

Appendix - 1.1

(Reference: Paragraph no. 1.2 & 2.2.1; page 1 & 37)

Eleventh Schedule: List of 29 Subjects

1. Agriculture, including agricultural extension.
2. Land improvement, implementation of land reforms, land consolidation and soil conservation.
3. Minor irrigation, water management and watershed development.
4. Animal husbandry, dairying and poultry.
5. Fisheries.
6. Social forestry and farm forestry.
7. Minor forest produce.
8. Small scale industries, including food processing industries.
9. Khadi, village and cottage industries.
10. Rural housing.
11. Drinking water.
12. Fuel and fodder.
13. Roads, culverts, bridges, ferries, waterways and other means of communication.
14. Rural electrification, including distribution of electricity.
15. Non-conventional energy sources.
16. Poverty alleviation programme.
17. Education, including primary and secondary schools.
18. Technical training and vocational education.
19. Adult and non-formal education.
20. Libraries.
21. Cultural activities.
22. Markets and fairs.
23. Health and sanitation including hospitals, primary health centres and dispensaries.
24. Family welfare.
25. Women welfare and child development.
26. Social welfare including welfare of the handicapped and mentally retarded.
27. Welfare of the weaker sections including welfare of Scheduled Castes and Scheduled Tribes.
28. Public distribution system.
29. Maintenance of community assets.

(Source: Constitution of India)

Appendix - 1.2

(Reference: Paragraph no. 1.2; page1)

Status of Transfer of Functions to PRIs

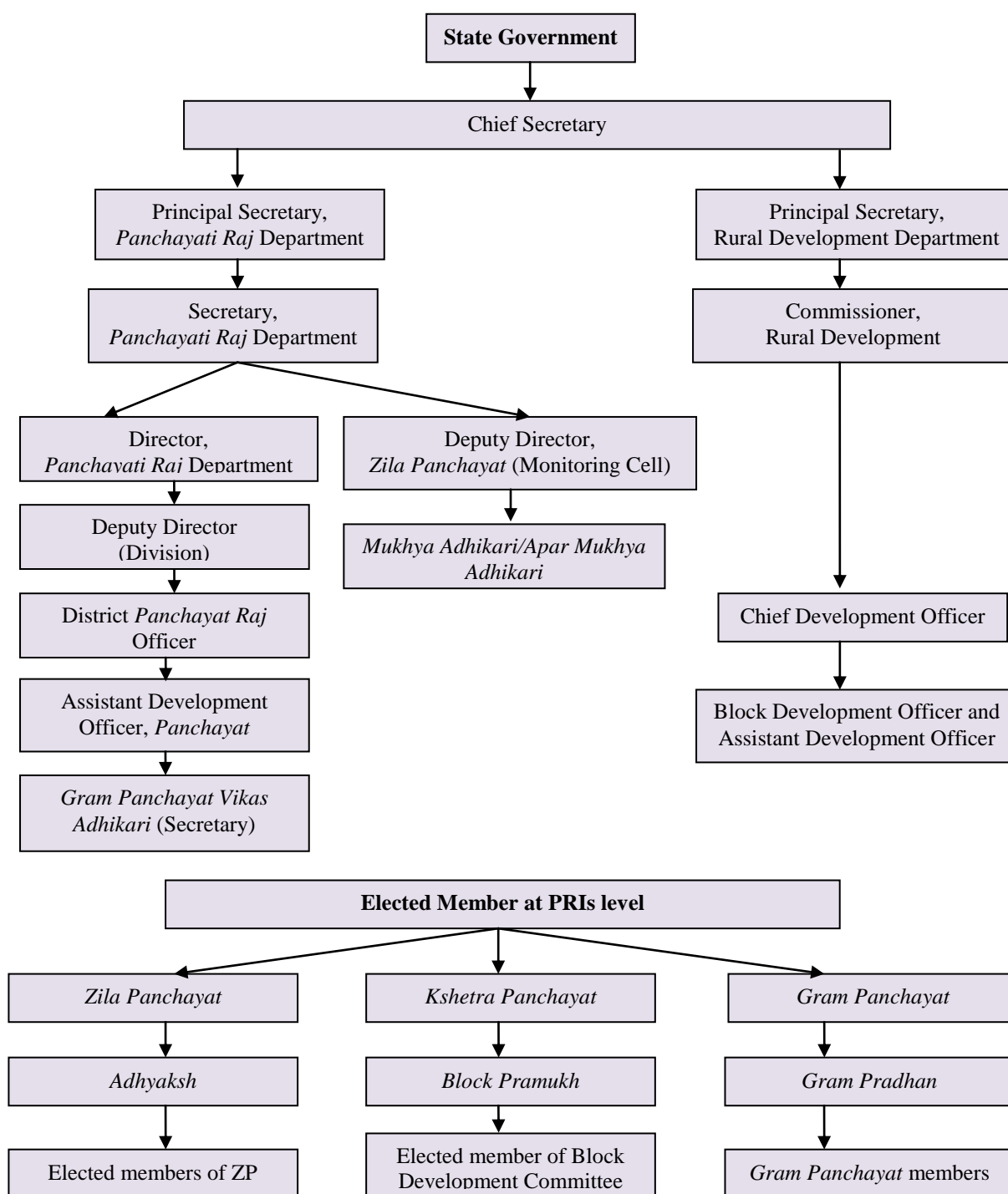
Sl. No.	Devolution of Functions
1	Operation & Maintenance of Rural Water Supply schemes.
2	Poverty alleviation programmes.
3	Basic education including mid day meal.
4	Operation and Maintenance of rural market and fairs.
5	Rural Sanitation Programme.
6	Maintenance and supervision of 'D' category Veterinary Hospitals.
7	Welfare programme for SC, ST and Other weaker sections – selection of pensioners and distribution of scholarships.
8	Food and Civil Supplies – supervision of Public Distribution System (PDS) throughout the state including Jan Kerosene Programme.
9	Maintenance of assets created in <i>Panchayat</i> area.
10	Rural library.
11	Youth Welfare programme at village level.
12	Rural Housing schemes – selection of beneficiaries.
13	Verification of inspection notes of CMOs & Dy. CMOs of CHCs & PHCs respectively, by <i>Pramukhs</i> and <i>Pradhans</i> of KPs and GPs respectively.
14	Minor irrigation – selection of beneficiaries.
15	Maintenance of assets created under sodic land Reclamation Projects.
16	Maintenance of seed stores, etc. to KP.

(Source: Director, *Panchayati Raj*, Uttar Pradesh)

Appendix- 1.3

(Reference : Paragraph no.1.6 & 2.2.2; page 4 & 37)

Organisational structure of Panchayati Raj Institutions



(Source: Director, Panchayati Raj, Uttar Pradesh)

Structure of Line Department

Level of authority	Rural Development	Panchayati Raj	Social Welfare	Backward Class Welfare	Minority Welfare	Women & Child Welfare	Planning
Chief Controlling Officer	Principal Secretary, Rural Development (RD)	Principal Secretary, Panchayati Raj (PR)	Principal Secretary, Social Welfare (SW)	Principal Secretary, Backward Class Welfare (BCW)	Principal Secretary, Minority Welfare (MW)	Principal Secretary, Women and Child Welfare	Principal Secretary, Planning
Controlling Officer Level	Commissioner, RD	Director, PR	Director, SW	Director, BCW	Director, MW	Director, Child Development & Nutrition	Director, Economics & Statistics (E&S)
Sanctioning Officer in District	CDO	CDO	CDO	CDO	CDO	CDO	CDO
DDO Level	District Development Officer	DPRO	DSWO	DBCWO	DMWO	DPO	DE&SO

Abbreviations: DBCWO - District Backward Class Welfare Officer, DE&SO-District Economics & Statistics Officer, DMWO- District Minority Welfare Officer, DPO-District Programme Officer, DSWO-District Social Welfare Officer.

Structure of the parallel bodies (Main schemes)

	MGNREGS	NRHM	SSA
Government level	State Employment Guarantee Council headed by Agricultural Production Commissioner (APC)	State Health Mission (SHM)	State Implementing Society (SIS)
	Principal Secretary, Rural Development Department	State Health Society (SHS)	
Department level	Commissioner, Rural Development (known as Rural Employment Commissioner)	Principal Secretary, Health & ex-officio Head-Executive Committee of SHS	State Project Director (SPD)
		Mission Director	
District level	District Rural Development Agency (DRDA)	District Health Society (DHS)	District Education Planning Committee
		District Health Planning and Monitoring Committee	
		District Project Officer-NRHM	
Block level	Programme Officer	Block Health Planning and Monitoring Committee	Block Development Committee
Village level	Vigilance Monitoring Committee	Village Health and Sanitation Committee	Village Education Committee

(Source: Director, Panchayati Raj, Uttar Pradesh)

Appendix - 1.4

(Reference: Paragraph no. 1.7; page 4 & 5)

Functions of Standing Committees

(a) Standing Committees in GPs

- (i) *Niyojan Evam Vikas Samiti*: is responsible for preparing development plan for GP and implementation of Agriculture, Animal Husbandry and Poverty Alleviation schemes.
- (ii) *Shiksha Samiti*: is responsible for implementation of schemes related to primary education, adult & informal education and literacy programme.
- (iii) *Nirman Karya Samiti*: is responsible for all construction and maintenance works and quality thereof.
- (iv) *Swasthya Evam Kalyan Samiti*: is enshrined with the task of Medical, Health, Family Planning and Social Welfare Schemes especially for implementation of Women and Child Welfare Schemes.
- (v) *Prashasanik Samiti*: deals with matters related to establishment of employees and fair price shop of Gram Panchayat.
- (vi) *Jal Prabandhan Samiti*: deals with operation and maintenance of Drinking Water Scheme and Tube wells.

(b) Standing Committees in ZPs/KPs

- (i) *Niyojan Evam Vikas Samiti*: is assigned the task to prepare a plan for GPs and to implement the Agriculture, Animal Husbandry and Poverty Alleviation schemes.
- (ii) *Shiksha Samiti*: is assigned the task regarding Primary Education, Upper Primary Education, Informal Education and Literacy Programme.
- (iii) *Nirman Karya Samiti*: is entrusted with the task to have effective control and quality assurance in all the temporary and permanent constructions and maintenance works.
- (iv) *Swasthya Evam Kalyan Samiti*: is responsible for implementation of Medical, Health and Family Welfare schemes.
- (v) *Prashasanik Samiti*: is responsible for all the subjects of personnel engaged under the control of GPs and works related to fair price shops.
- (vi) *Jal Prabandhan Samiti*: is responsible for operation and maintenance of tube wells and operation of schemes regarding drinking water.

(Source: Director, Panchayati Raj, Uttar Pradesh)

Appendix - 1.5

(Reference: Paragraph no. 1.10; page 7)

Revenue realised from own sources

- (a) & (b) Taxes on land revenue.
- (c) Tax on theatre and cinema.
- (d) Tax on animal.
- (e) Tax on sale of goods in *haat* or *melas*.
- (f) Fees on registration of animals sold in any market under GP.
- (g) Fees on slaughter house.
- (h) Water rates.

Taxes, fees etc., levied by ZPs & KPs as per Chapter 7 of UP KP & ZP Act, 1961:

- (i) Circumstances and Property Tax (Section 120).
- (ii) Fees for use of ZP & KP properties other than lease (Section 142).
- (iii) License fees (Section 145).
- (iv) Fees for the use of libraries, *sarai* and *parhao* etc. *Haat Bazar* and tolls for use of bridges (Section 144).
- (v) (a) License fees on brokers, commission agents, weights or measurements (Section 145).
 - (b) Toll on vehicles, animals or porters used for transporting goods for sale in markets.
 - (c) Market fees for sale of goods.
 - (d) Fees on registration of animals sold in market.

(Source: Director, *Panchayati Raj*, Uttar Pradesh)

Appendix - 1.6
(Reference: Paragraph no. 1.10; page 7)

Aggregate receipts: time series data on resources of PRI

(₹ in crore)

Nature of Fund	2009-10	2010-11	2011-12	2012-13	2013-14	Total
Own revenue	103.73	131.36	127.08 ¹	170.21	190.70	723.08
CFC	585.60	911.29	1,473.51	1,742.36	3,408.67	8,121.43
SFC	1,262.07	1,787.57	2,172.38 ²	2,455.04	3,544.81	11,221.87
CSS	12,119.67	10,737.28	9,006.91 ³	7,816.28	6,498.78	46,184.31
Total	14,071.07	13,567.50	12,779.88	12,183.89	13,642.96	66,250.69

(Source: Director, *Panchayati Raj*, Lucknow; Deputy Director, *Zila Panchayat* Monitoring Cell, Lucknow and Commissioner, Rural Development, Lucknow)

¹ Only *Zila Panchayat*.

² Differs from ATIR 2010-11 because the Director, *Panchayati Raj* furnished different figures.

³ Out of ₹ 9,006.91 crore, ₹ 4,711.59 crore pertained to MGNREGA of which ₹ 3,889.15 crore was released to PRIs and ₹ 822.44 crore was released to other line department.

Appendix - 1.7
(Reference: Paragraph no. 1.10.2; page 9)
Devolution of funds vis-à-vis net proceeds

(₹ in crore)

Sl. No.	Year	Net proceeds of tax revenue	Funds to be devolved	Funds actually devolved	Shortfall (-) / Excess (+)	Per cent
1	2009-10	33,878	1,694	1,262	(-)432	(-) 26
2	2010-11	41,110	2,261	1,788	(-)473	(-) 21
3	2011-12	52,613	2,894	2,172	(-) 722	(-) 25
4	2012-13	58,098	3,195	2,455	(-)740	(-)23
5	2013-14	66,582	3,662	3,545	(-)117	(-)3
Total		2,52,281	13,706	11,222	(-)2,484	(-)18

(Source: Finance Accounts and Director, Panchayati Raj, Lucknow; Deputy Director, Zila Panchayat Monitoring Cell, Lucknow and Commissioner, Rural Development, Lucknow)

Appendix-2.1.1

(Reference: Paragraph no. 2.1.2; page 15)

Components of TSC/NBA

Sl. No.	Component	Description
1.	Start-Up Activities	Under this component preliminary survey, baseline survey, orientation of key personnel and preparation of State Plan were to be done.
2.	Information, Education and Communication activities	A CCDU was to be set up at the State level to support districts in developing a good IEC plan and implementing it. State level HRD activities were also to be done.
3.	Capacity Building	This component was related to training of VWSC and PRI members, block and district and grass root functionaries.
4.	Construction of Individual Household Latrines	Construction of the IHHL was to be undertaken by the household itself and on completion and usage of the IHHL, a cash incentive was to be given to the BPL household in recognition of its achievement. The Incentive was extended to APL households restricted to SCs/STs, small and marginal farmers, landless labourers with homestead, physically handicapped and women headed households.
5.	Rural Sanitary Marts (RSMs) and Production Centers (PCs):	The RSM was an outlet dealing with materials, hardware and designs required for construction of sanitary latrines, soakage and compost pits, vermi-composting, washing platforms and other sanitation & hygiene accessories. PCs were meant to produce cost effective affordable sanitary materials at the local level. They could be independent or part of the RSMs.
6.	Provision of Revolving Fund in the District	Five <i>per cent</i> of the district project outlay subject to a limit of ₹ 50 Lakh was provisioned as revolving fund. Loan given from this fund was to be recovered in 12-18 instalments.
7.	Community Sanitary Complex	Community Sanitary Complex was an integral component of NBA. These Complexes, comprising of an appropriate number of toilet seats, bathing cubicles, washing platforms, wash basins etc. were to be set up in a place in the village which was acceptable and accessible to all.
8.	Institutional Toilets	Toilets in all types of Government Schools were to be constructed. Emphasis was to be given to toilets for girls in schools. Likewise <i>Anganwadis</i> in Government buildings were to be provided with a baby friendly toilet.
9.	Solid and Liquid Waste Management	Projects were to be prioritized in identified GPs targeted for <i>Nirmal</i> status. The assistance for SLWM projects was based on number of households in GPs, subject to a maximum of ₹ 7 lakh for a GP having up to 150 households, ₹ 12 lakh up to 300 households, ₹ 15 lakh up to 500 households and ₹ 20 lakh for GPs having more than 500 households.
10.	Maintenance of facilities created under NBA	It was essential to train the rural community, particularly all the members of the family about proper upkeep and maintenance of the sanitation facilities created.
11.	Administrative Charges	The Administrative Charges included money spent on salary of temporary staff deployed for the execution of TSC/NBA, support services, fuel charges, vehicle hire charges, stationery, monitoring and evaluation of NBA project. It was to be up to four <i>per cent</i> of the total district project outlay of TSC/NBA.

