

कार्यालय महालेखाकार (लेखा एवं हक०)
उत्तराखंड, ऑडिट भवन, कौलागढ़,
देहरादून-248195



Office of the Accountant General (A&E)
Uttarakhand, Audit Bhavan, Kaulagarh,
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सं०: Admn-I/A&E/AGUK/CAG's 101 Initiative/2026-27/I/1519396/2026 दिनांक 11-07-2026

परिपत्र / CIRCULAR

विषय: " सी०ए०जी० 101 नवाचार विचार पहल (CAG's 101 Innovation Ideas Initiative)" में व्यापक भागीदारी को प्रोत्साहित करने के संबंध में।

Sub: Encouraging wider participation in the "CAG's 101 Innovation Ideas Initiative"- reg.

मुख्यालय के पीपीजी विंग के पत्र संख्या: 1/1511892/2026/ 17-SMU/2026 दिनांक 07.07.2026 के अनुसार, भारतीय लेखापरीक्षा और लेखा विभाग (IA&AD) में नवाचार की संस्कृति को बढ़ावा देने के लिए " सी०ए०जी० 101 नवाचार विचार पहल" की शुरुआत की गई है। यह एक प्रमुख संस्थागत मंच है जिसका उद्देश्य नवीन विचारों, समाधानों, उत्पादों और प्रक्रियागत सुधारों को प्रोत्साहित करना है।

As per Headquarters (PPG Wing) letter dated 07.07.2026, the "CAG's 101 Innovation Ideas Initiative" has been launched to foster a culture of innovation across IA&AD. It is a flagship institutional platform aimed at encouraging innovative ideas, solutions, products, and process improvements.

इस पहल के तहत निम्नलिखित चार विषयगत क्षेत्रों में प्रस्तुतियां आमंत्रित की गई हैं:

अ: लेखापरीक्षा योजना, जोखिम की पहचान और निष्पादन कार्यप्रणाली।

ब: व्यवसाय प्रक्रिया रीइंजीनियरिंग: लेखापरीक्षा, लेखा और संस्थागत उत्पाद एवं आउटपुट।

स: संस्थागत प्रक्रिया में सुधार, कार्यबल और क्षमता निर्माण।

द: हितधारक आउटरीच, सहयोग और सार्वजनिक जुड़ाव।

Submissions are invited under the following four Thematic Areas:

A: Audit Planning, Risk Identification and Execution Methodologies.

B: Business Process Re-engineering: Audit, Accounts and Institutional Products and Outputs.

C: Institutional Process Improvements, Workforce and Capacity Building.

D: Stakeholder Outreach, Collaboration and Public Engagement.

महत्वपूर्ण तिथियां और प्रक्रिया:

प्रस्तुतीकरण चरण: 16 जुलाई से 12 अगस्त 2026

प्रविष्टियां जमा करने के लिए एक समर्पित पोर्टल शीघ्र ही सक्रिय किया जाएगा। सभी अधिकारियों और कर्मचारियों से अनुरोध है कि वे अपने कार्यक्षेत्र से उत्पन्न होने वाले नवीन विचारों की पहचान करें। पोर्टल उपलब्ध होने से पहले, इच्छुक अधिकारी एवं कर्मचारी अपने विचारों को निर्धारित प्रस्तुतीकरण प्रारूप-परिशिष्ट I

में तैयार रखें ताकि पोर्टल सक्रिय होते ही उन्हें अपलोड किया जा सके। अवधारणा पत्र और प्रस्तुतीकरण फॉर्म की प्रति सुलभ संदर्भ के लिए इस परिपत्र के साथ संलग्न है।

Important Dates and Process:

Submission Stage: 16 July to 12 August 2026

A dedicated digital portal for submission of entries will be activated shortly. All officers and employees are actively encouraged to participate and identify innovative ideas emerging from their areas of work. Interested officers and employees are requested to keep their entries ready in the prescribed Submission Form-Appendix before the portal is made available, so that they can be uploaded immediately upon activation. A copy of the concept paper and the submission form is enclosed with this circular for ready reference.

उप महालेखाकार/प्रशासन

सं०: Admn-I/A&E/AGUK/CAG's 101 Initiative/2026-27/ I/1519396/2026 दिनांक 11-07-2026

प्रतिलिपि निम्नलिखित को सूचनार्थ एवं आवश्यक कार्यवाही हेतु प्रेषित:

1. सचिव, महालेखाकार (लेखा एवं हकदारी), उत्तराखण्ड।
2. उप महालेखाकार / प्रशासन एवं निधि प्रकोष्ठ।
3. वरिष्ठ उप महालेखाकार / लेखा एवं वी०एल०सी० प्रकोष्ठ।
4. समस्त वरिष्ठ लेखाधिकारी/सहायक लेखाधिकारी/सहायक निदेशक/पर्यवेक्षक/कार्मिकों को ई-मेल एवं अधिकारिक वाट्सएप समूह के माध्यम से।
5. समस्त खंडीय लेखाधिकारी/लेखाकार सर्वर्ग उत्तराखंड को कार्यालय वेबसाइट एवं अधिकारिक वाट्सएप समूह के माध्यम से।
6. स. ले. अ./आई. टी. एस. जी. को कार्यालय की वेबसाइट पर DA कार्नर में अपलोड करने हेतु।
7. सूचना पट्ट।

