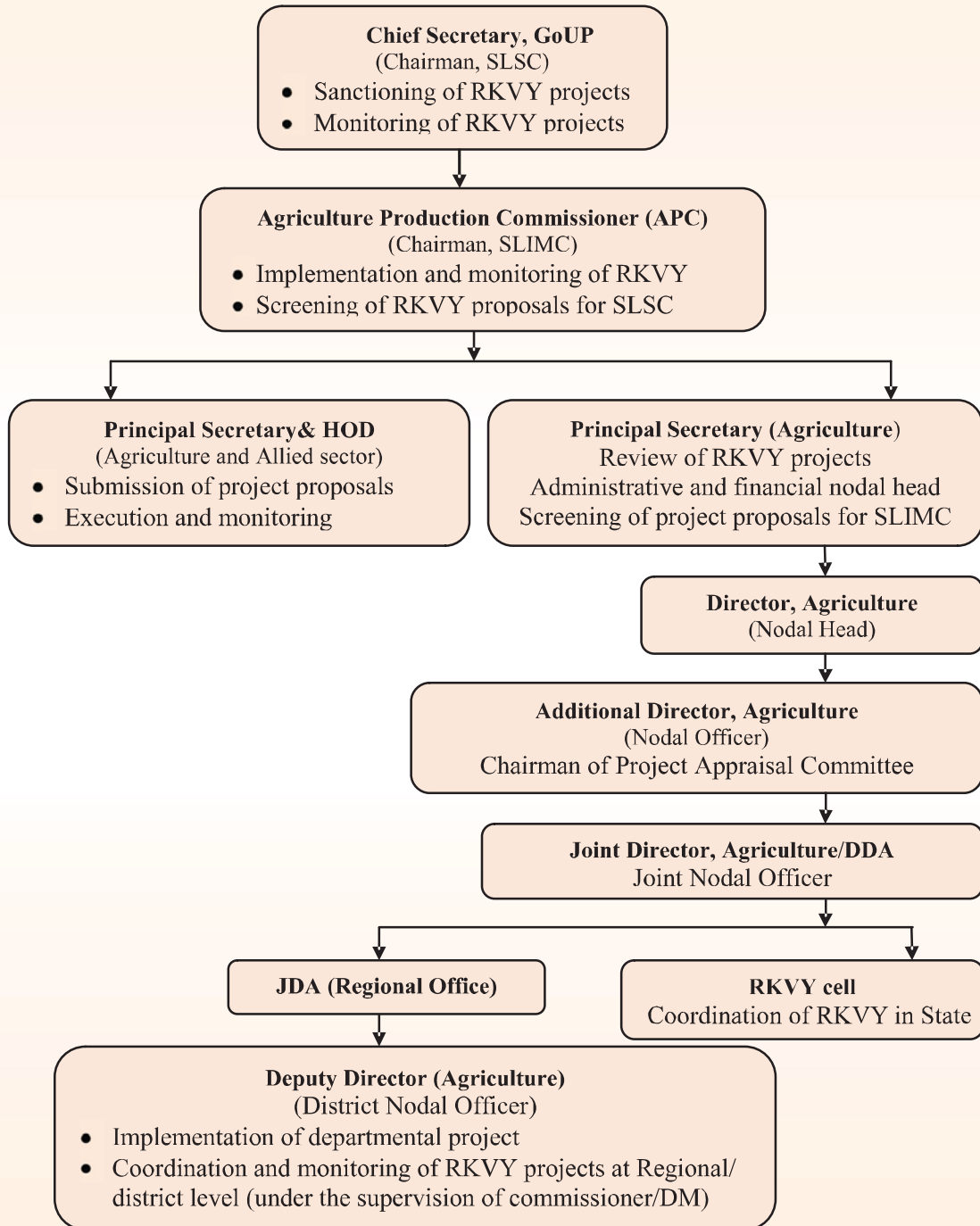


APPENDICES

2.1.1

Organisational Chart

(Reference: Paragraph no. 2.1.2; page 12)



(Source: Directorate, Agriculture)

2.1.2

Statement showing selection of sectors, projects and districts for Performance audit of RKVY

(Reference: Paragraph no. 2.1.3; page 12)

Sl. No.	Area of selection	Method of selection
1.	Selection of category-wise sectors	RKVY, a project-centric scheme, was outlined in the sectors for project based assistance. For representation of entire sample, the sectors were divided into three categories (A: Expenditure more than ₹ 100 crore, B: Expenditure between ₹ 50-100 crore and C: Expenditure less than ₹ 50 crore). Ten sectors ¹ were selected (Category A: 100 per cent, Category B: 60 per cent and Category C: 30 per cent) by Simple Random Sampling (SRS) using IDEA software.
2.	Selection of sector-wise projects	The selection of projects within the identified sector was made from the consolidated list of projects for each selected sector (10 per cent of projects subject to minimum of two projects and maximum of five projects) and accordingly 23 projects were selected (Stream I: 21 and Stream II: 2) through Probability Proportional to Size With Replacement (PPSWR) method. Further, at the instance of the Headquarters, the identified five sub-schemes of Stream-I were replaced and additional five projects were selected.
3.	Selection of project-wise districts	For ensuring representative geographical spread of the sample size of the projects in the selected sectors, 29 districts were selected through Simple Random Sampling using Probability Proportional to Size without Replacement (PPSWOR) method.

¹ Agriculture Mechanization (AMEC), Animal Husbandry (ANHB), Crop, Dairy Development, Extension, Fertiliser and Integrated Nutrient Management, Irrigation, Others, Seed and Sericulture.

2.1.3 Details showing convergence of activities

(Reference: Paragraph no. 2.1.7.3; page 16)

Sl. No.	Name of the project	Likely convergence
1	Minor Irrigation Works (Deep Borings, Medium Deep Tube-wells, Dr. B R Ambedkar tube-wells and Dr. Ambedkar Community Tube-wells).	State Agriculture Plan recommended taking up community tube-well scheme by convergence of resources available under RKVY and Mahatma Gandhi National Employment Guarantee Scheme by the Rural Development department. The convergence, however, was not ensured.
2.	Sugarcane seed production programme.	Sugarcane seed production programme was implemented under district plan also. There was, however, no convergence of the activities at any level.
3	Enhancing production application and productivity of wheat by proper placement of seed and fertilizer through line sowing in eastern UP.	Line sowing scheme was implemented under Bringing Green Revolution in Eastern India scheme also in the common districts by the Agriculture department. There was, however, no convergence of the activities at any level during 2010-12.
4	Seed subsidy (balance of previous year).	There was no convergence of the activities of RKVY and other scheme(s). The subsidy required for excess quantities (0.86 lakh quintal), distributed under Macro-mode of Agriculture (MMA) by the Agriculture department were met from RKVY funds.
5	Strengthening of Government farms and seed production.	Certified seed production programme was also funded by the State Government. There was, however, no convergence of the activities of RKVY with other scheme(s) by the Agriculture department. Only gap between requirement of funds and funds provided by State Government was covered from funds provided under RKVY.
6	Saghan Mini Dairy Pariyojna.	There was no convergence of the scheme with centrally sponsored National Mission for Protein Supplement (NMPS)/Special Dairy Development Project (SDDP).
7	Customised farmers training, extension activities and online agricultural monitoring through ground truthing video, phone calling and application of ICT.	Training programmes were implemented under various State and Central schemes by the Agriculture department but there was no convergence of activities of various schemes at planning/executing stage.
8	Soil Health Improvement Programme.	With the objective to improve soil health, various activities were implemented under NFSM, Macro-mode of Agriculture and BGREI schemes by the Agriculture department. No convergence was proposed under soil health improvement programme with other schemes
9	Strengthening of Government grainage and Government Chawki rearing centre for production of quality seed and supply of healthy Chawki silk worm to the farmers.	Likely convergence of the project with MGNREGS was not explored by the Sericulture department.
10	Promoting intensification and diversification of agricultural production and increasing farmers' access to expanding market opportunities.	Most of the activities carried out under the project were overlapped with the activities of other projects/ departments/ programmes like demonstrations under NFSM and MMA, Capacity building under ATMA, establishment of goat farming units under RKVY etc. by the Agriculture department and Animal Husbandry department. Convergence of these activities with activities of other projects/ departments/ programmes was not ensured.
11	Establishment of goat farming units at district level.	Likely convergence of the scheme with <i>Swarn Jayanti Swarojgar Yojna</i> was not explored by the Animal Husbandry department.
12	Five days' training and extension facilities to live stock owners.	As per GoI guidelines, ATMA funds, available with the State, were to be exhausted first for training purposes by the Animal Husbandry department. Thus, the programme was required to be converged with ATMA, which was not explored.

2.1.4 Details showing position of outstanding Utilisation Certificates

(Reference: Paragraph no. 2.1.8.6; page 21)

(₹ in crore)

Year	Grant released to State under RKVY	UCs due	UCs received	UCs accepted by the Ministry	UCs Pending
2007-08	103.90	Nil	Nil	Nil	103.90
2008-09	316.57	420.47	296.28	296.28	124.19
2009-10	390.97	515.16	333.90	333.90	181.26
2010-11	695.35	876.61	750.27	750.27	126.34
2011-12	762.83	889.17	613.55	613.55	275.62
2012-13	294.52	570.14	570.14	499.29	70.85

2.1.5

Details showing distribution of subsidies on borings and pump-sets in NFSM districts

(Reference: Paragraph no. 2.1.9.3; page 26)

Sl. No.	Name of the district	2011-12		2012-13	
		Borings	Pump-sets	Borings	Pump-sets
1	Azamgarh	1,404	400	1,039	438
2	Bahraich	1,392	466	851	253
3	Ballia	725	00	260	126
4	Balrampur	234	150	200	10
5	Basti	2,409	376	1,400	5
6	CSJM Nagar	439	152	00	00
7	Deoria	1,046	616	800	123
8	Ghazipur	209	486	150	00
9	Gonda	540	284	350	00
10	Gorakhpur	1,349	761	700	482
11	Mau	526	279	500	114
12	Mirzapur	00	150	00	20
13	Shrawasti	443	136	300	50
14	Siddhartha Nagar	1,477	537	774	00
15	Sonebhadra	00	116	00	00
16	Sultanpur	400	00	350	60
Total		12,593	4,909	7,674	1,681

2.1.6 Details showing tube-wells constructed in over-exploited/critical blocks

(Reference: Paragraph no. 2.1.9.6; page 32)

Sl. No.	Name of the District	No. of borings in over-exploited/critical blocks			Expenditure incurred (₹ in lakh)
		Deep tubewells	Medium Deep tubewells	Dr. B.R. Ambedkar tubewells	
1	Allahabad	97	65	0	152.25
2	Baghpat	3	58	0	54.00
3	Ghaziabad	28	35	4	74.87
4	Jaunpur	11	10	3	33.90
5	Mainpuri	8	155	0	139.75
6	Meerut	0	35	0	29.75
7	Mirzapur	2	30	9	66.02
8	Pratapgarh	0	59	1	54.43
9	Saharanpur	19	91	31	229.03
10	Varanasi	56	26	0	78.10
Total		224	564	48	912.1

(Source: Information collected during audit of test-checked districts).

2.1.7

Details showing excess, short and inadmissible payments of subsidy

(Reference: Paragraph no. 2.1.9.9; page 36)

Sl. No.	Name of the district	Nature of irregularity	Amount (₹ in lakh)
Short payment of subsidy			
1.	Balrampur, Basti, Deoria and Maharajganj	Subsidies amounting to ₹ 19.13 lakh and ₹ 15.375 lakh were paid to the beneficiaries for distribution of seeds from foundation nurseries and field demonstrations during 2011-12 against the required payment of ₹ 21.42 lakh and ₹ 21.51 lakh respectively. It was stated that the balance amount was paid from another centrally sponsored scheme SUBAICS. The amounts of subsidy paid to the beneficiaries were, however, not in accordance with the provisions of the guidelines.	8.42
2.	Lakhimpur Kheri, Meerut and Sitapur	Subsidy on power operated implements was limited to ₹ 15,000 against the permissible limit ₹ 30,000.	10.98
Total			19.40
Excess payment of subsidy			
1.	Basti, Deoria, Maharajganj and Mau	Subsidy ranging between ₹ 450 and ₹ 15480 were provided on distribution of seeds to 29 foundation nursery owners during 2011-13, against the norm of ₹ 12,500.	1.14
2.	Lakhimpur Kheri and Sitapur,	Subsidy at the rate of ₹ 15,000 per demonstration was provided for 294 and 238 field demonstrations against the physical targets of 266 and 177 respectively, fixed for 2011-12 resulting in excess payment of subsidy of ₹ 13.35 lakh..	13.35
Total			14.49
Inadmissible payment of subsidy			
1.	Basti	In Cane Development Society, Tinich (one of the two societies under Walterganj Cane Development Council), Basti, applications received in response to the advertisement published in newspapers on 27.3.2012 were not available. Implements, valuing ₹ 2.05 lakh were, however, distributed to 20 beneficiaries against the applications filled up in September 2012, i.e. after about 6 months from the last date of submission of application forms.	1.03
2.	Basti	A comparison of the list with the list of beneficiaries, who actually received the implements in cane development society, Govind Nagar revealed that out of 95 applicants who applied for the implements (including 30 names added by the members of the society) only two applicants received the implement. Out of these two farmers, name of one farmer was inserted at the instance of the members of the society. The only one farmer who had applied for and was included in the list of farmers approved by the society received bund former also, though he had applied for only harrow. The other 50 farmers who received the implements had neither applied for nor were included in the list of farmers approved by the society.	3.95
3.	Deoria	In Cane Development Council, Deoria, 11 and eight agricultural implements were distributed to beneficiaries during 2011-12 and 2012-13 respectively. Against the 90 applications, each received in response to advertisements dated 30.9.2011 and 26.3.12; agricultural implements were distributed to only three and four applicants respectively. The remaining eight and four implements were distributed to beneficiaries who never applied.	1.15
Total			6.13
Extra cartage charged by Cane Societies			
		Faizabad: ₹ 2.56 lakh, Kushinagar: ₹ 2.50 lakh, Lakhimpur Khiri: ₹ 7.25 lakh, Meerut: ₹ 2.34 lakh and Sitapur: ₹ 6.24lakh.	20.89

2.1.8

Details showing difference between number of tube-wells shown as energised by MI Department and UPPCL

(Reference: Paragraph no. 2.1.9.10; page 37)

Sl. No.	Name of District	No. of Tube-wells energised as per Chief Engineer's letter (Minor Irrigation Department)		Number of Tube-wells energised	
		Dated 22.01.2009 (Total 7955)	Dated 20.08.2009 (Revised)	As per UPPCL letter Dated 18.08.2011 (Total 7956)	As per information available with district level office of MI Department
1	Allahabad	620	650	650	365
2	Azamgarh	10	10	10	10
3	Baghpat	115	36	36	36
4	Bahraich	15	5	5	5
5	Balrampur	30	8	8	0
6	Basti	10	10	14	0
7	Deoria	20	20	20	0
8	Faizabad	20	20	20	20
9	GB Nagar	10	10	10	10
10	Ghaziabad	20	20	20	20
11	Jalaun	600	611	433	0
12	Jaunpur	10	10	10	10
13	Kasganj	0	7	7	7
14	Kheri	30	40	40	30
15	Kushinagar	10	10	10	10
16	Lucknow	40	40	40	0
17	Maharajganj	20	7	7	18
18	Mahoba	80	66	66	0
19	Mainpuri	120	120	120	120
20	Mau	10	10	6	10
21	Meerut	200	96	96	96
22	Mirzapur	70	63	63	63
23	Pilibhit	40	20	7	0
24	Pratapgarh	30	54	45	54
25	Rae bareli	225	386	686	686
26	Saharanpur	20	41	41	41
27	Sitapur	20	21	20	20
28	Unnao	200	200	200	200
29	Varanasi	70	16	17	16
Total		2,665	2,607	2,707	1,847

