

**Appendix-1.1**  
**(Referred to in paragraph 1.1)**  
**General Data of State of Uttar Pradesh**

Sl. No.	Particulars	Figures
1	Area <sup>1</sup>	2,40,928 Sq. Km.
2	Population <sup>2</sup>	
	a. Census 2011 (As on 1 March 2011)	19.98 crore
	b. Projected for the year 2023 (As on 1 March 2023)	23.57 crore
3	Decadal Population Growth <sup>3</sup> (2013-2023)	
	Uttar Pradesh	14.23 per cent
	All India (inclusive of UTs)	11.68 per cent
4	Literacy (as per 2011 Census) (All India Average = 73 per cent)	67.7 per cent
5	Infant mortality rate <sup>4</sup> (per 1000 live births) (All India Average = 28 per 1000 live births)	38
6	Life Expectancy at birth <sup>5</sup> (All India Average = 70 years)	66 years
7	Gross State Domestic Product (GSDP) at current prices	₹ 22,57,575 crore
8	Per capita GSDP Compound Annual Growth Rate (CAGR) (2013-14 to 2022-23)	
	Uttar Pradesh	8.74
	All India (inclusive of UTs)	9.12
9	GSDP CAGR (2013-14 to 2022-23)	
	Uttar Pradesh	10.22
	All India (inclusive of UTs)	10.34
10	Population below poverty line (BPL) <sup>6</sup> (2011-12) (All India Average = 21.92 per cent)	29.43 per cent

<sup>1</sup> Directorate of Economics and Statistics, Government of UP.

<sup>2</sup> Population Projections for India and States 2011-2036, Table 8 of the Report of the Technical Group on Population Projections (July 2020), National Commission on Population

<sup>3</sup> Population Projections for India and States 2011-2036, Table 8 of the Report of the Technical Group on Population Projections (July 2020), National Commission on Population

<sup>4</sup> Sample Registration System (SRS) Bulletin, May 2022 (for the year 2020), office of the Registrar General, India.

<sup>5</sup> SRS Based Abridged Life Table 2016-20 published by the Office of the Registrar General & Census Commissioner, India (October 2022).

<sup>6</sup> Reserve Bank of India, Handbook of Statistics on Indian Economy 2011-12 (Table 162).

**Appendix-2.1**  
**(Referred to in paragraph 2.2)**  
**Time series data on the State Government Finances**  
**(Figures in bracket indicate percentages to total of each sub-heading)**

(₹ in crore)

Particulars	2018-19	2019-20	2020-21	2021-22	2022-23
<b>PART A – RECEIPTS</b>					
<b>1. Revenue Receipts of which</b>	<b>3,29,978</b>	<b>3,66,393</b>	<b>2,96,176</b>	<b>3,71,011</b>	<b>4,17,241</b>
<b>(i) Own Tax Revenue of which</b>	1,20,122(36)	1,22,826(34)	1,19,897(40)	1,47,368(40)	1,74,087(42)
<b>SGST</b>	46,108 (38)	47,232(38)	42,860(36)	54,594(37)	64,141(37)
<b>Taxes on Sales, Trade, etc.</b>	23,798(20)	20,517(17)	22,127(18)	27,058(18)	31,979(18)
<b>State Excise</b>	23,927(20)	27,325(22)	30,061(25)	36,320(25)	41,253(34)
<b>Taxes on Vehicles</b>	6,929(6)	7,715(6)	6,483(5)	7,776(5)	9,059(5)
<b>Stamps and Registration fees</b>	1,57,33(13)	16,070(13)	16,475(14)	20,048(14)	24,844(14)
<b>Land Revenue</b>	631(1)	504(0.41)	297(0.25)	193(0.13)	285(0.16)
<b>Taxes and duties on Electricity</b>	2,978 (2)	3,453(3)	1,587(1)	1,366(1)	2,519(1.45)
<b>Other Taxes</b>	18(0.01)	10(0.01)	7(0.01)	13(0.01)	7(0.004)
<b>(ii) Non Tax Revenue</b>	3,0101(9)	81,705(22)	11,846(4)	11,436(3)	13,489(3.23)
<b>(iii) State's Share of Union Taxes and Duties</b>	1,36,766(42)	1,17,818(32)	1,06,687(36)	1,60,358(43)	1,69,745(41)
<b>(iv) Grants-in-aid from GoI</b>	42,989(13)	44,044(12)	57,746(20)	51,849(14)	59,920(14)
<b>2. Miscellaneous Capital Receipts</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>3. Recoveries of Loans and Advances</b>	<b>5,313</b>	<b>5,641</b>	<b>1,135</b>	<b>939</b>	<b>1,337</b>
<b>4. Total Revenue and Non debt Capital Receipts (1+2+3)</b>	<b>3,35,291</b>	<b>3,72,034</b>	<b>2,97,311</b>	<b>3,71,951<sup>7</sup></b>	<b>4,18,578</b>
<b>5. Public Debt Receipts of which</b>	<b>51,595</b>	<b>73,809</b>	<b>86,859</b>	<b>75,751</b>	<b>66,847</b>
<b>Internal Debt (excluding Ways and Means Advances and Overdrafts)</b>	50,791(98)	72,554(98)	78,677(91)	65,003(86)	58,024(87)
<b>Transactions under Ways and Means Advances and Overdrafts</b>	0	0	0	0	0
<b>Loans and Advances from GoI</b>	804(2)	1,255(2)	8,182(9)	10,748(14)	8,823(13)
<b>6. Total Receipts in the Consolidated Fund(4+5)</b>	<b>3,86,886</b>	<b>4,45,843</b>	<b>3,84,170</b>	<b>4,47,702</b>	<b>4,85,426<sup>8</sup></b>

<sup>7</sup> During the year 2021-22, Revenue Receipts were ₹ 3,71,011.44 crore and Recoveries of Loans and Advances were ₹ 939.43 crore. Hence, total of Revenue and Non debt Capital Receipts was ₹ 371,950.87 crore.

<sup>8</sup> During the year 2022-23, Revenue Receipts were ₹ 4,17,241.50 crore, Recoveries of Loans and Advances were ₹ 1,337.32 crore and Public Debt Receipts were ₹ 66,846.93 crore. Hence, Total Receipts in Consolidated Fund was ₹ 4,85,425.75 crore.

Particulars	2018-19	2019-20	2020-21	2021-22	2022-23
<b>7. Contingency Fund Receipts</b>	<b>230</b>	<b>662</b>	<b>0</b>	<b>700</b>	<b>0</b>
<b>8. Public Account Receipts<sup>9</sup></b>	<b>3,80,994</b>	<b>3,70,692</b>	<b>3,64,493</b>	<b>4,27,452</b>	<b>5,07,452</b>
<b>9. Total Receipts of the State (6+7+8)</b>	<b>7,68,110</b>	<b>8,17,197</b>	<b>7,48,663</b>	<b>8,75,853</b>	<b>9,92,878</b>
<b>PART B - EXPENDITURE/ DISBURSEMENT</b>					
<b>10. Revenue Expenditure of which</b>	<b>3,01,728(81)</b>	<b>2,98,833(83)</b>	<b>2,98,543(85)</b>	<b>3,37,581(82)</b>	<b>3,79,978(79)</b>
General Services	1,31,057(44)	1,17,675(39)	1,19,057(40)	1,33,521(39)	1,44,688(38)
Social Services	91,312(30)	1,03,849(35)	1,09,727(37)	1,20,987(36)	1,38,928(36)
Economic Services	67,259(22)	62,809(21)	55,551(19)	66,573(20)	78,363(21)
Grants-in-aid and contributions	12,100(4)	14,500(5)	14,208(5)	16,500(5)	18,000(5)
<b>11. Capital Expenditure of which</b>	<b>62,463(17)</b>	<b>59,998(16)</b>	<b>52,237(15)</b>	<b>71,443(17)</b>	<b>93,028(19)</b>
General Services	3,419(5)	2,495(4)	1,523(3)	2,357(3)	4,450(5)
Social Services	10,589(17)	10,515(18)	12,386(24)	18,569(26)	35,049(38)
Economic Services	48,455(78)	46,988(78)	38,328(73)	50,517(71)	53,530(57)
<b>12. Disbursement of Loans and Advances</b>	<b>6,303(2)</b>	<b>2,120(1)</b>	<b>1,153(0.32)</b>	<b>1,613(0.39)</b>	<b>10,209(2)</b>
<b>13. Appropriation to the Contingency Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>600(0.15)</b>	<b>0</b>
<b>14. Total Expenditure (10+11+12+13)</b>	<b>3,70,494</b>	<b>3,60,951</b>	<b>3,51,933</b>	<b>4,11,237</b>	<b>4,83,215</b>
<b>15. Repayments of Public Debt</b>	<b>20,717</b>	<b>22,401</b>	<b>26,778</b>	<b>28,726</b>	<b>22,690</b>
Internal Debt (excluding Ways and Means Advances and Overdrafts)	19,080(92)	20,695(92)	25,174(94)	27,132(94)	20,970(92)
Transactions under Ways and Means Advances and Overdraft	0	0	0	0	0
Loans and Advances from Government of India	1,637(8)	1,706(8)	1,604(6)	1,594(6)	1,720(8)
<b>16. Total Disbursement out of Consolidated Fund (14+15)</b>	<b>3,91,211</b>	<b>3,83,352</b>	<b>3,78,711</b>	<b>4,39,963</b>	<b>5,05,905</b>
<b>17. Contingency Fund disbursements</b>	<b>396</b>	<b>32</b>	<b>100</b>	<b>0</b>	<b>0.06</b>
<b>18. Public Account disbursements</b>	<b>3,61,072</b>	<b>4,39,282</b>	<b>3,59,643</b>	<b>4,23,011</b>	<b>4,98,657</b>
<b>19. Total disbursement by the State (16+17+18)</b>	<b>7,52,679</b>	<b>8,27,666</b>	<b>7,38,454</b>	<b>8,62,974</b>	<b>10,04,562</b>
<b>PART C. DEFICITS/SURPLUS</b>					
<b>20. Revenue Deficit(-)/Revenue Surplus(+) (1-10)</b>	<b>(+)28,250</b>	<b>(+)67,560</b>	<b>(-)2,367</b>	<b>(+)33,430</b>	<b>(+)37,263</b>

<sup>9</sup> This excludes receipts under Major Head 8671 (Departmental Balances), Major Head 8672 (Permanent Cash Imprest), Major Head 8673 (Cash Balance Investment Account) and Investments under Reserve Funds, which are part of Cash Balances of the State Government.

Particulars	2018-19	2019-20	2020-21	2021-22	2022-23
<b>21. Fiscal Deficit (-)/Fiscal Surplus (+) (4-14)</b>	<b>(-)35,203</b>	<b>(+)11,083</b>	<b>(-)54,622</b>	<b>(-)39,286</b>	<b>(-)64,636<sup>10</sup></b>
<b>22. Primary Deficit (-)/Fiscal Surplus (+) (21+23)</b>	<b>(-)3,161</b>	<b>(+)45,896</b>	<b>(-)17,194</b>	<b>(+)3,589</b>	<b>(-)21,628</b>
<b>23. Interest Payment (included in Revenue Expenditure under Major Head 2049)</b>	<b>32,042</b>	<b>34,813</b>	<b>37,428</b>	<b>42,876</b>	<b>43,008</b>

<sup>10</sup> During the year 2022-23, Fiscal Deficit was ₹ 64,636.26 crore in view of Revenue Receipts (₹ 4,17,241.50 crore), recoveries of Loans and Advances (₹ 1,337.32 crore), Revenue Expenditure (₹ 3,79,978.06 crore), Capital Expenditure (₹ 93,028.39 crore), Loans and Advances Disbursed (₹ 10,208.63 crore).

## Appendix-2.2

(Referred to in paragraph 2.3.2.2)

## Collection of Own Tax/Non-Tax Revenue during the period 2018-23

(₹ in crore)

Heads	2018-19	2019-20	2020-21	2021-22	2022-23 Budget Estimate	Actual 2022-23
<b>(A) Own Tax Revenue during 2018-23</b>						
State Goods and Services Tax	46,108	47,232	42,860	54,594	88,264	64,141
Taxes on Sales, Trade etc.	23,798	20,517	22,127	27,058	36,213	31,979
State Excise	23,927	27,325	30,061	36,320	49,153	41,253
Taxes on Vehicles	6,929	7,715	6,483	7,776	10,887	9,059
Stamps and Registration Fees	15,733	16,070	16,475	20,048	29,692	24,844
Land Revenue	631	504	297	193	915	285
Taxes and duties on Electricity	2,978	3,453	1,587	1,366	5,531	2,519
Other taxes	18	10	7	13	0	7
<b>Total (A)</b>	<b>1,20,122</b>	<b>1,22,826</b>	<b>1,19,897</b>	<b>1,47,368</b>	<b>2,20,655</b>	<b>1,74,087</b>
<b>(B) Non Tax Revenue during 2018-23</b>						
Fiscal Services	0.01	0.04	0.02	0.07	0.00	0.27
Interest Receipts, Dividend and Profits	1,888	1,508	1,220	1,462	2,200	1,400
General Services	15,366	73,484	2,239	1,959	3,193	2,300
Social Services	872	978	1,046	1,107	1,836	2,151
Economic Services	11,975	5,735	7,341	6,908	16,177	7,638
<b>Total (B)</b>	<b>30,101</b>	<b>81,705</b>	<b>11,846</b>	<b>11,436</b>	<b>23,406</b>	<b>13,489</b>
<b>Grand Total (A + B)</b>	<b>1,50,223</b>	<b>2,04,531</b>	<b>1,31,743</b>	<b>1,58,804</b>	<b>2,44,061</b>	<b>1,87,576</b>

**Appendix-2.3**  
(Referred to in paragraph 2.5.2)  
**Details of Reserve Funds during the year 2022-23**

(₹ in lakh)

