

Appendix 3.1.1

(Reference: paragraphs 3.1.1 & 3.1.6; pages 85 & 88)

Updated summarised financial results of Government Companies and Statutory Corporations for which accounts were finalised
(Figures in column 5 (a) to (6) and (8) to (10) are ₹ in crore)

Sl. No.	Sector & Name of the Company	Period of Accounts	Year in which finalised	Net Profit (+)/ Loss (-)				Turn over	Impact of Accounts Comments ¹	Paid up Capital	Accumulated Profit (+)/ Loss (-)	Capital employed ²	Return on capital employed ³	Percentage return on capital employed	
				Net Profit/Loss before Interest & Depreciation	Interest	Depreciation	Net Profit /Loss								
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	
A. Working Government Companies														(5b +5d)	11/10
AGRICULTURE & ALLIED															
1.	Uttarakhand Seed & Tarai Development Corporation Ltd.	2012-13	2013-14	3.87	1.02	0.38	2.47	113.54	(-)0.08	4.08	9.88	35.85	3.49	9.74	
Sector Wise total				3.87	1.02	0.38	2.47	113.54	(-)0.08	4.08	9.88	35.85	3.49	9.74	
FINANCE															
2.	Garhwal Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Garhwal Mandal Vikas Nigam Limited)	1993-94	2012-13	0.10	0.03	-	0.07	0.54	(-)0.39	0.50	(-) 0.63	1.09	0.10	9.17	
3.	Kumaon Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	1986-87	2002-03	(-) 0.02	-	-	(-) 0.02	0.10	-	0.50	(-) 0.04	0.46	(-) 0.02	-	
4.	Uttarakhand Bahudeshia Vitta Evam Vikas Nigam Limited	2004-05	2013-14	0.12	0.25	0.04	(-) 0.17	-	(-) 0.02	5.94	(-) 0.11	42.32	0.08	0.19	
Sector Wise total				0.20	0.28	0.04	(-) 0.12	0.64	(-)0.41	6.94	(-) 0.78	43.87	0.16	0.36	
INFRASTRUCTURE															
5.	State Industrial Development Corporation of Uttarakhand Limited	2010-11	2013-14	30.70	0.48	0.21	30.01	2.87	(-) 7.04	28.50	235.17	273.44	30.49	11.15	
6.	Uttarakhand State Infrastructure Development Corporation Limited	2011-12	2013-14	(-) 0.11	-	0.04	(-) 0.15	6.74	Non review	4.00	(-) 4.17	1.83	(-) 0.15	-	
Sector Wise total				30.59	0.48	0.25	29.86	9.61	(-) 7.04	32.50	231.00	275.27	30.34	11.02	

¹ Impact of accounts comments include the net impact of comments of Statutory Auditors and CAG and is denoted by (+) increase in profit/decrease in losses (-) decrease in profit/increase in losses.

² Capital employed represents net fixed assets (including capital works-in-progress) plus working capital except in case of finance companies/corporations where the capital employed is worked out as a mean of aggregate of the opening and closing balances of paid up capital, free reserves, bonds, deposit and borrowing (including refinance).

³ Return on capital employed has been worked out by adding profit and interest charged to profit and loss account.