वरि० लेखाधिकारी/प्रशासन-

C O N C E P T P A P E R

The CAG 101

Innovation Ideas Initiative

*A Flagship Institutional Platform for Innovation,
Mentorship and Institutional Transformation*

DOCUMENT
Concept Paper

EDITION
FY 2026–27

ISSUED BY
PPG Wing, O/o CAG

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I. BACKGROUND AND RATIONALE

In view of India's ambitious developmental aspirations and the role of the Comptroller and Auditor General of India (SAI India) as the Chair of Knowledge Sharing Committee of Working Group of Information Technology Audit (WGITA) at International Organization of Supreme Audit Institutions (INTOSAI), there is an opportunity for SAI India to demonstrate leadership in public audit and accounting innovation, as well as in development of new tools, methodologies, and knowledge products to strengthen efficiency, transparency, and institutional impact.

The “CAG 101 Innovation Ideas Initiative” (or “CAG 101 Initiative”) is conceptualised to harness the collective intelligence of the IA&AD and create a structured institutional platform for generation, evaluation, mentoring, and implementation of innovative, impactful, and scalable ideas aligned with national priorities and the evolving needs of public sector auditing and accounting.

The need for such an initiative arises as SAI India is at the cusp of transformation. Emerging areas such as digital governance, AI-enabled systems, data-driven administration, real-time oversight, predictive analytics, and citizen-centric accountability require adoption of new tools, methodologies, and institutional processes. The initiative aims to encourage innovation and technology integration to ensure that SAI India remains relevant, future-ready, data-driven, and impactful in a rapidly evolving governance landscape.

THREE PILLARS OF MODERNISATION

The CAG 101 Initiative is envisaged as a flagship institutional initiative to modernise the manner in which audit and accounting functions are carried out, the manner in which internal administrative and institutional processes operate; and the manner in which institutional impact, stakeholder engagement, and knowledge dissemination are strengthened.

PILLAR I

Audit & Accounting

Improving methodologies in respect of functions (Auditing & Accounting)

PILLAR II

Administration

Improving internal administrative and institutional processes that enable SAI India to function.

PILLAR III

Outreach & Impact

Strengthening institutional impact, stakeholder engagement and knowledge dissemination.

The initiative will serve as a pan-IA&AD platform for ideation, collaboration, and institutional transformation by providing a structured mechanism for identification, evaluation, mentoring, showcasing, and scaling of high-impact innovations, tools, methodologies, and process improvements across offices of SAI India. The initiative is planned to be rolled out in phases.

Components of the First Phase

a	Annual flagship event as part of <i>Audit Diwas</i> celebrations and associated events for showcasing selected innovations, tools, methodologies, and institutional best practices.
b	Innovation-related sessions, panel discussions, and knowledge-sharing forums to deliberate upon transformation in audit, accounting, administration, technology integration, and public sector governance.
c	Annual competition under the CAG 101 Initiative for inviting innovation submissions from offices of SAI India and selection of ideas based on innovation value, institutional relevance, replicability, scalability, and demonstrable impact.
d	Constitution of structured evaluation and filtering mechanisms, along with mentorship and prototyping support, for objective assessment and refinement of shortlisted ideas.
e	Support for implementation, onboarding, and monitoring of selected innovations within the CAG ecosystem on a scale to improve efficiency, timeliness, outreach, institutional effectiveness, and knowledge sharing across the department and externally as well.
f	Documentation and dissemination of selected initiatives and institutional innovations through “The Catalysts” and other outreach platforms.

II. OBJECTIVES

The CAG 101 Initiative seeks to:

OBJECTIVE A

Generate innovative and scalable ideas across technology, process improvement, governance, analytics, audit design, accounting, administration, entitlement functions, stakeholder engagement, and citizen-centric outcomes through a structured institutional process.

OBJECTIVE B

Enhance institutional readiness for technology-enabled, remote, real-time, rapid, and risk-based audit and accounting functions.

OBJECTIVE C

Encourage development of innovative tools, methodologies, and administrative solutions that improve efficiency, transparency, accountability, and institutional effectiveness across offices of SAI India.

OBJECTIVE D

Promote innovations aligned with ease of doing business, ease of living, improved public service delivery, and better governance outcomes.

OBJECTIVE E

Develop mechanisms for improved monitoring, tracking, and assessment of audit observations, institutional impact, implementation progress, and stakeholder responsiveness.

OBJECTIVE F

Foster a culture of innovation, collaboration, experimentation, and knowledge-driven transformation within the IA&AD.

OBJECTIVE G

Identify, mentor, prototype, and support implementation of high-impact and replicable innovations with potential for institutional adoption and scaling.

OBJECTIVE H

Showcase selected innovations, initiatives, and best practices and disseminate them through institutional knowledge-sharing platforms including *The Catalysts*.

III. THEMATIC AREAS FOR SUBMISSIONS

Under the CAG 101 Initiative, individual officials/group of officials' teams across offices of SAI India shall be invited to submit innovative ideas, tools, methodologies, products, process improvements, digital systems, dashboards, platforms, and institutional solutions aligned with improving efficiency, strengthening oversight, modernising institutional processes, enhancing stakeholder engagement, and improving audit and accounting outcomes.

