

APPENDICES

Appendix 1.1

(Referred to in paragraph 1.7)

Statement showing details of outstanding Inspection Reports and paragraphs

(₹ in crore)

Sl. No.	Name of Department	No of IRs Outstanding as on 31 March 2015	No of Outstanding Paragraphs	Total Amount involved	Year from Which paragraphs are outstanding	No of IRs outstanding more than five year at the end of March 2015	No. of para outstanding more than five year at the end of March 2015
1	2	3	4	5	6	7	8
1	Housing and Urban Planning	100	823	34210.71	2008-09	39	277
2	Infrastructure and Industrial Development	1	4	8.08	2013-14	0	0
3	Department of Micro, Small & Medium Enterprises and Export Promotion	104	239	260.10	2008-09	47	112
4	Information Technology and Electronics	0	0	0.00	--	0	0
5	Forest	671	2191	2672.71	2007-08	320	963
6	Energy	22	62	3922.01	2008-09	3	4
7	Co-operative	32	69	1885.73	2007-08	6	8
8	Cane Development	60	160	3532.55	2008-09	17	48
9	Tourism	15	59	532.34	2007-08	4	12
10	Environment	7	28	1149.29	2008-09	2	9
11	Khadi and Village Industries	11	52	3544.41	2008-09	4	24
12	Handloom and Textile Industries	24	68	212.25	2008-09	9	25
13	Dairy Development	108	371	815.71	2008-09	30	89
14	Science and Technology	7	56	232.40	2008-09	2	11
15	Civil Aviation	2	4	38.48	2013-14	0	0
16	Madhya Nishedh	7	9	13.25	2008-09	1	1
17	Revenue(Except Collectorate)	19	40	364.93	2007-08	9	18
18	Additional Sources of Energy/Non conventional Energy	5	41	73.63	2008-09	2	19
	Total	1195	4276	53468.58		495	1620

Appendix 1.2

(Referred to in paragraph 1.11)

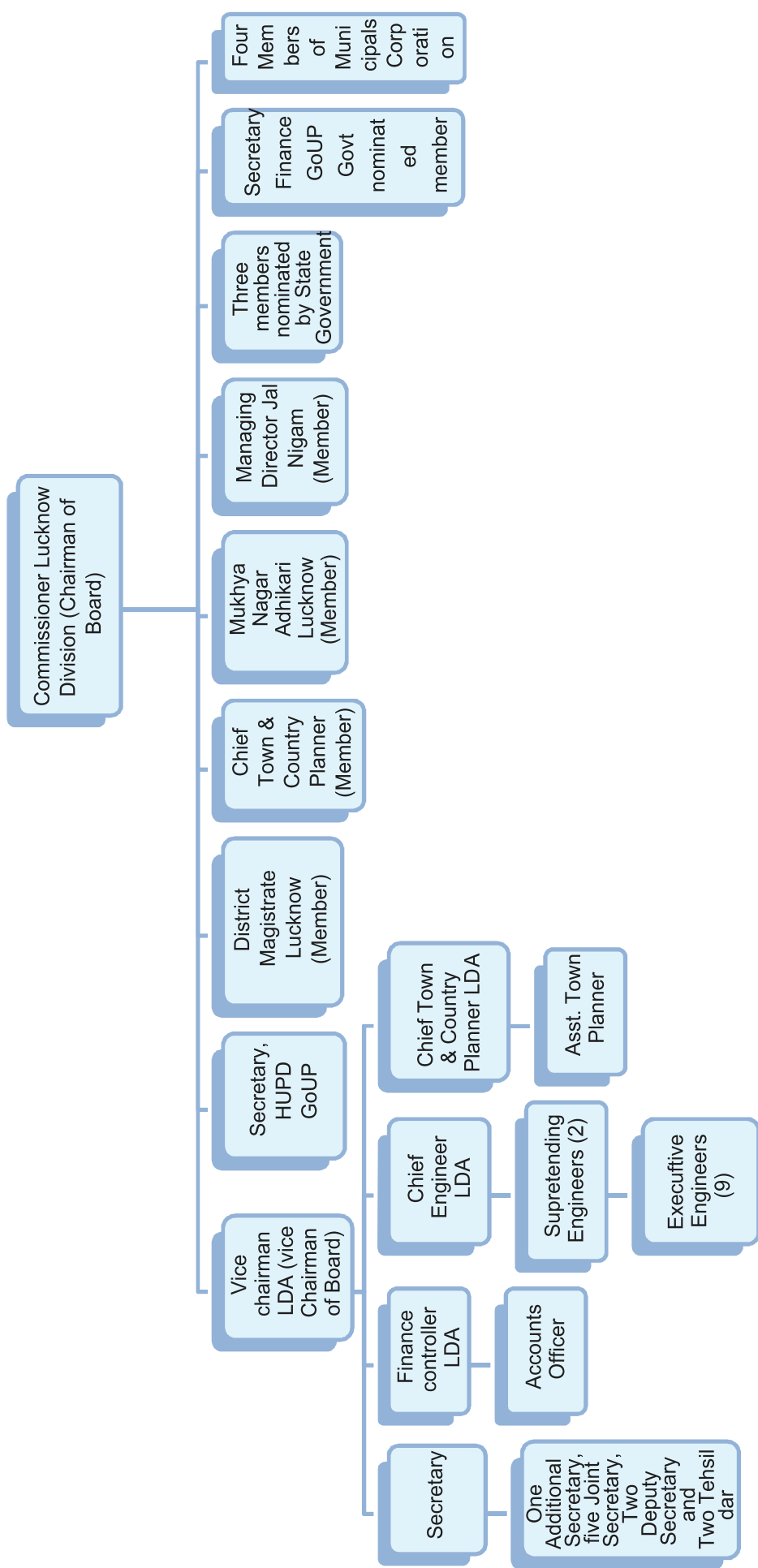
**Statement showing details of outstanding Separate Audit Report to be presented
in State Assembly**

Sl. No.	Name of Autonomous Bodies	Year upto which SAR placed in Legislature	Year for which SAR not placed in Legislature		Reasons for non-placement of SAR
			Years of SAR	Date of Issue to Government	
1	2	3	4	5	6
1	Khadi Evam Gramodyge Board, Lucknow	2010-11	2011-12 2012-13	3 August 2015 30 July 2015	Reasons not furnished.
2	Uttar Pradesh Electricity Regulatory Commission (UPERC).	No SAR placed in legislature since established (2003-04)	2003-04 2004-05 2005-06 2006-07 2007-08 2008-09 2009-10 2010-11 2011-12 2012-13 2013-14	19 October 2006 5 October 2007 5 October 2007 3 October 2008 17.August 2009 15 August 2010 26 May 2011 08 June 2012 24 September 2014 20 February 2015 22 June 2015	Reasons not furnished.

Appendix-2.1

Organisational chart

(Referred to in paragraph 2.1.2)



Charter of Duties of Functionaries

1. **Vice Chairman** of the Authority shall have the powers of overall supervision, superintendence and control of all the activities of the Authority, its staff, fund and expenditure.
2. **The Secretary** of the Authority shall exercise the powers and perform the duties and ensure the strict compliance of Government orders, maintenance of records and proceedings of the Authority. (Reports to Vice Chairman)
3. **The Finance Controller** shall exercise proper and adequate control over the staff of Accounts department and Treasury of the Authority. (Reports to Vice Chairman)
4. **The Chief Town Planner** shall have power of preparation of Master plan and Zonal Development plan and compliance of the conditions of Master plan for approval of maps. (Reports to Vice Chairman)
5. **The Chief Engineer** shall have powers of execution of Construction and development works in new and upcoming schemes of the Authority. (Reports to Vice Chairman)
6. **The Tehsildar** looks after the matter relating to acquisition of land for implementing the schemes. (Reports to Secretary)

Appendix-2.2
(Referred to in paragraph 2.1.6.2)
Statement showing Budget and Actual Income/Expenditure

(₹ in crore)

Sl. No	Year	Budgeted income/ Expenditure	Actual income/ expenditure	Variation	Percentage of variation
Income					
1	2011-12	1497.8	933.81	563.99	-37.65
2	2012-13	1261	500.12	760.88	-60.34
3	2013-14	1396	516.43	879.57	-63.01
Expenditure					
4	2011-12	1323.14	660.99	662.15	-50.04
5	2012-13	1204.58	452.96	751.62	-62.4
6	2013-14	1137.00	382.53	754.47	-66.36

Appendix-2.3

(Referred to in paragraph 2.1.7.2)

Statement of Land Acquisition Proposals Cancelled during the Audit Period

SI No.	Name of proposal	Purpose of Acquisition (for own scheme or for GoUP deposit work)	Area in hectare	Amount sent to SLAO (₹ in lakh)	Reason for cancellation
1	2	3	4	5	6
1	Rama Bai Ambedkar Maidan Parking P-1	Government proposal for parking	6.952	4,045.34	The acquisition was challenged by the land owner in the honorable court and de-notified on the opinion of the Additional Advocate General as discussed in Para 2.1.7.3(i).
2	Rama Bai Ambedkar Maidan Parking P-2	Government proposal for parking	22.237	12,034.68	
3	Rama Bai Ambedkar Maidan Parking P-3	Government proposal for parking	22.986	11,804.42	
4	Rama Bai Ambedkar Maidan Parking P-I A	Government proposal for parking	1.924	604.85	
5	Rama Bai Ambedkar Maidan Parking P-I B	Government proposal for parking	0.074	10.50	
6	Helipad for Chief Minister, Dilkhusha, Ganeshganj	Government proposal for chief minister helipad	0.446	33.66	On the direction of GoUP, the proposal has been cancelled.
Total- A			54.619	28,533.45	
7	Aishbagh Tower	Group Housing Scheme	0.587	213.00	The major part of the land (6.343 hectare) consisted of <i>Nazul</i> land which could not be obtained from GoUP. Hence, acquisition process of rest of land was cancelled as discussed in para 2.1.7.3 (ii)
8	Parking and extension in front of Annexi-2	LDA proposal for parking	1.529	0	Dispute over title of land.
9	Acquisition of land at Mohalla Hazratganj entry /Exit in the parking	LDA proposal for parking	0.006	4.03	Dispute over title of land.
Total-B			2.122	217.03	
Total (A+B)			56.741	28,750.48	

Appendix-2.4
{Referred to in paragraph 2.1.7.3 (i)}

Statement showing acquisition charges deducted by Special Land Acquisition Officer in respect of GoUP proposals

Sl. No.	Name of the site proposed in acquisition	Amount deposited with SLAO	Acquisition charges deposited	Stage of proposal when de-notified/cancelled	Per cent of deduction as confirmed by SLAO	Amount deducted as confirmed by SLAO
1	Rama Bai Parking Scheme-P1	4,045.34	399.87	Award declared	100	399.87
2	Rama Bai Parking Scheme-P2	12,034.68	1,337.18	Award declared	100	1,337.18
3	Rama Bai Parking Scheme-P3	11,804.42	1,311.60	Award declared	100	1,311.60
4	Rama Bai Parking Scheme-P1A	604.85	67.20	Section-6	50	33.60
5	Rama Bai Parking Scheme-PIB	10.50	5.25	Section-4	35	1.84
6	Chief Minister Helipad	33.67	16.84	Before Section-4	25	4.21
		28,533.46	3,137.94			3,088.30

Appendix-2.5
(Referred to in paragraph 2.1.8.3)
Statement showing short recovery of Floor Area Ratio charges

Sl. No.	Details of developer	Area (in sqm)	FAR charges recoverable (₹ in crore)	FAR charges actually recovered (₹ in crore)	Difference (₹ in crore)	Remarks
1	Dragon Age Realtors Pvt. Ltd. Permit No/date:36462 dated 7.6.2014	7161.72	0.97	0.39	0.58	Provisions contained in Bye- laws 2008 (Comment to the Point No. 3.5.2.4) and GoUP order (September 2008) provided for levy of FAR fee on the basis of prevailing DM circle rate. We noticed that while approving (June 2014) map of Dragon Age Realtors Private Limited, the Authority levied purchasable FAR charges on the basis of land rate of ₹ 3,000 per sqm whereas from the registration documents, it was observed that the DM circle rate was ₹ 7,500 per sqm. Thus, the Authority levied purchasable FAR charges based on incorrect circle rate which resulted in loss to the extent of ₹ 58 lakh. Authority stated that the concerned engineer is being asked to make available the concerning records.
2	Amrit Bottlers Permit No/date: 34949 dated 25.05.2013	4000	0.48	0.30	0.18	Building Bye-laws 2008 as amended in September 2011 provided for calculation of FAR charges for commercial plots at factor of 0.80. We noticed that while approving (May 2013) map of commercial plot of Amrit Bottlers, the Authority calculated FAR charges incorrectly by applying a factor of 0.50 instead of 0.80 which resulted in loss to the Authority to the extent of ₹ 18 lakh. Authority stated (July 2015) that factor of 0.5 was provided in Bye-laws 2008. The reply is not acceptable as the map was approved in May 2013 when the provision was amended (September 2011) to 0.80.