(Source: Guidelines of TSC/NBA)

Appendix-2.1.2
(Reference: Paragraph no.2.1.6; page 16)
Details of sampled units

Districts	Blocks	Gram Panchayats
Auraiya	Ajitmal	Amawata, Beridhankar, Bisalpur, Dahiyapur, Gauhanikala, Jagdishpur, Mudaina Rupsahaya, Ratanpur, Sarai Tadwa, Shahabajpur.
	Erwakatra	Bhaidpur, Earawa Tikta, Gajipur, Ishwarpur, Kukarkat, Noorabad, Rampur, Sarai Shishgran, Surajpur Erawa, Vaiwaha.
Azamgarh	Ahiraula	Abhaybishesar Pur, Bahrakothi, Besaipur, Bindahr Maychak Khajura, Gahji, Hasnadih, Khalispur, Ledaura, Paramisrauli, Sakarkola.
	Koilsa	Akdangi Biharpur, Baudiya, Bhawanipatti, Dhandhari, Hisamuddinpur, .Karmaha Dingurpur, Madepur, Mukhlispur, Raipur Khurasin, Serwa.
	Mohammadpur	Amaura Jahaniyapur, Bahorapur, Chakmukti, Gauri, Jafarpur, Kharatee, Madaiyan Muhtodh, Mohnath, Ranipur Rajmo, Shivrajpur.
	Sathianv	Asaur, Basti, Daudpurkurmi, Firojabad, Jamin Pahi Jamin, Korhwa, Mustafabad, Paikoli, Salarpur, Sikhthishah Muhammadpur.
Bijnor	Dhampur	Aladinpur Bhatpura, Berkhera Tanda, Dittanpur, Jamalpur Aalam (Bamnoli), Mimla, Mubarakpur Tappa Bharti, Narullapur Uday Chand, Palki Eman, Salawa, Umarpur Aasha.
	Najibabad	Alipura, Bijouri, Gadmalpur, Isseypur, Kamrajpur, Mathurapur Mor, Nangla Upvan (Ubbhan, Pundari Khurd, Sabalpur Bitra, Sikanderpur Basi.
Deoria	Bankata	Bakhari, Basdevpur/ Gobari, Bhupatpura, Chhitouni, Indarva, Kishori Chhapar, Niarava, Parsouni Ananddhan, Rampur Bujurg, Sohanpur.
	Bhattaparrani	Ahirouli Tiwari, Belpar Pandit, Birmapatti, Dhawarkan, Jaitpura, Khampar, Lakhpar, Narayanpur Dube, Puraina, Tadava.
	Patherdeva	Baghara Mahuari, Bhaisa Dabar, Devghat, Ghudikund Kala, Koilasava Khurd, Mahuari, Medipatti, Noniapatti, Pipra, Sahpur Shukla.
Gorakhpur	Barhalganj	Badhya Tikur, Bardiha, Bhiti Dubey, Davanadih, Khadesari, Kolhuwa, Makrandpur, Narharpur, Pauhariya, Seedhegaur.
	Charganwa	Baijnathpur, Belwa Raipur, Jangal Ahmad Ali Shah, Jangal Chhatradhari, Jangal Nakin, Jangal Tinkonia No. 2, Maharajganj, Narainpur, Rampur Chak, Siyarampur.
	Khajni	Ashapar, Barpar Bargah, Bheusa Urf Bankata, Dangipar, Ghaisara, Jigina Babu, Kunwa Bujurg, Pakarghat, Sahulakhor, Satuabhar.
	Sahjanwa	Bakulahi, Bhagaura, Bhimapar, Gangtahi, Jagdishpur, Khajuri, Minya Pakari, Raghunathpur, Revada, Tenuhari.
Hardoi	Behandra	Akbarpur Talhu, Atuka, Behandar Khurd, Diwari, Ghuspaha, Jarha, Kherva, Pilkhani Damgara, Sahpur Chamraha, Turna Rudharparchar.
	Harpalpur	Arjun Pur, Bansi, Behta Rammpura, Chaupur, Gauriya, Kakara, Khasaura, Molhan Pur, Parachauli, Shahabbuddin Pur.
	Pihani	Ambari, Belakpurpur, Daheliya Dugavan, Hann Pasigwan, Korigavan, Manika Pur, Patrash, Rasulpur, Sarehaju, Vajid Nagar.
	Tadiyawan	Amaithiya Masinaha, Bargawan Ahrapur, Bhauta Kamalpur, Gulriha, Japra, Kuwanmau Semrauli, Nibuaai, Purva Dewariya, Sakhin Ramuvapur, Sikandarpur.
Jalaun	Kadaura	Aata, Bara, Chamari, Etura Bavni, Jaksiya, Kashirampur, Kashirampur, Naka, Raila, Tirahi.

	Nadiganv	Anghaura, Bavli, Dhanaura, Ghilor, Kakrauli, Khaksis, Kyolari, Mau Bharatpur, Rajipura, Salaiya Bujurg.
Kaushambi	Manjhanpur	Ambwan Purab, Baiskanti, Bharsawa, Chhimirchha, Gaura, Ibrahimpur, Lahana, Panderi, Piparkundi, Tayabpur Mangaura.
	Sirathu	Balakmau, Bidanpur Aamad Karari, Goselumpur, Jhandapur, Korro, Manmau, Nagiya Maie, Rala, Selahara Purab, Tengaie.
Kushinagar	Kaptanganj	Awarahikritpura, Barawakhas, Bhadsarnarayan, Dumdumwa Urf Majhila, Ghoghara, Kaptanganj, Lakshmpur, Mujdiha, Patkhauli, Senduriya.
	Padrauna	Bahorapur, Batardera, Dewariya Pandey, Haraiya Bujurg, Jangal Naharchhapara, Khadda Khurd, Nadah, Pipara Sujan, Sakhopar, Sikta.
	Vishnupura	Babuiya Harpur, Betiya, Chitahan, Gambhiriya, Jataha Bajar, Madhopur Gaujahi, Mojahida, Pakadiyar, Purnaha Mishr, Sonwal.
Lakhimpur Kheri	Bijua	Ashaarhi, Bhaderh, Daudpur, Gondhiya, Karsour, Majhaura, Mudiya Hemsingh, Pipra Dhani, Ramalaxna, Rura Sultanpur.
	Mitauli	Asiqnagar, Bhirava Grant, Dhakiya Kustaul, Jamhura, Kasta, Kusmi, Mohammadpur Nagra, Odhara, Routapur, Semravan.
	Pasgawan	Ajvapur, Barkhera, Dhakhoura, Hairamkheda, Kallua, Kiriya, Mahmaddpur Nazir, Nakti, Pasgavan, Semra Janipur.
Mirzapur	Chhanbe	Adampur, Baghratiwari, Baurai, Biharakhurd, Dewari, Hargarh, Khaira, Kushahan, Nibi Gharwar, Semari .
	Majhawa	Bajaha, Bhaisa, Damodarpur, Gegrav, Jalalpur, Kanaksarai, Larawak, Narayanpur, Sabesar, Tiwaripur.
Pilibhit	Bilsanda	Aajampur, Bahadia, Chaprauya Kuyina, Ghanshyampur, Iradatpur, Khajuria, Mankapur, Nagararata, Pandari Marouri, Rampur Basant.
	Puranpur	Abhayapur Mu. Shahgarh, Biharipur, Dudhia Khurd, Jadonpur Gahluia, Keshopur Ta. Ghunghchihai, Mainakot, Navdia Dhanesh, Raghunath Pur, Shastari Nagar, Sukhdasapur Nawadia.
Pratapgarh	Aspur Devsara	Akaripur, Beend, Chalawan, Dhandhar, Gharhiya, Kadipur, Majhigawan, Poora, Rauja, Tibipur.
	Kunda	Ahbaranpur, Barai, Chakadarali, Duluwamai, Jhakamai, Kusahilbazar, Maudara, Naubasta, Radhuli, Saja.
	Rampur Sangramgarh	Arro, Bijumau, Harnahar, Kamapatti, Khirapurechemi, Mohammdpur Khas, Pratappur Chergarh, Pure Fatte Singh, Ratanmahesapur, Virsingpur.
Sitapur	Gondlamau	Aabaghat, Bakcherwa, Chanpur, Gadi Kherwa, Gopalpur Purwi, Kalli, Kuchlai, Mudiyakail, Raghunathpur Ani, Samsapur.
	Macchrehta	Anogi, Belandapur, Dengra, Gandhariya, Jalepara, Kodri, Madhwapur, Parsada, Rajpur Pratap, Surjanpur.
	Persendi	Amaura Motisingh, Bhawana, Dhimaura, Goriya Kala, Kaimhara Wajeerpur, Madnapur, Mohiddinpur, Patti Sevai, Samaisa, Suhetara.
	Sidhauli	Akohra, Baharwa, Chawan, Gajipur, Himmatnagar, Kashipur, Lahurewan, Pachimgaon, Ranuwapara, Tedaikalan.
Varanasi	Arajiline	Asvari, Benipur, Chaukhandhi, Gaharpura, Harsoas, Kanthipur, Kundariya, Moglavir, Raj Pur, Sihorva(Uttari).
	Harhua	Adampur, Balua, Chakka, Gadva, Harahua, Koiraan, Palhipatti, Rajapur, Singhapur, Verwa.

(Source: Online data sampled by audit)

Appendix-2.1.3
(Reference: Paragraph no. 2.1.7.4; page 19)
Delayed credit of State share in bank account of the Scheme

(₹ in lakh)

Sl. No.	Districts	Period	Range of delay (days)	Range of amount
1.	Auraiya	2009-14	26 to 325	2.00 to 187.25
2.	Azamgarh	2009-14	35 to 150	2.79 to 122.32
3.	Bijnor	2009-10	45 to 201	1.04 to 249.32
4.	Deoria	2009-14	22 to 337	1.35 to 183.64
5.	Gorakhpur	2009-14	28 to 64	13.83 to 187.10
6.	Hardoi	2009-10	125 to 221	20.00 to 113.55
7.	Jalaun	2010-14	21 to 310	1.46 to 34.82
8.	Kaushambi	2009-14	30 to 298	0.79 to 111.84
9.	Kushinagar	2009-14	31 to 224	9.92 to 362.32
10.	Lakhimpur Kheri	2009-14	26 to 205	0.21 to 123.93
11.	Mirzapur	2009-14	24 to 278	1.72 to 437.97
12.	Pilibhit	2009-14	16 to 340	0.60 to 164.75
13.	Pratapgarh	2010-14	26 to 348	3.00 to 231.58
14.	Varanasi	2009-14	36 to 337	1.07 to 315.65
Total			16 to 348	0.21 to 437.97

(Source: Data worked out by Audit)

Appendix-2.1.4
(Reference: Paragraph no. 2.1.7.6; page 20)
Non-utilization of funds provided for IHHLs

(₹ in lakh)

Sl. No.	Name of district	Name of Block	Name of GP	Unspent amount				
				2009-10	2010-11	2011-12	2012-13	Total
1	Auraiya	Ajeetmal	Sarai Tandwa	0.00	0.00	0.22	0.00	0.22
2	Auraiya	Erwakatra	Surajpur Erwa	0.00	0.93	0.00	0.00	0.93
3	Azamgarh	Ahiraula	Abhaybishesarpur	0.25	0.00	0.09	0.00	0.34
4	Azamgarh	Ahiraula	Bindhar Maychak Khajura	0.00	0.25	0.13	0.00	0.38
5	Azamgarh	Ahiraula	Ledaura	0.11	0.00	0.00	0.00	0.11
6	Azamgarh	Ahiraula	Paramisrauli	0.11	0.00	0.00	0.00	0.11
7	Azamgarh	Koyalsa	Dhandhari	0.00	0.00	0.15	0.23	0.38
8	Azamgarh	Koyalsa	Hissamuddinpur	0.00	0.00	0.11	0.00	0.11
9	Azamgarh	Koyalsa	Mukhlispur	0.00	0.13	0.00	0.00	0.13
10	Azamgarh	Koyalsa	Raipur Khurasin	0.00	0.00	0.13	0.00	0.13
11	Azamgarh	Mohammadpur	Bahorapur	0.11	0.00	0.00	0.00	0.11
12	Azamgarh	Mohammadpur	Chakmukti	0.00	0.15	0.00	0.00	0.15
13	Azamgarh	Mohammadpur	Gauri	0.00	0.00	0.15	0.00	0.15
14	Azamgarh	Mohammadpur	Kharatee	0.00	0.00	0.15	0.00	0.15
15	Azamgarh	Mohammadpur	Madaiya Muhtod	0.00	0.00	0.15	0.00	0.15
16	Azamgarh	Mohammadpur	Mohnath	0.00	0.31	0.00	0.00	0.31
17	Azamgarh	Mohammadpur	Ranipur Rajmo	0.00	1.84	0.00	0.00	1.84
18	Azamgarh	Mohammadpur	Shivrajpur	0.00	0.00	0.00	0.13	0.13
19	Azamgarh	Sathiyav	Kodhwa	0.00	0.00	0.13	0.00	0.13
20	Azamgarh	Sathiyav	Paikoli	0.55	0.09	0.11	0.00	0.75
21	Deoria	Bankata	Bakhri	0.00	0.89	0.00	0.00	0.89
22	Deoria	Bankata	Bhopatpura	0.22	0.00	0.00	0.00	0.22
23	Deoria	Bankata	Inderwa	0.40	0.00	0.00	0.64	1.04
24	Deoria	Bhatpar Rani	Belpar Pandit	0.02	1.04	0.00	0.00	1.06
25	Deoria	Bhatpar Rani	Lakhopaar	0.00	0.95	0.00	0.00	0.95
26	Deoria	Bhatpar Rani	Narayanpur Dubey	0.00	0.16	0.00	0.00	0.16
27	Deoria	Pathardeva	Devghat	0.00	0.08	0.00	0.00	0.08
28	Gorakhpur	Badhalganj	Davnadeeh	0.17	0.00	0.00	0.00	0.17
29	Gorakhpur	Khajni	Dangipaar	0.00	0.19	0.00	0.00	0.19
30	Jalaun	Kadaura	Kashirampur	0.00	0.56	0.00	0.00	0.56
31	Jalaun	Kadaura	Luhargaon	0.00	0.56	0.00	0.00	0.56
32	Jalaun	Nadigaon	Anghaura	0.00	0.49	0.00	0.00	0.49
33	Kaushambi	Manjhanpur	Baishkanti	0.00	0.23	0.00	0.00	0.23
34	Kaushambi	Manjhanpur	Bharaswa	0.00	0.00	4.86	0.00	4.86

35	Kaushambi	Manjhanpur	Chimircha	0.00	1.80	1.14	0.00	2.94
36	Kaushambi	Manjhanpur	Gaura	1.85	0.00	0.00	0.00	1.85
37	Kaushambi	Manjhanpur	Pandiri	0.00	0.57	0.00	0.00	0.57
38	Kaushambi	Manjhanpur	Piparkundi	0.00	0.64	0.09	0.00	0.73
39	Kaushambi	Sirathu	Balakmau	0.38	0.00	0.00	0.00	0.38
40	Kaushambi	Sirathu	Bidanpur Amad Karari	0.00	2.10	0.00	0.00	2.10
41	Kaushambi	Sirathu	Gauselampur	0.00	0.92	0.07	0.00	0.99
42	Kaushambi	Sirathu	Korro	3.48	0.00	0.00	0.00	3.48
43	Kaushambi	Sirathu	Manmau	0.00	0.37	0.00	0.00	0.37
44	Kaushambi	Sirathu	Rala	0.00	0.31	0.00	0.00	0.31
45	Kaushambi	Sirathu	Selahara Purab	2.35	0.00	0.00	0.00	2.35
46	Kaushambi	Sirathu	Tengai	0.00	0.00	2.46	0.77	3.23
47	Kushinagar	Vishunpura	Babuian Harpur	0.15	4.23	1.60	0.92	6.90
48	Kushinagar	Vishunpura	Benia	0.00	0.00	0.00	0.22	0.22
49	Kushinagar	Vishunpura	Mojhida	0.00	0.00	1.11	0.46	1.57
50	Kushinagar	Vishunpura	Pakadipaar	0.44	0.00	0.15	1.20	1.79
51	Kushinagar	Vishunpura	Punhi Mishra	0.11	0.00	0.00	0.00	0.11
52	Kushinagar	Vishunpura	Sonwal	0.00	0.00	0.92	0.46	1.38
53	Kushinagar	Kaptanganj	Avrahi Kitpura	0.00	0.00	3.46	0.00	3.46
54	Kushinagar	Kaptanganj	Barwakhas	0.00	0.41	0.00	0.00	0.41
55	Kushinagar	Kaptanganj	Ghoghra	0.00	0.00	0.96	0.00	0.96
56	Kushinagar	Kaptanganj	Kaptanganj	0.00	2.09	0.00	0.29	2.38
57	Kushinagar	Kaptanganj	Mujdiha	0.00	0.00	0.43	0.00	0.43
58	Kushinagar	Kaptanganj	Patkhauli	0.00	0.00	0.00	0.64	0.64
59	Kushinagar	Kaptanganj	Senduriya Vishunpur	0.13	1.05	0.21	0.00	1.39
60	Kushinagar	Padrauna	Batardera	0.48	0.38	0.00	0.00	0.86
61	Kushinagar	Padrauna	Deoria Pandey	0.01	0.00	0.00	0.00	0.01
62	Lakhimpur Kheri	Bijuwa	Pipra Dhani	0.00	0.00	0.00	1.38	1.38
63	Sitapur	Gondalamau	Gadhi Kherwa	0.00	1.86	0.00	0.00	1.86
64	Sitapur	Gondalamau	Mudiya Kail	0.33	0.00	0.00	0.00	0.33
65	Sitapur	Gondalamau	Samsapur	0.00	0.00	1.10	0.00	1.10
66	Sitapur	Machchrehta	Gnadheriya	0.00	0.00	1.07	0.00	1.07
67	Sitapur	Machchrehta	Madhwapur	0.00	0.00	3.25	0.00	3.25
68	Sitapur	Machchrehta	Parsada	0.00	0.00	1.10	0.00	1.10
69	Sitapur	Persendi	Amaura Moti Singh	0.00	0.00	0.93	0.00	0.93
70	Sitapur	Persendi	Bhawana	0.89	0.00	0.00	0.00	0.89
71	Sitapur	Persendi	Dhimaura	0.00	0.00	1.10	0.00	1.10
72	Sitapur	Persendi	Madnapur	0.00	0.00	1.16	0.00	1.16
73	Sitapur	Persendi	Mohiddipur	0.00	0.00	1.40	0.00	1.40