Particulars	Opening Balance	Receipts	Disbursements	Closing Balance
<b>Reserve Funds bearing Interest</b>				
8115-Depreciation/Renewal Reserve Fund	(-) 4,441.57	0.00	0.00	(-) 4,441.57
105-Depreciation Reserve Fund-Investment Account	(-) 4,441.57	0.00	0.00	(-) 4,441.57
8121-General and Other Reserve Funds	4,19,845.13	1,90,993.11	1,18,094.64	4,92,743.60
101-General and Other Reserve Funds of Govt. Commercial Departments/Undertaking	9.68	0.00	0.00	9.68
122-State Disaster Response Fund	3,01,559.43	1,09,196.78	94,920.22	3,15,835.99
129-State Compensatory Afforestation Fund (SCAF)	1,18,276.02	3,166.33	23,174.42	98,267.93
130-State Disaster Mitigation Fund	0.00	78,630.00	0.00	78,630.00
<b>Total- Reserve Fund bearing Interest</b>	<b>4,19,845.13</b>	<b>1,90,993.11</b>	<b>1,18,094.64</b>	<b>4,92,743.60</b>
<b>Investment</b>	<b>(-) 4,441.57</b>	<b>0.00</b>	<b>0.00</b>	<b>(-) 4,441.57</b>
<b>Reserve Funds not bearing Interest</b>				
8222-Sinking Fund	0.00	2,50,000.00	2,50,000.00	0.00
01-Appropriation for Reduction or avoidance of debt 101-Sinking Funds	3,00,000.00	2,50,000.00	0.00	5,50,000.00
02- Sinking Fund – Investment Account 101-Sinking Fund – Investment Account	(-) 3,00,000.00	0.00	2,50,000.00	(-) 5,50,000.00
8223-Famine Relief Fund	(-) 78.01	0.00	0.00	(-) 78.01
102-Famine Relief Fund - Investment Account	(-) 78.01	0.00	0.00	(-) 78.01
8225-Roads and Bridges Fund	55,815.81	4,05,000.00	3,75,000.00	85,815.81
101-State Roads and Bridges Fund	55,815.81	4,05,000.00	3,75,000.00	85,815.81
8226-Depreciation/Renewal Reserve Funds	(-) 610.73	3,000.00	969.84	1,419.43
102-Depreciation Reserve Funds of Government Non-commercial Departments	(-) 610.73	3,000.00	969.84	1,419.43
8229-Development and Welfare Funds	76,103.41	0.00	0.00	76,103.41
101-Development Funds For Educational Purposes	4,238.23	0.00	0.00	4,238.23
106-Industrial Development Funds	100.00	0.00	0.00	100.00
109-Cooperative Development Funds	0.01	0.00	0.00	0.01
200-Other Development and Welfare Funds	71,765.17	0.00	0.00	71,765.17
8235-General and other Reserve Funds	40,866.41	18,448.26	4,197.66	55,117.01
101-General Reserve Funds of Government Commercial Departments/Undertakings	14,009.00	2.81	0.00	14,011.81
111-State Disaster Response Fund (including ₹ 1,000 lakh as balance of State Mitigation Fund)	0.00	673.45	0.00	673.45
200-Other Funds	26,857.41	17,772.00	4,197.66	40,431.75
<b>Total -Reserve Funds not bearing Interest</b>	<b>4,72,174.90</b>	<b>6,76,448.26</b>	<b>3,80,167.50</b>	<b>7,68,455.66</b>
<b>Investment</b>	<b>(-)3,00,078.01</b>	<b>0.00</b>	<b>2,50,000.00</b>	<b>(-)5,50,078.01</b>
<b>Grand Total</b>	<b>Reserve Funds</b>	<b>8,92,020.03</b>	<b>8,67,441.37</b>	<b>4,98,262.14</b>
	<b>Investment</b>	<b>(-)3,04,519.58</b>	<b>0.00</b>	<b>2,50,000.00</b>

Note: Minus figures in this Appendix represent debit balances.

**Appendix-3.1**  
**(Referred to in paragraph 3.2.3)**  
**Lump-sum budgetary provision during the year 2022-23**

(₹ in crore)

Sl. No.	Grant No.	Head of Accounts	Voted/Charged	Description	Original Budget Provision	Expenditure	Per cent
1	1	4059-01-051-03	Voted	Lump sum provision for construction of Office and Godowns of Excise Department	0.61	0.41	67.21
2	9	2045-103-04	Voted	Lump sum provision for formation of Uttar Pradesh Electricity Regulatory Commission	14.61	14.61	100.00
3	9	2045-103-04	Charged	Lump sum provision for formation of Uttar Pradesh Electricity Regulatory Commission	1.86	1.86	100.00
4	11	2071-01-117-07	Voted	Lump sum payment of residual employer contribution up to 31.03.2019 of employees of Agriculture Universities covered under N.P.S.	14.00	00	0.00
5	25	4070-800-09	Voted	Lump sum provision for land purchase for construction of jails in newly created districts	50.00	50.00	100.00
6	40	2575-06-101-03	Voted	Lump sum provision for Solar Light/Solar Pump/Solar Power Fencing	0.45	00	0.00
7	40	2575-06-102-03	Voted	Lump sum provision for organisation of Committees/ Equipment/Machines/Furnishing	0.06	00	0.00
8	40	2575-06-105-04	Voted	Lump sum provision for Skill Development Mission	0.03	00	0.00
9	40	2575-06-105-05	Voted	Lump sum provision for fruits and vegetables/Spices Development	0.03	00	0.00
10	40	2575-06-800-04	Voted	Lump sum provision for review, training and evaluation	0.30	00	0.00
11	40	4575-06-101-03	Voted	Lump sum Provision for construction/extension of Veterinary Hospitals/Animal Service Centres	0.18	00	0.00
12	40	4575-06-102-03	Voted	Lump sum Provision for Water Supply Programmes	15.00	00	0.00
13	40	4575-06-106-03	Voted	Lump sum Provision for Rural Electrification	0.02	00	0.00
14	40	4575-06-201-03	Voted	Lump sum Provision for construction/extension of boundary wall of School Building	2.40	00	0.00
15	40	4575-06-337-03	Voted	Lump sum Provision for roads	18.00	00	0.00

Sl. No.	Grant No.	Head of Accounts	Voted/ Charged	Description	Original Budget Provision	Expenditure	Per cent
16	40	4575-06-800-03	Voted	Lump sum Provision for construction/extension of Community/Primary Health Centres and Sub-Centres buildings	3.00	00	0.00
17	40	4575-06-800-04	Voted	Lump sum Provision for construction/extension of building of Homeopathy Hospital	0.01	00	0.00
18	40	4575-06-800-06	Voted	Lump sum Provision for construction of Anganbadi Centres	1.20	00	0.00
19	40	4575-06-800-09	Voted	Lump sum Provision for construction of CC Road and K.C. Drains	12.57	00	0.00
20	40	4575-06-800-10	Voted	Lump sum Provision for construction of flood shelter home	0.24	00	0.00
21	40	4575-06-800-11	Voted	Lump sum Provision for construction of mini stadium	1.50	00	0.00
22	40	4575-06-800-12	Voted	Lump sum Provision for construction of boundary wall and road in premises of Scheduled Castes Ashram System School	0.03	00	0.00
23	40	4575-06-800-13	Voted	Lump sum Provision for construction of toilets	1.20	00	0.00
24	40	4575-06-800-14	Voted	Lump sum arrangement for construction of Heritage work shed tharu shilp training center /sale and exhibition center/dance music center/hut etc.(C.60/S.40-C)	0.45	00	0.00
25	40	4575-06-800-15	Voted	Lump sum arrangement for Construction/Extension/ Renovation for Tourist Place Development	0.78	00	0.00
26	40	4575-06-800-16	Voted	Lump sum provision for farmers/shed/construction of cow ranch/extension etc.	0.30	00	0.00
27	48	2071-01-117-07	Voted	Lump sum payment of residual employer contribution up to 31.03.2019 of employees covered under N.P.S. of aided Arbi Farsi Madarsas	1.00	00	0.00
28	50	4059-01-051-02	Voted	Lump sum provision for new construction / extension / reconstruction/ strengthening of non- residential buildings of divisions/ districts/tehsils of the state and purchase of land	21.01	19.52	92.91



Sl. No.	Grant No.	Head of Accounts	Voted/ Charged	Description	Original Budget Provision	Expenditure	Per cent
29	58	5054-03-337-13	Voted	Lump sum Provision State Highway	510	305.24	59.85
30	58	5054-04-337-13	Voted	Lump sum provision District Roads	2836.00	3543.33	124.94
31	58	5054-04-337-15	Voted	New construction/reconstruction/ missing link of rural connecting routes of revenue villages/ habitats to link with paved connecting routes for agricultural marketing facilities and lump sum arrangements.	400.00	79.38	19.85
32	58	5054-04-337-66	Voted	Lump sum provision for new works of rural link roads and small bridges for agriculture marketing facilities (Finance by NABARD) (District Plan)	700.00	359.10	51.30
33	58	5054-04-337-83	Voted	Lump sum provision for current works of strengthening/widening of main/other district roads of rural areas under R.I.D.F. plan financed by NABARD	500.00	325.13	65.03
34	58	5054-04-337-86	Voted	Lump sum provision for new work of strengthening/widening of main/other district roads of rural areas under R.I.D.F. plan financed by NABARD	300.00	4.86	1.62
35	58	5054-80-800-05	Voted	Lump sum provision for Management and Planning works of Information Technology	55.00	8.92	16.22
36	59	4059-80-051-03	Voted	Lump sum Provision for renovation of non-residential buildings and water distribution works	0.50	0.09	18.00
37	61	2049-04-101-03	Charged	Lump sum Loans	103.79	103.79	100.00
38	61	6004-09-101-03	Charged	Lump sum Loans	276.09	276.09	100.00
39	62	2071-01-117-07	Voted	Lump sum payment of residual employer contribution up to 31.03.2019 of Government employees covered under N.P.S.	3000.00	00	0.00
40	75	2071-01-117-07	Voted	Lump sum payment of residual employer contribution up to 31.03.2019 of Government employees covered under N.P.S.	0.10	00	0.00
41	83	5054-03-789-05	Voted	Lump sum provision for new works of state main/other district roads	212.10	72.37	34.12

Sl. No.	Grant No.	Head of Accounts	Voted/ Charged	Description	Original Budget Provision	Expenditure	Per cent
42	83	5054-04-789-19	Voted	Lump sum provision for new construction of Railway Over/Under Bridges	84.84	22.89	26.98
43	83	5054-04-789-27	Voted	Lump sum provision for current work of rural connecting roads/minor bridges for agriculture marketing facilities (NABARD financed) (District Plan)	15.00	10.02	66.80
44	84	2075-800-03	Voted	Lump sum amount as cash award to the citizens of Uttar Pradesh honoured with awards mentioned under Ashok Chakra Series	3.00	1.10	36.67
45	87	2075-104-04	Voted	Lump sum cash award/grant by State Government to winners of Veerchakra Series	3.88	3.76	96.91
46	87	2075-104-05	Voted	Lump sum Soldiers Cash Awards to soldiers of Border Security Force and recipients of War Sena Medal Award of Uttar Pradesh	2.70	2.33	86.30
47	87	2075-104-07	Voted	Lump sum grant to Medal Winners of Vishisht Sewa Medal Series	1.70	1.20	70.59
48	91	4059-01-800-03	Voted	Lump sum provision for construction of Office buildings of Stamps and Registration Department	1.00	0.84	84.00
49	94	4701-40-051-05	Voted	Lump sum arrangement for medium irrigation plan(commercial)	1.50	1.50	100.00
50	94	4701-97-051-10	Voted	Lump sum arrangement for maintenance of damaged permanent construction like pool etc. on canals.	50.00	6.06	12.12
51	94	4711-01-103-03	Voted	Lump sum provision for border dams (States Sector)	5.37	2.16	40.22
52	95	2701-02-001-07	Voted	Working Establishment (Lump sum provision for workshops employee of irrigation department)	53.64	37.53	69.97
	<b>18</b>			<b>Total</b>	<b>9,277.05</b>	<b>5,254.09</b>	<b>56.64</b>

## Appendix-3.2

(Referred to in paragraph 3.2.4)

Detail of funding pattern (Central share/State share/Financial Institution) not mentioned in Central schemes / Central Sponsored schemes in Budget Documents 2022-23

(₹ in crore)

Grant No. and Name	Account Head	Name of Program	Amount
<b>021-Food and Civil Supplies Department</b>	4059-60-051-01-0102	Construction of building for Secondary Standard Laboratory / Calibration Towers	10.00
<b>037-Urban Development Department</b>	2230-02-101-01-0101	Establishment of a cell on state level	0.13
	4216-02-800-01-0101	Rajeev Housing Yojna	3.54
<b>044-Tourism Department</b>	5452-80-104-01-0109	Creation of tourism infrastructure facilities in the identified places under PRASAD scheme	16.07
<b>047-Technical Education Department</b>	4202-02-105-01-0105	Establishment and Strengthening of Technical Institutions under <i>Rastriya Uchatar Siksha Abhiyan</i> (RUSA)	4.80
<b>060-Forest Department</b>	4406-01-800-01-0112	Forest Fire Prevention and Management Scheme	0.35
<b>075-Education Department (State Council of Education Research and Training)</b>	4202-01-201-01-0104	New District Institute of Education and Training in three districts (Ghaziabad, Amethi and Kasganj)	3.00
<b>081-Social Welfare Department (Tribal Welfare)</b>	4202-01-796-01-0108	Establishment of District Education and Training Institute	0.07
<b>083-Social Welfare Department (Special Component Plan for Scheduled Castes)</b>	4202-01-789-01-0108	Establishment of District Education and Training Institute	1.80
<b>Total</b>			<b>39.76</b>

**Appendix-3.3**

(Referred to in paragraph 3.2.4)

**Detail of cases where total of central share and state share in funding pattern more / less than 100 per cent or funding share of other Financial Institution/Grantee is not mentioned in Central schemes / Central Sponsored schemes**

(₹ in crore)

Grant No. and Name	Account Head	Name of Program	Amount
<b>011-Agriculture and Other Allied Departments (Agriculture)</b>	2401-114-01-0101	National Food Security Mission (OS/TBO)(C.60%/S.60%)	12.83
<b>045-Environment Department</b>	3435-04-103-01-0101	Establishment of hazardous waste disposal facilities and Treatment of unauthorized hazardous waste disposal sites. (C.0%/S.50%)	3.00
<b>049-Women and Child Welfare Department</b>	2235-02-103-01-0104	Ujjwala Scheme (C.60%/S.30%)	0.90
<b>083-Social Welfare Department (Special Component Plan for Scheduled Castes)</b>	2401-789-01-0110	National Food Security Mission (OS/TBO) (C.60%/S.60%)	2.04
<b>Total</b>			<b>18.77</b>