Submissions may relate to one or more of the following four thematic areas:

THEME A	Audit Planning, Risk Identification and Execution Methodologies	
FOCUS	Innovations relating to audit planning, determination of risks, audit methodologies, field verification, execution of audits, oversight mechanisms, evidence systems, concurrent monitoring, audit quality enhancement, and reduction in audit timelines.	ILLUSTRATIVE EXAMPLES Technology-assisted verification mechanisms, mobile applications for field audits, geospatial, drone or Internet of Things (IoT)-based verification systems, automated audit quality review systems, concurrent monitoring and oversight, innovations in audit evidence management, dataset analytics for audit coverage, methodologies for real-time or risk-based audit execution.

THEME B	Business Process Reengineering: Audit, Accounts and Institutional Products and Outputs	
FOCUS	Development of audit products, accounts-related products, dashboards, reporting systems, visualisation tools, repositories, institutional outputs, and other value-added products aimed at improving usability, dissemination, accessibility, institutional learning, and impact including improvement in timelines of the products.	ILLUSTRATIVE EXAMPLES Interactive dashboards, visual audit products; automated reporting systems, thematic compendiums, institutional repositories, digital reporting tools, accounts-related reporting products, visualisation systems, and other value-added institutional outputs.

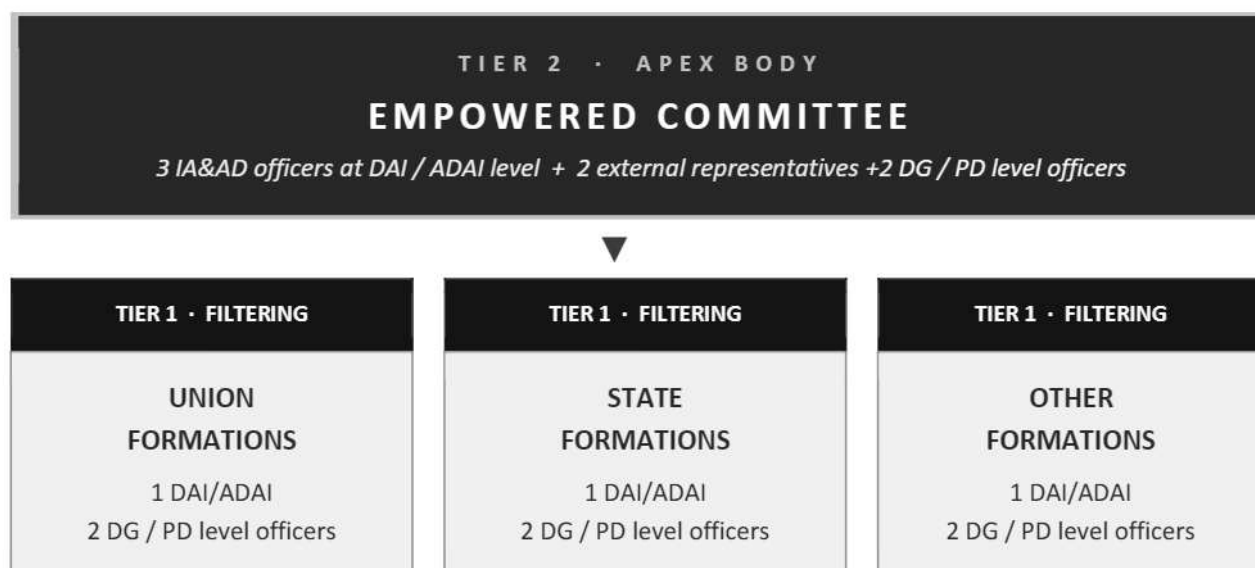
THEME C	Institutional Process Improvements, Workforce and Capacity Building	
FOCUS	ILLUSTRATIVE EXAMPLES	
Innovations aimed at improving administrative processes, institutional coordination, office management, staff welfare, workforce management, training systems, and overall organisational efficiency.	Digital file movement systems, leave and establishment workflow systems, Human Resources and Key Performance Indicator (KPI) tracking systems, training and learning platforms; staff welfare initiatives, coordination mechanisms, administrative monitoring systems, automated correspondence systems, initiatives for improving institutional efficiency and workplace functioning.	

THEME D		Stakeholder Outreach, Collaboration and Public Engagement
FOCUS	ILLUSTRATIVE EXAMPLES	
Strengthening stakeholder consultation, public interface, accessibility, communication, grievance handling, institutional outreach, collaboration, participative governance, and dissemination of institutional knowledge and best practices.	Multilingual and mobile-friendly portals, stakeholder consultation mechanisms, grievance management and reconciliation systems, public-facing dashboards, communication and outreach tools, collaboration platforms, repositories for sharing institutional best practices, knowledge-sharing systems for transparency and accessibility.	

Note: These may be at Idea Stage or established innovations/initiatives in form of Proof of Concept (PoC).