2.1.9

Details showing incomplete work under various projects

(Reference: Paragraph no. 2.1.10.1; page 37)

Sl. No.	Details of incomplete works	Amount involved (₹ in crore)
A.	Strengthening of Government grainage and Government <i>Chawki</i> rearing centre for production of quality seeds and supply of healthy <i>Chawki</i> silk-worm to the farmers	
1.	Work of construction of chawki rearing house at Government Silk Farm, Saharanpur was awarded (May 2011) to PACCFED at ₹ 10 lakh and funds of ₹ five lakh was released to construction agency in May 2011, which was to be completed by August 2011. Due to non-release of funds by the department, the rearing house was incomplete as of July 2013.	0.05
2.	₹ two lakh was released (February 2011) to Deputy Director, Sericulture, Mainpuri for creation of irrigation facility. Of the released amount, ₹ 1.05 lakh and ₹ 0.58 lakh was provided to Minor Irrigation Department and UP Power Corporation Limited (UPPCL) for boring and electrification works respectively in Government Silk Farm, Aspura, Mainpuri. Out of the release amount, ₹ 0.37 lakh was spent on purchase of submersible pump-set by Deputy Director, Sericulture, Mainpuri. Subsequently, MI Department surrendered (May 2013) ₹ 1.05 lakh as the area was categorized under dark zone ² . This resulted in wasteful expenditure of ₹ 0.58 lakh, incurred on obtaining power connection and ₹ 0.37 lakh incurred on purchase of submersible pump-set, could have been avoided, had the activity been taken up after proper survey of the site.	0.02
B.	Strengthening of silk koya production marketing and spinning units and establishment of silk banks and marketing information centre	
3.	₹ 26.35 lakh was spent on construction (2011-12) of rearing houses in Government farms of Hatha Khurd (completed in September 2012) and Doma (completed in October 2012) in Basti and Maharajganj respectively. Due to non-availability of funds, required equipment could not be purchased. As a result, the desired objective was not achieved.	0.26
4.	For construction of nine works at Silk Exchange, Varanasi, ₹ 226.08 lakh was released (March-June 2010) to Processing and Construction Cooperative Federation Limited (PACCFED). Of nine works, four works, valuing ₹ 81.19 lakh, were not taken up (September 2013). Joint physical inspection also revealed that the construction work was still incomplete.	2.26
5.	Without ensuring the title of the land, ₹ 16.43 lakh was released (June 2009) to PACCFED for three borings at silk farms (Tusser Farm in Leduki) in Mirzapur, for creating irrigation facilities in the farm. The funds released to PACCFED was utilised completely. Before awarding the work, however, the title of the land was not ensured by the Sericulture Department. A joint survey conducted (August 2009) by Sericulture, Forest and Revenue Departments indicated that the land was registered as forest land. As a result, the completed borings were not taken over by the Sericulture Department, resulting in non-achievement of the objective of providing irrigation facility to the farm.	0.16
6.	For construction of State level Training Institute at Haraura-Barkachna, Mirzapur, ₹ 296.12 lakh was released (August 2010 and January 2011) by the Director, Sericulture to PACCFED for completion by March 2011. The building of training institute was incomplete (September 2013) even after 25 months from the scheduled date of completion (February 2011). The equipment purchased	3.37

²Survey conducted in 2009.

	(March 2011) for the institute, valuing ₹ 40.52 lakh, were lying idle in Mirzapur office and at Silk Exchange, Varanasi.	
7.	Fencing work of Government Silk Farm, Bhainsakasda, Mirzapur was awarded (January 2011) to PACCFED at ₹ 4.79 lakh for completion upto March 2011. Due to delay on the part of construction of agency, the work was incomplete (September 2013) even after two years from the stipulated date of completion.	0.05
C	Setting up of Agri-marts at Lucknow and Hapur	
8.	SLSC approved (March 2008) the projects for establishment of Agrimarts in Lucknow and Hapur with the objective to provide integrated services and products of agriculture and allied sector under one roof. The project was scheduled to be completed in September 2010. Despite lapse of 3 years from the date of completion and incurring expenditure of ₹ 5.46 crore, only Agrimart at Lucknow could be established. The Agrimart at Hapur was incomplete (September 2013) even after incurring an expenditure of ₹ 2.63 crore. In joint physical verification (July 2013) of Agrimart at Lucknow, it was found that basic facilities ³ for the farmers were not available.	2.63
D	Strengthening and renovation of communication infrastructure at HQRS	
9.	SLSC approved project on 'Strengthening and renovation of communication infrastructure at HQRS' ⁴ under Stream-II, at ₹ 2.45 crore. Accordingly, the GoUP nominated (December 2009) UP Avas Evam Vikas Parishad as construction agency for the project and a MoU was signed (December 2009) with the agency for completion of the project by December 2010. The project, however, was incomplete (March 2013), even after 27 months from the scheduled date of completion of work and incurring expenditure of ₹ 2.16 crore due to delay in site selection procedure of OHT with Geological Survey of India and Archaeological Survey of India.	2.45
E	Minor Irrigation Works (Deep Borings, Medium Deep Tube-wells, Dr. B R Ambedkar tube-wells and Dr. Ambedkar Community Tube-wells)	
10.	Due to non-energisation of tube-wells constructed during 2010-12, 29 (50 per cent) tube-wells costing ₹ 97.88 lakh, out of 58 Dr. BR Ambedkar tube-wells and deep tube-wells, were non- functional (September 2013) in Pratapgarh ⁵ and 550 tube-wells, costing ₹ 624.66 lakh, out of 1,368 Deep Borings, Medium Deep Tube-wells, Dr.BR Ambedkar tube-wells and Dr. Ambedkar Community Tube-wells, were non- functional (September 2013) in Allahabad. Thus, farmers were deprived of the intended benefits of the scheme even after incurring expenditure of ₹ 752.54 lakh by the department. In other districts no information was provided by the MI department.	7.53
Total		18.78

³ Agriculture investments, pesticides, implements, weather information, grading, storage, banking, credit facility etc.

⁴ The work included Strengthening of boundary wall and CC road (₹ 36.16 lakh), construction of overhead tank (₹ 80.00 lakh), repair of outer wall (₹ 69.56 lakh) and supply of electricity (₹ 60.00). UPAVP refunded (August 2012) ₹ 60 lakh to Agriculture Department which was provided (October 2012) to UPPCL.

⁵ Other districts had no information/did not provide information about energisation and status of functionality of tube-wells.

2.2.1

District, Blocks and Gram Panchayats sampled

(Reference: Paragraph no. 2.2.1.4; page 48)

Sl. No.	District	Block	Gram Panchayat
1.	Ambedkarnagar	Akbarpur	Amartal, Bahlolpur, Daudpur, DukharParam Rudaine, Lalapur. Lodipur, Palai Kalyanpur, Rasoolpur Diyara, Satrahi and Vishrampur.
		Tanda	Arsawan, Ashrafpur, Aasopur, Dandi, Duhiya, Fatehpur, Karampur Barsawan, Nasrullahpur, Paikouliya and Sadarpur.
2.	Amroha	Amroha	Adalpur Samdu, Avvalpur, Badshahpur, Daud sarai, Galibbara, Hadipur Kalan, Kayampur Kararnagar, NaugawanTaga, Nazarpur Khurd and Rasoolpur Gawadi.
		Gajraula	Afzalpur Loot, Bhagawanpur Bhud, Bawanpura Mafi, Kankather, Lakhmiya, Lambiya, Latheera, Rahamapur Khalsa, Salempur Gonsai and YakoobPur urf Aagapur.
3.	Budaun	Ambiyapur	Agol, Banbehta, Bans Baroliya, Dando Mar, Haidalpur, Mohammadganj, Musipur, Nagar juna, Shabajpur and Sahaspur.
		Maion	Aanandpur, Bilheri, Biriya Danda, Diyoriya Asguna, Gotra Patti Mukut Halli, Kakrala Taoun Bahar, Katiya, Koda gojar, Naviganj, Rupamai.
		Wajirganj	Agai, Dugo, Gargaiya, Karkatpur, Manwa, Nizampur, Roop Pura, Rota, SahawarKheda and Sursena.
4.	Deoria	Bhagalpur	Adila, Balia Uttar, Bhagalpur, Dhakpura, Gopavapar, Kudaval Hari, Kudaval Tara, Mail, Rewali and Teliya Kala.
		Bhatni	Ahirouli, Basghati, Behra Dabar, Danaur, Jigna Misra, Misrouli Dixhit, Motipur Bhual, Motipur Tikiat, Rupai and Sathiav.
		Salempur	Ahirouli Lala, Banjaria, Banarahi, Dhangadha, Gauri Thakur, Ghusari, Gopalpur, Mujuri Khurd, Siswa Pandey and Tilouli Urf Dehari.
5.	Fatehpur	Airayan	Afoi, Baghaulti, Bahera sadat, Dayalpur, Kahee, Mandwa, Mohammadpur Gaunti, Naksara, Rasulpur Bhandra and Umarpur Gaunti.
		Hathgam	Abdullapur, Badha, Baigown, Ishakpur, Kesawpur Malhaiya, Kisoee, Kotala, Pattisaha, Sithura and Yohan.
		Khajuha	Ajamatpur, Bardara, Barethar Bujurg, Dhaurahara, Himmatpur, Jafargan, Jamrauli, Mauharee, Paradan and Tapnee.
6.	Gonda	Chhapia	Agyamañi, Bauhan, Beerapur, Ghanshyampur Grant, Khalegavon, Khamhariya Bujurg, KhapriPara, Patijiya Khurd, Tambeypur and Tikar.
		Jhanjhari	Babhani Kanongo, Bhgharbuland, Bhamaicha, Gaurava Kanoongo, Jamdara, Janakinagar, Jhanjhari, Mathura Chaube, Sareiya Maffi and Ummedjot.
		Tarabganj	Akbarpur, Bouriha, Boundhaupprahar, Duphediya, Jamtha, Kindhoura, Laxmanpur, Manhana, Sejhiya and Singha Chanda.
7.	Hamirpur	Maudaha	Artara, Bhulasi, Echauli, Kamhariya, Laraund, Lewa, Madarpur, Pipraunda, Rohari and Bigahana.
		Sumerpur	Amirta, Bardaha Sahajana, Baruwa, Chandpurwa Bujurg, Ingohata, Kundaara, Mavaijar, Morakandar, Simnaudi and Terha.
8.	Jhansi	Bangra	Arjar, Bijarwara, Bijna, Dhora, Khisni Bujurg, Nauta, Nawada, Ninaura, Sijaro and Vijay Garh.
		Mauranipur	Aksew, Berwai, Bhadarwara, Churara, Garhwa, Khadarka, Khakora, Kharkamuaf, Rora and Siyawnikhurd.
9.	Kushinagar	Hata	Ahiraully Raja, Barwa Khurd, Batrauli, Gaunar, Kurmauta, Lalipar, Madarha, Paraskhad, Rampur Mishri and Sohasa Patti Gausi.

		Motichak	Ajijnagar, Barawa, Belwa Sudama, Fardaha, Lakshmpur, Mathauly, Mathiya Urf Akataha, Mu. Jamisikatiaya, Puraini and Sikata.
		Sevarahi	Ahirauly Dan, Basdila Gunakar, Basdila Khurd, Ganahiya Pathak, Jagdishpur, Jamsadiya, Jawahi Dayal , Pakadiyar Purabpatti, Patharwa and Sumahi Sant Patti.
10.	Lucknow	Gosaiganj	AdampurMitauli, Bajupur, Bakkas, Gomikhera, Kajikhera, Kapera Madarpur, Kasimpur Biruha, Paharnagar Tikariya, Semrapetpur and Suriyamau.
		Mall	Aantgari Saura, Bhanpur, Chandvara, Godva Baronki, Kolvabhanaura, Mudiyara, Naibasti Bhithaura, Narayanpur, Shahmau Naubasta and Thari.
11.	Maharajganj	Ghughuli	Ahirouli, Basntpur, Belwa Tikar, Bhuwna, Gangrai, Karuta Urf Nebuiya, Karmhi, Khanpur, Pakadiyara bishunpur and Pauhariya.
		Laxmipur	Bahorpur, Baragadwa Bishunpur, Barahara shivnath, Eksadawa, Karailya, Kariala Ajarha, Karmhwa Khurd, Naikot, Sonawal and Surpar.
12.	Mainpuri	Karhal	Ahldapur, Bhatoha, Bhawanipur, Gadanpur, Khera, Muhammadpur Nagaria, Muhabbatpur, Nagla Jat, Sarsai Masumpur and Takhrau.
		Kishani	Alipur Keshavpur, Budhauhi, Chauraipur, Ghutara Masoompur, Jawapur, Kursanda, Kutupur, Laigaon, Sakra and Seengpur.
13.	Mathura	Naujheel	Ahmadpur, Bera, Bhalai, Chinparai, Khajpur, Meerpur, Mitholi, Moi Ud-Dinpur, Seupatti and Sultan patti.
		Raya	Achruldhora, Berbal, Bhakarpur Basela, Chura Hansi, Kakrari, Lalpur Mahavan, Lohvan, Manina Balu, Sherni and Surrka
14.	Rampur	Milak	Babura, Bhawarki, Duganpur, Dulichandpur, Khata Chintaman, Kirpaya Pandey, Mubarkpur, Pashupura, Rajpura and Thiriya Vishnu.
		Swar	Bahapur Ka Majra, Biharinagar, Dheeraj Nagar, Dilari, kumhariya Kala, Kunwarpur Nankar, Muwana, Rajpura Tanda, Sehriya Jawahar and Shahzadnagar.
15.	Varanasi	Arazilines	Barani, Bhatsar, Dhola pur, Dindaspur, Khochawa, Korauti, Narsara, Rakohana, Sarvanpur and Sihorva (uttari).
		Pindara	Aharak, Barvaan, Basantpur, Devji, Garthama, Ghoghari, Kharagpur, Pindra and Ramaipatti Sarai

2.2.2

Summary of year-wise allocation, opening balance, Central and State releases, TAF, expenditure and balance of funds in test-checked 15 districts
(Reference: Paragraph no. 2.2.3; page 55)

(In lakh)

Sl. No.	Year	Allocation	Opening balance	Central release	State release	Other receipt	TAF	Expenditure	Balance
1	2008-09	28,669.75	2,683.05	25,296.05	5,983.59	174.85	34,137.54	28,497.01	5,640.53
2	2009-10	43,902.50	5,870.45	27,648.33	11,590.42	486.15	45,595.34	35,520.10	10,075.24
3	2010-11	42,124.95	10,075.25	31,696.10	8,928.17	268.18	50,967.69	40,888.52	10,079.17
4	2011-12	37,015.20	10,079.18	31,387.24	11,624.55	369.42	53,460.39	40,206.36	13,254.03
5	2012-13	28,892.00	13,254.04	19,098.50	4,554.47	477.67	37,384.67	19,853.05	17,531.61
Total		1,80,604.40	41,961.97	1,35,126.22	42,681.20	1,776.27	2,21,545.63	1,64,965.04	56,580.58

(Source: Figures given by State Government. Figures in the Allocation column is based on physical targets multiplied by assistance admissible under IAY)

TAF: Total Available Funds.