1	2	3	4	5	6	7
3	Omaxe Ltd. Permit No/date: 31458 dated 07.07.2011	42,755.1 0	22.57	13.54	9.03	<p>The Building Bye-laws were amended by GoUP (14 November 2008) and adopted by the Board of the Authority on 19 February 2009. Thus, all cases of maps should have been approved in the light of amended provisions.</p> <p>We noticed that the Authority while approving (July 2011) map of Omaxe Limited, levied charges for purchasable FAR in accordance with old Bye-laws (2000), instead of new Bye-laws (2008) leading to loss amounting to ₹ 9.03 crore. The Authority did not furnish any reply (October 2015).</p>
4	Preet Realtors Permit No/date: 31649 dated 28.04.2011	5,980	2.02	0.96	1.06	<p>Provisions contained in Bye- laws 2008 (comment to the Point No. 3.5.2.4) and GoUP order (September 2008) provided for levy of FAR charges on the basis of prevailing DM circle rate.</p> <p>We noticed that instead of calculating the charges for purchasable FAR on the basis of DM Circle rate (₹16,875/sqm) as provided in Bye-laws , the charges were worked out and collected on the basis of Authority's sector rate (₹ 8,000/sqm) which resulted in loss to the Authority to the extent of ₹ 1.06 crore.</p> <p>Authority stated that where the schemes have not been transferred to local bodies for maintenance, Authority's sector rate shall be taken. The reply is not acceptable since there is no such provision and provisions contained in Bye- laws 2008 provide for levy of FAR charges on the basis of DM circle rate.</p>
Total			26.04	15.19	10.85	

Appendix-2.6
(Referred to in paragraph 2.1.8.3)
Statement showing non-recovery of City Development Charges

Sl. No.	Details of developer	Area (in sqm)	CD charges recoverable (₹ in crore)	Remarks
1	S.A.S. Hotel and Property Permit No/date: 35941 dated 31.12.2009	11009.09	0.97	The Authority sanctioned (December 2009) a group housing map of S.A.S. Hotel and Property (at plot no. 3,4 & 10, Zopling Road, Lucknow measuring 11,009.09 sqm) but failed to levy CDC on extra FAR (4,885.15 sqm) at the rate of 15 per cent aggregating ₹ 96.73 lakh (4,885.15 sqm * ₹ 13,200*15 per cent) as required vide clause 3.5.1 (VII) Bye-laws. The Authority stated (July 2015) that there had not been any increase in FAR, hence, no CDC was payable. The reply is not acceptable as the original FAR was 1.5 against which actual FAR (including purchasable FAR) allowed was 2.00. On this additional FAR, CDC was required to be levied.
2	A. S. Project Permit No/date: 28241 dated 04/2011	4470.81	0.44	The Authority sanctioned (April 2011) map of A.S. Project (at Rahim Nagar, Maha Nagar measuring 4,470.81 sqm) but failed to levy CDC on extra FAR at 15 per cent of the prevailing circle rate on extra FAR as per clause 3.5.1 (VII) of Bye-laws, to the extent of ₹ 44.29 lakh. The Authority stated (July 2015) that there had not been any increase in FAR hence no CDC was payable. The reply is not acceptable as the original FAR was 1.5 against which actual FAR (including purchasable FAR) allowed was 2.00. On this additional FAR, CDC was required to be levied.
Total			1.41	

Appendix-2.7
(Referred to in paragraph 2.1.8.3)
Statement showing non-levy of External Development Charges

Sl. No.	Details of developer	Area (in sqm)	Development Charges recoverable (₹ in crore)	Strengthening charges realised. (₹ in crore)	Difference (₹ in crore)	Remarks
1	S.A.S. Hotel and Property Permit No/date: 31647 dated 23.08.2011	60115.98	6.67	0.37	6.30	The BOA approved categorisation of <i>Mahayojna</i> area into <i>Nirmit</i> , developed, undeveloped and undefined area in 139 th meeting held on 26.09.2009, and decided to levy development fee in all other areas except in <i>Nirmit</i> areas in 29.07.2011. Despite this, the Authority while sanctioning Group Housing map in August 2011, levied strengthening fee instead of external development fee amounting ₹ 6.67 crore (at the rate of ₹ 1,110 per meter * 60,115.98 meter) thereby according undue favour to the Developer. The Authority stated (July 2015) that since the area was situated in developed area hence, it was decided to levy strengthening fee. The reply is not acceptable since the Authority had already taken decision on 29.07.2011 to levy development fee in all areas except in <i>Nirmit</i> areas.
2	Weldon Infrastructure Pvt. Ltd. Permit No/date: 36714 dated 23.7.2014	10115.69	1.24	0	1.24	The Authority while sanctioning map of the Developer in July 2014 failed to levy external development charges from the Developer despite the fact that the land belonging to the developer was private agricultural land adjusted in commercial layout of the Authority without levying development charges, leading to loss to the extent of ₹ 1.24 crore (₹ 10,115.69*1,225). The Authority did not furnish any reply (October 2015).
TOTAL					7.54	

Appendix-2.8 (a)

(Referred to in para 2.1.8.3)

Statement showing calculation of increased rates for 2009-10 & 2010-11 on the basis of CPWD cost index

Sl. No.	Particulars	Cost Index	Remarks
1	Cost Index in 1992	100	
2	Cost Index in 2007	260	
3	Thus, increase in 15 years	160	
4	Average annual increase	10.67	
5	Thus, Cost Index in 2000 (10.67*8 years plus index of 1992)	185.36	
6	Cost Index in 2007	260	
7	Thus, proportionate Cost Index in 2007 taking base at 100 in 2000	139.78	(39.78 per cent increase)
8	Revised Cost Index in 2007	100	
9	Cost Index in Jan 2012	164	
10	Unit increase in comparison to 2007	64	(64 per cent increase)
11	Thus, Relative Cost Index of 2000 (taking base at 100) in 2012	229.24	
12	Increase in Cost Index of 2000 (229.24-100)	129.24	
13	Average annual increase in 12 years	10.83	
14	Cost Index in 2009-10 (after nine years from 2000)	199	(99 per cent increase)
15	Cost Index in 2010-11 (after ten years from 2000)	210	(110 per cent increase)

Appendix-2.8(b)

(Referred to in para 2.1.8.3)

Loss due to non revision of rates

(₹ in lakh)

Sl.No	Nature of charges	Charges actually realised in 2009-10	Short realisation (99 per cent)	Charges realised in 2010-11	Short realisation (110 per cent)	Total short realisation
1	Stacking charges	69.04	68.35	83.57	91.93	160.28
2	Supervision fee	379.19	375.4	239.59	263.55	638.95
	Total	448.23	443.75	323.16	355.48	799.23

Appendix-2.9
(Referred to in paragraph 2.1.8.3)
Statement showing non-provision of houses for Economically Weaker Section and Lower Income Group

Sl. No.	Name of the Developer	Plot / Khastu No./Address	Area (in sqm)	Remarks
1	S.A.S. Hotel and Property	166-171, 180,181, 183 to 203, and 205-206, Shankerpurva, Mahanagar, Lucknow	60115.78	<p>The GoUP notified (January 2010) that all the Government Authorities as well as private bodies executing housing schemes on plots exceeding ten acres were required to ensure construction of minimum ten <i>per cent</i> of the total units to be constructed towards EWS and LIG houses each.</p> <p>We noticed that the Authority while sanctioning (August 2011) map of the Developer on 60,115.78 sqm (14.85 acres) for construction of 554 houses (August 2011), failed to ensure construction of EWS and LIG houses to the extent of ten <i>percent</i> each aggregating 110 units.</p> <p>The Authority stated (July 2015) that the map of the Developer was approved before September 2011 hence the order was not applicable. The reply is not acceptable since the order (January 2010) was effective at the time of sanction of the map.</p>
2	Eden Projects Private Limited	4-C Vikalp Khand, Gomti Nagar, Lucknow	38230.43	<p>The GoUP notified (January 2010) that all the Government Authorities as well as private bodies executing housing schemes on plots exceeding ten acres were required to ensure construction of minimum ten <i>per cent</i> of the total units to be constructed towards EWS and LIG houses each. The GoUP, however, (September 2011) reduced the area to 3,000 sqm.</p> <p>We noticed that the Authority while sanctioning (September 2012) layout map of the Developer on 38,230.43 sqm (9.45 acre) for construction of 148 houses failed to ensure construction of LIG and EWS houses to the extent of ten <i>per cent</i> each aggregating 30 units.</p>

Appendix-2.10
(Referred to in paragraph 2.1.8.4)

Statement showing non-collection of labour cess on sanction of maps

SI No.	Period	No. of maps sanctioned	Covered area sanctioned (in lakh sqm)	Prevalent DM circle rate for construction (effective per sqm) (in ₹)	Total cost of construction (₹ in crore)	Labour Cess at the rate of one per cent of construction cost (₹ in crore)
1	2	3	4	5	6=4*5	7=6*.01
1	01.09.2011 to 31.07.2012	1,480	8.03	6,875	552.06	5.52
2	01.08.2012 to 04.08.2013	1,438	8.25	7,625	629.06	6.29
3	05.08.2013 to 14.12.2014	1,833	19.54	9,250	1807.45	18.07
4	15.12.2014 to 31.03.2015	468	5.13	11,000	564.30	5.64
	Total	5219	40.95		3552.87	35.52

Appendix-2.11

(Referred to in paragraph 2.1.9.1)

Statement showing details of Group Housing projects selected for audit

SI No	Name of Apartment	Name of contractor	Date of award	Agreement value of work	Schedule date of start	Revised schedule date of completion	Actual date of completion	No. of Flats initially proposed/actually constructed
1	Riverview Phase-II, Gomti Nagar Extension	M/s L&T Limited	04.02.2010	475.08	18.02.2010	30.09.2015	01.10.2013*	1432/1928
2	Sulabh Awas, Gomti Nagar Extension & Jankipuram Extension	M/s Syntex Industries Limited	25.02.2010	252.41	07.03.2010	31.12.2014	27.06.2013*	4500/4272
3	Greenwood Apartment, Gomti Nagar Extension	M/s NCC Limited	04.05.2010	124.66	20.05.2010	30.07.2014	31.08.2013*	566/736
4	Sargam Apartment, Jankipuram	M/s L&T Limited	18.10.2011	205.51	03.12.2011	31.03.2015	In progress	720/0

*Actual date of construction of flats as per costing.

