

Annexures

Annexure-1.1

(Referred to in paragraph 1.7)

Statement showing particulars of up to date paid up capital, loans outstanding and Manpower as on 31 March 2014 in respect of Government companies and Statutory corporations

(Figure in SL No. 5 (i) to 6 (d) are ₹ in crore)

| SI No | Sector and name of the company | Name of the Department | Month and year of incorporation | Paid up capital | | | Loans* outstanding at the close of 2013-14 | | | Debt Equity ratio for 2013-14 (previous year) | Manpower No of employees as on 31.03.2014 | | |
|-------------------------------|---|-----------------------------------|---------------------------------|------------------|--------------------|-------------|--|------------------|--------------------|---|---|------------------------|-------------|
| | | | | State Government | Central Government | Others | Total | State Government | Central Government | | | Others | Total |
| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
| A | Working Government companies | | | | | | | | | | | | |
| AGRICULTURE AND ALLIED | | | | | | | | | | | | | |
| 1 | Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited | Sugar Industry & Cane Development | 27.08.1975 | 0.15 | - | 0.10 | 0.25 | - | - | 2.48 | 2.48 | 15.5:1 (9.92:1) | 10 |
| 2 | Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited | Sugar Industry & Cane Development | 27.08.1975 | 0.51 | - | 0.15 | 0.66 | - | - | - | 0.00 | - | 7 |
| 3 | Uttar Pradesh Beej Vikas Nigam Limited | Agriculture | 15.02.2002 | 1.25 | - | 0.67 | 1.92 | - | - | - | 0.00 | - | 370 |
| 4 | Uttar Pradesh Bhumi Sudhar Nigam | Agriculture | 30.03.1978 | 1.50 | - | - | 1.50 | - | - | - | 0.00 | - | 917 |
| 5 | Uttar Pradesh Matsya Vikas Nigam Limited | Matysa & Pashudhan | 27.10.1979 | 1.07 | - | - | 1.07 | - | - | - | 0.00 | - | - |
| 6 | Uttar Pradesh Projects Corporation Limited | Irrigation | 26.05.1976 | 5.40 | 1.00 | - | 6.40 | - | - | - | 0.00 | - | 595 |
| 7 | Uttar Pradesh State Agro Industrial Corporation Limited | Agriculture | 29.03.1967 | 59.01 | - | - | 59.01 | 5.00 | - | - | 5.00 | 0.08:1 (0.11:1) | 751 |
| Sector wise total | | | | 68.89 | 1.00 | 0.92 | 70.81 | 5.00 | 0.00 | 2.48 | 7.48 | 0.11:1 (0.09:1) | 2650 |

Audit Report on Public Sector Undertakings for the year ended 31 March 2014

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
|-----|--|---------------------------|------------|---------------|---------------|--------------|---------------|---------------|-------------|---------------|---------------|----------------------------|-------------|
| | FINANCING | | | | | | | | | | | | |
| 8 | The Pradeshia Industrial and Investment Corporation of Uttar Pradesh Limited | Industrial Development | 29.03.1972 | 110.58 | - | 25.00 | 135.58 | 147.61 | - | 5.10 | 152.71 | 1.13:1 (1.03:1) | 214 |
| 9 | Uttar Pradesh Alpsankhyak Vitya Avam Vikas Nigam Limited | Alpsankhyak kalyan & Waqf | 17.11.1984 | 30.00 | - | - | 30.00 | 7.52 | - | - | 7.52 | 0.25:1 (3.01:1) | 89 |
| 10 | Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited | Pichhara Varg Kalyan | 26.04.1991 | 12.23 | - | - | 12.23 | - | - | 47.71 | 47.71 | 3.9:1 (2.72:1) | 17 |
| 11 | Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited | Samaj Kalyan | 25.03.1975 | 123.25 | 107.18 | - | 230.43 | - | - | 65.82 | 65.82 | 0.29:1 (0.30:1) | 339 |
| 12 | Uttar Pradesh State Industrial Development Corporation Limited | Industrial Development | 29.03.1961 | 24.08 | - | - | 24.08 | 1.98 | - | - | 1.98 | 0.08:1 (0.08:1) | 604 |
| | Sector wise total | | | 300.14 | 107.18 | 25.00 | 432.32 | 157.11 | 0.00 | 118.63 | 275.74 | 0.63:1 (0.82:1) | 1263 |
| | INFRASTRUCTURE | | | | | | | | | | | | |
| 13 | Uttar Pradesh Police Avas Nigam Limited | Home | 27.03.1987 | 3.00 | - | - | 3.00 | - | - | - | - | - | 151 |
| 14 | Uttar Pradesh Rajkiya Nirman Nigam Limited | Public Works Department | 01.05.1975 | 1.00 | - | - | 1.00 | - | - | - | - | - | 3042 |
| 15 | Uttar Pradesh Samaj Kalyan Nirman Nigam Limited | Samaj Kalyan | 25.06.1976 | 0.15 | - | - | 0.15 | - | - | - | - | - | 577 |
| 16 | Uttar Pradesh State Bridge Corporation Limited | Public works Department | 09.01.1973 | 15.00 | - | - | 15.00 | - | - | - | - | Nil (0.08:1) | 5639 |
| 17 | Lucknow Metro Rail Corporation Limited | Housing & Urban Planning | 25.11.2013 | 20.05 | - | - | 20.05 | - | - | - | - | - | 17 |
| | Sector wise total | | | 39.20 | 0.00 | 0.00 | 39.20 | 0.00 | 0.00 | 0.00 | 0.00 | Nil (0.07:1) | 9426 |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
|-----|--|--|------------|--------|-------|-------|--------|--------|------|------|--------|--------------------|-----|
| | MANUFACTURE | | | | | | | | | | | | |
| 18 | Almora Magnesite Limited(619-B Company) | | 27.08.1971 | - | - | 2.00 | 2.00 | - | - | 0.04 | 0.04 | 0.02:1 (0.06:1) | - |
| 19 | Shretron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited) | Electronics & information Technology | 10.02.1979 | - | - | 7.22 | 7.22 | - | - | 2.63 | 2.63 | 0.36:1 (0.36:1) | 7 |
| 20 | Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited) | Electronics & information Technology | 18.10.1974 | - | - | 57.93 | 57.93 | - | - | 9.70 | 9.70 | 0.17:1 (0.17:1) | |
| 21 | Uptron Powertronics Ltd. (subsidiary of Uttar Pradesh Electronics Corporation) | Electronics and information technology | 10.04.1977 | - | - | 4.07 | 4.07 | 3.07 | - | 0.00 | 3.07 | 0.75:1 (1.39:1) | 15 |
| 22 | Uttar Pradesh Drugs and Pharmaceuticals Limited | Health | - | 1.10 | - | - | 1.10 | - | - | - | 0.00 | - | 256 |
| 23 | Uttar Pradesh Electronics Corporation Limited. | Electronics and information technology | 20.03.1974 | 91.54 | - | - | 91.54 | 113.16 | - | - | 113.16 | 1.24:1 (1.24:1) | 35 |
| 24 | Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited | Sugar Industry and cane Development | 16.05.2002 | 880.13 | - | - | 880.13 | - | - | - | - | - | 14 |
| 25 | Uttar Pradesh Small Industries Corporation Limited | Laghu Udhhyog | 01.06.1958 | 5.96 | - | - | 5.96 | 6.32 | - | 3.92 | 10.24 | 1.72:1 (1.72:1) | - |
| 26 | Uttar Pradesh State Handloom Corporation Limited | Hathkargha evam vasitra Udhhyog | 09.01.1973 | 36.44 | 10.63 | - | 47.07 | 108.13 | - | 5.00 | 113.13 | 2.40:1 (2.36:1) | 255 |
| 27 | Uttar Pradesh State Leather Development and Marketing Corporation Limited | Niryat Protshahan | 12.02.1974 | 5.74 | - | - | 5.74 | 1.91 | - | - | 1.91 | 0.33:1 (0.33:1) | 1 |
| 28 | Uttar Pradesh State Spinning Company Limited | Hathkargha evam vasitra Udhhyog | 20.08.1976 | 93.24 | - | - | 93.24 | 109.71 | - | 0.00 | 109.71 | 1.18:1 (1.63:1) | 921 |

Audit Report on Public Sector Undertakings for the year ended 31 March 2014

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
|-----|--|-----------------------------------|------------|----------------|--------------|--------------|----------------|---------------|-------------|--------------|---------------|----------------------------|-------------|
| 29 | Uttar Pradesh State Sugar Corporation Limited | Sugar Industry & Cane Development | 26.03.1971 | 1103.72 | - | - | 1103.72 | 31.20 | - | - | 31.20 | - | 144 |
| 30 | Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited) | Hathkargha evam vastra Udhhyog | 20.08.1974 | 53.67 | - | - | 53.67 | 62.44 | - | - | 62.44 | 1.16:1 (1.16:1) | 3 |
| | Sector wise total | | | 2271.54 | 10.63 | 71.22 | 2353.39 | 435.94 | 0.00 | 21.29 | 457.23 | 0.20:1 (0.16:1) | 1651 |
| | POWER | | | | | | | | | | | | |
| 31 | Dakshinanchal Viduyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | Energy | 1.05.2003 | - | - | 3264.14 | 3264.14 | 77.98 | - | 1056.35 | 1134.33 | 0.35:1 (0.35:1) | 5243 |
| 32 | Kanpur Electricity Supply Company Limited | Energy | 21.07.1999 | - | - | 213.15 | 213.15 | - | - | 3086.37 | 3086.37 | 14.48:1 (2.77:1) | 1760 |
| 33 | Madhyanchal Viduyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | Energy | 01.05.2003 | - | - | 3006.39 | 3006.39 | - | - | 1800.56 | 1800.56 | 0.60:1 (0.22:1) | 8155 |
| 34 | Paschimanchal Viduyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | Energy | 01.05.2003 | - | - | 3155.68 | 3155.68 | - | - | 9781.05 | 9781.05 | 3.1:1 (0.79:1) | 6119 |
| 35 | Purvanchal Viduyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | Energy | 01.05.2003 | - | - | 3084.67 | 3084.67 | - | - | 1855.68 | 1855.68 | 0.60:1 (0.03:1) | 16390 |
| 36 | Sonebhadra Power Generation Company Limited | Energy | 14.02.2007 | - | - | 0.07 | 0.07 | - | - | - | 0.00 | - | - |
| 37 | UCM Coal Company Limited | Energy | 16.02.2008 | - | - | 0.16 | 0.16 | - | - | 0.50 | 0.50 | 3.13:1 (2:1) | - |

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|-----|--|---------|------------|-----------------|-------------|-----------------|-----------------|---------------|-------------|-----------------|-----------------|----------------------------|--------------|
| 38 | UPSIDC Power Company Limited (Subsidiary of Uttar Pradesh State Industrial Corporation Limited) | Energy | 11.04.2000 | - | - | 0.05 | 0.05 | - | - | - | 0.00 | - | 0 |
| 39 | Uttar Pradesh Jal Vidyut Nigam Limited | Energy | 15.04.1985 | 433.13 | - | - | 433.13 | 64.65 | - | 85.21 | 149.86 | 0.35:1 (0.35:1) | 587 |
| 40 | Uttar Pradesh Power Corporation Limited | Energy | 30.11.1999 | 40740.82 | - | - | 40740.82 | - | - | 49760.07 | 49760.07 | 1.22:1 (0.51:1) | 1585 |
| 41 | Uttar Pradesh Power Transmission Corporation Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | Energy | 13.07.2006 | 4445.51 | - | 5.00 | 4450.51 | - | - | 6295.68 | 6295.68 | 1.41:1 (1.08:1) | 5852 |
| 42 | Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited | Energy | 22.08.1980 | 7841.00 | - | - | 7841.00 | - | - | 10197.53 | 10197.53 | 13.01:1 (1.29:1) | 7708 |
| 43 | Western U.P. Power Transmission Company | Energy | - | - | - | - | 0.00 | - | - | - | 0.00 | - | - |
| 44 | Jawahar Vidyut Utpadan Nigam Limited | Energy | - | - | - | 0.05 | 0.05 | - | - | - | 0.00 | - | - |
| 45 | Yamuna Power Generation Corp. Limited | Energy | 20.04.2010 | - | - | - | 0.00 | - | - | - | 0.00 | - | - |
| | Sector wise total | | | 53460.46 | 0.00 | 12729.36 | 66189.82 | 142.63 | 0.00 | 83919.00 | 84061.63 | 0.80:1 (0.58:1) | 53399 |
| | SERVICE | | | | | | | | | | | | |
| 46 | Abhyaranya Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 47 | Adyhasvasai Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 48 | Awadh Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 49 | Bithpur Paripath Paryatan Ltd. | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 50 | Braj Darshan Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |

Audit Report on Public Sector Undertakings for the year ended 31 March 2014

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
|-----|--|---------|------------|------|------|------|------|------|------|------|------|-----|-----|
| 51 | Braj Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 52 | Bundelkhand Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 53 | Ganga Saryu Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 54 | Garhmukteshwar Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 55 | Gyanodaya Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 56 | Hastinapur Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 57 | Hindon Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 58 | Madhyanchal Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 59 | Paanchal Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 60 | Pachimanchal Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 61 | Sangam Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 62 | Satyadarshan Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 63 | Shajhanpur Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 64 | Siddhartha Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 65 | Taj Shilp Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 66 | Taj Virasat Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 67 | Triveni Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
|-----|--|--------------------------------------|------------|-----------------|---------------|-----------------|-----------------|---------------|-------------|-----------------|-----------------|----------------------------|--------------|
| 68 | Uttar Pradesh Development Systems Corporation Limited | Electronics & information Technology | 15.03.1977 | 1.00 | - | - | 1.00 | - | - | - | - | - | 89 |
| 69 | Uttar Pradesh Export Corporation Limited | Niryat Pratsahan | 20.01.1996 | 6.34 | 0.90 | - | 7.24 | 7.44 | - | - | 7.44 | 1.03:1 (1.03:1) | 142 |
| 70 | Uttar Pradesh Food and Essential Commodities Corporation Limited | Food & Civil Supplies | 22.10.1974 | 5.50 | - | - | 5.50 | 13.47 | - | - | 13.47 | 2.45:1 (2.45:1) | 776 |
| 71 | Uttar Pradesh State Tourism Development Corporation Limited | Tourism | 05.08.1974 | 18.60 | - | - | 18.60 | 1.57 | - | - | 1.57 | 0.08:1 (0.13:1) | 542 |
| | Sector wise total | | | 31.44 | 0.90 | 1.10 | 33.44 | 22.48 | 0.00 | 0.00 | 22.48 | 0.67:1 (0.69:1) | 1549 |
| | MISCELLANEOUS | | | | | | | | | | | | |
| 72 | Uttar Pradesh Mahila Kalyan Nigam Limited | Mahila Kalyan | 17.03.1988 | 4.71 | 0.48 | - | 5.19 | - | - | - | - | - | 24 |
| 73 | Uttar Pradesh Purva Sainik Kalyan Nigam Limited | Samaj Kalyan | 23.05.1989 | 0.43 | - | - | 0.43 | - | - | - | - | - | 137 |
| 74 | Uttar Pradesh Waqf Vikas Nigam Limited | Waqf & Alpsankhyak | 27.04.1987 | 7.50 | - | - | 7.50 | -- | -- | - | - | - | 24 |
| 75 | Lucknow City Transport Services Limited | Transport | 01.02.2010 | - | - | - | 0.00 | - | - | - | - | - | - |
| 76 | Meerut City Transport Services Limited | Transport | - | - | - | - | 0.00 | - | - | - | - | - | - |
| 77 | Allahabad City Transport Services | Transport | - | - | - | 9.82 | 9.82 | - | - | 6.51 | 6.51 | 0.66:1 | 505 |
| 78 | Agra Mathura City Transport Services Limited | Transport | - | 0.05 | - | - | 0.05 | 25.65 | - | - | 25.65 | - | 816 |
| 79 | Kanpur City Transport Services Limited | Transport | 28.04.2010 | - | - | - | 0.00 | - | - | - | - | - | - |
| 80 | Varanasi City Transport Services Limited | Transport | 15.06.2010 | - | - | - | 0.00 | - | - | - | - | - | - |
| | Sector wise total | | | 12.69 | 0.48 | 9.82 | 22.99 | 25.65 | 0.00 | 6.51 | 32.16 | - | 1506 |
| | Total A (All sector wise working Government companies) | | | 56184.36 | 120.19 | 12837.42 | 69141.97 | 788.81 | 0.00 | 84067.91 | 84856.72 | 0.77:1 (0.57:1) | 71444 |

Audit Report on Public Sector Undertakings for the year ended 31 March 2014

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
|----------|---|----------------------------|------------|-----------------|---------------|-----------------|-----------------|----------------|-------------|-----------------|-----------------|--------------------|--------------|
| B | Working Statutory Corporations | | | | | | | | | | | | |
| | AGRICULTURE & ALLIED | | | | | | | | | | | | |
| 1 | Uttar Pradesh State Warehousing Corporation | Cooperative | 19.03.1958 | 7.79 | | 5.58 | 13.37 | - | - | - | - | - | 1510 |
| | Sector wise total | | | 7.79 | | 5.58 | 13.37 | 0.00 | 0.00 | 0.00 | 0.00 | - | 1510 |
| | FINANCING | | | | | | | | | | | | |
| 2 | Uttar Pradesh Financial Corporation | Industry Development | 01.11.1954 | 114.51 | - | 64.78 | 179.29 | 271.43 | - | 376.59 | 648.02 | 3.61:1 (3.61:1) | 697 |
| | Sector wise total | | | 114.51 | 0.00 | 64.78 | 179.29 | 271.43 | 0.00 | 376.59 | 648.02 | 3.61:1 (3.61:1) | 697 |
| | INFRASTRUCTURE | | | | | | | | | | | | |
| 3 | Uttar Pradesh Avas Evam Vikas Parishad | Housing and Urban Planning | 03.04.1966 | - | - | - | - | - | - | - | - | - | 4133 |
| 4 | Uttar Pradesh Jal Nigam | Urban Development | 06.06.1975 | - | - | - | - | 255.55 | - | - | 255.55 | - | - |
| | Sector wise total | | | 0.00 | 0.00 | 0.00 | 0.00 | 255.55 | 0.00 | 0.00 | 255.55 | - | 4133 |
| | SERVICE | | | | | | | | | | | | |
| 5 | Uttar Pradesh State Road Transport Corporation | Transport | 01.06.1972 | 358.06 | 60.01 | - | 418.07 | - | - | 292.86 | 292.86 | 0.70:1 (0.61:1) | - |
| 6 | Uttar Pradesh Government Employees Welfare Corporation | Food & Civil Supplies | 05.05.1965 | - | - | - | - | 9.51 | - | - | 9.51 | - | 889 |
| | Sector Wise total | | | 358.06 | 60.01 | 0.00 | 418.07 | 9.51 | 0.00 | 292.86 | 302.37 | 0.61:1 (0.68:1) | 889 |
| | Miscellaneous | | | | | | | | | | | | |
| 7 | Uttar Pradesh Forest Corporation** | Forest | 25.11.1974 | - | - | - | - | - | - | - | - | - | 2366 |
| | Sector Wise total | | | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | - | 2360 |
| | Total B (All Sector wise working statutory corporations) | | | 480.36 | 60.01 | 70.36 | 610.73 | 536.49 | 0.00 | 669.45 | 1205.94 | 1.66:1 (1.73:1) | 9589 |
| | Total (A+B) | | | 56664.72 | 180.20 | 12907.78 | 69752.70 | 1325.30 | 0.00 | 84737.36 | 86062.66 | 0.78:1 (0.58:1) | 81033 |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
|----------|--|--------------------------------------|------------|--------------|-------------|-------------|--------------|--------------|-------------|-------------|--------------|----------------------------|------------|
| C | Non working Companies | | | | | | | | | | | | |
| | AGRICULTURE & ALLIED | | | | | | | | | | | | |
| 1 | Command Area Poultry Development Corporation Limited (619-B company) | Matsya & Pashudhan | | - | - | 0.24 | 0.24 | | | | 0.00 | - | |
| 2 | Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited | Sugar Industry & Cane development | 27.08.1975 | 0.23 | - | 0.08 | 0.31 | 1.69 | - | | 1.69 | 5.45:1 (5.45:1) | 19 |
| 3 | Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikash Nigam Limited | Sugar Industry & Cane development | 27.08.1975 | 0.38 | - | 0.33 | 0.71 | 6.55 | - | | 6.55 | 9.23:1 (9.23:1) | - |
| 4 | Uttar Pradesh Pashudhan Udyog Nigam Limited | Matsya & Pashudhan | 05.03.1975 | 2.10 | 0.63 | - | 2.73 | 0.71 | - | | 0.71 | 0.26:1 (0.26:1) | 0 |
| 5 | Uttar Pradesh Poultry and Livestock Specialties Limited | Matsya & Pashudhan | 07.12.1974 | 1.66 | 1.28 | - | 2.94 | 1.10 | - | | 1.10 | 0.37:1 (0.37:1) | - |
| 6 | Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited | Food Processing | 06.04.1977 | 6.41 | - | 0.64 | 7.05 | 1.22 | - | | 1.22 | 0.17:1 (0.17:1) | 330 |
| | Sector wise Total | | | 10.78 | 1.91 | 1.29 | 13.98 | 11.27 | 0.00 | 0.00 | 11.27 | 0.81:1 (0.81:1) | 349 |
| | FINANCING | | | | | | | | | | | | |
| 7 | Uplasee Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited) | Electronics & Information Technology | 05.01.1988 | - | - | 1.06 | 1.06 | - | - | 4.15 | 4.15 | 3.92:1 (3.92:1) | - |
| 8 | Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited | Panchayati Raj | 24.04.1973 | 0.78 | - | 0.66 | 1.44 | - | - | | - | - | 52 |
| | Sector Wise Total | | | 0.78 | 0.00 | 1.72 | 2.50 | 0.00 | 0.00 | 4.15 | 4.15 | 1.66:1 (1.66:1) | 52 |