2.2.3

District and year-wise allocation, opening balance, Central and State releases, TAF, expenditure and balance of funds in test-checked 15 districts

(Reference: Paragraph no. 2.2.3; page 55)

(₹ in lakh)

Sl. No.	District	Allocation	Opening balance	Funds Received		Other receipt	Total availability of funds	Expenditure	Balance of funds
				Central share	State share				
2008-09									
1	Ambedkar Nagar	1,431.50	276.38	866.61	201.86	1.15	1,346.00	1,298.20	47.80
2	Amroha	542.35	25.99	432.53	98.99	2.20	559.71	544.94	14.77
3	Budaun	1,456.70	31.83	1,092.44	364.15	0.00	1,488.42	1,488.42	0.00
4	Deoria	4,083.45	421.75	2,858.52	665.82	90.11	4,036.20	4,030.57	5.63
5	Fatehpur	1,102.85	65.00	1,234.66	286.07	1.72	1,587.45	1,524.62	62.84
6	Gonda	3,899.00	225.28	2,960.50	681.13	12.39	3,879.29	2,825.23	1,054.06
7	Hamirpur	319.90	8.16	236.37	52.72	0.89	298.13	288.63	9.50
8	Jhansi	508.20	31.45	359.29	82.66	0.56	473.96	468.37	5.59
9	Kushinagar	8,409.80	1,412.23	9,026.25	2,102.45	41.49	12,582.42	8,380.67	4,201.76
10	Lucknow	1,413.65	35.52	1,037.47	241.65	2.27	1,316.91	1,267.05	49.86
11	Maharajganj	2,213.40	55.02	2,375.60	553.34	6.86	2,990.82	2,951.86	38.96
12	Mainpuri	837.90	26.22	628.44	146.38	0.03	801.07	791.81	9.26
13	Mathura	633.15	25.00	587.05	136.02	2.23	750.30	648.69	101.61
14	Rampur	1,111.25	2.45	842.03	193.73	3.02	1,041.24	1,030.73	10.50
15	Varanasi	706.65	40.77	758.29	176.63	9.94	985.63	957.23	28.40
Total		28,669.75	2,683.05	25,296.05	5,983.59	174.85	34,137.54	28,497.01	5,640.53

2.2.4

District and year-wise allocation, opening balance, Central and State releases, TAF, expenditure and balance of funds in test-checked 15 districts

(Reference: Paragraph no. 2.2.3; page 55)

(₹ in lakh)

Sl. No.	District	Allocation	Opening Balance	Funds Received		Other Receipt	Total availability of Funds	Expenditure	Balance of Funds
				Central Share	State Share				
2009-10									
1	Ambedkar Nagar	1,291.50	47.80	926.68	393.88	1.91	1,370.27	1,363.59	6.68
2	Amroha	650.55	14.77	444.69	193.42	2.66	655.54	630.28	25.26
3	Budaun	2,819.95	229.92	2,134.36	652.55	0.00	3,016.83	2,990.98	25.85
4	Deoria	5,160.75	5.63	3,020.67	1,297.52	9.54	4,333.36	4,318.42	14.94
5	Fatehpur	2,215.15	62.84	1,306.77	557.21	0.78	1,927.59	1,819.88	107.72
6	Gonda	4,100.25	1,054.06	3,075.15	1,321.61	32.68	5,483.50	4,670.48	813.02
7	Hamirpur	426.30	9.50	247.41	107.42	0.82	365.16	349.43	15.74
8	Jhansi	645.40	5.59	375.09	161.51	1.52	543.71	498.14	45.57
9	Kushinagar	16,281.30	4,201.76	9,492.12	4,107.65	385.79	18,187.32	9,462.11	8,725.21
10	Lucknow	1,577.45	49.86	1,103.89	468.89	16.99	1,639.62	1,543.05	96.57
11	Maharajganj	4,285.05	38.96	2,527.68	1,064.02	14.43	3,645.09	3,626.62	18.47
12	Mainpuri	908.60	9.26	676.55	287.03	1.43	974.27	941.12	33.15
13	Mathura	963.90	101.61	621.33	266.37	4.85	994.16	909.30	84.86
14	Rampur	1,190.70	10.50	885.07	371.69	11.05	1,278.32	1,216.97	61.35
15	Varanasi	1,385.65	28.40	810.86	339.65	1.69	1,180.60	1,179.75	0.85
Total		43,902.50	5,870.45	27,648.33	11,590.42	486.15	45,595.34	35,520.10	10,075.24

2.2.5

District and year-wise allocation, opening balance, Central and State releases, TAF, expenditure and balance of funds in test-checked 15 districts

(Reference: Paragraph no. 2.2.3; page 55)

(₹ in lakh)

Sl. No.	District	Allocation	Opening Balance	Funds Received		Other Receipt	Total availability of Funds	Expenditure	Balance of Funds
				Central Share	State Share				
2010-11									
1	Ambedkar Nagar	1,384.20	6.68	1,041.87	349.32	2.72	1,400.59	1,380.02	20.57
2	Amroha	694.80	25.26	510.95	170.32	5.67	712.20	661.80	50.40
3	Budaun	2,411.55	25.85	1,808.85	584.70	0.00	2,419.40	2,411.55	7.85
4	Deoria	4,582.35	14.94	3,436.63	916.45	23.13	4,391.15	4,378.39	12.76
5	Fatehpur	1,968.75	107.72	1,476.52	397.61	2.34	1,984.19	1,976.12	8.07
6	Gonda	4,687.65	813.02	3,515.62	946.64	30.26	5,305.54	3,715.54	1,590.00
7	Hamirpur	842.85	15.74	633.09	158.81	1.46	809.09	705.16	103.93
8	Jhansi	1,215.00	45.58	949.05	225.35	3.71	1,223.69	1,171.95	51.74
9	Kushinagar	14,468.40	8,725.21	10,851.04	2,894.50	143.11	22,613.85	14,906.03	7,707.82
10	Lucknow	1,654.20	96.57	1,247.29	400.02	8.96	1,752.84	1,593.71	159.13
11	Maharajganj	3,703.05	18.47	2,856.04	755.59	13.29	3,643.39	3,513.12	130.27
12	Mainpuri	1,012.05	33.15	755.53	252.46	5.36	1,046.50	955.90	90.60
13	Mathura	936.00	84.86	702.05	228.75	3.42	1,019.08	891.26	127.82
14	Rampur	1,348.65	61.35	999.92	343.59	17.43	1,422.29	1,404.22	18.07
15	Varanasi	1,215.45	0.85	911.65	304.06	7.33	1,223.89	1,223.76	0.13
Total		42,124.95	10,075.25	31,696.10	8,928.17	268.18	50,967.69	40,888.52	10,079.17

2.2.6

District and year-wise allocation, opening balance, Central and State releases, TAF, expenditure and balance of funds in test-checked 15 districts

(Reference: Paragraph no. 2.2.3; page 55)

(₹ in lakh)

Sl. No.	District	Allocation	Opening balance	Funds received		Other receipt	Total availability of funds	Expenditure	Balance of funds
				Central share	State share				
2011-12									
1	Ambedkar Nagar	1,422.45	20.57	1,066.73	355.58	6.88	1,449.76	1,436.04	13.72
2	Amroha	697.50	50.40	523.14	174.38	7.70	755.62	686.08	69.54
3	Budaun	2,565.90	7.86	1,924.29	555.77	0.00	2,487.92	2,480.06	7.86
4	Deoria	4,722.30	12.76	3,515.88	1,301.61	35.27	4,865.52	3,926.65	938.87
5	Fatehpur	2,015.55	8.07	1,511.75	602.35	4.39	2,126.56	1,992.66	133.90
6	Gonda	4,799.25	1,590.00	3,599.49	1,434.21	46.51	6,670.21	5,840.28	829.93
7	Hamirpur	8,51.85	103.93	289.00	148.34	2.81	544.08	502.45	41.64
8	Jhansi	582.30	51.74	436.85	237.00	3.69	729.28	626.32	102.96
9	Kushinagar	10,179.00	7,707.82	11,000.77	4,064.07	214.90	2,2987.56	13,269.86	9,717.71
10	Lucknow	1,765.35	159.13	1,277.05	444.67	7.04	1,887.89	1,822.71	65.17
11	Maharajganj	3,221.10	130.27	2,924.18	1,188.22	13.68	4,256.35	3,404.41	851.94
12	Mainpuri	1,033.65	90.60	770.66	256.89	6.55	1,124.70	1,047.17	77.53
13	Mathura	787.05	127.82	590.29	202.43	7.66	928.20	859.43	68.77
14	Rampur	1,127.25	18.08	1,023.77	341.26	8.96	1,392.07	1,066.31	325.76
15	Varanasi	1,244.70	0.13	933.39	317.78	3.38	1,254.68	1,245.94	8.74
Total		37,015.20	10,079.18	31,387.24	11,624.55	369.42	53,460.39	40,206.36	13,254.03

2.2.7

District and year wise allocation, opening balance, Central and State releases, TAF, expenditure and balance of funds in test checked 15 districts

(Reference: Paragraph no. 2.2.3; page 55)

(₹ in lakh)

Sl. No.	District	Allocation	Opening balance	Funds Received		Other Receipt	Total availability of funds	Expenditure	Balance of funds
				Central share	State share				
2012-13									
1	Ambedkar Nagar	1,084.95	13.72	819.29	273.10	7.94	1,114.05	1,082.92	31.13
2	Amroha	1,009.80	69.54	779.88	259.95	6.04	1,115.41	903.26	212.15
3	Budaun	1,035.00	7.86	1,017.13	339.04	85.26	1,449.29	1,229.96	219.33
4	Deoria	393.30	938.87	899.74	276.26	155.10	2,269.97	414.83	1,855.14
5	Fatehpur	2,917.80	133.90	2,254.69	399.66	9.44	2,797.69	2,573.54	224.15
6	Gonda	4,741.65	829.93	2,764.47	687.34	67.53	4,349.26	3,430.01	919.25
7	Hamirpur	578.70	41.64	433.76	144.58	3.28	623.26	548.16	75.09
8	Jhansi	842.85	102.96	622.11	206.75	10.15	941.97	767.96	174.01
9	Kushinagar	8,264.00	9,717.71	2,349.00	0	0	12,066.71	0	12,066.71
10	Lucknow	2,516.40	65.17	1,902.02	634.01	14.08	2,615.28	2,196.00	419.28
11	Maharajganj	1,648.80	851.94	1,675.14	249.27	68.41	2,844.75	2,461.32	383.43
12	Mainpuri	1,493.10	77.53	1,119.83	373.28	12.53	1,583.17	1,158.02	425.15
13	Mathura	59.85	68.77	406.07	26.17	10.23	511.24	315.12	196.12
14	Rampur	504.00	325.76	662.67	220.92	20.36	1,229.71	970.15	259.56
15	Varanasi	1,801.80	8.74	1,392.70	464.14	7.33	1,872.91	1,801.80	71.11
Total		28,892.00	13,254.04	19,098.50	4,554.47	477.67	37,384.67	19,853.05	17,531.61

2.2.8

Delayed release of State share

(Reference: Paragraph no. 2.2.3.1; page 55)

Sl. No.	District	Year	State share delayed by	
			Minimum days	Maximum days
1	Ambedkar Nagar	2008-09	32	0
		2009-10	109	240
		2010-11	62	0
		2011-12	0	0
2	Amroha	2008-09	0	0
		2009-10	0	0
		2010-11	0	0
		2011-12	22	0
3	Budaun	2008-09	6	31
		2009-10	11	273
		2010-11	20	234
		2011-12	10	294
4	Deoria	2008-09	10	-
		2009-10	14	121
		2010-11	24	78
		2011-12	2	127
5	Fatehpur	2008-09	35	43
		2009-10	20	112
		2010-11	50	84
		2011-12	9	257
		2012-13	69	376
6	Gonda	2009-10	18	0
		2010-11	35	329
		2011-12	30	62
		2011-12	50	236
7	Hamirpur	2008-09	0	0
		2009-10	0	0
		2010-11	7	192
		2011-12	8	187
		2012-13	4	113
8	Kushinagar	2008-09	16	27
		2009-10	87	0-
		2010-11	49	84
		2011-12	65	137
9	Jhansi	2008-09	18	40
		2009-10	58	105
		2010-11	0	0

		2011-12	10	3
10	Lucknow	2008-09	13	75
		2009-10	107	279
		2010-11	2	83
		2011-12	9	274
		2012-13	20	179
11	Maharajganj	2008-09	6	31
		2009-10	13	109
		2010-11	25	57
		2011-12	9	149
12	Mainpuri	2008-09	42	120
		2009-10	55	167
		2010-11	63	120
		2011-12	35	155
13	Mathura	2008-09	39	355
		2009-10	38	344
		2010-11	28	206
		2011-12	53	245
14	Rampur	2008-09	153	252
		2009-10	296	0
		2010-11	24	93
		2011-12	11	192
		2012-13	78	137
15	Varanasi	2008-09	6	31
		2009-10	6	68
		2010-11	12	78
		2011-12	91	180

2.2.9

Houses sanctioned to the Ambedkar and Lohia villages

(Reference: Paragraph no. 2.2.3.3; page 56)

Sl. No.	District	Name of scheme	No. of IAY houses sanctioned	Period
1	Amroha	Ambedkar Gram Yojna	1,368	2008-12
		Lohiya Gram Yojna	38	2012-13
2	Deoria	Ambedkar Gram Yojna	1,204	2010-11
		Lohiya Gram Yojna	42	2012-13
3	Gonda	Ambedkar Gram Yojna	2,077	2009-12
		Lohiya Gram Yojna	1,550	2012-13
4	Hamirpur	Lohiya Gram Yojna	871	2012-13
		Ambedkar Gram Yojna	1,629	2008-12
5	Kushinagar	Ambedkar Gram Yojna	700	2010-11
6	Mainpuri	Ambedkar Gram Yojna	1,344	2008-12
		Lohiya Gram Yojna	731	2012-13
7	Rampur	Ambedkar Gram Yojna	2,549	2008-12
		Lohiya Gram Yojna	107	2012-13
8	Varanasi	Ambedkar Gram Yojna	2,542	2009-12
		Lohiya Gram Yojna	1,000	2012-13
Total			17,752	

(Source: Information provided by the districts)

2.2.10

District level VMCs meetings

(Reference: Paragraph no. 2.2.5.2; page 65)

Sl. No.	District	Year	No of VMC meetings to be held	No. of VMC meetings held
1	Ambedkar Nagar	2008-09	4	1
		2009-10	4	1
		2010-11	4	1
		2011-12	4	1
		2012-13	4	1
2	Amroha	2008-09	4	NA
		2009-10	4	NA
		2010-11	4	NA
		2011-12	4	NA
		2012-13	4	NA
3	Budaun	2008-09	4	NA
		2009-10	4	NA
		2010-11	4	NA
		2011-12	4	NA
		2012-13	4	NA
4	Deoria	2008-09	4	0
		2009-10	4	0
		2010-11	4	0
		2011-12	4	0
		2012-13	4	0
5	Fatehpur	2008-09	4	1
		2009-10	4	2
		2010-11	4	2
		2011-12	4	0
		2012-13	4	2
6	Gonda	2008-09	4	1
		2009-10	4	1
		2010-11	4	0
		2011-12	4	1
		2012-13	4	0
7	Hamirpur	2008-09	4	1
		2009-10	4	0
		2010-11	4	1
		2011-12	4	1
		2012-13	4	1
8	Jhansi	2008-09	4	0
		2009-10	4	0

		2010-11	4	0
		2011-12	4	0
		2012-13	4	1
9	Kushinagar	2008-09	4	1
		2009-10	4	1
		2010-11	4	1
		2011-12	4	0
		2012-13	4	1
10	Lucknow	2008-09	4	0
		2009-10	4	1
		2010-11	4	1
		2011-12	4	2
		2012-13	4	1
11	Maharajganj	2008-09	4	0
		2009-10	4	0
		2010-11	4	0
		2011-12	4	0
		2012-13	4	1
12	Mainpuri	2008-09	4	1
		2009-10	4	1
		2010-11	4	1
		2011-12	4	1
		2012-13	4	1
13	Mathura	2008-09	4	1
		2009-10	4	1
		2010-11	4	1
		2011-12	4	1
		2012-13	4	1
14	Rampur	2008-09	4	0
		2009-10	4	0
		2010-11	4	0
		2011-12	4	0
		2012-13	4	0
15	Varanasi	2008-09	4	2
		2009-10	4	1
		2010-11	4	1
		2011-12	4	1
		2012-13	4	1
		Total	300	46

2.2.11

List of records to be maintained at various levels*(Reference: Paragraph no. 2.2.5.9; page 69)*

Name of the Register	Purpose of the Records	Authority responsible for maintenance
Cash Book	For recording financial transactions	Project Director (PD), Block Development Officer (BDO) and Gram PanchayatAdhikari.
Journal	For each entry of the transactions	Project Director, BDOs and GPs.
Ledger	For recording daily transactions	Project Director, BDOs and GPs.
Cheque issue register	For issue of cheques	Project Director, BDOs and GPs.
Register of cheque books	For recording receipt of cheque books	Project Director, BDOs and GPs.
Register of receipt book	For recording all kind of receipts	Project Director, BDOs and GPs.
Register of advances to implementing agencies	For recording advances to implementing agencies and adjustments	Project Director, BDOs and GPs.
Register to review expenditure against budget allotment	Expenditure pattern	Project Director, BDOs and GPs.
Recovery register	For recording recoveries	Project Director, BDOs and GPs.
Assets register	List of assets created with their value	GPs.
Convergence progress register	For recording items of convergence	Project Director and GPs.
Schedule of inspection register	Inspection schedules and inspections done	Project Director and BDOs.