Appendix-2.12

(Referred to in paragraph 2.1.9.1)

**Statements showing avoidable expenditure due to non-compliance of the directives of
Central Vigilance Commission**

SI No.	Particular	Rate quoted by lowest bidder (per sqm in ₹)	Rate quoted by second lowest bidder (per sqm in ₹)	Difference in rate	Quantity executed (in sqm)	Difference amount (in ₹)
1	2	3	4	5=(4-3)	6	7=5*6
1	Basement rate	957	3340	2383	17361.9	41373408
2	Super Area rate	14246	15770	1524	92792	141415008
Total						182788416

**Appendix-2.13
(Referred to in paragraph 2.1.9.1)
Statement showing violation of codal provisions**

Sl No.	Codal provisions	Non-Compliance	Management Reply
1	Absence of E-Tendering The GoUP amended (October 2008) the provisions contained in Financial Hand Book (FHB) Volume-V, Part-1 to include electronic system with paper transaction i.e. E-Tendering.	Authority did not resort to E-tendering in tenders as a result, competitiveness of the tenders could not be ensured.	Authority stated (July 2015) that tenders were being invited by uploading it on the website of the Authority after 08.11.2013 and by way of publication in the news papers. The reply is not acceptable as it violated the provisions contained in the FHB.
2	Absence of third party Surveillance With a view to bring about qualitative improvement in construction and development works, Board in their 134 meeting (December 2008) directed that a system of third party surveillance by reputed agencies such as RITES/IITs/CRRRI/CBRI should be adopted.	The Authority failed to introduce the system of third party surveillance in any of the test checked works. As a result, the quality of the executed works, test checked in audit, could not be independently verified.	Authority stated (July 2015) that structural design/drawing of the buildings is vetted by IIT, Mumbai. Further, selection of the agency for third party surveillance is being made. The reply is not acceptable as the system has still not been introduced.
3	Interest free Mobilisation Advance (MA) The Authority sanctioned interest free MA at the rate of 15 per cent of the cost of works (River View Phase II, Greenwood Apartments, <i>Sulabh Avas Yojna</i> and <i>Sargam Apartments</i>) to respective contractors but failed to link recovery of the same in a time bound manner as prescribed in Chief Vigilance Commissioner (CVC) guidelines (April 2007).	The Authority failed to recover the MA even after expiry of more than five years. This resulted in undue favour to the contractors in all four works.	Authority stated (July 2015) the bidders had quoted their rates considering interest free MA. The reply is not acceptable as the Management failed to link its recovery with time as a result MA remained outstanding even after expiry of more than five years.
4	Non Preparation of Detailed estimate The provisions contained in Manual 2004 provided for preparation of feasibility report & preliminary estimate, followed by detailed estimates to include bill of quantity at appropriate rates after according technical sanction over detailed estimates by the Technical Committee.	The Authority in all the four Group Housing schemes selected by Audit, the provisions had been violated and tenders had been awarded on the basis of preliminary estimates prepared on the basis of plinth area rates only.	In reply, Authority without attributing any specific reason for non preparation of detailed estimates stated (July 2015) that decision is taken by the committee comprising of executive engineer, Chief Engineer, Secretary and Finance Controller. The reply is not acceptable as the codal provisions were violated.

Appendix-2.14

(Referred to in paragraph 2.1.10.1)

Statement showing progress in implementation of Hi-tech Township Projects

Sl. No	Name of Developer	Area approved (in acres)	Date of execution of development agreement	Total area of Land acquired (in acre)	Investment made (₹ in crore)	No. of houses to be constructed as per DPR			Physical progress of houses/plots actually constructed/created (in percentage)			Physical progress of infrastructural (in percentage)			Progress of development of community facilities			Development of villages				
						EWS	LIG	Other units	EWS	LIG	Other	Road	Water supply	Sewerage	Drainage	Electrical	No.	Actual progress in percentage	No. of villages	Physical progress in percentage		
																					Facilities	Actual progress in percentage
1.	Ansal P&I Limited	3530.03	19.11.06 to 26.04.11	2521.52	1373	4504	4566	36300	2.18	1.93	7.22	60	43	61	53	51	482	5	22	36		
2	Garv Builttech	2700	8.08.2011	550.93																	No progress reported	
3	Sahara India corporation	1784	Not executed	---																	No progress reported	
Total		8014.03		3072.45																		

**Appendix-2.15
Statement showing progress in implementation of Integrated Township Projects
(Referred to in paragraph 2.1.10.2)**

Sl. No	Name of Developer	Area approved (in Acres)	Date of execution of development agreement	Total area of land acquired (in acre)	Investment made (₹ in crore)	No. of houses to be constructed as per DPR			Physical progress of houses actually constructed as on 31.03.2015 (in percentage)				Physical progress of infrastructural Facilities as on 31.03.2015 (in percentage)					progress of development of community facilities as on 31.03.2015		Development of villages	Progress of other units vis-a-vis targeted			
						EWS	LIG	Other units	EWS	LIG	Other	Road	Water supply	Sewerage	Drainage	electrical	No	actual progress in percentage	no. of villages			Physical progress in percentage		
1	Eldeco City Pvt Ltd	133.07	5.02.2010	112.61	201.8	243	243	2187	53	26	34	70	55	75	50	14	1	0	0	34.11				
2	Viraj Constn Pvt Ltd	317.91	22.10.2011	282.12	145.45	1032	1032	10320	0	0	0	35	28	10	13	44	0	0	0	0				
3	Emmar MGF	226.37	24.12.2011	181	224	393	393	3930	0	0	0	37	25	45	20	25	0	0	0	0				
4	Omaxe pvt ltd	121.76	28.02.2013	88.1	62	702	702	7020	0	0	0	0	0	0	0	0	0	0	0	0	0			
5	Shipra Real Estate	372	-	96.65	40																			
6	Lohia Developers	45	-	12.48	4.8																			
7	ANS construction Pvt Ltd	226.32	27.01.2015	168.84	83																			
8	Ms. Amravati Residency Pvt. Ltd.	59.0	-	30.07	25																			
					786.05	2370	2370	23457	DPR not submitted															
					965.72	2370	2370	23457	License proposed for cancellation															
									Revised DPR dated 11.12.2013 had been approved by the Board on 16.01.2014. however, the layout had not been issued															
									DPR has not been produced yet.															
					1501.412																			

Appendix-2.16

{Referred to in paragraph 2.1.10.2 (i)}

**Statement showing non recovery of administrative charges from developers licensed under
Integrated Township Projects**

(₹ in lakh)

S.N.	Name of the Developer	Area of land for which notification u/s 4/16 or 17 was issued (in hectare)	Date of notification	Demand raised by SLAO for issuing notification u/s 4	10 per cent of the amount (as per column 5)
1	2	3	4	5	6
1	M/s Eldeco City Private Limited	12.432	15.10.2010	621.71	62.17
2	M/s Viraj Construction Private Limited	64.642	29.09.2011	4718.01	471.80
3	M/s Emmar MGF Land Limited	13.824	19.01.2013	1307.85	130.78
Total				6647.57	664.75

Appendix-2.17
(Referred to in paragraph 2.1.11.1)

Statement showing allotment of more than one property to one person

SI No.	No of Properties allotted to same person	Registration ID of the properties							
		1	157 persons were allotted two properties	(2570812, 3094704), (2928625, 3094530), (3050226, 3025832), (3101309, 3099277), (3091817, 3095879), (2998498, 3029843), (2956238, 3057737), (2005926, 3032449), (2892763, 3047917), (3101029, 3095421), (3063048, 3036864), (2926977, 3074899), (2194686, 2985676), (2619033, 2560666), (2836357, 2836381), (2597046, 2952377), (2958119, 3022961), (2340878, 2792128), (2263939, 2467911), (2288166, 3144394), (2477292, 2254507), (3028366, 3038159), (2041738, 2541674), (3081363, 3094929), (2961129, 3066449), (3114528, 3121870), (3062454, 3066703), (3040002, 3037637), (2281641, 2287027), (2140582, 2857211), (2509665, 2049454), (2302463, 3032093), (2015644, 2967251), (2811422, 2811449), (2348295, 3032896), (3074417, 3084060), (2994606, 3118108), (2998214, 3057702), (3096735, 3119968), (2472465, 2504150), (3081925, 3095365), (2119629, 2125957), (2353357, 2740506), (2222969, 2284464), (2595720, 2304344), (2210425, 2172677), (2621294, 2618209), (323935, 2555542), (2834508, 2852656), (2365976, 2898034), (2320208, 3074243), (3089690, 3088807), (2206037, 2206029), (3116350, 3121474), (2503088, 2998905), (3096933, 3096751), (2781155, 2848783), (2158777, 3023563), (2076259, 2621318), (2515800, 2146169), (167748, 2132349), (2505603, 3036002), (2486408, 2574202), (2960733, 2954646), (2991066, 3026557), (2869506, 2757248), (2956163, 2960607), (2960080, 2960444), (2660557, 2049553), (2475127, 2270074), (2654684, 2967749), (2629439, 2525047), (3101912, 3103900), (3098873, 3099590), (3103702, 3099060), (2394113, 2786105), (2660747, 2966197), (2246994, 2801755), (2929591, 2928202), (2852443, 3062925), (3069360, 3071040), (2834998, 3008903), (2578955, 3039386), (2992413, 3054890), (959062, 2001776), (2114826, 2114834), (2166480, 2685639), (2909775, 2882475), (3100451, 3102027), (3044519, 3064062), (2849645, 2952674), (2006940, 2435985), (3025495, 3036912), (2035428, 2784936), (3115874, 3120690), (2610005, 2441823), (472295, 2172982), (2757385, 3061603), (2064494, 2032522), (2757347, 2830465), (2601071, 2788433), (3037268, 3154912), (2218174, 2076952), (3140169, 3139642), (2305666, 2369192), (2057449, 3023092), (2786868, 3094613), (722899, 2834730), (3023900, 3034817), (2070332, 2131996), (2169584, 3027847), (2577638, 2075847), (3081941, 3095183), (3021904, 3037680), (3095448, 3094506), (2049355, 3035486), (3121565, 3122745), (3006642, 3095322), (2106103, 2105105), (2190221, 2978901), (2634595, 2618000), (2472374, 2470541), (2472382, 3088930), (2502633, 2936363), (2989446, 3064386), (3008547, 3115253), (3052083, 3059246), (3088617, 2980054), (3083921, 3089872), (3002235, 3036575), (3026188, 3008127), (2432287, 3097867), (2585997, 2963495), (2595739, 2304328), (3006005, 3007873), (2848085, 2847627), (2071215, 2285743), (2144138, 2140989), (3034287, 3066829), (2960578, 2992373), (2134867, 2522986), (1070833, 1070952), (2023655, 2471630), (2151349, 2151357), (2200980, 2318105), (2219734, 2247015), (2237918, 3041482), (2278631, 2302562), (2314758, 2314766), (2439482, 2378961), (2472141, 2472157), (2581413, 2601379), (2944952, 2963414), (3063013, 3070323), (3122076, 3122191), (709470, 713242), (931823, 956281)					
2	Nine persons were allotted three properties	(2166935, 3152884, 2765505), (2766404, 2809065, 3054775), (3114536, 3121897, 3122994), (3099954, 3082923, 3121557), (2990342, 2990540, 3001451), (3063270, 3074045, 3066548), (2021774, 2848973, 2261975), (2990722, 3005023, 3037739), (3098517, 3100245, 3099855)							
3	One person was allotted 4 Properties	2786825, 3064228, 3074607, 3066382							

Appendix-2.18

(Referred to in paragraph 2.1.11.2)

Statement showing allotment of properties on direct and first come first serve basis

SI No.	Property ID	Date of allotment	Name of scheme/Sector	Mode of allotment	Property Number
1	2	3	4	5	6
1	6346	23.03.2010	SECTOR D KANPUR ROAD	D ¹	482
2	32758	31.03.2010	SECTOR H JANKIPURAM	D	3/494
3	49148	16.04.2010	SECTOR H KANPUR ROAD	D	297
4	55855	16.04.2010	SECTOR H KANPUR ROAD	D	1440
5	219961	29.12.2009	VIRAJ KHAND	D	2/369
6	223676	13.04.2009	SECTOR 6	F ²	6/848
7	224386	06.05.2009	SECTOR 3	D	3/230
8	224669	13.07.2009	SECTOR 3	D	3/650
9	226255	07.03.2010	SECTOR 3	D	6/567
10	226320	06.05.2011	SECTOR 6	D	6/356-C
11	227547	02.01.2010	SECTOR – H	D	S-1/271
12	234893	07.02.2009	SECTOR B ALIGANJ	D	48
13	249625	04.01.2009	SECTOR E SITAPUR ROAD	D	29-Jan
14	255226	28.08.2010	LA PLACE	F	2
15	260527	16.04.2014	VIRAJ KHAND	F	CP/169
16	264607	20.03.2010	SECTOR H JANKIPURAM	D	4/871
17	274135	12.05.2009	SECTOR M-1	D	639
18	276049	09.12.2009	SECTOR H JANKIPURAM	D	4/780
19	277208	23.06.2009	SECTOR 4	D	4/811-F
20	277280	24.08.2009	SECTOR 4	D	4/263-P
21	277333	20.05.2009	SECTOR 4	D	4/526-M
22	278739	08.06.2009	SECTOR 6	D	6/852
23	278740	08.06.2009	SECTOR 6	D	6/855
24	278741	08.06.2009	SECTOR 6	D	6/857
25	285256	18.05.2010	SECTOR B KANPUR ROAD	F	34/2
26	289211	12.08.2009	SECTOR 6	F	6/851
27	289670	11.01.2009	SECTOR 7	D	7/130
28	290249	02.01.2010	SECTOR – H	D	S-2//432
29	290250	02.01.2010	SECTOR – H	D	S-2//433
30	290285	08.06.2009	VIKALP KHAND	F	4/134
31	291337	06.01.2009	SECTOR – H	D	S-2/719
32	291338	06.01.2009	SECTOR – H	D	S-681
33	291339	06.01.2009	SECTOR – H	D	S-2/682
34	291340	06.01.2009	SECTOR – H	D	S-2/720
35	291341	06.01.2009	SECTOR – H	D	S-490
36	292913	30.12.2009	SECTOR C SITAPUR ROAD	D	DS/204
37	292934	29.12.2009	SECTOR C SITAPUR ROAD	D	DS/125
38	294198	23.11.2012	VIKRANT KHAND	D	PJ/402/A-3
39	294758	18.02.2010	RATNAKAR KHAND	F	Feb-85
40	295161	15.05.2013	RATAN KHAND	F	1/1289
41	295162	15.05.2013	RATAN KHAND	F	1/1292