## Appendix-3.4

(Referred to in paragraph 3.3.2)

Grants in which savings were above ₹ 100 crore in each case during the year 2022-23

(₹ in crore)

Sl. No.	Grant No.	Grant Name	Provision	Expenditure	Savings	Saving excluded Surrendered
<b>Revenue Voted</b>						
1	2	Housing Department	417.78	265.19	152.59	35.60
2	3	Industries Department (Small Industry and Export Promotion)	978.66	694.21	284.45	284.45
3	7	Industries Department (Heavy and Medium Industries)	6054.12	3843.43	2210.69	2210.69
4	9	Power Department	31629.55	27944.90	3684.65	3684.65
5	10	Agriculture and other Allied Departments (Horticultural and Sericulture Development)	1633.14	789.99	843.15	7.93
6	11	Agriculture and other Allied Departments (Agriculture)	6824.16	4701.11	2123.05	16.53
7	12	Agriculture and other Allied Departments (Land Development and Water Resources)	714.49	227.43	487.06	487.06
8	13	Agriculture and other Allied Departments (Rural Development)	3994.62	3054.56	940.07	909.49
9	14	Agriculture and Other Allied Departments (Panchayati Raj)	13236.64	11857.80	1378.84	1378.84
10	15	Agriculture and other Allied Departments (Animal Husbandry)	2282.90	1706.22	576.68	0.15
11	17	Agriculture and other Allied Departments (Fisheries)	387.53	202.68	184.85	-0.01
12	18	Agriculture and other Allied Departments (Co-operative)	792.70	588.90	203.80	203.80
13	21	Food and Civil Supplies Department	7165.32	2692.64	4472.68	4365.99
14	25	Home Department (Jails)-	1141.50	1025.73	115.77	3.14
15	26	Home Department (Police)	28737.10	24314.17	4422.93	4422.93
16	28	Home Department (Political Pension and Other Expenditure)	394.41	283.48	110.93	110.93
17	31	Medical Department (Medical Education and Training)	4932.81	4583.16	349.65	338.18
18	32	Medical Department (Allopathy)	8896.38	6398.86	2497.53	2497.52
19	33	Medical Department (Ayurvedic and Unani)	1652.41	1029.94	622.47	622.47
20	34	Medical Department (Homoeopathy)	650.97	474.38	176.59	176.59
21	35	Medical Department (Family Welfare)	15711.21	11159.72	4551.49	4551.49
22	36	Medical Department (Public Health)	1000.47	615.60	384.86	384.86
23	37	Urban Development Department	23279.10	13613.84	9665.26	9659.57
24	40	Planning Department	330.20	203.18	127.02	75.47

Sl. No.	Grant No.	Grant Name	Provision	Expenditure	Savings	Saving excluded Surrendered
25	41	Election Department	468.99	327.76	141.23	0.71
26	42	Judicial Department	3306.32	2342.96	963.36	963.28
27	43	Transport Department	475.30	348.74	126.56	126.17
28	48	Minorities Welfare Department	2424.46	1291.20	1133.25	1133.25
29	49	Women and Child Welfare Department	12036.22	9032.02	3004.20	3004.20
30	50	Revenue Department (District Administration)	1307.17	977.38	329.78	329.05
31	51	Revenue Department (Relief on Account of Natural Calamities)	3720.95	1879.00	1841.95	1841.95
32	52	Revenue Department (Board of Revenue and other Expenditure)	4467.50	3581.57	885.92	744.27
33	54	Public Works Department (Establishment)	2988.39	844.06	2144.33	2144.33
34	59	Public Works Department (Estate Directorate)	390.39	202.41	187.97	187.97
35	60	Forest Department	1010.98	671.21	339.77	339.77
36	61	Finance Department (Debt Services & other Expenditure)	20411.02	18935.35	1475.67	1475.67
37	62	Finance Department (Superannuation Allowances and Pensions)	71256.24	56175.42	15080.82	15080.82
38	63	Finance Department (Treasury and Accounts Administration)	328.75	227.01	101.74	99.37
39	69	Vocational Education Department	1038.83	807.22	231.61	30.14
40	70	Science and Technology Department	520.13	342.30	177.83	177.83
41	71	Education Department (Primary Education)	63385.11	50631.37	12753.75	911.84
42	72	Education Department (Secondary Education)	14508.14	12356.02	2152.12	2151.95
43	73	Education Department (Higher Education)	3604.29	3025.61	578.68	-1.75
44	74	Home Department (Home guards)	2891.95	2473.87	418.07	0.85
45	76	Labour Department (Labour Welfare)	557.97	372.37	185.60	185.60
46	78	Secretariat Administration Department	1599.65	1232.16	367.49	3.78
47	79	Social Welfare Department (welfare of the Handicapped and backward classes)	3461.60	3085.83	375.76	255.99
48	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)	9411.93	8021.95	1389.97	1.71
49	81	Social Welfare Department (Tribal Welfare)	1761.01	942.44	818.57	761.13
50	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	18886.33	12310.06	6576.27	5659.49

Sl. No.	Grant No.	Grant Name	Provision	Expenditure	Savings	Saving excluded Surrendered
51	89	Institutional Finance Department(Commercial Department)	1190.57	918.84	271.72	0.27
52	91	Institutional Finance Department (Stamps and Registration)	460.70	328.45	132.25	132.25
53	93	Namami Gangetic and Rural Water Supply Department	1331.79	869.64	462.15	409.84
54	94	Irrigation Department (Works)	5342.28	3370.64	1971.64	1971.64
55	95	Irrigation Department (Establishment)	5534.58	4172.07	1362.51	1362.51
		<b>Total (Revenue Voted)</b>	<b>422917.71</b>	<b>324368.05</b>	<b>98549.60</b>	<b>77914.2</b>
<b>Capital Voted</b>						
1	2	Housing Department	7192.12	3858.24	3333.88	3333.88
2	7	Industries Department (Heavy and Medium Industries)	14842.39	12895.09	1947.30	1947.30
3	9	Power Department	14508.41	9607.81	4900.60	4900.60
4	11	Agriculture and other Allied Departments (Agriculture)	569.11	372.76	196.36	-0.20
5	13	Agriculture and other Allied Departments (Rural Development)	20728.88	18570.27	2158.61	2158.56
6	14	Agriculture and Other Allied Departments (Panchayati Raj)	414.93	149.54	265.38	265.38
8	21	Food and Civil Supplies Department	17751.83	11140.76	6611.07	5165.32
9	24	Cane Development Department (Sugar Industry)	1470.25	1120.39	349.86	0
10	26	Home Department (Police)	4723.27	3253.59	1469.68	1469.68
11	31	Medical Department (Medical Education and Training)	4526.57	2756.92	1769.65	1763.99
12	32	Medical Department (Allopathy)	1222.65	525.83	696.82	696.82
13	35	Medical Department (Family Welfare)	2487.56	1111.12	1376.44	1376.44
14	37	Urban Development Department	801.63	336.82	464.81	60.99
15	38	Civil Aviation Department	2212.00	2013.77	198.23	0
16	40	Planning Department	2857.15	2345.91	511.24	228.24
17	42	Judicial Department	2789.20	492.60	2296.60	2296.60
18	47	Technical Education Department	183.90	79.30	104.61	104.61
19	51	Revenue Department (Relief on Account of natural calamities)	578.90	17.33	561.57	561.57
20	52	Revenue Department (Board of Revenue and other expenditure)	173.69	3.10	170.59	170.59
21	57	Public Works Department (Communication Bridges)	2969.60	2030.91	938.69	938.69
22	58	Public Works Department (Communications-Roads)	17709.51	12555.62	5153.89	5153.89
23	61	Finance Department (Debt Services & other Expenditure)	155.00	38.85	116.15	102.49
24	62	Finance Department (Superannuation Allowances and Pensions)	200.00	14.00	186.00	186.00

Sl. No.	Grant No.	Grant Name	Provision	Expenditure	Savings	Saving excluded Surrendered
25	72	Education Department (Secondary Education)	490.32	380.27	110.05	110.05
26	81	Social Welfare Department (Tribal Welfare)	523.46	309.20	214.26	146.73
27	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	11649.78	8320.94	3328.84	3155.00
28	84	General Administration Department	604.52	457.02	147.50	147.50
29	92	Culture Department	231.80	77.88	153.91	153.91
30	93	Namami Gangey and Rural Water Supply	15577.61	8229.70	7347.91	7345.05
31	94	Irrigation Department (Works)	8313.20	3367.77	4945.43	4945.43
		<b>Total</b>	<b>158459.24</b>	<b>106433.31</b>	<b>52025.93</b>	<b>48885.11</b>
<b>Total (Revenue Voted + Capital Voted)</b>			<b>581376.95</b>	<b>430801.36</b>	<b>150575.53</b>	<b>126799.31</b>
<b>Revenue Charged</b>						
1	42	Judicial Department	716.17	579.46	136.71	136.71
2	58	Public Works Department (Communications-Roads)	150.00	10.04	139.96	139.96
3	61	Finance Department (Debt Services & other Expenditure)	44954.86	41975.38	2979.48	2979.48
<b>Total</b>			<b>45821.03</b>	<b>42564.88</b>	<b>3256.15</b>	<b>3256.15</b>
<b>Capital Charged</b>						
1	61	Finance Department (Debt Services & other Expenditure)	28136.73	18259.84	9876.90	9876.90
<b>Total</b>			<b>28136.73</b>	<b>18259.84</b>	<b>9876.90</b>	<b>9876.90</b>
<b>Total (Revenue Charged + Capital Charged)</b>			<b>73957.76</b>	<b>60824.72</b>	<b>13133.05</b>	<b>13133.05</b>
<b>Grand Total</b>			<b>655334.71</b>	<b>491626.08</b>	<b>163708.58</b>	<b>139932.36</b>



**Appendix-3.5**  
**(Referred to in paragraph 3.3.3)**  
**Grants in which persistent savings were above ₹ 100 crore in the last five year during**  
**2018-2023**

(₹ in crore)

Sl. No.	Grant No.	Name of the Grant	2018-19	2019-20	2020-21	2021-22	2022-23
<b>Revenue Voted</b>							
1	11	Agriculture and other Allied Departments (Agriculture)	888.77	1078.24	1655.00	1525.32	2123.05
2	13	Agriculture and other Allied Departments (Rural Development)	575.80	648.55	903.83	403.18	940.07
3	15	Agriculture and other Allied Departments (Animal Husbandry)	310.75	223.83	412.87	389.67	576.68
4	26	Home Department (Police)	374.07	1809.86	5594.62	4030.66	4422.93
5	32	Medical Department (Allopathy)	1282.19	1935.09	2514.99	1937.56	2497.53
6	33	Medical Department (Ayurvedic and Unani)	336.93	331.55	330.70	343.03	622.47
7	35	Medical Department (Family Welfare)	719.19	988.46	592.63	1573.99	4551.49
8	36	Medical Department (Public Health)	184.56	261.71	333.35	379.53	384.86
9	37	Urban Development Department	3451.60	8753.97	3890.80	6838.60	9665.26
10	42	Judicial Department	473.88	813.17	967.23	1014.07	963.36
11	48	Minorities Welfare Department	1034.01	1081.21	1086.58	1137.70	1133.25
12	49	Women and Child Welfare Department	2464.72	3554.23	4417.62	2195.30	3004.20
13	51	Revenue Department (Relief on Account of Natural Calamities)	1370.92	532.44	2289.91	229.38	1841.95
14	52	Revenue Department (Board of Revenue and other Expenditure)	468.34	1547.59	1134.72	1189.95	885.92
15	54	Public Works Department (Establishment)	1769.10	1878.77	2497.34	1971.55	2144.33
16	60	Forest Department	188.34	245.90	334.84	347.56	339.77
17	69	Vocational Education Department	101.54	124.91	303.76	310.20	231.61
18	71	Education Department (Primary Education)	14921.22	14407.71	13745.25	13969.60	12753.75
19	72	Education Department (Secondary Education)	379.82	1502.17	3151.50	3014.20	2152.12
20	73	Education Department (Higher Education)	761.39	342.64	813.80	760.00	578.68
21	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)	776.69	139.51	214.52	384.92	1389.97
22	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	4085.46	4255.67	4486.13	7052.22	6576.27

Sl. No.	Grant No.	Name of the Grant	2018-19	2019-20	2020-21	2021-22	2022-23
23	95	Irrigation Department (Establishment)	906.14	1344.21	1618.46	1517.73	1362.51
<b>Total</b>			<b>37825.43</b>	<b>47801.39</b>	<b>53290.45</b>	<b>52515.92</b>	<b>61142.03</b>
<b>Capital (Voted)</b>							
24	13	Agriculture and other Allied Departments (Rural Development)	9278.13	9371.66	7380.90	5605.88	2158.61
25	32	Medical Department (Allopathy)	204.87	344.64	267.39	1936.66	696.82
26	40	Planning Department	251.01	1021.53	897.57	2274.74	511.24
27	42	Judicial Department	983.23	1377.26	1687.20	1819.57	2296.60
<b>Total</b>			<b>10,717.24</b>	<b>12,115.09</b>	<b>10,233.06</b>	<b>11,636.85</b>	<b>5,663.27</b>
<b>Grand Total (Revenue Voted +Capital Voted)</b>			<b>48,542.67</b>	<b>59,916.48</b>	<b>63,523.51</b>	<b>64,152.77</b>	<b>66,805.30</b>

**Appendix-3.6**  
**(Referred to in paragraph 3.3.4)**  
**Scheme for which Supplementary provisions could not be utilised**

(₹ in crore)