2.2.12

Results of beneficiary survey

(Reference: Paragraph no. 2.2.6; page 71)

Sl. No.	Title of observation	District (No.)	Blocks (No.)	GPs (No.)	Beneficiaries (No.)	Survey findings
Basic details						
1	House sanctioned in the name of male members instead of female member	15	35	235	974	974 houses were sanctioned to male beneficiaries. However, 930 of these households had female members in their family.
2	IAY BPL beneficiary with APL ration card	15	34	234	1023	1,023 BPL beneficiaries had APL ration cards.
3	Ineligible beneficiary provided with IAY house	4	4	4	9	9 beneficiaries already having pucca houses were provided IAY house.
4	Ongoing construction of houses	12	25	77	513	513 houses were found to be under construction, however as per records, only 335 houses were under construction.
5	Abandoned houses	4	9	29	125	125 houses were found to be abandoned, however records showed only five houses abandoned.
6	Inspection of house carried out	15	32	231	2,091	2,091 houses were not inspected.
7	Display of IAY logo	15	35	315	2,925	IAY logo was not displayed in 2,925 houses and 57 beneficiaries were not aware about logo.
8	DRI loans	15	35	321	3,126	3,126 beneficiaries were not aware about DRI loan.
Homestead sites						
1	Homestead sites	15	35	321	3,126	None of the surveyed beneficiaries had homestead sites under the scheme.
Identification and construction of houses						
1	IAY waitlist awareness	14	30	233	2,102	2,102 beneficiaries were not aware about IAY waitlist.
2	Sanction as per priority list	10	18	119	1,072	1,072 beneficiaries reported that allotment was not made as per priority in waitlist.
3	Waitlist preparation and deliberation of Gram Sabha	14	31	259	2,332	2,332 beneficiaries were not aware about the method of preparation of waitlist and Gram Sabha deliberation.
4	Low cost and disaster resistant technology	15	35	321	3,126	3,126 beneficiaries were not aware about innovative material, procurement of low cost material, construction design of house, cost effective technology, and disaster resistant technology.

Facility at home						
1	Kitchen facilities	15	35	321	3,019	3,019 houses did not have kitchen. 3,101 houses did not have smokeless chulha. 1,454 houses did not have ventilation in the kitchen.
		15	35	321	3,101	
		11	25	203	1,454	
2	Sanitary latrine	15	34	299	2,732	2,732 houses did not have toilet. 1,690 stated lack of fund was the reason for not having toilet.
3	Support from TSC	15	35	321	3,080	3,080 beneficiaries stated that no support from government scheme like TSC was received.
4	Electric facility	15	35	316	2,977	2,977 houses did not have electric supply in the house. 3,084 beneficiaries stated that no support from any government scheme like RGGVY was received for electric supply.
5	Drainage facility	13	29	252	2,184	2,184 houses did not have drainage facility.
6	Water facility	15	35	321	3,120	3,120 beneficiaries did not get safe drinking water facilities under NRWSP.
7	<i>Jan Shri Bima and Aam Admi Bima</i>	15	35	321	3,126	3,126 beneficiaries did not receive assistance under LIC- <i>Jan Shree Bima or Aam Admi Bima</i> .

2.3.1

List of Department*(Reference: Paragraph no. 2.3.1; page 74)*

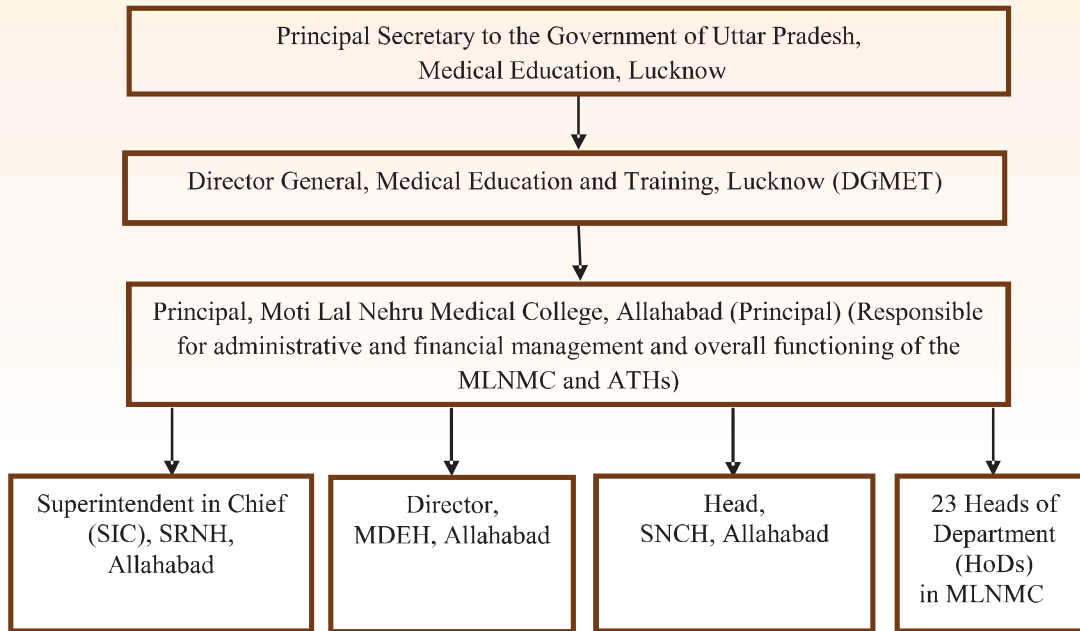
Sl. No.	Name of the Department
1	Anaesthesia
2	Anatomy
3	Bio-chemistry
4	Cardiology
5	Community Medicine (SPM)
6	Dentistry
7	Ear Nose Throat (ENT)
8	Forensic Medicine
9	Gastroenterology
10	Medicine
11	Microbiology
12	Obstetrics and Gynaecology
13	Ophthalmology
14	Orthopaedics
15	Paediatrics
16	Pathology
17	Pharmacology
18	Pharmacy
19	Physiology
20	Post partum
21	Radio Diagnosis
22	Radio Therapy
23	Skin and Venereal Diseases
24	Surgery
25	Tuberculosis and Chest

(Source: MLNMC)

2.3.2

Organisational Structure

(Reference: Paragraph no. 2.3.2; page 74)



(Source: MLNMC)

2.3.3

List of Courses

(Reference: Paragraph no. 2.3.8; page 76)

Name of the Course	No. of Seats	Status
Graduate Level Courses	100	
Bachelor of Medicine and Bachelor of Surgery (MBBS)	100	Recognised
Post Graduate Level Courses (Recognised)	63	
Doctor of Forensic Medicine (MD)	01	Recognised
Doctor of <i>Physiology</i> (MD)	02	Recognised
Doctor of Pathology (MD)	05	Recognised
Doctor of Pharmacology (MD)	02	Recognised
Doctor of Community Medicine (MD)	02	Recognized
Doctor of Anaesthesia (MD)	05	Recognised
Doctor of Medicine (MD)	10	Recognised
Doctor of <i>Paediatrics</i> (MD)	03	Recognised
Doctor of T B & chest (MD)	02	Recognised
Doctor of Radio Therapy (MD)	01	Unrecognised
Doctor of Radio Diagnosis (MD)	01	Unrecognised
Master of Surgery (MS)	11	Recognised
Master of <i>Obstetrics & Gynaecology</i> (MS)	07	Recognised
Master of <i>Orthopedics</i> (MS)	03	Recognised
Master of Ophthalmology (MS)	03	Recognised
Master of <i>Anatomy</i> (MS)	02	Recognised
Master of ENT (MS)	03	Recognised
Master of SPM (MD)	02	Recognised
Super Specialization Courses	01	
DM - Gastroenterology (DM)	01	Recognised
Post Graduate Diploma Courses	14	
Diploma in Orthopaedics (DO)	03	Unrecognised
Diploma in Child Health (DCH)	05	Unrecognised
Diploma in Obstetrics & Gynaecology (DGO)	06	Unrecognised

(Source: MLNMC)

2.3.4

Shortfall in nursing cadre vis-à-vis MCI norms and the GoUP's sanctions

(Reference: Paragraph no. 2.3.8.7; page 81)

Sl. No.	ATH	Name of the posts	MCI norms	Total number of beds	MCI norms	GoUP sanction (in per cent) vis-à-vis MCI norms	Persons-in-position	Shortfalls (in per cent) vis-à-vis	
								GoUP's sanction	MCI norms
1	2	3	4	5	6	7	8	9	10
1	SRNH	Nursing Superintendent	1:150 beds	852	7	2 (29)	1	1 (50)	6 (86)
	SNCH			120					
2	SRNH	Assistant Nursing Superintendent	1:150 beds+ 1 additional post on each 50 additional beds	852	16	Nil	Nil	Nil	16 (100)
	SNCH			120					
3	SRNH	Departmental Sister	1:150 Beds+ 1 additional post on each 50 additional beds	852	20	Nil	Nil	Nil	20 (100)
	SNCH			120					
4	SRNH	Ward Sister	1:25 per shift	852	133	48 (36)	29	19 (40)	104 (78)
	SNCH			120					
5	SRNH	Staff Nurses	1:150 beds	852	574	123 (21)	107	16 (13)	467 (81)
	SNCH		1:1 for all ICCUs	120					
Total					750	173 (23)	137	36 (21)	613 (82)

(Source: SRNH)

2.3.5 Department wise, Consultant wise number of IPD patients

(Reference: Paragraph no. 2.3.9.1; page 82)

Sl. No.	Name of the Consultant	Name of the Department	May11	August 2011	September 2012	March 2013
			Number of IPD patients			
1	S.P. Singh	Ophthalmology	213	220	198	1,204
2	M.P. Tandon	Ophthalmology	18	35	20	51
3	Kamaljeet Singh	Ophthalmology	73	25	70	304
4	Mayank Srivastava	Ophthalmology	8	3	16	147
5	Aparajita Chaudhry	Ophthalmology	20	24	0	298
6	Santosh Kumar	Ophthalmology	19	38	36	296
7	Jagriti Rana	Ophthalmology	8	2	10	0
8	Kshama Dwivedi	Ophthalmology	7	Leave	10	78
Total			366	347	360	2,378
1	D.C. Srivastava	Orthopaedics	60	110	122	135
2	K. Dwivedi	Orthopaedics	54			
4	A.K. Verma	Orthopaedics	32			43
3	Vikram Nigam	Orthopaedics	28	30	28	38
5	Vikas Verma	Orthopaedics	24	10	30	
Total			198	150	180	216
1	Manisha Dwivedi	Gastroenterology	54	50	75	88
2	S.P. Mishra	Gastroenterology	43	30	35	19
3	Alok Mishra	Gastroenterology	37	45	50	
Total			134	125	160	107
1	Mangal Singh	ENT	40	20	64	51
2	Sachin Jain	ENT	25	50	60	26
3	Mohd. Aftab	ENT	35	4	21	26
4	Ashutosh Rai	ENT	23	16	22	17
Total			123	90	167	120
1	Tariq Mahmood	T.B. and Chest	30	30	13	51
2	Alok Chandra	T.B. and Chest	20	30	18	15
3	A.D. Shukla	T.B. and Chest			0	21
4	R. L. Agarwal	T.B. and Chest	20			
Total			70	60	31	87
1	Ragini Mehrotra	Gyneacology	35	25	51	41
2	Meena Dayal	Gyneacology	58	25	48	41
3	Amrita Chaurasia	Gyneacology	27	65	53	27
4	Veena Gupta	Gyneacology	20	75	40	63
5	Urvashi Singh	Gyneacology	32	20	63	44
6	Ramona Parihar	Gyneacology	20	25	35	38
7	GauriGanguly	Gyneacology	44	35		
Total			236	270	290	254
1	S.P.S. Chauhan	Surgery	35	50	44	32
2	Vikas Sachdeva	Surgery	5	14	10	7
3	Dileep Chaurasia	Surgery	13	40	39	46
4	Shabi Ahmad	Surgery	23	60	56	36
5	Prabol Niyogi	Surgery	20	30	42	33

6	N. N. Gopal	Surgery	126	45	47	46
7	Siddharth	Surgery	44	40	69	33
8	V. K. Pandey	Surgery	33	45	56	49
9	Mohit Jain	Surgery	108	60	57	66
10	R. J. Siddiqui	Surgery	7	15	10	18
11	Vaibhav Srivastava	Surgery	23	5	37	25
12	Anil Saroj	Surgery	41	42	48	36
13	Dinesh Kumar	Surgery	50	20	25	24
14	J. K. Singh	Surgery	46	5	0	0
15	R. K. Chaudhry	Surgery	25	35	24	27
16	Sanjai Singh	Surgery	25	25	34	39
17	Mayank Singh	Surgery	30	30	49	38
18	Rahul Singh	Surgery	35	50	44	23
Total			689	611	691	578
1	Sarita Bajaj	Medicine	46	46	92	45
2	N. C. Dwivedi	Medicine	60	68	72	0
3	Arvind Gupta	Medicine	42	42	81	81
4	Poonam Gupta	Medicine	42	58	77	59
5	Manoj Mathur	Medicine	126	128	146	131
6	Peeyush Saxena	Medicine	0	0	0	0
7	Rakesh Yadava	Medicine	48	44	60	119
8	Anubha Srivastava	Medicine	68	87	59	145
9	Kamlesh Sonkar	Medicine	52	60	76	83
10	Sujit Verma	Medicine	62	79	79	130
11	A.K. Chaurasia	Medicine	58	54	91	171
12	U. C. Goyal	Medicine	0			
13	S. K. Shukla	Medicine	35	40		
Total			639	706	833	964
1	P. C. Saxena	Cardiology	300	386	423	351
2	Piyush Saxena	Cardiology	349	500	536	490
Total			649	886	959	841
1	K. G. Singh	Skin and VD	3	2	1	2
2	Sudha Yadava	Skin and VD	2	2	1	2
Total			5	4	2	4
1	P. C. Mishra	Paediatrics	92	45	124	47
2	Ruchi Rai	Paediatrics	119	85	128	146
3	Manisha	Paediatrics	84	150	285	99
4	Anubha Srivastava	Paediatrics	96	145	0	29
5	C. M. Pandey	Paediatrics	79	120	137	109
6	J. K. Singh	Paediatrics	0	0	44	23
7	Dhanesh Agrahari	Paediatrics	0	0		
8	H. Rahman	Paediatrics	0	0		
9	D.K. Singh	Paediatrics		50	147	
Total			470	595	865	453

(Source: MLNMC)

2.3.6**Details of ambulance journey of
Swaroop Rani Nehru Hospital**
(Reference: Paragraph no. 2.3.9.5; page 84)

Year	Detail of Journey		
	No. of journey performed for carrying patient	No. of journey performed for administrative work	Total no. of journey performed
2008-09	02	30	32
2009-10	04	35	39
2010-11	16	276	292
2011-12	07	238	245
2012-13	13	318	331
Total	42	897	939

(Source: SRNH)

2.3.7

Details of Services required in Operation Theatre Unit*(Reference: Paragraph no. 2.3.9.7; page 85)*