¹ DIRECT

² FIRST COME FIRST SERVE

1	2	3	4	5	6
42	295163	15.05.2013	RATAN KHAND	F	1/1297
43	295164	15.05.2013	RATAN KHAND	F	1/1300
44	295173	15.05.2013	RATAN KHAND	F	1/1290
45	295258	15.05.2013	RATAN KHAND	F	1/1294
46	295302	17.04.2013	RATAN KHAND	F	1/1291
47	295303	17.04.2013	RATAN KHAND	F	1/1293
48	295304	17.04.2013	RATAN KHAND	F	1/1296
49	295317	17.04.2013	RATAN KHAND	F	1/1298
50	295318	17.04.2013	RATAN KHAND	F	1/1299
51	295485	15.05.2013	RATAN KHAND	F	1/1295
52	295539	25.01.2014	SECTOR B KANPUR ROAD	F	60
53	296288	16.04.2014	VIKALP KHAND	F	3/506

Appendix-2.19

(Referred to in paragraph 2.1.12.1)

Statement showing violation of Building Construction & Development Bye-laws

Sl. No	Clause in the Building Bye-laws	Observation	Authority's reply	Remarks
1	2	3	4	5
1	<u>Erection of mobile tower</u> As per provisions contained in chapter 12 of the Bye-laws, prior to construction of mobile towers, the erectors shall be required to submit license fee of ₹ one lakh per tower along with other requisite documents. The fee deposited shall remain valid for three years after which renewal fee at the rate of 25 per cent of license fee shall be charged after every three years.	Non-realisation of license fee for erecting mobile tower.	Due to non-fulfillment of the terms and conditions, permission for erecting towers is not granted and some mobile operators are using the mobile towers in unauthorised way.	The reply is not acceptable as the Authority failed to take any action against the unauthorised towers.
2	<u>Construction of ATMs</u> As per provisions contained in chapter 20 of the Bye-laws, permission for construction of ATMs in residential areas shall be granted on plots having area of minimum 300 sqm. In plots of other than office/ commercial usage, 'Impact Fee' as prescribed in the zoning regulations shall be payable at the rate of 50 per cent of the residential rates of land (UPAEVP/ LDA/DM circle rate).	Non-realisation of impact fee on construction of ATM booths in residential areas.	Due to non-fulfillment of the terms and conditions, permission for ATMs are not granted. Further, levy of 'Impact fees', in residential areas where ATMs have not been sanctioned shall not be feasible.	The reply is not acceptable as the Authority failed to take any action against the unauthorized ATM.
3	<u>Rain Water Harvesting</u> As per clause 3.11.5 of chapter 3 of the Bye-laws, with a view to conserve rain water available on the roof top of the buildings or in open areas, suitable arrangements for recharge of the ground water through suitable recharging structure, either underground or above the ground was to be made in respect of all buildings having area of more than 300 sqm. The position of provision of Rain Water Harvesting (RWH) facility <i>vis a vis</i> maps sanctioned by the Authority during last five years is as below: Maps sanctioned (1568) RWH reported (214)	Non-ensuring of installation of Rain Water Harvesting System in buildings having land area exceeding 300 sqm.	Action for ensuring the compliance shall be taken in future.	The reply confirms the fact that Authority failed to fulfill its obligation as provided in the Bye-laws and the Act.

1	2	3	4	5
4	<p><u>Solar Water Heating System</u> As per clause 3.1.1.6 of chapter 3 of the Bye-laws 2008, installation of Solar Water Heating (SWH) System was mandatory in respect of Hospital and Nursing home, hotels, Guest houses, Rest Houses, hostels, PG College/University/Technical Institute, Community Centers/Banquet Hall etc and Buildings of residential nature having land area of more than 500 sqm. The position of provision of solar water heating system <i>vis a vis</i> maps sanctioned by the Authority during last five years is as below:</p> <p>Maps sanctioned SWH reported 721 22</p>	<p>Non-ensuring installation of Solar Water Heating System in buildings having land area exceeding 500 sqm</p>	<p>Authority stated (July 2015) that action for ensuring the compliance shall be taken in future.</p>	<p>The reply confirms the fact that Authority failed to fulfill its obligation as provided in the Bye-laws and the Act.</p>
5	<p><u>Issue of completion certificate</u> As per clause 3.1.8 of the Bye-laws , after completion of construction activities on the plots exceeding 300 sqm, and in cases of buildings having height of 12 meter or more or buildings exceeding three floors or more (including ground floor), the owner/developer shall jointly with architects, site engineer shall furnish a certificate that the construction of the building had been completed in accordance with approved map, specifications, quality in accordance with IS and NBCC codes, executed in accordance with approved structural design and earthquake resistant structure and the building is fully safe for occupation. The position of Completion Certificates (CC) issued <i>vis a vis</i> maps sanctioned by the Authority during last five years is as below:</p> <p>Maps sanctioned CC issued 1568 44</p>	<p>Non-issue of completion certificates</p>	<p>Action for ensuring the compliance shall be taken in future.</p>	<p>The reply confirms the fact that Authority failed to fulfill its obligation as provided in the Bye-laws and the Act.</p>

Appendix-2.20

(Referred to in paragraph 2.1.13)

Statement showing *Nazul* Land sanctioned for freehold

Sl No.	Year	Freehold done (In sqm)
1	2009-10	70838.35
2	2010-11	17345.65
3	2011-12	79351.26
4	2012-13	Nil
5	2013-14	Nil
Total		167535.26

Appendix-2.21

(Referred to in paragraph 2.1.13)

Statement showing working of freehold charges

(Amount in ₹)

SI No	Particulars	Calculated after taking 10 per cent premium	Calculated after taking 20 per cent premium	Difference
1	2	3	4	5=4-3
1	DM circle rate as on 1.09.2008 for residential	11500.00	11500.00	0.00
2	10 per cent extra for being more than 9 meter to 12 road and 20 percent extra for 12 meter to 18 meter road	1150.00	2300.00	1150.00
3.	Total rate	12650.00	13800.00	1150.00
4.	For conversion factor at the rate of 25 per cent for residential	3162.50	3450.00	287.50
5.	Admissible Area (in sqm)	4428.252	4428.252	--
6.	Valuation	14004347.0	15277469.4	1273122.40
7.	Discount at the rate of 10 per cent for payment within 90 days	1400434.70	1400434.70	0.00
8.	Net Payable amount	12603912.30	13749722.4	1145810.16
9	Amount deposited	12603912.30	12603912.3	1145810.16

Appendix-2.22

(Referred to in paragraph-2.1.14)

Statement showing records/information not furnished to Audit

S.N.	Concerned Section	No. of records not put up
1	Property	Files related to land remaining unutilized on account of stay/encroachment/other reason.
2	Planning	28 map sanction files
3	Engineering	16 work execution files
4	Sale	345 double allotment, 53 direct allotment, CBI investigation files of Jankipuram and files related to irregular discount.
5	Enforcement	Non-regularisation of unauthorised colonies in the city and details of area of such colonies (241) and development charges payable from them.

Appendix- 2.23**(Referred to in Paragraph 2.2.1)****Statement showing details of Sections of the Department and their functions**

Section	Functions of Sections	Headed by
1	2	3
Industrial Development Section 1	Works relating to UP State Textiles Corporation, UP State Spinning Company Limited, UP State Yarn Company Limited, Cement Corporation, BIFR, ITUP	Section Officer
Industrial Development Section 2	Works relating to Printing & Stationary and Departmental Co-ordination	Section Officer
Industrial Development Section 3	Works relating to Land acquisition of Industrial development Authorities/UPSIDC, and overall control of UPEIDA, YEIDA and SEZ	Section Officer
Industrial Development Section 4	Works relating to NOIDA, Greater NOIDA, GIDA, LIDA, SIDA, UPSIDC, DMIC and ECP	Section Officer
Infrastructure Development Section	Works relating to Co-ordination relating to development of Infrastructure facilities in the State Policy making in relation to PPP Projects and Private Investments	Section Officer
Industrial Development Section 6	Works relating to Industrial Policy & other policy related issues Capital Investment PICUP & UPFC and Udyog Bandhu & CII	Section Officer

Appendix- 2.24

(Referred to in Paragraph 2.2.5.4)

Statement showing procedure of land acquisition

(A) Procedure for Acquisition of Land under the provision of Land Acquisition Act 1984:

1. The proposal for acquisition of land from Authorities/UPSIDC is forwarded to the concerned Land Acquisition Collector (LAC, i.e., ADM/SLAO in U.P.) for preparing the draft notification under the provisions of the Land Acquisition Act, 1894 after conducting the joint survey of the land proposed to be acquired.
2. The LAC concerned prepares the requisite draft notification under section 4 & 6 of the L.A. Act, 1894 either under the normal clause or by invoking urgency clause depending upon the proposal received from the requisitioning Authorities/UPSIDC. The draft notification is put up to the Government for approval as per categories below:
3. Notification u/s 4 is an intention of the Government to acquire the land and is issued as preliminary notification by the Department/State Government.
4. Objection u/s 5-A is to be filed by the landowners, whose land is notified u/s 4 of the L.A. Act, within 30 days of publication of the notification, with LAC concerned.
5. On receipt of the report on 5-A objections from the LAC concerned, the report is considered by the Appropriate Government and thereafter, declaration u/s 6 is issued either for the whole land notified u/s 4 or less on the basis of the consideration of the report of the LAC. The declaration u/s 6 has to be issued within one year from issuance of section 4 notification, failing which notification issued u/s 4 will lapse.
6. LAC concerned has to announce the Award u/s 11 of the L.A. Act within two years of declaration u/s 6. After announcing the Award, possession of the land is taken/handed over as per provision of section 16 of the L.A. Act.

(B) Steps involved in acquisition of land invoking urgency clause

1. In case the land is urgently required, then urgency clause is invoked. Approval of the Authorities/UPSIDC is obtained for issuance of notification u/s 4 read with 17(4), 6 & 17(1) dispensing with filing of the objections by the land owners u/s 5-A before the LAC.
2. After obtaining approval the Authorities/UPSIDC, notification u/s 4/17(1) read with 17(4) is issued. Section 17(4) dispenses with objections u/s 5-A.
3. Declaration u/s 6 & 17 (i) can be issued after publication of notification u/s 4, within one year of such notification u/s 17 (i) possession of land can be taken before announcement of Award. It is mandatory for the Authorities/UPSIDC to deposit 80% estimated compensation amount, the demand for which is raised by concerned LAC, with the requisitioning department before issuance of declaration u/s 6 & 17(1).
4. LAC concerned will take possession of land notified u/s 6 & 17(1) giving 15 days' notice u/s 9(1) to the interested persons, after offering 80% of the compensation amount.
5. Further LAC concerned will have to announce the Award within 2 years from date of issuance of declaration u/s 6 & 17(1).

(C) Acquisition of Land under the provision of UP L A Karar Niyamwali 1997

According to Section 2 of *Karar Niyamwali* 1997, the body/Department acquiring the land can fix the rate of compensation by mutual agreement (*karar*) with land owners and subsequently submit the agreement to the LAC for approval and award u/s 11(2) of the LA Act.

(D) Resumption of Gram Sabha land

Resumption of *Gram Sabha* land is done under the section 117 (6) of U.P. Zamindari Abolition & Land Reforms Act, 1950.