IV. GOVERNANCE STRUCTURE AND EVALUATION CRITERIA

The CAG 101 Initiative shall be implemented through a two-tier committee structure comprising three Filtering Committees for Union, State and Other formations respectively and a Empowered Committee.



a. Empowered Committee — Responsibilities

► Overall implementation and oversight of the CAG 101 Initiative.
► Review and periodic refinement of the scheme / framework document.
► Issuing broad guidance regarding thematic areas, evaluation parameters, implementation approach, and institutional priorities.
► Final recommendation and approval of the shortlisted initiatives based on recommendations of the Filtering Committees.
► Ensuring alignment of shortlisted initiatives with institutional priorities, innovation value, scalability, replicability, feasibility, and potential impact.
► Guiding institutional onboarding, implementation support, mentoring approach, and showcasing of selected initiatives.
► Overseeing dissemination and integration of selected initiatives during Audit Diwas and associated events and related institutional platforms.
► The Empowered Committee, if they find it suitable, may also identify the submissions (other than those recommended to be awarded) which can be supported through financial support for conversion to Proof of Concept and/ or scaled up. The submissions recommended for award and accepted to be awarded will be treated as recommended for financial support with or without specific mention in the recommendations. The financial support would be provided through the existing provisions and mechanism of Delegations of Administrative and Financial Powers applicable to IA&AD. The quantum of financial support will also be determined as a part of the delegation and not covered by the recommendations.
► Identification of suitable projects for National e-governance Awards from the 101 CAGs Innovations Initiative being considered by the Empowered Committee, which meet the prescribed

criteria of those awards and onboarding of these by the concerned officials in collaboration with the IS Wing.

b. Filtering Committees — Responsibilities

▸ Scrutiny and evaluation of submissions received from respective formations.
▸ Assessment of innovation value, institutional relevance, technical soundness, usability, scalability, feasibility, and potential institutional impact of proposed initiatives.
▸ Evaluation of the extent to which the proposed initiatives improve efficiency, timelines, monitoring, stakeholder engagement, coverage, institutional effectiveness, and quality of institutional outputs.
▸ Finalisation and application of evaluation parameters and objective criteria for assessment of submissions.
▸ Undertaking a multi-stage evaluation process for shortlisting of submissions.
▸ Shortlisting approximately top 50 <i>per cent</i> submissions, limited to a maximum of 101 ideas, in the first stage of evaluation based on innovation value, scalability, relevance, feasibility, and potential impact.
▸ After completion of the first stage of shortlisting, PPG will assign each shortlisted team a mentor at the level of Principal Director / Accountant General to guide and support the team during the next stage of evaluation.
▸ The mentors shall assist the teams in refining the proposed solutions, strengthening conceptual clarity, improving presentation and implementation approach, refining scope and scalability aspects, and guiding the teams prior to the next stage of evaluation.
▸ The mentors may also facilitate institutional coordination and provide domain guidance, implementation perspectives, and wherever required, support access to relevant datasets, systems, and subject matter inputs for further refinement.
▸ Carrying out further evaluation of shortlisted submissions in the subsequent stage and recommending a maximum of 10 submissions each in their domain to the Empowered Committee for final consideration.
▸ Seeking demonstrations, presentations, clarifications, prototypes, or additional documentation from participants wherever required during the evaluation process.
▸ Recommending suitable submissions to the Empowered Committee for showcasing, institutional onboarding, implementation support, and further development.

c. Role of PPG:

PPG shall provide further assistance to the Committees in matters requiring coordination among different Wings or between different Filtering Committees. In cases where a proposal relating to the Union side or other formations is submitted by an official/s in a State office to a Filtering Committee assigned for processing State-side initiatives, the concerned Committee may examine and recommend to PPG the transfer of the proposal to the appropriate committee. Thereafter, if considered appropriate, PPG shall formally transfer the proposal to the relevant Committee for further processing.

d. Evaluation Criteria

The Filtering Committees and Empowered Committee shall broadly evaluate submissions on the basis of the following criteria:

Evaluation Criteria	Weight	Assessment Focus
Innovation and Originality	25 %	Assessment of the novelty, uniqueness, and innovativeness of the proposed initiative or solution.
Institutional Relevance	25 %	Assessment of the extent to which the initiative addresses institutional needs, challenges, priorities, or process improvements within SAI India.
Feasibility and Scalability	25 %	Assessment of practicality of implementation, operational viability, manpower and resource requirements, and long-term sustainability.
Potential Impact	25 %	Assessment of the likely impact on efficiency, timelines, quality, monitoring, outreach, or institutional effectiveness.

V. DIGITAL PLATFORM AND WORKFLOW MANAGEMENT

The CAG 101 Initiative — including submission, evaluation, mentoring, monitoring, communication, and dissemination processes — shall be managed through a dedicated digital portal/ workflow platform developed for the initiative.

Core Functions of the Digital Portal

01 Submissions
Inviting and receiving submissions from the officials/teams of officials through the offices of IA&AD.
02 Evaluation Workflow
Management of evaluation workflows by the Filtering Committees and Empowered Committee.
03 Mentor Allocation
Digital allocation and coordination of mentors for shortlisted teams.

04	Documentation
Submission of presentations, prototypes, videos, supporting documents, and implementation plans by participants.	
05	Communication
Communication of timelines, evaluation stages, clarifications, and feedback to participants.	
06	Progress Monitoring
Monitoring progress of shortlisted initiatives through various stages of evaluation and mentoring.	
07	Repository & Dashboards
Hosting dashboards, repositories, compendiums, and institutional outputs relating to the initiative.	
08	Showcasing
Dissemination and showcasing of selected initiatives during Audit Diwas and associated events and related institutional platforms.	