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Sl. No.	Sector & Name of the Company	Period of Accounts	Year in which finalised	Net Profit (+)/ Loss (-)				Turnover	Impact of Accounts Comments	Paid up Capital	Accumulated Profit (+)/ Loss (-)	Capital employed	Return on capital employed	Percentage return on capital employed
				Net Profit/Loss before Interest & Depreciation	Interest	Depreciation	Net Profit /Loss							
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
MANUFACTURING														
7.	Trans cables Limited (Subsidiary of Kumaon Mandal Vikas Nigam limited)	1999-2000	2002-03	(-) 0.84	-	-	(-) 0.84	2.80	-	1.63	(-) 5.80	2.90	(-) 0.84	-
8.	Uttar Pradesh Digitals Limited (Subsidiary of Kuamon Mandal Vikas Nigam Limited)	1996-97	1997-98	(-) 1.19	-	-	(-) 1.19	0.29	-	0.35	(-) 6.95	0.35	(-) 1.19	-
9.	Uttar Pradesh Hill Electronics Corporation Limited	1997-98	2011-12	(-) 0.29	-	0.02	(-) 0.31	1.61	-	8.95	(-) 1.17	4.99	(-) 0.31	-
10.	Kichha Sugar Company Limited	2012-13	2014-15	(-) 2.75	14.43	0.26	(-) 17.44	100.60	-	17.99	(-) 102.00	17.07	(-) 3.01	-
11.	Doiwala Sugar Company Limited	2012-13	2013-14	(-) 18.18	16.71	0.24	(-) 35.13	63.20	Non review	6.00	(-) 160.95	(-) 139.64	(-) 18.42	-
12.	Uttarakhand Project Development and Construction Corporation Limited	2011-12	2014-15	0.01	-	-	0.01	0.07	-	0.05	-	-	-	-
Sector Wise total				(-) 23.24	31.14	0.52	(-) 54.90	168.57	-	34.97	(-) 276.87	(-) 114.33	(-)23.77	-
POWER														
13.	Uttarakhand Power Corporation Limited	2012-13	2014-15	154.24	87.68	82.31	(-) 15.75	3432.70	(+)10.84	585.80	(-) 2018.77	(-)479.81	71.93	-
14.	Uttarakhand Jal Vidhyut Nigam Limited	2013-14	2014-15	196.65	111.47	72.60	12.58	374.39	(-)10.37	873.58	255.64	3157.25	124.05	3.93
15.	Power Transmission Corporation of Uttarakhand Limited	2012-13	2013-14	79.06	43.54	28.09	7.43	161.26	(-)7.18	250.69	(-) 96.44	1087.45	50.97	4.69
Sector Wise total				429.95	242.69	183.00	4.26	3,968.35	(-)6.71	1,710.07	(-) 1,859.57	3,764.89	246.95	6.56
SERVICES														
16.	Kumaon Mandal Vikas Nigam Limited	2003-04	2013-14	1.66	1.09	0.31	0.26	104.19	(-)0.04	13.42	0.33	30.32	1.35	4.45
17.	Garhwal Mandal Vikas Nigam Limited	2004-05	2014-15	5.15	1.54	1.38	2.23	138.54	(-)2.90	5.76	6.34	34.25	3.77	11
Sector Wise total				6.81	2.63	1.69	2.49	242.73	(-)2.94	19.18	6.67	64.57	5.12	7.93

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Sl. No.	Sector & Name of the Company	Period of Accounts	Year in which finalised	Net Profit (+)/ Loss (-)				Turnover	Impact of Accounts Comments	Paid up Capital	Accumulated Profit (+)/ Loss (-)	Capital employed	Return on capital employed	Percentage return on capital employed
				Net Profit/Loss before Interest & Depreciation	Interest	Depreciation	Net Profit /Loss							
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
MISCELLANEOUS														
18.	Uttarakhand Purv Sainik Kalyan Udham Limited	2010-11	2013-14	6.17	-	0.09	6.08	88.26	-	0.05	23.92	24.82	6.35	25.58
Sector Wise total				6.17	-	0.09	6.08	88.26	-	0.05	23.92	24.82	6.35	25.58
Total A (All sector wise-working Government companies)				454.35	278.24	185.97	(-) 9.86	4591.70	(-) 17.18	1,807.79	(-)1,865.75	4,094.94	268.38	6.55
B. Working Statutory corporations														
INFRASTRUCTURE														
1.	Uttarakhand Pey Jal Sansadhan Vikas Evam Nirman Nigam	2011-12	2013-14	(-)51.59	3.41	0.83	(-) 55.83	40.04	(-) 25.07	2,083.78	(-) 107.18	(-)568.18	(-)52.42	-
Sector Wise total				(-)51.59	3.41	0.83	(-) 55.83	40.04	(-) 25.07	2,083.78	(-) 107.18	(-)568.18	(-) 52.42	-
SERVICE														
2.	Uttarakhand Parivahan Nigam	2008-09	2010-11	0.10	-	14.77	(-) 14.67	188.81	(-) 0.56	79.74	(-) 237.22	(-) 35.14	(-) 14.67	-
Sector Wise total				0.10	-	14.77	(-) 14.67	188.81	(-) 0.56	79.74	(-) 237.22	(-) 35.14	(-) 14.67	-
MISCELLANEOUS														
3	Uttarakhand Forest Development Corporation	2008-09	2010-11 ⁴	38.91	-	1.00	37.91	282.69	(-)24.51	-	175.56	299.57	37.91	12.65
				38.91	-	1.00	37.91	282.69	(-) 24.51	-	175.56	299.57	37.91	12.65
Total B (All sector wise-working Statutory corporations)				(-)12.58	3.41	16.60	(-) 32.59	511.54	(-)50.14	2,163.52	(-) 168.84	(-)303.75	(-) 29.18	-
Grand Total (A+B)				441.77	281.65	202.57	(-)42.45	5,103.24	(-) 67.32	3,971.31	(-) 2,034.59	(-)3,791.19	239.20	-