Sl. No.	Description of Service
1	Waiting room for patients
2	Pre-anaesthetic/preparation room of at least 4 beds
3	Operation theatre
4	Post-operative recovery room (minimum of 6 beds)
5	Soiled Linen room
6	Instrument room
7	Sterilisation room
8	Nurses' room
9	Surgeon's and anaesthetist's room (separate for male and female)
10	Assistant's room
11	Observation gallery for students
12	Store-room
13	Washing room for Surgeons and Assistants
14	Students-washing and dressing up room

(Source: MCI norms for 100 admissions Regulations, 1999)

2.3.8

Details of equipment required to fulfill MCI norms

(Reference: Paragraph no. 2.3.10.1; page 89)

Sl. No.	Department	Total no. of equipment	Total Cost (₹ in crore)
1	Orthopaedics	25	1.31
2	Forensic Medicine	195	0.31
3	Gastroenterology	13	1.03
4	Physiology	18	0.07
5	Microbiology	36	0.06
6	Pharmacology	322	0.22
7	Ophthalmology	8	0.83
8	Skin and VD	6	0.13
9	Medicine	48	0.91
10	Cardiology	51	0.69
11	ENT	5	0.57
12	Pathology	262	0.10
13	Gynaecology	81	0.37
14	Anesthesia	16	0.61
15	Radio Diagnosis	2	0.55
16	Radiotherapy	3	0.85
17	TB and Chest	13	0.13
18	Dental Surgery	2	0.10
19	Surgery	31	1.03
20	Psychiatry	16	0.05
21	Blood Bank	86	0.14
22	Medical College and associated hospital	741	0.49
23	Boys and Girls hostel	1	0.10
24	RO Room	19	0.33
Total		2,000	10.98

(Source: SRNH)

2.3.9

Details of equipment required in Manohar Das Eye Hospital (Proposal sent by Principal, MLNMC - August 2012)

(Reference: Paragraph no. 2.3.10.2; page 89)

(₹ in lakh)			
Sl. No.	Name of Sub Speciality	Name of Instrument	Cost of equipment
1	Neurophthalmology	Complete Ophthalmic Unit	6.50
		Full fledged operational Electrophysiology Unit (ERG/EOG/VEP mf REG)	60.00
2	Glaucoma Unit	Ophthalmic Unit with Applanation Tonometer	6.50
		HRT	24.00
		Gonio Lens, 4 mirror and 2 mirror	0.75
		Field Analyser (Full Field)	13.00
3	Retina Unit	Video Indirect Ophthalmoscope	15.00
		FFA/ICG	25.00
		Complete Ophthalmic Unit	6.50
		Microscope with Biome, x-y coupling	80.00
		Indirect Assisted Laser Unit	6.00
		I.O.L. Master	22.00
4	Low Visual Aids	Low Visual Aids trial box	1.50
		Prism trial box	0.50
		CCTV	3.50
5	Cornea Clinic	Lamellar Hood	1.50
		Specular Microscope	10.00
		Pachymeter	5.00
		Keratometer	0.30
		Lasik	400.00
		OBS OPS Scan	10.00
6	Paediatrics Unit	Hand held keratometer	1.25
		Hand held auto ref.	4.00
		Hand held slit lamp	3.50
		Paediatric vision acuity balancing card	3.50
		Countrace sensitivity	0.20
		PV 16 (text)	0.20
		R.F. Rules	0.10
7	Squint Unit	Hess Screen	0.80
		Synaptophore	1.25
		Contract sensitivity device	7.00
		Loose Prism Complete	0.40
		Prism Bar Horizontal	0.20
		Prism Bar Vertical	0.20
		Fersnel Prism 4D, 3D & 2D	0.25
8	Oculoplasty Unit	DCR Laser	6.50
		Elman's Caurtery	35.00
Total			761.90

(Source: MDEH)

2.3.10 Non-functional equipment in departments

(Reference: Paragraph no. 2.3.10.3; page 89)

Sl. No.	Department	Equipment			Year since when not working and reasons
		Number/name	Year of purchase	Cost (₹ in lakh)	
1	Ophthalmology	Six equipment including B scan	Prior to 2008 & 2011	41.61 (5 equipment)	One since 2006, one since 2010 and one since 2013. Not available in respect of three. Due to non-availability of spare parts and poor quality of images.
2	Pathology	One Bio Chemistry Analyser	2008	12.12	Since 2012. Due to technical fault.
3	Bio-chemistry	Six equipment including RA Bio Chemistry	Prior to 2008	4.54	05 since 2011 and one since 2012. Due to technical fault.
4	Gastroenterology	Six equipment including Fibroptic endoscope	NA	16.49	Not Available. Unserviceable.
5	Paediatrics	21 exercise machines	Prior to 2012	5.98 (8 equipment)	One since 2004, one since 2005, one since 2006, six since 2008, four since 2010, five since 2011 and three since 2012. Reasons not recorded in the stock register.
6	Radiology	Three equipment including mammography	Prior to 2008	21.56	One since 2006, one since 2008 and one since 2010. Control panel and tube were not working.
7	Surgery	13 equipment including Light Source	Prior to 2008	46.74	Two since 2009, six since 2010, two since 2011 and three since 2012. Reasons not recorded in the stock register.
Total		56		149.04	

(Source: MLNMC and three ATHs)

2.3.11 List of equipments purchased under the scheme for increasing P.G. Seats

(Reference: Paragraph no. 2.3.13.1; page 93)

Sl. No.	Name of Course	Name of equipment	Quantity	Cost of equipment purchased other than proposal (₹ in lakh)	Cost of equipment purchased as per proposal (₹ in lakh)		
1	Anaesthesia	Defibrillator	2	13.55	--		
		Syringe Infusion Pump	2	--	1.20		
		Infant Mannequin	1	8.93	--		
		Airway Management Mannequin	1	--	3.78		
		CRP Mannequin	1	3.67	--		
		Invasive Nerve Stimulator	1	--	1.52		
		Peripheral Nerve Stimulator	1	--	1.36		
		Automatic Bio-chemistry Analyser	1	22.99	--		
		Sub Total		49.14	7.86		
2	Paediatrics	Infant Mannequin	1	8.93	--		
		PhotoTherapy Unit	2	1.47	--		
		Neonatal CPAP	1	2.29	--		
		Syringe Infusion Pump	6	3.59	--		
		Pulse Oximeter	3	3.78	--		
				Sub Total		20.06	Nil
3	Obstetrics & Gynaecology	O.T. Table	1	--	3.02		
		Labour Table	1	--	9.65		
				Sub Total		--	12.67
4	Pathology	Vertical Autoclave	1	2.36	--		
		Cross Matching Machine with Card	1	3.13	--		
		CBC counter	1	6.62	--		
				Sub Total		12.11	Nil
5	S.P.M.	Ice Refrigerator	1	11.01	--		
				Sub Total		11.01	Nil
6	Surgery	High Frequency Mobile X-Ray Unit	1	18.00	--		
				Sub Total		18.00	Nil
				Grand Total		110.32	20.53

(Source: MLNMC)

2.3.12

Overall year-wise details of allotment and expenditure
(Reference: Paragraph no. 2.3.14.1; page 97)

(₹ in lakh)

Name of the Unit	REVENUE			CAPITAL		
	Allotment	Expenditure	Savings	Allotment	Expenditure	Savings
2008-09						
MLNMC	1,593.60	1,559.64	33.96	207.57	207.54	0.03
SRNH	1,070.37	998.34	72.03	15.00	14.99	0.01
SNCH	65.95	63.48	2.47	0.00	0.00	0.00
MDEH	21.52	20.19	1.33	0.00	0.00	0.00
Total	2,751.44	2,641.65	109.79	222.57	222.53	0.04
2009-10						
MLNMC	2,536.28	2,499.78	36.50	767.00	582.87	184.13
SRNH	1,188.07	1,180.17	7.90	15.00	15.00	0.00
SNCH	68.95	59.01	9.94	0.00	0.00	0.00
MDEH	10.95	9.24	1.71	0.00	0.00	0.00
Total	3,804.25	3,748.20	56.05	782.00	597.87	184.13
2010-11						
MLNMC	3,059.95	3,000.51	59.44	1,578.96	1,485.08	93.88
SRNH	1,315.31	1,274.57	40.74	15.00	14.92	0.08
SNCH	71.10	69.25	1.85	0.00	0.00	0.00
MDEH	12.70	12.21	0.49	0.00	0.00	0.00
Total	4,459.06	4,356.54	102.52	1,593.96	1,500.00	93.96
2011-12						
MLNMC	3,384.45	3,376.23	8.22	476.50	472.85	3.65
SRNH	1,588.98	1,590.83	-1.85	15.00	12.70	2.30
SNCH	64.70	51.88	12.82	0.00	0.00	0.00
MDEH	15.20	13.53	1.67	0.00	0.00	0.00
Total	5,053.33	5,032.47	20.86	491.50	485.55	5.95
2012-13						
MLNMC	3,485.69	3,472.81	12.88	636.65	593.33	43.32
SRNH	1,923.34	1,911.52	11.82	15.00	14.97	0.03
SNCH	59.93	57.90	2.03	0.00	0.00	0.00
MDEH	19.70	12.06	7.64	0.00	0.00	0.00
Total	5,488.66	5,454.29	34.37	651.65	608.30	43.35

(Source: MLNMC and ATHs)

2.4.1

Funds position of Diploma Division

(Reference: Paragraph no. 2.4.2.2; page 107)

(₹ in crore)

Year	Opening Balance	Funds received				Total Funds Available	Expenditure	Closing Balance
		State	Central	Loan	Other receipts			
2003-04	0.33	2.54	0.06	0.02	2.60	5.55	5.03	0.52
2004-05	0.52	2.65	0.35	0.00	2.90	6.42	6.00	0.42
2005-06	0.42	2.61	0.20	0.00	3.36	6.59	5.87	0.72
2006-07	0.72	2.32	0.00	0.00	4.27	7.31	6.47	0.84
2007-08	0.84	3.22	0.00	0.00	4.63	8.69	7.38	1.31
2008-09	1.31	2.90	0.00	0.00	6.39	10.60	10.46	0.14
2009-10	0.14	5.67	0.05	1.99	7.35	15.20	13.70	1.50
2010-11	1.50	9.56	0.14	3.45	6.75	21.40	17.09	4.31
2011-12	4.31	5.05	0.00	0.00	9.49	18.85	16.70	2.15
2012-13	2.15	3.72	0.16	0.67	7.41	14.11	11.76	2.35
Total		40.24	0.96	6.13	55.15	102.81	100.46	

(Source: Annual Accounts of the respective years)

Funds position of Degree Division

(₹ in crore)

Year	Opening Balance	Receipts	Total Funds Available	Expenditure	Closing Balance
2003-04	0.92	2.07	2.99	1.96	1.03
2004-05	1.03	2.54	3.57	2.65	0.92
2005-06	0.92	3.16	4.08	3.12	0.96
2006-07	0.96	3.26	4.22	4.07	0.15
2007-08	0.15	3.91	4.06	3.66	0.40
2008-09	0.40	4.22	4.62	2.82	1.80
2009-10	1.80	3.59	5.39	5.03	0.36
2010-11	0.36	4.68	5.04	4.63	0.41
2011-12	0.41	8.01	8.42	7.72	0.70
2012-13	0.70	6.86	7.56	7.46	0.10
Total		42.30	43.22	43.12	
Grand Total		144.78	146.03	143.58	

(Source: Annual Accounts of the respective years)

2.4.2

Details of Tuition Fee

(Reference: Paragraph no. 2.4.2.2; page 108)

(₹ in lakh)

Year	Tuition fees to be realised			Tuition fees to be transferred			Fees actually transferred in joint salary A/c			Fees actually not transferred in joint salary A/c		
	Degree Div	Diploma Div	Total	Degree Div (20% of tuition fees realised)	Diploma Div (100% of tuition fees realised)	Total	Degree Div	Diploma Div	Total	Degree Div	Diploma Div	Total
1	2	3	4	5	6	7	8	9	10	11	12	13
2003-04		63.68	63.68	0.00	63.68	63.68	0.00	63.68	63.68	0.00	0.00	0.00
2004-05		65.39	65.39	0.00	65.39	65.39	0.00	65.36	65.36	0.00	0.03	0.03
2005-06		69.29	69.29	0.00	69.29	69.29	0.00	69.32	69.32	0.00	-0.03	-0.03
2006-07		70.76	70.76	0.00	70.76	70.76	0.00	70.76	70.76	0.00	0.00	0.00
2007-08		72.38	72.38	0.00	72.38	72.38	0.00	72.38	72.38	0.00	0.00	0.00
2008-09		71.04	71.04	0.00	71.04	71.04	0.00	11.97	11.97	0.00	59.07	59.07
2009-10	357.80	75.24	433.04	71.56	75.24	146.80	0.00	29.00	29.00	71.56	46.24	117.80
2010-11	483.22	118.76	601.98	96.64	118.76	215.40	0.00	0.00	0.00	96.64	118.76	215.40
2011-12	704.56	186.15	890.71	140.91	186.15	327.06	53.00	174.00	227.00	87.91	12.15	100.06
2012-13	584.55	190.48	775.03	116.91	190.48	307.39	100.00	155.00	255.00	16.91	35.48	52.39
Total	2,130.13	983.17	3,113.30	426.02	983.17	1,409.19	153.00	711.47	864.47	273.02	271.70	544.72
											Say	5.45 crore

(Source: Information collected in Audit)

2.4.3

Delayed adjustment of Temporary Imprests

(Reference: Paragraph no. 2.4.2.5; page 110)

(In ₹)

Year	Diploma Division				Degree Division				Total (Diploma and Degree Division)									
	1 to 6 months	Amount	6 to 12 months	Amount	Above one year	Amount	1 to 6 months	Amount	6 to 12 months	Amount	1 to 6 months	Amount	6 to 12 months	Amount	Above one year	Amount		
2003-04	67	9,09,743	25	1,83,372	2	31,236	47	5,87,678	3	12,600	0	0	114	14,97,421	28	195,972	2	31,236
2004-05	71	6,26,533	20	4,37,750	0	0	56	17,05,544	3	1,86,790	0	0	127	23,32,077	23	6,24,540	0	0
2005-06	77	9,47,815	19	2,11,650	4	8,420	43	6,44,415	3	9,500	0	0	120	15,92,230	22	2,21,150	4	8,420
2006-07	101	19,59,133	20	2,42,975	10	85,200	42	6,76,273	6	23,500	2	17,700	143	26,35,406	26	2,66,475	12	1,02,900
2007-08	111	32,70,839	24	3,77,550	6	1,71,100	45	13,33,150	12	74,950	4	28,700	156	46,03,989	36	4,52,500	10	1,99,800
2008-09	87	2,584,491	35	8,44,785	30	4,02,130	46	5,94,400	6	40,300	0	0	133	31,78,891	41	8,85,085	30	4,02,130
2009-10	151	2,12,37,377	46	11,10,120	11	3,12,900	45	18,27,950	26	10,61,600	16	7,98,850	196	2,30,65,327	72	21,71,720	27	11,11,750
2010-11	155	1,31,16,440	47	11,28,088	15	2,03,580	56	20,15,710	12	10,63,700	6	3,93,000	211	1,51,32,150	59	21,91,788	21	5,96,580
2011-12	93	1,25,15,369	50	17,77,394	10	2,57,900	52	1,30,43,800	8	1,06,800	9	17,51,000	145	2,55,59,169	58	18,84,194	19	20,08,900
2012-13	72	1,44,31,940	27	5,37,060	0	0	21	22,83,300	2	17,840	0	0	93	1,67,15,240	29	5,54,900	0	0
Total	985	7,15,99,680	313	68,50,744	88	14,72,466	453	2,47,12,220	81	25,97,580	37	29,89,250	1,438	9,63,11,900	394	94,48,324	125	44,61,716

(Source: Information collected in Audit)

2.4.4

Temporary imprests above ₹ 10,000 given without Commissioner's approval*(Reference: Paragraph no. 2.4.2.5; page 111)*