Sl. No	Grant No.	Name of Department	Major Head	Name of scheme	Original Provision	Supplementary Provision	Total	Expenditure	Savings
<b>Revenue Voted</b>									
1.	7	Industries Department (Heavy and Medium Industries)	2852-800-04	Swami Vivekananda Youth Empowerment Scheme	1500	300.00	1800.00	809.49	990.51
2.	26	Home Department (Police)	2055-001-03	Direction and Administration	50.67	1.80	52.47	33.14	19.33
3.	26	Home Department (Police)	2055-101-03	Intelligence Section-Main	378.36	10.00	388.36	374.68	13.68
4.	26	Home Department (Police)	2055-101-04	Research Section	468.5	3.30	471.80	392.68	79.12
5.	26	Home Department (Police)	2055-104-03	Special Police, State Arms Constabulary Main	3405.25	84.35	3489.60	3284.05	205.55
6.	26	Home Department (Police)	2055-108-03	State Headquarters Police, State Police Headquarters	119.17	1.05	120.22	107.82	12.40
7.	26	Home Department (Police)	2055-109-03	District Police, District Police (Main)	19331.34	60.00	19391.34	16463.38	2927.96
8.	26	Home Department (Police)	2055-109-05	Motor Transport Section Main	766.35	2.00	768.35	701.41	66.94
9.	26	Home Department (Police)	2055-109-07	District Police (Thana)	194.82	5.00	199.82	192.19	7.63
10.	26	Home Department (Police)	2055-109-13-100	UP 112 Project	340.88	15.31	356.19	334.99	21.20
11.	26	Home Department (Police)	2055-111-03	Railway Police, Main	544.78	5.25	550.03	408.32	141.71
12.	26	Home Department (Police)	2055-116-03	Forensic Science Laboratories	48.22	4.00	52.22	44.70	7.52
13.	31	Medical Department (Medical Education and Training)	2210-01-105-03	Allopathy, Education	4241.01	239.01	4480.02	4166.21	313.81
14.	35	Medical Department (Family Welfare)	2211-800-06	Implementation of the recommendation of the 15th	1524.41	687.66	2212.08	1099.59	1112.49

Sl. No	Grant No.	Name of Department	Major Head	Name of scheme	Original Provision	Supplementary Provision	Total	Expenditure	Savings
				Finance Commission					
15.	35	Medical Department (Family Welfare)	2211-800-89	Relevant State Share of Centrally Sponsored Schemes	4181.27	1004.41	5185.68	3924.04	1261.64
16.	37	Urban Development Department	2217-05-051-01	Other Urban Development Schemes, Construction-01- Central Sponsored Scheme	5358	262.00	5620.00	2482.82	3137.18
17.	37	Urban Development Department	2217-051-89	Relevant State Share of Centrally Sponsored Schemes	3642	637.00	4279.00	2281.32	1997.68
18.	43	Transport Department	3055-800-06	Subsidy on Electric Vehicles	0	100.00	100.00	0.00	100.00
19.	49	Women and Child Welfare Department.	2235-102-03	Bal Vikas evam pushtahar	155.24	1.94	157.18	126.83	30.35
20.	49	Women and Child Welfare Department.	2235-102-89	Relevant State Share of Centrally Sponsored Schemes	3046.57	63.00	3109.57	2518.35	591.22
21.	61	Finance Department (Debt Services and other Expenditure)	2217-80-800-05	General-Transfer of 2% additional Stamp Duty collected by State Government to Dedicated Urban Transport Fund	150	251.36	401.36	150.00	251.36
22.	69	Vocational Education Department	2230-03-101—01	Industrial Training Institutes, Centrally Sponsored Schemes	21.37	3.06	24.43	9.43	15.00
23.	70	Science and Technology Department	2810-02-101-03	Solar, Solar Thermal Energy Programme, Science and Additional Energy Source	331.88	2.52	334.40	282.64	51.76
24.	72	Education Department (Secondary Education)	2202-02-108-03	Secondary Education Examinations, Madhyamik	158.38	55.00	213.38	154.91	58.47

Sl. No	Grant No.	Name of Department	Major Head	Name of scheme	Original Provision	Supplementary Provision	Total	Expenditure	Savings
				Shiksha Parishad					
25.	81	Social Welfare Department (Tribal Welfare)	2211-796-89	Relevant State share of Centrally Sponsored Schemes	58.68	23.05	81.72	40.62	41.10
26.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	2202-01-789-01	Elementary Education, Special Component Plan for Scheduled Castes, Centrally Sponsored Schemes	1757.95	2.04	1760.00	1253.11	506.89
27.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	2202-01-789-89	Relevant State share of Centrally Sponsored Schemes	1171.97	1.36	1173.33	712.24	461.09
28.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	2230-03-789-01	Training, Special Component Plan for Schedule Castes-Centrally Sponsored Schemes	24.1	1.30	25.40	20.86	4.54
<b>Total Revenue Voted (Total grant 13)</b>					<b>52971.17</b>	<b>3826.77</b>	<b>56797.94</b>	<b>42369.82</b>	<b>14428.12</b>
<b>Capital Voted</b>									
1.	9	Power Department	4801-02-190-14	Investments in Public Sector and other Undertakings	2307.6	500.00	2807.60	1522.32	1285.28
2.	24	Cane Development Department (Sugar Industry)	6860-04-101-21	Loans for works of Capacity expansion/modernisation/Co-generation plant/establishment and renovation of distillery etc. of co-operative sugar mills	195	20.00	215.00	40.39	174.61
3.	26	Home Department (Police)	4055-207-20	Establishment of Forensic Science Laboratories	90	15.00	105.00	82.13	22.87
4.	26	Home Department (Police)	4055-211-06	Construction of residential buildings of	800	200.00	1000.00	579.10	420.90

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Sl. No	Grant No.	Name of Department	Major Head	Name of scheme	Original Provision	Supplementary Provision	Total	Expenditure	Savings
				Police Department					
5.	26	Home Department (Police)	4070-800-05	Other expenditure, Strengthening of Fire Brigade Services	10	20.00	30.00	0.00	30.00
6.	26	Home Department (Police)	4070-800-09	District police (main)	250	6.00	256.00	7.87	248.13
7.	26	Home Department (Police)	4070-800-18	State Radio Section	12	7.00	19.00	8.61	10.39
8.	26	Home Department (Police)	4070-800-19	Women power line	0.3	3.00	3.30	0.00	3.30
9.	26	Home Department (Police)	4250-101-03	Natural Calamities, S.D.R.F.	41.32	5.00	46.32	30.00	16.32
10.	32	Medical Department (Allopathy)	4210-02-104-10	Rural Health Services- Purchase of equipment for Community Health Centres	23	20.00	43.00	8.82	34.18
11.	35	Medical Department (Family Welfare)	4211-800-01	Other expenditure, Central Sponsored Schemes	300	369.99	669.99	196.49	473.50
12.	35	Medical Department (Family Welfare)	4211-800-89	Relevant State Share of Centrally Sponsored Schemes	200	246.66	446.66	130.99	315.67
13.	60	Forest Department	4406-01-102-89	Forestry Relevant State share of Centrally Sponsored Schemes	5.23	14.48	19.71	0.00	19.71
14.	81	Social Welfare Department. (Tribal Welfare)	4211-796-89	Tribal Area Sub Plan Relevant State share of Centrally Sponsored Schemes	21.1	2.85	23.95	1.99	21.96
<b>Total Capital voted(4 grants)</b>					<b>4255.55</b>	<b>1429.98</b>	<b>5685.53</b>	<b>2608.71</b>	<b>3076.82</b>
<b>Total (Revenue Voted+Capital Voted)</b>					<b>57226.72</b>	<b>5256.75</b>	<b>62483.47</b>	<b>44978.53</b>	<b>17504.94</b>

**Appendix 3.7**  
**(Referred to in Paragraph 3.3.5)**  
**Unnecessary Re-appropriations**

(₹ in crore)

Sl. No.	Grant No.	Head of Accounts	Total provision	Re-appropriation	Total	Expenditure	Savings
1	7	2852-Industries-07-Telecommunication & Electronic Industries- 202-Electronics-20-Implementation of Uttar Pradesh Electronics Manufacturing Policy	377.03	0.32	377.35	72.78	304.57
2	8	2058-Stationary & Printing-103-Government Presses-04-Government Press, Lucknow	61.65	0.05	61.70	41.73	19.97
3	8	2058-Stationary & Printing-103-Government Presses-06-Government Press, Rampur	8.08	0.55	8.63	7.97	0.66
4	8	2058-Stationary & Printing-103-Government Presses-07-Government Press, Varanasi	8.96	0.42	9.38	7.71	1.67
5	14	2070-Other Administrative Services-800-Other expenditure-03-Pradeshik Vikas Dal-	300.38	0.36	300.74	250.66	50.08
6	26	2055-Police-101-Criminal Investigation & Vigilance-03-Intelligence Section-Main	388.36	1.43	389.79	374.68	15.11
7	26	2055-Police-101-Criminal Investigation & Vigilance-04-Research Section	471.80	18.39	490.19	392.68	97.51
8	26	4055-Capital outlay on Police-207-State Police-19-Special Task Force-	5.75	5.00	10.75	5.71	5.04
9	31	2210-Medical & Public Health-01- Urban Health Service Allopathy-110-Hospital Dispensaries-15-Medical College/Attached Hospitals-	401.63	18.99	420.62	308.41	112.21
10	33	2210-Medical & Public Health-02-Urban Health Services-Other systems of medicine-101- Ayurveda- 03- Direction and Administration-	51.41	3.37	54.78	50.02	4.76
11	34	2210-Medical and Public Health-02-Urban Health Service Other system of medicines-102-Homeopathy-04-Hospitals and Dispensaries-	62.92	0.20	63.12	45.98	17.14
12	34	2210-Medical and Public Health-05-Medical Education Training & Research-102-Homeopathy-03- Education	81.98	0.30	82.28	59.74	22.54

Sl. No.	Grant No.	Head of Accounts	Total provision	Re-appropriation	Total	Expenditure	Savings
13	42	2014-Administration of Justice-105-Civil & Session Court-09- Family Courts	126.67	1.84	128.51	78.93	49.58
14	42	2014-Administration of Justice-105-Civil & Session Court-15-Establishment of Courts for quick disposal of cases relating to Women Victimization	38.24	0.03	38.27	30.58	7.69
15	42	2014-Administration of Justice-105-Civil and Session Court-16- Commercial Court	14.29	3.00	17.29	7.92	9.37
16	42	2014-Administration of Justice-106- Small Causes Courts-03- Establishment	24.19	0.01	24.20	15.97	8.23
17	48	2070-Other Administrative Services-001-Direction & Administration-04-Divisional and District Offices	25.63	0.47	26.10	20.79	5.31
18	49	4235-Capital Outlay on Social Security and Welfare-02-Social Welfare-102-Child Welfare-89-Relevant State Share of Centrally Sponsored Schemes-	94.05	8.11	102.16	88.94	13.22
19	54	2059- Public Works-80-General- 001- Direction and Administration- 03-Direction	179.30	9.32	188.62	162.19	26.43
20	58	5054- Capital Outlay on Roads and Bridges-03-State Highways-800-Other expenditure-13-Lump sum provision	3673.92	353.34	4027.26	3543.33	483.93
21	59	2052-Secretariat General Services-090-Secretariat- 03-Estate Department-	108.23	0.04	108.27	68.40	39.87
22	59	2059-Public Works-01-Office Building-053-Maintenance & Repairs-09-Jawahar Bhawan and Indira Bhawan compound-	34.44	0.50	34.94	16.14	18.80
23	59	2059-Public Works-60-Other Buildings-053-Maintenance and Repairs-03-Arrangement for Guest Houses situated in Lucknow-	27.49	0.32	27.81	6.09	21.72
24	63	2054- Treasury and Accounts Administration-095-Directorate of Accounts and Treasuries- 03- Treasury Directorate-	25.00	1.57	26.57	16.92	9.65
25	76	2230- Labour, Employment and Skill Development-01-Labour-001- Direction and Administration-03-Establishment of Labour Commissioner	9.98	0.65	10.63	9.01	1.62



Sl. No.	Grant No.	Head of Accounts	Total provision	Re-appropriation	Total	Expenditure	Savings
26	76	2230- Labour, Employment and Skill Development-01-Labour-101- Industrial Relations-03- Enforcement of Labour Acts	29.15	0.06	29.21	29.08	0.13
27	76	2230- Labour, Employment and Skill Development-01-Labour-101-Industrial Relation-04- Settlement of Disputes	66.81	0.02	66.83	61.30	5.53
28	76	2230- Labour, Employment and Skill Development-01-Labour-101-Industrial Relation-05-Strengthening of Industrial Management and Merger of decentralisation Committees, Assemblies and Commissions	0.36	0.07	0.43	0.32	0.11
29	83	5054-Capital Outlay on Roads and Bridges-04-District and Other Roads- 789-Special Component Plan for Scheduled Castes- 13-Work for State/Main/Other District Roads-	615.89	107.68	723.57	585.15	138.42
30	86	2220- Information and Publicity-01-Films-101- Advertising and Visual Publicity- 05-Establishment-	1159.06	2.00	1161.06	1158.06	3.00
31	93	4702-Capital Outlay on Minor Irrigation-800-Other expenditure-89-Relevant State Share of Centrally Sponsored Schemes-	11.89	3.94	15.83	11.13	4.70
	<b>18</b>	<b>Total</b>	<b>8484.54</b>	<b>542.35</b>	<b>9026.89</b>	<b>7528.32</b>	<b>1498.57</b>

**Appendix 3.8**  
(Referred to in paragraph 3.3.6)  
**Statement showing Major Heads where 50 per cent or more expenditure was incurred in March 2023**

(₹ in crore)

Sl. No.	Grant No.	Description	Expenditure of 4th Quarter	Total Expenditure during 2022-23	Expenditure during March 2023	Expenditure in March 2023 as percentage of total expenditure	Expenditure in 4th Quarter as percentage of total expenditure
1	03	Industries Department (Small Industry and Export Promotion)	769	1,074	664	62	72
2	07	Industries Department (Heavy and Medium Industries)	11,180	16,739	10,367	62	67
3	17	Agriculture and Other Allied Departments (Fisheries)	110	203	101	50	54
4	38	Civil Aviation Department	1,525	2,090	1,420	68	73
5	40	Planning Department	1,627	2,549	1,396	55	64
6	55	Public Works Department (Buildings)	167	219	135	61	76
7	56	Public Works Department (Special Area Programme)	385	499	353	71	77
8	57	Public Works Department (Communication-Bridges)	1,373	2,114	1,073	51	65
9	58	Public Works Department (Communication Roads)	14,342	19,719	11,969	61	73
10	79	Social Welfare Department (Welfare of the Handicapped & Backward Classes)	2,376	3,178	1,708	54	75