Appendix- 2.25

(Referred to in Paragraph 2.2.5.5)

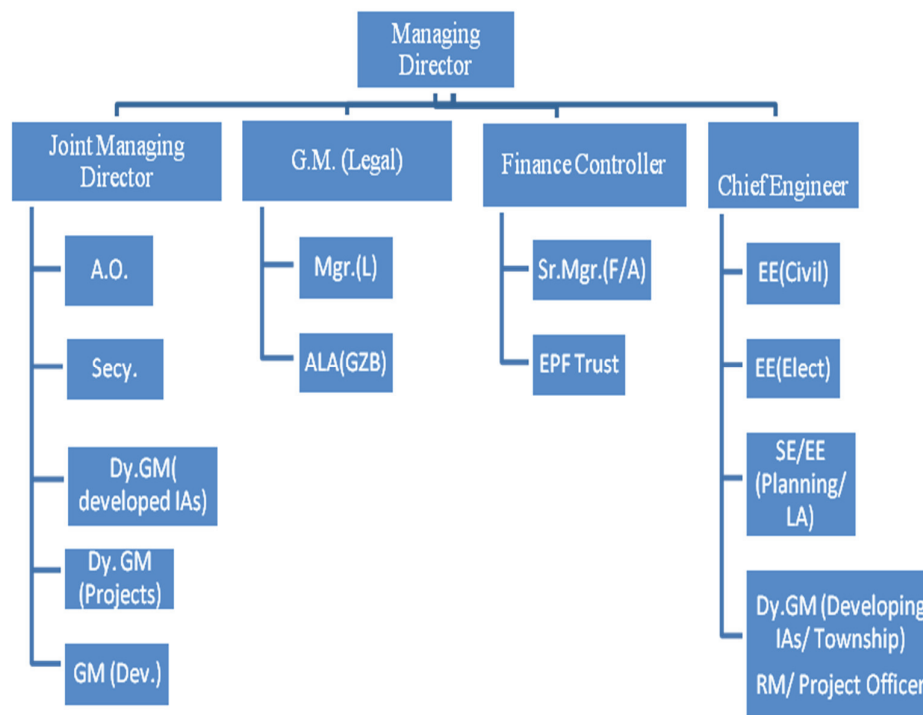
Statement showing details of regulation framed in Authorities till date

Name of Authority	Name of Regulations	Date of approval from Board	Date of sending to Department	Status of approval by Department
UPSIDA	(i) Preparation and Finalisation of Plan Regulations, 2004	March 2005	April 2010	Not approved
	(ii) Land Development Regulations, 2004	March 2005	April 2010	Not approved
	(iii) Building Regulation, 2004	March 2005	April 2010	Not approved
LIDA	(i) Preparation and Finalisation of Plan Regulations, 2013	May 2013	April 2015	Not approved
	(ii) Service Regulation, 2013	November 2013	November 2013	Not approved

Appendix- 2.26

(Referred to in Paragraph 2.2.6.1)

Organisational chart of UP State Industrial Development Corporation Limited (UPSIDC)



Appendix-2.27
(Referred to in paragraph 2.2.6.5)
Statement showing dropping of proposals of 11 land acquisition cases

Sl. No.	District	Industrial Area	Name of Gram	Area (In acre)	Deduction as per G.O.	Fund blocked (₹ in crore)	Reasons for dropping of cases
1	2	3	4	5	9	10	11
1	Ghaziabad	Mansoori Gulawati road Vistar	Dehra, Rawli and Udayrampur Nagla	400.87	1.01	0.85	Farmers protest and acquisition not viable as per new policy.
2	Ghaziabad	Tronica City Vistar	Paavi Sadikpur	123.71	1.23	4.8	Farmers protest
3	Azamgarh	Azamgarh	Kandrapur Baldev Mandoori	160.47	0.36	9.35	Farmers protest
4	Barabanki	Kursi Road Vistar	Amarsanda	208.16	1.13	0	Farmers protest
5	Meerut	Meerut Bypass	Achroda, Bhodmaral, Partapur	150.916	0.94	0.94	Farmers protest
6	Kanpur Nagar	Chakeri-II	Chakeri	35.011	0.46	7.85	Rates not agreed by farmers
7	Unnao	General Industrial Area Shekhpurnari	Shekhpurnari	117	0.21	0	Farmers protest
8	Kanpur Nagar	Chakeri-II	Chatmara	49.109	0.12	0.56	Farmers protest
9	Ramabainagar	Satelite Township	Jalapur Nagin and Nagin Jassi	311.28	0.87	4.12	Land not required at present and non-availability of funds
10	Moradabad	SEZ extention	Bhadurpur Rajput, Malipur, Gajgola, Ratanpur kala, Lalpur gangwari, Fazilpur	675.742	0.58	4.09	Divisional Approval Committee did not approve the acquisition.
11	Ghaziabad	Tronika city	Mirpur Hindu	656.887	1.95	3.59	Shortage of fund
			Allipur	632.143	1.25	2.09	Shortage of fund
Total				3521.298	10.11	38.24	

Appendix-2.28
(Referred to in paragraph 2.2.6.5)
Statement showing details of blockage of fund

Sl. No.	Audit observation	Area (in acres)	Money value ₹ in crore																			
1	<p><u>Loss and blocking of fund due to time-barred notifications for land acquisition:</u> A project for development of a leather city industrial area at Hapur was taken up by UPSIDC in June 2007. Initially, 250 hectares of land was required for the project which was to be acquired in phases by the Hapur Palkhua Development Authority, Hapur (Authority) and transferred to the UPSIDC. The Authority informed (June 2007) that the acquisition in 1st phase for 28.804 hectares in villages <i>Chिताुलि, Sabdi, इमातुरी</i>, 2nd phase for 53.714 hectare in village Rampur and 3rd phase for 167.90 hectares would be carried out and raised a demand of ₹ 110.00 crore from the UPSIDC. The UPSIDC paid ₹ 16.00 crore to the Authority in August, 2007. Notifications for acquisition for 1st phase under section 4(1)/17 of Land Acquisition Act, 1894 was issued in July, 2006 and for 2nd phase in September, 2006. While the notifications under section 6(1)/17 were issued in December, 2007 and January, 2008 for 1st and 2nd phase respectively by the IIDD. The UPSIDC paid ₹ 62.84 crore to the Authority and got possession on paper of 82.518 hectares. Subsequently, a PIL was filed in the Hon'ble Supreme Court against the establishment of Leather city and the notifications were quashed by the Hon'ble Supreme Court in July, 2011 in Civil appeal 66 and 67/2007. Hence, no land was available with the Authority for transfer. The UPSIDC (July 2012) asked the Authority to return the amount of ₹ 62.84 crore along with interest @16 per cent per annum. The break up provided by the Authority in this regard is as under</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="4" style="text-align: right;">(₹ in crore)</th> </tr> <tr> <th style="text-align: center;">Fund given</th> <th style="text-align: center;">Fund distributed to farmers</th> <th style="text-align: center;">Fund deducted by Authority & SLAO (loss)</th> <th style="text-align: center;">Fund returned by the Authority</th> <th style="text-align: center;">Fund blocked</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">1</td> <td style="text-align: center;">2</td> <td style="text-align: center;">3</td> <td style="text-align: center;">4</td> <td style="text-align: center;">5=1-4-3</td> </tr> <tr> <td style="text-align: center;">62.84</td> <td style="text-align: center;">33.87</td> <td style="text-align: center;">13.20</td> <td style="text-align: center;">18.81</td> <td style="text-align: center;">30.83</td> </tr> </tbody> </table> <p>Thus, UPSIDC has incurred a loss of ₹ 13.20 crore due to improper persuasion and issuance of time barred notifications and fund of ₹ 30.83 crore had been blocked on account of compensation distributed among farmers for failed acquisition.</p>	(₹ in crore)				Fund given	Fund distributed to farmers	Fund deducted by Authority & SLAO (loss)	Fund returned by the Authority	Fund blocked	1	2	3	4	5=1-4-3	62.84	33.87	13.20	18.81	30.83	203.901	44.03
(₹ in crore)																						
Fund given	Fund distributed to farmers	Fund deducted by Authority & SLAO (loss)	Fund returned by the Authority	Fund blocked																		
1	2	3	4	5=1-4-3																		
62.84	33.87	13.20	18.81	30.83																		

Appendix-2.29

(Referred to in paragraph 2.2.6.6)

Statement showing irregular expenditure on maintenance/up-gradation of schemes handed over to Local Bodies

(` in crore)

Sl. no.	Name of Industrial Area	2012-13	2013-14	2014-15	Total expenditure in maintenance/up-gradation work
1.	Udyog Kunj, Ghaziabad	0.40	0.04	-----	0.44
2.	Site-I, Bulandshahar Road, Ghaziabad	1.06	0.49	3.77	5.32
3.	Site-III, Meerut Road, Ghaziabad	-----	-----	0.67	0.67
4.	Site-IV Sahibabad, Ghaziabad	2.86	1.58	2.09	6.53
5.	Loni, Ghaziabad	-----	0.03	-----	0.03
6.	Panki, Site-I,II,III,IV & V Kanpur	0.18	-----	-----	0.18
7.	Udyog Puram, Meerut	0.43	0.06	0.37	0.86
8.	Unnao-Site-I & II	0.62	0.24	0.12	0.98
9.	Mathura Site-A	0.52	0.45	-----	0.97
10.	Sarojini Nagar, Lucknow	1.43	3.04	1.23	5.7
11.	Amausi, Lucknow	1.08	2.17	1.36	4.61
12.	Parsakhera, Bareilly	-----	1.31	-----	1.31
13.	Mau	0.32	0.02	-----	0.34
Total		8.90	9.43	9.60	27.93

Appendix 2.30

(Referred to in paragraph 2.2.6.7.5)

Statement showing details of unallotted land

Sl. No.	Name of IA	Area of Land available for allotment (in acre)	Area of land balance for allotment (in acre)	Percent of balance area for allotment	Rate of IAs (₹)/sqm	Value (₹in crores)
1	2	3	4	5= 4/3*100	6	7=4*6*4047
1	Kosi kotwan Ex.-1	136.07	134.15	98.59	2500	135.72
2	Kosi kotwan Ex- 2	193.02	188.4	97.6	2500	190.61
3	Gauriganj	98	98	100	0	0
4	Sumerpur	320.4	113.77	35.5	950	43.74
5	Khemserpur	266.61	175.14	65.69	540	38.27
6	GC Dibyapur	172.22	150.48	87.37	1500	91.34
7	IEs of Raebareilly	85.95	38.67	44.37	1000	15.64
8	Aligarh CDF	83.46	30.14	36.11	3300	40.25
9	SEZ Moradabad	251.66	159.9	63.53	4000	258.84
Total		1969.95	1092.65			814.41

Appendix-2.31

(Referred to in paragraph 2.2.6.7.5)

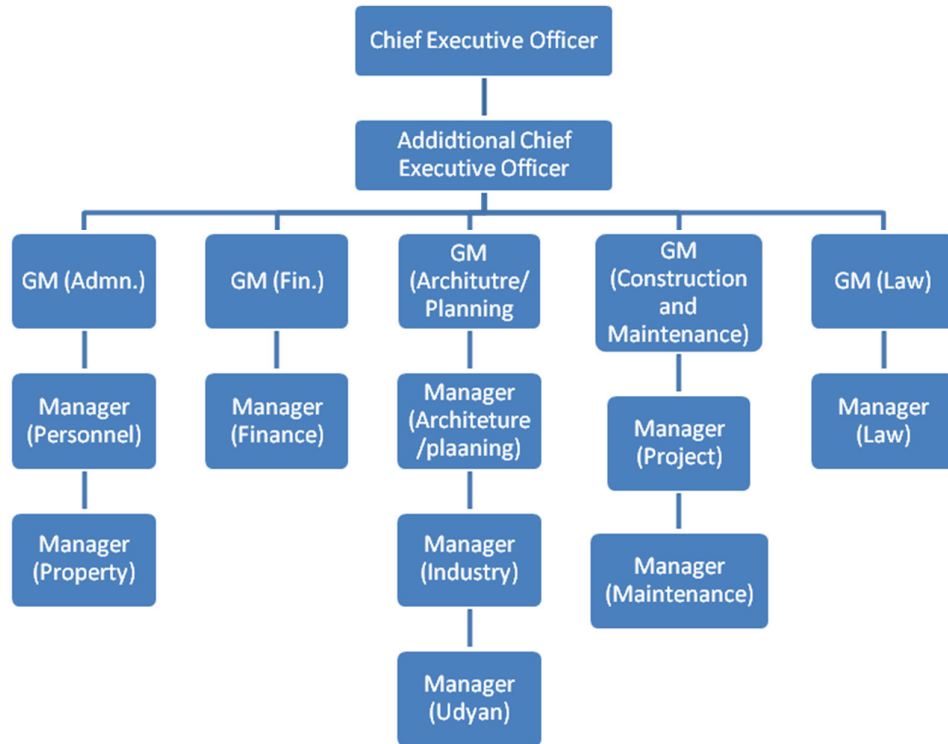
Statement showing details of land not being utilised due to units being sick/closed