Audit Report on Public Sector Undertakings for the year ended 31 March 2014

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
|-----|--|-------------------------------------|------------|---------------|-------------|-------------|---------------|---------------|-------------|-------------|---------------|----------------------------|----------|
| | INFRASTRUCTURE | | | | | | | | | | | | |
| 9 | Uttar Pradesh Cement Corporation Limited | Industry Development | 19.03.1972 | 66.28 | - | - | 66.28 | 124.77 | - | - | 124.77 | 1.88:1 (1.88:1) | |
| 10 | Uttar Pradesh State Mineral Development Corporation Limited | Industry Development | 23.03.1974 | 59.43 | - | - | 59.43 | 18.24 | - | 1.50 | 19.74 | 0.33:1 (0.33:1) | |
| 11 | Vindhyaachal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited) | Industry Development | 05.12.1985 | - | - | 0.08 | 0.08 | - | - | 0.84 | 0.84 | 10.50:1 (10.50:1) | - |
| | Sector wise Total | | | 125.71 | 0.00 | 0.08 | 125.79 | 143.01 | 0.00 | 2.34 | 145.35 | 1.16:1 (1.16:1) | 0 |
| | MANUFACTURE | | | | | | | | | | | | |
| 12 | Auto Tractors Limited | Industry Development | 28.12.1972 | 5.63 | - | 1.87 | 7.50 | 0.38 | - | - | 0.38 | 0.05:1 (0.05:1) | - |
| 13 | Bhadohi Woollens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Ltd.) | HatKargha & Vastra Udyog | 14.06.1976 | - | - | 3.76 | 3.76 | - | - | - | 0.00 | - | - |
| 14 | Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited) | Sugar Industry and Cane Development | 18.04.1975 | - | - | 81.38 | 81.38 | - | 4.00 | 18.98 | 22.98 | 0.28:1 (0.24:1) | 11 |
| 15 | Continental Float Glass Limited | Industry Development | 12.04.1985 | - | - | 46.24 | 46.24 | - | - | 138.85 | 138.85 | 3:1 (3:1) | - |
| 16 | Electronics and Computers (India) Limited (619-B Company) | | | | | | 0.00 | | | | 0.00 | | - |
| 17 | Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited) | Sugar Industry and Cane Development | 30.05.1986 | - | - | 147.72 | 147.72 | - | - | 13.24 | 13.24 | 0.09:1 (0.07:1) | 13 |
| 18 | Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Ltd.) | Electronic & Information Technology | 31.03.1978 | - | - | 0.05 | 0.05 | - | - | - | 0.00 | 0.00 | - |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
|-----|--|-------------------------------------|------------|--------|------|-------|--------|-------|------|-------|-------|----------------------|-----|
| 19 | Nandgani-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited) | Sugar Industry and Cane Development | 18.04.1975 | - | - | 34.04 | 34.04 | - | - | 7.69 | 7.69 | 0.23:1 (0.23:1) | 80 |
| 20 | The Indian Turpentine and Rosin Company Limited | Industry Development | 22.02.1974 | 0.19 | - | 0.03 | 0.22 | 5.33 | - | 1.88 | 7.21 | 32.77:1 (32.77:1) | - |
| 21 | Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited) | Laghu Udyog | 18.6.1972 | - | - | 0.05 | 0.05 | - | - | - | 0.00 | - | - |
| 22 | Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Ltd.) | Industry Development | 23.04.1979 | - | - | 6.59 | 6.59 | 11.02 | - | - | 11.02 | 1.67:1 (1.67:1) | |
| 23 | Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited) | Industry Development | 1.01.1975 | 0.09 | - | 1.93 | 2.02 | 5.55 | - | 11.49 | 17.04 | 8.44:1 (8.44:1) | 259 |
| 24 | Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited) | Laghu Udyog | 28.6.1972 | - | - | 0.02 | 0.02 | - | - | - | 0.00 | - | - |
| 25 | Uttar Pradesh State Brassware Corporation Limited | Niryat Protsahan | 12.02.1974 | 5.28 | 0.10 | - | 5.38 | 1.94 | - | - | 1.94 | 0.36:1 (0.36:1) | |
| 26 | Uttar Pradesh State Textile Corporation Limited | HatKargha & Vastra Udyog | 02.12.1969 | 197.10 | - | - | 197.10 | 7.15 | - | - | 7.15 | 0.04:1 (0.4:1) | 0 |

Audit Report on Public Sector Undertakings for the year ended 31 March 2014

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
|-----|---|-------------------------------|------------|---------------|-------------|---------------|---------------|--------------|-------------|---------------|---------------|------------------------|------------|
| 27 | Uttar Pradesh Tyre and Tubes Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited) | Industry Development | 14.01.1976 | - | - | 1.83 | 1.83 | - | - | - | 0.00 | - | - |
| | Sector Wise Total | | | 208.29 | 0.10 | 325.51 | 533.90 | 31.37 | 4.00 | 192.13 | 227.50 | 0.42:1 (0.58:1) | 363 |
| | SERVICE SECTOR | | | | | | | | | | | | |
| 28 | Agra Mandal Vikas Nigam Limited | Bhumi Vikas & Jal Sansadhan | 31.03.1976 | 1.00 | - | - | 1.00 | 0.05 | - | - | 0.05 | 0.05:1 (0.05:1) | |
| 29 | Allahabad Mandal Vikas Nigam Limited | Bhumi Vikas & Jal Sansadhan | 31.03.1976 | 0.67 | - | - | 0.67 | 0.66 | - | - | 0.66 | 0.99:1 (0.99:1) | - |
| 30 | Bareilly Mandal Vikas Nigam Limited | Bhumi Vikas & Jal Sansadhan | 31.03.1976 | 1.25 | - | - | 1.25 | - | - | - | 0.00 | - | - |
| 31 | Gorakhpur Mandal Vikas Nigam Limited | Bhumi Vikas & Jal Sansadhan | 31.03.1976 | 0.94 | - | 0.32 | 1.26 | 0.65 | - | 0.27 | 0.92 | 0.73:1 (0.70:1) | - |
| 32 | Lucknow Mandaliya Vikas Nigam Limited | Bhumi Vikas & Jal Sansadhan | 31.03.1976 | 0.70 | - | - | 0.70 | 0.86 | - | - | 0.86 | 1.23:1 (1.23:1) | - |
| 33 | Meerut Mandal Vikas Nigam Limited | Bhumi Vikas & Jal Sansadhan | 31.03.1976 | 1.00 | - | - | 1.00 | - | - | - | 0.00 | - | - |
| 34 | Moradabad Mandal Vikas Nigam Limited | Bhumi Vikas & Jal Sansadhan | 30.03.1978 | 0.25 | - | - | 0.25 | 0.65 | - | - | 0.65 | 2.60:1 (2.60:1) | |
| 35 | Tarai Anusuchit Janjati Vikas Nigam Limited | Samaj Kalyan | 2.08.1975 | 0.45 | - | - | 0.45 | 1.25 | - | - | 1.25 | 2.78:1 (2.78:1) | |
| 36 | Uttar Pradesh Bundelkhand Vikas Nigam Limited | Bhumi Vikas & Jal Sansadhan | 30.03.1971 | 2.46 | - | - | 2.46 | 0.05 | - | - | 0.05 | 0.02:1 (0.04:1) | 0 |
| 37 | Uttar Pradesh Chalchitra Nigam Limited | Tax and Institutional Finance | 10.09.1975 | 8.18 | - | - | 8.18 | 2.47 | - | - | 2.47 | 0.30:1 (0.30:1) | 0 |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
|-----|--|-----------------------------------|------------|-----------------|---------------|-----------------|-----------------|----------------|-------------|-----------------|-----------------|----------------------------|--------------|
| 38 | Uttar Pradesh Poorvanchal Vikas Nigam Limited | Bhumi Vikas & Jal Sansadhan | 30.03.1971 | 1.30 | - | - | 1.30 | 0.35 | - | - | 0.35 | 0.27:1 (0.27:1) | - |
| 39 | Varanasi Mandal Vikas Nigam Limited | Bhumi Vikas & Jal Sansadhan | 31.03.1976 | 0.70 | - | - | 0.70 | 0.00 | - | - | 0.00 | (0.43:1) | - |
| | Sector wise Total | | | 18.90 | 0.00 | 0.32 | 19.22 | 6.99 | 0.00 | 0.27 | 7.26 | 0.42:1 (0.41:1) | 0 |
| | Total C (All sector wise non working companies) | | | 364.46 | 2.01 | 328.92 | 695.39 | 192.64 | 4.00 | 198.89 | 395.53 | 0.56:1 (0.69:1) | 764 |
| | Grand Total (A+B+C) | | | 57029.18 | 182.21 | 13236.70 | 70448.09 | 1517.94 | 4.00 | 84936.25 | 86458.19 | 1.38:1 (0.78:1) | 81797 |

Note 1: Above includes 619-B companies at Sl. No. A-18, C-1 and C-16.

Note 2 : Companies at Sl. No. A-46 to A-67 are subsidiaries of Uttar Pradesh State Tourism Development Corporation Limited

\$ Paid up capital includes share application money

* Loans outstanding at the close of 2012-13 represents long term loans only.

** The audit of Accounts for the period 1999-2000 to 2007-08 was conducted by Local Audit and Audit for the year 2008-09 was entrusted to this Office as per order of the Forest Corporation dated 31 July 2010 after doing necessary amendments in the UP Forest Corporation Act, 1974.

Annexure-1.2
(Referred to in paragraph 1.10)

Statement showing grants and subsidy received/receivable, waiver of dues, loans written off and loans converted in to equity during the year and guarantee commitment at the end of March 2014

(Figures in column 3(a) to 6 (d) are ₹ in crore)

| Sl. No. | Sector and name of the company | Equity / loans received out of budget during the year | | Grants and subsidy received during the year | | | | Guarantees received during the year and commitment at the end of the year | | | | Waiver of dues during the year | | | |
|----------|--|---|--------------|---|------------------|-------------|--------------|---|--------------|----------------------------|-----------------------------|----------------------------------|-------------|--|--|
| | | Equity | Loans | Central Government | State Government | Others | Total | Received | Commitment @ | Loan repayment written off | Loans converted into equity | Interest / penal interest waived | Total | | |
| | | 3(a) | 3(b) | 4(a) | 4(b) | 4(c) | 4(d) | 5(a) | 5(b) | 6(a) | 6(b) | 6(c) | 6(d) | | |
| 1 | A Working Government companies | | | | | | | | | | | | | | |
| | AGRICULTURE AND ALLIED | | | | | | | | | | | | | | |
| 1 | UP Agro Industrial corporation Limited | | 85.00 | | | | 0.00 | 85.00 | | | | | | | |
| | Sector wise total | 0.00 | 85.00 | 0.00 | 0.00 | 0.00 | 0.00 | 85.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | |
| | FINANCING | | | | | | | | | | | | | | |
| 2 | Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited | 0.00 | | | 78.61 | | 78.61 | | | | | | | | |
| 3 | The Pradeshya Industrial and Investment Corporation of Uttar Pradesh Limited | | | | | | | | 5.52 | | | | | | |
| 4 | Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited | | | | | | | | 52.65 | | | | | | |
| | Sector wise total | 0.00 | 0.00 | 0.00 | 78.61 | 0.00 | 78.61 | 0.00 | 58.17 | 0.00 | 0.00 | 0.00 | 0.00 | | |
| | INFRASTRUCTURE | | | | | | | | | | | | | | |
| 5 | Lucknow Metro Rail Corporation Limited | 0.05 | | | | | | | | | | | | | |
| | MANUFACTURE | | | | | | | | | | | | | | |
| 6 | Uttar Pradesh Electronics Corporation Limited. | | | | 0.88 | | 0.88 | | | | | | | | |
| 7 | UP State Spinning Company Ltd. | | 0.69 | | | | 0.00 | | | | | | | | |

| 1 | 2 | 3(a) | 3(b) | 4(a) | 4(b) | 4(c) | 4(d) | 5(a) | 5(b) | 6(a) | 6(b) | 6(c) | 6(d) |
|----|--|----------------|---------------|-------------|----------------|-------------|----------------|---------------|----------------|-------------|-------------|-------------|-------------|
| 8 | Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited) | | 0.74 | | | | 0.00 | | | | | | |
| | Sector Wise total | 0.00 | 1.43 | 0.00 | 0.88 | 0.00 | 0.88 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | POWER | | | | | | | | | | | | |
| 9 | Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | | | | 1546.79 | | 1546.79 | 4.68 | | | | | |
| 10 | Uttar Pradesh Jal Vidyut Nigam Limited | 1.39 | | | | 0.68 | 0.68 | | | | | | |
| 11 | UP Raja Vidyut Utapadan Nigam Limited | 252.01 | | | | | 0.00 | | 8894.00 | | | | |
| 12 | Uttar Pradesh Power Corporation Limited | 5067.59 | | | | | 0.00 | | 145.00 | | | | - |
| 13 | Kanpur Electricity Supply Company Limited | | | | 45.56 | | 45.56 | | | | | | |
| | Sector wise total | 5320.99 | 0.00 | 0.00 | 1592.35 | 0.68 | 1593.03 | 4.68 | 9039.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | SERVICE | | | | | | | | | | | | |
| 14 | Uttar Pradesh Food & Essential Commodities Corporation Limited | | 35.00 | | | | 0.00 | 35.00 | | | | | - |
| | Sector wise total | 0.00 | 35.00 | 0.00 | 0.00 | 0.00 | 0.00 | 35.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | MISCELLANEOUS | | | | | | | | | | | | |
| 15 | Uttar Pradesh Mahila Kalyan Nigam Limited | 0.00 | 0.00 | 0.00 | 2.38 | 0.00 | 2.38 | | | | | | |
| | Sector wise total | 0.00 | 0.00 | 0.00 | 2.38 | 0.00 | 2.38 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total A (All sector wise working Government companies) | 5320.99 | 121.43 | 0.00 | 1674.22 | 0.68 | 1674.90 | 124.68 | 9097.17 | 0.00 | 0.00 | 0.00 | 0.00 |
| B | Working Statutory Corporations | | | | | | | | | | | | |
| | INFRASTRUCTURE | | | | | | | | | | | | |
| 1 | Uttar Pradesh Jal Nigam | - | - | - | 1215.17 | - | 1215.17 | - | - | - | - | - | - |
| | Sector wise total | 0.00 | 0.00 | 0.00 | 1215.17 | 0.00 | 1215.17 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | SERVICE | | | | | | | | | | | | |
| 2 | Uttar Pradesh State Road Transport Corporation | 3.43 | | | | | 0.00 | | | | | | |

Audit Report on Public Sector Undertakings for the year ended 31 March 2014

| 1 | 2 | 3(a) | 3(b) | 4(a) | 4(b) | 4(c) | 4(d) | 5(a) | 5(b) | 6(a) | 6(b) | 6(c) | 6(d) |
|----------|--|----------------|---------------|-------------|----------------|-------------|----------------|---------------|----------------|-------------|-------------|-------------|-------------|
| | Sector wise total | 3.43 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total B (all sector wise statutory corporations) | 3.43 | 0.00 | 0.00 | 1215.17 | 0.00 | 1215.17 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total (A+B) | 5324.42 | 121.43 | 0.00 | 2889.39 | 0.68 | 2890.07 | 124.68 | 9097.17 | 0.00 | 0.00 | 0.00 | 0.00 |
| C | Non working Companies | | | | | | | | | | | | |
| | MANUFACTURE | | | | | | | | | | | | |
| 1 | UP State Textile Corporation Ltd. | - | 0.29 | - | - | - | 0.00 | - | - | - | - | - | - |
| 2 | Uttar Pradesh State Handloom Corporation Limited | | 2.08 | | | | 0.00 | | | | | | |
| 3 | Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited) | | | | | | | | 22.98 | | | | |
| | Sector Wise Total | 0.00 | 2.37 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 22.98 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total C (All sector wise non working companies) | 0.00 | 2.37 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 22.98 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Grand Total (A+B+C) | 5324.42 | 123.80 | 0.00 | 2889.39 | 0.68 | 2890.07 | 124.68 | 9120.15 | 0.00 | 0.00 | 0.00 | 0.00 |

@ Figures indicate total guarantee outstanding at the end of the year.

Annexure -1.3
(Referred to in paragraph 1.13)
Summarised financial results of Government companies and Statutory corporations
for the latest year for which accounts were finalised.

(Figures in columns 5(a) to 11 are ₹ in crore)

| Sr. No. | Sector & Name of the Company | Period of accounts | Year in which finalised | Net profit(+)/ Loss(-) | | Turn over | Impact of accounts Comments # | Paid up capital | Accumulated Profit (+)/ Loss | Capital employed@ | Return on capital employed\$ | Percent-age return on capital employ-ed | | |
|---------|---|--------------------|-------------------------|---|--------------|-------------|-------------------------------|-----------------|------------------------------|-------------------|------------------------------|---|--------------|-------------------|
| | | | | Net profit/ loss before interest and Depreciation | Interest | | | | | | | | Depreciation | Net Profit / Loss |
| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
| A | Working Government Companies | | | | | | | | | | | | | |
| | AGRICULTURE AND ALLIED | | | | | | | | | | | | | |
| 1 | Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited | 2013-14 | 2014-15 | 0.07 | 0.00 | 0.00 | 0.07 | 0.14 | - | 0.23 | -0.70 | 1.92 | 0.07 | 3.65 |
| 2 | Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited | 2012-13 | 2012-13 | -0.08 | 0.00 | 0.00 | -0.08 | 0.03 | - | 0.64 | 0.75 | 1.44 | -0.08 | - |
| 3 | Uttar Pradesh Beej Vikas Nigam Limited | 2010-11 | 2013-14 | 18.40 | 1.89 | 0.86 | 15.65 | 338.1 | - | 6.92 | 90.21 | 91.25 | 17.54 | 19.22 |
| 4 | Uttar Pradesh Bhumii Sudhar Nigam | 2008-09 | 2012-13 | -0.03 | - | 0.10 | -0.13 | 1.86 | (DL) 34.27 | 1.50 | 0.23 | 23.59 | -0.13 | - |
| 5 | Uttar Pradesh Matsya Vikas Nigam Limited | 2007-08 | 2013-14 | 0.71 | 0.00 | 0.10 | 0.55 | 2.16 | (IP) 0.02 (DP) 2.263 | 1.07 | 0.47 | 5.26 | 0.55 | 10.46 |
| 6 | U.P. Projects Corporation Limited | 2011-12 | 2013-14 | 15.15 | 0.00 | 0.19 | 14.96 | 593.29 | (DP) 24.80 | 6.40 | 45.23 | 51.63 | 14.96 | 28.98 |
| 7 | Uttar Pradesh State Agro Industrial Corporation Limited | 2008-09 | 2013-14 | 33.76 | 16.00 | 0.085 | 17.67 | 660.92 | (DP) 3.31 | 40.00 | -39.91 | 73.68 | 14.03 | 19.04 |
| | Sector wise total | | | 67.91 | 17.89 | 1.33 | 48.69 | 1596.50 | - | 56.76 | 96.28 | 248.77 | 46.94 | 18.87 |