74	Sitapur	Persendi	Patti Sevai	0.00	1.86	0.00	0.00	1.86
75	Sitapur	Persendi	Suhetara	0.00	0.00	2.20	0.00	2.20
76	Sitapur	Sidhauli	Akhora	0.00	1.39	0.00	0.00	1.39
77	Sitapur	Sidhauli	Baherva	1.39	1.84	0.00	0.00	3.23
78	Sitapur	Sidhauli	Chhawan	1.87	0.00	1.16	0.00	3.03
79	Sitapur	Sidhauli	Himmatnagar	0.00	0.00	0.66	0.00	0.66
80	Sitapur	Sidhauli	Kashipur	0.48	0.00	2.01	0.00	2.49
81	Sitapur	Sidhauli	Lahurewan	0.00	3.15	0.00	0.00	3.15
82	Sitapur	Sidhauli	Pachimgaon	0.48	0.00	2.06	0.00	2.54
83	Sitapur	Sidhauli	Ranuapara	0.42	0.00	1.40	0.00	1.82
84	Varanasi	Arajiline	Rajpur	0.00	0.33	0.00	0.00	0.33
Total				17.18	34.15	39.58	7.34	98.25

(Source: Test-checked GPs)

Appendix-2.1.5
(Reference: Paragraph no. 2.1.8.2; page 22)
Differences in baseline survey and census-2011 reports

(in number)

Sl. No.	District	As per Baseline Survey			As per Census 2011			Difference		
		Household	Household with toilets	Household without toilets	Household	Household with toilets	Household without toilets	Household	Household with toilets	Household without toilets
1	Auriya	2,23,030	1,24,492	98,538	2,07,674	42,285	1,65,389	(+) 15,356	(+) 82,207	(-) 66,851
2	Azamgarh	6,95,259	2,10,855	4,84,404	6,08,684	1,02,020	5,06,664	(+) 86,575	(+) 1,08,835	(-) 22,260
3	Bijnore	5,34,540	2,87,389	2,47,151	4,76,873	2,40,417	2,36,456	(+) 57,667	(+) 46,972	(+) 10,695
4	Deoria	4,17,738	1,33,235	2,84,503	4,26,692	71,438	3,55,254	(-) 8,954	(+) 61,797	(-) 70,751
5	Gorakhpur	5,24,606	1,70,100	3,54,506	5,59,062	1,03,886	4,55,176	(-) 34,456	(+) 66,214	(-) 1,00,670
6	Hardoi	6,81,308	1,93,533	4,87,775	6,19,495	1,07,538	5,11,957	(+) 61,813	(+) 85,995	(-) 24,182
7	Jalaun	3,24,388	1,47,262	1,77,126	2,16,920	61,478	1,55,442	(+) 1,07,468	(+) 85,784	(+) 21,684
8	Kaushambi	2,71,247	42,146	2,29,101	2,54,926	35,156	2,19,770	(+) 16,321	(+) 6,990	(+) 9,331
9	Kushi Nagar	7,34,174	2,43,218	4,90,956	5,31,928	78,514	4,53,414	(+) 2,02,246	(+) 1,64,704	(+) 37,542
10	Lakhimpur Kheri	6,29,969	1,83,343	4,46,626	6,30,131	1,15,451	5,14,680	(-) 162	(+) 67,892	(-) 68,054
11	Mirzapur	4,53,896	2,37,707	2,16,189	3,35,481	64,474	2,71,007	(+) 1,18,415	(+) 1,73,233	(-) 54,818
12	Pilibhit	3,49,316	1,61,964	1,87,352	2,97,601	1,05,704	1,91,897	(+) 51,715	(+) 56,260	(-) 4,545
13	Pratapgarh	5,20,357	1,07,402	4,12,955	5,06,922	57,279	4,49,643	(+) 13,435	(+) 50,123	(-) 36,688
14	Sitapur	7,51,390	2,05,736	5,45,654	7,10,299	84,856	6,25,443	(+) 41,091	(+) 1,20,880	(-) 79,789
15	Varanasi	4,60,606	1,93,829	2,66,777	3,01,485	81,600	2,19,885	(+) 1,59,121	(+) 1,12,229	(+) 46,892
Total		75,71,824	26,42,211	49,29,613	66,84,173	13,52,096	53,32,077			

(Source: Data provided by test-checked districts and online census)

Appendix-2.1.6
(Reference: Paragraph no. 2.1.8.2; page 22)
Inadequate planning in AIPs of the test checked districts

(in number)

Sl. No.	PIP Components	Planned in PIP	Required plan in AIPs (1/10 of PIP)	Planned in AIP 2012-13	Shortfall in 2012-13	Planned in AIP 2013-14	Short fall in 2013-14	Percentage of shortfall range
1	IHHL BPL	16,79,264	1,67,926	88,204	79,722	73,419	94,507	47-56
2	IHHL APL	35,54,572	3,55,457	1,23,328	2,32,129	1,72,738	1,82,719	65-51
3	Community Complex	1,282	128	323	0	89	39	0-30
4	School Toilets	5,021	502	1,085	0	952	0	0-0
5	Anganwadi Toilets	7,592	759	174	585	0	759	77-100
6	SLWM	13,816	1,382	4,831	0	1,996	0	0-0

(Source: Test-checked districts)

Appendix-2.1.7
(Reference: Paragraph no. 2.1.9.1; page 23)
Physical progress of TSC

(In number)

Sl. No.	Components	Project objectives	Project performance	Shortfall	Percentage shortfall
1.	IHHL BPL	24,86,530	23,00,454	1,86,076	7
2.	IHHL APL	28,71,299	21,56,382	7,14,917	25
3.	Community Sanitary Complex	742	763	-	0
4.	School toilets	61,436	52,366	9,070	15
5.	Anganwadi toilets	24,741	22,455	2,286	9
6.	SLWM	13,264	432	12,832	97

(Source: AIP and PIP of test-checked districts)

Appendix-2.1.8
(Reference: Paragraph no. 2.1.9.1; page 23)
Status of defunct toilets

(In number)

Sl. No.	Districts	TSC project performance (BPL)	No. of defunct IHHLs	Percentage of defunct IHHLs
1	Auraiya	98,104	46,686	48
2	Azamgarh	1,58,907	1,11,235	70
3	Bijnor	1,10,403	46,957	43
4	Deoria	1,71,213	82,484	48
5	Gorakhpur	2,11,010	80,712	38
6	Hardoi	1,99,989	43,998	22
7	Jalaun	90,461	18,432	20
8	Kaushambi	1,21,253	28,313	23
9	Kushinagar	2,34,042	1,46,917	63
10	Lakhimpur Kheri	93,314	56,703	61
11	Mirzapur	2,31,315	1,76,780	76
12	Pilibhit	95,178	39,083	41
13	Pratapgarh	1,40,879	1,12,224	80
14	Sitapur	2,73,463	2,18,174	80
15	Varanasi	70,923	9,423	13
Total		23,00,454	12,18,121	53

(Source: Test-checked districts)

Appendix-2.1.9
(Reference: Paragraph no. 2.1.10.1; page 25)
Villages identified under TSC/NBA

(In number)

Sl. No.	District	Total identified Villages	Lohia Villages	Ambedkar Villages	Other Villages
1.	Auraiya	884	37	61	752
2.	Azamgarh	2,680	82	390	2,208
3.	Bijnor	674	53	218	403
4.	Deoria	2,262	63	54	2,145
5.	Gorakhpur	2,068	68	251	1,749
6.	Hardoi	460	71	290	99
7.	Jalaun	774	38	58	678
8.	Kaushambi	950	48	96	806
9.	Kushinagar	3,687	73	45	3,569
10.	Lakhimpur Kheri	269	67	150	52
11.	Mirzapur	962	59	237	666
12.	Pilibhit	841	43	50	748
13.	Pratapgarh	1,288	65	114	1,109
14.	Sitapur	2,728	72	329	2,327
15.	Varanasi	336	46	88	202
Total		20,863	885	2,431	17,513

(Source: Test-checked districts)

Appendix-2.1.10
(Reference: Paragraph no. 2.1.10.3; page 26)
Incomplete IHHLs due to non-timely execution of activities under convergence

(In number)

Sl. No.	District	Year	No. of IHHL to be completed	Incomplete IHHLs
1.	Azamgarh	2012-13	11,051	4,462
	Azamgarh	2013-14	17,754	12,314
2.	Jalaun	2012-13	2,278	145
	Jalaun	2013-14	1,832	845
3.	Hardoi	2013-14	175 ⁴	57
4.	Sitapur	2012-13	459 ⁵	115
5.	Kaushambi	2013-14	45 ⁶	13
6.	Kushinagar	2013-14	10 ⁷	10
7.	Varanasi	2012-13	10,952	4,910
	Varanasi	2013-14	15,516	12,329
Total			60,072	35,200

(Source: Test-checked districts)

⁴ GP Manikapur of Pihani block.

⁵ 40 test checked GPs.

⁶ Nagiamai GP of Sirathu Block.

⁷ Betia GP of Vishunpura Block.

Appendix-2.1.11
(Reference: Paragraph no. 2.1.10.4; page 27)
Loans provided to Rural Sanitary Mart/Production Center

(₹ in lakh)

Sl. No.	Districts	Loan amount	Period	Status of recovery	Balance amount
1.	Bijnor	13.09	Prior to 2009-10	Nil	13.09
2.	Gorakhpur	07.00	2009-10	Nil	07.00
3.	Hardoi	25.00	2009-10	Nil	25.00
4.	Pilibhit	01.15	2009-10	Nil	01.15
5.	Sitapur	03.50	2009-10	Nil	03.50
Total		49.74		Nil	49.74

(Source: Test-checked districts)

Appendix-2.1.12
(Reference: Paragraph no. 2.1.11.1; page 30)
Funds available and expenditure on IEC in State

(₹ in crore)

Year	Fund release by GoI	Funds released by State Government	Total release	15 per cent for IEC	Actual expenditure	Actual Expenditure (in per cent)
2009-10	115.05	242.93	357.98	53.70	9.39	17.49
2010-11	225.94	78.14	304.08	45.61	19.87	43.56
2011-12	169.77	22.96	192.73	28.91	28.72	99.34
2012-13	256.85	35.47	292.32	43.85	9.87	22.51
2013-14	367.52	79.30	446.82	67.02	15.70	23.42
Total	1,135.13	458.80	1,593.93	239.09	83.55	34.95

(Source: State Sanitation Mission)

Appendix-2.1.13
(Reference: Paragraph no. 2.1.13.2; page 34)
Details of inspection carried out in GPs

(In number)

Sl. No.	District	Inspection by DPRO	Inspection by DPC	Inspection by Regional Dy. Director	Total inspections
1.	Auraiya	84	0	16	100
2.	Azamgarh	86	45	NA	131
3.	Bijnor	615	573	45	133
4.	Deoria	60	75	20	155
5.	Gorakhpur	145	179	NA	324
6.	Hardoi	57	477	18	552
7.	Jalaun	95	NA	NA	95
8.	Kaushambi	274	658	50	982
9.	Kushinagar	93	197	NA	290
10.	Lakhimpur Kheri	283	587	8	878
11.	Mirzapur	24	212	24	260
12.	Pilibhit	153	158	0	311
13.	Pratapgarh	88	50	NA	138
14.	Sitapur	122	202	33	357
15.	Varanasi	76	28	48	152
Total		2,255	3,441	262	5,958

(Source: Test-checked districts)

Appendix-2.2.1

(Reference: Paragraph no.2.2.1 & 2.2.3; page 37)

Details of test-checked ZPs, KPs and GPs

Sl. No.	Name of Zila Panchayat	KPs	GPs
1	Kaushambi	Muratganj	Malak Bharat
			Ujahini
			Udathu
			Asarafpur
			Ashokpur
		Sirathu	Chamandha
			Sindhia Amadkarari
			Thon
			Rala
			Kaini
		Sarsawan	Raxauli
			Paur Kashirampur
			Zafarpur Mahawan
			Hinauta
			Punwar
2	Mirzapur	Halia	Bhatpurwa
			Dighiya
			Haliya
			Khutaha
			Rajpur
		Patehra kala	Ghori
			Hasara
			Padariya khurd
			Raikara
			Rajauha
		Rajgarh	Bhiti
			Dhauraha
			Khoradih
			Nadihar
			Sonpur
3	Varanasi	Baragaon	Barahinawada
			Bhiti
			GajaPur
			Hasanpur

			lakhmipur
		Cholapur	Ajagara
			Gadasara
			Garthouli
			Rasada
			Tadi
		Sevapuri	Sakalpur
			Bhorkala
			Chaklola
			Esarwar
			Gorai

(Source: Director, *Panchayati Raj*, Lucknow)

Appendix-2.2.2

(Reference: Paragraph no. 2.2.14; page 44)

Encroachment of Land

(₹ in lakh)

Name of Districts	Name of Tehsil	Name of GPs	Area of Land encroached upon (hectare)	Circle rate per hectare	Amount
Kaushambi	Chayal	Asharafpur	0.137	8.89	1.22
		Rala	2.465	11.86	29.23
	Sirathu	Chamandha	0.331	11.86	3.92
		Sindhia Amad Karari	0.043	11.86	0.51
		Paur Kashirampur	0.999	7.41	7.40
	Manjhanpur	Hinauta	2.11	7.41	15.64
		Raxauli	1.541	7.41	11.42
		Punwar	0.856	7.41	6.34
		Total	8.482	75.68	

(Source: Records of concerned Tehsil)

Appendix-2.3.1

(Reference: Paragraph no. 2.3.3; page 57)

Details of avoidable expenditure on construction of rural link roads

(Amount in ₹)

Sl. No.	Name of work	Date of technical sanction	Sanctioned cost	Total exp.	Expenditure incurred on P-1
1	Palval Aligarh marg mea hetalpur bamba patri par painting work 400 mtr	26.04.11	9,94,104	9,45,528	1,80,679
2	Tappal Noorpur marg mea meva nagla pulia tak painting work 390 mtr	26.04.11	9,94,403	9,94,000	1,76,760
3	Dor pulia mea Meva nagla gaun tak painting work 380 mtr	26.04.11	9,94,502	9,91,984	1,72,580
4	Atari mea Rajpal ki pulia tak painting work 378 mtr	26.04.11	9,94,304	9,93,604	1,69,381
5	Atari talab se Manpur sampark marg par painting work 430 mtr	26.04.11	9,94,005	9,93,006	1,94,871
6	Rajpal ki pulia Bhauli ke bag tak painting work 378 mtr	26.04.11	9,94,204	9,93,915	1,69,381
7	Bhauli ke bag se Budhaka pyau tak painting work 380 mtr	26.04.11	9,94,005	9,91,864	1,69,854
8	Tappal Baina marg se meva nagla tak painting work 215 mtr	03.12.11	4,99,400	4,98,800	98,487
9	Shahpur Gaun se Marghat tak sampark marg par painting work 420 mtr	03.12.11	8,99,910	9,81,325	1,84,780
10	Aligarh Barauni road se Nagla patwari mea Gaffar ke ghar tak painting work 225 mtr	26.04.11	9,94,900	9,76,407	1,05,181
11	Aurangabad se samastpur sampark marg par painting work 204 mtr	03.12.11	4,49,955	4,44,176	88,128
12	Panaithi road se gadiya (machhuva) sampark marg par painting work 400 mtr	03.12.11	9,70,000	9,69,761	1,91,270
13	Aurangabad se samastpur sampark marg par .400 Km se .825 Km tak painting work 425 mtr	26.04.11	9,94,005	9,89,443	1,89,367
14	Bhimgarhi mea gaun se bambe ke pul tak sampark marg par painting work 480 mtr	03.12.11	8,99,910	8,94,237	2,14,471
15	Bajata Atari sampark marg par 1.200 Km se 1.600 Km tak painting work 360 mtr	-	9,88,812	9,82,261	1,64,783
16	Nagla kila mea charch se shamshaan sampark marg par painting work 410 mtr	03.12.11	8,99,910	8,35,785	1,77,456
17	Nivsani se manpur painting work 500 mtr	26.04.11	7,99,840	7,99,680	2,22,956
18	Ramnagar school se cheemanpur sampark marg par 0.450 Km se 0.875 Km tak painting work 390 mtr	26.04.11	9,94,005	9,90,011	1,62,472
19	Budhaka jevar sampark marg se Bushaka by-pass pae painting repair work 500 mtr	02.01.12	9,94,005	9,85,866	2,23,126
20	Hetalpur bamba se hetalpur school tak painting repair work 508 mtr	02.01.13	9,94,801	9,88,906	2,51,119
Total			1,84,20,482	1,82,40,559	35,07,102