⁴ The accounts for the year 2008-09 was certified by local fund audit of State Government.

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Sl. No.	Sector & Name of the Company	Period of Accounts	Year in which finalised	Net Profit (+)/ Loss (-)				Turnover	Impact of Accounts Comments [#]	Paid up Capital	Accumulated Profit (+)/ Loss (-)	Capital employed [@]	Return on capital employed ^{\$}	Percentage return on capital employed
				Net Profit/Loss before Interest & Depreciation	Interest	Depreciation	Net Profit/Loss							
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
C. Non working Government companies														
AGRICULTURE & ALLIED														
1.	UPAI Limited ⁵	1988-89	1999-2000	(-) 0.01	-	-	(-) 0.01	-	-	0.17	(-) 0.05	0.10	(-) 0.01	-
Sector Wise total				(-) 0.01	-	-	(-) 0.01	-	-	0.17	(-) 0.05	0.10	(-) 0.01	-
MANUFACTURE														
2.	Kumtron Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited)	1989-90	1990-91	(-) 0.02	-	-	(-) 0.02	-	-	0.18	(-) 0.02	0.12	(-) 0.02	-
3.	Uttar Pradesh Hill Phones Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited)	-	-	-	-	-	-	-	-	-	-	-	-	-
4.	Uttar Pradesh Hill Quartz Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation limited)	-	-	-	-	-	-	-	-	-	-	-	-	-
Sector Wise total				(-) 0.02			(-) 0.02			0.18	(-) 0.02	0.12	(-) 0.02	
Total C (All sector wise-working Government companies)				(-) 0.03			(-) 0.03			0.35	(-) 0.07	0.22	(-) 0.03	
Grant Total (A+B+C)				441.74	281.65	202.57	(-) 42.48	5,103.24	(-) 67.32	3,971.66	(-) 2,034.66	3,791.41	239.17	6.31

⁵ Company under liquidation since 31.03.1991.

Appendix 3.1.2

(Reference: paragraph 3.1.3 & 3.1.10; page 86 & 91)

**Statement showing particulars of update paid-up-capital, loans outstanding and manpower as on 31 March 2014 in respect of Government Companies and Statutory Corporations
(Figures in column 5 (a) to 6 (d) are ₹ in crore)**

Sl. No.	Sector & Name of the Company	Name of the Department	Month and year of incorporation	Paid-up Capital ⁶				Loans ⁷ outstanding at the close of 2013-14				Debt equity ratio for 2013-14 (Previous year) (7)	Manpower (No. of employees) (8)
				State Government	Central Government	Others	Total	State Government	Central Government	Others	Total		
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
A. Working Government Companies													
AGRICULTURE & ALLIED													
1.	Uttarakhand Seed & Tarai Development Corporation Ltd. ⁸	Agriculture	February 1969	1.70	0.84	3.77	6.31	4.00	-	-	4.00	0.63:1	454
Sector Wise total				1.70	0.84	3.77	6.31	4.00	-	-	4.00	0.63:1	454
FINANCE													
2.	Garhwal Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Garhwal Mandal Vikas Nigam Limited)	Hill development	June 1974	0.20	-	0.30	0.50	1.17	0.04	2.04	3.25	6.50:1	04
3.	Kumaon Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	Hill Development	June 1975	0.22	-	0.28	0.50	-	-	-	-	-	NIL
4.	Uttarakhand Bahudeshia Vitta Evam Vikas Nigam Limited	Social Welfare	October 2001	16.45	8.10	-	24.55	-	-	4.47	4.47	0.18:1	82
Sector Wise total				16.87	8.10	0.58	25.55	1.17	0.04	6.51	7.72	0.30:1	86
INFRASTRUCTURE													
5.	State Industrial Development Corporation of Uttarakhand Limited	Finance	July 2002	26.00	-	2.50	28.50	-	-	-	-	-	11
6.	Uttarakhand State Infrastructure Development Corporation Limited	Finance	March 2008	4.00	-	-	4.00	5.00	-	-	5.00	1.25:1	83
Sector Wise total				30.00	-	2.50	32.50	5.00	-	-	5.00	0.15:1	94