ADDITIONAL CAPABILITIES

The portal may also include features relating to workflow tracking, role-based access, digital repositories, evaluation interfaces, communication modules, analytics dashboards, and other technology-enabled systems required for effective implementation and monitoring of the initiative.

VI. ANNUAL ROLLOUT CYCLE

a. Pre-launch Outreach and Orientation

Prior to commencement of the initiative, PPG Wing shall undertake pre-launch outreach, orientation sessions, workshops, and communication activities to familiarise participants with the objectives and scope of the initiative, thematic areas for submission; submission methodology and timelines, evaluation stages and assessment parameters, broad expectations relating to innovation value, scalability, feasibility, institutional relevance, impact, and usage of the digital portal / workflow platform for submission and evaluation processes.

Illustrative outreach activities may include workshops, webinars, digital communication campaigns, guidance notes, Frequently Asked Questions (FAQs), and dissemination of illustrative use-cases and institutional problem statements.

b. Office-level Call for Submissions through Digital Portal

Following the pre-launch stage, submissions shall be invited from all offices / formations of IA&AD through the designated digital portal. The portal shall facilitate submission of proposals, presentations, videos, prototypes, and supporting documents, categorisation of submissions under thematic areas, digital tracking of submissions and evaluation stages, and communication between participants, mentors, and evaluation committees.

Submissions may broadly include the description of the problem / opportunity identified; the proposed solution / initiative / product, expected institutional impact and scalability, implementation approach and indicative resource requirements, and the relevance of the proposal to institutional priorities and thematic areas. The submission of a proposal involving officials across offices will be determined/decided by the involved officials in respect of the office from which the proposal is to be

submitted. One of the officer/official should be from the office from which the proposal is being submitted.

c. Round-I Evaluation by Filtering Committees

The Filtering Committees shall undertake the first stage of evaluation of submissions received from respective formations. The Round-I evaluation shall broadly assess innovation value and originality; institutional relevance and usability, feasibility and scalability, potential institutional impact, clarity of problem identification and proposed solution, and potential for adoption across offices / formations of SAI India.

Based on the evaluation, the Filtering Committees shall shortlist approximately top 50 *per cent* submissions, limited to a maximum of 101 ideas, for progression to the next stage. The Committees may seek presentations, demonstrations, clarifications, or additional supporting material from participants wherever required.

d. Mentor Allocation and Solution Refinement Stage

Following Round-I shortlisting, each shortlisted team shall be assigned a mentor at the level of Principal Director / Accountant General or equivalent officer, by the PPG. The mentors shall guide and support the shortlisted teams in refining and strengthening the proposed solution; improving conceptual clarity and implementation approach, refining scope, scalability, and usability aspects, strengthening institutional applicability and impact; improving presentations, prototypes, and supporting documentation, and preparing the shortlisted teams for the subsequent stage of evaluation.

The mentors may also facilitate institutional coordination and provide guidance relating to implementation perspectives, domain-specific issues, datasets, systems, or operational considerations wherever required.

e. Round-II Evaluation by Filtering Committees

Following the mentor-guided refinement stage, the Filtering Committees shall undertake the second stage of evaluation of shortlisted submissions. The Round-II evaluation shall focus on maturity and refinement of the proposed initiative, practicality and implementation readiness, scalability and sustainability, manpower and resource intensity, expected value-for-money and institutional outcomes, prototype readiness, wherever applicable, and overall potential for institutional adoption and replication.

Based on the Round-II evaluation, the Filtering Committees shall recommend a maximum of 10 submissions in each domain to the Empowered Committee for final consideration. The filtering committee will identify the recommended submissions eligible for consideration under National Awards for e-Governance (NAeG). PPG Wing will also be the nodal wing for monitoring progress and resolving constraints faced subsequently in case of identified submissions to be carried forward.

f. Final Consideration by Empowered Committee

The Empowered Committee shall undertake final consideration of the recommended by the Filtering Committees. The Committee may assess overall institutional significance; innovation value and

originality, scalability and replicability, implementation feasibility, institutional impact and sustainability, and alignment with priorities and long-term transformation goals of SAI India.

The Empowered Committee may also seek presentations, demonstrations, clarifications, or interactions with shortlisted teams before finalisation of selected initiatives. The Empowered Committee shall finalise and shortlist 5 initiatives for further showcasing and institutional onboarding. The Empowered Committee can recommend additional submissions to be provided financial and operational support. The Empowered Committee shall also recommend the submissions to be submitted for NAeG.

g. Decision on Recommendations

This will be done by the C&AG of India in a methodology to be decided at his/her discretion.

h. Showcase during *Audit Diwas* and associated events as well as Institutional Onboarding

The final shortlisted initiatives shall be showcased during *Audit Diwas* and associated events through presentations, demonstrations, panel discussions, digital showcases, or other institutional platforms.