(Source: Records of ZP, Aligarh)

Appendix-2.3.2
Details of fraudulent Payment
(Reference: Paragraph no. 2.3.4; page 58)

Sl. No.	Muster Roll No. 28 Period 25-11-11 to 09-12-11		Muster Roll No. 31 Period 25-11-11 to 09-12-11		Muster Roll No. 32 Period 10-12-11 to 24-12-11		Muster Roll No. 33 Period 10-12-11 to 24-12-11	
	Name Shri	Father Name	Name Shri	Father Name	Name Shri	Father Name	Name Shri	Father Name
1.	Wakil khan	Shabudhin	Wakil khan	Shabudhin	Alisen	Sakur khan	Alisen	Sakur khan
2.	Shyam singh	Nakesh Lal	Shyam singh	Nakesh Lal	Rajesh	Sajjan	Rajesh	Sajjan
3.	Radhey Shyam	Sonpal	Radhey Shyam	Sonpal	Raju	Thodi singh	Raju	Thodi singh
4.	Hundilall	Sharman singh	Hundilal	Sharman singh	Yashpal	Kishanlal	Yashpal	Kishanlal
5.	Layak singh	Hundi lal	Layak singh	Hundi lal	Pappu	Ramsanehi	Pappu	Ramsanehi
6.	Mahadev	Hundi	Mahadev	Hundi	Ramdash	Samley	Ramdash	Samley
7.	Satyendra	Phool singh	Satyendra	Phool singh	Kanhai Ram	Samlay	Kanhai Ram	Samlay
8.	Munay khan	Kapoor khan	Munay khan	Kapoor khan	Naphal	Kishanlal	Naphal	Kishanlal
9.	Islam khan	Kapoor khan	Islam khan	Kapoor khan	Veeri singh	Keshari	Devlal	Ramjeet
10.	Sahzad	Kapoor khan	Sahzad	Kapoor khan	Devlal	Ramjeet	Pratap	Darshan lal
11.	Bhuri singh	Ramveer singh	Bhuri singh	Ramveer singh	Pratap	Darshan lal	Netra pal	Thodi Ram
12.	Virender	Ramveer singh	Virender	Ramveer singh	Netra pal	Thodi Ram	Jaypal	DataRam
13.	Shyamveer	Radhpal	Shyamveer	Radhpal	Jaypal	DataRam	Pravendr kumar	Gaya prashad
14.	Kenhai singh	Mevaram	Kenhai singh	Mevaram	Pravendr kumar	Gaya prashad	Rajesh	Gaya prashad
15.	Sukhveer	Nahar singh	Sukhveer	Nahar singh	Rajesh	Gaya prashad	Dinesh	Karn singh
16.	Chatarpal	Mevaram	Chatarpal	Mevaram	Dinesh	Karn singh	Pappu	Vash Dev
17.	Rampal	Kanchan	Rampal	Kanchan	---	---	---	---
18.	Sanju	Veeri singh	Sanju	Veeri singh	---	---	---	---
19.	Shri kishan	Veeri singh	Shrikishan	Veeri singh	---	---	---	---
20.	Nizam	Natthu khan	Nizam	Natthu khan	---	---	---	---
Total Payment of the Muster Roll		₹ 34,080	₹ 34,920		₹ 61,500		₹ 66,260	

(Source: Records of KP, Narakhi, Firozabad)

Appendix 3.1
(Reference: Paragraph no. 3.4.6; page 64)

Devolution of SFC grants

(₹ in crore)

Sl. No.	Year	Net proceeds of Tax Revenue of State Government	Funds to be devolved	Funds devolved	Short/Excess devolution (<i>per cent</i>)
1	2009-10	33,877	2,541	2,090	-451(18)
2	2010-11	43,464	3,042	2,560	-482(16)
3	2011-12	50,351	3,525	3,085	-440 (12)
4	2012-13	57,498	4,025	3,698	-327(8)
5	2013-14	62,777	4,394	5,810	1,416 (32)

(Source: Director, Local Bodies, Lucknow)

Appendix 3.2

(Reference: Paragraph no. 3.8, 4.1.1 & 4.1.6; page 69, 76 & 78)

Functions of Urban Local Bodies

- (i) Urban planning including town planning.
- (ii) Regulation of land use and construction of buildings.
- (iii) Planning for economic and social development.
- (iv) Roads and bridges.
- (v) Water supply for domestic, industrial and commercial purposes.
- (vi) Public health, sanitation, conservancy and solid waste management.
- (vii) Fire services.
- (viii) Urban forestry, protection of the environment and promotion of ecological aspects.
- (ix) Safeguarding the interests of weaker sections of society including the handicapped and mentally retarded.
- (x) Slum improvement and up gradation.
- (xi) Urban poverty alleviation.
- (xii) Provision for urban amenities and facilities such as parks, gardens, playgrounds.
- (xiii) Promotion of cultural, educational and aesthetic aspects.
- (xiv) Burials and burial grounds, cremations, cremation grounds and electric crematorium.
- (xv) Cattle ponds, prevention of cruelty to animals.
- (xvi) Vital statistics including registration of births and deaths.
- (xvii) Public amenities including street lighting, parking lots, bus stops and public conveniences.
- (xviii) Regulation of slaughter houses and tanneries.

(Source: Director, Local Bodies, Lucknow)

Appendix-4.1.1

(Reference: Paragraph no.4.1.5; page 77)

Details of NPPs/ NPs under Kanpur Mandal

Sl. No.	Name of NPPs under Kanpur Mandal
1	Auraiya
2	Bharthana
3	Bilhaur
4	Chibramau
5	Etawah
6	Farrukhabad
7	Ghatampur
8	Gursahayganj
9	Jaswantnagar
10	Kannauj
11	Kayamganj
12	Pukhrayan

Sl. No.	Name of NPs under Kanpur Mandal
1.	Achalada
2.	Akabarpur
3.	Amraudha
4.	Atsu
5.	Babarpur Ajeetmal
6.	Bakebar
7.	Bidhuna
8.	Bithur
9.	Derapur
10.	Dibiyapur
11.	Ekdil
12.	Fafund
13.	Jhinhak
14.	Kamalganj
15.	Kampil
16.	Lakhna
17.	Mohamadabad
18.	Rasoolabad
19.	Roora
20.	Samdhan

21.	Saurikh
22.	Shamshabad
23.	Shivli
24.	Shivrajpur
25.	Sikandarpur
26.	Sikandra
27.	Talgram
28.	Tirwaganj

(Source: Information provided by Director, Local Bodies)

Appendix-4.1.2

(Reference: Paragraph no. 4.1.7.1; page 80)

Details showing delay in release of funds to implementing agency by KNN

(₹ in crore)

Received from the State Government to KNN		Release to implementing agency (UPJN) by KNN		Delay
Date	Amount	Date	Amount	
Name of project-Municipal Solid Waste Management Project				
12.01.2008	14.06	05.03.2008	7.00	50 days
		15.09.2009	7.05	609 days
16.03.2010	4.22	20.07.2010	14.00	108 days to
31.03.2010	9.84			123 days
Name of project-Sewerage Work in inner old Area				
12.03.2008	13.92	24.03.2008	13.00	09 days
		27.11.2008	0.91	257 days
02.12.2008	23.66	07.01.2009	10.00	33 days
		02.03.2009	3.66	87 days
16.03.2010	7.16	12.04.2010	7.15	24 days
08.04.2010	7.16	12.08.2010	7.15	123 days
11.10.2010	33.40	05.01.2011	33.40	83 days
21.03.2011	14.32	31.03.2011	5.32	7 days
		05.05.2011	9.00	42 days
26.09.2011	3.82	14.11.2011	3.82	46 days
03.04.2013	9.54	05.05.2013	9.00	29 days
04.07.2013	14.32	29.07.2013	14.32	22 days
Name of project-Sewerage Work phase- II				
26.02.2009	12.63	2.04.2009	5.00	32 days
26.02.2009	5.05	21.10.2009	7.63	234days
30.03.2009	7.58	28.02.2011	12.63	698 to 730 days
Name of project-Sewerage Work phase- III				
21.05.2009	32.25	15.09.2009	10.00	114 days
		05.11.2009	06.12	165 days
		18.12.2009	16.13	208 days
23.10.2009	1.37	10.08.2010	17.74	129 to 288 days
10.02.2010	7.50			
31.03.2010	8.87			
20.01.2014	34.56	11.02.2014	34.74	19 days
08.03.2014	1.29	27.06.2014	16.34	75 to 108 days
10.04.2014	15.55			
Name of project-Water Supply Inner old area				
12.03.2008	39.30	25.03.2008	30.00	10 days
21.10.2008	04.96	02.02.2009	20.00	59 to 324 days
02.12.2008	06.95			
Name of project-Water Supply Phase- II				
21.05.2009	66.11	17.07.2009	5.00	54 days
		24.10.2009	28.06	153 days
		17.12.2009	33.06	207 days
Total			387.23	

(Source: Information provided by KNN)

Appendix-4.1.3

(Reference: Paragraph no. 4.1.7.1; page 80)

Details showing position of unadjusted advance at the end of 2013-14

Sl. No.	Year of sanction	Amount lying unadjusted	(₹ in lakh)
1.	1999-2000		123.19
2.	2000-2001		82.31
3.	2001-2002		45.38
4.	2002-2003		57.05
5.	2003-2004		21.50
6.	2004-2005		07.10
7.	2005-2006		10.39
8.	2006-2007		13.21
9.	2007-2008		23.20
10.	2008-2009		26.41
11.	2009-2010		00.58
12.	2010-2011		07.30
13.	2011-2012		00.84
14.	2012-2013		05.00
15.	2013-2014		31.65
Total			455.11 Say ₹ 4.55 crore

(Source: Information provided by KNN)

Appendix-4.1.4

(Reference: Paragraph no. 4.1.7.2, 4.1.16.2 & 4.1.22.2; page 80, 98 and 103)

Details showing realisation of tax/non-tax revenue during 2009-14

(₹ in crore)

Year	Demand						Realisation						Shortfall/ Excess(-)
	09-10	10-11	11-12	12-13	13-14	Total	09-10	10-11	11-12	12-13	13-14	Total	
Name of Unit													
Kanpur Nagar Nigam	108.08	161.00	180.00	219.00	250.97	919.05	64.41	112.61	115.06	126.42	127.48	545.98	373.07
Jal Kal Vibhag	116.90	140.23	154.68	169.38	187.33	768.52	41.75	54.56	63.41	66.15	76.98	302.85	465.67
Grand Total of KNN	224.98	301.23	334.68	388.38	438.3	1687.57	106.16	167.17	178.47	192.57	204.46	848.83	838.74
NPP, Kannauj	01.24	01.42	01.65	01.93	02.00	8.24	01.43	01.37	01.48	02.19	02.05	8.52	(-)0.28
NPP, Ghatampur	00.36	00.60	00.69	00.62	00.61	2.88	00.12	00.26	00.24	00.28	00.25	1.15	01.73
NPP, Kayanganj	00.35	00.35	00.35	00.76	00.91	2.72	00.49	00.46	00.50	00.69	00.87	3.01	(-)0.29
Grand Total of NPPs	1.95	2.37	2.69	3.31	3.52	13.84	2.04	2.09	2.22	3.16	3.17	12.68	1.16
NP, Tirwaganj	00.54	00.63	00.63	00.43	00.70	2.93	00.38	00.22	00.40	00.48	00.59	2.07	0.86
NP, Talgram	00.10	00.14	00.22	00.22	00.22	0.9	00.09	00.11	00.07	00.13	00.15	0.55	0.35
NP, Shivili	00.05	00.05	00.05	00.07	00.09	0.31	00.04	00.04	00.04	00.04	00.05	0.21	0.1
NP, Atsu	00.18	00.18	00.22	00.25	00.09	0.92	00.07	00.04	00.01	00.03	00.05	0.2	0.72
NP, Ekdil	00.05	00.05	00.05	00.08	00.08	0.31	00.03	00.01	00.02	00.02	00.07	0.15	0.16
NP, Kamalganj	00.15	00.17	00.17	00.10	00.19	0.78	00.12	00.10	00.13	00.16	00.18	0.69	0.09
NP, Shivrajpur	No records were provided by NP.												
Grand Total of NPs	1.07	1.22	1.34	1.15	1.37	6.15	0.73	0.52	0.67	0.86	1.09	3.87	2.28

(Source: Information made available by KNN and test-checked NPPs/NPs.)

Appendix-4.1.5

(Reference: Paragraph no. 4.1.7.2, 4.1.16.2 & 4.1.22.2; page 82, 99 & 104)

Details of additional stamp duty not received

(₹ in crore)

Year	Due	Received	Short Receipt
Kanpur Nagar Nigam			
2009-10	13.22	13.22	00.00
2010-11	14.41	10.74	03.67
2011-12	17.46	17.46	00.00
2012-13	21.90	11.40	10.50
2013-14	23.56	17.35	06.21
Total	90.55	70.17	20.38
NPP, Kannauj			
2009-10	00.82	00.70	00.12
2010-11	00.73	00.65	00.08
2011-12	01.04	00.53	00.51
2012-13	01.13	01.07	00.06
2013-14	00.96	00.27	00.69
Total	04.68	03.22	01.46
NP, Talgram			
2009-10	00.08	00.01	00.07
2010-11	00.03	Nil	00.03
2011-12	00.04	Nil	00.04
2012-13	00.07	00.16	(-)00.09
2013-14 (Up to 10/13)	00.04	00.01	00.03
Total	00.26	00.18	00.08
NP, Tirwaganj			
2009-10	00.19	00.19	Nil
2010-11	00.20	00.20	Nil
2011-12	00.14	00.14	Nil
2012-13	00.16	NA	00.16
2013-14	NA	NA	NA
Total	00.69	00.53	00.16
Grand total of NPs Talgram and Tirwaganj	00.95	00.71	00.24

(Source: Information provided by KNN and NPPs/NPs.)