⁶ Paid – up capital includes share application money.

⁷ Loans outstanding at the close of 2012-13 represent long term loans only.

⁸ Above includes Section 619-B companies at Sr. No. 01.

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Sl. No.	Sector & Name of the Company	Name of the Department	Month and year of incorporation	Paid-up Capital				Loans outstanding at the close of 2013-14				Debt equity ratio for 2013-14 (Previous year)	Manpower (No. of employees)
				State Government	Central Government	Others	Total	State Government	Central Government	Others	Total		
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
MANUFACTURING													
7.	Trans cables Limited (Subsidiary of Kumaon Mandal Vikas Nigam limited)	Hill Development	November 1973	-	-	1.63	1.63	2.75			2.75	1.69:1 (1.69:1)	-
8.	Uttar Pradesh Digital Limited (Subsidiary of Kuamon Mandal Vikas Nigam Limited)	Hill Development	March 1978	-	-	0.35	0.35	-	-	-	-	- (20.80:1)	
9.	Uttar Pradesh Hill Electronics Corporation Limited	Hill Development	June 1985	8.95	-	-	8.95	-	-	-	-	-	26
10.	Kichha Sugar Company Limited	Sugar & Cane Development	February 1972	17.54	-	0.45	17.99	96.76	-	-	96.76	5.38:1	812
11.	Doiwala Sugar Company Limited	Sugar & Cane Development	December 2001	6.00	-	-	6.00	108.02	-	3.15	111.17	18.53:1	559
12.	Uttarakhand Project Development and Construction Corporation Limited	Irrigation	December 2010	1.07	-	-	1.07	-	-	-	-	-	-
Sector Wise total				33.56	-	2.43	35.99	207.53	-	3.15	210.68	5.85:1	1397
POWER													
13.	Uttarakhand Power Corporation Limited	Urja	February 2001	1008.90	-	-	1008.90	136.05	523.28	127.10	786.43	0.78:1	3683
14.	Uttarakhand Jal Vidhyut Nigam Limited	Urja	February 2001	1075.79	-	-	1075.79	306.93	-	785.90	1092.83	1.02:1	2300
15.	Power Transmission Corporation of Uttarakhand Limited	Urja	May 2004	300.34	-	-	300.34	60.30	-	510.71	571.00	1.90:1	789
Sector Wise total				2,385.03	-	-	2,385.03	503.28	523.28	1,423.71	2,450.26		6,772
SERVICES													
16.	Kumaon Mandal Vikas Nigam Limited	Hill Development	March 1971	13.42	-	-	13.42	44.56	-	-	44.56	3.24:1 (2.25:1)	1,004