Selected initiatives may also be featured in institutional compendiums and knowledge-sharing platforms, considered for wider institutional onboarding and implementation support, integrated with relevant institutional systems and workflows wherever feasible, and monitored for adoption, scalability, and long-term institutional impact through the digital platform / workflow system.

i. Onboarding of approved/accepted submissions in respect of NAeG

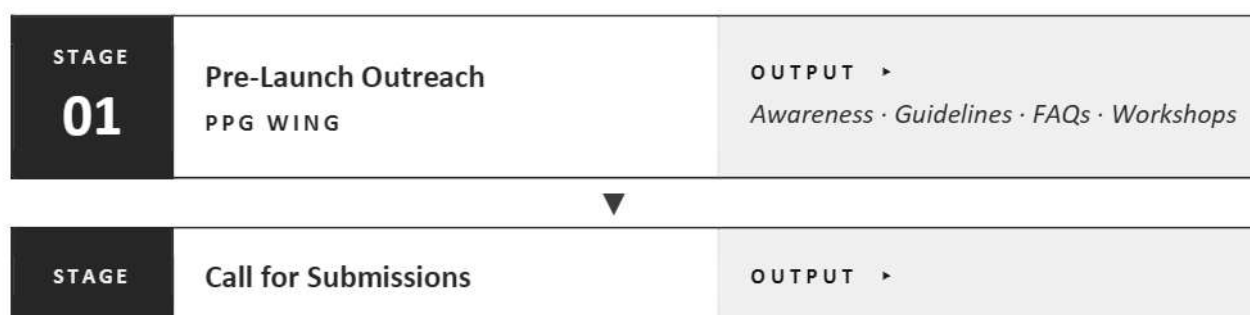
The list will be communicated to concerned officials, office and IS Wing. The concerned officials with the assistance of the office and IS wing will submit the identified submission on NAeG portal.

j. Follow-up by PPG Wing

Follow-up by PPG Wing will include communication to concerned offices/ officials and incorporation in The Catalysts.

VII. PROCESS FLOW AT A GLANCE

The figure below summarises the end-to-end rollout cycle of the CAG 101 Initiative — from pre-launch outreach to post-showcase dissemination — illustrating the principal stakeholders, decision points, and outcomes of each stage.



02	ALL OFFICES OF SAI INDIA	<i>Submissions via Digital Portal under the identified Thematic Areas</i>
▼		
STAGE 03	Round-I Evaluation FILTERING COMMITTEES	OUTPUT ▶ <i>Top 50 per cent shortlisted · Maximum 101 Ideas</i>
▼		
STAGE 04	Mentor Allocation & Refinement PPG + PD / AG-level MENTORS	OUTPUT ▶ <i>Refined solutions, prototypes & presentations</i>
▼		
STAGE 05	Round-II Evaluation FILTERING COMMITTEES	OUTPUT ▶ <i>A maximum of 10 submissions under each functional category (Union, States, Others) to be recommended</i>
▼		
STAGE 06	Final Selection EMPOWERED COMMITTEE	OUTPUT ▶ <i>Final five selected initiatives for showcase</i>
▼		
STAGE 07	National e Governance Awards	OUTPUT ▶ <i>Selected initiatives for submission, if any</i>
STAGE 08	Showcase AUDIT DIWAS PLATFORM and Catalysts of Good Practices	OUTPUT ▶ <i>Presentations · Demonstrations · The Catalysts</i>
▼		
STAGE 09	Onboarding & Dissemination PPG + IMPLEMENTING WINGS	OUTPUT ▶ <i>Adoption · Replication · Institutional Impact</i>

VIII. OUTREACH, COMMUNICATION AND KNOWLEDGE DISSEMINATION

a. Communication and Outreach

A structured communication and outreach approach shall be adopted to ensure participation, visibility, institutional learning, and dissemination of the CAG 101 Initiative across offices of SAI India.

PPG Wing, in coordination with the Social Media Wing, shall undertake communication and outreach activities through office communications and circulars, mass emailers; workshops, webinars, and orientation sessions, dissemination through the digital portal, digital creatives, posters, and awareness material, and periodic updates regarding timelines, evaluation stages, shortlisted initiatives, and showcase activities.

Objectives of the Communication Activities

Participation	Familiarisation	Collaboration	Dissemination
Encourage participation from offices / formations across SAI India.	Familiarise participants with thematic areas, timelines, and evaluation stages.	Promote cross-office learning and institutional collaboration.	Disseminate information relating to shortlisted initiatives and institutional outcomes.

b. Digital Portal and Knowledge Repository

The digital portal developed for the initiative shall also serve as a repository for submissions, shortlisted initiatives, institutional products, dashboards, compendiums, and knowledge-sharing material generated during various stages of the initiative.

The portal may host:

- ▶ Guidelines, templates, FAQs, and communication material.
- ▶ Shortlisted submissions and institutional products.
- ▶ Dashboards and monitoring systems.
- ▶ Compendiums and knowledge-sharing material.
- ▶ Presentations and showcase material.
- ▶ Updates relating to implementation, replication, and institutional adoption of selected initiatives.

c. Showcase and Dissemination of Initiatives

Shortlisted and selected initiatives shall be showcased during Audit Diwas and associated events through presentations, demonstrations, panel discussions, digital showcases, and institutional knowledge-sharing sessions.

Selected initiatives may also be:

- ▶ Featured in The Catalysts and other institutional publications.
- ▶ Disseminated across offices / formations for wider adoption and replication.

- ▶ Integrated with institutional learning and knowledge-sharing initiatives.
- ▶ Documented for reference, replication, and future institutional use.

The showcase and dissemination process shall aim to promote institutional learning, encourage innovation, and facilitate adoption of successful initiatives across SAI India.

d. Internal Engagement and Participation

PPG Wing may undertake periodic engagement activities during various stages of the initiative to encourage participation and sustain institutional involvement across offices / formations.