Audit Report on Public Sector Undertakings for the year ended 31 March 2014

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|-----|--|------------------------|---------|---------------|--------------|-------------|---------------|----------------|-------------------------|---------------|----------------|---------------|---------------|--------------|
| | FINANCING | | | | | | | | | | | | | |
| 8 | The Pradeshya Industrial and Investment Corporation of UP Limited | 2011-12 | 2012-13 | 17.68 | 6.25 | 0.89 | 10.54 | 8.55 | (DP) 8.46 | 135.58 | -353.40 | 204.94 | 16.79 | 8.19 |
| 9 | Uttar Pradesh Alpsankhyak Vityya Avam Vikas Nigam Limited | 1995-96 | 2010-11 | 0.70 | 0.45 | 0.01 | 0.24 | 1.14 | (DP) 5.29 | 14.23 | 0.12 | 20.94 | 0.69 | 3.30 |
| 10 | Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited | 2011-12 | 2014-15 | 2.42 | 2.42 | 0.01 | -0.01 | 2.94 | (IL) 23.75 | 8.10 | 7.17 | 62.71 | 2.41 | 3.84 |
| 11 | Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited | 2010-11 | 2014-15 | 11.99 | 1.99 | 0.17 | 9.83 | 27.22 | (IP) 0.88 (DP) 4.00 | 202.12 | 80.21 | 297.92 | 11.82 | 3.97 |
| 12 | Uttar Pradesh State Industrial Development Corporation Limited | 2009-10 | 2012-13 | 68.08 | 0.00 | 6.43 | 61.65 | 103.16 | (DP) 1.52 | 24.08 | 0.01 | 244.36 | 61.65 | 25.23 |
| | Sector wise total | | | 100.87 | 11.11 | 7.51 | 82.25 | 143.01 | 0.00 | 384.11 | -265.89 | 830.87 | 93.36 | 11.24 |
| | INFRASTRUCTURE | | | | | | | | | | | | | |
| 13 | Uttar Pradesh Police Avam Nigam Limited | 2012-13 | 2013-14 | -4.36 | 0.003 | 0.11 | -4.47 | 60.23 | (IL) 0.82 (DL) 0.15 | 3.00 | -8.64 | 11.64 | -4.47 | -38.38 |
| 14 | Uttar Pradesh Rajkiya Nirman Nigam Limited | 2010-11 | 2012-13 | 237.81 | 0.42 | 4.90 | 232.49 | 3680.72 | (DP) 26.73 | 1.00 | 567.58 | 568.59 | 232.91 | 40.96 |
| 15 | Uttar Pradesh Samaj Kalyan Nirman Nigam Limited | 2012-13 | 2013-14 | -1.17 | 0.01 | 1.25 | -2.43 | 329.78 | - | 0.15 | 57.42 | 57.57 | -2.42 | -4.20 |
| 16 | Uttar Pradesh State Bridge Corporation Limited | 2011-12 | 2013-14 | 38.13 | 0.82 | 3.26 | 34.05 | 951.78 | (IP) 4.29 (DP) 37.88 | 15.00 | 103.00 | 138.19 | 34.87 | 25.23 |
| 17 | Lucknow Metro Rail Corporation Limited | Accounts not finalized | | - | - | - | - | - | - | 0.05 | - | - | - | - |
| | Sector wise total | | | 270.42 | 1.26 | 9.52 | 259.64 | 5022.51 | 0.00 | 19.15 | 719.36 | 775.99 | 260.90 | 33.62 |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|-----|--|---------|---------|-------|-------|------|--------|-------|-----------|--------|---------|--------|-------|-------|
| 18 | MANUFACTURE Almora Magnesite Limited(619-B Company) | 2013-14 | 2014-15 | -0.82 | 0.13 | 0.31 | -1.26 | 22.78 | - | 2.00 | 0.78 | 2.82 | 0.17 | 2.73 |
| 19 | Shretron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited) | 2013-14 | 2014-15 | 0.70 | 0.01 | 0.47 | 0.22 | 12.18 | (IL) 1.51 | 7.22 | 4.36 | 14.22 | 0.23 | 1.61 |
| 20 | Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited) | 1995-96 | 1997-98 | -1.99 | 28.06 | 2.07 | -32.12 | 97.15 | - | 53.16 | -196.73 | 52.06 | -4.06 | - |
| 21 | Uptron Powertronics Ltd. (subsidiary of Uttar Pradesh Electronics Corporation) | 2012-13 | 2013-14 | 0.62 | 0.01 | 0.41 | 0.20 | 20.07 | (DP) 0.18 | 4.07 | -5.99 | 6.79 | 0.21 | 3.09 |
| 22 | Uttar Pradesh Drugs and Pharmaceuticals Limited | 2009-10 | 2012-13 | -8.13 | 0.26 | 0.14 | -8.53 | 0.33 | - | 1.10 | -26.59 | -14.02 | -8.27 | - |
| 23 | Uttar Pradesh Electronics Corporation Limited. | 2012-13 | 2013-14 | 1.82 | 0.08 | 0.05 | 1.69 | 29.15 | - | 87.66 | 2.99 | 207.79 | 1.78 | 0.86 |
| 24 | Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited | 2010-11 | 2013-14 | 71.17 | 0.05 | 0.21 | 70.91 | 18.68 | (DP) 0.28 | 880.13 | -791.93 | 87.40 | 70.96 | 81.19 |
| 25 | Uttar Pradesh Small Industries Corporation Limited | 2003-04 | 2011-12 | 1.02 | 0.54 | 0.84 | -0.36 | 15.75 | (DL) 6.67 | 5.96 | -17.06 | 8.96 | 0.18 | 2.01 |
| 26 | Uttar Pradesh State Handloom Corporation Limited | 1996-97 | 2010-11 | -7.88 | 1.38 | 0.42 | -9.68 | 29.18 | (DP) 0.01 | 24.38 | -47.83 | 31.59 | -8.30 | - |
| 27 | Uttar Pradesh State Leather Development and Marketing Corporation Limited | 2000-01 | 2002-03 | 0.42 | 0.05 | 0.11 | 0.26 | 3.60 | - | 573.94 | -6.85 | 4.81 | 0.31 | 6.44 |

Audit Report on Public Sector Undertakings for the year ended 31 March 2014

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|-----|--|---------|---------|--------------|--------------|-------------|---------------|---------------|----------------------|----------------|-----------------|----------------|--------------|-------------|
| 28 | Uttar Pradesh State Spinning Company Limited | 2012-13 | 2013-14 | -8.78 | 0.00 | 1.00 | -9.78 | 40.09 | (IL) 5.78 | 93.24 | -216.2 | 35.03 | -9.78 | - |
| 29 | Uttar Pradesh State Sugar Corporation Limited | 2010-11 | 2014-15 | -6.55 | 13.97 | 2.27 | -22.79 | 122.93 | (IL) 0.89 | 1103.71 | -86.47 | 1027.47 | -8.82 | -0.86 |
| 30 | Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited) | 2012-13 | 2014-15 | -2.07 | 3.08 | 0.19 | -5.34 | 0.00 | | 31.91 | -177.69 | -8.81 | -2.26 | - |
| | Sector wise total | | | 39.53 | 47.62 | 8.49 | -16.58 | 411.89 | 0.00 | 2868.48 | -1565.21 | 1456.11 | 32.35 | 2.22 |
| | POWER | | | | | | | | | | | | | |
| 31 | Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | 2012-13 | 2013-14 | -1843.69 | 1354.95 | 165.42 | -3364.06 | 3932.46 | (IL) 28.00 | 1946.38 | -13662.16 | 641.74 | -2009.11 | - |
| 32 | Kanpur Electricity Supply Company Limited | 2012-13 | 2013-14 | -340.73 | 186.88 | 17.26 | -544.87 | 1145.72 | (IL) 3.03 (DL) 0.42 | 163.15 | -2646.87 | -635.79 | -357.99 | - |
| 33 | Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | 2012-13 | 2013-14 | -1244.38 | 675.19 | 113.43 | -2033 | 3660.56 | (IL) 59.41 | 2306.16 | -8470.38 | 1849.09 | -1357.81 | - |
| 34 | Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | 2012-13 | 2013-14 | -391.70 | 726.25 | 185.4 | -1303.35 | 7352.87 | (IL) 26.78 (DL) 7.41 | 1839.15 | -7582.92 | 2460.61 | -577.10 | - |
| 35 | Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | 2012-13 | 2013-14 | -1531.25 | 870.04 | 131.55 | -2532.84 | 4064.51 | (IL) 76.86 | 2204.23 | -11015.77 | 712.96 | -1662.80 | - |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|-----|--|------------------------|---------|-----------------|----------------|----------------|------------------|-----------------|-------------------------|-----------------|------------------|-----------------|-----------------|----------|
| 36 | Sonebhadra Power Generation Company Limited | 2010-11 | 2013-14 | -2.42 | 0.00 | 0.00 | -2.42 | 0.00 | - | 0.07 | -2.97 | -2.90 | -2.42 | - |
| 37 | UCM Coal Company Limited | 2013-14 | 2014-15 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | - | 0.16 | 0.00 | 0.83 | 0.00 | - |
| 38 | UPSIDC Power Company Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited) | 2011-12 | 2013-14 | -0.02 | 0.00 | 0.00 | -0.02 | 0.01 | - | 0.05 | -0.22 | -0.17 | -0.02 | - |
| 39 | Uttar Pradesh Jal Vidyut Nigam Limited | 2011-12 | 2014-15 | -62.31 | 22.53 | 10.38 | -95.22 | 77.22 | (DL) 11.42 | 431.75 | -368.44 | 240.22 | -72.70 | -30.26 |
| 40 | Uttar Pradesh Power Corporation Limited | 2012-13 | 2013-14 | -3297.23 | 179.96 | 2.13 | -3479.32 | 26617.01 | (IL) 21.44 | 34948.78 | -33189.92 | 31948.18 | -3299.36 | - |
| 41 | Uttar Pradesh Power Transmission Corporation Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | 2012-13 | 2014-15 | 828.74 | 430.86 | 374.94 | 22.94 | 1308.78 | (DP) 7.07 | 4575.55 | -1123.86 | 10604.07 | 453.80 | 4.28 |
| 42 | Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited | 2011-12 | 2013-14 | 572.65 | 272.91 | 188.55 | 111.19 | 4586.04 | (IP) 16.28 (DP) 9.69 | 6857.76 | -364.14 | 15727.10 | 610.24 | 3.88 |
| 43 | Western U.P. Power Transmission Company Limited | 2011-12 | 2013-14 | -0.65 | 0.00 | 0.00 | -0.65 | 0.15 | - | 0.05 | -4.89 | 0.10 | -0.65 | - |
| 44 | Jawahar Vidyut Utpadan Nigam Limited | 2009-10 | 2011-12 | -1.23 | - | - | -1.23 | - | - | 0.05 | -1.23 | -1.18 | -1.23 | - |
| 45 | Yamuna Power Generation Corporation Limited (Incorporated w.e.f. 20-04-10) | Accounts not finalised | | | | | | | | | | | | |
| | Sector wise total | | | -7314.22 | 4719.57 | 1189.06 | -13222.85 | 52745.33 | 0.00 | 55273.29 | -78433.77 | 63544.86 | -8277.15 | - |

Audit Report on Public Sector Undertakings for the year ended 31 March 2014

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|-----|--|---------|---------|------|------|------|------|-----|-----|------|-----|------|------|------|
| | SERVICE | | | | | | | | | | | | | |
| 46 | Abhyaranya Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 47 | Adyahasai Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 48 | Awadh Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 49 | Bithpur Paripath Paryatan Ltd. | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 50 | Braj Darshan Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 51 | Braj Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 52 | Bundelkhand Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 53 | Ganga Saryu Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 54 | Garhmukteshwar Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 55 | Gyanodaya Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 56 | Hasinapur Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 57 | Hindon Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 58 | Madhyanchal Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 59 | Paanchal Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 60 | Pachmanchal Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 61 | Sangam Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 62 | Satyadarshan Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|-----|---|---------|---------|-------------|-------------|-------------|-------------|---------------|--------------------------|--------------|--------------|---------------|-------------|-------------|
| 63 | Shajhaapur Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 64 | Siddhartha Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 65 | Taj Shilp Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 66 | Taj Virasat Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 67 | Triveni Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 68 | Uttar Pradesh Development Systems Corporation Limited | 2011-12 | 2014-15 | 0.92 | 0.00 | 0.04 | 0.88 | 5.98 | (DP) 0.24 | 1.00 | 0.53 | 1.53 | 0.88 | 57.52 |
| 69 | Uttar Pradesh Handicraft & Marketing Development Corporation Limited(Formerly Uttar Pradesh Export Corporation Limited) | 2006-07 | 2014-15 | -0.64 | 0.00 | 0.06 | -0.70 | 7.48 | - | 7.24 | 21.92 | 7.51 | -0.70 | -9.32 |
| 70 | Uttar Pradesh Food and Essential Commodities Corporation Limited | 2005-06 | 2013-14 | 5.42 | 3.95 | 0.21 | 1.26 | 683.76 | (DP) 0.2 (IL) 12.8 | 10.00 | 19.21 | 118.50 | 5.21 | 4.40 |
| 71 | Uttar Pradesh State Tourism Development Corporation Limited | 2012-13 | 2014-15 | 0.89 | 0.02 | 1.72 | -0.85 | 31.57 | (IL) 2.39 | 18.60 | -13.34 | 6.67 | -0.83 | -12.44 |
| | Sector wise total | | | 6.59 | 3.97 | 2.03 | 0.59 | 728.79 | 0.00 | 37.94 | 28.32 | 135.31 | 4.56 | 3.37 |
| | MISCELLANEOUS | | | | | | | | | | | | | |
| 72 | Uttar Pradesh Mahila Kalyan Nigam Limited | 2012-13 | 2014-15 | 0.17 | 0.00 | 0.12 | 0.05 | 0.14 | under process | 13.36 | 1.72 | 16.34 | 0.05 | 0.31 |
| 73 | Uttar Pradesh Purva Sainik Kalyan Nigam Limited | 2011-12 | 2014-15 | 14.95 | 0.00 | 0.20 | 14.75 | 137.14 | (IP) 0.287 (DP) 0.039 | 0.43 | 81.19 | 81.62 | 14.75 | 18.07 |
| 74 | Uttar Pradesh Waqf Vikas Nigam Limited | 1998-99 | 2007-08 | 0.01 | - | 0.01 | - | 0.28 | (IL) 0.002 | 3.50 | 0.02 | 2.11 | - | - |

Audit Report on Public Sector Undertakings for the year ended 31 March 2014

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|----------|--|--------------------------------|---------|----------|---------|---------|-----------|----------|-----------------------|----------|-----------|----------|----------|-------|
| 75 | Lucknow City Transport Services Limited | Accounts not finalised 2010-11 | | | | | | | | | | | | - |
| 76 | Meerut City Transport Services Limited | Accounts not finalised 2012-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (IL) 0.09 | 0.05 | 0.00 | 0.40 | 0.00 | - |
| 77 | Allahabad City Transport Services Limited | Accounts not finalised | | | | | | | | | | | | |
| 78 | Agra Mathura City Transport Services Limited | Accounts not finalised | | | | | | | | | | | | |
| 79 | Kanpur City Transport Services Limited (Incorporated w.e.f. 28-04-10) | Accounts not finalised | | | | | | | | | | | | |
| 80 | Varanasi City Transport Services Limited (Incorporated w.e.f.15-06-10) | Accounts not finalised | | | | | | | | | | | | |
| | Sector wise total | | | 15.13 | 0.00 | 0.33 | 14.80 | 137.56 | 0.00 | 17.34 | 82.93 | 100.47 | 14.80 | 14.73 |
| | Total A (All sector wise working Government companies) | | | -6813.77 | 4801.42 | 1218.28 | -12833.47 | 60785.59 | | 58657.07 | -79337.98 | 67092.38 | -7824.25 | - |
| | Working Statutory corporations | | | | | | | | | | | | | |
| B | AGRICULTURE & ALLIED | | | | | | | | | | | | | |
| 1 | Uttar Pradesh State Warehousing Corporation | 2011-12 | 2014-15 | 70.59 | 0.00 | 8.82 | 61.77 | 215.46 | 0.37(IP) 16.02(DP) | 11.17 | 325.49 | 338.86 | 61.80 | 18.24 |
| | Sector wise total | | | 70.59 | 0.00 | 8.82 | 61.77 | 215.46 | 0.00 | 11.17 | 325.49 | 338.86 | 61.80 | 18.24 |
| | FINANCE | | | | | | | | | | | | | |
| 2 | Uttar Pradesh Financial Corporation | 2011-12 | 2013-14 | 17.53 | 0.01 | 0.00 | 17.52 | 21.71 | (DP) 13.68 | 179.28 | -915.76 | 969.80 | 17.53 | 1.81 |
| | Sector wise total | | | 17.53 | 0.01 | 0.00 | 17.52 | 21.71 | 0.00 | 179.28 | -915.76 | 969.80 | 17.53 | 1.81 |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|----------|--|----------------------------|---------|-----------------|----------------|----------------|------------------|-----------------|---------------------------|-----------------|------------------|-----------------|-----------------|-------------|
| | INFRASTRUCTURE | | | | | | | | | | | | | |
| 3 | Uttar Pradesh Avas Evam Vikas Parishad | 2012-13 | 2014-15 | 484.17 | 0.37 | 27.05 | 456.75 | 512.31 | (IP) 45.24 (DP) 756.70 | 0.00 | 4180.38 | 4180.38 | 457.12 | 10.93 |
| 4 | Uttar Pradesh Jal Nigam | 2010-11 | 2012-13 | 60.05 | 39.70 | 0.25 | 20.10 | 655.51 | 0.00 | 0.00 | -63.52 | 9741.13 | 59.80 | 0.61 |
| | Sector wise total | | | 544.22 | 40.07 | 27.30 | 476.85 | 1167.82 | 0.00 | 0.00 | 4116.86 | 13921.51 | 516.92 | 3.71 |
| | SERVICE | | | | | | | | | | | | | |
| 5 | Uttar Pradesh State Road Transport Corporation | 2012-13 | 2013-14 | -32.73 | 28.33 | 21.54 | -82.6 | 2493.7 | (IL) 4.05 | 414.64 | -1189.04 | -380.57 | -54.27 | - |
| 6 | Uttar Pradesh Government Employees Welfare Corporation | 2011-12 | 2014-15 | 22.95 | 0.83 | 0.07 | 22.05 | 637.96 | (DP) 2.73 | 0.00 | 30.11 | 45.97 | 22.88 | 49.77 |
| | Sector wise total | | | -9.78 | 29.16 | 21.61 | -60.55 | 3131.66 | 0.00 | 414.64 | -1158.93 | -334.60 | -31.39 | - |
| | MISCELLANEOUS | | | | | | | | | | | | | |
| 7 | Uttar Pradesh Forest Corporation* | 2012-13 | 2014-15 | 117.02 | 0.00 | 2.22 | 114.8 | 361.14 | (DP) 2.14 (IP) 0.0016 | 1390.16 | 1390.16 | 1409.07 | 114.80 | 8.15 |
| | Sector wise total | | | 117.02 | 0.00 | 2.22 | 114.80 | 361.14 | 0.00 | 1390.16 | 1390.16 | 1409.07 | 114.80 | 8.15 |
| | Total B (All sector wise working Statutory corporations) | | | 739.58 | 69.24 | 59.95 | 610.39 | 4897.79 | 0.00 | 1995.25 | 3757.82 | 16304.64 | 679.66 | 4.17 |
| | Grand Total (A + B) | | | -6074.19 | 4870.66 | 1278.23 | -12223.08 | 65683.38 | | 60652.32 | -75580.16 | 83397.02 | -7144.59 | - |
| C | Non working Government companies | | | | | | | | | | | | | |
| | AGRICULTURE AND ALLIED | | | | | | | | | | | | | |
| 1 | Command Area Poultry Development Corporation Limited (619-B company) | 1994-95 | - | 0.02 | - | 0.01 | 0.01 | 0.96 | - | 0.24 | - | - | 0.01 | - |
| 2 | Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited | 2002-03 (UL from 01-07-03) | 2004-05 | -0.14 | 0.04 | - | -0.18 | 0.04 | - | 0.31 | -0.55 | 1.53 | -0.14 | - |