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Sl. No.	Sector & Name of the Company	Name of the Department	Month and year of incorporation	Paid –up Capital ⁵				Loans** outstanding at the close of 2013-14				Debt equity ratio for 2013-14 (Previous year)	Manpower (No. of employees)
				State Government	Central Government	Others	Total	State Government	Central Government	Others	Total		
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
16.	Garhwal Mandal Vikas Nigam Limited	Hill Development	March 1976	6.80	-	-	6.80	45.19	-	-	45.19	6.49:1 (6.20:1)	1627
Sector Wise total				20.22	-	-	20.22	89.75	-	-	89.75		2,631
MISCELLANEOUS													
17.	Uttarakhand Purv Sainik Kalyan Udham Limited	Sainik Kalyan	March 2004	1.00	-	-	1.00	-	-	-	-	-	-
Sector Wise total				1.00	-	-	1.00	-	-	-	-	-	-
Total A (All sector wise- working Government companies)				2,488.38	8.94	9.28	2,506.60	810.73	523.32	1,433.37	2,767.41		11,434
B. Working Statutory corporations													
INFRASTRUCTURE													
1.	Uttarakhand Pey Jal Sansadhan Vikas Evam Nirman Nigam	Peya Jal	November 2002	2,050.06	-	-	2,050.06	-	-	1.64	1.64	0.01:1 (0.01:1)	2,728
Sector Wise total				2,050.06	-	-	2,050.06	-	-	1.64	1.64		2,728
SERVICE													
2.	Uttarakhand Parivahan Nigam	Transport	October 2003	70.50	9.24	-	79.74	160.52	-	-	160.52	1.89:1 (1.57:1)	4,030
Sector Wise total				70.50	9.24	-	79.74	160.52	-	-	160.52	1.89:1 (1.57:1)	4,030
MISCELLANEOUS													
3	Uttarakhand Forest Development Corporation	Forest	May 2001	-	-	-	-	-	-	-	-	-	3,070
Sector Wise total				-	-	-	-	-	-	-	-	-	3,070
Total B (All sector wise- working Statutory corporations)				2,120.56	9.24	-	2,129.80	160.52	-	1.64	162.16		9,828
Grand Total (A+B)				4,608.94	18.18	9.28	4,636.40	971.25	523.32	1,435.01	2,929.57		21,262
C. Non working Government companies													
AGRICULTURE & ALLIED													
1.	UPAI Limited	Agriculture	April 1977	0.15	-	0.02	0.17	-	-	-	-	-	-
Sector Wise total				0.15	-	0.02	0.17	-	-	-	-	-	-

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MANUFACTURING													
Sl. No.	Sector & Name of the Company	Name of the Department	Month and year of incorporation	Paid –up Capital				Loans outstanding at the close of 2013-14				Debt equity ratio for 2013-14 (Previous year)	Manpower (No. of employees)
				State Government	Central Government	Others	Total	State Government	Central Government	Others	Total		
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
2.	Kumtron Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited)	Hill Division	April 1987	-	-	0.18	0.18	-	-	-	-	-	-
3.	Uttar Pradesh Hill Phones Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited)	Hill Division	July 1987	-	-	0.03	-	-	-	-	-	-	-
4.	Uttar Pradesh Hill Quartz Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation limited)	Hill Division	July 1989	-	-	-	-	-	-	-	-	-	-
Sector Wise total				-	-	0.21	0.21	-	-	-	-	-	-
Total C (all sector wise non working Government Companies)				0.15	-	0.23	0.38	-	-	-	-	-	-
Grand Total (A +B+C)				4,609.09	18.18	9.51	4,636.78	971.25	523.32	1,435.01	2,929.57		21,262

Appendix 3.1.3

(Reference: paragraph 3.1.4; page 87 & 88)

Statement showing equity, loans received out of budget, grants and subsidy received/receivable, guarantees received, waiver of dues, loans written off and loans converted into equity during the year and guarantee commitment at the end of March 2014

(Figures in column 3 (a) to 6(d) are ₹ in crore)