Sl. No.	Name of Account	Number of TIs issued	Amount in ₹
1	Examination Fund A/c	55	5,91,94,043
2	Academic Fund A/c	24	20,18,380
3	Engineering Degree Course A/c	148	2,16,15,500
4	UPTU A/c	60	1,57,27,500
5	Community Polytechnic	19	10,85,464
Total		306	9,96,40,887

(Source: Information collected in Audit)

2.4.5 Confidential TIs for Examination (B-Tech Cash book A/c No.102)

(Reference: Paragraph no. 2.4.2.5; page 111)

Sl. No.	Vr. No.	Date of opening TI	Cheque No.	Amount in ₹	Date of adjustment/Cash from Bank
1	71	11-02-11	081948	1,05,000	27-04-11
2	45	23-04-11	083238	15,70,000	28-04-11
3	26	12-01-11	080896	1,00,000	29-04-11
4	120	14-07-11	083535	10,50,000	30-07-11
5	76	30-04-11	083289	11,00,000	12-08-11
6	107	14-05-11	083520	5,25,000	25-08-11
7	32	19-04-11	083225	21,50,000	03-09-11
8	01	13-04-11	082550	6,00,000	29-09-11
9	322	02-09-11	084635	15,50,000	03-10-11
10	66	19-10-11	085554	11,70,000	25-10-11
11	31	17-04-11	083223	2,60,000	07-05-12
12	69	29-04-11	083282	5,20,000	07-05-12
13	-	25-04-12	088648	2,10,000	15-05-12
14	-	30-04-12	088649	2,25,000	19-05-12
Total				1,11,35,000	

Confidential TIs for Examination (UPTU Exam Fund Cash book of B-Tech Division A/c No.100013)

Sl. No	Vr. No.	Date of opening TI	Cheque No.	Amount in ₹	Date of adjustment/Cash from Bank
1	-	17-04-11	083119	3,10,000	03-06-11
2	05	15-04-11	083105	2,05,000	21-06-11
3	12	16-04-11	083112	5,25,000	14-07-11
4	73	27-07-11	083189	10,50,000	06-08-11
5	25	18-04-11	083127	2,05,000	10-08-11
6	07	15-04-11	083106	21,50,000	20-08-11
7	83	18-08-11	083198	5,50,000	10-10-11
8	102	06-09-11	084417	5,00,000	09-11-11
9	137	09-11-11	085453	2,50,000	09-11-11
10	-	11-11-11	084457	7,50,000	28-11-11
11	114	15-09-11	084430	7,50,000	19-11-11
12	-	21-12-11	084466	19,00,000	24-03-12
13	-	28-03-11	084498	7,50,000	30-03-12
14	-	04-05-12	088525	2,10,000	05-05-12
15	-	09-05-12	088542	2,10,000	14-07-12
16	-	11-05-12	088559	7,70,000	20-07-12
17	-	26-07-12	088589	5,70,000	01-09-12
18	-	21-04-11	083129	5,20,000	26-09-12
19	233B	07-10-10	080114	1,00,000	29-09-12
20	-	08-10-12	091411	5,70,000	09-10-12
Total				1,28,45,000	
Grand Total				2,39,80,000	

(Source: Information collected in Audit)

2.4.6

Details of excess fee realised

(Reference: Paragraph no. 2.4.2.6; page 112)

(In ₹)

Year		Number of Student	Fee Structure of IERT Allahabad	Fee Structure of state Govt.	Difference in rate of Fee	Excess Realised
Degree Division						
2010-11	I Year	259	76,900	46,100	30,800	79,77,200
2011-12	I Year	404	76,900	46,100	30,800	1,24,43,200
	II year	265	76,800	46,100	30,700	81,35,500
2012-13	I year	404	76,900	46,100	30,800	1,24,43,200
	II year	449	76,800	46,100	30,700	1,37,84,300
	III year	256	76,800	46,100	30,700	78,59,200
					Total	6,26,42,600
Engineering diploma						
2010-11	I Year	350	19,730	17,500	2,230	7,80,500
2011-12	I Year	350	19,730	17,500	2,230	7,80,500
	II year	334	19,580	17,500	2,080	6,94,720
2012-13	I year	350	21,730	17,500	4,230	14,80,500
	II year	305	19,580	17,500	2,080	6,34,400
	III year	319	19,610	17,500	2,110	6,73,090
					Total	50,43,710
Management diploma						
2010-11	I Year	146	19,700	17,500	2,200	3,21,200
2011-12	I Year	104	19,700	17,500	2,200	2,28,800
	II year	123	19,610	17,500	2,110	2,59,530
2012-13	I year	149	21,700	17,500	4,200	6,25,800
	II year	101	19,610	17,500	2,110	2,13,110
					Total	16,48,440
PDCA						
2010-11	I Year	15	19,700	17,500	2,200	33,000
2011-12	I Year	8	19,700	17,500	2,200	17,600
	II year	13	9,860	8,750	1,110	14,430
2012-13	I year	6	21,700	17,500	4,200	25,200
	II year	7	9,860	8,750	1,110	7,770
					Total	98,000
					GRAND TOTAL	6,94,32,750

(Source: Information collected in Audit)

2.4.7

Important Paras/Observations of audit by Local Fund Audit, Allahabad

(Reference: Paragraph no. 2.4.3; page 115)

Year	Important Paras/ Observations
2003-04	<ul style="list-style-type: none"> Excess disbursement of pay and allowances (₹ 6,334). Irregular disbursement of pay and allowances (₹ 7,411). Excess drawal of Grants-in-Aid (₹ 61, 79,107). Improper maintenance of income expenditure account and GPF accounts. Incorrect calculation of GPF interest (₹ 21,776). Non-maintenance of records of assets and liabilities.
2004-05	<ul style="list-style-type: none"> Non-transfer of other receipts to the salary account resulting in excess receipt of Grant-in-aid ₹ 3.01 lakh. ₹ 30,251 given as loan to Academic activities from contingency. Non-totaling of GPF ledger account.
2005-06	<ul style="list-style-type: none"> Non-transfer of other receipts to the salary account resulting in excess receipt of Grant-in-aid of ₹ 1.53 lakh. Excess payment of scholarship of ₹ 0.67 lakh. Non-transfer of rent ₹ 0.42 lakh in salary account Irregular payment of audit fees ₹ 0.32 lakh.
2006-07	<ul style="list-style-type: none"> Wrong calculation of GPF interest. Non-payment of GPF of ₹ 2.07 lakh to retired employees
2007-08	<ul style="list-style-type: none"> Suspicious adjustment of ₹ 1.54 lakh. Non-transfer of ₹ 72.38 lakh to Salary account. Irregular diversion of ₹ 27.87 lakh from the contingency fund.
2008-09	<ul style="list-style-type: none"> Non-maintenance of records of assets and liabilities. GPF ledger not certified by the competent authority. Non-production of Scholarship account.
2010-11	<ul style="list-style-type: none"> Non-transfer of ₹ 29.51 lakh to Salary account. Non-deposition of Audit fee of ₹ 1.02 lakh. Irregularities in the Service records. Non-adjustment of TI of ₹ 492. Non maintenance of records of assets and liabilities.
2011-12	<ul style="list-style-type: none"> Excess of payment of ₹ 0.38 lakh. No physical verification of permanent assets. Non-payment of audit fee of ₹ 3.62 lakh during 2009-12. Non-adjustment of TIs. Non-transfer of Tuition fee to the Salary Bank Account.

(Source: Information collected in Audit)

2.5.1

Year-wise operational hours of all Hot Mix Plants in PWD

(Reference: Paragraph no. 2.5.2; page 118)

Sl. No.	Plant Number	As per norms	2008-09	2009-10	2010-11	2011-12	2012-13	Total hrs.	Actual percentage
1	2	3	4	5	6	7	8	9	10
1	DM-45/ 437	4,000	322	341.4	441	249	96	1,449.4	36
2	DM-45/ 512	4,000	170.1	300.45	449	414	358	1,691.55	42
3	DM-45/513	4,000	580.1	645	654	663	484	3,026.1	76
4	DM-45/514	4,000	308.11	405	322	354	265	1,654.11	41
5	DM-45/515	4,000	726.25	253.5	430	588	465	2,462.75	62
6	DM-45/516	4,000	317.29	363.45	308	391	276	1,655.74	41
7	DM-45/S/150	4,000	786.15	786	453	242	312	2,579.15	64
8	DM-45/S/151	4,000	329.15	364.2	513	488	447	2,141.35	54
9	DM-45/S/149	4,000	387.1	414.45	594	244	303	1,942.55	49
10	DM-45/S/154	4,000	273.09	264	532	739	614	2,422.09	61
11	DM-45/S/153	4,000	116.3	NIL	NIL	195	205	516.3	13
12	86	4,000	123.15	412.5	320	593	318	1,766.65	44
13	UGC-1	4,000	277.1	392	475	282	393	1,819.1	45
14	UGC-2	4,000	753.33	716	792	862	528	3,651.33	91
15	941085	4,000	919.4	846.3	642	130	167	2,704.7	68
16	900285	4,000	NIL	60.35	128	240	225	653.35	16
17	951285	4,000	55.25	NIL	81	202	147	485.25	12
18	651275	4,000	319.5	307.1	530	925	482	2,563.6	64
19	510575	4,000	401.5	207.2	191	178	102	1,079.7	27
20	540775	4,000	461.25	700.4	636	473	487	2,757.65	69
Total			7,626.12	7,779.3	8,491	8,452	6,674	39,022.42	

Average	49 per cent
Minimum	12 per cent
Maximum	91 per cent

2.5.2

Statement showing deficit of hire charges against performance in hourly operation of Hot Mix Plants in all divisions of PWD
(Reference: Paragraph no. 2.5.2; page 118)

(Figures in MT)

Sl. No.	Plant Number	Production per year as per norms	2008-09	2009-10	2010-11	2011-12	2012-13	Actual total production	Average production per hour	Production as per norms	Less production
1	2	3	4	5	6	7	8	9	10	11	12
1	DM/45/437/40-60	40,000	15,991.00	16,718.00	21,641.00	12,157.00	4,492.00	70,999.00	50	200000	1,29,001.00
2	DM/45/512/40-60	40,000	8,184.33	15,024.16	22,352.77	23,553.28	17,903.69	87,018.23	50	200000	1,12,981.77
3	DM/45/513/40-60	40,000	28,795.00	32,453.00	32,603.00	32,332.00	24,344.50	1,50,527.50	50	200000	49,472.50
4	DM/45/514/40-60	40,000	14,226.00	195.00	15,001.42	16,518.69	12,235.22	58,176.33	50	200000	1,41,823.67
5	DM/45/515/40-60	40,000	37,954.00	13,076.00	21,523.00	29,910.00	23,426.50	1,25,889.50	50	200000	74,110.50
6	DM/45/516/40-60	40,000	16,212.00	18,308.30	15,217.50	19,606.50	13,867.46	83,211.76	50	200000	1,16,788.24
7	DM/45/S/150/40-60	40,000	39,402.00	39,333.00	22,585.00	9,241.00	15,693.42	1,26,254.42	50	200000	73,745.58
8	DM/45/S/151/40-60	40,000	16,514.00	18,367.00	25,535.00	24,475.00	22,589.00	1,07,480.00	50	200000	92,520.00
9	DM/45/S/152/40-60	40,000	42,105.00	53,513.00	49,973.00	46,755.50	25,027.93	2,17,374.43	50	200000	-17,374.43
10	DM/45/S/149/40-60	40,000	17,451.00	18,595.00	29,965.00	10,984.00	13,675.00	90,670.00	50	200000	1,09,330.00
11	DM/45/S/154/40-60	40,000	13,463.00	13,342.80	26,460.20	34,110.56	29,593.90	1,16,970.46	50	200000	83,029.54
12	DM/45/S/153/40-60	40,000	4,924.00	0.00	0.00	8,765.00	10,098.00	23,787.00	50	200000	1,76,213.00
	Total	4,80,000	2,55,221.33	2,38,925.26	2,82,856.89	2,68,408.53	2,12,946.62				11,41,641.37

		(Amount in ₹)					Total
Details		2008-09	2009-10	2010-11	2011-12	2012-13	Total
Hire charge as per norms		15,60,00,000.00	15,60,00,000.00	15,60,00,000.00	15,60,00,000.00	19,63,20,000.00	82,03,20,000.00
Hire charges earned		8,29,46,932.25	7,76,50,709.50	9,19,28,489.25	8,72,32,772.25	8,70,95,167.58	42,68,54,070.83
Deficit of hire charges		7,30,53,067.75	7,83,49,290.50	6,40,71,510.75	6,87,67,227.75	10,92,24,832.42	39,34,65,929.17

(Figures in MT)

Sl. No.	Plant Number	Production per year as per norms	2008-09	2009-10	2010-11	2011-12	2012-13	Actual total production	Average production per hour	Production as per norms	Less production
1	2	3	4	5	6	7	8	9	10	11	12
1	86/30-45	30,000	4,453.00	14,864.00	11,531.00	16,330.00	11,442.00	58,620.00	37.5	1,50,000	91,380.00
2	UGC-1/30-45	30,000	9,970.00	14,150.00	17,120.00	10,140.00	14,200.00	65,580.00	37.5	1,50,000	84,420.00
3	UGC-2/30-45	30,000	24,583.00	23,640.00	25,718.95	28,022.00	17,169.87	1,19,133.82	37.5	1,50,000	30,866.18
4	941085/20-30	22,000	25,260.00	23,280.00	17,660.00	16,330.00	4,517.00	87,047.00	27.5	1,10,000	22,953.00
5	900285/20-30	22,000	0.00	1,805.00	3,897.00	8,235.00	7,505.00	21,442.00	27.5	1,10,000	88,558.00
6	951285/20-30	22,000	1,504.00	0.00	2,169.00	5,548.00	4,040.00	13,261.00	27.5	1,10,000	96,739.00
7	651275/20-30	22,000	8,811.00	8,354.53	14,386.57	25,421.85	13,246.65	70,220.60	27.5	1,10,000	39,779.40
8	510575/20-30	22,000	11,056.00	5,703.00	5,252.00	5,548.00	2,796.00	30,355.00	27.5	1,10,000	79,645.00
9	152/20-30	22,000	0.00	0.00	Nil	Nil	Nil	0.00	27.5	1,10,000	1,10,000.00
10	661275/20-30	22,000	903.00	2,761.00	1,451.00	0.00	Nil	5,115.00	27.5	1,10,000	1,04,885.00
11	540775/20-30	22,000	12,440.00	18,787.00	16,739.00	12,572.00	13,518.15	74,056.15	27.5	1,10,000	35,943.85
Total		2,66,000	98,980.00	1,13,344.53	1,15,924.52	1,28,146.85	88,434.67				7,85,169.43

		(Amount in ₹)					
Details		2008-09	2009-10	2010-11	2011-12	2012-13	Total
Hire charge as per norms		10,13,46,000.00	10,13,46,000.00	10,13,46,000.00	10,13,46,000.00	13,24,68,000.00	53,78,52,000.00
Hire charges earned		3,77,11,380.00	4,31,84,265.93	4,41,67,242.12	4,88,23,949.85	4,40,40,465.66	21,79,27,303.60
Deficit of hire charges		6,36,34,620.00	5,81,61,734.07	5,71,78,757.88	5,25,22,050.15	8,84,27,534.34	31,99,24,696.44

Summary

		(Amount in ₹)
Hire charges to be earned as per norms		135.81
Hire charges actually earned		64.47
Shortfall		71.34

2.5.3 Statement showing deficit in production against the norms in test-checked E & M divisions, PWD during 2008-13 (Reference: Paragraph no. 2.5.2; page 118)

(Figures in MT)