Audit Report on Public Sector Undertakings for the year ended 31 March 2014

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|-----|--|------------------------------|---------|--------------|-------------|-------------|--------------|-------------|-------------|-------------|---------------|--------------|--------------|--------------|
| 3 | Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikash Nigam Limited | 2006-07 (UL) from 01.07.0) | 2008-09 | 0.06 | 1.10 | 0.01 | -1.05 | 0.11 | - | 0.71 | -8.01 | 3.31 | 0.05 | 1.51 |
| 4 | Uttar Pradesh Pashudhan Udyog Nigam Limited | 2009-10 | 2014-15 | 0.22 | 0.11 | 0.01 | 0.099 | 0.47 | (DP) 0.89 | 2.73 | -7.60 | 3.49 | 0.21 | - |
| 5 | Uttar Pradesh Poultry and Livestock Specialities Limited | 2009-10 | 2014-15 | -0.01 | 0.16 | 0.001 | -0.17 | 0.015 | (IL) 0.31 | 2.94 | -4.00 | 0.04 | -0.01 | - |
| 6 | Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited | 1984-85 | 1994-95 | -0.51 | 0.15 | 0.01 | -0.67 | 0.27 | - | 1.90 | -2.55 | 80.72 | -0.52 | - |
| | Sector wise total | | | -0.36 | 1.56 | 0.04 | -1.96 | 1.87 | 0.00 | 8.83 | -22.71 | 89.09 | -0.40 | -0.45 |
| | FINANCE | | | | | | | | | | | | | |
| 7 | Uplease Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited) | 1997-98 | 1998-99 | 0.37 | 0.54 | 0.23 | -0.40 | 1.29 | - | 1.05 | -0.40 | 5.34 | 0.14 | 2.62 |
| 8 | Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited | 1994-95 | 2012-13 | -0.09 | 0.03 | 0.00 | -0.12 | 0.36 | - | 1.47 | -0.36 | 1.17 | -0.12 | - |
| | Sector wise total | | | 0.28 | 0.57 | 0.23 | -0.52 | 1.65 | 0.00 | 2.52 | -0.76 | 6.51 | 0.02 | 0.31 |
| | INFRASTRUCTURE | | | | | | | | | | | | | |
| 9 | Uttar Pradesh Cement Corporation Limited | 1995-96 (UL from 08-02-1999) | 1996-97 | -20.07 | 24.84 | 2.84 | -47.75 | 113.01 | - | 68.28 | -425.99 | -239.80 | -22.91 | - |
| 10 | Uttar Pradesh State Mineral Development Corporation Limited | 2011-12 | 2013-14 | 1.33 | 1.55 | 0.05 | -0.27 | 1.76 | - | 59.43 | -77.36 | -0.09 | 1.28 | - |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|-----|---|------------------------------|---------|---------------|--------------|-------------|---------------|---------------|-------------|---------------|----------------|----------------|---|----------|
| 11 | Vindhyachal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited) | 1987-88 (UL from 11-2002) | 1995-96 | -0.11 | 0.01 | | -0.12 | | - | - | -0.11 | 0.01 | -0.11 | - |
| | Sector wise total | | | -18.85 | 26.40 | 2.89 | -48.14 | 114.77 | 0.00 | 127.71 | -503.46 | -239.88 | -21.74 | - |
| | MANUFACTURE SECTOR | | | | | | | | | | | | | |
| 12 | Auto Tractors Limited | 1991-92 (UL from 14-02-2003) | 1995-96 | 0.37 | 0.26 | - | 0.11 | 6.31 | - | 7.50 | - | 11.14 | 0.37 | 3.32 |
| 13 | Bhadohi Woollens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Ltd.) | 1994-95 (UL from 20-02-96) | | 0.85 | 2.51 | - | -1.66 | 0.27 | - | 3.76 | -11.95 | -0.49 | 0.85 | - |
| 14 | Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited) | 2011-12 | 2012-13 | -0.66 | 0.75 | 0.14 | -1.55 | 0.02 | - | 81.38 | -96.81 | 11.42 | -0.80 | - |
| 15 | Continental Float Glass Limited | 1997-98 (UL from 01-04-2002) | 2002-03 | - | - | - | - | - | - | 46.24 | - | 83.87 | Company went into Liquidation (since inception) | - |
| 16 | Electronics and Computers (India) Limited (619-B Company) | (UL from 14-07-1981) | - | - | - | - | - | - | - | - | - | - | - | - |
| 17 | Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited) | 2012-13 | 2013-14 | -0.58 | 0.39 | 0.06 | -1.03 | 0.00 | - | 8.95 | -154.21 | -6.60 | -0.64 | - |

Audit Report on Public Sector Undertakings for the year ended 31 March 2014

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|-----|---|------------------------------|---------|-------|------|------|-------|------|-----|--------|---------|--------|-------|------|
| 18 | Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Ltd.) | (UL from 10-06-1996) | - | - | - | - | 0.05 | - | - | -- | - | - | - | - |
| 19 | Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited) | 2010-11 | 2012-13 | -5.81 | - | 0.09 | -5.90 | 0.05 | - | 239.38 | -245.18 | 12.82 | -5.90 | - |
| 20 | The Indian Turpentine and Rosin Company Limited | 2010-11 | 2012-13 | -0.49 | 0.10 | 0.01 | -0.60 | 0.03 | - | 0.22 | -32.93 | -25.54 | -0.50 | - |
| 21 | Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited) | 1975-76 (UL from 19-04-1996) | | -0.01 | 0.01 | - | -0.02 | - | - | 0.05 | - | 0.12 | -0.01 | - |
| 22 | Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited) | 1992-93 (UL from 19-02-94) | - | -0.15 | 5.67 | 0.36 | -6.18 | 2.26 | - | 6.58 | -35.32 | -18.45 | -0.51 | - |
| 23 | Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited) | 2001-02 | 2005-06 | -0.26 | 0.02 | 0.01 | -0.29 | 0.16 | - | 1.93 | -38.75 | 0.35 | -0.27 | - |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|-----|--|------------------------------|---------|--------------|--------------|-------------|---------------|--------------|-------------|---------------|-----------------|----------------|--------------|----------|
| 24 | Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited) | 1974-75 (UL from 11/2003) | 1984-85 | -0.01 | - | - | -0.01 | 0.04 | - | 0.01 | 0.01 | -0.34 | -0.01 | - |
| 25 | Uttar Pradesh State Brassware Corporation Limited | 1997-98 | 2007-08 | 2.52 | 0.12 | 0.01 | 2.39 | 0.53 | - | 5.38 | -6.04 | 3.59 | 2.51 | 69.92 |
| 26 | Uttar Pradesh State Textile Corporation Limited | 2012-13 | 2013-14 | -0.52 | 6.85 | 0.26 | -7.63 | 0.00 | (DL) 12.89 | 160.79 | -498.63 | -330.43 | -0.78 | - |
| 27 | Uttar Pradesh Tyre and Tubes Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited) | 1992-93 (UL from 09-01-1996) | - | 2.10 | 4.27 | - | -2.17 | 1.38 | - | 1.83 | -9.96 | -4.06 | 2.10 | - |
| | Sector wise total | | | -2.65 | 20.95 | 0.94 | -24.54 | 11.10 | 0.00 | 564.00 | -1129.77 | -262.60 | -3.59 | - |
| | SERVICE | | | | | | | | | | | | | |
| 28 | Agra Mandal Vikas Nigam Limited | 1988-89 | 2007-08 | -0.08 | - | 0.01 | -0.09 | 3.91 | - | 1.00 | -0.35 | 0.92 | -0.09 | - |
| 29 | Allahabad Mandal Vikas Nigam Limited | 1983-84 | 1992-93 | -0.03 | 0.01 | 0.07 | -0.11 | 2.74 | - | 0.55 | -0.11 | 0.99 | -0.10 | - |
| 30 | Bareilly Mandal Vikas Nigam Limited | 1988-89 | 2011-12 | -0.22 | 0.12 | 0.05 | -0.39 | 3.33 | - | 1.00 | -1.52 | 4.63 | -0.27 | - |
| 31 | Gorakhpur Mandal Vikas Nigam Limited | 1988-89 | 2013-14 | -0.15 | 0.01 | 0.03 | -0.07 | 0.25 | - | 1.26 | -1.59 | 0.59 | -0.07 | - |
| 32 | Lucknow Mandaliya Vikas Nigam Limited | 1981-82 | 1992-93 | 0.54 | - | 0.53 | 0.01 | 1.70 | - | 0.50 | 1.49 | 0.61 | 0.01 | 1.64 |
| 33 | Meerut Mandal Vikas Nigam Limited | 2008-09 | 2010-11 | -0.03 | - | - | -0.03 | - | - | 1.00 | -1.50 | -0.01 | -0.03 | - |

Audit Report on Public Sector Undertakings for the year ended 31 March 2014

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|-----|---|---------|---------|-----------------|----------------|----------------|------------------|-----------------|-------------|-----------------|------------------|-----------------|-----------------|----------|
| 34 | Moradabad Mandal Vikas Nigam Limited | 1991-92 | 2011-12 | -0.08 | 0.11 | - | -0.19 | 0.85 | - | 0.25 | -0.78 | 0.12 | -0.08 | - |
| 35 | Tarai Anusuchit Janjati Vikas Nigam Limited | 1982-83 | 1990-91 | -0.04 | - | - | -0.04 | 0.01 | - | 0.25 | - | 0.70 | -0.04 | - |
| 36 | Uttar Pradesh Bundelkhand Vikas Nigam Limited | 2008-09 | 2010-11 | 0.25 | - | - | 0.25 | 0.20 | - | 1.23 | -1.57 | -0.29 | 0.25 | - |
| 37 | Uttar Pradesh Chalchitra Nigam Limited | 2009-10 | 2011-12 | 0.03 | 0.40 | 0.01 | -0.38 | 0.12 | (IL) 0.14 | 8.18 | -14.80 | -4.14 | 0.02 | - |
| 38 | Uttar Pradesh Poorvanchal Vikas Nigam Limited | 1987-88 | 1994-95 | -0.11 | - | 0.03 | -0.14 | 1.30 | - | 1.15 | -1.08 | 0.19 | -0.14 | - |
| 39 | Varanasi Mandal Vikas Nigam Limited | 1987-88 | 1993-94 | -0.02 | - | 0.01 | -0.03 | 1.47 | - | 0.70 | -0.26 | 0.88 | -0.03 | - |
| | Sector wise total | | | 0.06 | 0.65 | 0.74 | -1.21 | 15.88 | 0.00 | 17.07 | -22.07 | 5.19 | -0.57 | - |
| | Total C (All sector wise non working Government Companies) | | | -21.52 | 50.13 | 4.84 | -76.37 | 145.27 | 0.00 | 720.13 | -1678.77 | -401.69 | -26.28 | - |
| | Grand Total (A + B + C) | | | -6095.71 | 4920.79 | 1283.07 | -12299.45 | 65828.64 | 0.00 | 61372.45 | -77258.93 | 82995.33 | -7170.87 | - |

Note: IL indicates increase in loss, DL indicates decrease in loss, IP indicates increase in profit and DP indicates decrease in profit.

#

@ Impact of accounts comments include the net impact of comments of Statutory Auditor and CAG.

\$ Capital employed represents net fixed assets (including capital work in progress) plus working capital except in case of finance companies/corporations where the capital employed is worked out as a mean of aggregate of the opening and closing balances of paid up capital, free reserves, bonds, deposits and borrowings including refinancing.

* Return on capital employed has been worked out by adding profit and interest charged to profit and loss account.

The audit of Accounts for the period 1999-2000 to 2007-08 was conducted by Local Audit and Audit for the year 2008-09 was entrusted to this Office as per order of the Forest Corporation dated 31 July 2010 after doing necessary amendments in the UP Forest Corporation Act, 1974.

Annexure- 1.4
(Referred to in paragraph 1.13)

Statement showing financial position of Statutory corporations

Working Statutory corporations

1. Uttar Pradesh State Road Transport Corporation

(₹ in crore)

| Particulars | 2010-11 | 2011-12 | 2012- 13 |
|--|------------------|-------------------|------------------|
| A. Liabilities | | | |
| Capital (including capital loan and equity capital) | 369.13 | 408.64 | 414.64 |
| Borrowings: | | | |
| Government: | | | |
| Central | - | - | - |
| State | - | - | - |
| Others | 243.09 | 290.78 | 258.52 |
| Funds | 8.69 | 25.85 | 135.31 |
| Trade dues and other current liabilities (including provisions) | 1012.24 | 1112.95 | 1196.75 |
| Uttar Pradesh and Uttaranchal State Road Transport Corporation reorganisation settlement account | 26.41 | 26.41 | 26.41 |
| Total A | 1659.56 | 1864.63 | 2031.63 |
| B. Assets | | | |
| Gross Block | 1189.61 | 1194.58 | 1271.73 |
| Less: Depreciation | 730.85 | 752.97 | 774.51 |
| Net fixed assets | 458.76 | 441.61 | 497.22 |
| Capital work in progress (including cost of chassis) | 13.13 | - | - |
| Investments | - | 13.33 | 13.33 |
| Current Assets, Loans and Advances | 252.84 | 371.27 | 332.04 |
| Accumulated Losses | 934.83 | 1038.42 | 1189.04 |
| Total B | 1659.56 | 1864.63 | 2031.63 |
| C. Capital employed | (-)287.51 | (-)313.15* | (-)380.57 |

2. Uttar Pradesh Financial Corporation

(₹ in crore)

| Particulars | 2009-10 | 2010-11 | 2011-12 |
|---|----------------|----------------|----------------|
| A. Liabilities | | | |
| Paid-up capital | 179.28 | 179.28 | 179.28 |
| Share application money | - | - | - |
| Reserve fund and other reserves and surplus | 19.36 | 19.25 | 19.13 |
| Borrowings: | | | |
| (i) Bonds and debentures | 217.32 | 167.16 | 103.09 |
| (ii) Fixed deposits | 0.03 | 0.009 | 0.009 |
| (iii) Industrial Development Bank of India and Small Industries Development Bank of India | 374.94 | 374.84 | 372.84 |
| (iv) Reserve Bank of India | | | |
| (v) Loans in lieu of share capital: | | | |
| (a) State Government | 228.25 | 269.27 | 292.99 |
| (b) National Handicapped Finance and Development Corporation | 0.53 | 0.43 | 0.38 |
| (vi) Others (including State Govt.) | - | - | 0 |
| Other Liabilities and Provisions | 407.38 | 390.67 | 374.66 |
| Total A | 1427.09 | 1400.91 | 1342.38 |
| B. Assets | | | |
| Cash and Bank balances | 9.49 | 26.41 | 41.65 |
| Investments | 15.10 | 15.10 | 0.10 |
| Loans and Advances | 414.88 | 387.76 | 362.55 |
| Net Fixed Assets | 10.42 | 10.08 | 9.76 |
| Other Assets | 25.85 | 28.57 | 12.56 |
| Miscellaneous Expenditure | - | - | - |
| Profit and Loss Account | 951.35 | 932.99 | 915.76 |
| Total B | 1427.09 | 1400.91 | 1342.38 |
| C. Capital Employed[#] | 1008.23 | 995.65 | 969.80 |

* Capital employed represents shareholders fund plus long term borrowings.

Capital employed represents the mean of the aggregate of opening and closing balances of paid-up capital, seed money, debentures, reserves (other than those which have been funded specifically and backed by Investment outside), bonds, deposits and borrowings (including refinance).

3. Uttar Pradesh State Warehousing Corporation

(₹ in crore)

| Particulars | 2009-10 | 2010-11 | 2011-12 |
|---|---------------|---------------------------|---------------|
| A. Liabilities | | | |
| Paid up capital* | 13.37 | 13.37 | 13.37 |
| Reserves and surplus | 299.43 | 286.43 | 325.49 |
| Subsidy | - | - | - |
| Borrowings: | | | |
| Government | - | - | - |
| Others | - | - | - |
| Deferred tax liability | - | 16.62 | 14.53 |
| Trade Dues and Current Liabilities (including provisions) | 74.59 | 91.51 | 101.33 |
| Total A | 387.39 | 407.93 | 454.72 |
| B. Assets | | | |
| Gross Block | 274.34 | 292.68 | 303.57 |
| Less Depreciation | 52.80 | 64.31 | 73.13 |
| Net Fixed Assets | 221.54 | 228.37 | 230.44 |
| Capital work-in-progress | (-0.82) | 3.64 | 14.08 |
| Current Assets, Loans and Advances | 166.67 | 175.92 | 210.20 |
| Profit and Loss Account | - | - | - |
| Total B | 387.39 | 407.93 | 454.72 |
| C. Capital Employed | 312.80 | 299.80^Ω | 338.86 |

4. Uttar Pradesh Forest Corporation

(₹ in crore)

| Particulars | 2010-11 | 2011-12 | 2012-13 |
|---|----------------|----------------------------|----------------|
| A. Liabilities | | | |
| Reserve and Surplus | 1173.95 | 1298.29 | 1390.16 |
| Borrowings | 15.75 | 13.50 | 18.91 |
| Current Liabilities (including provisions) | 189.00 | 187.34 | 216.87 |
| Other Liabilities | - | - | - |
| Total A | 1378.70 | 1499.13 | 1625.94 |
| B. Assets | | | |
| Net Fixed Assets | 17.59 | 19.07 | 20.83 |
| Current Assets, Loans and Advances | 1307.13 | 1426.12 | 1551.17 |
| Accumulated loss | - | - | - |
| Uttaranchal Forest Development Corporation, Dehradun. (Net assets under its possession) | 53.77 | 53.77 | 53.77 |
| Miscellaneous Expenditure | 0.21 | 0.17 | 0.17 |
| Total B | 1378.70 | 1499.13 | 1625.94 |
| C. Capital employed | 1135.72 | 1311.79^Ω | 1409.07 |

5. Uttar Pradesh Avas Evam Vikas Parishad

(₹ in crore)

| Particulars | 2010-11 | 2011-12 | 2012-13 |
|--|----------------|----------------------------|----------------|
| A. Liabilities | | | |
| Parishad Fund | 3275.04 | 3719.70 | 4180.38 |
| Surplus | - | - | - |
| Borrowings | - | 90.39 | - |
| Deposits | 137.64 | 121.26 | 331.11 |
| Reserve for maintenance of unsold property | - | - | - |
| Current Liabilities (including Registration Fee) | 3379.60 | 3692.93 | 4616.73 |
| Excess of assets over liabilities | - | - | - |
| Total A | 6792.28 | 7624.28 | 9128.22 |
| B. Assets | | | |
| Net Fixed Assets | 30.31 | 27.29 | 33.33 |
| Investments | 2151.55 | 2037.36 | 2086.13 |
| Current Assets, Loans and Advances | 4610.42 | 5559.63 | 7008.76 |
| Total B | 6792.28 | 7624.28 | 9128.22 |
| C. Capital employed | 1261.13 | 3810.09^Ω | 4180.38 |

* Including share capital pending allotment ₹ 2.20 crore.

Ω Capital employed represents shareholders fund plus long term borrowings.

6. Uttar Pradesh Jal Nigam

(₹ in crore)

| Particulars | 2008-09 | 2009-10 | 2010-11 |
|--|----------------------------|----------------------------|----------------------------|
| A. Liabilities | | | |
| Borrowings | | | |
| Loans fund: | | | |
| (i) From LIC | - | - | - |
| (ii) From UP Government | 393.14 | 509.54 | 524.02 |
| (iii) From Banks | - | - | - |
| Grants from Government | 6150.13 | 7626.65 | 9420.49 |
| Deposits | - | - | - |
| Current Liabilities: | | | |
| Centage on material unconsumed | 73.67 | 109.96 | 120.22 |
| Other liabilities | 4952.03 | 4541.27 | 5963.42 |
| Deposits (deposit received for project) | 3088.47 | 4132.16 | 5066.94 |
| Provision for gratuity | 6.50 | 6.50 | 6.50 |
| Project transferred from LSGED to Jal Nigam | 9.47 | 9.49 | 9.44 |
| Total A | 14673.41 | 16935.57 | 21111.03 |
| B. Assets | | | |
| Gross Block | 23.49 | 23.51 | 23.50 |
| Less: Depreciation | 9.20 | 9.53 | 9.79 |
| Net Fixed Assets | 14.29 | 13.98 | 13.71 |
| Investments | - | - | - |
| PF Invested | 144.19 | 143.31 | 142.85 |
| Project: | | | |
| (i) Material | 725.74 | 862.56 | 927.53 |
| (ii) Work in progress | 6329.45 | 7851.64 | 10313.50 |
| (iii) Completed rural water project maintained by Uttar Pradesh Jal Nigam | 735.04 | 823.17 | 804.03 |
| (iv) Rural water work project cost of LSGED transferred to Uttar Pradesh Jal Nigam | 9.08 | 9.08 | 9.08 |
| Current Assets | 5824.90 | 6131.37 | 7551.58 |
| Loans and advances | 806.28 | 1015.07 | 1281.69 |
| Deficit | 84.44 | 85.39 | 67.06 |
| Total B | 14673.41 | 16935.57 | 21111.03 |
| C. Capital employed | 6321.53^Ω | 7913.99^Ω | 9877.45[#] |

^Ω Capital employed represents net fixed assets (including capital work-in-progress) plus working capital.

[#] Capital employed represents shareholders fund plus long term borrowings.