Source: VLC Data provided by A.G.(A&E.-I), UP, Prayagraj

**Appendix-3.9**  
**(Referred to in paragraph 3.3.7)**  
**Scheme for which original provisions could not be utilised**

(₹ in crore)

Sl. No.	Grant No.	Name of Department	Major Head	Name of scheme	Original Provision	Expenditure	Saving
1.	2	Housing Department	4217-01-190-09	Metro rail project in Varanasi, Gorakhpur and in other cities	100.00	0.00	100.00
2.	7	Industries Department (Heavy and Medium Industries)	2885-60-800-20	New Industrial Policy	300.00	0.00	300.00
3.	7	Industries Department (Heavy and Medium Industries)	5054-03-337-14	V.G.F. amount for Ganga Expressway Project	250.00	0.00	250.00
4.	14	Agriculture and other Allied Departments (Panchayati Raj)	4515-101-01	Panchayat Raj-Central Sponsored Schemes	146.36	0.00	146.36
5.	37	Urban Development Department	2215-02-107-05	Swachh Bharat Mission 2.0	815.76	0.00	815.76
6.	42	Judicial Department	4059-01-051-08	Construction of Court Campus under pilot project	400.00	0.00	400.00
7.	42	Judicial Department	4059-01-051-18	Construction of new buildings for Lucknow bench of Hon'ble High Court, Allahabad	150.00	0.00	150.00
8.	42	Judicial Department	4070-800-04	Acquirement of land for new premises of District Court, Varanasi	200.00	0.00	200.00
9.	42	Judicial Department	4202-01-203-03	Uttar Pradesh National Law University, Prayagraj	100.00	0.00	100.00
10.	43	Transport Department	3055-800-06	Subsidy on Electric Vehicles	100.00	0.00	100.00
11.	48	Minorities Welfare Department	2225-80-800-01	Centrally Sponsored Schemes	608.98	0.00	608.98
12.	49	Women and Child Welfare Department	2235-02-102-21	Mukhyamantri Saksham Suposhan Yojna	100.00	0.00	100.00
13.	61	Finance Department (Debt Services and other Expenditure)	2075-797-03	Guarantee Redemption Fund	1200.00	0.00	1200.00
14.	61	Finance Department (Debt Services and other Expenditure)	6075-800-03	Loan Assistance for financial reorganisation of Public Sector Undertaking/Corporation/A utonomous bodies	100.00	0.00	100.00
15.	62	Finance Department (Superannuation Allowances and Pensions)	2071-01-117-07	Lump sum payment of residual employer contribution up to 31.03.2019 of government employees covered under N.P.S.	3000.00	0.00	3000.00
16.	62	Finance Department (Superannuation Allowances and Pensions)	2071-01-117-08	Interest on due residual employer contribution up to 31.03.2019/late deposited employer contribution	980.00	0.00	980.00
17.	62	Finance Department (Superannuation Allowances and Pensions)	2071-01-117-09	Payment of interest on late depositing subscribers contribution	100.00	0.00	100.00

Sl. No.	Grant No.	Name of Department	Major Head	Name of scheme	Original Provision	Expenditure	Saving
18.	72	Education Department (Secondary Education)	2202-02-110-04	Infrastructure facility in non-government aided secondary schools	200.00	0.00	200.00
19.	76	Labour Department (Labour Welfare)	2230-01-111-09	Mukhyamantri Jan Aarogya Yojana for labours of unorganised sector	100.00	0.00	100.00
20.	94	Irrigation Department(Works)	4700-17-051-01	Centrally Sponsored Schemes	279.00	0.00	279.00
21.	94	Irrigation Department(Works)	4700-32-051-97	Externally aided Schemes (Commercial)	128.75	0.00	128.75
22.	94	Irrigation Department(Works)	4701-13-051-10	Bhoopauli Pump Canal (Commercial)	100.00	0.00	100.00
<b>Total :13 grants</b>					<b>9458.85</b>	<b>0.0</b>	<b>9,458.85</b>

**Appendix-3.10**  
(Referred to in paragraph 3.3.7)

**Details of Schemes for which Original provisions were re-appropriated to other schemes**

(₹ in crore)

Sl. No.	Grant No.	Name of Department	Major Head	Name of Scheme	Provision	Re-appropriation	Total	Expenditure	Saving
1.	9	Power Department	4801-05-190-18	Share capital for O.P.G.W. work under U.P. Power Transmission Corporation Ltd.	48	-48	0	0	0
2.	11	Agriculture and other Allied Departments (Agriculture)	2071-01-117-07	Lump sum payment of residual employer contribution up to 31.03.2019 of employees of Agriculture Universities covered under N.P.S.	14	-14	0	0	0
3.			2401-109-11	Scientific Farming and Climate Change Impact Management	15.07	-15.07	0	0	0
4.			2401-113-01	Centrally Sponsored Schemes	60	-60	0	0	0
5.			2402-102-02	National Agricultural Development Scheme	21.31	-21.31	0	0	0
6.			2402-103-01	Centrally Sponsored Scheme	58.46	-58.46	0	0	0
7.			2402-103-89	Relevant State Share of Centrally Sponsored Schemes	38.97	-38.97	0	0	0
8.			15	Agriculture and other Allied Departments (Animal Husbandry)	4403-101-18	Establishment of Veterinary Degree College in District Gorakhpur and Bhadohi	60	-60	0
9.	17	Agriculture and other Allied Departments (Fishries)	2405-800-12	Fishermen Welfare Fund	25	-25	0	0	0
10.	19	Personnel Department (Training and other Exp.)	4070-003-03	Uttar Pradesh Administration and Management Academy	19.43	-19.43	0	0	0
11.	22	Sports Department	4202-800-10	Sports College, Saharanpur	10	-10	0	0	0
12.			4202-800-79	Construction of Velodrome in Guru Govind Singh Sports College	10	-10	0	0	0
13.	24	Cane Development	2852-08-201-08	Concession/Rebate to Sugarcane Industry	25	-25	0	0	0

Sl. No.	Grant No.	Name of Department	Major Head	Name of Scheme	Provision	Re-appropriation	Total	Expenditure	Saving
		Department (Sugar Industry)		under Generation and Aaswani Promotion Policy 2013					
14.			4401-108-03	Sugarcane Farmers Institute, Moradabad	10	-10	0	0	0
15.			4415-80-004-03	Re-establishment of Sugarcane Research Institute, Gorakhpur	10.25	-10.25	0	0	0
16.			6860-04-190-11	Establishment of Cogeneration plant, Distilleries and Sulphurless Sugar Plant in Sugar Mill Pipraich (Gorakhpur)	60	-60	0	0	0
17.			6860-04-190-12	Loan for works of capacity expansion/modernisation/Co-generation plant/establishment and renovation of distillery etc. of corporation	95	-95	0	0	0
18.	25	Home Department (Jails)	4070-800-22	Arrangement of solar energy based power plant, high mast and street light in Jails	10	-10	0	0	0
19.	26	Home Department (Police)	4055-211-04	Establishment of Security Line in Lucknow	19.45	-19.45	0	0	0
20.	31	Medical Department (Medical Education and Training)	4210-03-105-09	King George's Medical University	23	-23	0	0	0
21.	40	Planning Department	4215-01-101-03	Rapid Financial Development Scheme	10	-10	0	0	0
22.			4215-01-102-03	Rapid Financial Development Scheme	50	-50	0	0	0
23.			4215-02-106-03	Rapid Financial Development Scheme	10	-10	0	0	0
24.			4575-02-800-03	Special Schemes of Bundelkhand	112.55	-112.55	0	0	0
25.			4801-05-800-03	Rapid Financial Development Scheme	10	-10	0	0	0
26.	48	Minority Welfare Department	4235-60-800-03	Construction of Boundaries of Graveyards/Cremation Places	100	-100	0	0	0
27.	58	Public Works Department (Communication-Roads)	5054-03-800-03	Uttar Pradesh State Highways Authority	50	-50	0	0	0

Sl. No.	Grant No.	Name of Department	Major Head	Name of Scheme	Provision	Re-appropriation	Total	Expenditure	Saving
28.			5054-03-800-16	Construction/Widening/Strengthening of left track of Gang Canal	200	-200	0	0	0
29.	59	Public Works Department (Estate Directorate)	4059-80-051-05	Construction of Non-residential Office building in the campus of Darulshafa	22.5	-22.5	0	0	0
30.	74	Home Department (Home Guards)	2070-107-08	Local Body Election	35.7	-35.7	0	0	0
<b>Total :15 Grants</b>					<b>1233.69</b>	<b>-1233.69</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Appendix-3.11**  
**(Referred to in paragraph 3.3.7)**  
**Statement showing grant-wise savings**

(₹ in crore)

Sl. No.	Grant No.	Total Budget Provision	Total Expenditure	Saving	Utilization (Per cent)	Range of utilization (Per cent)
1	53	1.68	0.33	1.35	19.64	1 to 30
2	54	2988.98	844.06	2144.92	28.24	
<b>Total</b>		<b>2990.66</b>	<b>844.39</b>	<b>2146.27</b>		
3	12	714.49	227.43	487.06	31.83	31 to 50
4	19	32.30	11.10	21.21	34.37	
5	51	4299.85	1896.33	2403.52	44.10	
6	10	1690.69	805.36	885.33	47.63	
7	94	13660.48	6740.02	6920.46	49.34	
<b>Total</b>		<b>20397.81</b>	<b>9680.24</b>	<b>10717.58</b>		
8	42	6818.18	3415.02	3403.16	50.09	
9	92	337.55	172.60	164.95	51.13	
10	45	18.20	9.38	8.81	51.54	
11	17	387.63	202.68	184.95	52.29	
12	59	545.22	289.91	255.30	53.17	
13	93	16909.40	9099.34	7810.07	53.81	
14	2	7609.90	4123.43	3486.47	54.19	
15	81	2284.47	1251.64	1032.83	54.79	
16	21	24917.21	13833.40	11083.81	55.52	
17	85	8.19	4.72	3.47	57.63	
18	37	24080.73	13950.66	10130.07	57.93	
19	48	3039.47	1826.59	1212.88	60.10	
20	33	1784.44	1147.56	636.89	64.31	
21	36	1113.94	718.69	395.25	64.52	
22	35	18199.23	12270.89	5928.34	67.43	
23	83	30536.11	20631.00	9905.11	67.56	
24	70	550.61	372.78	177.83	67.70	
25	27	25.16	17.09	8.07	67.93	
26	4	69.40	47.29	22.12	68.14	
27	32	10119.24	6924.82	3194.41	68.43	
28	63	332.56	227.75	104.81	68.48	
29	11	7393.47	5074.03	2319.44	68.63	
30	41	476.39	328.30	148.10	68.91	
31	57	3049.60	2113.56	936.04	69.31	
32	77	141.21	98.24	42.97	69.57	
<b>Total</b>		<b>160747.51</b>	<b>98151.37</b>	<b>62596.14</b>	61.06	
33	82	103.07	72.25	30.82	70.10	71 to 90
34	67	80.47	56.80	23.68	70.59	
35	91	461.80	329.28	132.51	71.30	



Sl. No.	Grant No.	Total Budget Provision	Total Expenditure	Saving	Utilization (Per cent)	Range of utilization (Per cent)
36	28	395.31	283.48	111.84	71.71	
37	34	675.22	491.63	183.59	72.81	
38	84	634.22	464.30	169.92	73.21	
39	15	2479.07	1827.02	652.05	73.70	
40	65	405.27	299.87	105.39	73.99	
41	8	239.64	178.02	61.61	74.29	
42	18	900.95	671.82	229.13	74.57	
43	49	12316.75	9234.11	3082.64	74.97	
44	50	1442.88	1082.01	360.87	74.99	
45	95	5535.08	4172.22	1362.87	75.38	
46	24	1559.88	1176.48	383.40	75.42	
47	89	1220.87	931.05	289.82	76.26	
48	3	1404.18	1073.55	330.63	76.45	
49	76	1031.16	790.06	241.10	76.62	
50	78	1611.65	1235.18	376.47	76.64	
51	52	4641.49	3584.67	1056.82	77.23	
52	29	24.88	19.26	5.62	77.41	
53	31	9459.38	7340.08	2119.30	77.60	
54	62	71457.00	56189.95	15267.05	78.63	
55	58	25044.76	19719.29	5325.47	78.74	
56	60	2029.22	1603.48	425.73	79.02	
57	55	277.62	219.40	58.22	79.03	
58	69	1295.04	1024.57	270.47	79.11	
59	40	3187.35	2549.09	638.27	79.98	
60	7	20896.51	16738.52	4157.99	80.10	
61	71	64750.46	51974.25	12776.20	80.27	
62	47	732.59	589.79	142.80	80.51	
63	30	8.82	7.12	1.70	80.73	
64	26	33461.42	27568.59	5892.83	82.39	
65	43	846.66	698.56	148.10	82.51	
66	22	445.77	370.20	75.57	83.05	
67	73	3942.98	3279.09	663.89	83.16	
68	20	244.07	203.16	40.91	83.24	
69	39	51.09	42.77	8.32	83.72	
70	9	53814.71	45228.53	8586.18	84.04	
71	87	84.49	71.05	13.44	84.09	
72	1	348.22	293.36	54.86	84.25	
73	61	93657.61	79209.41	14448.20	84.57	
74	72	14998.46	12736.29	2262.17	84.92	
75	80	9411.93	8021.95	1389.97	85.23	
76	74	2897.13	2477.92	419.22	85.53	