Sl. No.	Details of HMPs	Production as per norms during 2008-13	Actual Production (per cent to col. 3)	Shortfall in term of Production (per cent to col. 3)
1	2	3	4	5
1	DM/45/437/40-60	2,00,000	70,999.00 (39)	1,29,001 (61)
2	DM/45/512/40-60	2,00,000	87,018.23 (54)	1,12,981.77 (56)
3	DM/45/S/154/40-60	2,00,000	1,16,970.46 (58)	83,029.54 (42)
4	86/30-45	1,50,000	58,620.00 (39)	91,380 (61)
5	UGC-2/30-45	1,50,000	1,19,133.82 (79)	30,866.18 (21)
6	941085/20-30	1,10,000	87,047.00 (79)	22,953 (21)
7	951285/20-30	1,10,000	13,261.00 (12)	96,739 (88)
8	651275/20-30	1,10,000	70,220.60 (64)	39,779.40 (36)
9	510575/20-30	1,10,000	30,355.00 (28)	79,645 (72)
Total		13,40,000	6,53,625.11 (49)	6,86,374.89 (51)

2.5.4 Position of output and shortfall in respect of CC plants during 2009-13

(Reference: Paragraph no. 2.5.2; page 119)

Sl. No.	Details of plant	Norms		Output to be obtained as per norm during 2009-13 (in cum.)	Actual Output during 2009-13 (in cum.) (per cent to col. 5)	Shortfall in cum. (per cent to col. 5) (Col. 5-6)
		Hour/year	Cum./year (@ 15 cum. per hour)			
1	2	3	4	5	6	7
1	Maximech 0809014/2008	500	7,500	30,000	4,740 (16)	25,260 (84)
2	Maximech 0809009/2008	500	7,500	30,000	12,128 (40)	17,872 (60)
3	Maximech 0809015/2008	500	7,500	30,000	2,331 (8)	27,659 (92)
4	Maximech 0809010/2008	500	7,500	30,000	1,932 (6)	28,068 (94)
5	Meckaster 001/08, RMP-20/2008	500	7,500	30,000	4,742 (16)	25,258 (84)
6	Maximech 0809008 /2008	500	7,500	30,000	17,763 (59)	12,237 (41)
7	Maximech 0809012/2008	500	7,500	30,000	0	0
8	Maximech 0809013/2008	500	7,500	30,000	0	0
9	Maximech 0809019/2008	500	7,500	30,000	0	0

3.1

The details of amounts sanctioned and drawn from the treasury

(Reference: Paragraph no. 3.1.1; page 122)

Sl. No.	Sanction/ Credit Order No. and Date	Amount sanctioned and date of bill	Amount drawn from treasury (in ₹)	Excess Amount drawn fraudulently		Remarks	Name against cheque issued by treasury
				Cheque No. and date	Amount (in ₹)		
1	613 Dated 31 December 2011	20,50,000 Dated 03 January 2012	26,50,000	BTO948234 dated 03.01.12	6,00,000	Excess drawal than sanction by head constable	Jitendra Kumar Tripathi
2	706 Dated 06 February 2012	50,000 Dated 08 February 2012	5,50,000	BTO950708 Dated 08 February 2012	5,00,000	Excess drawal than sanction	Jitendra Kumar Tripathi
3	759 Dated 02 March 2012	14,90,000 Dated 07. March 2012	21,90,000	BTO952307 Dated 07 March 2012 & BT0952308 dated March 2012	5,00,000 2,00,000	Excess drawal than sanction by head constable	Jitendra Kumar Tripathi Jitendra Kumar
4	783 Dated 13 March 2012	11,65,000 Dated 14 March 2012	16,65,000	BTO952558 Dated 14.03.12	5,00,000	Excess drawal than sanction by head constable	Satyendra Kumar Tripathi
5	540 Dated 22 November 2011	3,50,000 Dated 24 November 2011	8,50,000	BTO945344 Dated 24 November 2011	5,00,000	Excess drawal than sanction by head constable	Jitendra Kumar Tripathi
6	400 Dated 27 September 2011	3,50,000 Dated 03 October 2011	8,50,000	BTO941908 Dated 03.10.11	5,00,000	Excess drawal than sanction by head constable	Jitendra Kumar Tripathi
7	494 Dated 04 November 2011	5,50,000 Dated 15 November 2011	11,00,000	BTO944837 15.11.11	5,50,000	Double drawal by head constable	Jitendra Kumar
8	550 Dated 05 December 2011	2,45,340 Dated 13 December 2011	7,45,340	BTO946630 Dated 13.12.11	5,00,000	Excess drawal than sanction by head constable	Jitendra Kumar
9	591 Dated 23 December 2011	2,00,000 Dated 23 December 2011	7,00,000	BTO947459 Dated 23.12.11	5,00,000	Excess drawal than sanction by head constable	JitendraTiwari

10	630 Dated 06 January 2012	15,50,000 Dated 10 January 2012	18,00,000	BTO948716 Dated 10.01.12	2,50,000	Excess drawal than sanction by head constable	Ram Chandra Maurya
11	696 Dated 04 February 2012	1,00,000 Dated 06 February 2012	2,00,000	BTO950472 Dated 06.02.12	1,00,000	Excess drawal than sanction by head constable	Triyogi Singh
12	761 Dated 3/2012	Dated 12 March 2012	6,50,000	BTO952331 dated 12.03.12	6,50,000	Excess drawal than sanction by head constable	Jitendra Kumar Tiwari
13	811 Dated 23 March 2012	10,00,000 Dated 26 March 2012	16,00,000	BTO953884 Dated 26.03.12	6,00,000	Excess drawal than sanction by head constable	Jitendra Kumar
14	20 Dated 12 April 2012	16,15,000 Dated 13 April 2012	22,15,000	BHO461611 Dated 13.04.12 BHO461612 Dated 13.04.12	1,00,000 5,00,000	Excess drawal than sanction by head constable	Anil Kumar Singh Jitendra Kumar Tripathi
Total		1,07,15,340	1,77,65,340		70,50,000		

3.2

**Particulars of supply orders placed by the
Superintending Engineer beyond the departmental rates**
(Reference: Paragraph no. 3.2.5; page 131)

Supply Order No.	Name of Firm	Material for supply	Quantity as per Supply Order (Bags)	Quantity supplied (Bags)	Rate of Supply Order (in ₹) per bag	Payment (₹ in lakh)	Sanctioned Departmental Rate (in ₹) per bag	Price as per Departmental Rate (₹ in lakh)
1827/ 10-5-2012	M/S Bindki Plasto fab Kanpur	HDPE/PP Bags (for Ushait Marginal Bund)	2,00,000.00	1,98,000.00	9.47	18.75	5.20	10.30
1946/ 19-5-2012	M/S Bindki Plasto fab Kanpur	HDPE/PP Bags (for Ushait Marginal Bund)	2,00,000.00	2,00,000.00	9.47	18.94	5.20	10.40
C-98/ 26-5-2012	M/S Bindki Plasto fab Kanpur	HDPE/PP Bags (for Joury-Nagla Marginal Bund)	2,00,000.00	2,00,000.00	9.47	18.94	5.20	10.40
2208/ 1-6-2012	M/S Bindki Plasto fab Kanpur	HDPE/PP Bags (for Ushait Marginal Bund)	5,00,000.00	5,00,000.00	9.47	47.35	5.20	26.00
C-126/ 12-6-2012	M/S NP Agro Bareilly	HDPE/PP Bags (for Joury-Nagla Marginal Bund)	5,00,000.00	5,00,000.00	9.40	47.00	5.20	26.00
2478/ 16-6-2012	M/S Bindki Plasto fab Kanpur	HDPE/PP Bags (for Ushait Marginal Bund)	7,00,000.00	7,00,000.00	9.40	65.80	5.20	36.40
2504/ 19-6-2012	M/S Bindki Plasto fab Kanpur	HDPE/PP Bags (for Joury-Nagla Marginal Bund)	5,00,000.00	5,00,000.00	9.40	47.00	5.20	26.00
Total			28,00,000.00	27,98,000.00		263.78 (A)		145.50 (B)

Loss due to payment beyond the departmental rate (A) - (B) = 263.78 - 145.50 = 118.28 lakh

3.3

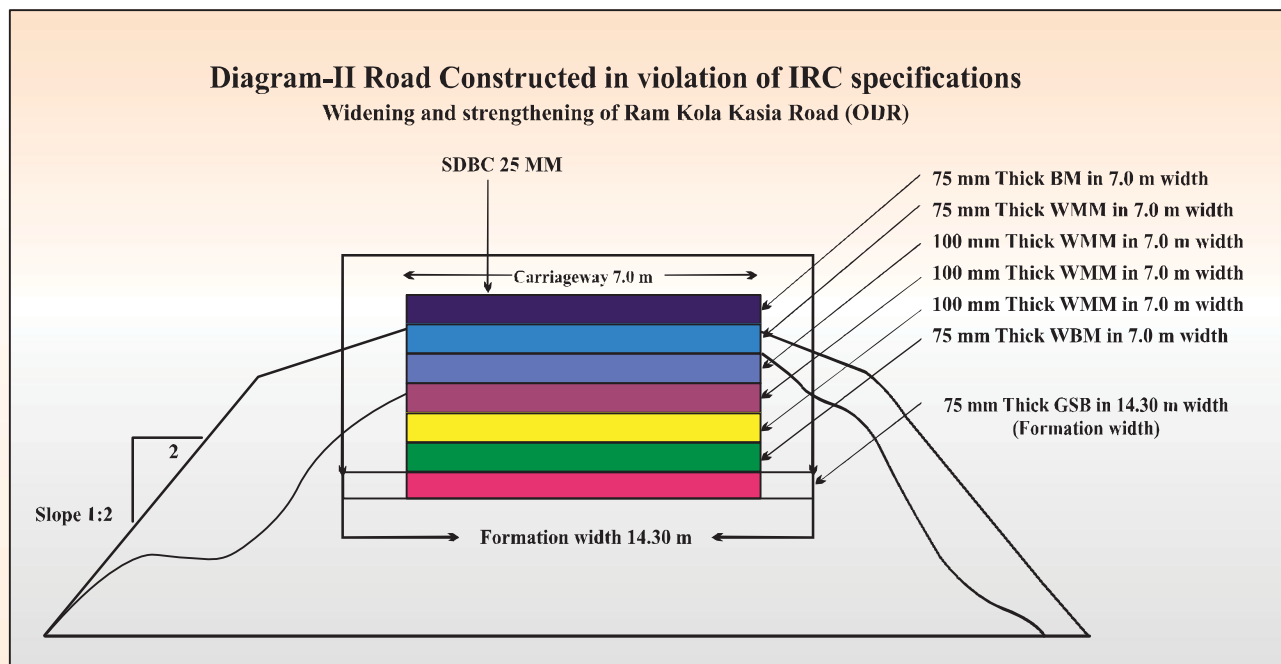
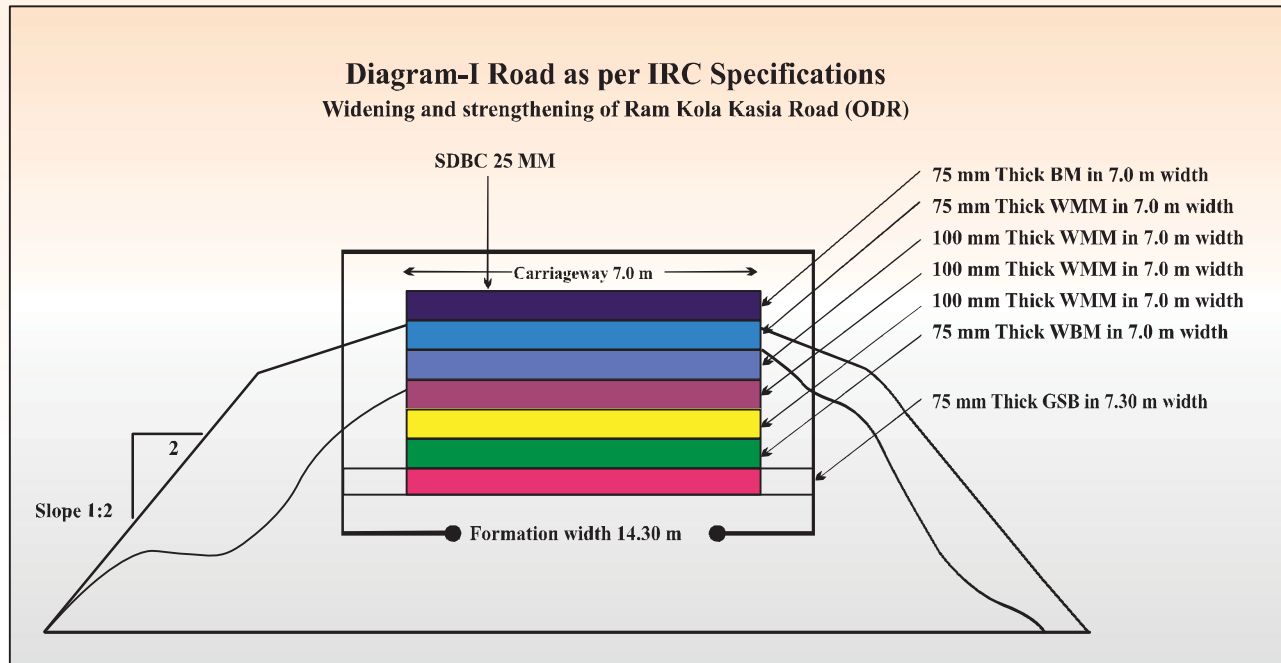
Number of Bank Drafts pending for disbursement

(Reference: Paragraph no. 3.2.7; page 133)

Name of the Division	Year	Number of Bank Drafts pending for disbursement (upto 06/2013)	Amount (in ₹)
Madhya Ganga Canal Construction Division -I, Haridwar	2009-10	5	11,88,030
	2010-11	860	7,57,24,783
	2011-12	143	2,35,25,762
	2012-13	175	1,71,54,140
	Total	1183	11,75,92,715
Madhya Ganga Canal Construction Division -6, Meerut	2009-10	0	0
	2010-11	0	0
	2011-12	15	19,45,556
	2012-13	9	25,60,035
	Total	24	45,05,591
Madhya Ganga Canal Construction Division -9, Sambhal, Moradabad	2009-10	0	0
	2010-11	2	1,18,440
	2011-12	54	1,00,10,659
	2012-13	49	1,66,03,728
	Total	105	2,67,32,827
Madhya Ganga Canal Construction Division -11, Aligarh	2008-09	3	24,965
	2009-10	62	23,56,158
	2010-11	66	18,86,941
	2011-12	198	72,66,818
	2012-13	136	1,17,51,085
	Total	465	2,32,85,967
Madhya Ganga Canal Construction Division -12, Aligarh	2009-10	0	0
	2010-11	19	13,53,339
	2011-12	63	18,66,423
	2012-13	124	87,63,757
	Total	206	1,19,83,519
Madhya Ganga Canal Construction Division -13, Garhmukteshwer, Hapur	2009-10	0	0
	2010-11	30	25,34,498
	2011-12	32	50,66,619
	2012-13	81	2,23,20,365
	Total	143	2,99,21,482
Madhya Ganga Canal Construction Division -14, Garhmukteshwer, Hapur	2009-10	0	0
	2010-11	38	43,28,601
	2011-12	282	2,47,50,656
	2012-13	0	0
	Total	320	2,90,79,257
Grand total		2,446	24,31,01,358