NA: Not available

Appendix-4.1.6

(Reference: Paragraph no. 4.1.7.2, 4.1.16.2 and 4.1.22.2; page 82, 100 & 104)

List of 39 items under license fee

Sl. No.	Name of items
1.	Hotel, Lodge, Guest House and Marriage Hall
2.	Three Star Hotel
3.	Five Star Hotel
4.	Nursing Home up to 20 Bed
5.	Nursing Home above 20 Bed
6.	Maternity Hospital up to 20 Bed
7.	Maternity Hospital above 20 Bed
8.	Private Hospital
9.	Pathology Centre
10.	X-ray Clinic
11.	Dental Clinic
12.	Private Clinic
13.	Auto Rickshaw 2 seater
14.	Auto Rickshaw 7 seater Tempo
15.	Auto Rickshaw 4 seater
16.	Mini Bus
17.	Bus
18.	Horse Cart (<i>Tanga</i>)
19.	Public Rickshaw
20.	Private Rickshaw
21.	Cart
22.	Hand Cart
23.	Bullock Cart
24.	Trolley
25.	Finance Company, Chit Fund
26.	Insurance Company
27.	Slaughter House
28.	Bone Skin Godown
29.	Bar/Beer
30.	Ice Factory
31.	Builders (Registered)
32.	Indian Wine Shop
33.	English Wine Shop
34.	Meat Shop
35.	Mutton Shop
36.	Animal Husbandry
37.	Fine on stray animal (Cattle Pounds)
38.	Per day diet of small animal i.e. Goat <i>etc.</i>
39.	Per day diet of big animal i.e. Cow, Buffalo <i>etc.</i>

(Source: Government order)

Appendix-4.1.7

(Reference: Paragraph no. 4.1.7.3; page 82)

Statement showing delays in submission of original and revised budget estimates to EC and Corporation

A- Kanpur Nagar Nigam

Year	Executive Committees (EC)			Corporation		
	Scheduled date of submission	Actual date of submission	Delay (days)	Scheduled date of submission	Actual date of submission	Delay (days)
a-Original budget estimate						
2009-10	Original budget estimate was not submitted to EC and Corporation for approval.					
2010-11	10 January	1.04.2010	81	15 February	13.12.2010	301
2011-12	Original budget estimate was not submitted to EC and Corporation for approval.					
2012-13	Original budget estimate was not submitted to EC and Corporation for approval.					
2013-14	10 January	21.03.2013	70	15 February	27.04.2013	71
b-Revised budget estimate						
2009-10	10 September	1.4.2010 (Submitted after expiry of financial year)		15 September	Revised budget was not submitted to Corporation	
2010-11	10 September	24.12.2010	105	15 September	20.01.2011	127
2011-12	Revised budget estimate was not submitted to EC and Corporation for approval.					
2012-13	10 September	16.01.2013	128	15 September	16.02.2013	154
2013-14	10 September	4.03.2014	175	15 September	Revised budget was not submitted to Corporation	

B-Jal Kal Vibhag

Year	Executive Committees (EC)			Corporation		
	Scheduled date of submission	Actual date of submission	Delay (days)	Scheduled date of submission	Actual date of submission	Delay (days)
2010-11	10 January	24.12.2010	348	15 February	20.01.2011	339
2011-12	Original budget estimate was not submitted to EC and Corporation for approval.					
2012-13	Original budget estimate was not submitted to EC and Corporation for approval.					
2013-14	10 January	21.03.2013	70	15 February	27.04.2013	71

(Source: Information provided by KNN and Jal Kal Vibhag)

Appendix-4.1.8
(Reference: Paragraph no. 4.1.7.4; page 83)

Differences between figures of budget and annual accounts for the year 2009-10

(₹ in crore)

Sl. No.	Details of items/heads	Position as per budget of 2010-11	Position as per annual account of 2009-10
1.	General tax (Property tax).	38.85	52.93
2.	Advertisement tax.	02.10	01.45
3.	Income of rent received from house buildings and shops.	0.81	0.81
4.	Registration fees, <i>pheri</i> policy and garbage collection fee.	0.12	0.04
5.	License fees (slaughter house <i>etc.</i>).	0.10	1.04
6.	Revenue from grants <i>etc.</i>	142.41	134.34

(Source: Information provided by KNN)

Appendix-4.1.9
(Reference: Paragraph no.4.1.7.4; page 84)
Details showing opening and closing of bank accounts

Detail of bank accounts closed					Detail of bank account opened		
Name of Bank	Account number	Name of bank account	Balance on the date of closure (₹ in lakh)	Date/Year of account closure	Name of bank in which balances transferred	Account number	Name of bank account
State Bank of India	10220416129	Nagar Nigam Nidhi	06.27	27.04.2012	H.D.F.C. Bank	1271000237228	Nagar Nigam Nidhi
State Bank of India	31453749601	MP/MLA Fund	01.29	23.10.2013	H.D.F.C. Bank	1271000237228	Nagar Nigam Nidhi
State Bank of India	31087505874	General Tax	00.02	09.10.2013	H.D.F.C. Bank	1271000237228	Nagar Nigam Nidhi
State Bank of India	31087506118	General Tax	00.03	25.09.2013	H.D.F.C. Bank	1271000237228	Nagar Nigam Nidhi
State Bank of India	31087506254	General Tax	00.03	10.10.2013	H.D.F.C. Bank	1271000237228	Nagar Nigam Nidhi
State Bank of India	00100001050012	Income from hospitals	06.27	2012-13	H.D.F.C. Bank	1271000237228	Nagar Nigam Nidhi
State Bank of India	31282943011	General Tax	00.03	2013-14	H.D.F.C. Bank	1271000237228	Nagar Nigam Nidhi
State Bank of India	31087493288	General Tax	00.02	2013-14	H.D.F.C. Bank	1271000237228	Nagar Nigam Nidhi
Punjab National Bank	727000101134215	Salary Account	01.71	03.10.2013	H.D.F.C. Bank	1271000236182	Salary Account
Punjab National Bank	727002100050877	Pension Account	55.41	11.10.2013	H.D.F.C. Bank	1271000235675	Pension Account
Punjab National Bank	746009300020036	Nagar Nigam Nidhi	04.75	2012-13	H.D.F.C. Bank	1271000237228	Nagar Nigam Nidhi
Punjab National Bank	746009300020285	Nagar Nigam Nidhi	07.21	2012-13	H.D.F.C. Bank	1271000237228	Nagar Nigam Nidhi
Punjab National Bank	0269000109119897	Property Account	00.99	2013-14	H.D.F.C. Bank	1271000237228	Nagar Nigam Nidhi
Punjab National Bank	3689002100017494	Solid Waste Management	26.26	2013-14	H.D.F.C. Bank	1271000237228	Nagar Nigam Nidhi
Union Bank of India	30252010013654	Income from swimming pool/sports	03.05	08.10.2013	H.D.F.C. Bank	1271000237228	Nagar Nigam Nidhi
Allahabad Bank	20226177575	SFC Account	0.59	2012-13	H.D.F.C. Bank	1271000237228	Nagar Nigam Nidhi
Bank of India	69522011000094	Income of Nagar Nigam	31.66	2012-13	H.D.F.C. Bank	1271000236182	Salary Account

Punjab and Sindh Bank	00111000011/8380	DPR Project Account	0.83	2013-14	H.D.F.C. Bank	1271000237228	<i>Nagar Nigam Nidhi</i>
United Mercantile Corporation Bank	68001	General Tax	2.03	2013-14	H.D.F.C. Bank	1271000237228	<i>Nagar Nigam Nidhi</i>

	H.D.F.C. Bank	1271450000915	N.H.I.
	H.D.F.C. Bank	1271450000880	Education Grant
	H.D.F.C. Bank	1271000237880	Zonal Fund Account
	H.D.F.C. Bank	1271000235940	Zonal Account
	H.D.F.C. Bank	1271450001157	<i>Nagar Nigam Nidhi</i>
	H.D.F.C. Bank	1271000281597	M.P./M.L.A. Fund
	H.D.F.C. Bank	1271000281330	Barra Area Development Fund
	ICICI Bank	998011000793	<i>Nagar Nigam Nidhi</i>
	IDBI Bank	090104000208956	<i>Nagar Nigam Nidhi</i>
	IDBI Bank	089810400021997	<i>Nagar Nigam Nidhi</i>
	Indusind Bank	100004303784	Panki Area Development Fund
	Indusind Bank	100004249938	Slum Area Development Fund
	Indusind Bank	1000022532331	State Finance Commission grants
Total of balances of closed bank accounts	148.45		

(Source: Information provided by KNN)

Appendix-4.1.10
(Reference; Paragraph no. 4.1.7.4; page 84)
Details showing difference in dates between cash book and bank
statement regarding cash deposits

(₹ in lakh)

Sl. No.	Date of deposit along with working days/ holidays, as per entries of cash book		Actual date of deposit as per bank statement	Difference in days	Amount
	Date	working day/ holiday			
1	01.03.2014	Working day	04.03.2014	03	12.01
2	03.03.2014	Working day	05.03.2014	02	16.03
3	04.03.2014	Working day	06.03.2014	02	09.69
4	05.03.2014	Working day	07.03.2014	02	11.27
5	06.03.2014	Working day	08.03.2014	02	14.81
6	07.03.2014	Working day	10.03.2014	03	13.04
7	08.03.2014	Holiday (II nd Saturday)	11.03.2014	03	03.66
	09.03.2014	Holiday (Sunday)	11.03.2014	02	02.68
					= 06.34
8	10.03.2014	Working day	12.03.2014	02	18.48
9	11.03.2014	Working day	13.03.2014	02	11.58
10	12.03.2014	Working day	14.03.2014	02	15.08
11	13.03.2014	Working day	15.03.2014	02	13.67
12	14.03.2014	Working day	18.03.2014	04	16.18
13	15.03.2014	Working day	19.03.2014	04	11.97
	16.03.2014	Holiday (Sunday)	19.03.2014	03	00.39
					= 12.36
14	18.03.2014	Working day	20.03.2014	02	04.56
15	19.03.2014	Working day	21.03.2014	02	10.87
16	20.03.2014	Working day	22.03.2014	02	13.54
17	21.03.2014	Working day	24.03.2014	03	12.74
18	22.03.2014	Working day	25.03.2014	03	00.45
	23.03.2014	Holiday (Sunday)	25.03.2014	02	03.89
					= 04.34
19	24.03.2014	Working day	26.03.2014	02	02.00
20	25.03.2014	Working day	27.03.2014	02	19.20
21	26.03.2014	Working day	28.03.2014	02	21.29
22	27.03.2014	Working day	29.03.2014	02	22.04
23	28.03.2014	Working day	31.03.2014	03	21.92
24	29.03.2014	Working day	Not deposited up to 31st March 2014		24.81
25	30.03.2014	Holiday (Sunday)			21.00
26	31.03.2014	Working day			285.58

(Source: Information provided by KNN)

Appendix-4.1.11

(Reference: Paragraph no.4.1.7.4; page 84)

Details of cash books showing minus balances

(₹ in lakh)

Sl. No.	Name of bank	Bank account number	Date of closing of bank account	Closing balance as on 31 March 2014	Reasons of minus balance
1.	State Bank of India	10220416129	27.04.2012	(-)01.43	Cash books were opened/ maintained after (five to 23 months) closure of bank accounts.
2.	Punjab National Bank	727002100050877	11.10.2013	(-)25.20	
3.	Punjab National Bank	727000101134215	03.10.2013	(-)35.07	
4.	Union Bank of India	30252010013654	08.10.2013	(-)01.02	

(Source: Information provided by KNN)

Appendix-4.1.12

(Reference: Paragraph no. 4.1.7.4; page 84)

Details showing difference of tax realisation between figures of zonal/department and Accounts department of KNN

(₹ in crore)

Period	Property Tax			Advertisement Tax		
	Figures as per Zonal records	Figures as per Accounts Department	Difference	Figures as per Accounts Department	Figures as per Advertisement Tax Department	Difference
2009-10	43.86	41.54	02.32	1.45	1.45	00.00
2010-11	57.97	47.83	10.14	2.87	2.77	00.10
2011-12	69.21	58.61	10.60	1.81	1.78	00.03
2012-13	91.64	77.54	14.10	2.87	2.87	00.00
2013-14	82.39	85.71	(-)03.32	4.05	4.06	(-)00.01
Total	345.07	311.23	33.84	13.05	12.93	00.12

(Source: Information provided by KNN)

Appendix-4.1.13

(Reference: Paragraph no. 4.1.9.1; page 85)

Categorisation of roads for placing street lights

Sl. No.	Category of road	Road characteristics	Average level of illumination on road surface (in Lux)	Type of luminaries preferred
1.	A-1	Important traffic routes carrying fast traffic.	30	Cut-off
2.	A-2	Main road carrying mixed traffic like city main roads/streets.	15	Cut-off
3.	B-1	Secondary roads with considerable traffic like local routes.	08	Cut-off or semi-cut off
4.	B-2	Secondary roads with light traffic.	04	Cut-off or semi-cut off

(Source: BIS code of 1981)

Appendix-4.1.14

(Reference: Paragraph no.4.1.9.2; page 87)

Details of agreement executed after commencement of works

						(₹ in lakh)
Sl. No.	Name of Work	Date of agreement	Date of Commencement of work	Date of completion of work	Expenditure incurred	
1.	Improvement of road from Barra bypass to Andha Kuwan in ward-62, zone-5.	07.09.2012	30.06.2012	29.10.2012	79.01	
2.	Improvement of road from transformer to by-pass I, ward 73&74, Barra-4, zone-5.	18.06.2011	11.04.2011	10.07.2011	77.08	
3.	Improvement of road, drain and footpath from Trimurti Mandir, GT road, Gandhi gram to Bauwa General Store and from Tanu Bhawan by-pass to Surya Prakash house no.77/41 in ward-71, zone-2.	02.06.2013	28.03.2013	27.05.2013	68.57	
4.	Constructions of drain (right lane) from house no. 723 of Shyam Nagar railway crossing to Ujatganj dump in C-block, ward-77, zone-2.	17.12.2012	13.12.2012	12.02.2013	06.83	
5.	Improvement of road & drain from National Highway upto culvert and from house of M.A. Ansari to Kids Villa school via Fahim house in Gadiyana, ward-53, zone-2.	15.05.2013	28.12.2012	28.02.2013	15.23	
6.	Improvement of C.C. road from house no. E-765, E-block to Agarwal apartment in ward-77, zone-2.	10.05.2013	12.03.2013	11.04.2013	01.52	
7.	Improvement of interlocking road and drain from house No. 39 near Manoj Provision General Store to <i>Khel Ghar</i> approach road in ward-70, zone-2.	24.06.2013	12.03.2013	11.05.2013	05.56	
8.	Making of sump well near Metro restaurant, Krishna Nagar in ward-28, zone-2.	01.09.2012	25.08.2012	01.09.2012	00.40	
9.	Construction of deep drain from Atul hardware, petrol line road to Eidgah Machhariya in ward-91, zone-2.	15.05.2013	23.03.2013	22.05.2013	12.11	
10.	Construction of <i>nala</i> from house of Deshraj to main <i>nala</i> near overhead tank in Rajiv Vihar, Machhariya in ward-30, zone-2.	18.12.2012	03.12.2012	02.03.2013	13.96	
11.	Construction of CC lane from h/o Radhe Krishna to slum basti, Keshar Vihar, ward-48, zone-2.	20.12.2011	13.05.2011	12.07.2011	04.77	
12.	Improvement of road (cut under JNNURM for laying sewer line) from GT road to inside <i>Malin basti</i> , Patel Nagar (900 metre) alongwith railway line including interlocking of tiles and making of drain in ward-71, zone-2.	24.05.2012	22.12.2011	21.01.2012	14.24	
13.	Repair of road and drain (18 metre and 12 metre) from house no. 33 to 336 in Shyam Nagar at ward-77, zone-2.	06.05.2013	28.03.2013	27.05.2013	69.92	
14.	Improvement of road & drain from Choice tailors to Panki canal road via Gajanan school in ward-42, <i>Awaz Vikas Yojana-3</i> , zone-6.	19.02.2013	04.01.2013	04.03.2013	20.45	
15.	Improvement of road, drain & footpath from h/o Ravi Shukla to h/o Suresh Anand in ward-45, zone-6.	22.03.2013	04.01.2013	04.03.2013	15.15	

16.	Improvement of road from RTO office to Kakadev crossing and <i>via</i> h/o Ram Charan Maurya to Pandunagar Society, Dharamshala road in ward-34, zone-6.	15.02.2012	09.11.2011	08.01.2012	59.07
17.	Improvement of road from Chain factory crossing to Kalpi road in ward-27, zone-6.	25.05.2010	09.04.2010	08.07.2010	22.91
18.	Improvement of Lajpat Nagar road & drain (from Guru Nanak Girls College to KMC hospital) in ward-61, zone-6.	22.04.2010	27.03.2010	24.06.2010	38.73
19.	Improvement of road & drain from 9 no. railway crossing to 10 no. railway crossing (near Gurudev Cinema) Sharda Nagar in ward-63, zone-6.	08.04.2010	27.03.2010	26.08.2010	45.89
20.	Repair and maintenance work of science building at N.N. inter college, Tilak Nagar in zone-4.	09.10.2013	20.07.2013	15.12.2013	07.14
21.	Improvement of street & drain from house no. 79/68 to 79/97, ward 40, zone-1.	06.09.2013	12.06.2013	20.12.2013	07.03
22.	Improvement of street & drain from house no.79/118 to 79/19, <i>Sardar Ka Hata</i> , ward-40, zone-1.	06.09.2013	06.05.2013	28.12.2013	03.44
23.	Repairing of <i>nali</i> in front of gate no. 501 and R.C.C. work in ward-39 zone-5.	31.10.2013	10.10.2013	31.10.2013	00.93
24.	Maintenance of road from house no. D-169 to Priya Chauraha, ward-62, zone-5.	30.05.2013	18.03.2013	NA	04.72
25.	Improvement of road, drain & interlocking of tiles from h/o Babu Ram to Ravi Kishan.	27.01.2014	11.12.2013	24.01.2014	04.56
26.	Cleaning of <i>nala</i> from Bhatia nursing home to Hanuman mandir, ward-17, zone-5.	30.03.2013	13.03.2013	10.05.2013	01.17
27.	Improvement of road & drain in ward-44, zone-2.	27.01.2014	03.07.2013	15.02.2013	06.37
28.	Improvement of road & drain in ward 105, zone-1.	06.08.2013	01.08.2013	01.10.2013	05.02
29.	Laying of raw water pipe line and improvement of street from 76/484 to 76/515, ward-85, zone-1.	21.10.2013	22.07.2013	26.07.2013	00.99
30.	Laying of raw water pipe line and improvement of street from 76/455 to Mandir, ward-85, zone-1.	21.10.2013	22.07.2013	26.07.2013	00.99
31.	Improvement of drain near 36/52, Ram Das Mandir in ward-101, zone-5.	06.01.2014	24.12.2013	22.01.2014	01.12
32.	Construction of garbage platform near Harsh Nagar petrol pump, 80 ft. road, ward-43, zone-4.	24.01.2014	07.10.2013	24.01.2014	04.57
33.	Construction of garbage platform near Post-mortem house, ward-43 zone-4.	31.12.2013	07.10.2013	06.11.2013	01.49
34.	Improvement of road & drain from 492 to 476, 448 to 436 & 450 to 456 in Barra, ward-81, zone-3.	04.02.2014	11.10.2013	09.12.2013	09.14
35.	Road patch work from Deep Cinema Tiraha to Gaushala Chauraha, ward-24, zone-3.	23.01.2014	08.01.2014	14.01.2014	09.86
36.	Construction of lane in Juhi Khurd, ward-35, zone-5.	28.05.2013	18.02.2013	25.02.2013	07.82
37.	Improvement of lane near house no. LIG 95 to Gujaini, ward-74, zone-5.	31.12.2013	18.08.2013	17.09.2013	00.99
38.	Improvement of road from G.T. road to 133/175, ward-23, zone-3.	14.10.2013	08.10.2013	07.11.2013	00.99
39.	Laying of drainage pipe & interlocking tiles at 104-A, N.N. colony, Bajariya Thana, ward-41, zone-4.	25.02.2014	07.10.2013	20.02.2014	04.58