Sl. No.	Sector & Name of the Company	Equity/loans received out of budget during the year		Grants and subsidy received during the year				Guarantees received during the year and commitment at the end of the year ⁹		Waiver of dues the year			Total
		Equity	Loans	Central Government	State Government	Others	Total	Received	Commitment	Loans repayment written off	Loans converted into equity	Interest/Penal interest waived	
(1)	(2)	3(a)	3(b)	4(a)	4(b)	4(c)	4(d)	5(a)	5(b)	6(a)	6(b)	6(c)	6(d)
A. Working Government Companies													
1.	Uttarakhand Seeds & Tarai Development Corporation Limited	-	-		4.96	15.99	20.95	-	-	-	-	-	-
2.	Uttarakhand Bahudeshia Vitta Evam Vikas Nigam Limited	1.11	1.53	-	10.20	-	10.20	1.54	10.53	-	-	-	-
3.	State Industrial Development Corporation of Uttarakhand Limited	-	-	38.17	-	-	38.17	-	-	-	-	-	-
4.	Uttarakhand Power Corporation Limited	39.99	99.88						174.12	-	-	-	-
5.	Uttarakhand Jal Vidhyut Nigam Limited	202.21	0.36	-	-	0.39	0.39	-	618.89	-	-	-	-
6.	Power Transmission Corporation of Uttarakhand Limited	16.60	16.31	-	-	-	-	-	103.12	-	-	-	-
7.	Doiwala Sugar Company Limited	-	31.69	-	-	-	-	-	-	-	-	-	-
8.	Kichha Sugar Company Limited		40.30										
Total (working government companies)		259.91	190.07	38.17	15.16	16.38	69.71	1.54	906.66	-	-	-	-

⁹ Figures indicate total guarantees outstanding at the end of the year

Appendix 3.1.4

(Reference: paragraph: 3.1.7; page 90)

Statement showing the investment made by the State Government in Companies whose accounts are not finalised upto 30 September 2014

(Figures in column 4 and 6 to 8 are ₹ in crore)

Sl. No.	Name of the Public Sector Undertaking	Year upto which accounts finalised	Paid-up capital	Period of Accounts pending finalization	Investment made by State Government during the years for which accounts are in arrear			
					Equity	Loan	Grant	Others to be specified (Subsidy)
1	2	3	4	5	6	7	8	
A. Working Government Companies								
1.	Uttarakhand Seeds & Tarai Development Corporation Limited	2012-13	4.08					
2.	Uttarakhand Bahudeshia Vitta Evam Vikas Nigam Limited	2004-05	5.94	2005-06	0.76	-	-	
				2006-07	4.22	-	-	
				2007-08	1.22	-	-	
				2008-09	-	-	0.09	
				2009-10	0.05	-	0.29	
				2010-11	-	-	7.45	
				2011-12	1.22	1.35	3.36	1.48
				2012-13	1.11	-	4.53	5.60
				2013-14	1.11	-	3.49	5.60
3.	Uttarakhand State Infrastructure Development Corporation Limited	2011-12	4.00	2012-13	-	2.00	-	
				2013-14		1.00		
4	Doiwala Sugar Company Limited	2012-13	6.00	2013-14	-	31.69	-	-
5	Kichha Sugar Company Limited	2012-13	17.99	2013-14	-	40.30		
6.	Uttarakhand Power Corporation Limited	2012-13	585.80	2013-14	39.99	68.32		-
7	Power Transmission Corporation of Uttarakhand Limited	2012-13	250.69	2013-14	16.60	16.31	-	-
Total – A (Working Government companies)			874.50		66.28	160.97	19.21	12.68

Audit Report (Social, General, Revenue and Economic Sectors) for the year ended 31 March 2014

Sl. No.	Name of the Public Sector Undertaking	Year upto which accounts finalised	Paid-up capital	Period of Accounts pending finalisation	Investment made by State Government during the years for which accounts are in arrear			
					Equity	Loan	Grant	Others to be specified(Subsidy)
1	2	3	4	5	6	7	8	
B. Working Stationary Corporation								
	Uttarakhand Transport Corporation	2008-09	79.74	2009-10	-	-	-	-
				2010-11	-	-	-	-
				2011-12	-	100.11	-	-
				2012-13	-	25.00	-	-
				2013-14	-	10.00	-	-
	Total -B	-	79.74	-	-	135.11	-	-
	Grand Total (A +B)	-	954.24	-	66.28	296.08	19.21	12.68

Appendix 3.1.5

(Reference: paragraph:3.1.14; page 94)

Statement showing the department wise outstanding Inspection Reports and paragraphs