Sl. No.	Grant No.	Total Budget Provision	Total Expenditure	Saving	Utilization (Per cent)	Range of utilization (Per cent)	
77	46	24.73	21.29	3.43	86.19		
78	25	1488.47	1282.83	205.65	86.18		
79	13	24723.57	21624.82	3098.75	87.47		
80	14	13651.57	12007.35	1644.22	87.96		
81	5	140.16	123.48	16.68	88.10		
82	79	3583.64	3177.63	406.01	88.67		
83	16	226.36	203.19	23.17	89.76		
<b>Total</b>		<b>494345.611</b>	<b>404570.06</b>	<b>89775.55</b>	81.84		
84	44	1104.20	993.87	110.33	90.01		91 to 100
85	38	2315.68	2089.93	225.75	90.25		
86	68	271.14	245.30	25.84	90.47		
87	23	427.20	393.44	33.76	92.10		
88	6	374.37	349.20	25.17	93.28		
89	86	1545.23	1514.17	31.06	97.99		
90	66	265.18	259.90	5.28	98.01		
91	75	271.30	268.67	2.63	99.03		
92	56	500.00	499.04	0.96	99.81		
<b>Total</b>		<b>7074.30</b>	<b>6613.51</b>	<b>460.79</b>	93.49		

**Appendix 4.1**  
**(Referred to in paragraph 4.15)**  
**Details of pendency in finalisation of Accounts by various Autonomous Bodies and Authorities**

Sl. No.	Name of Department	Name of the Body/Authority	Year(s) for which accounts had not been received	Total No. of pending annual accounts
1.	Forest Department	Compensatory Afforestation Fund Management and Planning Authority (CAMPA)	2020-21 to 2022-23	03
2.	Infrastructure and Industrial Development Department	New Okhla Industrial Development Authority	2018-19 to 2022-23	05
3.	-do-	Greater Noida Development Authority	2020-21 to 2022-23	03
4.	-do-	Yamuna Expressway Industrial Development Authority	2022-23	01
5.	-do-	UP Expressway Industrial Development Authority	2017-18 to 2022-23	06
6.	-do-	Satharia Industrial Development Authority	2005-06 to 2022-23	18
7.	-do-	Gorakhpur Industrial Development Authority	2005-06 to 2022-23	18
8.	-do-	UP State Industrial Development Authority	2005-06 to 2022-23	18
9.	-do-	Lucknow Industrial Development Authority <sup>11</sup>	2005-06 to 2020-21	16
10.	MSME	UP Khadi and Village Industries Board	Nil	00 <sup>12</sup>
11.	Energy Department	Uttar Pradesh Electricity Regulatory Commission	2022-23	01
12.	Housing and Urban Planning Department	Lucknow Development Authority	2017-18 to 2022-23	06
13.	-do-	Ghaziabad Development Authority	2022-23	01
14.	-do-	Agra Development Authority	2020-21 to 2022-23	03
15.	-do-	Meerut Development Authority	2020-21 to 2022-23	03
16.	-do-	Prayagraj Development Authority	2021-22 to 2022-23	02
17.	-do-	Hapur/Pilkhuan Development Authority	2020-21 to 2022-23	03
18.	-do-	Varanasi Development Authority	2017-18 to 2022-23	06
19.	-do-	Moradabad Development Authority	2017-18 to 2022-23	06
20.	-do-	Gorakhpur Development Authority	2022-23	01
21.	-do-	Mathura Vrindavan Development Authority	2020-21 to 2022-23	03
22.	-do-	Aligarh Development Authority	2020-21 to 2022-23	03
23.	-do-	Bareilly Development Authority	2017-18 to 2022-23	06
24.	-do-	Raibareilly Development Authority	2017-18 to 2022-23	06
25.	-do-	Saharanpur Development Authority	2017-18 to 2022-23	06
26.	-do-	Ayodhya/ Faizabad Development Authority	2020-21 to 2022-23	03

<sup>11</sup> Authority has been merged with Authority mentioned at Sl. No. 8 since 04 March 2021.

<sup>12</sup> The entrustment of Board has been received to this office up to 2018-19. SAR up to 2018-19 has been issued to the Government. The entrustment for onwards year of 2018-19 has not been received to this office. Hence, there is no arrear shown further.

Sl. No.	Name of Department	Name of the Body/Authority	Year(s) for which accounts had not been received	Total No. of pending annual accounts
27.	-do-	Firozabad/Shikohabad Development Authority	2017-18 to 2022-23	06
28.	-do-	Kanpur Development Authority	2020-21 to 2022-23	03
29.	-do-	Rampur Development Authority	2017-18 to 2022-23	06
30.	-do-	Unnao Shuklaganj Development Authority	2022-23	01
31.	-do-	Jhansi Development Authority	2017-18 to 2022-23	06
32.	-do-	Muzzaffarnagar Development Authority	2017-18 to 2022-23	06
33.	-do-	Bulandshahar Development Authority	2017-18 to 2022-23	06
34.	-do-	Khurja Development Authority	2017-18 to 2022-23	06
35.	-do-	Urai Development Authority	2017-18 to 2022-23	06
36.	-do-	Banda Development Authority	2022-23	01
37.	-do-	Baghpat Badaut Khekda Development Authority	2017-18 to 2022-23	06
38.	-do-	Azamgarh Development Authority	2017-18 to 2022-23	06
39.	-do-	Basti Development Authority	2017-18 to 2022-23	06
40.	-do-	Special Area Development Authority, Shakti Nagar	2020-21 to 2022-23	03
41.	-do-	Special Area Development Authority, Chitrakoot	2017-18 to 2022-23	06
42.	-do-	Special Area Development Authority, Kapilvastu	2017-18 to 2022-23	06
43.	-do-	Special Area Development Authority, Vindhyachal-Mirzapur	2017-18 to 2022-23	06
44.	-do-	Special Area Development Authority, Kushinagar	2017-18 to 2022-23	06
45.	Law Department	Uttar Pradesh State Legal Services Authority	2021-22 to 2022-23	02
46.	-do-	Uttar Pradesh Human Rights Commission	1996-97 to 2022-23	27
47.	Handicapped welfare Department	Dr. Shakuntala Mishra National Rehabilitation Rights	2021-22 to 2022-23	02
48.	Child Welfare Department	U.P. State Commission for Protection of Child Rights	2006-07 to 2022-23	17
49.	Labour Department	Secretary, UP Building and Other Construction Workers Welfare Board, Lucknow	1996-97 to 2022-23	27
50.	Food and Civil Supply Department	Uttar Pradesh State Employees Welfare Corporation	2014-15 to 2022-23	09
51.	Agriculture Education and Research Department, GoUP	Narendra Deo Krishi Evam Prodyogik Vishwavidyalay, Ayodhya	2019-20 to 2022-23	04
52.	-do-	Chandrashekhara Azad Krishi Evam Prodyogik Vishwavidyalay, Kanpur	2019-20 to 2022-23	04
53.	-do-	Sardar Vallabhbhai Patel Krishi Evam Prodyogik Vishwavidyalay, Modipuram, Meerut	2019-20 to 2022-23	04
54.	-do-	Banda Krishi Evam Prodyogik Vishwavidyalay, Banda	2019-20 to 2022-23	04
55.	Animal Husbandary Department, GoUP	Pt Deendayal Upadhyay Pashu Chikitsa Vigyan Vishwavidyalay Evam Gou Anusandhan Sansthan, Mathura	2019-20 to 2022-23	04
<b>Total</b>				<b>343</b>

**Appendix 4.2**  
(Referred to in paragraph 4.16)  
**Status of finalisation of accounts of Departmental Commercial Undertakings**

(₹ in crore)

Sl. No.	Name of the undertaking	Accounts finalised up to	Investment as per the last accounts finalised
<b>Irrigation Department</b>			
1.	Irrigation Workshop Division, Kanpur	2022-23	2.26
2.	Irrigation Workshop Division, Jhansi	2022-23	12.56
3.	Irrigation Workshop Division, Bareilly	2022-23	14.31
4.	Irrigation Workshop Division, Meerut	2022-23	4.29
5.	Irrigation Workshop Division, Gorakhpur	2021-22	0.74
6.	Irrigation Workshop Division, Prayagraj	2022-23	2.54
<b>Food and Civil Supplies Department</b>			
7.	Food Commissioner and CAO	2021-22	10,563.28
<b>Animal Husbandry Department</b>			
8.	Dy. Director, Animal Husbandry Farms Corporation	2020-21	108.03
<b>Health Department</b>			
9.	Dy. Director, State Ayurvedic & Unani Medicine Department	1987-88	Not available
<b>Total</b>			<b>10,708.01</b>

**Appendix-5.1**  
**(Referred to in paragraph 5.3)**  
**Statement showing list of State Public Sector Enterprises**

Sl. No.	Name of SPSE	Remarks
<b>A. Power Sector SPSEs</b>		
1	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	Working
2	Uttar Pradesh Jal Vidyut Nigam Limited	Working
3	Jawaharpur Vidyut Utpadan Nigam Limited	Working
4	Uttar Pradesh Power Transmission Corporation Limited	Working
5	Uttar Pradesh Power Corporation Limited	Working
6	Purvanchal Vidyut Vitaran Nigam Limited	Working
7	Paschimanchal Vidyut Vitaran Nigam Limited	Working
8	Dakshinanchal Vidyut Vitaran Nigam Limited	Working
9	Madhyanchal Vidyut Vitaran Nigam Limited	Working
10	Kanpur Electricity Supply Company Limited	Working
11	UCM Coal Company Limited	Working
12	UPSIDC Power Company Limited	Working
<b>B. Other than Power Sector SPSEs</b>		
<b>B (i) Working Government Companies</b>		
13	Uttar Pradesh Alpsankhyak Vittyta Avam Vikas Nigam Limited	Working
14	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	Working
15	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	Working
16	Uttar Pradesh Food and Essential Commodities Corporation Limited	Working
17	Uttar Pradesh Bhumi Sudhar Nigam	Working
18	Uttar Pradesh Mahila Kalyan Nigam Limited	Working
19	Uttar Pradesh Waqf Vikas Nigam Limited	Working
20	Uttar Pradesh State Agro Industrial Corporation Limited	Working
21	Uttar Pradesh Rajkiya Nirman Nigam Limited	Working
22	Uttar Pradesh State Bridge Corporation Limited	Working
23	Uttar Pradesh Small Industries Corporation Limited	Working
24	Uttar Pradesh Beej Vikas Nigam Limited	Working
25	Uttar Pradesh Matsya Vikas Nigam Limited	Working
26	Uttar Pradesh Drugs and Pharmaceuticals Limited	Working
27	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited	Working
28	Uttar Pradesh State Sugar Corporation Limited ( Standalone & CFS)	Working
29	Uttar Pradesh Handicraft & Marketing Development Corporation Limited (Formerly Uttar Pradesh Export Corporation Limited)	Working
30	Uttar Pradesh State Tourism Development Corporation Limited	Working
31	Uttar Pradesh Rajya Krishi Evam Gramin Vikas Nigam Limited	Working
32	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	Working

Sl. No.	Name of SPSE	Remarks
33	Uttar Pradesh State Spinning Company Limited	Working
34	Uttar Pradesh State Handloom Corporation Limited	Working
35	Uttar Pradesh Development Systems Corporation Limited	Working
36	U.P. Projects Corporation Limited	Working
37	U P Metro Rail Corporation Limited (erstwhile Lucknow Metro Rail Corporation Limited)	Working
38	NOIDA Metro Rail Corporation Limited	Working
39	The Pradeshiya Industrial and Investment Corporation of UP Limited	Working
40	Shreetron India Limited	Working
41	Uptron Powertronics Ltd.	Working
42	Uttar Pradesh Electronics Corporation Limited.	Working
43	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	Working
44	Uttar Pradesh Police Avas Nigam Limited	Working
45	Uttar Pradesh Export Promotion Council	Working
46	Uttar Pradesh Medical Supply Corporation Limited	Working
47	Uttar Pradesh State Construction & Infrastructure Development Corporation Limited (formerly known as Uttar Pradesh Samaj Kalyan Nirman Nigam Limited)	Working
<b>B (ii) Working Government Controlled Other Companies</b>		
48	Lucknow City Transport Services Limited	Working
49	Meerut City Transport Services Limited	Working
50	Agra Mathura City Transport Services Limited	Working
51	Kanpur City Transport Services Limited	Working
52	Varanasi City Transport Services Limited	Working
53	Allahabad City Transport Services Limited	Working
54	Agra Smart City Limited	Working
55	Aligarh Smart City Limited	Working
56	Bareilly Smart City Limited	Working
57	Prayagraj Smart City Limited	Working
58	Varanasi Smart City Limited	Working
59	Jhansi Smart City Limited	Working
60	Moradabad Smart City Limited	Working
61	Saharanpur Smart City Limited	Working
62	Kanpur Smart City Limited	Working
63	Lucknow Smart City Limited	Working
64	Almora Magnesite Limited	Working
65	Noida International Airport Limited (NAIL)	Working
66	DMIC Integrated Industrial Township Greater Noida Limited	Working
<b>B (iii) Working Statutory Corporation</b>		
67	Uttar Pradesh State Road Transport Corporation	Working
68	Uttar Pradesh Forest Corporation	Working
69	Uttar Pradesh Avas Evam Vikas Parishad	Working

Sl. No.	Name of SPSE	Remarks
70	Uttar Pradesh Financial Corporation	Working
71	Uttar Pradesh State Warehousing Corporation	Working
72	Uttar Pradesh Jal Nigam	Working
<b>B (iv) Inactive Government Companies</b>		
73	Uplease Financial Services Limited	Inactive
74	Chhata Sugar Company Limited	Inactive
75	Ghatampur Sugar Company Limited	Inactive
76	Nandganj-Sihori Sugar Company Limited	Inactive
77	The Indian Turpentine and Rosin Company Limited	Inactive
78	Uttar Pradesh Instruments Limited	Inactive
79	Uttar Pradesh State Brassware Corporation Limited	Inactive
80	Uttar Pradesh State Textile Corporation Limited	Inactive
81	Uttar Pradesh State Leather Development and Marketing Corporation Limited	Inactive
82	Uttar Pradesh State Yarn Company Limited	Inactive
83	Uttar Pradesh Pashudhan Udyog Nigam Limited	Inactive
84	Uttar Pradesh Poultry and Livestock Specialties Limited	Inactive
85	Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited	Inactive
86	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	Inactive
87	Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited	Inactive
88	Agra Mandal Vikas Nigam Limited	Inactive
89	Allahabad Mandal Vikas Nigam Limited	Inactive
90	Bareilly Mandal Vikas Nigam Limited	Inactive
91	Gorakhpur Mandal Vikas Nigam Limited	Inactive
92	Lucknow Mandaliya Vikas Nigam Limited	Inactive
93	Meerut Mandal Vikas Nigam Limited	Inactive
94	Moradabad Mandal Vikas Nigam Limited	Inactive
95	Tarai Anusuchit Janjati Vikas Nigam Limited	Inactive
96	Uttar Pradesh Bundelkhand Vikas Nigam Limited	Inactive
97	Uttar Pradesh Chalchitra Nigam Limited	Inactive
98	Uttar Pradesh Poorvanchal Vikas Nigam Limited	Inactive
99	Varanasi Mandal Vikas Nigam Limited	Inactive
<b>B (v) Inactive Government Controlled Other Companies</b>		
100	Command Area Poultry Development Corporation Limited	Inactive
<b>B (vi) Inactive Government Companies under Liquidation</b>		
101	Uttar Pradesh Cement Corporation Limited	Inactive (Under liquidation)
102	Vindhyachal Abrasives Limited	Inactive (Under liquidation)
103	Auto Tractors Limited	Inactive (Under liquidation)