Annexure- 1.5
(Referred to in paragraph 1.13)

Statement showing working results of Statutory corporations

A. Working Statutory corporations

1. Uttar Pradesh State Road Transport Corporation

(₹ in crore)

| Particulars | 2010-11 | 2011-12 | 2012-13 |
|----------------------------------|----------|----------|----------|
| Operating | | | |
| (a) Revenue | 2038.56 | 2291.66 | 2493.70 |
| (b) Expenditure | 2092.45 | 2322.82 | 2583.62 |
| (c) Surplus (+)/Deficit (-) | (-53.89) | (-31.16) | (-89.92) |
| Non operating | | | |
| (a) Revenue | 35.84 | 32.32 | 35.65 |
| (b) Expenditure | 20.44 | 35.73 | 28.33 |
| (c) Surplus (+)/Deficit (-) | 15.40 | (-3.41) | 7.32 |
| Total | | | |
| (a) Revenue | 2074.40 | 2323.98 | 2529.35 |
| (b) Expenditure | 2112.89 | 2358.55 | 2611.95 |
| (c) Net Profit (+)/Loss (-) | (-38.49) | (-34.57) | 82.60 |
| Interest on Capital and Loans | 20.44 | 35.73 | 28.33 |
| Total return on Capital employed | (-18.05) | 1.16 | (-54.27) |

2. Uttar Pradesh Financial Corporation

(₹ in crore)

| Particulars | 2009-10 | 2010-11 | 2011-12 |
|---|--------------|--------------|--------------|
| 1 Income | | | |
| (a) Interest on loans | 14.61 | 20.93 | 21.71 |
| (b) Other Income | 1.68 | 7.36 | 2.81 |
| (c) Interest Provision written back | - | - | - |
| (d) NPA Provision written back | 6.51 | 18.58 | 16.67 |
| (e) Depreciation investment written back | - | - | - |
| Total 1 | 22.80 | 46.87 | 41.19 |
| 2. Expenses | | | |
| (a) Interest on long term loan | 0.48 | 0.05 | 0.01 |
| (b) Provision for non performing assets | 1.44 | 0.003 | - |
| (c) Other expenses | 20.52 | 28.47 | 23.66 |
| (d) Loss on sale of fixed assets | - | - | - |
| Total 2 | 22.44 | 28.52 | 23.67 |
| 3. Profit (+)/Loss (-) before tax (1-2) | 0.36 | 18.35 | 17.52 |
| 4. Other appropriations | - | - | - |
| 5. Amount available for dividend* | - | - | - |
| 6. Dividend paid/payable | - | - | - |
| 7. Total return on capital employed | 0.84 | 18.40 | 17.53 |
| 8. Percentage of return on capital employed | 0.08 | 1.85 | 1.81 |

3. Uttar Pradesh State Warehousing Corporation

(₹ in crore)

| Particulars | 2009-10 | 2010-11 | 2011-12 |
|---|---------------|---------------|---------------|
| 1. Income: | | | |
| (a) Warehousing charges | 214.37 | 162.43 | 215.45 |
| (b) Other Income | 4.35 | 7.56 | 7.05 |
| Total 1 | 218.72 | 169.99 | 222.50 |
| 2. Expenses: | | | |
| (a) Establishment charges | 46.86 | 63.74 | 67.56 |
| (b) Interest | 0.49 | 0.08 | 0.06 |
| (c) Other expenses | 106.95 | 87.87 | 93.11 |
| Total 2 | 154.30 | 151.69 | 160.73 |
| 3. Profit (+)/Loss (-) before tax (1-2) | 64.42 | 18.30 | 61.77 |
| 4 Appropriations: | | | |

* Represents profit of current year available for dividend after considering the specific reserves and provision for taxation.

| | | | |
|--|----------------|----------------|----------------|
| (i) Payment of income tax | 28.41 | 8.33 | 19.52 |
| (ii) Provision for tax: | | | |
| (a) Income tax | - | - | |
| (b) Dividend tax | 0.28 | 0.28 | 0.38 |
| Particulars | 2009-10 | 2010-11 | 2011-12 |
| (iii) Profit after tax (Amount available for dividend) | 35.73 | 9.69 | 41.87 |
| (iv) Dividend proposed for the year | 1.67 | 1.68 | 2.34 |
| (v) Other appropriations | 34.06 | 7.20 | 38.94 |
| 5 Profit transferred to Balance Sheet | - | 0.81 | 0.59 |
| Total return on capital employed | 64.91 | 18.38 | 61.77 |
| Percentage of return on capital employed | 20.75 | 6.10 | 18.23 |

4. Uttar Pradesh Forest Corporation

(₹ in crore)

| Particulars | 2010-11 | 2011-12 | 2012-13 |
|--|---------------|---------------|---------------|
| 1. Income: | | | |
| Sales | 329.90 | 339.91 | 361.14 |
| Other Income | 69.62 | 67.85 | 100.48 |
| Closing Stock | 136.62 | 131.04 | 174.20 |
| Total 1 | 536.14 | 538.80 | 635.82 |
| 2. Expenditure: | | | |
| Purchases | 119.01 | 100.66 | 149.29 |
| Other Expenses | 168.60 | 175.44 | 241.41 |
| Opening Stock | 123.36 | 136.62 | 131.04 |
| Total 2 | 410.97 | 412.72 | 521.74 |
| Net Profit | 125.17 | 126.08 | 114.80 |
| Total return on capital employed | 125.17 | 126.08 | 114.80 |
| Percentage of return on capital employed | 11.02 | 9.61 | 8.15 |

5. Uttar Pradesh Avas Evam Vikas Parishad

(₹ in crore)

| Particulars | 2009-10 | 2010-11 | 2011-12 |
|---|---------------|---------------|---------------|
| 1 Income: | | | |
| (a) Income from property | 508.44 | 397.40 | 461.67 |
| (b) Other Income | 326.33 | 395.12 | 533.60 |
| Total 1 | 834.77 | 792.52 | 995.27 |
| 2. Expenditure: | | | |
| (a) Cost of property sold | 332.62 | 211.37 | 336.20 |
| (b) Establishment | 119.95 | 180.44 | 185.84 |
| (c) Interest | - | - | - |
| (d) Other expenses | 43.74 | 41.91 | 42.19 |
| Total 2 | 496.31 | 433.72 | 564.23 |
| 3. Excess of income over expenditure | 338.46 | 358.80 | 431.05 |
| 4. Total return on capital employed | 338.46 | 358.80 | 431.44 |
| 5. Percentage of total return on capital employed | 26.37 | 28.45 | 9.89 |

6. Uttar Pradesh Jal Nigam

(₹ in crore)

| Particulars | 2008-09 | 2009-10 | 2010-11 |
|---|---------|---------|---------|
| 1. Income: | | | |
| Centage | 164.34 | 229.10 | 311.18 |
| Survey and project fee | 4.20 | - | 17.81 |
| Receipt from consumers for scheme maintained by Uttar Pradesh Jal Nigam | 23.60 | 25.28 | 26.55 |
| Other income | 19.18 | 22.16 | 31.85 |
| Income from financing activities | 43.64 | 30.17 | 31.98 |
| Revenue grant: | | | |
| (i) From UP Government for maintenance | 153.28 | 134.91 | 132.78 |
| (ii) From Government for HRD | | | |
| Income of C&DS | 69.90 | 92.35 | 100.21 |
| Income of Nalkoop wing | 2.91 | 2.36 | 3.14 |

Audit Report on Public Sector Undertakings for the year ended 31 March 2014

| | | | |
|--|----------------|----------------|----------------|
| Interest | - | - | - |
| Grant | - | - | - |
| Others | - | - | - |
| Total 1 | 481.05 | 536.33 | 655.50 |
| 2. Expenditure | | | |
| Establishment charges/operating expenses | 237.59 | 235.37 | 275.52 |
| Particulars | 2008-09 | 2009-10 | 2010-11 |
| Expenditure on maintenance | 122.34 | 169.31 | 228.29 |
| Interest | 21.29 | 40.16 | 39.70 |
| Other expenses | - | - | - |
| Depreciation | 0.31 | 0.35 | 0.25 |
| Expenditure of C&DS | 31.38 | 39.28 | 90.01 |
| Expenditure of Nalkoop Nigam | 1.60 | 1.35 | 1.63 |
| Grant to Jal Sansthan | - | - | - |
| Grant to Irrigation | - | - | - |
| Total 2 | 414.51 | 485.82 | 635.40 |
| Deficit (-)/Surplus (+) | 66.54 | 50.51 | 20.10 |
| Total return on capital employed | 87.83 | 90.67 | 59.80 |

Source: Latest finalised accounts of the PSUs

Annexure-1.6

(Referred to in paragraph 1.21)

Statement showing investment made by the Government in the form of equity, loans, grants/subsidies in the Government companies/Statutory corporations which had arrears in finalisation of Accounts.

(₹ in crore)

| Sl. No. | Name of company/corporation | Year up to which accounts finalised | Paid up capital as per latest finalised accounts | Investment made by state Government during the year for which accounts were not finalised | | | |
|--|--|-------------------------------------|--|---|---------------|----------------|----------------|
| | | | | Equity | Loans | Grants | Subsidies |
| A. Working Government Companies | | | | | | | |
| 1 | UP Agro Industrial corporation Limited | 2008-09 | 40.00 | - | 85.00 | - | - |
| 2 | Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited | 2010-11 | 202.12 | - | - | - | 78.61 |
| 3 | Lucknow Metro Rail Corporation Limited | Accounts not finalised | 0.05 | - | - | - | - |
| 4 | Uttar Pradesh Electronics Corporation Limited. | 2012-13 | 91.54 | - | - | 0.88 | - |
| 5 | UP State Spinning Company Ltd. | 2012-13 | 93.24 | - | 0.69 | - | - |
| 6 | Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited) | 2011-12 | 31.91 | - | 0.74 | - | - |
| 7 | Uttar Pradesh Power Corporation Limited | 2012-13 | 34948.78 | 5067.59 | - | - | - |
| 8 | Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | 2012-13 | 2478.20 | - | - | - | 1546.79 |
| 9 | Uttar Pradesh Jal Vidyut Nigam Limited | 2011-12 | 431.75 | 1.39 | - | - | 0.68 |
| 10 | UP Rajya Vidyut Utapadan Nigam Limited | 2011-12 | 6857.76 | 252.01 | - | - | - |
| 11 | Kanpur Electricity Supply Company Limited | 2012-13 | 163.15 | - | - | - | 45.56 |
| 12 | Uttar Pradesh Mahila Kalyan Nigam Limited | 2012-13 | 5.19 | - | - | 2.38 | - |
| 13 | Uttar Pradesh Food & Essential Commodities Corporation Limited | 2005-06 | 5.50 | - | 35.00 | - | - |
| TOTAL A | | | 45349.14 | 5320.99 | 121.43 | 3.26 | 1671.64 |
| B. Working Statutory Corporations | | | | | | | |
| 1 | UP Jal Nigam | 2010-11 | - | - | - | 1215.17 | - |
| 2 | Uttar Pradesh State Road Transport Corporation | 2012-13 | - | 3.43 | - | - | - |
| Total B | | | - | 0.00 | 3.43 | 0.00 | 1215.17 |
| C. Non-Working Companies | | | | | | | |
| 1 | UP State Textile Corporation Ltd. | 2012-13 | - | - | 0.29 | - | - |
| 2 | Uttar Pradesh State Handloom Corporation Limited | 1996-97 | - | - | 2.08 | - | - |
| Total C | | | - | 0.00 | 0.00 | 2.37 | 0.00 |
| Total C | | | 0.00 | 0.00 | 2.37 | 0.00 | - |
| Grand Total (A+B+C) | | | 45349.14 | 5324.42 | 123.80 | 1218.43 | 1671.64 |

Annexure-2.1.1
(Referred to in paragraph 2.1.12)
Norms for production of firewood

| Category of Forest | Particulars of trees | Norm for production of firewood against the production of round timber (in per cent) | Particulars of trees | Norm for production of firewood against the production of round timber (in per cent) |
|---------------------------------|----------------------------------|--|---------------------------------|--|
| Social Forestry | Lots of dry and fallen trees | 5-10 | Lots of green/dense dry felling | 20-25 |
| General Forest area | Lots in Tarai Bhamar forest area | 30-35 | Lots of Eucalyptus forest area | 10-15 |
| Vindhya/Bundelkhand forest area | Lots of timber | 70-75 | Lots of Firewood | 100 |

Annexure-2.1.2
(Referred to in paragraph 2.1.12)

Statement showing short production of firewood

(Quantity in CuM)

| Sl. No. | Area | Number of Divisions | Actual production of round timber | Minimum Norm (in per cent) | Required production as per norms | Actual production of firewood | | Short Production of firewood | Range of production (in per cent) |
|---------|---------------------------------|-----------------------|-----------------------------------|----------------------------|----------------------------------|-------------------------------|---------------|------------------------------|-----------------------------------|
| | | | | | | (in cum) | (in per cent) | | |
| 1 | Social forestry | 1 ¹ | 25211 | 5 | 1261 | 789 | 3.13 | 472 | 3.13 |
| 2 | Reserve Forest | 8 ² | 583018 | 20 | 116604 | 38496 | 6.60 | 78108 | 1.66 to 12.50 |
| 3 | Vindhya/Bundelkhand forest area | 4 ³ | 27978 | 70 | 19585 | 7548 | 26.98 | 12037 | 24.31 to 61.12 |
| | Total | 12⁴ | 636207 | | 137450 | 46833 | | 90617 | |

Source: Annual accounts

¹ DLM- Bahraich

² DLMS- Bijnor, Meerut, Lakhimpur, Pilibhit, Gorakhpur, Gonda, Bahraich and Shravasti

³ DLMS- Renukoot, Obra, Karwi and Lalitpur

⁴ DLMS- Bijnor, Meerut, Lakhimpur, Pilibhit, Gorakhpur, Gonda, Bahraich, Shravasti, Renukoot, Obra, Karwi and Lalitpur

Annexure-2.1.3
(Referred to in paragraph 2.1.23)
Statement showing analysis of average weight of *tendu* leaves with the average weight of preceding three years

| Sl. No. | Season | Karwi | | Renukoot | | Total | |
|---------|---|--------------------|---------------------|--------------------|--------------------|--------------------|---------------------|
| | | 2012 | 2013 | 2012 | 2013 | 2012 | 2013 |
| 1 | Total no. of units | 60 | 60 | 105 | 105 | 165 | 165 |
| 2 | No. of units not analysed due to non availability of comparable data | 2 | 9 | 7 | 4 | 9 | 13 |
| 3 | No. of units where data could be compared | 58 | 51 | 98 | 101 | 156 | 152 |
| 4 | No. of units where avg. weight increased as compared to respective avg weight of the preceding three years | 39 | 44 | 57 | 80 | 96 | 124 |
| | (Percentage of units) | 67.24 | 86.27 | 58.16 | 79.21 | 61.54 | 81.58 |
| 5 | No. of units where avg. weight. decreased as compared to respective avg weight of the preceding three years | 19 | 7 | 41 | 21 | 60 | 28 |
| | (Percentage of units) | 32.76 | 13.73 | 41.84 | 20.79 | 38.46 | 18.42 |
| 6 | Decrease in weight (Kg/ std. bag) | 0.10 to 8.43 | 0.02 to 14.25 | 0.01 to 6.39 | 0.06 to 3.46 | 0.01 to 8.43 | 0.02 to 14.25 |

Annexure-2.1.4

(Referred to in paragraph 2.1.23)

Statement showing analysis of average weight of tendu leaves with average weight of preceding year

| Sl. No. | Season | Karwi | | | | Renukoot | | | |
|---------|--|---------------------|--------------------|--------------------|---------------------|--------------------|--------------------|--------------------|--------------------|
| | | 2010 | 2011 | 2012 | 2013 | 2010 | 2011 | 2012 | 2013 |
| 1 | Total no. of units | 60 | 60 | 60 | 60 | 105 | 105 | 105 | 105 |
| 2 | No. of units not analysed due to non availability of comparable data | 0 | 0 | 2 | 9 | 1 | 6 | 10 | 9 |
| 3 | No. of units where data could be compared | 60 | 60 | 58 | 51 | 104 | 99 | 95 | 96 |
| 4 | No. of units where avg. weight increased as compared to respective avg. weight of the preceding years | 15 | 57 | 13 | 38 | 81 | 40 | 51 | 79 |
| | (Percentage of units) | 25 | 95 | 22.41 | 74.51 | 77.88 | 40.40 | 53.68 | 82.29 |
| 5 | No. of units where avg. weight. decreased as compared to respective avg. weight of the preceding years | 45 | 3 | 45 | 13 | 23 | 59 | 44 | 17 |
| | (Percentage of units) | 75 | 5 | 77.59 | 25.49 | 22.12 | 59.60 | 46.32 | 17.71 |
| 6 | Decrease in weight (Kg/ std. bag) | 0.13 to 11.88 | 0.57 to 3.23 | 0.08 to 8.38 | 0.69 to 16.80 | 0.05 to 4.53 | 0.32 to 9.61 | 0.02 to 6.36 | 0.07 to 4.53 |

Annexure-2.1.5
(Referred to in paragraph 2.1.26)
Statement showing disposal of *tendu* leaves of previous years

| Name of the division | Year in which sold | 2009-10 | | 2010-11 | 2011-12 | 2012-13 | | 2013-14 | | Total |
|----------------------|--|----------------|-----------------|-----------------|----------|-----------------|----------------|------------------|----------------|-----------------|
| | | 2006-07 | 2008-09 | 2009-10 | 2011-12 | 2011-12 | 2011-12 | 2011-12 | 2012-13 | |
| Obra | Quantity sold (in standard bags) | 915.603 | 9890.23 | - | - | 1730.003 | - | - | 1267.64 | 13803.476 |
| | Amount of sale (in ₹) | 9137 | 790920 | - | - | 2978803 | - | - | 2271656 | 6050516 |
| | Rate per standard bag (in ₹) | 9.98 | 79.97 | - | - | 1721.85 | - | - | 1792.04 | - |
| | Average rate in the respective year of production (in ₹) | 1166.4 | 1247.18 | - | - | 3132.99 | - | - | 2286.07 | - |
| | Difference in rate (in ₹) | 1156.42 | 1167.21 | - | - | 1411.14 | - | - | 494.04 | - |
| | Amount of loss (in ₹) | 1058818 | 11543969 | - | - | 2441281 | - | - | 626261 | 15670329 |
| Renukoot | Quantity sold (in standard bags) | 58 | 13589.58 | 1911.915 | - | 2162.055 | 573.5 | 16060.464 | 14384785 | 34355.514 |
| | Amount of sale (in ₹) | 1254 | 987567 | 1681506 | - | 4067981 | 15954 | 14384785 | 895.66 | 21139047 |
| | Rate per standard bag (in ₹) | 21.62 | 72.67 | 879.49 | - | 1881.53 | 27.82 | 895.66 | 895.66 | - |
| | Average rate in the respective year of production (in ₹) | 901.54 | 1086.18 | 1321.34 | - | 2337.59 | 2337.59 | 1659.11 | 763.44 | - |
| | Difference in rate (in ₹) | 879.92 | 1013.51 | 441.85 | - | 456.05 | 2309.77 | 12261223 | 763.44 | - |
| | Amount of loss (in ₹) | 51035 | 13773163 | 844779 | - | 986008 | 1324651 | 12261223 | 17328.104 | 29240859 |
| Total | Quantity sold (in standard bags) | 973.603 | 23479.81 | 1911.915 | 0 | 3892.058 | 573.5 | 17328.104 | 1324651 | 48158.99 |
| | Amount of loss (in ₹) | 1109853 | 25317132 | 844779 | 0 | 3427289 | 1324651 | 12887484 | 1324651 | 44911188 |

Annexure-2.2.1

(Referred to in paragraph 2.2.7, 2.2.27 and 2.2.46)
Statement showing position of network development by the DISCOM

| Sl. No. | Description | 2009-10 | 2010-11 | 2011-12 | 2012-13 | 2013-14 | 2009-10 | 2010-11 | 2011-12 | 2012-13 | 2013-14 | 2009-10 | 2010-11 | 2011-12 | 2012-13 | 2013-14 | 2013-14 |
|-----------|---|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 17 |
| | | MVVNL | | | | | DVVNL | | | | | PuVVNL | | | | | |
| A. | No. of Sub-stations (33/11KV) | | | | | | | | | | | | | | | | |
| 1 | At the beginning of the year | 497 | 518 | 534 | 548 | 567 | 567 | 610 | 662 | 726 | 751 | 603 | 613 | 644 | 664 | 664 | 678 |
| 2 | Additions planned for the year | 25 | 20 | 20 | 25 | 18 | - | - | - | - | - | 10 | 31 | 20 | 14 | 75 | |
| 3 | Additions made during the year | 21 | 16 | 14 | 19 | 12 | 43 | 52 | 64 | 25 | 30 | 10 | 31 | 20 | 14 | 20 | |
| 4 | At the end of the year | 518 | 534 | 548 | 567 | 579 | 610 | 662 | 726 | 751 | 781 | 613 | 644 | 664 | 678 | 698 | |
| 5 | Shortage in addition (2 - 3) | 04 | 04 | 06 | 06 | 06 | - | - | - | - | - | - | - | - | - | 55 | |
| B. | HT Lines (in CKM) | | | | | | | | | | | | | | | | |
| 1 | At the beginning of the year | 101373 | 105563 | 112400 | 116408 | 125814 | 76331 | 95211 | 114895 | 132129 | 150627 | 116320 | 122220 | 124468 | 126573 | 128240 | |
| 2 | Additions planned for the year | 5000 | 7000 | 5000 | 10000 | 5000 | - | - | - | - | - | 5900 | 2248 | 2105 | 1667 | 1878 | |
| 3 | Additions made during the year | 4190 | 6837 | 4008 | 9406 | 4511 | 18880 | 19684 | 17234 | 18498 | 22594 | 5900 | 2248 | 2105 | 1667 | 1878 | |
| 4 | At the end of the year | 105563 | 112400 | 116408 | 125814 | 130325 | 95211 | 114895 | 132129 | 150627 | 173221 | 122220 | 124468 | 126573 | 128240 | 130118 | |
| 5 | Shortage in addition (2 - 3) | 810 | 163 | 992 | 594 | 489 | - | - | - | - | - | - | - | - | - | - | |
| C. | LT Lines (in CKM) | | | | | | | | | | | | | | | | |
| 1 | At the beginning of the year | 290669 | 295249 | 300863 | 305678 | 315256 | 513539 | 516575 | 520130 | 520398 | 520552 | 368799 | 372959 | 374185 | 375617 | 377289 | |
| 2 | Additions planned for the year | 5000 | 6000 | 5000 | 10000 | 12000 | - | - | - | - | - | 4160 | 1226 | 1432 | 1672 | 1988 | |
| 3 | Additions made during the year | 4580 | 5614 | 4815 | 9578 | 10780 | 3036 | 3555 | 268 | 154 | 381 | 4160 | 1226 | 1432 | 1672 | 1988 | |
| 4 | At the end of the year | 295249 | 300863 | 305678 | 315256 | 326036 | 516575 | 520130 | 520398 | 520552 | 520933 | 372959 | 374185 | 375617 | 377289 | 379277 | |
| 5 | Shortage in addition (2 - 3) | 420 | 386 | 185 | 422 | 1220 | - | - | - | - | - | - | - | - | - | - | |
| D. | Transformation Capacity (In MVA) | | | | | | | | | | | | | | | | |
| 1 | At the beginning of the year | 4460 | 4615 | 4885 | 5091 | 5257 | 4969 | 5566 | 5960 | 6421 | 6754 | 5176 | 5334 | 5649 | 5926 | 6241 | |
| 2 | Additions planned for the year | 200 | 300 | 300 | 200 | 500 | - | - | - | - | - | 195 | 363 | 435 | 395 | 290 | |
| 3 | Additions made during the year | 155 | 270 | 206 | 166 | 341 | 597 | 394 | 461 | 333 | 367 | 158 | 315 | 277 | 315 | 290 | |
| 4 | At the end of the year | 4615 | 4885 | 5091 | 5257 | 5598 | 5566 | 5960 | 6421 | 6754 | 7121 | 5334 | 5649 | 5926 | 6241 | 6531 | |
| 5 | Shortage in addition (2 - 3) | 45 | 30 | 94 | 34 | 159 | - | - | - | - | - | 37 | 48 | 158 | 80 | - | |