Sl. No.	Name of Department	No. of PSUs	No. of outstanding IRs	No. of outstanding paragraphs	Years from which outstanding
1.	Agriculture	1	5	18	2005-06
2.	Finance	3	6	52	2004-05
3.	Infrastructure	3	5	50	2005-06
4.	Tourism	2	8	65	2004-05
5.	Power	3	313	1,402	2004-05
6.	Transport	1	11	40	2006-07
7.	Manufacturing	6	13	59	2004-05
8.	Miscellaneous	2	1	8	2010-11
	Total	21	362	1,694	

Appendix 3.2.1
(Reference: paragraph 3.2.3.1; page 97)

Calculation of Excess Commission paid to dealers

Year	Name of State	Quantity of wheat sold (in quintals)	Excess Commission paid to Dealer (in ₹)
2011-12	Uttarakhand	35,775.60	1,86,507.11
	Uttar Pradesh	46,518.50	2,55,062.34
	Bihar	2,99,212.30	16,38,111.36
	West Bengal	0	0
Total (1)		3,81,506.40	20,79,680.81
2012-13	Uttarakhand	30,806.50	2,65,450.66
	Uttar Pradesh	79,050.40	6,19,640.75
	Bihar	2,36,286.60	18,58,354.59
	West Bengal	19,410.00	1,54,891.80
Total (2)		3,65,553.50	28,98,337.80
2013-14	Uttarakhand	22,587.40	2,03,757.51
	Uttar Pradesh	49,215.00	4,39,386.95
	Bihar	1,72,586.00	15,35,450.36
	West Bengal	1,91,18.40	1,70,344.94
Total (3)		2,63,506.80	23,48,939.76
Grand Total (1)+(2)+(3)		10,10,566.70	73,26,958.37

Appendix 3.2.2
(Reference: paragraph 3.2.3.2; page 97)

Calculation of Commission paid at higher rate to dealers

(Amount in ₹)

Name of Distributor	Advance received during year	Sale on advance as per contract	Actual Sales as per Sale book	Excess of sale over sale on advance (4 - 3)	Subsidy	Transport Rebate	Ratio of reserve sale to total sale (3/4)	Ratio of un reserve sale to total sale (5/4)	Commission to be paid on reserved sale @ 9 per cent	Commission to be paid on unreserved sale @ 7 per cent	Total Commission to be paid (10 + 11)	Commission paid	Excess commission paid (13 - 12)
1	2	3	4	5	6	7	8	9	10	11	12	13	14
2012-13													
M/s Agro Traders Gorakhpur	15,00,000	1,50,00,000	3,59,69,940	2,09,69,940	99,65,320	3,55,804	0.42	0.58	9,59,862	10,48,858	20,08,720	23,08,393	2,99,674
M/s Durga Agro Seeds Farm Ludknow	1,00,000	10,00,000	42,96,130	32,96,130	13,55,360	38,803	0.23	0.77	61,141	1,55,584	2,16,725	2,61,911	45,186
M/s Kisan Trading Company Sitapur	10,00,000	1,00,00,000	2,07,88,599	1,07,88,599	65,98,880	9,21,212	0.48	0.52	5,75,132	4,81,471	10,56,603	11,94,166	1,37,563
M/s Krishi Seva Kendra Fraukhabad	4,00,000	40,00,000	67,52,400	27,52,400	20,82,800	1,16,235	0.59	0.41	2,43,231	1,29,556	3,72,787	4,09,820	37,033
M/s Maa Janki Interprises Muzaffurpur	25,00,000	2,50,00,000	3,31,39,143	81,39,143	83,55,620	3,86,340	0.75	0.25	16,59,918	4,16,756	20,76,673	21,95,746	1,19,073
M/s Sujal Agro Agency Nalanda	1,00,000	10,00,000	17,74,150	7,74,150	4,55,000	29,250	0.56	0.44	65,594	39,276	1,04,869	1,16,091	11,222
M/s Mahaveer Enterprises Muzfferpur	5,00,000	50,00,000	1,23,62,696	73,62,696	30,21,900	2,03,490	0.40	0.60	3,33,886	3,79,922	7,13,808	8,22,358	1,08,549
M/s R.P. Gass service Chapra	0	0	1,05,39,740	1,05,39,740	26,99,480	84,079	0.00	1.00	0	5,42,933	5,42,933	6,98,056	1,55,124
M/s Lal ji Saha Krish Kendra Bhagalpur	5,00,000	50,00,000	99,78,437.70	49,78,437.70	24,27,320	60,776	0.50	0.50	3,38,036	2,61,407	5,99,443	6,74,919	75,477
M/s Krishi Laxmi Kendra Purnia	28,00,000	2,80,00,000	5,16,67,575	2,36,67,575	1,28,64,600	7,16,036	0.54	0.46	18,59,981	12,19,434	30,79,415	34,29,266	3,49,851
M/s Anant Agro Wals Sales Muzafferpur	1,00,000	10,00,000	51,85,500	41,85,500	13,30,000	85,500	0.19	0.81	65,795	2,12,726	2,78,521	3,39,300	60,779
M/s National Herbs Bareilly	1,00,000	10,00,000	1,92,17,254	1,82,17,254	69,05,800	0	0.05	0.95	58,924	8,15,972	8,74,896	11,08,031	2,33,135