Sl. No.	Name of SPSE	Remarks
104	Bhadohi Woollens Limited	Inactive (Under liquidation)
105	Continental Float Glass Limited	Inactive (Under liquidation)
106	Kanpur Components Limited	Inactive (Under liquidation)
107	Uttar Pradesh Abscott Private Limited	Inactive (Under liquidation)
108	Uttar Pradesh Carbide and Chemicals Limited	Inactive (Under liquidation)
109	Uttar Pradesh Plant Protection Appliances (Private) Limited	Inactive (Under liquidation)
110	Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited	Inactive (Under liquidation)
111	Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikash Nigam Limited	Inactive (Under liquidation)
112	Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Inactive (Under liquidation)
<b>B (vii) Inactive Government Controlled Other Companies under Liquidation</b>		
113	Electronics and Computers (India) Limited	Inactive (Under liquidation)

## Appendix-5.2

(Referred to in paragraph 5.3)

## Summarised financial position and working results of Government Companies and Statutory Corporations as per their latest finalised accounts as on 30 September 2023

(figure in ₹ crore)

Sl. No.	Name of SPSE	Period of Accounts	Net Profit/Loss before Dividend, Intt. & Tax	Net Profit/Loss after Dividend, Intt. & Tax	Turnover	Paid Up Capital	Capital Employed	Net worth	Accumulated Profit/Loss	Loan
1	2	3	4	5	6	7	8	9	10	11
<b>A. Power Sector SPSEs</b>										
1	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	2021-22	2574.79	235.66	9174.87	18580.82	37187.73	18286.08	1488.56	18901.65
2	Uttar Pradesh Jal Vidyut Nigam Limited	2021-22	286.54	275.88	98.16	435.33	358.78	291.91	-143.42	66.87
3	Jawaharpur Vidyut Utpadan Nigam Limited (Subsidiary of Sl. No.1)	2021-22	616.05	0.42	0.00	1783.30	8346.48	1788.53	5.23	6557.95
4	Uttar Pradesh Power Transmission Corporation Limited	2021-22	955.95	-556.26	3418.97	18590.01	31091.49	17188.41	-1401.42	13903.08
5	Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Sl. No. 10)	2022-23	-3916.95	-6610.27	13560.98	25885.58	29482.48	6794.65	-19090.93	22687.83
6	Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Sl. No. 10)	2022-23	2066.79	991.67	21483.30	19004.09	9204.81	1034.56	-17969.53	8170.25
7	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Sl. No. 10)	2022-23	-3076.13	-4819.92	13707.75	23391.02	17400.76	3045.97	-20345.05	14354.79
8	Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of Sl. No. 10)	2022-23	-3043.41	-5073.77	12447.81	23988.47	10346.51	-6061.51	-30049.98	16408.02

Sl. No.	Name of SPSE	Period of Accounts	Net Profit/Loss before Dividend, Intt. & Tax	Net Profit/Loss after Dividend, Intt. & Tax	Turnover	Paid Up Capital	Capital Employed	Net worth	Accumulated Profit/Loss	Loan
1	2	3	4	5	6	7	8	9	10	11
9	Kanpur Electricity Supply Company Limited (Subsidiary of Sl. No. 10)	2022-23	270.64	0.51	3161.48	2431.28	560.28	-1755.65	-4186.93	2315.93
10	Uttar Pradesh Power Corporation Limited	2022-23	-14572.24	-14572.24	0.00	119625.63	-68546.27	-68546.27	-95139.33	0.00
11	UCM Coal Company Limited (Joint Venture of Sl. No. 1)	2021-22	-0.10	-0.12	0.00	0.16	0.33	-2.17	-2.33	2.50
12	UPSIDC Power Company Limited	2013-14	-0.02	-0.02	0.00	0.05	-0.20	-0.20	-0.25	0.00
<b>Sub Total –A</b>			<b>-17838.09</b>	<b>-30128.46</b>	<b>77053.32</b>	<b>253715.74</b>	<b>75433.18</b>	<b>-27935.69</b>	<b>-186835.38</b>	<b>103368.87</b>
<b>B. Other than Power Sector SPSEs</b>										
13	Uttar Pradesh Metro Rail Corporation Limited (Lucknow Metro)	2022-23	-276.43	-319.02	91.20	5580.99	14412.37	4218.98	-1362.01	10193.39
14	NOIDA Metro Rail Corporation Limited	2022-23	-15.89	-53.98	52.24	1400.24	1929.79	1015.23	-385.01	914.56
15	The Pradeshiya Industrial and Investment Corporation of UP Limited	2020-21	6.86	4.29	4.79	135.58	754.24	-241.17	-376.75	995.41
16	Shreetron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	2021-22	2.56	1.78	28.17	7.12	18.00	16.34	9.22	1.66
17	Uptron Powertronics Ltd. (subsidiary of Uttar Pradesh Electronics Corporation)	2020-21	3.20	2.40	55.44	4.07	8.24	5.97	1.90	2.27
18	Uttar Pradesh Electronics Corporations Limited	2020-21	1.54	1.06	43.26	91.54	208.42	96.74	14.15	111.68

Sl. No.	Name of SPSE	Period of Accounts	Net Profit/Loss before Dividend, Intt. & Tax	Net Profit/Loss after Dividend, Intt. & Tax	Turnover	Paid Up Capital	Capital Employed	Net worth	Accumulated Profit/Loss	Loan
1	2	3	4	5	6	7	8	9	10	11
19	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	2021-22	44.15	44.13	475.45	0.42	482.52	482.52	482.10	0.00
20	Uttar Pradesh Police Avas Nigam Limited	2020-21	8.41	6.75	251.71	3.00	21.43	21.43	18.43	0.00
21	Uttar Pradesh Export Promotion Council	2022-23	0.28	0.28	0.33	0.05	7.11	2.11	2.06	5.00
22	Uttar Pradesh Medical Supply Corporation Limited	2020-21	36.20	25.61	37.13	11.00	54.83	54.83	43.83	0.00
23	Allahabad City Transport Services Limited	2019-20	-10.90	-10.90	15.19	4.91	-5.00	-11.57	-16.48	6.57
24	Uttar Pradesh State Construction & Infrastructure Development Corporation Limited (formely known asUttar Pradesh Samaj Kalyan Nirman Nigam Limited)	2020-21	0.56	0.45	685.87	0.15	73.79	73.79	73.64	0.00
25	Uttar Pradesh Alpsankhyak Vitty Avam Vikas Nigam Limited	2005-06	2.07	-1.10	3.10	29.99	116.12	32.22	2.23	83.90
26	Uttar Pradesh Pichhra Varg Vitta Evam Vikas Nigam Limited	2016-17	0.41	-1.32	1.11	12.23	69.42	17.53	5.30	51.89
27	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	2017-18	3.90	2.79	54.54	230.42	384.79	344.98	114.56	39.81
28	Uttar Pradesh Food and Essential Commodities Corporation Limited	2010-11	17.23	10.86		5.50	143.13	56.87	51.37	86.26

Sl. No.	Name of SPSE	Period of Accounts	Net Profit/Loss before Dividend, Intt. & Tax	Net Profit/Loss after Dividend, Intt. & Tax	Turnover	Paid Up Capital	Capital Employed	Net worth	Accumulated Profit/Loss	Loan
1	2	3	4	5	6	7	8	9	10	11
29	Uttar Pradesh Bhumi Sudhar Nigam	2019-20	0.01	0.01	0.08	1.50	2.54	2.54	1.04	
30	Uttar Pradesh Mahila Kalyan Nigam Limited	2015-16	0.11	0.11	0.59	5.19	7.64	7.64	2.45	0.00
31	Uttar Pradesh Waqf Vikas Nigam Limited	2003-04	-0.21	-0.21	0.30	5.25	5.50	5.50	0.25	0.00
32	Uttar Pradesh State Agro Industrial Corporation Limited	2010-11	17.51	10.26	793.26	46.30	62.80	30.21	-16.09	32.59
33	Uttar Pradesh Rajkiya Nirman Nigam Limited	2014-15	190.14	120.38	3778.66	1.00	1004.09	1004.09	1003.09	0.00
34	Uttar Pradesh State Bridge Corporation Limited	2019-20	127.22	41.03	1511.18	15.00	798.45	275.58	260.58	522.87
35	Uttar Pradesh Small Industries Corporation Limited	2011-12	8.77	5.59	319.86	5.96	30.29	23.55	17.59	6.74
36	Uttar Pradesh Beej Vikas Nigam Limited	2019-20	4.08	2.15	177.46	6.92	61.93	61.93	55.01	
37	Uttar Pradesh Matsya Vikas Nigam Limited	2016-17	1.13	0.92	8.58	1.07	7.36	7.36	6.29	0.00
38	Uttar Pradesh Drugs and Pharmaceuticals Limited	2009-10	-9.21	-9.21	0.55	1.10	-25.49	-25.49	-26.59	0.00
39	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2017-18	-3.64	-3.64	0.00	880.13	194.12	118.24	-761.89	75.88
40	Uttar Pradesh State Sugar Corporation Limited (Stand alone)	2017-18	-36.20	-36.20	146.15	1648.31	384.99	126.23	-156.16	258.76

Sl. No.	Name of SPSE	Period of Accounts	Net Profit/Loss before Dividend, Intt. & Tax	Net Profit/Loss after Dividend, Intt. & Tax	Turnover	Paid Up Capital	Capital Employed	Net worth	Accumulated Profit/Loss	Loan
1	2	3	4	5	6	7	8	9	10	11
41	Uttar Pradesh Handicraft & Marketing Development Corporation Limited(Formerly Uttar Pradesh Export Corporation Limited)	2008-09	-1.88	-1.90	5.29	7.24	-6.60	-17.41	-24.65	10.81
42	Uttar Pradesh State Tourism Development Corporation Limited	2017-18	1.55	1.55	35.78	32.60	15.57	15.57	-17.03	0.00
43	Lucknow City Transport Services Limited	Accounts not submitted	0.00	0.00	0.00		0.00	0.00		
44	Meerut City Transport Services Limited	2017-18	-8.59	-8.59	21.74	4.04	5.44	2.78	-1.26	2.66
45	Agra Mathura City Transport Services Limited	Accounts not submitted	0.00	0.00	0.00	0.00	0.00	0.00		
46	Kanpur City Transport Services Limited	2017-18	-11.48	-11.48	15.44	0.67	-6.74	-6.74	-7.41	0.00
47	Varanasi City Transport Services Limited	Accounts not submitted	0.00	0.00	0.00	0.00	0.00	0.00		
48	Uttar Pradesh Rajya Krishi Evam Gramin Vikas Nigam Limited	Accounts not submitted	0.00	0.00	0.00	0.00	0.00	0.00		
49	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	2017-18	0.04	0.04	0.18	0.26	1.98	-0.50	-0.76	2.48
50	Uttar Pradesh State Handloom Corporation Limited	2000-01	-8.02	-8.80	25.44	47.07	0.85	-37.86	-84.93	38.71

Sl. No.	Name of SPSE	Period of Accounts	Net Profit/Loss before Dividend, Intt. & Tax	Net Profit/Loss after Dividend, Intt. & Tax	Turnover	Paid Up Capital	Capital Employed	Net worth	Accumulated Profit/Loss	Loan
1	2	3	4	5	6	7	8	9	10	11
51	Uttar Pradesh State Spinning Company Limited	2018-19	-1.37	-5.65	0.00	93.24	-79.84	-181.25	-274.49	101.41
52	Uttar Pradesh Development Systems Corporation Limited	2020-21	14.79	10.03	327.06	1.00	51.63	51.63	50.63	0.00
53	U.P. Projects Corporation Limited	2019-20	8.33	4.32	417.86	6.40	129.88	129.88	123.48	0.00
54	Agra Smart City Limited	2021-22	0.01	0.01	0.00	216.00	216.04	216.04	0.04	0.00
55	Aligarh Smart City Limited	2022-23	-40.91	-40.91	0.14	2.00	-204.41	-204.41	-206.41	0.00
56	Bareilly Smart City Limited	2021-22	0.00	0.00	0.18	0.50	0.50	0.50	0.00	0.00
57	Prayagraj Smart City Limited	2021-22	-0.04	-0.04	0.00	490.00	489.34	489.34	-0.66	0.00
58	Varanasi Smart City Limited	2021-22	0.22	0.16	0.00	200.00	200.28	200.28	0.28	0.00
59	Moradabad Smart City Limited	2021-22	0.16	0.16	0.00	100.50	92.49	92.49	-8.01	0.00
60	Saharanpur Smart City Limited	2021-22	0.00	0.00 <sup>13</sup>	0.00	0.50	26.35	26.35	25.85	0.00
61	Jhansi Smart City	2019-20	0.00	0.00	0.00	0.50	0.50	0.50	0.00	0.00
62	Kanpur Smart City Limited	2017-18	-0.90	-0.90	0.00	216.00	214.50	214.50	-1.50	0.00
63	Lucknow Smart City Limited	2019-20	-4.95	-4.95	0.00	382.00	370.75	370.75	-11.25	0.00
64	Almora Magnesite Limited	2021-22	0.61	0.43	31.34	2.00	6.40	6.16	4.87	0.24
65	Noida International Airport Limited	2019-20	2.52	1.61	0.00	0.01	3604.38	-2.74	-2.75	3607.12
66	DMIC Integrated Industrial Township Greater Noida Limited	2021-22	46.45	32.65	0.00	2940.51	3018.65	3018.65	78.14	0.00

<sup>13</sup> Net profit of Saharanpur Smart City Limited for the year 2021-22 was ₹ 7,011.