Sl. No.	Name of IA	Area of land allotted (in acre)	Area of land under closed/sick (in acre)	Percentage of closed	Rates of 2014-15 (₹)/sqm	Value of land (₹in crore)
1	2	3	4	5= 4/3*100	6	7=4*6*4047
1	Bargarh I	499	499	100	0	0.00
2	Pratapgarh	98	98	100	0	0.00
3	Gajraula –I	34.76	34.76	100	0	0.00
4	Gauriganj	74.52	66.01	88.58	0	0.00
5	Sector-17 kav Nagar	148.95	84.76	56.9	13300	456.22
6	Orai-I	159.72	93.34	58.43	950	35.89
7	Orai-II	179.99	112.5	62.5	950	43.25
8	G.C. Jhansi	62.63	43.62	69.64	1300	22.95
9	Khemsepur	91.47	66.38	72.57	540	14.51
10	Malwan	357.87	275.71	77.04	1500	167.37
11	Rooma Bleaching	19.66	9.6	50.76	5800	22.53
12	Rooma Textile	67.43	49.8	71.51	5100	102.79
13	Rooma Extension	33.13	14.05	53.03	9300	52.88
14	GC jainpur	115.79	107.78	93.08	1500	65.43
15	GC Dibiyapur	21.74	21.74	100	1500	13.20
16	Surajpur site-A	289	202	69.89	0	0.00
17	Unnao site-III	87.24	44.8	51.35	3530	64.00
18	Banther IIDC	16.28	13.4	82.3	4000	21.69
19	Chakeri	15.22	8.88	58.34	4300	15.45
Total		2372.40	1846.13			1098.16

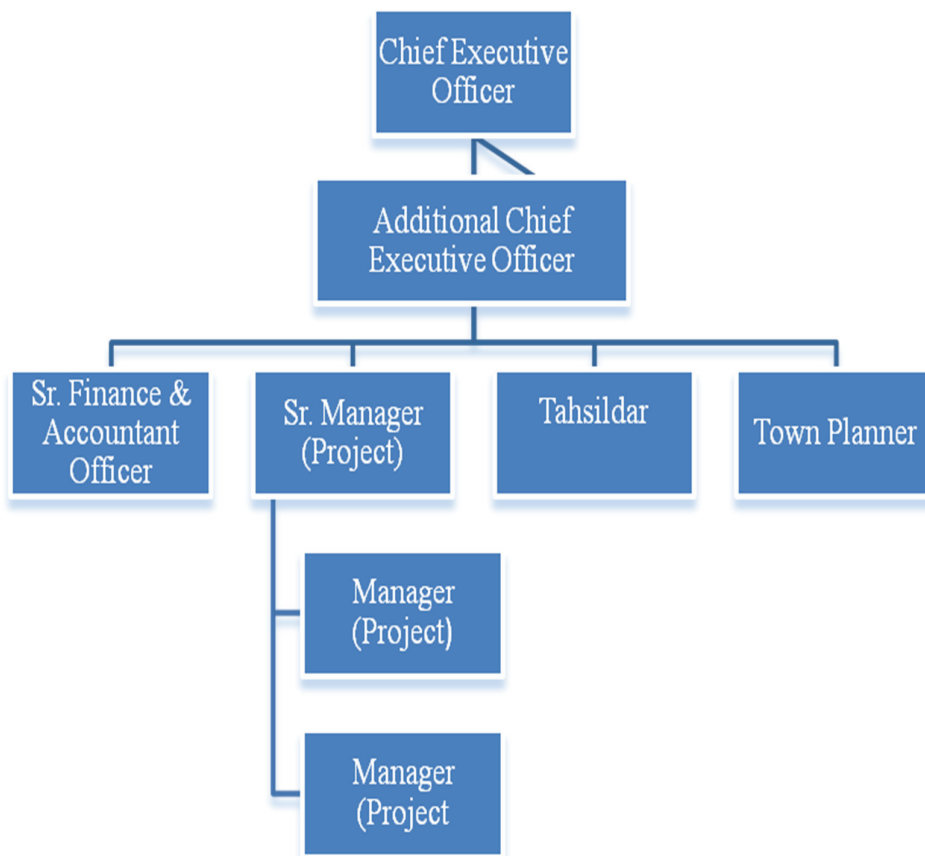
Appendix- 2.32

(Referred to in Paragraph 2.2.7.1)

Organisational chart of Gorakhpur Industrial Development Authority (GIDA)



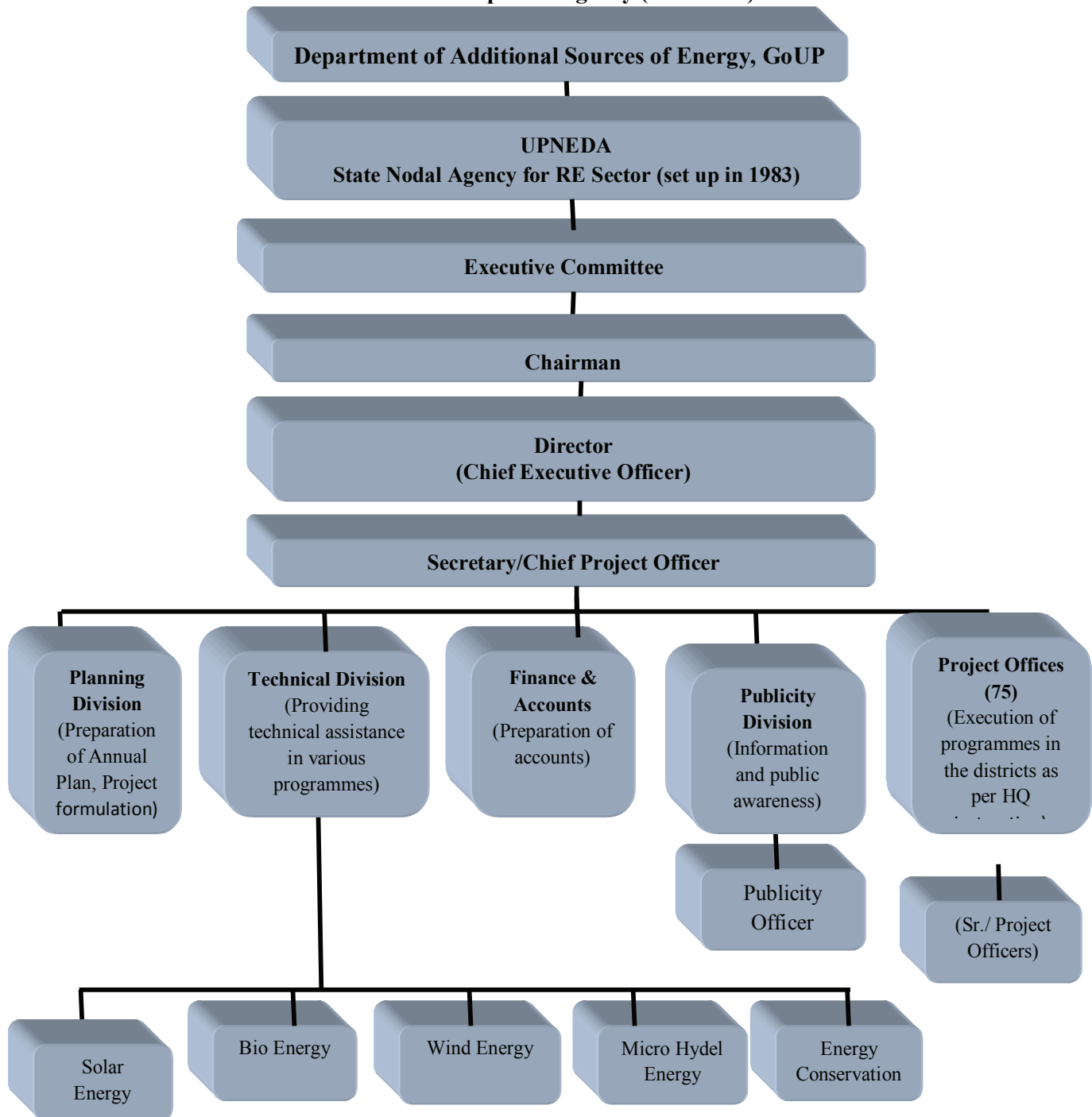
Appendix- 2.33
(Referred to in Paragraph 2.2.8.1)
Organisational chart of Lucknow Industrial Development
Authority (LIDA)



Appendix-2.34

(Referred to in paragraph-2.3.2)

Organisational chart of Uttar Pradesh New and Renewable Energy Development Agency (UPNEDA)



Appendix-2.35

(Referred to in paragraph 2.3.6)

Statement showing fund received from Ministry of New and Renewable Energy (MNRE) and GoUP and actual expenditure incurred thereagainst
(₹ in crore)

Year	Particulars	Off-Grid Projects								On-Grid Project	Promotional & Training Activities		Total
		Solar Projects						Non-Solar Projects	Wind		Promotional Activities	Training and research	
		SSL	Lohiya Awas SPP Yojna	SHL	Solar Power Plants	Solar Water Heater	Mini-Grid Power plants						
1	2	3	4	5	6	7	8	9	10	11	12	13	
2007-08	MNRE's Share	0.96	0.00	1.92	0.00	0.05	0.00	0.00	0.00	0.00	0.71	0.00	3.64
	State's Share	0.71	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.08	0.00	0.00	0.79
	Total Fund received	1.67	0.00	1.92	0.00	0.05	0.00	0.00	0.00	0.08	0.71	0.00	4.43
	Actual Expenditure	1.67	0.00	1.92	0.00	0.05	0.00	0.00	0.00	0.07	0.66	0.00	4.37
2008-09	MNRE's Share	3.30	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.32	0.00	3.62
	State's Share	0.72	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1.87
	Total Fund received	4.02	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.32	0.00	5.49
	Actual Expenditure	4.02	0.00	0.00	0.00	0.08	0.00	0.00	0.00	0.00	0.14	0.00	4.24
2009-10	MNRE's Share	2.54	0.00	7.79	7.26	0.12	0.00	0.00	0.00	0.00	1.45	0.10	19.26
	State's Share	2.07	0.00	1.05	0.00	0.32	0.00	0.00	0.00	0.00	0.00	0.00	3.44
	Total Fund received	4.61	0.00	8.84	7.26	0.44	0.00	0.00	0.00	0.00	1.45	0.10	22.70
	Actual Expenditure	4.61	0.00	8.84	7.26	0.31	0.00	0.00	0.00	0.00	1.45	0.10	22.57