Source: Information furnished by DISCOMs

Annexure-2.2.2
(Referred to in paragraph 2.2.7, 2.2.27 and 2.2.46)
Statement showing details of consumers and transformation capacity

| Sl. No. | Particulars | 2009-10 | 2010-11 | 2011-12 | 2012-13 | 2013-14 |
|---------------|---|-----------------|-----------------|-----------------|-----------------|-----------------|
| | 1 | 2 | 3 | 4 | 5 | 6 |
| MVVNL | | | | | | |
| 1 | Consumers | 2691568 | 2864268 | 3029242 | 3157661 | 3336182 |
| 2 | Connected load (in MVA) | 5627 | 5915 | 6367 | 6664 | 7096 |
| 3 | Spin Reserve ⁵ (in MVA) | 296 | 311 | 335 | 351 | 374 |
| 4 | Actual Transformation capacity (in MVA) | 4615 | 4885 | 5091 | 5257 | 5598 |
| 5 | Transformation capacity after 5 per cent spin reserve (connected load plus spin reserve) (in MVA) (2+3) | 5923 | 6226 | 6702 | 7015 | 7470 |
| 6 | Required Transformation capacity at 80 per cent (in MVA) (5 X100/80) | 7404 | 7783 | 8378 | 8769 | 9338 |
| 7 | Gap in actual and required transformation capacity (in MVA) (per cent) ((6-4)X100/6) | 2789 (37.67) | 2898 (37.23) | 3287 (39.23) | 3512 (40.05) | 3740 (40.05) |
| DVVNL | | | | | | |
| 1 | Consumers | 2137858 | 2056873 | 2280313 | 2426261 | 2566261 |
| 2 | Connected load (in MVA) | 6480 | 6728 | 7189 | 8358 | 8536 |
| 3 | Spin Reserve (in MVA) | 341 | 354 | 378 | 440 | 449 |
| 4 | Actual Transformation capacity (in MVA) | 5566 | 5960 | 6421 | 6754 | 7121 |
| 5 | Transformation capacity after 5 per cent spin reserve (connected load plus spin reserve) (in MVA) (2+3) | 6821 | 7082 | 7567 | 8798 | 8985 |
| 6 | Required Transformation capacity at 80 per cent (in MVA) (5 X100/80) | 8526 | 8853 | 9459 | 10998 | 11231 |
| 7 | Gap in actual and required transformation capacity (in MVA) (per cent) ((6-4)X100/6) | 2960 (34.72) | 2893 (32.68) | 3038 (32.12) | 4244 (38.59) | 4110 (36.60) |
| PuVVNL | | | | | | |
| 1 | Consumers | 2912000 | 3123000 | 3314000 | 3575000 | 3810000 |
| 2 | Connected load (in MVA)) | 7972 | 9066 | 9239 | 9758 | 10557 |
| 3 | Spin Reserve (in MVA) | 420 | 477 | 486 | 514 | 556 |
| 4 | Actual Transformation capacity (in MVA) | 5334 | 5649 | 5926 | 6241 | 6531 |
| 5 | Transformation capacity after 5 per cent spin reserve (connected load plus spin reserve) (in MVA) (2+3) | 8392 | 9543 | 9725 | 10272 | 11113 |
| 6 | Required Transformation capacity at 80 per cent (in MVA) (5 X100/80) | 10490 | 11929 | 12156 | 12840 | 13891 |
| 7 | Gap in actual and required transformation capacity (in MVA) (per cent) ((6-4)X100/6) | 5156 (49.15) | 6280 (52.64) | 6230 (51.25) | 6599 (51.39) | 7360 (52.98) |

Source: Information furnished by DISCOMs

⁵ Spin Reserve is calculated by bifurcating the transformation capacity in the ratio of 95:5 (Connected Load: Spin Reserve).

Annexure-2.2.3

(Referred to in paragraph 2.2.10)

Statement showing details of allowed and allowable package rate of HV/LV leg coils

| Tender Nos | Item | Capacity of T/Fs (KVA) | Package rate of coil per T/F (₹) | Average weight of coil (Kg.) | Rate per Kg allowed (₹) | Allowable rate per Kg (₹) | Allowable rates lower than allowed rates (per cent) |
|--|----------------------------|------------------------|----------------------------------|------------------------------|-------------------------|---------------------------|---|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| MVVNL | | | | | | | |
| MEDCO/MVVNL/MM/04/2003 (Agreements executed in 2005-06) | Aluminium wound DTs | 25 | 5355 | 25.26 | 212.00 | 110.49 | 47.88 |
| | | 63 | 8715 | 47.81 | 182.28 | | 39.38 |
| | | 100 | 10567 | 70.18 | 150.57 | | 26.62 |
| | | 160 | 15851 | 83 | 190.97 | | 42.14 |
| | | 250 | 19320 | 116.50 | 165.84 | | 33.38 |
| MEDCO/MVVNL/MM/03/2 (Agreements executed in 2005-06) | Copper wound DTs | 400 | 71017 | 244.67 | 290.25 | 157.58 | 45.71 |
| | | 630 | 82836 | 321.17 | 257.92 | | 38.90 |
| DVVNL | | | | | | | |
| DVVNL/MM/05/2003 (Agreements executed in 2005-06) | Aluminium wound DTs | 25 | 5355 | 25.26 | 212.00 | 110.49 | 47.88 |
| | | 63 | 8715 | 47.81 | 182.28 | | 39.38 |
| | | 100 | 10567 | 70.18 | 150.57 | | 26.62 |
| | | 160 | 15851 | 83 | 190.97 | | 42.14 |
| | | 250 | 19320 | 116.50 | 165.84 | | 33.38 |
| DVVNL/MM/06/2003 (Agreements executed in 2005-06) | Copper wound DTs | 400 | 71017 | 244.67 | 290.25 | 157.58 | 45.71 |
| | | 630 | 82836 | 321.17 | 257.92 | | 38.90 |

Source: Information furnished by the MVVNL and DVVNL

Annexure-2.2.4
(Referred to in paragraphs 2.2.15, 2.2.33 and 2.2.52)
Statement showing loss of energy due to non-installation of Capacitor Bank

| Sl. No. | Name of Division | Nos. of sub station | Capacity of SS in MVA | Annual loss of energy in MUs |
|---------------|-----------------------------|---------------------|-----------------------|----------------------------------|
| MVVNL | | | | |
| 1 | EDD Ambedakarnagar | 10 | 76 | 1.794 |
| 2 | EDD, Bahraich | 12 | 98 | 2.313 |
| 3 | EDD, CESS-II, Lucknow | 7 | 84 | 1.982 |
| 4 | EDD-I, Lakhimpur Kheri | 15 | 109 | 2.572 |
| 5 | EDD-I, Bareilly | 16 | 99 | 2.336 |
| 6 | EDD-I, Hardoi | 14 | 93 | 2.195 |
| 7 | EUDD, Aishbagh | 3 | 50 | 1.180 |
| 8 | EDD-I, Sultanpur | 8 | 75 | 1.770 |
| 9 | EDD-II, Hardoi | 25 | 168 | 3.965 |
| 10 | EDD-I, Shahjahanpur | 12 | 132 | 3.115 |
| 11 | EDD-II, Sitapur | 12 | 86 | 2.030 |
| 12 | EDD, BKT | 8 | 93 | 2.195 |
| 13 | EDD-Barabanki | 6 | 75 | 1.770 |
| 14 | EDD-Tanda | 9 | 110 | 2.596 |
| 15 | EDD, CESS-I, Lucknow | 3 | 88 | 2.077 |
| 16 | EDD, Rahimnagar | 4 | 71 | 1.676 |
| 17 | EDD-II, Bareilly | 10 | 104 | 2.454 |
| | Total | 174 | 1611 | 38.020 |
| | Loss | | | ₹ 16.04 crore⁶ |
| DVVNL | | | | |
| 1 | EDD-II, Agra | 27 | 278 | 6.561 |
| 2 | EDD-II, Orai | 14 | 114 | 2.690 |
| 3 | EDD-I, Agra | 25 | 265 | 6.254 |
| 4 | EDD-I, Aligarh | 21 | 206 | 4.862 |
| 5 | EDD-I, Kanpur | 14 | 152 | 3.587 |
| 6 | EDD-I, Orai | 12 | 100 | 2.360 |
| 7 | EDD-II, Aligarh | 13 | 123 | 2.903 |
| 8 | EDD-III, Fatehabad, Agra | 37 | 333 | 7.859 |
| 9 | EDD-II, Chaubeypurs | 9 | 76 | 1.794 |
| | Total | 172 | 1647 | 38.870 |
| | Loss | | | ₹ 16.40 crore⁶ |
| PuVVNL | | | | |
| 1 | EDD-II Mugal Sarai | 10 | 110 | 2.596 |
| 2 | EDD Chunar | 9 | 81 | 1.9116 |
| 3 | EDD-I Mau | 12 | 132 | 3.1152 |
| 4 | EDD-II Jaunpur | 21 | 175 | 4.13 |
| 5 | EDD-I Jaunpur | 19 | 143 | 3.3748 |
| 6 | EDD-II Ballia | 14 | 145 | 3.422 |
| 7 | EDD I Azamgarh | 13 | 135 | 3.186 |
| 8 | EDD-I Ballia | 21 | 168 | 3.9648 |
| 9 | EDD-II Mau | 13 | 123 | 2.9028 |
| 10 | EUDD-II, Varanasi | 4 | 95 | 2.242 |
| 11 | EDD-I, Chandauli | 11 | 100 | 2.36 |
| 12 | EDD-I Ghazipur | 16 | 136 | 3.210 |
| | Total | 163 | 1543 | 36.415 |
| | Loss | | | ₹ 15.37⁶ |
| | Total loss of energy | | | 113.305 |
| | Total Loss | | | ₹ 47.81 crore |

Source: Information furnished by the divisions

⁶ Worked out at the rate of ₹ 4.22 per unit average realization rate for the year 2012-13

Annexure-2.2.5
(Referred to in paragraphs-2.2.18, 2.2.35 and 2.2.53)
Statement showing details of short billing done by DISCOMs

| Divisions | Amount of short billing (₹ in crore) | Period | No. of consumers | Category of consumers | Reasons for short billing | Management Reply |
|---|--------------------------------------|---------------------------------|------------------|-----------------------|--|---|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| | | | | MVVNL | | |
| 1. EDD-I Shahjahanpur 2. EDD Ambedkarnagar | 0.89 | April 2012 to March 2014 | 10 | HV-1 and HV-2 | As per Rate Schedule, the billable demand shall be the actual maximum demand or 75 per cent of the contracted load, whichever is higher. The divisions had not billed the demand as per aforesaid provision. | In respect of EDD-I Shahjahanpur, Management stated (December 2014) that bills were issued to the consumers and efforts were being made for recovery. The facts remained that the recovery was awaited. |
| 1. EDD-I Shahjahanpur 2. EDD-I Sultanpur | 0.42 | October 2012 to January 2014 | 2 | HV-2 and HV-3 | As per Rate Schedule, actual demand exceeding the contracted demand up to 10 per cent was to be billed at the normal rate and the actual demand exceeding the contracted demand beyond 10 per cent was to be billed at twice the normal rate. The divisions did not bill the excess demand of the consumers as per above provisions. | Management stated in respect of EDD-I Shahjahanpur that ₹ 21000 were charged in the bill of the consumer and bill amount of ₹ 5.43 lakh was found correct. The reply is not acceptable as no documents in support of recovery of ₹ 21000 was furnished along with reply. Further, the bill amount of ₹ 5.43 lakh was also recoverable from consumer on the basis of provisions of the Tariff Order. |
| 1. EDD-II Hardoi, 2. EDD Barabanki 3. EDD CESS-I Lucknow) | 0.64 | September 2010 to February 2014 | 5 | HV-2 | As per Rate Schedule approved by UPERC read with clarification by UPERC (August 2007), load factor rebate was not admissible to consumers having arrears of dues/arrears of additional security. The divisions, despite the above restrictions, provided the load factor rebate to the consumers. | In respect of EDD-II Hardoi, Management stated (December 2014) that the consumer had deposited the security amount to whom, load factor rebate was provided. The reply is not acceptable as the security amount was deposited after the default period during which, load factor rebate was not admissible. |
| 1. EDD-II Bareilly | 1.09 | June 2013 to March 2014 | 1 | HV-3 | Rate Schedule (June 2013) approved for HV-3 provided for recovery of the demand charges at ₹ 280/KVA/month and energy charges at ₹ 5.90/kVAh. The division did not apply the above rates for billing of the consumer. | Management did not furnish reply. |
| Total | 3.04 | | | | | |

| DVVNL | | | | | | |
|----------------------------|-------|-------------------------------|--------------|-------|--|--|
| 1. EDD-III, Fatehabad Agra | 0.91 | July 2009 to March 2014 | 8554 | LMV-1 | Sub-division, Fatehabad and Shamsabad of the division were covered under Taj Trapezium Zone (TTZ) and were exempted from scheduled rostering. So, energy charges as per urban schedule were applicable on the consumers of above sub-divisions. The division, however, billed the consumers as per rate allowed in rural schedule. | Management accepted the audit observation and stated that special attention would be given in respect of issue of bills to the consumers as per applicable provisions. |
| 1. EDD-I Kanpur | 0.11 | June 2013 to May 2014 | 1 | HV-2 | As per Rate Schedule approved by UPERC read with clarification by UPERC (August 2007), load factor rebate was not admissible to consumers having arrears of dues/arrears of additional security. The divisions, despite the above restrictions, provided the load factor rebate to the consumers. | Management accepted the audit observation and stated that special attention would be given in respect of issue of bills to the consumers as per applicable provisions. |
| 1. EDD-I Orai | 0.11 | October 2012 | 1 | HV-2 | Excess adjustment given to the consumer, while applying the Tariff order of October 2012 with effect from 1 November 2012. | Management accepted the audit observation and stated that special attention would be given in respect of issue of bills to the consumers as per applicable provisions. |
| 1. EDD-II Etawah | 5.78 | February 2012 to October 2014 | 5037 to 5865 | LMV-5 | Consumers were getting supply geographically through rural feeders but remained exempted from scheduled rostering/restrictions applicable to Rural Schedule. This led to short billing of ₹ 5.78 crore worked out at differential rate between the rates applicable to Rural Schedule and Urban Schedule. | Management accepted (December 2014) and stated that bills are being issued to the consumers. Fact remains as no recovery has yet taken place. |
| 1. EDD Auraiya | 84.88 | April 1998 to March 2014 | 1 | HV-2 | Division provided the facility of Protective Load to GAIL (India) Limited and released continuous supply of power at contracted load without load shedding/rostering through independent feeder since 1998 but no agreement was entered in this regard. Moreover, no protective load charges of ₹ 84.88 crore were levied and recovered from GAIL. | Management stated (December 2014) that GAIL is using direct supply as part of the grid system hence will be irrespective of the load pattern and billed under continuous process tariff. Reply is not acceptable as all consumers are to be billed under the provisions of Tariff order issued by UPERC and there is no separate tariff for direct supply or continuous process consumers. |

Audit Report on Public Sector Undertakings for the year ended 31 March 2014

| | | | | | | |
|--------------------|---------------|--------------------------------|--------------|------|--|---|
| 1. EDD Auraiya | 6.38 | February 2012 to November 2013 | | HV-2 | As per Rate Schedule approved by UPERC read with clarification issued (August 2007) by UPERC, load factor rebate was not admissible to consumers having arrears of dues/arrears of additional security. The division, despite the above restrictions, provided the load factor rebate to the GAIL (India) Limited. | Management stated (December 2014) that bill against Load Factor Rebate for the period February 2012 to October 2014 was issued to consumer. Reply is not tenable because mere issue of bill is not sufficient unless it is acknowledged by the consumer and recovery is made there against. |
| Total | 98.17 | | | | | |
| PuVVNL | | | | | | |
| 1. EDD- Chunar | 0.08 | | 3 | HV-2 | As per Rate Schedule approved by UPERC read with clarification by UPERC (August 2007), load factor rebate was not admissible to consumers having arrears of dues/arrears of additional security. The divisions, despite the above restrictions, provided the load factor rebate to the consumers. | In respect of EDD Chunar, Management stated that short-billed amount had been charged in the bill. The reply itself indicated that the recovery was still awaited. |
| 1. EDD-I, Ghazipur | 0.06 | April 2013 to March 2014 | 11 | HV-2 | As per Rate Schedule, the billable demand shall be the actual maximum demand or 75 per cent of the contracted load, whichever is higher. The divisions had not billed the demand as per aforesaid provision. | Management did not furnish reply. |
| 1. EDD Kaushambi | 0.88 | April 2010 to December 2011 | 1 | HV-4 | Kishanpur Pump Canal having contracted load of 7000 KVA under HV-4 category as per Rate Schedule of UPERC, was wrongly short billed by the division on tariff applicable to HV-2 category. | Management accepted (June 2014) the audit observation and issued supplementary bill (December 2014). Recovery was still pending (January 2015). |
| Total | 1.02 | | | | | |
| Grand Total | 102.23 | | 13576 | | | |

Annexure-2.2.6
(Referred to in paragraph-2.2.19, 2.2.36 and 2.2.54)
Statement showing details of issue of incorrect bills and their revision by DISCOMs

| Name of DISCOM | Nos./Name of divisions involved | Nos. of consumers | Original amount of bills (₹ in crore) | Revised amount of bills (₹ in crore) | Amount waived off (₹ in crore) | Range of reduction in original billed amount (percentage) |
|----------------|---|-------------------|---------------------------------------|--------------------------------------|--------------------------------|---|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| MVVNL | 1.EDD Bahraich, 2.EDD-I Lakhimpur 3.EDD-I Bareilly 4. EDD-I Hardoi, 5. EDD Barabanki 6. EDD Rahimnagar 7. EDD-II Bareilly 8. EUDD Aishbagh 9. EDD Tanda 10. EDD CESS-I Lucknow | 13270 | 222.59 | 12.64 | 209.95 | 28.72 to 99.07 |
| DVVNL | 1. EDD-I Agra 2.EDD-II Agra 3. EDD-I Aligarh 4. EDD-II Aligarh 5. EDD-III Fatehabad 6.EDD-II Chaubeypur | 39946 | 52.24 | 41.22 | 11.02 | 0.75 to 74.98 |
| PuVVNL | 1. EDD-II Jaunpur 2. EDD-II Mau 3. EUDD-II Varanasi 4. EDD-I Chandauli | 8413 | 5.55 | 1.18 | 4.37 | 9.79 to 95.09 |
| | Total | 61629 | 280.38 | 55.04 | 225.34 | |

Annexure-2.2.7

(Referred to in paragraphs 2.2.25 and 2.2.44)

Statement showing details of complaints received and their disposal

| Period | Total no. of complaints registered in CCCs | Total no. of complaints attended/closed | Total no. of unattended complaints | Percentage of unattended complaints |
|-------------------------------|---|--|---|--|
| 1 | 2 | 3 | 4 | 5 |
| MVVNL | | | | |
| April 2012 to March 2013 | 20106 | 12258 | 7848 | 39.03 |
| April 2013 to March 2014 | 13392 | 4715 | 8677 | 64.79 |
| Total | 33498 | 16973 | 16525 | 49.33 |
| DVVNL | | | | |
| April 2012 to December 2012 | 269 | 121 | 148 | 55.02 |
| January 2013 to December 2013 | 2269 | 1011 | 1258 | 55.44 |
| January 2014 to February 2014 | 2325 | 2085 | 240 | 10.32 |
| Total | 4863 | 3217 | 1646 | 33.85 |
| Grand Total | 38361 | 20190 | 18171 | 47.37 |