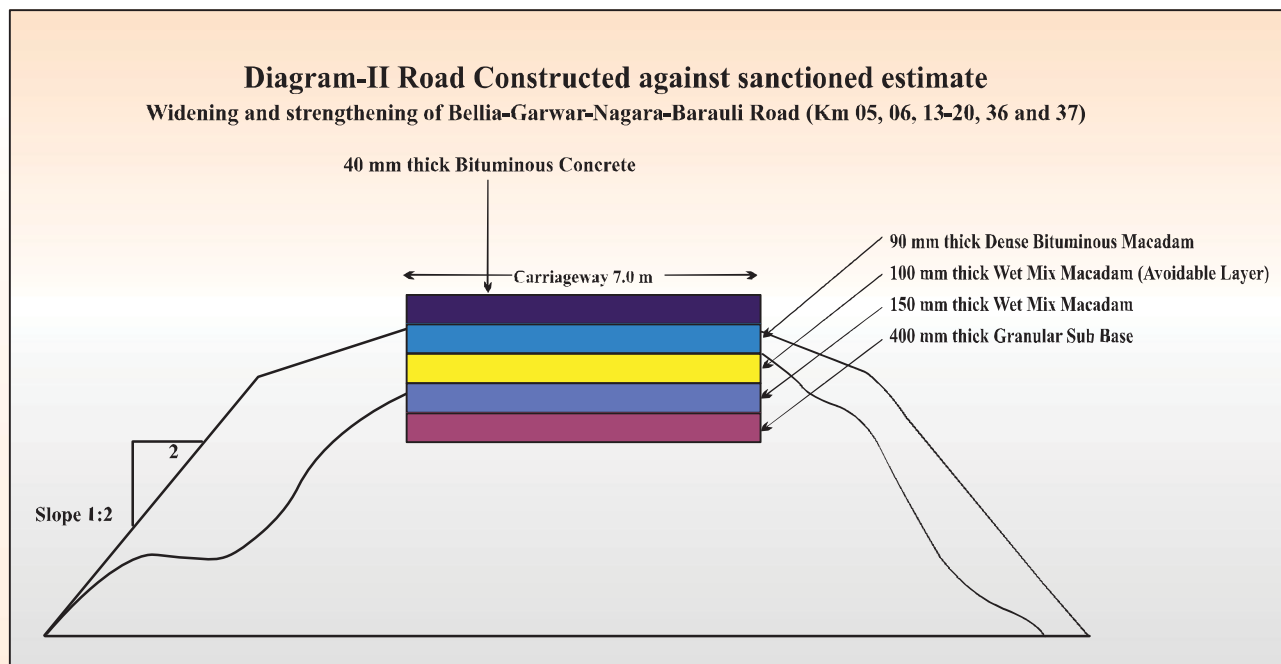
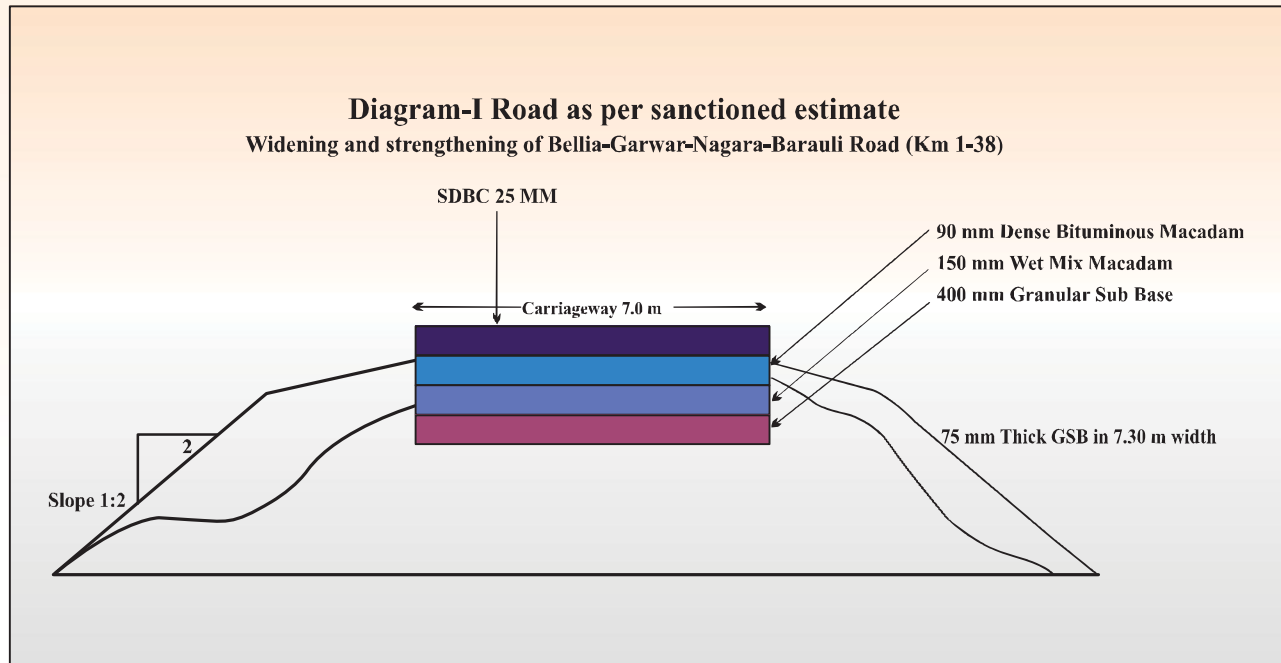
3.4

Diagrammatic representations depicting overlaying of GSB

(Reference: Paragraph no. 3.2.9; page 136)

Diagrammatic representations depicting extra laying of WMM

(Reference: Paragraph no. 3.2.10; page 137)



3.6

Details of avoidable expenditure

(Reference: Paragraph no. 3.2.11; page 138)

Item	Quantity (Cum)	Rate/MT (in ₹)	Amount (in ₹)
BM 50 mm	1770.60	2,453.00	43,43,294.00
SDBC 25 mm	785.25	2,324.00	18,24,921.00
Total			61,68,215.00
Add 2.75 per cent premium of bond			1,69,626.00
(A) Total			63,37,841.00
Cost of Bitumen as per consumption statement & schedule C			
Emulsion	26.564 MT	29,500.00	7,83,638.00
VG-10	16.695 MT	36,500.00	6,09,367.50
VG-30	130.88 MT	37,500.00	49,08,000.00
CRMB-55	89.91 MT	40,000.00	35,96,400.00
(B) Total			98,97,405.50
Total Expenditure incurred on BM & SDBC (A+B)			1,62,35,246.50
Less provision of PC 20 mm 39600M ² @156/M ²			61,77,600.00
Add consumption of tack coat			46,746.00
(C) Total			62,24,346.00
Difference between BM/SDBC works <i>vis-à-vis</i> PC works (A+B) –(C)			1,00,10,900.50
Total			Say ₹ 1.00 Crore



3.7

Avoidable expenditure

(Reference: Paragraph no. 3.2.12; page 139)

Sl. No.	Chainage in Km	Length in Metre	Existing Width in metre	Excess Thickness of WMM (cm)	Quantity (cum)	Rate (in ₹)	Amount (in ₹)
1	188	1,000	3.70	0.055	203.50	2,092.60	4,25,844.10
2	189	1,000	3.70	0.055	203.50	2,092.60	4,25,844.10
3	190	1,000	3.70	0.055	203.50	2,092.60	4,25,844.10
4	191	1,000	3.70	0.055	203.50	2,092.60	4,25,844.10
5	192	1,000	5.35	0.055	294.25	2,092.60	6,15,747.55
6	193	1,000	5.35	0.055	294.25	2,092.60	6,15,747.55
7	194	1,000	5.35	0.055	294.25	2,092.60	6,15,747.55
8	195	1,000	3.70	0.055	203.50	2,092.60	4,25,844.10
9	196	1,000	5.35	0.055	294.25	2,092.60	6,15,747.55
10	197	1,000	5.35	0.055	294.25	2,092.60	6,15,747.55
11	198	1,000	5.35	0.055	294.25	2,092.60	6,15,747.55
12	199	1,000	5.35	0.055	294.25	2,092.60	6,15,747.55
13	200	1,000	5.35	0.055	294.25	2,092.60	6,15,747.55
14	201	1,000	7.00	0.055	385.00	2,092.60	8,05,651.00
15	202	1,000	7.00	0.055	385.00	2,092.60	8,05,651.00
16	203	1,000	7.00	0.055	385.00	1,457.40	5,61,099.00
17	204	1,000	7.00	0.055	385.00	1,457.40	5,61,099.00
18	205	1,000	7.00	0.055	385.00	1,457.40	5,61,099.00
19	206	1,000	7.00	0.055	385.00	1,457.40	5,61,099.00
20	207	1,000	7.00	0.055	385.00	1,457.40	5,61,099.00
21	208	1,000	7.00	0.055	385.00	1,457.40	5,61,099.00
22	209	1,000	7.00	0.055	385.00	1,457.40	5,61,099.00
23	210	1,000	7.00	0.055	385.00	1,457.40	5,61,099.00
24	211	1,000	7.00	0.055	385.00	1,457.40	5,61,099.00
25	212	1,000	7.00	0.055	385.00	1,457.40	5,61,099.00
26	213	1,000	3.70	0.055	203.50	1,457.40	2,96,580.90
27	214	1,000	3.70	0.055	203.50	1,457.40	2,96,580.90
28	215	1,000	3.70	0.055	203.50	1,457.40	2,96,580.90
29	216	1,000	5.35	0.055	294.25	1,457.40	4,28,839.95
						Total	1,55,96,075.55 Say ₹ 1.56 crore

Details of expenditure on works and establishment

(Reference: Paragraph no. 3.3.4; page 145)

(₹ in lakh)

Sl. No.	Year	Expenditure on works/other than establishment	Expenditure on Establishment	Total expenditure
1	1977-2004	4,027.00	2,594.00 ¹	6,621.00
2	2004-05	32.00	377.82	409.82
3	2005-06	1.00	513.09	514.09
4	2006-07	5.00	259.06	264.06
5	2007-08	9.00	297.58	306.58
6	2008-09	12.75	376.60	389.35
7	2009-10	1.50	470.17	471.67
8	2010-11	0.00	479.68	479.68
9	2011-12	880.00	540.84	1,420.84
10	2012-13	1,000.00	562.44	1,562.44
Total		5,968.25²	6,471.28	12,439.53
			6471.28 X 100 / 5968.25= 108.43 per cent	

¹This figure includes ₹ 594.56 lakh for 2003-04.

²In 2003-04 and 2004-05, the liabilities of previous years were discharged. The amounts for 2005-06 to 2009-10 were for survey and other miscellaneous works other than construction works.

3.9

Unauthorised expenditure on pay and allowances to the temporary staff

(Reference: Paragraph no. 3.3.4; page 145)

(₹ in lakh and number of temporary staff in bracket)

Sl. No.	Year	Circle office	KCD-1	KCD-2	KCD-3	Total
1	2003-04	-- (1)	-- (10)	-- (42)	48.64 (44)	48.64 (97)
2	2004-05	-- (1)	-- (10)	-- (46)	40.88 (35)	40.88 (92)
3	2005-06	-- (1)	-- (12)	-- (46)	42.58 (30)	42.58 (89)
4	2006-07	-- (1)	-- (12)	-- (44)	41.28 (33)	41.28 (90)
5	2007-08	-- (1)	-- (10)	-- (43)	40.44 (29)	40.44 (83)
6	2008-09	4.89 (1)	9.32 (10)	52.03 (54)	129.38 (29)	195.62 (94)
7	2009-10	6.68 (1)	11.82 (10)	75.17 (55)	96.13 (32)	189.80 (98)
8	2010-11	3.73 (1)	16.33 (12)	82.27 (52)	68.43 (31)	170.76 (96)
9	2011-12	1.18 (1)	19.16 (12)	92.34 (51)	116.53 (29)	229.21 (93)
10	2012-13	8.42 (1)	15.14 (10)	100.34 (42)	113.19 (32)	237.09 (85)
Total		24.90	71.77	402.15	737.48	1,236.30

3.10**Year-wise details of Person-in-position***(Reference: Paragraph no. 3.3.4; page 145)***(in number)**

Sl. No.	Year	KCD-1	KCD-2	KCD-3	Circle	Total
1	2003-04	71	53	44	16	184
2	2004-05	72	55	35	15	177
3	2005-06	74	56	30	13	173
4	2006-07	70	54	33	12	169
5	2007-08	72	49	29	13	163
6	2008-09	74	59	29	11	173
7	2009-10	72	61	32	10	175
8	2010-11	70	55	31	9	165
9	2011-12	65	57	29	9	160
10	2012-13	62	55	32	8	157

3.11

Expenditure on construction and dismantling of unusable part of stone *jali* as crash barrier parallel to Eco Park's boundary wall

(Reference: Paragraph no. 3.3.5; page 147)

Jail Road (Eco Park Side)						
Item	Quantity of <i>Pan</i> shaped <i>Jali</i>	Rate of dismantling (in ₹)	Rate of Original Construction (in ₹)	Expenditure on Dismantling (in ₹)	Cost of Original Construction (in ₹)	Total (in ₹)
Crash Barrier Stone <i>Pan</i> shaped <i>Jali</i>	479.81 running meter	332 per running meter	16,897.50 per running meter	1,59,296.92	81,07,589.475	82,66,886.395
Say						82.67 lakh

Expenditure on construction and dismantling of usable and transferred part of stone *jali* as crash barrier parallel to Eco Park's boundary wall

Jail Road (Eco Park Side)						
Item	Quantity of <i>Pan</i> shaped <i>Jali</i>	Rate of dismantling (in ₹)	Rate of Original Construction (in ₹)	Expenditure on Dismantling (in ₹)	Cost of Original Construction (in ₹)	Total (in ₹)
Crash Barrier Stone <i>Pan</i> shaped <i>Jali</i>	1152.10 running meter	332 per running meter	16,897.50 per running meter	3,82,497.20	1,94,67,609.75	1,98,50,106.95
Say						1.98 crore

3.12

Status of utilization of vehicles purchased for Mobile Veterinary and Artificial Insemination Units

(Reference: Paragraph no. 3.4.1; page 148)

Sl. No.	Vehicle registration no. UP34G	Date of receipt in district	Meter reading on receipt	Meter reading as of August 2013	Distance covered in Km.	Usages of vehicles
1	0276	31.05.12	NA	NA	874	For vaccination.
2	0275	31.05.12	425	680	255	For meeting, carrying sheep & medicines.
3	0279	31.05.12	440	1,220	762	For vaccination.
4	0284	07.12.12	647	1,252	605	For vaccination
5	0274	13.03.13	438	895	457	For vaccination & camp.
6	0277	13.03.13	727	1,077	350	For vaccination & camp.
7	0314	17.05.12	295	775	480	For carrying ration.
8	0319	17.11.12	316	1,930	1,614	For distribution of LN2.
9	0320	01.05.13	348	372	24	For carrying medicines.
10	0304	05.06.12	306	1,685	1,379	For carrying goat, medicines.
11	0312	07.06.12	224	6,621	6,397	For inspection & participation in training.
12	0307	07.06.12	345	1,545	1200	For carrying medicines & other items.
13	0311	05.12.12	160	728	568	For carrying medicines.
14	0315	03.01.13	225	1,341	1,116	For meeting, vaccination camp, carrying broiler.
15	0317	22.03.13	230	1,332	1,102	For meeting, vaccination camp, carrying fodder.
16	0318	22.03.13	242	2,412	2,170	For meeting, vaccination camp, carrying broiler.
17	0302	07.06.12	2045	3,248	1,203	For carrying Fodder & Anna Pratha Camp.
18	0303	21.06.12	NA	NA	7,135	For vaccination, LN2 & Tehsildivas,
19	0313	22.03.13	566	10,395	9,829	Anna Pratha meeting & vaccination.
20	0295	07.06.12	250	589	339	For carrying medicines & vaccination.
21	0297	07.06.12	773	1,007	234	For carrying medicines & vaccination.
22	0298	07.07.12	291	1,070	779	For carrying medicines & vaccination.
23	0299	04.05.13	250	841	591	For carrying medicines for camp.
24	0300	09.07.12	345	887	542	For vaccination.
25	0308	03.05.12	NA	NA	921	For vaccination & Tehsil divas.
26	0309	05.12.12	551	899	347	For carrying medicines & vaccination.
27	0296	21.01.13	NA	NA	1,430	For vaccination.
28	0290	28.05.12	NA	NA	1,355	For vaccination.
29	0305	26.11.12	NA	NA	8,112	For vaccination, LN2.
30	0289	28.05.12	NA	NA	2,087	For vaccination, LN2.