1	2	3	4	5	6	7	8	9	10	11	12	13
2010-11	MNRE's Share	9.30	0.00	16.98	8.83	0.47	0.00	1.25	0.00	0.00	0.07	38.24
	State's Share	19.54	0.00	1.53	0.00	0.11	0.00	0.00	0.00	0.00	0.00	27.47
	Total Fund received	28.84	0.00	18.51	8.83	0.58	0.00	1.25	0.00	0.00	0.07	58.08
	Actual Expenditure	28.84	0.00	18.51	7.98	0.55	0.00	0.27	0.00	0.00	0.07	57.66
2011-12	MNRE's Share	11.00	0.00	0.94	4.04	0.91	0.00	0.25	0.00	0.37	0.00	17.51
	State's Share	24.45	0.00	0.01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	24.46
	Total Fund received	35.45	0.00	0.95	4.04	0.91	0.00	0.25	0.00	0.37	0.00	41.97
	Actual Expenditure	35.34	0.00	0.95	4.01	0.89	0.00	0.54	0.00	0.29	0.00	42.02
2012-13	MNRE's Share	15.42	0.00	0.00	8.30	2.00	0.40	0.00	0.00	0.04	0.17	18.85
	State's Share	38.43	0.00	0.00	0.00	0.00	1.74	0.00	0.00	0.00	0.00	40.17
	Total Fund received	53.85	0.00	0.00	8.30	2.00	2.14	0.00	0.00	0.04	0.17	59.02
	Actual Expenditure	53.85	0.00	0.00	8.30	0.16	0.84	0.47	0.00	0.03	0.11	56.28
2013-14	MNRE's Share	3.00	0.00	0.00	0.00	0.00	0.00	0.40	0.05	0.00	0.00	3.45
	State's Share	41.64	0.00	0.00	0.00	0.00	0.00	0.00	0.13	0.00	0.15	41.92
	Total Fund received	44.64	0.00	0.00	0.00	0.00	0.00	0.40	0.18	0.00	0.15	45.37
	Actual Expenditure	44.64	0.00	0.00	0.00	0.42	0.00	0.40	0.17	0.00	0.15	45.71
2014-15	MNRE's Share	0.32	0.00	2.43	0.00	0.00	0.00	0.57	0.00	0.00	0.00	3.32
	State's Share	61.06	111.09	32.16	0.00	0.00	0.00	0.00	0.00	0.00	0.30	204.61
	Total Fund received	61.38	111.09	34.59	0.00	0.00	0.00	0.28	0.00	0.00	0.30	207.64
	Actual Expenditure	31.57	87.43	16.23	0.00	0.00	0.00	0.58	0.00	0.00	0.30	136.11
Gross Total	MNRE's Share	45.84	0.00	30.06	28.42	3.55	0.40	2.48	0.05	2.88	0.34	107.89
	State's Share	188.62	111.09	34.75	0.00	1.58	1.74	0.00	0.21	0.00	0.45	344.73
	Total Fund received	234.46	111.09	64.81	28.42	5.13	2.14	2.48	0.25	2.88	0.79	452.62
	Actual Expenditure	204.54	87.43	46.45	27.56	2.46	0.84	2.26	0.24	2.57	0.73	369.03

Appendix-2.36
(Referred to in paragraph 2.3.10.1)

Statement showing extra expenditure incurred on procurement of Compact Fluorescent Light based Solar Street Lights

Date of proposal	MNRE sanction	No. of SSLs sanctioned	Date of issue of purchase order	No. of SSLs as per purchase order	Purchase rate of CFL based SSLs (₹)	Purchase rate of LED based SSLs* (₹)	Difference	Extra expenditure (₹ in crore)
1	2	3	4	5	6	7	8=(6-7)	9=5x8
February 2011	March 2011	1560	March 2011 to November 2011	1560	22,301	16,830	5,471	0.85
May 2011	September 2011	10815	October to December 2011	16507	22,301	16,830	5,471	5.81
September 2011	October 2011	5888			22301	16830	5471	3.22
Total		18263		18067				9.88

*the rates received for LED based SSL purchased in a different scheme in the same financial year

Appendix-2.37

(Referred to in paragraph 2.3.10.3)

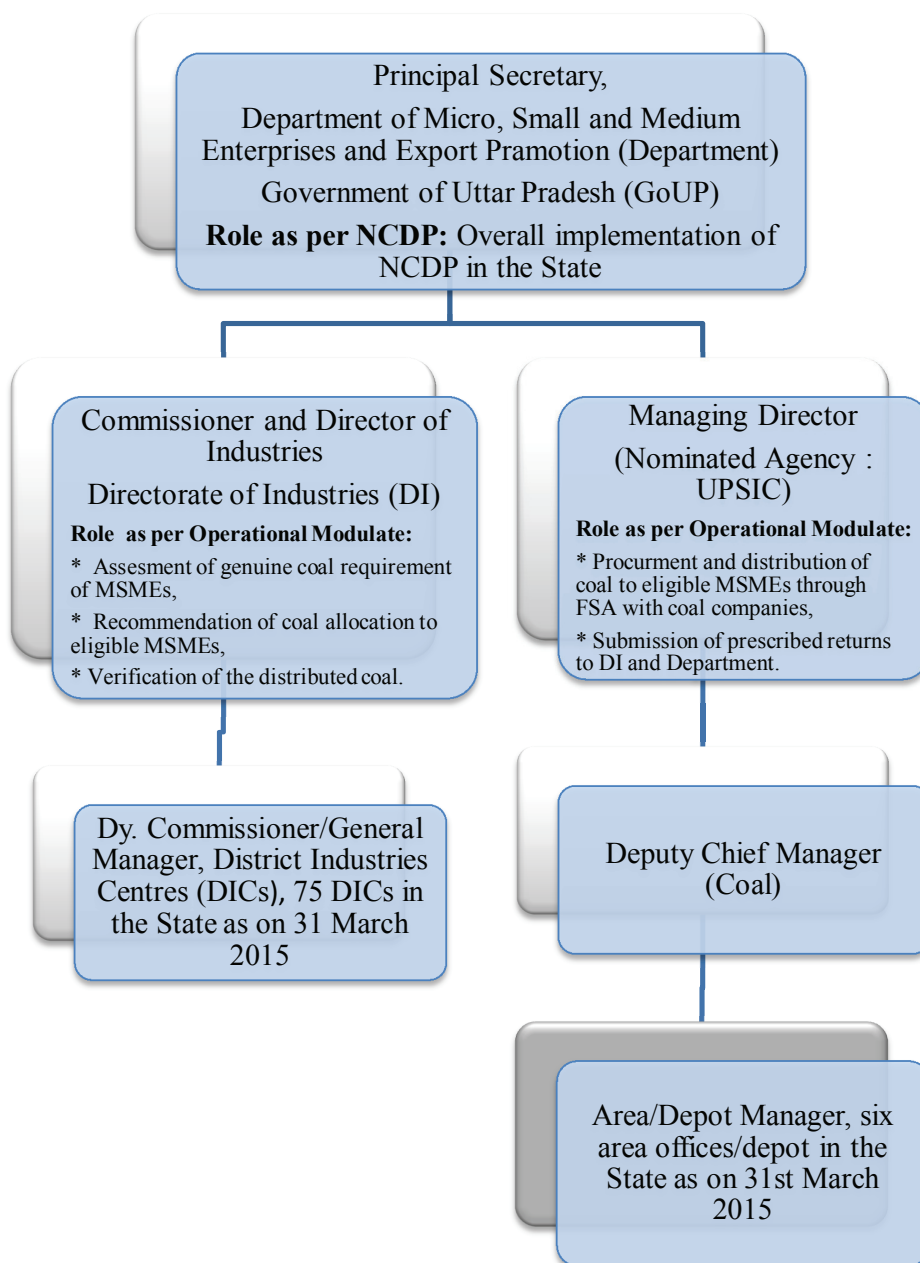
Status of Solar Power Plants selected in audit sample

Sl. No.	Name of the Project	Sanctioned					Installed			Non- functional		
		No. of SPPs	Rate per SPP (₹ in lakh)	Capacity of each plant (in kW)	Total value (₹ in crore)	Total Capacity (in kW)	No. of SPPs	Payment made (₹ in crore)	Total capacity (In KW)	No. of SPPs	Total Capacity (In kW)	Total value (₹ in crore)
		1	2	3	4=1*2	5=1*3	6	7	8=3*6	9	10=3*9	11=7/6*9
A	Mini Grid Solar Power Plants	47	3.6	1.2	1.69	56.4	23	0.83	27.6	23	27.6	0.83
B	Solar Power Plants											
1	UPPCL headquarter	2	15	30	0.64	30	0	0	0	0	0	0
2	Ashram Paddhati Vidyalaya	57	9.56	4.8	9.06	273.6	22	1.44	105.6	22	105.6	1.44
3	Police Stations	340	2.59	1.1	8.82	374	340	8.52	374	137	150.7	3.43
4	Ram Eash Institute, Ghaziabad	1		50	0.76	50	1	0.22	50	0	0	0
5	BPCL Petrol Pump, Faizabad	1		16	0.44	16	1	0	16	0	0	0
6	Nice Society, Saharanpur	1		100	3.83	100	1	1.15	100	0	0	0
7	Sobhit University, Meerut	1		100	3.83	100	1	1.15	100	0	0	0
8	Dayal Bagh Educational Institute, Agra	9	57.58	518.2	11.50	518.2	9	1.9	518.2	0	0	0
9	L&T Dadri, Gautambudha Nagar	1		95.24	2.55	95.24	1	0.35	95.24	0	0	0
	Total B	413			41.43	1557.04	376	14.73	1359.04	159	256.3	4.87
	Grand Total A+B	460			43.12	1613.44	399	15.56	1386.64	182	283.9	5.70

Appendix-2.38

(Referred to in paragraph 2.4.2)

Organisational chart for implementation of New Coal Distribution Policy in the State



(Source: NCDP, Modulate and information furnished by DI and UPSIC)

Appendix-2.39

(Referred to in paragraph 2.4.8.1)

**Statement showing distribution of coal in excess of 4,200 MT per annum
to ineligible Micro, Small and Medium Enterprises (MSMEs)**

Sl. No.	Year	Name of the firm	Quantity of coal distributed (MT)	Value (In ₹)
1	2009-10	A.C.Traders, Agwanpur, Mooradabad	4,432.69	1,15,75,161
2	2009-10	Gupta Coal Industries, Agwanpur Mooradabad	4,348.15	98,79,059
3	2009-10	Urmil Agarwal Unit-1, Agwanpur, Mooradabad	4,305.14	1,03,90,066
4	2010-11	A.C.Traders, Agwanpur, Mooradabad	4,925.75	1,28,13,410
5	2010-11	Chaurasia Enterprises, Agwanpur, Mooradabad	4,530.90	120,42,680
6	2010-11	R.G Impex, A/6 Devvihar, Mooradabad	4,362.70	1,14,24,147
7	2010-11	R.M.Sales, Agwanpur, Mooradabad	4,587.81	1,18,25,786
8	2010-11	Vikram Enterprises, Agwanpur, Mooradabad	4,555.44	1,19,05,732
		Total	36,048.58	9,18,56,041

(Source: Compiled from the information furnished by the UPSIC)

Appendix-2.40

(Referred to in paragraph 2.4.8.2)

Statement showing distribution of coal in excess of the ceiling prescribed by the Uttar Pradesh Small Scale Industries Corporation Limited (UPSIC)

(Quantity in MT)

Sl. No.	Name of DIC	Period	No. of Parties	Quantity of coal distributed	Maximum quantity of coal to be distributed	Excess quantity of coal distributed
1	2	3	4	5	6	7
1	Gonda	2012-13	9	6,211.68	5,400	811.68
2	Faizabad	2011-12 to 2012-13	7	4,321.02	4,200	121.02
3	Varanasi	2011-12 to 2012-13	25	22,494.69	15,000	7,494.69
4	Chandauli	2011-12 to 2013-14	26	21,399.87	15,600	5,799.87
5	Ghazipur	2011-12 to 2013-14	35	30,163.71	21,000	9,163.71
6	Moradabad	2011-12 to 2013-14	129	1,85,100.72	77,400	1,07,700.71
7	J.P. Nagar	2011-12 to 2013-14	18	33,688.69	10,800	22,888.69
8	Rampur	2011-12 to 2013-14	33	26,026.48	19,800	6,226.48
		Total	282	3,29,406.85	1,69,200	1,60,206.85

(Source: Compiled from the information furnished by the UPSIC)

Appendix-2.41
(Referred to in paragraph 2.4.8.3)

Statement showing distribution of coal in excess of capacity/annual requirement of MSMEs

(Quantity in MT)

