

APPENDICES

Appendix I (Refer to Paragraph 1.6)

Outstanding Utilisation Certificates

Ministry/ Department	Period to which grant relates	Number of utilisation certificates outstanding due by March 2015	Amount (₹ in lakh)
Department of Atomic Energy	1991-08	116	527.33
	2008-13	332	2,698.83
	2013-14	714	5,735.09
Total		1,162	8,961.25
Department of Bio-Technology	Details not available		
Department of Science and Technology	Details not available		
Department of Scientific and Industrial Research	Details not available		
Department of Space	1976-08	116	799.93
	2008-13	111	409.14
	2013-14	62	256.64
Total		289	1,465.71
Ministry of Earth Sciences	1983-08	416	2,844.56
	2008-13	192	2,074.45
	2013-14	116	651.14
Total		724	5,570.15
Ministry of Environment, Forest and Climate Change	1981-08	5,495	24,288.69
	2008-13	655	21,861.94
	2013-14	Not received	Not received
Total		6,150	46,150.63
Ministry of New and Renewable Energy	2005-08	9	31.94
	2008-13	301	20,785.77
	2013-14	423	64,213.24
Total		733	85,030.95
Ministry of Water Resources, River Development and Ganga Rejuvenation	1986-08	33	196.15
	2008-13	205	2,624.84
	2013-14	11	7,385.99
Total		249	10,206.98
Grand Total		9,307	1,57,385.67

Appendix II (Refer to Paragraph 1.8)**Statement of losses and irrecoverable dues written off/waived during 2014-15***(Amount in ₹ lakh)*

Name of Ministry/ Department	Write off of losses and irrecoverable dues due to									
	Failure of system		Neglect/fraud etc.		Other reasons		Waiver of recovery		Ex-gratia Payments	
	Cases	Amount	Cases	Amount	Cases	Amount	Cases	Amount	Cases	Amount
Department of Atomic Energy	-	-	-	-	27	6.17	-	-	-	-
Department of Bio-Technology	Not available									
Department of Science and Technology	Not available									
Department of Scientific and Industrial Research	Not available									
Department of Space	-	-	-	-	20	14.85	1	0.07	1	1.00
Ministry of Earth Sciences	Nil									
Ministry of Environment, Forest and Climate Change	Not available									
Ministry of New and Renewable Energy	Nil									
Ministry of Water Resources, River Development and Ganga Rejuvenation	Not available									
Total	-	-	-	-	47	21.02	1	0.07	1	1.00

Appendix III (Refer Paragraph 1.10)

Summarised position of the Action Taken Notes (ATNs) awaited from various Ministries/ Departments up to the year ended March 2015 as of December 2015- ATNs which have not been received from the Ministry/Department even for the first time

Report Number and Year	Paragraph Number	Para title	Delay in submission of ATNs (in months)
DEPARTMENT OF ATOMIC ENERGY			
1) 13 of 2012-13	10.1	Avoidable expenditure of ₹ 3.32 crore	37
2) 22 of 2013	2.2	Hasty procurement of equipment without creating infrastructure facilities for installation	24
MINISTRY OF EARTH SCIENCES			
3) 27 of 2014	5.1	National Data Buoy Project	10
4) 27 of 2014	5.2	Irregular payment of gratuity	10
MINISTRY OF ENVIRONMENT, FOREST AND CLIMATE CHANGE			
5) 21 of 2013	Standalone	Compensatory Afforestation in India	24

Appendix IV (Refer Paragraph 1.10)

Summarised position of the Action Taken Notes (ATNs) awaited from various Ministries/ Departments up to the year ended March 2015 as of December 2015- ATNs on which Audit has given comments/observations but revised ATNs have not been received