40.	Improvement of road, ward-105, zone 1.	26.04.2013	19.03.2013	18.04.2013	01.54
41.	Construction of drain from house no. k-56 to Baba General Store, ward-9, zone-2.	24.10.2013	13.09.2013	12.11.2013	01.79
42.	Laying of water pipe line and improvement of street from 76/21 to 76/36, ward-85, zone-1.	20.11.2013	22.06.2013	21.07.2013	01.73
43.	Improvement of road from house no. 775 to 297, B-block, ward-62, zone-5.	29.11.2013	15.06.2013	09.11.2013	09.86
44.	Construction of <i>cross pull</i> near h/o Ajay Kumar Verma, Shahpur village, ward 64, zone-5.	23.09.2013	18.03.2013	NA	00.39
45.	Improvement of <i>Nagrik Suvidha Kendra</i> room at ward office, ward-82, zone-6.	02.08.2013	24.03.2013	08.11.2013	01.74
46.	Road patch work and interlocking of tiles from Gaushala Chauraha to h/o Sanjay Jain, ward-55, zone-3.	04.03.2014	10.01.2014	09.03.2014	09.29
47.	Construction of drain, interlocking of tiles, road of different lane in Deviganj, Bibipur & Satipur in ward-29, zone-2.	15.06.2013	12.03.2013	11.05.2014	08.03
48.	Improvement of road & drain from house no. 128/469 to Y- block in Kidwai Nagar, ward-48, zone-2.	20.01.2014	11.12.2013	10.02.2014	08.43
49.	Improvement of road & drain form Lali Provisional Store to h/o Rajesh & others in Pokharpur, ward-44, zone-2.	09.10.2013	05.08.2013	06.09.2013	03.79
50.	Improvement of interlocking tiles from 155-174 B to Hotel Chand Babu, ward-95, zone-2.	21.01.2014	19.09.2013	17.11.2013	05.01
51.	Supply of material for patch work at Jajmau dump, zone-2.	20.01.2014	15.04.2013	29.04.2013	01.00
52.	Construction of room for ward office of ward-72, zone-5.	31.12.2013	30.11.2013	NA	02.51
53.	Construction of <i>nala</i> for water drainage from Sarika Beauty Parlour to h/o R. Kushwaha, ward-91, zone-1.	28.05.2013	23.03.2013	NA	38.93
54.	Construction of <i>nala</i> from G.T. road to Dixit Chauraha in Indira Nagar, ward-20, zone-6.	18.12.2013	10.10.2013	NA	45.17
55.	Construction of drain from Kidwai Nagar Thana to Jagran school, ward-55, zone-3.	19.12.2012	13.12.2012	12.02.2013	47.16
56.	Construction of deep drain from Beema Hospital to Fahim House, ward-55 zone-3.	02.01.2014	09.09.2013	08.10.2013	04.48
57.	Improvement of road from house no. 128/96 to 116, 128/69 etc., ward-55 zone-3.	03.05.2013	03.01.2013	22.03.2013	18.81
58.	Improvement of road from Hanuman Mandir (Barra-2) to by-pass road (Sabjimandi), ward-80, zone-3.	04.12.2013	01.12.2012	01.03.2013	64.08
59.	Improvement of side Patti and interlocking of tiles from house no. 128/230 to 128/357, ward-55, zone-3.	19.12.2013	09.07.2013	02.09.2013	06.98
60.	Repair of underground <i>nala</i> at Khatikana park, Baratshala chowk, ward-5, zone-4.	21.11.2013	07.10.2013	06.11.2013	00.98
61.	Construction of drain from Bank of Baroda to Gyan satsang ward-29.	01.02.2014	05.12.2013	NA	03.62
62.	Construction of <i>nala</i> from house of Deshraj to main <i>nala</i> , Rajiv Vihar, ward-91, zone-2.	12.12.2012	03.12.2012	02.03.2013	13.96

63.	Constructions of RCC cover of drain in front of Eidgah, ward-95, zone-2.	20.01.2014	28.09.2013	15.10.2013	00.24
64.	Improvement of drain from A-526 to A-865, ward-74.	28.08.2013	18.08.2013	16.09.2013	00.99
65.	Improvement of <i>gali</i> in front of Jeetapurti Mandir, ward-98, zone-5.	14.02.2014	15.01.2014	NA	05.66
66.	Construction of culvert of <i>nala</i> near Sahib Nagar Kachcha Talab, <i>Avas Vikas</i> colony, ward-42, zone-6.	05.07.2013	19.06.2013	13.02.2014	01.51
67.	Construction of <i>nala</i> from h/o Shriram Kushwaha to Gopal Nagar <i>Tiraha</i> , Azad PCO, ward-91 & 67, zone-2.	19.02.2014	03.01.2013	03.03.2014	09.18
68.	Construction of <i>nala</i> from Ashok Sweet House to Rafka <i>nala</i> , ward-8, zone-6.	04.03.2014	10.10.2013	NA	11.04
69.	Improvement of side patri and drain from Myor road to house no. 122/464 & 122/467 to 122/536 at Sudhi colony, ward-27, zone-6.	16.01.2014	09.09.2013	24.02.2014	08.17
70.	Improvement of internal lane and laying of SW & hume pipe for disposal of storm water, ward-103, zone-1.	10.09.2013	18.03.2013	17.05.2013	05.69
71.	Improvement of street of dal mill, ward-50, zone-1.	13.03.2013	03.03.2013	07.05.2013	07.05
72.	Improvement of road from Babuni Maheshwari to Malki Nagar road, ward-64, zone-5.	13.01.2014	20.06.2013	26.12.2013	07.48
73.	Construction of deep drain from Shankar-garage to Gurudwara road, ward-38, zone-5.	15.07.2013	18.03.2013	12.01.2014	09.06
74.	Improvement of road & drain from house no. 128/123 to 128/129, K-block, ward-55, zone-3.	08.02.2013	19.12.2012	18.02.2013	08.45
75.	Construction of deep drain from Shanty Bunty glass to house no. 123/645 Gadariyan purwa, ward-38, zone-5	03.05.2013	23.02.2013	24.06.2013	07.71
76.	Laying of interlocking tiles & drain, repairing of Goal building and improvement of cycle stand road at N.N. compound, ward-43, zone-4.	28.02.2014	07.10.2013	22.03.2013	12.26
77.	Construction of <i>nala</i> from transformer to Ambedkar Pratima in Kalyanpur, ward-18, zone-6.	13.12.2013	10.10.2013	NA	33.31
78.	Maintenance of street, ward-3.	19.02.2014	30.10.2012	28.12.2012	07.38
79.	Improvement of road & <i>gali</i> by laying interlocking tiles, Dehli Sujampur, ward-81, zone-3.	05.02.2014	13.03.2013	11.05.2013	06.93
80.	Improvement of road & drain from house no. C-576 to C-591 in Barra world bank colony, ward-80, zone-3.	06.12.2013	18.07.2013	17.08.2013	02.13
81.	Improvement of deep drain from Saurabh guest house to house no. 1 to 172, ward-56, zone-5.	16.02.2013	03.01.2013	NA	04.28
82.	Construction of drain from Maa Sarswati Inter College to Nandu Sweet house in Yashoda Nagar, ward-91, zone-2.	25.01.2014	11.12.2013	NA	11.71
83.	Improvement of footpath from h/o Sri Prem Katiyar to Beema crossing, ward-9, zone-6.	23.10.2013	20.08.2013	07.01.2014	04.07
84.	Improvement of road and footpath in Sadbhavana park, ward-79, zone-3.	26.10.2013	13.03.2013	11.05.2014	09.74

85.	Improvement of road with interlocking of tiles & drain, from house no. 78 to I- 91, ward-81, zone-3.	25.11.2013	20.11.2013	18.01.2014	04.38
86.	Construction and restoration of sewer line from h/o Deepu Jaiswal to house no. 71/132, ward-109, zone-1.	08.01.2014	25.09.2013	25.12.2013	01.40
87.	Construction of garbage disposal place in ward-86, zone 2.	03.01.2014	11.10.2013	09.11.2013	05.17
88.	Construction of garbage platform in front of D.K. Dhal, G.T. road, ward-11, zone-4.	30.12.2013	07.10.2013	06.11.2013	03.57
89.	Improvement of drain & road by interlocking of tiles from h/o Om Prakash to h/o Umesh saini in Rawatpur, ward-68, zone-6.	23.12.2013	20.08.2013	30.01.2014	00.94
90.	Improvement of road from house no. G-50 to house of Malhotra, ward-69, zone-5.	02.01.2014	10.10.2013	16.12.2013	00.96
91.	Improvement of road from Sudama Guest house to house no. G-45, ward-69, zone-5.	29.01.2014	10.10.2013	10.02.2014	00.98
92.	Improvement of road of G-block in front of water tank, ward-69, zone-5.	02.01.2014	10.10.2013	10.02.2014	00.97
93.	Improvement of footpath in front of house no. MIG-20 to 143 in Barra-2, ward-80, zone-3.	07.02.2014	04.07.2013	02.09.2013	06.44
94.	Improvement of road near house no. H-615 & H-568 Barra world bank colony, ward-62, zone-5.	21.08.2013	13.03.2013	30.10.2013	04.56
95.	Improvement of road & drain by interlocking of tiles, Kidwai Nagar, ward-48, zone-2.	17.02.2014	04.01.2014	04.03.2014	10.39
96.	Improvement of road & drain from h/o Nanda to Yadav General Store in Tatia village, ward-71, zone-2.	25.06.2013	23.03.2013	27.05.2013	10.97
97.	Improvement of link road from house no. 275/ 44 to 275/41 in Basant Vihar, old Nawbasta, ward-58, zone-3.	23.08.2013	17.06.2013	15.08.2013	04.53
98.	Construction of culvert from Gopal General Store, Maswanpur, ward-8, zone-6.	14.10.2013	09.04.2013	13.01.2014	00.96
99.	Improvement of gali pit at different places ward-97, zone-1.	21.07.2013	14.03.2013	13.04.2013	00.86
100.	Improvement of road from house no. 100 to 1020 B Swaraj Nagar, ward-64, zone-5.	17.10.2013	20.06.2013	30.08.2013	04.07
101.	Cleaning of <i>nala</i> below culvert from Jagran school to Jarauli, ward-24, zone-3.	25.02.2014	01.05.2013	30.05.2013	01.37
102.	Cleaning of <i>nala</i> from Wendi school to Kidwai Nagar Thana, ward-24, zone-3.	19.11.2013	29.04.2013	28.05.2013	00.87
103.	Cleaning of <i>nala</i> from RBI western colony to Beema hospital Chauraha, ward-55, zone-3.	19.11.2013	30.05.2013	NA	00.82
104.	Cleaning of <i>nala</i> from Beema hospital to Sai baba Mandir, ward-55, zone-3.	19.11.2013	30.05.2013	29.06.2013	00.85
105.	Cleaning of <i>nala</i> from Kidwai Nagar Thana to Rakhi Mandi, ward-25 zone-3.	19.11.2013	01.05.2013	30.05.2013	00.97
106.	Laying of slab on damaged <i>nala</i> from house No. 1224 to 95/b, block-b, ward-64, zone-4.	28.11.2013	22.11.2013	NA	01.39
107.	Improvement of road with interlocking of tiles and drain with PCC block, ward-81, zone-3.	18.12.2013	10.12.2013	08.02.2014	08.89

108.	Improvement of footpath in front of house no. 88/e/49, ward-52, zone 5.	06.01.2014	10.10.2013	16.10.2013	00.99
109.	Improvement of footpath from h/o Sardar to h/o R.K. Singh, industrial park, ward-43, zone-5.	16.08.2013	11.06.2013	11.02.2013	00.78
110.	Construction of floor in front of A2Z office community centre, Aloo Mandi, Kidwai Nagar.	10.02.2014	08.10.2013	07.11.2013	06.57
111.	Improvement of lane from house no. E-495 to E-506, Barra-8, ward-62, zone-5.	10.12.2013	13.08.2013	10.09.2013	00.99
112.	Improvement of lane from E-565 to E-576, Barra-8, ward-62, zone-5.	10.12.2013	13.08.2013	08.09.2013	00.99
113.	Construction of wall, ward-47, zone-5.	04.01.2014	18.12.2013	24.12.2013	01.41
114.	Construction of <i>Chhatari</i> at Mataji park Mandir, Dabauli ward-52, zone-5.	25.10.2013	10.10.2013	16.10.2013	00.90
115.	Construction of kitchen in Rattan inter college, zone-5.	25.10.2013	17.10.2013	23.10.2013	00.95
116.	Construction of interlocking at E block from house no. 26-55 & 43-149, ward-98, zone-5.	28.02.2014	13.03.2013	02.01.2014	03.98
117.	Improvement of road & drain in front of house No. 130/556, Bakerganj, ward-31, zone-3.	10.01.2014	04.07.2013	03.08.2013	01.43
118.	Painting of Ambedkar park at Ajit Ganj colony, Babupurwa, ward-75, zone-3.	02.09.2013	10.07.2013	09.08.2013	00.13
119.	Construction of dug drain in P-block of Yashoda Nagar from Ram Chaudhary school to h/o Ajay Singh, ward-67, zone-2.	26.03.2014	31.01.2014	01.04.2014	04.30
120.	Maintenance of road from house no. A-303 to A-318, ward-74, zone-5.	10.03.2014	20.06.2013	20.01.2014	02.01
121.	Improvement of road from Dada Nagar crossing to Azad crossing, ward-3, zone-5.	24.03.2014	15.06.2013	15.03.2014	02.61
122.	Improvement of road from house no. 497 to 512, Gujaini, ward-69, zone-5.	21.03.2014	18.03.2013	26.03.2014	01.86
123.	Improvement of interlocking from A-329 to A-382, Ravidasapuram, Gujaini, ward-74, zone-5.	25.03.2014	20.06.2013	10.01.2014	02.61
124.	Construction of damaged <i>pulia</i> from house No. 104 to Durgeshwari Mandir, ward-64, zone-5.	11.02.2014	11.06.2013	10.07.2013	00.63
125.	Cleaning of <i>nala</i> from Haveli Guest house to Bamba road via Nazirabad Thana, ward-64, zone-5.	10.10.2013	10.05.2013	26.06.2013	00.80
126.	Construction of <i>nala</i> from Bank of Baroda to Basant Garage via Gold spot, ward-38, zone-5.	25.09.2013	10.05.2013	20.06.2013	00.50
127.	Cleaning of <i>nala</i> from Barra village to Raja ka Hata, ward-62, zone-5.	25.09.2013	10.05.2013	20.07.2013	00.50
128.	Laying low water pipe line and improvement of street from <i>Kallu Mal hata</i> to h/o Prasad, ward-104, zone-1.	03.09.2013	01.08.2013	20.09.2013	03.66
129.	Construction of drain & laying of interlocking tiles from h/o Raj Kumar Dixit to Vijay Bahadur in ward-18.	08.10.2013	07.10.2013	23.01.2014	04.26
130.	Repairing and painting of A.N.D. college residential colony, ward-51, zone-4.	25.11.2013	18.06.2013	17.07.2013	01.72
131.	Construction of drain <i>puliya</i> in Ratanpur, ward-33, zone-5.	18.07.2013	18.03.2013	31.05.2013	00.66

