure	212	35.59	25	10.36	47	41.48
7.	Regulatory issues	340	27.84	70	4.03	167	2.38
8.	Idle investment/ establishment/ stores equipment/blocking of funds	32	6.44	6	4.11	7	0.09
9.	Delay in commissioning of the equipment	05	0.05	-	-	-	-
10.	Non-achievement of objectives	15	2.88	3	0.34	28	4.09
11.	Other irregularities	876	67.19	62	5.06	157	3.30
	Total	1,845	181.79	188	24.04	571	56.30