Report Number and Year	Paragraph Number	Title	Delay in submission of ATNs (in months)
DEPARTMENT OF ATOMIC ENERGY			
1) 5 of 2001	5.4	Wasteful expenditure (SI no. 5.19 to 5.22)	7
2) 5 of 2001	5.5	Recovery at the instance of audit (SI no. of para 5.23 to 5.25)	25
3) 5 of 2002	9.1	Avoidable expenditure due to negligence	25
4) 27 of 2014	2.1	Non-utilisation of equipment	5
DEPARTMENT OF BIO-TECHNOLOGY			
5) 5 of 2003	3.1	DBT Review	5
DEPARTMENT OF SCIENCE AND TECHNOLOGY			
6) 5 of 2005	5.1	Unfruitful expenditure during GTS-Bicentenary celebration	7
7) 1 of 2006	3	Functioning of Technology Development Board	1
8) 13 of 2007 (PA)	5.3	Internal controls in DST	15
9) CA 3 of 2008	5.1	Unfruitful expenditure	7
10) CA 3 of 2008	5.2	Irregular extension of service	7
11) CA 16 of 2008-09	5.3	Activities of Birbal Sahni Institute of Paleobotany, Lucknow	6
12) 22 of 2013	5.2	Inadmissible payment of Transport Allowance	7
13) 27 of 2014	3.1	Fraudulent payment of legal fees	4
14) 27 of 2014	3.2	Non-installation of equipment	4
DEPARTMENT OF SCIENTIFIC AND INDUSTRIAL RESEARCH			
15) 6 of 1996	5.8	Extra expenditure for unconsumed power	1
16) 5 of 1998	2.1	Review of Manpower Audit of CSIR	6
17) 5 of 1998	2.4	Loss due to defective agreement	1
18) 5 of 1999	4.4	Extra expenditure due to defective design	1
19) 5 of 2001	3.2	National Institute of Oceanography	1
20) 5 of 2003	2.1	Review of Technology transfer in Council of Scientific and Industrial Research	2
21) 5 of 2005	6.1	Wasteful expenditure	104
22) 5 of 2005	10.2	Non-installation of Fermentation System	1

Report Number and Year	Paragraph Number	Title	Delay in submission of ATNs (in months)
23) 2 of 2007 (TA)	13.1	Non-recovery of Service Tax	1
24) 22 of 2013	4.1	Public Private Partnership for setting up 'The Centre for Genomic Application' by Institute of Genomics and Integrative Biology	1
25) 29 of 2013	Standalone	Network Projects of Council of Scientific and Industrial Research for Tenth Five Year Plan	10
DEPARTMENT OF SPACE			
26) CA 16 of 2011-12	19.1	Idle investment on development of Linac tube	1
27) 4 of 2012-13	Standalone	Hybrid satellite digital multimedia broadcasting service agreement with Devas	6
28) 13 of 2012-13	11.1	Avoidable payment of demand charges	1
29) 22 of 2013	3.1	EDUSAT Utilisation Programme	1
30) 22 of 2013	3.3	Loss due to unsafe transport and belated insurance of consignment	6
31) 22 of 2014	Standalone	Management of satellite capacity for DTH service by DOS	1
32) 27 of 2014	4.1	Inordinate delay in realization of SRE-2 mission	6
33) 27 of 2014	4.2	Loss in allocation of satellite capacity	1
34) 27 of 2014	4.4	Infructuous expenditure on procurement of components	1
MINISTRY OF EARTH SCIENCES			
35) 2 of 2007 (TA)	5.1	Wasteful expenditure	36
36) CA 3 of 2008	7.1	Non-achievement of the objectives of modernizing the Accounting and Personnel Management functions	34
37) CA 16 of 2008-09	7.1	Construction of residential quarters and hostel units without demand	5
38) 22 of 2013	8.1	Irregular introduction of pension scheme and diversion of funds	15
MINISTRY OF ENVIRONMENT, FOREST AND CLIMATE CHANGE			
39) 3B of 2001	1.0	Implementation of environment act relating to water pollution	27
40) CA 16 of 2008-09	6.1	Failure of village tree plantation project	8
41) 22 of 2013	6.1	Repeated unauthorized creation and up-gradation of posts by Central Pollution Control Board	7
42) 27 of 2014	6.3	Wasteful expenditure on hiring of office accommodation	6

Appendix V (Refer to Paragraph 5.1.2.7)