Source: Information furnished by the DISCOMs

Annexure-2.2.8

(Referred to in paragraph 2.2.29)

Statement showing details of avoidable expenditure on the work of underground cabling
(₹ in crore)

| Place of Work | Date of award of work | Awarded Amount | Value of material supplied with cable as per contract | Value of material supplied with cable as per stock issue rate of cable | Avoidable extra expenditure (4-5) |
|----------------|-----------------------|----------------|---|--|-----------------------------------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| Mainpuri | 21.12.13 | 33.05 | 21.42 | 18.45 | 2.97 |
| Saifai, Etawah | 28.02.14 | 32.56 | 24.67 | 21.21 | 3.46 |
| Kannauj | 21.02.14 | 28.76 | 15.78 | 13.07 | 2.71 |
| Total | | | | | 9.14 |

Source: Tender records of DVVNL

Annexure-2.2.9

(Referred to in paragraph 2.2.29)

Statement showing details of centage charges on the work of underground cabling
(₹ in crore)

| Work Place | Basic Cost of work | DPR cost including inadmissible charges | Cost allowed by ETF & PWD | Centage charges due but not allowed/passed on to DVVNL |
|-----------------|--------------------|---|---------------------------|--|
| 1 | 2 | 3 | 4 | 5 |
| Saifai, Etawah | 32.56 | 45.51 | 32.56 | 3.87 ⁷ |
| Tirawa, Kannauj | 29.33 | 40.26 | 29.53 | 3.48 ⁸ |
| Mainpuri | 31.89 | 38.07 | 30.43 | 3.10 |
| Total | | | | 10.45 |

Source: Estimates and DPR of the project

⁷ ₹ 386.70 lakh = {(3256.43 lakh -5 per cent of 3256.43 lakh) x 12.5 per cent }

⁸ ₹ 348.32 lakh = {(2933.19 lakh -5 per cent of 2933.19 lakh) x 12.5 per cent }

Annexure- 2.2.10
(Referred to in paragraphs 2.2.35 and 2.2.53)
Statement showing details of Excess billing done by DISCOMs

| Divisions | Amount of Excess billing (₹ in crore) | Period | Nos. of consumer | Category | Reasons for excess billing | Management Reply |
|--|---------------------------------------|------------------------------|------------------|----------|--|---|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| DVVNL | | | | | | |
| 1. EDD-I Orai | 0.17 | September 2012 to March 2014 | 1627 | LMV-5 | As per Section-3 of Uttar Pradesh Electricity (Duty) Act, 1952, ED was exempted for PTW consumers. The division, however, levied ED on such consumers. | Management did not furnish reply. |
| 1. EDD-I Aligarh, 2. EDD-I Kanpur, 3. EDD-I Orai 4. EDD-II Aligarh 5 EDD-II Chaubeypur 6 EDD-I Agra | 2.56 | October 2012 | 63 | HV-2 | Tariff orders issued on 19 October 2012 was effective from 01 November 2012 for LMV-6 and HV- 2 consumers. The divisions billed by applying the above tariff from 01 October 2012 instead of 01 November 2012. | Management stated that credit had been given to the consumers for the difference amount. The reply is deficient as no documents in support of credit given to the consumers, were furnished along with reply. |
| 1. EDD-I Agra 2. EDD-I Aligarh 3. EDD-I Kanpur, 4. EDD-II Aligarh 5. EDD-III Fatehabad 6. EDD-II Chaubeypur | 5.21 | 2009-10 to 2013-14 | 83691 | LMV-1 | Provisional billing in case of defective meters (IDF/ADF/) of LMV-1 consumers was done on the basis of 120 units/KW/month instead of 80 units/KW/month, as provided for in the Computerised billing system. | Management stated that billing as per 80 units was being taken for 16 hour supply and 120 units for 24 hour supply. The reply is not acceptable as fixed 80 units were to be billed in case of provisional billing and it was not linked with the supply hour. |
| 1. EDD-I Agra 2. EDD-I Aligarh, 3. EDD-I Orai 4. EDD-II Aligarh 5. EDD-II | 4.48 | October 2010 to March 2014 | 29 | HV-2 | ED was exempted as per Government notification dated 21 January 2010 for all new industrial units established after the date of notification and new units declared as Pioneer Units. Despite the | Management stated that to get exemption from ED, Industry was required to submit true copy of certificate issued by Industrial department to the effect that unit |

| | | | | | | |
|-------------------|--------------|--------------|----------------------------|----------|--|---|
| Chaubeypur | | | | | above exemption, the divisions levied ED on the consumers. | had started w.e.f. 21.01.2010 and it had been declared as pioneer unit. The reply is not acceptable as exemption was for new as well as units declared as pioneer units. We have pointed out the cases of new industrial units which were released connections after 21 January 2010, which itself indicated that these were new industrial units. |
| Total | 12.42 | 85410 | 3 | 4 | 6 | 7 |
| 1 | 2 | 4 | 3 | 5 | 6 | 7 |
| PuVVNL | | | | | | |
| 1. EDD-I Azamgarh | 0.15 | 8 | October 2012 to March 2014 | HV-2 | Levy of LT surcharge at the rate of 15 per cent of rate of charge was not applicable as per Tariff order of October 2012. The division, however, levied LT surcharge on the consumers. | Management stated (December 2014) that adjustment was given to the consumer for adjustment of LT line surcharge. Reply is not acceptable as no document in support of adjustment given to consumers was furnished along with reply. |
| 2. EDD-I Varanasi | 0.04 | 1 | July 2011 to May 2013 | HV-2 | As per Tariff orders, power factor surcharge was not to be levied on the consumers being billed on kVAh basis. The division, however, levied above surcharge on the consumer. | Management stated (December 2014) that adjustments were being given to the consumers in the forthcoming bills. |
| 3. EDD-I Jaunpur | 0.13 | 11 | June 2013 to March 2014 | HV-2 | As per the provision of Tariff orders, protective load charges were to be levied only on consumers getting supply through Independent feeder. However, protective load charges were levied on the consumers connected on common feeders. | Management stated that all connections were of industrial process; hence, protective load clause was applied on these consumers. The reply is not acceptable as the protective load clause was |

Audit Report on Public Sector Undertakings for the year ended 31 March 2014

| | | | | | | |
|---|--------------|------------------------|--------------|------|---|--|
| 1. EDD-I Ballia 2. EDD-I Gorakhpur 3. EDD-II Jaunpur | 0.98 | October 2012 | 23 | HV-2 | Tariff orders issued on 19 October 2012 was effective from 01 November 2012 for LMV-6 and HV- 2 consumers. The divisions billed by applying the above tariff from 01 October 2012 instead of 01 November 2012. | Management stated (December 2014) that in respect of EDD-II Jaunpur, excess amount charged in the bill of October 2012 was being adjusted in bill of December 2014 and in respect of EDD-I Ballia, it was stated that adjustment were given to the consumers in the bill of November 2014. However, no supporting documents were furnished along with reply. |
| 1. EDD-I Gorakhpur 2. EDD-I Varanasi 3. EDD-I Chandauli | 0.28 | May 2012 to March 2014 | 13 | HV-2 | Electricity Duty (ED) was exempted as per Government notification dated 21 January 2010 for all new industrial units established after the date of notification and new units declared as Pioneer Units. Despite the above exemption, the divisions levied ED on the consumers. | Management stated (December 2014) that in respect of EDD-I Varanasi that exemption of ED was not given to the consumers, no industrial units had made such claims. |
| Total | 1.58 | | 56 | | | |
| Grand Total | 14.00 | | 85466 | | | |

Annexure-3.1
(Referred to in Para 3.1.1)
Statement showing details of ESIC work

| Sl. No. | Name of work | Construction basis | Sanctioned Cost (₹ in lakh) | Revised Cost (₹ in lakh) | Date of Start | Scheduled Date of Completion |
|---|---|--------------------|-----------------------------|--------------------------|------------------|------------------------------|
| WORKS DONE ON DCU BASIS | | | | | | |
| 1 | Dispensary & Branch Office, Maargaon, Goa | DCU | 171.56 | 171.56 | May-10 | Jan-11 |
| 2 | ESIC Dispensary Korlim, Goa | DCU | 556 | 556 | Mar-09 | Feb-11 |
| 3 | SRO, Panjim, Goa | DCU | 730 | 730 | Aug-11 | Dec-11 |
| 4 | SRO, Thade, Mumbai | DCU | 1298.26 | 1298.26 | Feb-09 | Sep-13 |
| 5 | SRO, Maroll, Mumbai | DCU | 1017.36 | 1017.36 | Oct-08 | Mar-12 |
| 6 | Staff Quarter, SRO, Nagpur | DCU | 447.14 | 447.14 | Sep-11 | May-13 |
| 7 | ESIC Headquarter, CIG Road, New Delhi | DCU | 4821 | 4821 | Feb-10 | Jul-13 |
| Total 'A' | | | 9041.32 | 9041.32 | | |
| WORKS DONE ON BACK TO BACK BASIS | | | | | | |
| 1 | ESIC Hospital, Rajajinagar, Bangalore | Back to back | 25210 | 25210 | Aug-08 | Mar-14 |
| 2 | Renovation of RD Office, Bangalore | Back to back | 1725.63 | 1725.63 | Jan-10 | Mar-14 |
| 3 | ESIC Hospital, Piniya, Bangalore | Back to back | 11048 | 11048 | Feb-09 | Mar-13 |
| 4 | ESIC Medical College, Rajajinagar, Bangalore | Back to back | 29410 | 29410 | Jan-11 | Sep-14 |
| 5 | ESIC Super Speciality Hospital, Sanathnagar, Hyderabad | Back to back | 13156 | 13156 | Sep-08 | Nov-10 |
| 6 | ESIC face lifting/ interior and extension of regional office, Aadarsh Nagar, Hyderabad | Back to back | 2381.18 | 2381.18 | Jun-09 | Jun-11 |
| 7 | ESIC Medical College, Sanathnagar, Hyderabad | Back to back | 54897.17 | 54897.17 | Dec-10 | Apr-14 |
| 8 | ESIC Dental College, Nachcharam, Hyderabad | Back to back | 25515.55 | 25515.55 | Dec-10 | Mar-14 |
| 9 | ESIC, 100 Beded Hospital, Tirupati (Andhra Pradesh) | Back to back | 12212.78 | 12212.78 | Aug-10 | Mar-14 |
| 10 | ESIC Branch Office & Dispensary, Auto Nagar, Vijayvada, (Andhra Pradesh) | Back to back | 653.03 | 653.03 | Jul-10 | Feb-12 |
| 11 | ESI Construction of Sub-reasonal Office, Gundala, Vijayvada | Back to back | 2276.97 | 2276.97 | Jul-10 | Mar-14 |
| 12 | 100 Beded Hospital, Morgaon, Goa | Back to back | 8659.14 | 8659.14 | Sep-08 | Sep-13 |
| 13 | Detal College, Vaasi, Navi Mumbai | Back to back | 20076.27 | 20076.27 | Sep-09 | Mar-14 |
| 14 | SRO, Aurangabad | Back to back | 1892.14 | 1892.14 | Jun-09 | Dec-12 |
| 15 | Dispensary Branch Office, Baluj, Aurangabad | Back to back | 629.46 | 629.46 | Jun-09 | Mar-13 |
| 16 | Dispensary Branch Office, Chhinwada, Pune | Back to back | 1279.98 | 1279.98 | Jun-09 | Mar-13 |
| 17 | SRO, Bivaibadi, Pune | Back to back | 2275 | 2275 | Jun-09 | Jul-11 |
| 18 | SRO, Nagpur | Back to back | 988 | 988 | Jun-09 | Jul-11 |
| 19 | ESIC Hospital, Kandiwali, Mumbai | Back to back | 17566 | 17566 | Feb-10 | Sep-13 |
| 20 | RO Kolaba, Mumbai | Back to back | 1286 | 1286 | Feb-11 | Dec-13 |
| 21 | ESIC Medical College Unit, Basaidarapur, New Delhi (Non-medical furniture & equipment ESIC Ajangpura, ESIC) | Back to back | 72875.07 | 74737.06 | Mar-12 | June-14 |
| 22 | ESIC Medical College, Faridabad | Back to back | 54470.44 | 59057.37 | Feb-10 | July-13 |
| 23 | ESIC Medical College, Alwar, Rajasthan | Back to back | 64024.64 | 64024.64 | Mar-12 Sep-12 | Dec-14 |
| 24 | Staff Quarter, Andheri, Mumbai | Back to back | 12096.95 | 12096.95 | -- | -- |
| Total 'B' | | | 436605.4 | 443054.32 | | |
| Grand Total (A + B) | | | 445646.72 | 452095.64 | | |

**Annexure 3. 2
(Referred to in Para 3.1.4)**

Statement showing details of items on which higher rates were allowed against the DSR rates

| B.O.Q item no./description Faridabad work | Quantity executed in Faridabad (in Cum) | B.O.Q item no. Basaidarapur work | Rate paid in Faridabad (in ₹) | Rate paid in Basaidarapur (in ₹) | Difference in rate (in ₹) | Amount excess paid (in ₹) |
|---|---|-------------------------------------|-------------------------------|----------------------------------|---------------------------|---------------------------|
| 1 | 2 | 3 | 4 | 5 | 6=4-5 | 7=2x6 |
| 17/1.7/a(1.1.2)/disposal of earth up to 5 KM | 258205.91 | 1.4a/disposal of earth | 76.48 | 66.51 | 9.97 | 2574313 |
| 68/5.1/(10.16.2)/Steel work welded in built up sections/framed work including cutting, hoisting, fixing in position and applying a priming coat of approved steel | 349489.78 | 6.1/(10.16..2) | 95.65 | 66.35 | 29.30 | 10240051 |
| 69/5.2/(10.16.2)/ Structural Steel Work /Hot finished welded type tubes in Trusses etc. | 54387.00 | 6.2/(10.16.2) a | 95.65 | 66.35 | 29.30 | 1593539 |
| Total | | | | | | 14407903 |

**Annexure 3. 3
(Referred to in Para 3.1.8)**

Statement showing amount paid by ESIC against escalation bill

(₹ in crore)

| Sl. No. | Name of work | No. of escalation bill | Amount of escalation bill | Amount of centage claimed | Total | Total amount paid by ESIC |
|--------------|--------------|------------------------|---------------------------|---------------------------|---------------|---------------------------|
| 1 | Faridabad | 9 th | 41.56 | 2.08 | 43.64 | 41.56 |
| 2 | Basaidarapur | 4 th | 26.54 | 1.33 | 27.87 | 26.54 |
| 3 | Alwar | 4 th | 44.06 | 2.20 | 46.26 | 44.06 |
| Total | | | 112.16 | 5.61 | 117.77 | 112.16 |

Annexure 3.4
(Referred to Para 3.2)
Statement showing excess payment by UPRNN to the sub-contractors

| Name of Sub-station | Amount of Contract | Work awarded to UPRNN by: | Year of award of work to UPRNN | Date of LOI | Capacity of Transformers/ (No. of Transformers) | Cost of transformer billed by sub-contractor to UPRNN | Cost of transformer billed by the suppliers to the sub-contractor | Total Payment made by UPRNN to the sub-contractor | Total Payment made by sub-contractor to the supplier | Excess payment by UPRNN to the sub-contractors after allowing contractor's margin of 10 percent | Difference of costs in percentage |
|---------------------------------|--------------------|---------------------------|--------------------------------|-------------|---|---|---|---|--|---|-----------------------------------|
| 132/33 KV Khurja-II | 1294.98 | UPPTCL | 2010 | 15.12.2010 | 40 MVA (2) | 196.55 each | 137.00 each | 393.1 | 274 | 91.7 | 23 |
| 132/33KV Dharampur | 1215.42 | UPPTCL | 2010 | 19.09.2011 | 20 MVA (2) | 138.92 each | 88.00 each | 277.84 | 176 | 84.24 | 30 |
| 132 KV, Jahangirpur | 1073.8 | UPPTCL | 2010 | 15.12.2010 | 40 MVA (1) | 196.55 each | 137 | 196.55 | 137 | 45.85 | 23 |
| 132 KV sub-stations at Jalilpur | 1553.36 | UPPTCL | 2011 | 15.12.2011 | 40 MVA (2) | 201.02 each | 129.05 each | 402.04 | 258.1 | 118.13 | 29 |
| 132 KV sub-stations at Nagina | 1469 | UPPTCL | 2011 | 26.12.2011 | 40 MVA (1) | 201.02 | 129.05 | 201.02 | 129.05 | 59.065 | 29 |
| 220/132 KV, Motiram adda | 4590 | UPPCL | 2009 | 30.11.2009 | 160 MVA (2) | 710.04 each | 529.06 each | 1420.08 | 1058.12 | 256.148 | 18 |
| 220/132 KV, Jhoosi | 4169 | UPPCL | 2009 | 14.12.2009 | 160 MVA (2) | 637.44 each | 537.79 each | 1274.88 | 1075.58 | 91.742 | 7 |
| 33/11 KV, Latifpur | 361 | UPPCL | 2010 | 08.09.2010 | 5 MVA (1) | 43.9 | 18 | 43.9 | 18 | 24.1 | 55 |
| 33/11 KV, Sahmapur | 340 | UPPCL | 2010 | 08.09.2010 | 5 MVA (1) | 43.9 | 18 | 43.9 | 18 | 24.1 | 55 |

Audit Report on Public Sector Undertakings for the year ended 31 March 2014

| Name of Sub-station | Amount of Contract | Work awarded to UPRNN by: | Year of award of work to UPRNN | Date of LOI | Capacity of Transformers/ (No. of Transformers) | Cost of transformer billed by sub-contractor to UPRNN | Cost of transformer billed by the suppliers to the sub-contractor | Total Payment made by UPRNN to the sub-contractor | Total Payment made by sub-contractor to the supplier | Excess payment by sub-contractors after allowing contractor's margin of 10 percent | Difference of costs in percentage |
|---------------------------|--------------------|---------------------------|--------------------------------|-------------|---|---|---|---|--|--|-----------------------------------|
| 33/11 KV, Sabya | 230 | UPPCL | 2010 | 08.09.2010 | 5 MVA (1) | 43.9 | 18.4 | 43.9 | 18.4 | 23.66 | 54 |
| 33/11 KV,Prakashnagar | 401 | UPPCL | 2010 | 08.09.2010 | 5 MVA (1) | 43.9 | 18.4 | 43.9 | 18.4 | 23.66 | 54 |
| 33/11 KV, Kotwa | 270 | UPPCL | 2010 | 08.09.2010 | 5 MVA (1) | 43.9 | 18.4 | 43.9 | 18.4 | 23.66 | 54 |
| 33/11 KV, Sheikhpura | 348 | UPPCL | 2010 | 08.09.2010 | 5 MVA (1) | 43.9 | 18 | 43.9 | 18 | 24.1 | 55 |
| 132/33 KV,dhanapur | 1441 | UPPTCL | 2011 | 19.10.2011 | 20 MVA (2) | 136 each | 89.36 each | 272 | 178.72 | 75.408 | 28 |
| 33/11 KV at Ghosi, Mau | 387 | UPPCL | 2010 | 08.09.2010 | 5 MVA (1) | 43.9 | 18.4 | 43.9 | 18.4 | 23.66 | 54 |
| 220/132/33 KV S/S, Rampur | 2210 | UPPTCL | 2010 | 28.06.2011 | 40 MVA (2) | 220 each | 140.00 each | 440 | 280 | 132 | 30 |
| 220/132 KV S/S, Nighasan | 1590 | UPPTCL | 2010 | 21.05.2011 | 40 MVA (1) | 215 | 143.82 | 215 | 143.82 | 56.798 | 26 |
| 132/33 KV S/S, Gangeri | 1924 | UPPTCL | 2009 | 11.10.2009 | 40 MVA (2) | 361.43 each | 207.86 each | 722.86 | 415.72 | 265.568 | 37 |
| 132 KV S/S, Shahabad | 1914 | UPPTCL | 2009 | 16.07.2009 | 40 MVA (2) | 363 each | 224.41 each | 726 | 448.82 | 232.298 | 32 |
| 132/33 KV S/S, Bagholi | 1355 | UPPTCL | 2011 | 23.11.2011 | 20 MVA (2) | 136 each | 89.36 each | 272 | 178.72 | 75.408 | 28 |
| TOATL | | | | | 30 Transformers | | | 7120.67 | 4881.25 | 1751.295 | |

Annexure 3.5
(Referred to Para 3.3)