132.	Improvement of service lane block, ward- 63 zone-6.	18.12.2013	16.12.2013	09.01.2014	00.98
133.	Supply of stone grit, stone blast and stone dust at Rambagh dump, zone-4.	23.09.2013	27.08.2013	11.09.2013	00.99
134.	Installation of submersible pump in N.N. kanji house in ward-38, zone-5.	31.12.2013	13.09.2013	12.10.2013	00.70
135.	Improvement of road and drain with RCC interlocking tiles.	30.01.2014	26.12.2013	24.02.2014	11.12
136.	Constructions of <i>nala</i> from Khadepur colony Chauraha to Shivaji inter college in ward 26, zone-3.	06.08.2013	23.03.2013	21.05.2013	43.94
137.	Construction of footpath and drain from house no. 105/699 to 105/712 on kalpi road in ward 78, zone-4.	06.11.2013	10.10.2013	09.12.2013	08.20
138.	Construction of footpath & drain in ward 24, zone-3.	10.01.2014	17.06.2013	15.08.2013	04.43
139.	Improvement of footpath & drain in ward 78, zone-4.	04.05.2013	07.02.2013	28.08.2013	06.57
140.	Laying of interlocking tiles.	23.12.2013	11.10.2013	25.10.2013	00.97
141.	Improvement of road & drain.	27.10.2013	19.06.2013	26.12.2013	07.56
142.	Repairing of room in zonal office, zone-3.	19.12.2013	09.09.2013	08.10.2013	01.48
143.	Construction of drain.	20.12.2013	18.12.2013	17.01.2014	01.33
144.	Construction of boundary of park.	08.08.2013	18.03.2013	02.12.2013	00.83
145.	Improvement of road in ward 95, zone-2.	19.02.2014	03.07.2013	18.08.2013	02.91
146.	Improvement of road by interlocking tiles.	17.12.2013	26.11.2013	24.01.2014	08.56
147.	Improvement of lane near house no. LIG 84.	30.12.2013	18.08.2013	17.09.2013	00.99
148.	Laying of interlocking tiles.	24.01.2012	20.10.2011	28.12.2013	07.84
149.	Improvement of service lane.	25.11.2013	04.07.2013	02.09.2013	03.83
150.	Improvement of footpath by laying of interlocking tiles.	25.01.2014	14.10.2013	12.12.2013	11.24
				Total	1,373.66 Say 13.74 crore

(Source: Information provided by KNN)

(NA: Not available)

Appendix-4.1.15
(Reference: Paragraph no.4.1.9.2; page 87)
Details of agreement executed after completion of work

(₹ in lakh)

Sl. No.	Name of Work	Date of agreement	Date of commencement of work	Date of completion of work	Expenditure incurred
1.	Construction of CC lane from h/o Radhe Krishna to slum <i>basti</i> , Keshar Vihar, ward-48, zone-2.	20.12.2011	13.05.2011	12.07.2011	04.77
2.	Improvement of road from RTO office to Kakadev crossing and <i>via</i> h/o Ram Charan Maurya to Pandunagar Society, Dharamshala road in ward-34, zone-6.	15.02.2012	09.11.2011	08.01.2012	59.07
3.	Improvement of road (cut under JNNURM for laying sewer line) from G.T. road to inside <i>Malin basti</i> , Patel Nagar (900 metre) alongwith railway line including interlocking of tiles and making of drain in ward-71, zone-2.	24.05.2012	22.12.2011	21.01.2012	14.24
4.	Maintenance of street, ward-3.	19.02.2014	30.10.2012	28.12.2012	07.38
5.	Improvement of road from Hanuman Mandir (Barra-2) to by-pass road (<i>Sabji mandi</i>), ward-80, zone-3.	04.12.2013	01.12.2012	01.03.2013	64.08
6.	Improvement of road & drain from National Highway upto culvert and from h/o M.A. Ansari to Kids Villa school <i>via</i> Fahim house in Gadiyana ward-53, zone-2.	15.05.2013	28.12.2012	28.02.2013	15.23
7.	Improvement of road from house no. 128/96 to 116, 128/69 <i>etc.</i> , ward-55 zone-3.	03.05.2013	03.01.2013	22.03.2013	18.81
8.	Improvement of road, drain & footpath from h/o Ravi Shukla to h/o Suresh Anand in ward-45, zone-6.	22.03.2013	04.01.2013	04.03.2013	15.15
9.	Construction of lane in Juhi Khurd, ward-35, zone-5.	28.05.2013	18.02.2013	25.02.2013	07.82
10.	Improvement of C.C. road from house no.E-765, E-block to Agarwal apartment in ward-77, zone-2.	10.05.2013	12.03.2013	11.04.2013	01.52
11.	Improvement of interlocking road and drain from house no. 39 near Manoj Provision General Store to <i>Khel Ghar</i> approach road in ward-70, zone-2.	24.06.2013	12.03.2013	11.05.2013	05.56
12.	Improvement of road & <i>gali</i> by laying interlocking tiles, Dehli Sujampur, ward-81, zone-3.	05.02.2014	13.03.2013	11.05.2013	06.93
13.	Construction of interlocking at E-block from house no. 26-55 & 43-149, ward-98, zone-5.	28.02.2014	13.03.2013	02.01.2014	03.98
14.	Improvement of <i>gali</i> pit at different places, ward-97, zone-1.	21.07.2013	14.03.2013	13.04.2013	00.86
15.	Improvement of internal lane and laying of SW & hume pipe for disposal of storm water, ward-103, zone-1.	10.09.2013	18.03.2013	17.05.2013	05.69
16.	Construction of drain <i>puliya</i> in Ratanpur, ward-33, zone-5.	18.07.2013	18.03.2013	31.05.2013	00.66

17.	Improvement of road, ward-105, zone 1.	26.04.2013	19.03.2013	18.04.2013	01.54
18.	Improvement of road & drain from h/o Nanda to Yadav General Store in Tatia village, ward-71, zone-2.	25.06.2013	23.03.2013	27.05.2013	10.97
19.	Construction of <i>nala</i> from Khadepur colony <i>Chauraha</i> to Shivaji inter college in ward 26, zone-3.	06.08.2013	23.03.2013	21.05.2013	43.94
20.	Improvement of road, drain and footpath from Trimurti Mandir, G.T. road, Gandhi gram to Bauwa General Store and from Tanu Bhawan by-pass to Surya Prakash house no.77/41 in ward-71, zone-2.	02.06.2013	28.03.2013	27.05.2013	68.57
21.	Supply of material for patch work at Jajmau dump, zone-2.	20.01.2014	15.04.2013	29.04.2013	01.00
22.	Cleaning of <i>nala</i> from Wendi school to Kidwai Nagar Thana, ward-24, zone-3.	19.11.2013	29.04.2013	28.05.2013	00.87
23.	Cleaning of <i>nala</i> below culvert from Jagran school to Jarauli, ward-24, zone-3.	25.02.2014	01.05.2013	30.05.2013	01.37
24.	Cleaning of <i>nala</i> from Kidwai Nagar Thana to Rakhi Mandi, ward-25 zone-3.	19.11.2013	01.05.2013	30.05.2013	00.97
25.	Cleaning of <i>nala</i> from Haveli Guest house to Bamba road via Nazirabad Thana, ward-64, zone-5.	10.10.2013	10.05.2013	26.06.2013	00.80
26.	Construction of <i>nala</i> from Bank of Baroda to Basant Garage via Gold spot, ward-38, zone-5.	25.09.2013	10.05.2013	20.06.2013	00.50
27.	Cleaning of <i>nala</i> from Barra village to Raja ka <i>Hata</i> , ward-62, zone-5.	25.09.2013	10.05.2013	20.07.2013	00.50
28.	Cleaning of <i>nala</i> from Beema hospital to Sai baba Mandir, ward-55, zone-3.	19.11.2013	30.05.2013	29.06.2013	00.85
29.	Construction of damaged <i>pulia</i> from house No. 104 to Durgeshwari Mandir, ward-64, zone-5.	11.02.2014	11.06.2013	10.07.2013	00.63
30.	Improvement of road from house no. 775 to 297, B-block, ward-62, zone-5.	29.11.2013	15.06.2013	09.11.2013	09.86
31.	Improvement of road from Dada Nagar crossing to Azad crossing, ward-3, zone-5.	24.03.2014	15.06.2013	15.03.2014	02.61
32.	Improvement of link road from house no. 275/ 44 to 275/41 in Basant Vihar, old Nawbasta, ward-58, zone-3.	23.08.2013	17.06.2013	15.08.2013	04.53
33.	Construction of footpath & drain in ward 24, zone-3.	10.01.2014	17.06.2013	15.08.2013	04.43
34.	Improvement of road from Babuni Maheshwari to Malki Nagar road, ward-64, zone-5.	13.01.2014	20.06.2013	26.12.2013	07.48
35.	Improvement of road from house no. 100 to 1020 B Swaraj Nagar, ward-64, zone-5.	17.10.2013	20.06.2013	30.08.2013	04.07
36.	Maintenance of road from house no. A-303 to A-318, ward-74, zone-5.	10.03.2014	20.06.2013	20.01.2014	02.01
37.	Improvement of interlocking tiles from A-329 to A-382, Ravidasapuram, Gujaini, ward-74, zone-5.	25.03.2014	20.06.2013	10.01.2014	02.61
38.	Laying of water pipe line and improvement of street from 76/21 to 76/36, ward-85, zone-1.	20.11.2013	22.06.2013	21.07.2013	01.73
39.	Improvement of road in ward 95, zone-2.	19.02.2014	03.07.2013	18.08.2013	02.91

40.	Improvement of footpath in front of house no. MIG-20 to 143 in Barra-2, ward-80, zone-3.	07.02.2014	04.07.2013	02.09.2013	06.44
41.	Improvement of road & drain in front of House No. 130/556, Bakerganj, ward-31, zone-3.	10.01.2014	04.07.2013	03.08.2013	01.43
42.	Improvement of service lane.	25.11.2013	04.07.2013	02.09.2013	03.83
43.	Improvement of side Patti and interlocking of tiles from house no. 128/230 to 128/357, ward-55, zone-3.	19.12.2013	09.07.2013	02.09.2013	06.98
44.	Improvement of road & drain from house no. C-576 to C-591 in Barra world bank colony, ward-80, zone-3.	06.12.2013	18.07.2013	17.08.2013	02.13
45.	Laying of raw water pipe line and improvement of street from 76/484 to 76/515, ward-85, zone-1.	21.10.2013	22.07.2013	26.07.2013	00.99
46.	Laying of raw water pipe line and improvement of street from 76/455 to Mandir, ward-85, zone-1.	21.10.2013	22.07.2013	26.07.2013	00.99
47.	Improvement of road & drain form Lali Provisional Store to h/o Rajesh & others in Pokharpur, ward-44, zone-2.	09.10.2013	05.08.2013	06.09.2013	03.79
48.	Improvement of lane from house no. E-495 to E-506, Barra-8, ward-62, zone-5.	10.12.2013	13.08.2013	10.09.2013	00.99
49.	Improvement of lane from E-565 to E-576, Barra-8, ward-62, zone-5.	10.12.2013	13.08.2013	08.09.2013	00.99
50.	Improvement of lane near house no. LIG 95 to Gujaini ward-74, zone-5.	31.12.2013	18.08.2013	17.09.2013	00.99
51.	Improvement of lane near house no. LIG 84.	30.12.2013	18.08.2013	17.09.2013	00.99
52.	Supply of stone grit, stone blast and stone dust at Rambagh dump, zone-4.	23.09.2013	27.08.2013	11.09.2013	00.99
53.	Construction of deep drain from Beema Hospital to Fahim house, ward-55 zone-3.	02.01.2014	09.09.2013	08.10.2013	04.48
54.	Repairing of room in zonal office, zone-3.	19.12.2013	09.09.2013	08.10.2013	01.48
55.	Painting of Ambedkar park at Ajit Ganj colony, Babupurwa, ward-75, zone-3.	02.09.2013	10.09.2013	09.08.2013	00.13
56.	Installation of submersible pump in N.N. kanji house in ward-38, zone-5.	31.12.2013	13.09.2013	12.10.2013	00.70
57.	Improvement of interlocking tiles from 155-174 B to Hotel Chand Babu, ward-95, zone-2.	21.01.2014	19.09.2013	17.11.2013	05.01
58.	Construction and restoration of sewer line from h/o Deepu Jaiswal to house no. 71/132, ward-109, zone-1.	08.01.2014	25.09.2013	25.12.2013	01.40
59.	Construction of RCC cover of drain in front of Eidgah, ward-95, zone-2.	20.01.2014	28.09.2013	15.10.2013	00.24
60.	Construction of garbage platform near Post-mortem house, ward-43 zone-4.	31.12.2013	07.10.2013	06.11.2013	01.49
61.	Repair of underground nala at Khatikana park, Baratshala chowk, ward-5 zone-4.	21.11.2013	07.10.2013	06.11.2013	00.98
62.	Construction of garbage platform in front of D.K. Dhal, G.T. road, ward-11, zone-4.	30.12.2013	07.10.2013	06.11.2013	03.57

63.	Construction of floor in front of A2Z office community centre, Aloo Mandi ,Kidwai Nagar.	10.02.2014	08.10.2013	07.11.2013	06.57
64.	Improvement of road from house no. G-50 to h/o of Malhotra, ward-69, zone-5.	02.01.2014	10.10.2013	16.12.2013	00.96
65.	Improvement of footpath in front of 88/e/49 ward-52, zone 5.	06.01.2014	10.10.2013	16.10.2013	00.99
66.	Construction of Chhatari near Mataji park Mandir Dabauli, ward-52, zone-5.	25.10.2013	10.10.2013	16.10.2013	00.90
67.	Improvement of road & drain from 492 to 476, 448 to 436 & 450 to 456 in Barra, ward-81, zone-3.	04.02.2014	11.10.2013	09.12.2013	09.14
68.	Construction of garbage disposal place in ward-86, zone 2.	03.01.2014	11.10.2013	09.11.2013	05.17
69.	Laying of interlocking tiles.	23.12.2013	11.10.2013	25.10.2013	00.97
70.	Improvement of footpath by laying of interlocking tiles.	25.01.2014	14.10.2013	12.12.2013	11.24
71.	Construction of kitchen of Rattan inter college, zone-5.	25.10.2013	17.10.2013	23.10.2013	00.95
72.	Improvement of road, interlocking tiles & drain.	27.01.2014	11.12.2013	24.01.2014	04.56
73.	Construction of wall, ward-47, zone-5.	04.01.2014	18.12.2013	24.12.2013	01.41
74.	Road patch work from Deep Cinema Tiraha to Gaushala Chauraha, ward-24, zone-3.	23.01.2014	08.01.2014	14.01.2014	09.86
75.	Laying of drainage pipe & interlocking of tiles at 104-A, N.N.colony, Bajariya Thana, ward-41, zone-4.	25.02.2014	07.10.2014	20.02.2014	04.58
Total					514.81 Say 5.15 crore

(Source: Information provided by KNN)

Appendix- 4.1.16

(Reference: Paragraph no.4.1.9.2; page 87)

Details of payment of Bitumen without obtaining consignee receipt challan

Sl. No.	Name of work	Consumption in (50 mm) BM (in MT)	Rate/MT (in ₹)	Expenditure (₹ in lakh)	Consumption in (25 mm) SDBC (in MT)	Rate/MT (in ₹)	Expenditure (₹ in lakh)
1	2	3	4	5	6	7	8
1.	Improvement of Lajpat Nagar road & drain from Guru Nanak Girls College to KMC hospital in ward-61, zone-6 (228/AA-6/2009-10).	610.00	2,730	16.65	322.35	3,414	11.01
2.	Improvement of road & drain from 9 No. railway crossing to 10 No. railway crossing (Gurudev Cinema) Sharda Nagar in ward-63, zone-6(227/AA-6/2009-10).	505.92	2,730	13.81	269.40	3,414	09.19
3.	Improvement of road from chain factory crossing to Kalpi road in ward-27, zone-6 (291/AA-6/2009-10).	205.18	2,730	05.60	100.74	3,414	03.78
4.	Improvement of road transformer bypass in ward-73&74 ward-4, zone-5 (338/AA-5/2010-11).	404.70	2,730	11.05	247.05	3,414	08.43
5.	Improvement of road by HMP from Rave Moti to Narendra Mohan Setu (bridge), Jagran road via Labour Commissioner office & both side ward-34, Sarvodaya Nagar zone-6 (160/AA-6/2010-11).	629.29	2,730	17.18	328.98	3,414	11.23
6.	Improvement of road Barra bypass to Andha Kuwan in ward-62, zone-5 (424/AA-5/2011-12).	501.26	3,108	15.58	259.00	4,010	10.39
7.	Improvement of road from RTO office to Kakadev crossing and via Ram Charan Maurya to Pandu Nagar Society, Dharamshala road, ward-34, zone-6 (97/AA-6/2011-12).	630.00	2,730	17.20	330.46	3,414	11.28
8.	Repair of road, drain in 18 mt. to 12 mt. internal road in PAC road house No. 33 to 336 in Shyam Nagar ward-77, zone-2 (86/AA-2/2012-13).	775.61	3,108	24.11	431.62	4,010	17.31
9.	Improvement of road & drain from Choice tailors to Panki canal road via Gajanan School in ward-42, Awas Vikas Yojana-3, ward-42, zone-6 (215/AA-6/2012-13).	160.75	3,108	04.99	85.55	4,010	03.43
10.	Improvement of road, drain and footpath from house of Ravi Shukla (Advocate) to house of Suresh Ananddimari in ward-45, zone-6 (216/AA-6/2012-13).	158.10	3,108	04.91	83.90	4,010	03.36
11.	Improvement of road, drain and footpath in Gandhi gram, GT road, Trimurti Mandir to Bauwa General Store & from Tanu Bhawan bypass to Surya Prakash house No. 77/41 in ward-71, zone-2 (81/AA-2/2012-13).	684.00	3,108	21.26	359.00	4,010	14.40
Total		5,264.81		152.34	2,818.05		103.81
Grand Total (5+8) ₹ 256.15 lakh say ₹ 2.56 crore							