Deficiencies observed in MIS reports of COWAA package of Department of Space

Report	Report No.	Audit Observations
Contingency Advance Register	AC54RNR	While the report generated from COWAA showed 1,043 outstanding cases, there were only 8 outstanding as per manual records. DOS in January 2016 stated that modifications would be carried out based on inputs from domain experts
Imprest Advance Register	AC62RNR	Report generated from COWAA showed 11 entries ranging between the periods 2002 to 2013. Audit examination showed that there were actually 41 imprest holders. The report generated from COWAA showed even names of the staff members who were currently not in service and also names of the persons who were not imprest holders. DOS in January 2016 stated that modifications would be carried out based on inputs from domain experts
Child Care Leave – Leave Account	PGGE03	The report displayed the spells of leave that were cancelled also as having been availed.
Category change merit selection scheme	PGDP09	The report showing the list of employees who were promoted during the period January 2008 to September 2015 under the Category Change Merit Selection Scheme (CCMSS) showed that there were no records. It was however evident from the database that there were cases where officials were promoted under CCMSS during the said period. For example two persons were promoted as Technical officer-C and Technician-A in July 2011 and January 2009 respectively under CCMSS.
Report showing the list of employees who have left the organization	PGGE25	The qualifications of the employees who have left the organisation were shown incorrect in the Report. The Department agreed that the report showed incorrect qualifications.
Review analysis (Qualification wise distribution of promotes/non-promotees)	PGDP08	The report generated for two categories – Promoted and Deferred in respect of the employees who were to move from SCI/ENG-SD to SCI/ENG-SE as on 1 July 2015 showed Nil Report which was not factually correct as seen from manual records.
Report on statistics relating to review of administrative personnel	PGDP04	The purpose of this COWAA MIS Report i.e. information that is generated through this report could not be deciphered. It was observed that report was generating a NIL Report for any date that is selected.
Review Analysis	PGDP07	Audit generated this report by selecting the Parameter – Qualification (B.E/B.Tech) and again by selecting the Parameter – Residency as on 1 July 2015. Audit could not decipher the information generated through these reports. Department did not respond to the audit query.

Report	Report No.	Audit Observations
Statistics relating to review of scientific/technical personnel	PGDP01 and PGDP03	Audit generated the two reports as on 1 January 2015 and 1 July 2015. A study of the PGDP 01 report for the period 1 January 2015 showed that two Senior Tech-A employees were eligible for review and both of them were screened. The data in the report shows that one case was deferred. The report does not show the status of the other employee. Similarly, one Sr. Tech. Asst. A was eligible and screened. The result of the review was not depicted at all. Further, in the report for the period 1 July 2015, five Technician-D were stated to be eligible and screened, but the result was depicted for only four persons. Similarly, the result of one Sr. Technical Attendant A, who was stated to have been eligible and screened, was not printed in the report. To this extent, the report was deficient.
Letter of Credit Status Report	FAC011	Payments towards foreign purchase orders are made through Letter of Credit. It was seen that during the period April-May 2015 itself four LCs were opened. However the report for the period April-December 2015 displayed the message "There are no records with given input combination".
Project line item wise expenditure status with reference to project cost	FAC006	The combo box for selection of project name showed names of projects which had already been closed. The projects PSLV and GSLV were the projects currently active at SDSC, SHAR. However, the report for these projects also displayed the message "There are no records with given input combination".
Finance Module	FAPS01 FAPS02 FAPS03 FAPS04 FAPS05	When these reports were selected, instead of generating the reports, error messages were displayed. DOS confirmed (January 2016) the same.