Excess employer contribution to Employees Provident Fund

| Sl. No. | Name of Unit | Period | No. of employees having salary ₹ 6500 or more during the period (in numbers) | Actual contribution made into EPF (amount in ₹) | Required Employer contribution in the EPF at statutory wage ceiling of ₹ 6500 p.m. (amount in ₹) | Total Employer contribution in the EPF for the year as per statutory wage ceiling of ₹ 6500 (col. 4* col.6*12) (amount in ₹) | Excess contribution (col. 5- col.7) (amount in ₹) |
|--------------|--------------------------|--------------------|--|---|--|--|---|
| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) |
| 1 | UPRNN, Head Office | 2011-12 to 2013-14 | 4848 | 214014958 | 780 | 45377280 | 168637678 |
| 2 | Lucknow Zone -1 | 2007-08 to 2013-14 | 2305 | 37692239 | 780 | 21574800 | 16117439 |
| 3 | South East Zone | 2007-08 to 2011-12 | 1229 | 17812606 | 780 | 11503440 | 6309166 |
| 4 | Faizabad Zone | 2011-12 to 2013-14 | 872 | 10488956 | 780 | 8161920 | 2327036 |
| 5 | North Zone Dehradun | 2007-08 to 2011-12 | 926 | 13329998 | 780 | 8667360 | 4662638 |
| 6 | Lucknow Zone -2 | 2007-08 to 2011-12 | 1111 | 15095892 | 780 | 10398960 | 4696932 |
| 7 | Technical Zone | 2007-08 to 2013-14 | 747 | 12012788 | 780 | 6991920 | 5020868 |
| 8 | Delhi, Zone | 2007-08 to 2013-14 | 1264 | 22109783 | 780 | 11831040 | 10278743 |
| 9 | Haldwani Zone | 2010-11 to 2011-12 | 161 | 1778659 | 780 | 1506960 | 271699 |
| 10 | North West Zone Bareilly | 2011-12 | 99 | 1898962 | 780 | 926640 | 972322 |
| TOTAL | | | 13562 | 346234841 | | 126940320 | 219294521 |

Annexure 3.6

(Referred to in paragraph 3.4.1)

Statement showing details of Purchase Orders issued

| Sl. No. | Month | No. of POs issued | Total Value (in ₹) |
|----------------|----------------|--------------------------|-------------------------------|
| 1 | January 2011 | 2 | 1992200 |
| 2 | April 2011 | 3 | 2144923 |
| 3 | June 2011 | 1 | 998000 |
| 4 | July 2011 | 1 | 999000 |
| 5 | September 2011 | 20 | 17496506 |
| 6 | January 2012 | 21 | 18057698 |
| 7 | February 2012 | 7 | 6036810 |
| 8 | May 2012 | 8 | 7932250 |
| 9 | June 2012 | 36 | 35991920 |
| 10 | July 2012 | 14 | 13986770 |
| 11 | October 2012 | 1 | 153900 |
| 12 | December 2012 | 13 | 12994190 |
| TOTAL | | 127 | 118784167 |

Annexure 3.7
(Referred to in paragraph 3.4.2)

Statement showing Purchase Orders issued without inviting tender

| Sl. No. | Tender No. | PO No. /date | Name of the firm | Value of material (in ₹) |
|----------------|-------------------|---------------------|--|---------------------------------|
| 1 | 945/2011-12 | 2433 dt. 18.9.12 | Alok Enterprise, Mainpuri | 999500 |
| 2 | 940/2011-12 | 2430 dt. 18.9.12 | Alok Enterprise, Mainpuri | 999940 |
| 3 | 1060/2011-12 | 2572 dt. 29.9.12 | Alok Enterprise, Mainpuri | 1000000 |
| 4 | 1062/2011-12 | 2574 dt. 29.9.12 | Alok Enterprise, Mainpuri | 998000 |
| 5 | 1069/2011-12 | 2581 dt. 29.9.12 | Alok Enterprise, Mainpuri | 1000000 |
| 6 | 1071/2011-12 | 2583 dt. 29.9.12 | Alok Enterprise, Mainpuri | 1000000 |
| 7 | 1072/2011-12 | 2584 dt. 29.9.12 | Alok Enterprise, Mainpuri | 1000000 |
| 8 | 974/2011-12 | 2469 dt. 20.9.12 | Amit Engineering Corpo. Mainpuri | 999900 |
| 9 | 1067/2011-12 | 2579 dt. 29.9.12 | Amit Engineering Corpo. Mainpuri | 999700 |
| 10 | 958/2011-12 | 2459 dt. 20.9.12 | Amit Engineering Corpo. Mainpuri | 999875 |
| 11 | 956/2011-12 | 2457 dt. 20.9.12 | Amit Engineering Corpo. Mainpuri | 999900 |
| 12 | 957/2011-12 | 2466 dt. 20.9.12 | Amit Engineering Corpo. Mainpuri | 999875 |
| 13 | 972/2011-12 | 2468 dt. 20.9.12 | Amit Engineering Corpo. Mainpuri | 999875 |
| 14 | 1065/2011-12 | 2577 dt. 29.9.12 | Amit Engineering Corpo. Mainpuri | 999845 |
| 15 | 1066/2011-12 | 2578 dt. 29.9.12 | Amit Engineering Corpo. Mainpuri | 999550 |
| 16 | 1068/2011-12 | 2580 dt. 29.9.12 | Amit Engineering Corpo. Mainpuri | 999990 |
| 17 | 1064/2011-12 | 2576 dt. 29.9.12 | Amit Engineering Corpo. Mainpuri | 999540 |
| 18 | 984/2011-12 | 1498 dt. 24.7.12 | Aditi Enterprises Jhansi | 1000000 |
| 19 | 918/2011-12 | 2413 dt. 18.9.12 | Seema Engineering & Suppliers Mainpuri | 999750 |
| 20 | 920/2011-12 | 2415 dt. 18.9.12 | Seema Engineering & Suppliers Mainpuri | 1000000 |
| 21 | 927/2011-12 | 2421 dt. 18.9.12 | Seema Engineering & Suppliers Mainpuri | 999905 |
| 22 | 935/2011-12 | 2426 dt. 18.9.12 | Seema Engineering & Suppliers Mainpuri | 999740 |
| 23 | 976/2011-12 | 1423 dt. 23.7.12 | Aditi Enterprises Jhansi | 1000000 |
| 24 | 977/2011-12 | 1424 dt. 23.7.12 | Aditi Enterprises Jhansi | 1000000 |
| 25 | 978/2011-12 | 1425 dt. 23.7.12 | Aditi Enterprises Jhansi | 998000 |
| 26 | 979/2011-12 | 1426 dt. 23.7.12 | Aditi Enterprises Jhansi | 990000 |
| 27 | 980/2011-12 | 1427 dt. 23.7.12 | Aditi Enterprises Jhansi | 1000000 |
| 28 | 981/2011-12 | 1428 dt. 23.7.12 | Aditi Enterprises Jhansi | 1000000 |
| 29 | 982/2011-12 | 1429 dt. 23.7.12 | Aditi Enterprises Jhansi | 998000 |
| 30 | 983/2011-12 | 1430 dt. 23.7.12 | Aditi Enterprises Jhansi | 990000 |
| 31 | 985/2011-12 | 1499 dt. 24.7.12 | Aditi Enterprises Jhansi | 997000 |
| 32 | 986/2011-12 | 1506 dt. 24.7.12 | Aditi Enterprises Jhansi | 1000000 |

| Sl. No. | Tender No. | PO No. /date | Name of the firm | Value of material (in ₹) |
|----------------|-------------------|---------------------|--|---------------------------------|
| 33 | 989/2011-12 | 1557 dt. 24.7.12 | Aditi Enterprises Jhansi | 970000 |
| 34 | 991/2011-12 | 1559 dt. 24.7.12 | Aditi Enterprises Jhansi | 999750 |
| 35 | 993/2011-12 | 1561 dt. 24.7.12 | Jyoti Engineering & Suppliers Jhansi | 999845 |
| 36 | 994/2011-12 | 1562 dt. 24.7.12 | Jyoti Engineering & Suppliers Jhansi | 999550 |
| 37 | 994/2011-12 | 1562 dt. 24.7.12 | Jyoti Engineering & Suppliers Jhansi | 999550 |
| 38 | 995/2011-12 | 1563 dt. 24.7.12 | Jyoti Engineering & Suppliers Jhansi | 999700 |
| 39 | 996/2011-12 | 1564 dt. 24.7.12 | Jyoti Engineering & Suppliers Jhansi | 999990 |
| 40 | 998/2011-12 | 1566 dt. 24.7.12 | Jyoti Engineering & Suppliers Jhansi | 998000 |
| 41 | 999/2011-12 | 1567 dt. 24.7.12 | Jyoti Engineering & Suppliers Jhansi | 999200 |
| 42 | 1061/2011-12 | 2573 dt. 29.9.12 | Seema Engineering & Suppliers Mainpuri | 1000000 |
| 43 | 1063/2011-12 | 2575 dt. 29.9.12 | Seema Engineering & Suppliers Mainpuri | 990000 |
| 44 | 1073/2011-12 | 2582 dt. 29.9.12 | Seema Engineering & Suppliers Mainpuri | 1000000 |
| 45 | 929/2011-12 | 2422 dt. 18.9.12 | Seema Engineering & Suppliers Mainpuri | 1000000 |
| 46 | 927/2011-12 | 2420 dt. 18.9.12 | Seema Engineering & Suppliers Mainpuri | 1000000 |
| 47 | 923/2011-12 | 2418 dt. 18.9.12 | Seema Engineering & Suppliers Mainpuri | 1000000 |
| 48 | 922/2011-12 | 2417 dt. 18.9.12 | Seema Engineering & Suppliers Mainpuri | 999975 |
| 49 | 917/2011-12 | 2412 dt. 18.9.12 | Seema Engineering & Suppliers Mainpuri | 1000000 |
| 50 | 987/2011-12 | 1507 dt. 24.7.12 | Aditi Enterprises Jhansi | 1000000 |
| 51 | 1145/2011-12 | 2379 dt. 15.9.12 | Mohanlal Contractor, Jhansi | 999270 |
| 52 | 1142/2011-12 | 2376 dt. 15.9.12 | Mohanlal Contractor , Jhansi | 999500 |
| 53 | 1141/2011-12 | 2375 dt. 15.9.12 | Mohanlal Contractor , Jhansi | 999270 |
| 54 | 1140/2011-12 | 2374 dt. 15.9.12 | Mohanlal Contractor , Jhansi | 999600 |
| 55 | 1139/2011-12 | 2373 dt. 15.9.12 | Somesh Kumar Jhansi | 992420 |
| Total | | | | 54913505 |

Annexure 3.8
(Referred to in paragraph 3.4.2)
Statement showing procurement of material without any requirement

| Sl. no. | Name of material | Balance as on 31.03.2013 (in Nos.) | Rate per unit | Amount | Material burnt in fire | | Consumption during 2013-14 | | Percentage of consumption | Lying in store (at the end of March 2014) | |
|---------|--|------------------------------------|---------------|------------------|------------------------|-----------------|----------------------------|---------------|---------------------------|---|-----------------|
| | | | | | Quantity | Amount | Quantity | Amount | | Quantity (in Nos.) | Amount |
| 1 | LT Distribution boxes (single phase) | 16187 | 2000 | 32374000 | 2927 | 5854000 | 39 | 78000 | 0.24 | 13221 | 26442000 |
| 2 | LT Distribution boxes (three phase) | 7973 | 3000 | 23919000 | 6118 | 18354000 | 0 | 0 | 0.00 | 1855 | 5565000 |
| 3 | Vacuum Interrupter of VCB various make | 563 | 45450 | 25588350 | 355 | 16134750 | 6 | 272700 | 1.07 | 202 | 9180900 |
| 4 | Shunt Capacitors (3 KVAR, 400 Volt) | 2100 | 1200 | 2520000 | 1200 | 1440000 | 0 | 0 | 0.00 | 900 | 1080000 |
| | Shunt Capacitors (4 KVAR, 400 Volt) | 2660 | 1680 | 4468800 | 1520 | 2553600 | 0 | 0 | 0.00 | 1140 | 1915200 |
| 5 | LT PVC cable Connector | 21916 | 820 | 17971120 | 9661 | 7922020 | 625 | 512500 | 2.85 | 11630 | 9536600 |
| | N shape connector | 18044 | 620 | 11187280 | 2319 | 1437780 | 0 | 0 | 0.00 | 15725 | 9749500 |
| | | | | 118028550 | | 53696150 | | 863200 | 0.73 | | 63469200 |

Annexure-3.9
(Referred to in paragraph 3.5.2(i))
Statement showing excess payment made to supplier

(Amount in ₹)

| Name of District | No. of items | Desktop | | | Access device | | | Printer | | | UPS | | | Table Chair set | | | Wiring, flooring, Ceiling | | |
|--------------------|--------------|---------|---------------------|------------------|---------------|---------------------|------------------|---------|---------------------|------------------|------|---------------------|------------------|-----------------|---------------------|------------------|---------------------------|---------------------|------------------|
| | | Rate | Difference per item | Total difference | Rate | Difference per item | Total difference | Rate | Difference per item | Total difference | Rate | Difference per item | Total difference | Rate | Difference per item | Total difference | Rate | Difference per item | Total difference |
| Lowest rate | | 34650 | | | 6190 | | | 9429 | | | 6667 | | | 12858 | | | 8571 | | |
| CSM Nagar | 42 | 39048 | 4398 | 184716 | 8571 | 2381 | 100002 | 9429 | 0 | 0 | 6667 | 0 | 0 | 14001 | 1143 | 48006 | 9428 | 857 | 35994 |
| Chitrakoot | 30 | 39048 | 4398 | 131940 | 8571 | 2381 | 71430 | 9429 | 0 | 0 | 6667 | 0 | 0 | 14001 | 1143 | 34290 | 9428 | 857 | 25710 |
| Chandauli | 38 | 39048 | 4398 | 167124 | 8571 | 2381 | 90478 | 9429 | 0 | 0 | 6667 | 0 | 0 | 14001 | 1143 | 43434 | 9428 | 857 | 32566 |
| Firozabad | 40 | 35238 | 588 | 23520 | 8381 | 2191 | 87640 | 10095 | 666 | 26640 | 8571 | 1904 | 76160 | 14001 | 1143 | 45720 | 9428 | 857 | 34280 |
| Gorakhpur | 42 | 35000 | 350 | 14700 | 7333 | 1143 | 48006 | 11550 | 2121 | 89082 | 8429 | 1762 | 74004 | 14001 | 1143 | 48006 | 9333 | 762 | 32004 |
| Balrampur | 42 | 35143 | 493 | 20706 | 6190 | 0 | 0 | 10762 | 1333 | 55986 | 8095 | 1428 | 59976 | 13715 | 857 | 35994 | 9428 | 857 | 35994 |
| Baghpat | 39 | 34650 | 0 | 0 | 7143 | 953 | 37167 | 11550 | 2121 | 82719 | 8095 | 1428 | 55692 | 12858 | 0 | 0 | 8571 | 0 | 0 |
| Faizabad | 40 | 34762 | 112 | 4480 | 7143 | 953 | 38120 | 11048 | 1619 | 64760 | 8095 | 1428 | 57120 | 12858 | 0 | 0 | 9428 | 857 | 34280 |
| Kushinagar | 20 | 35238 | 588 | 11760 | 7619 | 1429 | 28580 | 11524 | 2095 | 41900 | 8571 | 1904 | 38080 | 14001 | 1143 | 22860 | 9428 | 857 | 17140 |
| Ghazipur | 40 | 39048 | 4398 | 175920 | 8571 | 2381 | 95240 | 9429 | 0 | 0 | 6667 | 0 | 0 | 14000 | 1142 | 45680 | 9428 | 857 | 34280 |
| Total | 373 | | | 734866 | | | 596663 | | | 361087 | | | 361032 | | | 323990 | | | 282248 |
| Grand Total | | | | | | | | | | | | | | | | | | | 2659886 |

Annexure-3.10
(Referred to in paragraph 3.16.1)
Statement showing paragraphs/Performance Audit for which replies were not received

| Sl. No. | Name of Department | 2008-09 | | 2009-10 | | 2010-11 | | 2011-12 | | 2012-13 | |
|---------|--|-----------------------------------|--|-----------------------------------|--|-----------------------------------|--|-----------------------------------|--|-----------------------------------|--|
| | | No. of paragraphs in Audit Report | No. of paragraphs for which reply not received | No. of paragraphs in Audit Report | No. of paragraphs for which reply not received | No. of paragraphs in Audit Report | No. of paragraphs for which reply not received | No. of paragraphs in Audit Report | No. of paragraphs for which reply not received | No. of paragraphs in Audit Report | No. of paragraphs for which reply not received |
| 1. | Energy (Power) | 13 | 12 | 7 | 5 | 4 | 4 | 9 | 9 | 8 | 8 |
| 2. | Transport | 1 | 1 | -- | -- | 2 | 1 | -- | -- | -- | -- |
| 3. | Co-operative | -- | -- | -- | -- | -- | -- | 1 | 1 | -- | -- |
| 4. | Samaj Kalyan | 2 | 1 | -- | -- | -- | -- | -- | -- | -- | -- |
| 5. | Agriculture | -- | -- | 1 | 1 | -- | -- | -- | -- | -- | -- |
| 6. | Vastra Udyog | -- | -- | 1 | 1 | -- | -- | -- | -- | -- | -- |
| 7. | Industrial Development | 3 | 2 | -- | -- | 2 | 2 | 1 | 1 | -- | -- |
| 8. | Public Works | 1 | 1 | 2 | 1 | -- | -- | -- | -- | 6 | 6 |
| 9. | Small Industries | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| 10. | Sugar Industry and Cane Development | -- | -- | -- | -- | 1 | -- | -- | -- | -- | -- |
| 11. | Urban Development | 1 | 1 | 2 | 1 | -- | -- | 3 ⁹ | 3 ⁹ | 3 | 3 |
| 12. | Housing and Urban Planning | 1 | -- | -- | -- | 2 ¹⁰ | 2 ¹⁰ | -- | -- | 1 | 1 |
| 13. | Irrigation | -- | -- | 1 | -- | 2 | 2 | 3 | 3 | 1 | 1 |
| 14. | Matsya Evam Pashudhan | 1 | 1 | -- | -- | -- | -- | -- | -- | -- | -- |
| 15. | Electronics and Information Technology | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| 16. | Public Enterprises | 2 ¹¹ | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| 17. | Food and civil supplies | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| 18. | Minerals and Mining | 2 | 2 | 2 | 2 | 3 | 3 | -- | -- | -- | -- |
| 19. | Forest | -- | -- | -- | -- | 1 | -- | -- | -- | 1 | 1 |
| | Total | 27 | 21 | 16 | 11 | 16 | 13 | 16 | 16 | 20 | 20 |

⁹ This includes a para on Avoidable expenditure on procurement of cement on two PSUs under two different departments (Uttar Pradesh Jal Nigam: Urban Development Department and U.P. Projects Corporation Limited: Irrigation Department). As this para is included twice i.e. at Sl. No. 11 and 13, hence, it has been counted as only one para in total.

¹⁰ This includes a para on Non-recovery of Trade Tax/VAT on two PSUs under two different departments (Uttar Pradesh Avas Evam Vikas Parishad: Housing and Urban Planning Department and Uttar Pradesh State Industrial Development Corporation Limited: Minerals and Mining Department). As this para is included twice i.e. at Sl. No. 12 and 18, hence it has been counted as only one para in total.

¹¹ This relates to 13 departments including departments of Niryat Protsahan, Tax and Institutional Finance, Forest, Panchayati Raj, Pichra Varg Kalyan and Tourism not appearing in column of name of department.

Annexure-3.11
(Referred to in paragraph 3.16.3)
Statement showing the department-wise outstanding Inspection Reports

| Sl. No. | Name of Department | No. of PSUs | No. of outstanding IRs | No. of outstanding Paragraphs | Year from which paragraphs outstanding |
|---------|--|-------------|------------------------|-------------------------------|--|
| 1. | Irrigation | 1 | 7 | 75 | 2004-05 |
| 2. | Small Industries | 1 | 8 | 54 | 2005-06 |
| 3. | Industrial Development | 4 | 102 | 518 | 1987-88 |
| 4. | Information Technology and Electronics | 5 | 20 | 73 | 2004-05 |
| 5. | Public Works | 2 | 411 | 1707 | 2004-05 |
| 6. | Samaj Kalyan | 3 | 12 | 41 | 2007-08 |
| 7. | Transport | 7 | 93 | 516 | 2004-05 |
| 8. | Forest | 1 | 31 | 137 | 2004-05 |
| 9. | Energy | 15 | 2102 | 8788 | 2004-05 |
| 10. | Housing and Urban Planning | 1 | 217 | 773 | 2004-05 |
| 11. | Urban Development | 1 | 798 | 3127 | 2004-05 |
| | Total | 41 | 3801 | 15809 | |

Source: Progress register of AIRs.

Annexure-3.12
(Referred to in paragraph 3.16.3)
Statement showing the department-wise draft paragraphs/Performance
Audit, replies to which were awaited

| Sl. No. | Name of Department | No of Performance Review | Period of issue |
|---------|--------------------|--------------------------|--------------------------|
| 1 | Forest | 1 | August 2014 |
| 2 | Energy | 1 | October 2014 |
| Sl. No. | Name of Department | No of draft paragraphs | Period of issue |
| 1. | Energy | 6 | May 2014 to October 2014 |
| 2. | Public Works | 3 | July 2014 |
| 3. | Transport | 1 | July 2014 |
| | Total | 10 | |