Sl. No.	Name of SPSE	Period of Accounts	Net Profit/Loss before Dividend, Intt. & Tax	Net Profit/Loss after Dividend, Intt. & Tax	Turnover	Paid Up Capital	Capital Employed	Net worth	Accumulated Profit/Loss	Loan
1	2	3	4	5	6	7	8	9	10	11
67	Uttar Pradesh State Road Transport Corporation	2020-21	-27.82	-27.82	3830.94	981.42	77.07	47.07	-934.35	30.00
68	Uttar Pradesh Forest Corporation	2021-22	5.96	5.96	151.33	0.00	1382.91	1382.91	1382.91	0.00
69	Uttar Pradesh Awas Evam Vikas Parishad	2021-22	144.68	144.68	858.41	0.00	5308.19	5308.19	5308.19	0.00
70	Uttar Pradesh Financial Corporation	2012-13	17.40	17.38	22.22	179.28	-52.98	-719.11	-898.39	666.13
71	Uttar Pradesh State Warehousing Corporation	2020-21	223.54	165.53	594.03	13.01	1073.67	961.68	952.00	111.99
72	Uttar Pradesh Jal Nigam	2019-20	-197.80	-250.68	769.83	0.00	-724.25	-1820.15	-1820.15	1095.90
<b>Sub Total –B</b>			<b>286.36</b>	<b>-131.94</b>	<b>15643.41</b>	<b>16051.69</b>	<b>36425.97</b>	<b>17369.28</b>	<b>2696.50</b>	<b>19056.69</b>
<b>Total of Power Sector= A</b>			<b>-17838.09</b>	<b>-30128.46</b>	<b>77053.32</b>	<b>253715.74</b>	<b>75433.18</b>	<b>-27935.69</b>	<b>-186835.38</b>	<b>103368.87</b>
<b>Total of Other than Power Sector = B</b>			<b>286.36</b>	<b>-131.94</b>	<b>15643.41</b>	<b>16051.69</b>	<b>36425.97</b>	<b>17369.28</b>	<b>2696.50</b>	<b>19056.69</b>
<b>Grand Total of (A+B)</b>			<b>-17551.73</b>	<b>-30260.40</b>	<b>92696.73</b>	<b>269767.43*</b>	<b>111859.15</b>	<b>-10566.41</b>	<b>-184138.88</b>	<b>122425.56</b>

\* Note:

1. Paid up capital of ₹ 2,69,767.43 crore includes an amount of ₹ 8.95 crore in holding Company at Sl. number 18 which was given by the Government for their subsidiary companies. Therefore, the amount of ₹ 8.95 crore has been excluded for calculation of net worth and capital employed. Further at Sl No. 18, the loan amount ₹ 111.68 crore included loan amount ₹ 1.86 crore and ₹ 2.27 crore given to companies at Sl No. 16 and 17 respectively.
2. Paid up capital of ₹ 2,69,767.43 crore includes an amount of ₹ 94,815.87 crore in holding Company of SPSEs at Sl. number 1 (₹ 1,783.30 crore) and at Sl. number 10 (₹ 93,032.57 crore) which was given by the Government for their subsidiary companies at Sl. no. 3,5,6,7,8,9 and 11. Therefore, the amount of ₹ 94,815.87 crore has been excluded for calculation of net worth and capital employed.
3. Net worth is the sum total of the paid-up capital and free reserves and surplus minus accumulated losses and Deferred Revenue Expenditure (DRE).
4. Capital Employed = paid up capital + free reserve + long term loan – DRE.



**Appendix-5.3**  
**(Referred to in paragraph 5.10.2 and 5.10.3)**  
**Statement showing accounts in arrear of State Public Sector Enterprises**

Sl. No.	Name of SPSEs	Year for which Accounts are in arrear	Number of Accounts in Arrear	Status of the SPSEs
<b>A</b>	<b>Companies</b>			
1	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited (Standalone & CFS)	2022-23	2	Working
2	Uttar Pradesh Jal Vidyut Nigam Limited	2022-23	1	Working
3	Jawaharpur Vidyut Utpadan Nigam Limited	2022-23	1	Working
4	Uttar Pradesh Power Transmission Corporation Limited	2022-23	1	Working
5	UCM Coal Company Limited	2022-23	1	Working
6	UPSIDC Power Company Ltd.	2014-15 to 2022-23	9	Working
7	Uttar Pradesh Alpsankhyak Vittyta Avam Vikas Nigam Limited	2006-07 to 2022-23	17	Working
8	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	2017-18 to 2022-23	6	Working
9	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	2018-19 to 2022-23	5	Working
10	Uttar Pradesh Food and Essential Commodities Corporation Limited	2011-12 to 2022-23	12	Working
11	Uttar Pradesh Bhumi Sudhar Nigam	2020-21 to 2022-23	3	Working
12	Uttar Pradesh Mahila Kalyan Nigam Limited	2016-17 to 2022-23	7	Working
13	Uttar Pradesh Waqf Vikas Nigam Limited	2004-05 to 2022-23	19	Working
14	Uttar Pradesh State Agro Industrial Corporation Limited	2011-12 to 2022-23	12	Working
15	Uttar Pradesh Rajkiya Nirman Nigam Limited	2015-16 to 2022-23	8	Working
16	Uttar Pradesh State Bridge Corporation Limited	2020-21 to 2022-23	3	Working
17	Uttar Pradesh Small Industries Corporation Limited	2012-13 to 2022-23	11	Working
18	Uttar Pradesh Beej Vikas Nigam Limited	2020-21 to 2022-23	3	Working
19	Uttar Pradesh Matsya Vikas Nigam Limited	2017-18 to 2022-23	6	Working
20	Uttar Pradesh Drugs and Pharmaceuticals Limited	2010-11 to 2022-23	13	Working
21	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited	2018-19 to 2022-23	5	Working
22	Uttar Pradesh State Sugar Corporation Limited ( Standalone & CFS)	2018-19 to 2022-23	10	Working
23	Uttar Pradesh Handicraft & Marketing Development Corporation Limited(Formerly Uttar Pradesh Export Corporation Limited)	2009-10 to 2022-23	14	Working
24	Uttar Pradesh State Tourism Development Corporation Limited	2018-19 to 2022-23	5	Working

Sl. No.	Name of SPSEs	Year for which Accounts are in arrear	Number of Accounts in Arrear	Status of the SPSEs
25	Lucknow City Transport Services Limited	2010-11 to 2022-23	13	Working
26	Meerut City Transport Services Limited	2018-19 to 2022-23	5	Working
27	Agra Mathura City Transport Services Limited	2010-11 to 2022-23	13	Working
28	Kanpur City Transport Services Limited	2018-19 to 2022-23	5	Working
29	Varanasi City Transport Services Limited	2010-11 to 2022-23	13	Working
30	Uttar Pradesh Rajya Krishi Evam Gramin Vikas Nigam Limited	2018-19 to 2022-23	5	Working
31	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	2018-19 to 2022-23	5	Working
32	Uttar Pradesh State Spinning Company Limited	2019-20 to 2022-23	4	Working
33	Uttar Pradesh State Handloom Corporation Limited	2001-02 to 2022-23	22	Working
34	Uttar Pradesh Development Systems Corporation Limited	2021-22 & 2022-23	2	Working
35	U.P. Projects Corporation Limited	2020-21 to 2022-23	3	Working
36	The Pradeshiya Industrial and Investment Corporation of UP Limited	2021-22 & 2022-23	2	Working
37	Shreetron India Limited	2022-23	1	Working
38	Uptron Powertronics Ltd.	2021-22 & 2022-23	2	Working
39	Uttar Pradesh Electronics Corporation Limited.	2021-22 & 2022-23	4	Working
40	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	2022-23	1	Working
41	Uttar Pradesh Police Avas Nigam Limited	2021-22 to 2022-23	2	Working
42	Uttar Pradesh Medical Supply Corporation Limited	2021-22 & 2022-23	2	Working
43	Allahabad City Transport Services Limited	2020-21 to 2022-23	3	Working
44	Uttar Pradesh State Construction & Infrastructure Development Corporation Limited( formerly known asUttar Pradesh Samaj Kalyan Nirman Nigam Limited)	2021-22 & 2022-23	2	Working
45	Agra Smart City Limited	2022-23	1	Working
46	Bareilly Smart City Limited	2022-23	1	Working
47	Prayagraj Smart City Limited	2022-23	1	Working
48	Varanasi Smart City Limited	2022-23	1	Working
49	Jhansi Smart City Limited	2020-21 to 2022-23	3	Working
50	Moradabad Smart City Limited	2022-23	1	Working
51	Saharanpur Smart City Limited	2022-23	1	Working
52	Kanpur Smart City Limited	2018-19 to 2022-23	5	Working

Sl. No.	Name of SPSEs	Year for which Accounts are in arrear	Number of Accounts in Arrear	Status of the SPSEs
53	Lucknow Smart City Limited	2020-21 to 2022-23	3	Working
54	Almora Magnesite Limited	2022-23	1	Working
55	Noida International Airport Limited	2020-21 to 2022-23	3	Working
56	DMIC Integrated Industrial Township Greater Noida Limited	2022-23	1	Working
57	Uplease Financial Services Limited	1998-99 to 2022-23	25	Inactive
58	Chhata Sugar Company Limited	2018-19 to 2022-23	5	Inactive
59	Ghatampur Sugar Company Limited	2018-19 to 2022-23	5	Inactive
60	Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2018-19 to 2022-23	5	Inactive
61	The Indian Turpentine and Rosin Company Limited	2011-12 to 2022-23	12	Inactive
62	Uttar Pradesh Instruments Limited	2002-03 to 2022-23	21	Inactive
63	Uttar Pradesh State Brassware Corporation Limited	1998-99 to 2022-23	25	Inactive
64	Uttar Pradesh State Textile Corporation Limited	2021-22 to 2022-23	2	Inactive
65	Uttar Pradesh State Leather Development and Marketing Corporation Limited	2001-02 to 2022-23	22	Inactive
66	Uttar Pradesh State Yarn Company Limited	2022-23	1	Inactive
67	Uttar Pradesh Pashudhan Udyog Nigam Limited	2017-18 to 2022-23	6	Inactive
68	Uttar Pradesh Poultry and Livestock Specialties Limited	2014-15 to 2022-23	9	Inactive
69	Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited	1985-86 to 2022-23	38	Inactive
70	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	2013-14 to 2022-23	10	Inactive
71	Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited	1996-97 to 2022-23	27	Inactive
72	Agra Mandal Vikas Nigam Limited	1989-90 to 2022-23	34	Inactive
73	Allahabad Mandal Vikas Nigam Limited	1984-85 to 2022-23	39	Inactive
74	Bareilly Mandal Vikas Nigam Limited	1989-90 to 2022-23	34	Inactive
75	Gorakhpur Mandal Vikas Nigam Limited	1989-90 to 2022-23	34	Inactive
76	Lucknow Mandaliya Vikas Nigam Limited	1982-83 to 2022-23	41	Inactive
77	Meerut Mandal Vikas Nigam Limited	2009-10 to 2022-23	14	Inactive
78	Moradabad Mandal Vikas Nigam Limited	1992-93 to 2022-23	31	Inactive

Sl. No.	Name of SPSEs	Year for which Accounts are in arrear	Number of Accounts in Arrear	Status of the SPSEs
79	Tarai Anusuchit Janjati Vikas Nigam Limited	1983-84 to 2022-23	40	Inactive
80	Uttar Pradesh Bundelkhand Vikas Nigam Limited	2011-12 to 2022-23	12	Inactive
81	Uttar Pradesh Chalchitra Nigam Limited	2010-11 to 2022-23	13	Inactive
82	Uttar Pradesh Poorvanchal Vikas Nigam Limited	1988-89 to 2022-23	35	Inactive
83	Varanasi Mandal Vikas Nigam Limited	1988-89 to 2022-23	35	Inactive
84	Command Area Poultry Development Corporation Limited	1995-96 to 2022-23	28	Inactive
<b>A (i) Total Number of Companies Accounts in arrear excluding under liquidation</b>			<b>908</b>	
85	Uttar Pradesh Cement Corporation Limited	1996-97 to 1998-99	3	Under Liquidation (UL)
86	Vindhyachal Abrasives Limited	1988-89 to 2002-03	15	UL
87	Auto Tractors Limited	1992-93 to 2002-03	11	UL
88	Bhadohi Woollens Limited	1995-96	1	UL
89	Continental Float Glass Limited	1998-99 to 2001-02	4	UL
90	Kanpur Components Limited	1978-79 to 1996-97	19	UL
91	Uttar Pradesh Abscott Private Limited	1976-77 to 1986-87	11	UL
92	Uttar Pradesh Carbide and Chemicals Limited	1993-94	1	UL
93	Uttar Pradesh Plant Protection Appliances (Private) Limited	1975-76 to 2003-04	29	UL
94	Uptron India Limited	1996-97 to 2013-14 (UL from 26.03.2014)	18	UL
95	Electronics and Computers (India) Limited	1974-75 to 1981-82	8	UL
<b>A(ii) Total Number of Companies (UL) Accounts in arrear</b>			<b>120</b>	
<b>Total Number of Companies Accounts in arrear {A(i)+A(ii)}</b>			<b>1028</b>	
<b>B</b>	<b>Statutory Corporation</b>			
1	Uttar Pradesh State Road Transport Corporation	2021-22 & 2022-23	2	Working
2	Uttar Pradesh Forest Corporation	2022-23	1	Working
3	Uttar Pradesh Avas Evam Vikas Parishad	2022-23	1	Working
4	Uttar Pradesh Financial Corporation	2013-14 to 2022-23	10	Working
5	Uttar Pradesh State Warehousing Corporation	2021-22 to 2022-23	2	Working
6	Uttar Pradesh Jal Nigam	2020-21 to 2022-23	3	Working
<b>Total Number of Statutory Corporation Accounts in arrear (B)</b>			<b>19</b>	
<b>Total Number of SPSEs Accounts in arrear (A+B)</b>			<b>1047</b>	