Appendix VI (Refer to Paragraph 5.2.1)

List of 18 remote Patient end hospitals (Phase-I)

Name of the Hospital	
1)	ISRO Hospital-Sriharikota, Andhra Pradesh
2)	Aragonda Apollo Hospital-Chittoor-Andhra Pradesh
3)	Tripura Sundari District Hospital-Udaipur-Tripura
4)	Chamrajnagar District Hospital-Karnataka
5)	Vivekananda Memorial Hospital-Saragur-Mysore-Karnataka
6)	GB Pant Hospital-Port Blair-Andaman and Nicobar Islands
7)	Car Nicobar District Hospital-Andaman and Nicobar Islands
8)	Leh District Hospital-Jammu and Kashmir
9)	Indira Gandhi District Hospital-Kavaratti-Lakshadweep
10)	Government Health Centre-Pampa/District hospital-Pattanamthitta-Kerala
11)	Guwahati Medical College Hospital-Assam
12)	Cuttack Medical College Hospital-Odisha
13)	Behrampur Medical College Hospital-Odisha
14)	Burla Medical College Hospital-Odisha
15)	Kathua District Hospital-Jammu and Kashmir
16)	Jammu Medical College Hospital-Jammu and Kashmir
17)	Sheri-a-Kashmir Institute of Medical Sciences-Jammu and Kashmir
18)	Government Medical College Hospital-Srinagar-Jammu and Kashmir

Appendix VII (Refer to Paragraph 5.2.1)**State wise distribution of Telemedicine nodes under Telemedicine Programme**

State/Network	Mobile	Patient End Private	Patient End Govt.	Total PEs	Specialist End	Total Nodes	Phase-1 2001-03	Phase-II 2003-07	Beyond Phase-II 2007-10
1) Andhra Pradesh	2	7	5	12	4	18	2	8	8
2) Arunachal Pradesh	-	1	3	4	-	4	-	4	-
3) Assam	-	1	6	7	3	10	1	2	7
4) Bihar	-	1	0	1	-	1	-	1	-
5) Chhattisgarh	-	-	15	15	1	16	-	16	-
6) Goa	-	1	0	1	-	1	-	-	1
7) Gujarat	1	1	5	6	3	10	-	1	9
8) Haryana	-	-	2	2	-	2	-	-	2
9) Himachal Pradesh	-	1	1	2	-	2	-	1	1
10) Jammu and Kashmir	-	-	9	9	3	12	5	1	6
11) Jharkhand	-	1	0	1	-	1	-	1	-
12) Karnataka	2	8	27	35	14	51	3	23	25
13) Kerala	2	5	18	23	5	30	3	22	5
14) Madhya Pradesh	1	1	14	15	2	18	-	1	17
15) Maharashtra	-	4	33	37	4	41	-	4	37
16) Manipur	-	-	1	1	-	1	-	1	-
17) Meghalaya	-	-	3	3	1	4	-	1	3
18) Mizoram	-	-	4	4	-	4	-	4	-
19) Nagaland	-	-	2	2	-	2	-	2	-
20) Odisha	-	1	8	9	1	10	3	-	7
21) Punjab	-	-	6	6	1	7	-	4	3
22) Rajasthan	-	-	38	38	2	40	-	16	24
23) Sikkim	-	-	2	2	-	2	-	1	1
24) Tamil Nadu	8	10	1	11	9	28	2	4	22
25) Tripura	-	-	5	5	-	5	1	3	1
26) Uttarakhand	1	1	2	3	-	4	-	1	3
27) Uttar Pradesh	-	2	0	2	1	3	1	-	2
28) West Bengal	1	2	4	6	3	10	1	-	9
29) Andaman and Nicobar Islands	-	-	12	12	1	13	2	2	9
30) Diu, Daman, Silvassa	-	-	3	3	-	3	-	-	3
31) Lakshadweep	-	-	5	5	-	5	1	4	-

State/Network	Mobile	Patient End Private	Patient End Govt.	Total PEs	Specialist End	Total Nodes	Phase-1 2001-03	Phase-II 2003-07	Beyond Phase-II 2007-10
32) New Delhi	-	-	0	0	3	3	2	-	1
33) Pondicherry	-	-	4	4	1	5	-	5	-
34) Central Government Hospitals	-	-	16	16	2	18	-	13	5
Total	18	48	254	302	64	384	27	146	211
35) Monitoring Nodes	-	-	-	-	-	5	-	3	2
Grand Total	18	48	254	302	64	389	27	149	213