2013-14														
M/s Maha Laxmi Seeds Corporation	9,00,000	90,00,000	5,59,92,538	4,69,92,538	1,30,32,660	6,31,423	0.16	0.84	6,13,237	24,86,030	30,99,267	38,09,561	7,10,294	
M/s Agro Traders Gorakhpur	14,00,000	1,40,00,000	3,24,31,192	1,84,31,192	68,91,840	2,71,843	0.43	0.57	9,82,765	10,04,352	19,87,118	22,74,076	2,86,958	
M/s kisan Trading Company Sitapur	10,97,000	1,09,70,000	2,72,51,948	1,62,81,948	82,39,920	14,15,570	0.40	0.60	6,39,702	7,34,206	13,73,908	15,13,940	1,40,032	
M/s Krishi Laxmi Kendra Purnia	42,00,000	4,20,00,000	5,44,88,848	1,24,88,848	1,25,49,320	6,82,526	0.77	0.23	28,63,033	6,61,187	35,24,220	37,13,130	1,88,910	
Total	1,71,97,000	17,19,70,000	38,18,36,091	20,98,66,091	9,87,75,820	59,98,887			1,13,20,237	1,05,89,668	2,19,09,905	2,48,68,764	29,58,859	

Appendix 3.4.1

(Reference: Paragraph 3.4, page 103)

Clauses 4.1.1, 4.2.1 & 4.2.2 of the Agreement

Clause 4.1.1: the generating company may utilize the option to dispose off the power in any one or more of the following modes:

- i) Sell power to UPCL, and such sale shall be mutually negotiated between the UPCL and the Company; and/or
- ii) Sell power to any High Tension (HT) consumer within the state of Uttaranchal; and/or
- iii) Sell power to local rural grids within the state of Uttaranchal, which are not connected to the UPCL's main grid; and/or
- iv) Sell power to rural power distribution entities (i.e. those which sell power to predominantly rural areas); and/or
- v) Sell power to any consumer outside the State of Uttaranchal.

Clause 4.2.1: the generating company would be charged royalty, in the shape of free power to be supplied to the Government, as under:

- i) No royalty in the shape of free power shall be charged for the first 15 years after Commercial Operation Date (COD) in the following cases:
 - a) Sale of power to UPCL as per 4.1.1(i) above;
 - b) Sale of power to local rural grids within the state of Uttaranchal, which are not connected to the UPCLs main grid as per 4.1.1(iii) above;
 - c) Sale of power to consumers in rural areas not served or inadequately served by the concerned existing distribution licensee as per 4.1.1(iv); and
 - d) Sale of power outside the state of Uttaranchal as per 4.1.1(v) above
- ii) A royalty in the shape of free power at the rate of 12 *per cent* of net wheeled energy⁹ or deliverable energy¹⁰ would be charged, for the first 15 years after COD, in the cases of sales to any other consumer not covered under clause 4.2.1(i).

Clause 4.2.2: A royalty of 18 *per cent* of net wheeled energy or deliverable energy shall be charged beyond the 15th year of operation after COD in all cases of sale of power.

⁹ After deducting wheeling charges i.e. 10 *per cent* of net energy supplied at the interconnection point.

¹⁰ In case of direct supply to other party with or without using UPCLs system.