Such activities may include:

- ▶ Periodic communication and updates to offices / formations.
- ▶ Leadership messages and institutional guidance.
- ▶ Interaction sessions with mentors and shortlisted teams.
- ▶ Workshops and experience-sharing sessions.
- ▶ Recognition of shortlisted teams and participating offices during institutional events and showcase platforms.

Shortlisted and successful initiatives from previous editions, or exemplary initiatives identified during the current cycle, may also be periodically showcased through the portal, internal communications, workshops, or institutional platforms to facilitate cross-office learning and help offices identify replicable ideas and solutions.

PPG Wing may coordinate with Social Media Unit and other concerned wings for periodic dissemination of updates, showcase material, awareness content, teasers, communication creatives, and outreach material relating to the initiative through internal communication channels, emailers, portal updates, and other institutional dissemination mechanisms.

The submission form for the proposals are attached as **Appendix I**.

IX. TIMELINES FOR ROLLOUT IN FY 2026

The CAG 101 Initiative shall be implemented in a phased manner during FY 2026-27. The schedule below provides an indicative timeline for rollout of various stages of the initiative.

#	Phase	Key Activities	Timeline
01	Launch of Initiative and Portal Rollout	• Launch of CAG 101 Initiative • Launch of digital portal / workflow platform • Release of guidelines, thematic areas, templates, FAQs, and communication material • Launch of outreach and awareness campaign through workshops, webinars, emailers,	30 June – 15 July 2026 <i>2 weeks</i>

#	Phase	Key Activities	Timeline
		portal dissemination, and other communication channels	
02	Submission Stage	Opening of portal for submission of initiatives, products, solutions, and proposals by offices / formations of SAI India	16 July – 12 August 2026 <i>~4 weeks</i>
03	Round-I Evaluation by Filtering Committees	- Scrutiny and evaluation of submissions by Filtering Committees - Shortlisting of approximately top 50 <i>per cent</i> submissions, limited to a maximum of 101 ideas	13 August – 10 September 2026 <i>~4 weeks</i>
04	Mentor Allocation and Solution Refinement	- Allocation of mentors to shortlisted teams - Refinement of solutions, presentations, implementation approach, scalability aspects, and supporting documentation	11 September – 08 October 2026 <i>~4 weeks</i>
05	Round-II Evaluation by Filtering Committees	- Further evaluation of shortlisted submissions - Selection and recommendation to a maximum of 30 submissions to the Empowered Committee	09 October – 22 October 2026 <i>~2 weeks</i>
06	Final Consideration by Empowered Committee	- Final consideration and selection of five initiatives by the Empowered Committee - Finalisation of showcase and institutional onboarding recommendations - Identification of projects meeting the criteria for National e-Governance Awards, if any	23 October – 05 November 2026 <i>~2 weeks</i>
07	Showcase during Audit Diwas and associated events	- Showcase and presentations of shortlisted initiatives during Audit Diwas and associated events - Dissemination through institutional platforms and publications	16 – 17 November 2026 <i>2 days</i>

#	Phase	Key Activities	Timeline
08	Post-event Dissemination and Monitoring	- Dissemination of selected initiatives across formations - Monitoring of adoption, replication, and institutional impact through the digital platform - Selected initiatives may be undertaken as Proof of Concept (PoC) projects for subsequent deployment and scalable replication across offices/functional wings, with funding support from Headquarters - Featuring in The Catalysts	18 November 2026 onwards <i>Ongoing</i>

Note: The Filtering Committee for the Union stream shall support the Empowered Committee in the review of submissions and in the periodic refinement of the Scheme Framework and related documents, as may be required.

CAG 101 INNOVATION IDEAS INITIATIVE**Submission Form**

Office of the Comptroller and Auditor General of India

SECTION 1 · YOUR DETAILS**Full Name*****Designation*****Office*****Official Email ID*****Mobile *****1.1 Is this a team submission? ***☐ Yes — I'm submitting on behalf of a team☐ No — solo submission**1.2 Co-submitters (if any)***List each co-submitter's name, designation, and office. Add rows as needed.*

Name	Designation	Office / Wing / State

SECTION 2 · YOUR INITIATIVE

2.1 Which thematic area does your initiative belong to? *

- ☐ A —Audit Planning, Risk Identification and Execution Methodologies
- ☐ B —Business Process Re-engineering: Audit, Accounts and Institutional Products and Outputs
- ☐ C —Institutional Process Improvements, Workforce and Capacity Building
- ☐ D —Stakeholder Outreach, Collaboration and Public Engagement

2.2 Title of your innovation *

Give it a clear, specific name that describes what the solution does. (Max 15 words)

e.g. AI-powered anomaly detection for state PSU procurement audits

2.3 What type of solution is this? *

- | | |
|---|---|
| ☐ Framework / Methodology | ☐ Application / Software Tool |
| ☐ Process Redesign | ☐ Dashboard / Visualisation Tool |
| ☐ Hardware / IoT Solution | ☐ AI or ML Model / LLM |
| ☐ Other (please describe in section 2.4) | |

2.4 Current stage of development *

- | | |
|---|--|
| ☐ Concept / Idea — not yet built | ☐ Early Prototype — basic version exists |
| ☐ Pilot Tested — tested in limited context | ☐ Partially Deployed — in use in one or few offices |
| ☐ Ready for Scale — proven and replicable | ☐ Other |

2.5 What problem are you solving? *

Describe the specific gap, inefficiency, or challenge in CAG's current methodologies, tools, or processes. Please explain the process, system, or workflow that has the gap this initiative is addressing. (Max 300 words)

Describe the pain point...