Name of District	Sl. No.	Name of the MSME	Annual requirement/ capacity of coal of MSMEs	Year of distribution	Quantity of coal distributed to MSMEs	Excess quantity of coal distributed over requirement / capacity of MSMEs
1	2	3	4	5	6	7
Varanasi	1	Santosh Industries	800	2010-11	2318.59	1518.590
	2	S.S. Ent Udyog	200	2010-11	397.115	197.115
	3	Prayag Clay Products, Hariharpur	800	2011-12	1944.215	1144.215
Chandauli	4	J B Itt Bhatta	1500	2010-11	1679.640	179.640
Ghazipur	5	Sarju Rai Itt Bhatta	600	2009-10	1280.910	680.910
		-do-	600	2010-11	1138.220	538.220
	6	Siddiqui Itt Bhatta, Rampur	200	2010-11	1120.480	920.480
	7	Rai Itt Bhatta Karimudeempur Muhmadabad Ghazipur	600	2010-11	1435.855	835.855
	8	Tridev Itt Bhatta Varani Varhat	450	2010-11	1399.145	949.145
J.P. Nagar	9	Rahman Itt Udyog Payanti Kala Amroha	800	2011-12	929.125	129.125
		-do-	800	2012-13	1443.615	643.615
	10	Tyagi Brick Work Pelitaga, Dhnoora	100	2013-14	861.070	761.070
	11	Jai Deep Brick Works, Amroha	400	2012-13	661.085	261.085
	12	Agrawal Itt Udyog ,Amroha	700	2012-13	1506.675	806.675
Moradabad	13	Janta Brick Works, Kalsa Road, Gureta Pakbada	480	2009-10	575.330	95.330
		-do-	480	2010-11	589.245	109.245
		-do-	480	2011-12	719.090	239.090
	14	K 7 C Brick Works, Sambhal	480	2011-12	894.255	414.255
		-do-	480	2012-13	572.080	92.080
	15	Al-Makka Brick Field, Muzaffarnagar, Sambhal	500	2011-12	767.440	267.440
Rampur	16	Ayaan Brick Work, Milak	600	2013-14	796.215	196.215
	17	Faizaan Brick Work, Bhagwant, Borakhass	1000	2012-13	1245.465	245.465
	18	Famous Brick Work, Kharsol, Sahabad	600	2012-13	661.485	61.485
		-do-	600	2013-14	664.025	64.025
	19	Japan Brick Works, Punjab Nagar	600	2009-10	791.830	191.830
	20	Khalil Brick Field, Raura Kala,Milak	1000	2012-13	1173.940	173.940
		TOTAL	15850		27566.140	11716.14

(Source: Compiled from the information furnished by the UPSIC and DICs)

Appendix-2.42

(Referred to in paragraph 2.4.9)

Statement showing verification of distributed coal *vis-a-vis* coal distributed by UPSIC during 2009-10 to 2014-15

(Quantity in MT)

Sl. No.	Name of DIC	Quantity of coal distributed by UPSIC to MSMEs	Quantity of coal verified by DICs	Quantity of coal not verified by DICs	Percentage of quantity of coal verified by DICs
1	2	3	4	5	6
1	Unnao	73250.600	2598.050	70652.550	3.55
2	Gonda	73872.250	56411.035	17461.215	76.36
3	Faizabad	119793.980	107431.815	12362.165	89.68
4	Varanasi	205021.400	73995.017	131026.383	36.09
5	Chandauli	127408.430	80428.870	46979.560	63.13
6	Ghazipur	142200.095	115075.045	27125.050	80.92
7	Moradabad	815831.985	716383.725	99448.260	87.81
8	Amroha	75294.030	48839.906	26454.124	64.87
9	Rampur	60209.860	41076.335	19133.525	68.22
	Total	1692882.63	1242239.798	450642.832	

(Source: Compiled from the information furnished by the UPSIC and DICs)

Appendix-3.1

(Referred to in para 3.2)

Statement showing loss to the Meerut Development Authority

(Amount in ₹)

Sl. No	Name of scheme of plots sold	Plot area (sqm)	Auction date	Rates of residential land (per sqm)		Reserve price for auction of commercial plots (per sqm)		Auctioned price	Difference in reserve price to be fixed and auction price	Loss due to non-revision of land rate	Name of the allottee
				Actually fixed by the Authority	To be revised by the Authority as per DM circle rate	Actually fixed by the Authority	To be fixed by the Authority				
1	2	3	4	5	6	7	8=6*2	9	10=8-9	11=3*10	12
1	Sports Goods Complex (Major Dhyanchand Nagar)	617.78	8.8.2014	12,000	17,850	24,000	35,700	24,200	11,500	7,104,470	Shri Kamal Kumar
2	Sraddhapuri-phase1 yojna	702.50	9.12.2014	13,500	18,000	27,000	36,000	31,011	4,989	3,504,773	Shri Lalit Kumar and others
3	Rakshapuram Yojna	200.00	30.12.2014	11,000	14,000	22,000	28,000	26,111	1,889	377,800	Smt Manjulata Yadav
Total										1,09,87,043	

**Appendix-3.2
(Referred to in para 3.3)
Statement showing undue benefit to the defaulter allottees**

SL. No.	Shop No.	Name of allottee	Actual area allotted (in sqm)	Initial allotment rate (₹ per sqm)	10 per cent increase in initial allotment rate	Revised allotment rate recovered (₹ per sqm)	Current cost (₹ per sqm)	Difference in current cost and cost recovered (₹ per sqm)	Amount of undue benefit
1	2	3	4	5	6=5*0.10	7=5+6	8	9=8-7	10=4*9
1	GF-2	Harikesh Yadav	17.494	19657	1966	21623	58960	37337	653173
2	GF-3	Jagdish Yadav	17.494	19657	1966	21623	58960	37337	653173
3	GF-4	M. H. KHAN	17.95	19657	1966	21623	58960	37337	670199
4	GF-5	Vipin Kumar Singh	17.95	19657	1966	21623	58960	37337	670199
5	GF-8	Smt. Sangeeta Chaurasiya	17.95	19657	1966	21623	58960	37337	670199
6	GF-12	Smt Pooja Srivastav	18.112	19657	1966	21623	58960	37337	676248
7	GF-13	Amit Kumar Rai	17.829	19657	1966	21623	58960	37337	665681
8	GF-14	Sanjay Kumar	17.546	19657	1966	21623	58960	37337	655115
9	GF-16	Renuka Reo	16.187	19657	1966	21623	58960	37337	604374
10	GF-17	Runa Devi	16.187	19657	1966	21623	58960	37337	604374
11	GF-18	Kamala Ojha	17.263	19657	1966	21623	58960	37337	644549
12	GF-19	Manoj Yadav	17.546	19657	1966	21623	58960	37337	655115
13	GF-20	Anju Yadav	17.82	19657	1966	21623	58960	37337	665345
14	GF-21	Harish Chandra	18.112	19657	1966	21623	58960	37337	676248
15	GF-23	Nasiruddin Ansari	14.897	19657	1966	21623	58960	37337	556209
16	GF-24	Inspector Singh	14.897	19657	1966	21623	58960	37337	556209
17	GF-27	Sudha Singh / Jai Singh	17.94	19657	1966	21623	58960	37337	669826

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1	2	3	4	5	6	7	8	9	10
18	GF-28	Kriti Pandey	17.71	19657	1966	21623	58960	37337	661238
19	GF-30	Umalal	17.537	19657	1966	21623	58960	37337	654779
20	GF-31	Kashiph Mumtaz / Vijay	17.53	19657	1966	21623	58960	37337	654518
21	GF-32	Ram Dayal Yadav	11.285	19657	1966	21623	58960	37337	421348
22	GF-33	Man Singh	11.84	19657	1966	21623	58960	37337	442070
23	GF-34	Satya Prakash Dharmveer Singh & Shree Prakash	15.907	19657	1966	21623	58960	37337	593920
24	GF-35	Shree Prakash, Satya Prakash & Dharmveer Singh	16.16	19657	1966	21623	58960	37337	603366
25	GF-37	Pankaj Kumar Tiwari	15.907	19657	1966	21623	58960	37337	593920
26	GF-38	Abdul Wahid Alam	19.21	19657	1966	21623	58960	37337	717244
27	GF-39	Pooran Mall	17.515	19657	1966	21623	58960	37337	653958
28	GF-40	Umesh Chand	17.515	19657	1966	21623	58960	37337	653958
29	GF-41	Om Prakash Mourya	17.515	19657	1966	21623	58960	37337	653958
30	GF-42	Rajendra Prasad Sahani	18.362	19657	1966	21623	58960	37337	685582
31	GF-43	Svatantra Yadav	18.362	19657	1966	21623	58960	37337	685582
32	GF-44	Ram Manohar	17.515	19657	1966	21623	58960	37337	653958
33	GF-45	Dr. Anita Shukla	17.515	19657	1966	21623	58960	37337	653958
34	GF-46	Rajeev Ku. Srivastava	17.515	19657	1966	21623	58960	37337	653958
35	GF-47	Smt. Sneha Lata Tiwari	19.21	19657	1966	21623	58960	37337	717244
36	GF-49	Meena Tripathi	16.665	19657	1966	21623	58960	37337	622221
37	GF-50	Jai Bahadur Singh	21.1	19657	1966	21623	58960	37337	787811
38	GF-51	Shree Prakash Singh	16.412	19657	1966	21623	58960	37337	612775
39	GF-52	Vidhya Devi	16.412	19657	1966	21623	58960	37337	612775
40	GF-53	Lalji Gupta	16.412	19657	1966	21623	58960	37337	612775
41	GF-54	Dharmaveer Singh	16.412	19657	1966	21623	58960	37337	612775

1	2	3	4	5	6	7	8	9	10
42	GF-55	Satya Prakash Singh	17.95	19657	1966	21623	58960	37337	670199
43	GF-56	Ratnesh Kumar Sardar	16.65	19657	1966	21623	58960	37337	621661
44	GF-57	Om Prakash Mourya	17.17	19657	1966	21623	58960	37337	641076
45	GF-58	Markandey Nayak	12.58	19657	1966	21623	58960	37337	469699
46	GF-59	Laxmi Narain Yadav	11.655	19657	1966	21623	58960	37337	435163
47	GF-60	Sushila Singh	17.98	19657	1966	21623	58960	37337	671319
48	GF-61	Sandhya Jaiswal	17.98	19657	1966	21623	58960	37337	671319
49	GF-62	Hitalar Upendra Kumar Rana	18.85	19657	1966	21623	58960	37337	703802
								Total	31046164

Appendix-3.3(a)
(Referred to in para 3.4)

Statement showing short recovery of lease rent

(Amount in ₹)

Sl no	Name of lease owner	Date from when lease to be renewed	Area in Hectare	DM circle rate effective on the date of renewal (per hectare)	Premium Value of the land at the time of renewal	Revised annual lease rent to be recovered (Being 10 per cent of premium value of land)	Lease rent actually recovered on yearly basis	Short recovery of lease rent	Number of years for which renewal of lease is pending till May 2015	Total Short realisation of lease rent
1	2	3	4	5	6=4*5	7 = 6 * 0.10	8	9=7-8	10	11 = 9 * 10
1	M/s. Hindalco Industries Ltd.	25.06.03	9.71	7,11,662 [†]	69,10,238	6,91,024	4,800	6,86,224	12	82,34,688
2	Renu Sagar Power Company (M/s Hindlaco Industries Ltd.)	21.06.09	61.2348	18,00,000 [§]	11,02,22,640	1,10,22,264	4,53,943	1,05,68,321	6	6,34,09,926
Total										7,16,44,614

[†] Based on the DM circle rate of developing and rural area adjacent to urban area effective from 1.04.2002 to 28.07.2003. Rate per acre ₹ 2,88,000 and Rate per hectare ₹ 2,88,000*2.47105= ₹ 711662

[§] As the land is used for industrial purpose, the DM circle rate of industrial area for Singrauli is applicable from 20.01.2009 to 8.11.2009 Rate of industrial land ₹ 18,00,000 per hectare.

Appendix-3.3 (b)
(Referred to in para 3.4)

Statement of loss of interest on short recovery of lease rent

Year	Ms. Hindalco Industries Ltd. for Labour Awas		Renu Sagar Power Company (Ms. Hindlaco Industries Ltd.)		Total short recovery	Interest @ 9 per cent per annum on total short recovery
	Short recovery of lease rent	Cumulative short recovery	Short recovery of lease rent	Cumulative short recovery		
<i>I</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>	<i>6 = 3 + 5</i>	<i>7 = 6 * 9 per cent</i>
1 st	686224	686224	0	0	686224	61760
2 nd	686224	1372448	0	0	1372448	123520
3 rd	686224	2058672	0	0	2058672	185280
4 th	686224	2744896	0	0	2744896	247041
5 th	686224	3431120	0	0	3431120	308801
6 th	686224	4117344	0	0	4117344	370561
7 th	686224	4803568	10568321	10568321	15371889	1383470
8 th	686224	5489792	10568321	21136642	26626434	2396379
9 th	686224	6176016	10568321	31704963	37880979	3409288
10 th	686224	6862240	10568321	42273284	49135524	4422197
11 th	686224	7548464	10568321	52841605	60390069	5435106
12 th	686224	8234688	10568321	63409926	71644614	6448015
Total						2,47,29,659