2.6 What is your proposed solution and how does it work? *

Tell us what it does, how it works, and what makes it different from what exists today at CAG organization. (Max 500 words)

<i>Describe the solution...</i>

2.7 Have you already piloted or tested this anywhere?

If yes — where, when, and what were the results? Real-world evidence strengthens your submission. (Max 150 words — optional)

<i>e.g. Piloted in O/o the AG, Karnataka from Jan–Mar 2026 — reduced audit prep time by ~30%...</i>

SECTION 3 · TECHNOLOGY DETAILS (OPTIONAL)

This section may be skipped if the initiative is not technology-centric (e.g. a process redesign, an administrative improvement, a methodology change). Fill it if the initiative involves software, a digital tool, AI/ML, automation, dashboards, IoT, or similar technology components.

3.1 What technology / tech stack does the solution use?

List the key languages, frameworks, platforms, models, or tools. (Max 100 words)

e.g. Python, FastAPI, PostgreSQL, GPT-4 via Azure OpenAI, LLMs, Power BI dashboards...

3.2 Is the technology proprietary, open-source, or a mix?

- | | |
|--|--|
| ☐ Fully open-source | ☐ Mostly open-source with some proprietary components |
| ☐ Fully proprietary / commercial licenses | ☐ Not yet decided |

3.3 How will the technology scale?

Can it handle larger data volumes, more users, or more offices without major rework? Note any technical bottlenecks. (Max 150 words)

Describe technical scalability...

3.4 Any data privacy, security, or governance considerations?

E.g. is sensitive audit data involved? Where will data reside? Any compliance angles. (Max 100 words)

Describe data/security considerations, if any...

SECTION 4 · SCALABILITY AND IMPACT

4.1 Who within the CAG organization will benefit, and where can this be used? *

Which offices, wings, audit types, or functions will benefit? Is this scalable across all 130+ offices, or specific to certain formations? (Max 150 words)

Describe relevance and applicability...

4.2 From the perspective of scalability, please describe if this solution: *

- ☐ Can be hosted/ deployed/ implemented centrally and be applicable across all CAG offices/ or certain formations
- ☐ Will need regional/ state-level/ formation-level customisations to be deployed
- ☐ Other (describe scalability potential)

Describe scalability potential...

4.2 What impact will your solution create? *

Fill in whichever rows apply. Rough estimates with clear logic are accepted.

Impact Dimension	Your Estimate / Metric	Basis / Assumption
Time Saved		e.g. hours per audit cycle, per office, per year; faster report turnaround; automation efficiency gains
Cost Reduction		e.g. ₹ saved annually or % reduction

Impact Dimension	Your Estimate / Metric	Basis / Assumption
Error Rate Improvement		<i>e.g. % reduction in manual errors</i>
Coverage Expanded		<i>e.g. % increase in data, schemes, entities, or transactions covered</i>
Citizen / Stakeholder Benefit		<i>e.g. ease of doing business; stronger assurance to PSEs; faster data to Finance Commission</i>
Qualitative/ Other Benefits		<i>e.g. additional cases/risks flagged; reduced manual follow-up; better traceability</i>

SECTION 5 · FINANCIAL SNAPSHOT (OPTIONAL)**Rough one-time / setup cost (₹)***e.g. ₹5–10 lakh, or 'minimal'***Rough annual recurring cost (₹)***e.g. ₹2 lakh/year, or 'negligible'***5.1 Any monetary savings or financial benefit or Return-on-Investment that can be estimated?***Describe expected financial benefit, if any...*

SECTION 6 · UPLOADS

Please submit ONE primary attachment that best demonstrates your initiative. Pick whichever format fits — a short demo video for software/tools, a workflow diagram for process initiatives, or a concept note with screenshots if neither applies. One supporting document is optional.

6.1 Primary submission — choose ONE format below *

#	Submission Type	Format & Notes
A	Demo video or screen-recording	Best for software tools, dashboards, prototypes. MP4 or URL (YouTube/Drive link). 5-10 min duration.
B	Process workflow / flow diagram	Best for process or administrative initiatives. PDF or PNG. Max 5 pages, ≤ 50 MB.
C	Concept note with screenshots	Use if neither video nor a workflow diagram fits. PDF. Max 5 pages, ≤ 50 MB.

6.2 Supporting document (optional)

One additional PDF if it adds substance — e.g. a one-pager, a sample report, or technical notes. PDF only, ≤ 10 MB. Skip if not needed.

SECTION 7 · DECLARATION**I / We hereby declare that:**

1. All information provided in this submission is accurate and complete to the best of my / our knowledge.
2. I / We consent to the PPG Wing sharing this submission with relevant evaluation teams.
3. I / We understand that the competent authority's decisions at each stage of evaluation are final.

Primary Submitter Name ***Date of Submission ****Full name**DD / MM / 2026***Signature**