(Source: Information provided by KNN)

Appendix- 4.1.17
(Reference: Paragraph no. 4.1.11.1; page 90)

A- Details showing the amount to be withheld for less collection of user charges

(₹ in lakh)

Period (months)	Monthly admissible limit of user charges	Target of user charges for the period	Actual collection	Shortfall/amount to be withheld from the payment of the firm
November 2010 to December 2010 (2)	38.79	77.58	05.27	72.31
January 2011 to December 2011 (12)	38.79	465.48	485.86	Targets of realisation of user charges were achieved during the period
January 2012 to December 2012 (12)	51.72	620.64	753.73	Targets of realisation of user charges were achieved during the period
January 2013 to December 2013 (12)	64.65	775.80	267.69	508.11
January 2014 to June 2014 (6)	64.65	387.90	200.02	187.88
			Total	768.30 Say ₹ 7.68 crore

(Source: Information provided by KNN)

B- Details showing amount of penalty not imposed by KNN

Period (days)	Required AIW for the period (in MT)	Actual transported MSW (in MT)	Short transportation of MSW (in MT)	Rate of transportation per MT	Amount of penalty (50 per cent tipping fees payable) (₹ in lakh)
November 2010 to December 2010 (61)	73,200	30,476.62	42,723.38	456	97.41
January 2011 to December 2011 (365)	4,38,000	3,85,021.79	52,978.21	456	120.79
January 2012 to December 2012 (365)	4,38,000	3,72,221.70	65,778.30	456	149.97
January 2013 to October 2013 (304)	3,64,800	1,70,794.13	1,94,005.87	456	442.33
November 2013 to December 2013 (61)	73,200	39,869.39	33,330.61	479	79.83
January 2014 to May 2014 (151)	1,81,200	80,406.42	1,00,793.58	479	241.40
				Total	1,131.73 Say ₹ 11.32 crore

(Source: Information provided by KNN)

Appendix- 4.1.18
(Reference: Paragraph no.4.1.11.3; page 91)
Details of incomplete sewerage projects

Sl. No.	Name of project/ executive agency	Year of sanction/ completion schedule	Approved cost/ revised cost (with year of revision)	Released amount/ expenditure	Physical progress
1.	210 mld STP at Bingowan, approved by GoI under JNNURM/UP Jal Nigam.	October 2008/ February 2011	₹ 101.45 crore/ ₹ 141.96 crore (March 2011)	₹ 141.45 crore/ ₹ 126.00 crore (August 2014)	89 per cent
2.	Sewerage project (District-IV Part-III) approved by GoI under JNNURM /UP Jal Nigam, which includes one STP of 42 mld.	February 2009/ February 2012	₹ 207.36 crore/ ₹ 245.74 crore (June 2014)	₹ 155.52 crore/ ₹ 138.68 crore (August 2014)	80 per cent
3.	Sewerage works in inner old area of Kanpur (Part-I) approved by GoI under JNNURM/UP Jal Nigam, which includes two STP of 43 mld and 15 mld.	December 2007/ December 2010	₹ 190.88 crore	₹190.88 crore/ ₹ 190.85 crore (August 2014)	85 per cent

(Source: Information provided by divisions of U.P. Jal Nigam)

Appendix- 4.1.19
(Reference: Paragraph no. 4.1.12.1, page 92)
Details of incomplete drinking water projects

Sl. No.	Name of project/ executive agency	Year of sanction/ completion schedule	Approved cost /revised cost(with year of revision)	Released amount/ expenditure	Physical progress
1.	Kanpur water supply project in inner old city area (Phase-I) approved by GoI under JNNURM/UP Jal Nigam.	October 2007/ October 2010	₹ 270.95 crore/ ₹ 340.80 crore (December 2010) Re-revised (May 2014) to ₹ 393.93 crore	₹ 340.80 crore/ ₹ 317.70 crore (June 2014)	81 to 98 <i>per cent</i> in different works
2.	Remaining part of Kanpur city (Phase-II) approved by GoI under JNNURM/UP Jal Nigam.	January 2009/ January 2012	₹ 393.93 crore/ ₹ 475.15 crore (December 2011)	₹ 475.15 crore/ ₹ 355.21 crore (June 2014)	25 to 96 <i>per cent</i> in different works

(Source: Information provided by the divisions of U.P. Jal Nigam division)

Appendix- 4.1.20
(Reference: Paragraph no.4.1.12.2; page 93)
Details of non-functional hospitals/dispensaries

Sl. No.	Name of hospitals/dispensaries	Year since non-functional
1.	Nawabganj allopathic dispensary	2009-10
2.	Birhana road allopathic dispensary	-do-
3.	Collectorganj maternity centre	-do-
4.	Nawabganj maternity centre	-do-
5.	Dahan-kutty maternity centre	-do-
6.	Kalpi-road maternity centre jachcha-bachcha centre	-do-
7.	Nehru Nagar maternity centre	-do-
8.	Krishna Nagar maternity centre	-do-
9.	Darshan purwa maternity centre	-do-
10.	Maheshwari Mohal Suttarkhana ayurvedic dispensary	-do-
11.	Shoterganj ayurvedic dispensary	-do-
12.	Chamanganj ayurvedic dispensary	-do-
13.	Juhi-khurd ayurvedic dispensary	-do-
14.	Babu-purwa ayurvedic dispensary	-do-
15.	Machhariya ayurvedic dispensary	-do-
16.	Geeta Nagar homeopathic dispensary	-do-
17.	Hari colony homeopathic dispensary (Govind Nagar)	-do-
18.	Moti Mohal homeopathic dispensary	-do-
19.	Kanghi Mohal (Bans-Mandi) homeopathic dispensary	-do-
20.	Rai purwa homeopathic dispensary	-do-
21.	Vijay Nagar homeopathic dispensary	-do-
22.	Anwarganj homeopathic dispensary	-do-
23.	Barra ayurvedic dispensary	2010-11
24.	Shastri Nagar ayurvedic dispensary	2013-14

(Source: Information provided by KNN)

Appendix- 4.1.21

(Reference: Paragraph no. 4.1.12.5; page 94)

Details showing parks where permanent structures (viz. religious) were constructed illegally

Sl. No.	Name of park	Name of locality
1	Rajjan Babu Hatia park	Hatia
2	Annandbag park	Annandbag
3	L.I.C. Park	C-block, Shyam Nagar
4	Lt. Major Talwar Park	Krishnapuram
5	Shivaji Park	Annandpuri
6	Radhamadhav Park	K-bock, Kidwai Nagar
7	Rameshwar Park	K-bock, Kidwai Nagar
8	Kalyaneshwar Park	W-block ,Keshaw Nagar
9	Ganesh Park	Gandhi Nagar
10	Phoolwali Badi Park	Khalasi Line
11	Kali Mathia Park	Swaroop Nagar
12	Ghanta Ghar Park	Swaroop Nagar
13	Takia Park	Prem Nagar
14	Sabji Mandi Park	13-block, Govind Nagar
15	Durga Mandir Park	Dabauli
16	Model Town Badi Park	Pandav Nagar
17	Durga Mandir Tikonia Park	Lajpat Nagar

(Source: Information provided by KNN)

Appendix- 4.1.22
(Reference: Paragraph no 4.1.13; page 95)
Details of sanctioned and vacant posts in KNN as on June 2014

Sl. No.	Name of the post	Sanctioned	Working	Vacant	Excess
1.	Additional /Upper Commissioner	02	-	02	-
2	Deputy Commissioner	05	02	03	-
3	Assistant Municipal Commissioner	06	01	05	-
4	Section officer	04	01	03	-
5.	Office Superintendent/Head clerk	06	-	06	-
6	Chief Engineer (civil)	01	01	-	-
7	Executive Engineer (civil)	05	04	01	-
8	Assistant Engineer (C)	11	07	04	-
9	Junior Engineer (C)	58	35	23	-
10	Executive Engineer (Traffic)	01	01	-	-
11	Assistant Engineer (T)	01	01	-	-
12	Junior Engineer (T)	01	-	01	-
13	Chief engineer Electrical/Mechanical	01	01	-	-
14	Executive Engineer (Elect.)	02	02	-	-
15	Assistant Engineer (E/M)	03	01	02	-
16	Environment Engineer	01	01	-	-
17	Zonal Health Officer	05	04	01	-
18	Chief Sanitary and Food Inspector	07	06	01	-
19	Sanitary and Food Inspector	42	34	08	-
20	Chief Tax Assessment Officer	01	01	-	-
21	Tax Assessment Officer	02	05		03
22	Superintendent	07	07	-	-
23	Tax Superintendent Grade-1	90	03	87	-
24	Chief Auditor (MNLP)	01	01	-	-
25	Auditor	10	01	09	-
26	Assistant Auditor	17	05	12	-
27	Chief Finance and Account Officer	01	01	-	-
28	Account Officer	01	01	-	-
29	Assistant Account Officer	01	-	01	-
30	Accountant Grade-1	06	05	01	-
31	Accountant Grade-2	14	12	02	-
32	Horticulture Superintendent	03	02	01	-
33	Vet nary Officer Grade-1	01	-	01	-
34	Assistant Director CC	01	01	-	-
35	Assistant Trainer (cricket)	01	01	-	-
36	Clerk grade-2	204	120	84	-
37	Amean/Zileadar	17	04	13	-

38	Stenographer	05	02	03	-
39	Light Inspector	08	02	06	-
40	Legal Officer	01	-	01	-
41	Draftsman	06	01	05	-
42	Chemist	01	01	-	-
43	Foreman (Workshop)	06	02	04	-
44	Electrician/ Electric Mechanic	10	02	08	-
45	Computer Operator	21	18	03	-
46	Assistant Teacher (Music) senior grade	02	01	01	-
47	Dance Teacher	01	01	-	-
48	Assistant Teacher (Music) junior grade	05	04	01	-
49	Driver	113	67	46	-
50	Musician	02	01	01	-
51	Tracer	09	05	04	-
52	Electrical/ Mechanical Draftsman	01	-	01	-
53	Compounder Veterinary	02	-	02	-
54	Foreman (Press)	01	-	01	-
55	Clerk Grade-1	119	61	58	-
56	Assistant Accountant(Non centralised)	43	32	11	-
57	Tax Inspector (class-2)	104	47	57	-
58	Clerk Grade-2	51	48	03	-
59	Cashier	01	-	01	-
60	Assistant Cashier	01	-	01	-
61	Light Superintendent	03	-	03	-
62	Personnel Secretary	02	02	-	-
63	Reporter	02	-	02	-
64	Map Superintendent	01	01	-	-
65	Mechanic	02	-	02	-
66	Senior Electrician	01	-	01	-
67	<i>Nayab Moharir</i>	37	29	08	-
68	Proof Reader	01	01	-	-
69	Assistant Machine Man	02	01	01	-
70	Book Binder	02	-	02	-
71	Numbering Man	01	-	01	-
72	Carpenter	10	01	09	-
73	Hammer Man	11	06	05	-
74	Turner	02	-	02	-
75	Fitter	58	12	46	-
76	Cleaner	58	42	16	-
77	Lineman	49	12	37	-
78	Switchman	314	268	46	-
79	Blue Printer	02	-	02	-

80	Gardener	287	141	146	-
81	Gangman	139	49	90	-
82	<i>Beldar</i>	448	216	232	-
83	Supervisor	38	15	23	-
84	<i>Safai Nayak</i>	184	179	05	-
85	Peon	698	597	101	-
86	<i>Jallad</i>	19	13	06	-
87	Black Smith	02	-	02	-
88	Tin Smith	02	-	02	-
89	Weldor	02	-	02	-
90	Moulder	02	-	02	-
91	Light <i>Mistri</i>	02	-	02	-
92	Mate	55	15	40	-
93	Senior lineman	01	-	01	-
94	<i>Daftri/Jamadar</i>	41	02	39	-
95	Head <i>Mali</i>	18	02	16	-
96	Compositor	06	-	06	-
97	Copy Holder	01	-	01	-
98	Machine Man	04	-	04	-
Total		3,560	2,171	1,392	03

(Source: Information by KNN)

Appendix- 4.1.23

(Reference: Paragraph no. 4.1.13; page 95)

Details of agreements executed for procurement of vehicles during 2009-14

(₹ in lakh)

Sl. No.	Date of agreement	Name (Quantity)	Name of the contractor	Value of the contract/ supply order	Due date of supply/ date of supply	Value of sale invoice
1.	07.01.2011	Mahindra Bolero Jeep Model ULXCRDEBS-iv (03).Jeep Soft Top Commander 650 uro-3(01).	M/s Jain Motors Kanpur	27.15	NA /06.01.2011	27.20
2.	21.02.2011	Eicher 10.75 Cabin & Chassis of 3,515mm WB 7,450 Kgs GVM (03).	M/s V.E. Commercial vehicles Ltd. Gurgaon	20.01	03.04.2011/31.03.2011	20.01
3.	28.03.2011	Speed craft road roller Model DPR/10 of 8-10 tones (02).	M/s speed Crafts Ltd., Patna	20.29	23.04.2011/08.06.2011	20.48
4.	29.03.2011	Eicher 20.16 Cabin & Chassis of 4,300 mm WB 16,200 Kgs GVM (01).	M/s V.E. Commercial vehicles Ltd. Gurgaon	10.59	04.05.2011/NA	NA
5.	26.09.2011	JCB Robot 135 fitted with 6-IN-1 Loader Bucket (01).	M/s Alliance Industrial Marketing, Lucknow	20.19	24.10.2012/05.10.2012	20.19
6.	26.09.2011	JCB VMT860 Tandem Vibratory Road Roller /Compactor (01).	M/s Alliance Industrial Marketing, Lucknow	26.00	24.10.2012/08.10.2012	26.00
7.	N.A.	1.4Lxi Fiesta classic Diesel (01).	M/s Swarn Cars Pvt. Ltd. Kanpur	07.26	29.06.2012/28.06.2012	07.26
8.	N.A.	Ambassador Car Grand (MPFI) 1800 (01).	M/s Hindustan Motors Ltd. Kolkata	04.72	07.01.2010/ N.A.	04.72
9.	04.10.2012	Vacuum Road Sweeper (02).	M/s T.P.S. Infrastructure Ltd. New Delhi	91.65	NA/31.12.2012	91.65
10.	04.10.2012	Nala cleaning machine (02).	M/s Cam Avida Enviro Engineering Pvt. Ltd. Pune	103.02	04.12.2012/19.02.2013	103.02
11.	08.10.2012	Sewer jetting cum suction machine/SJM (01). Tata 1,613 LPT equivalent Chassis with cabin and wheel base-4,800 mm chassis (01).	M/s USHA Engineering Ghaziabad	31.74	08.12.2012/17.01.2013(SJM) 08/12/2012/NA (Tata 1613 LPT)	18.82 (SJM) NA (Tata 1613 LPT)
Total		20		362.62 say ₹ 3.63 crore		339.35 say ₹ 3.39 crore

(Source: Information provided by KNN)

NA: Not available

Appendix-4.1.24

(Reference: Paragraph no.4.1.13; page 95)

Details showing position of technical staff as of July 2014

Year	Foremen			Mechanics			Fitter-men			Drivers		
	SS	PIP	Shortage (per cent)	SS	PIP	Shortage (per cent)	SS	PIP	Shortage (per cent)	SS	PIP	Shortage (per cent)
2009-10	06	02	04(67)	17	01	16(94)	58	12	46(79)	113	67	46(41)
2010-11	06	02	04(67)	17	01	16(94)	58	12	46(79)	113	67	46(41)
2011-12	06	02	04(67)	17	00	17(100)	58	11	47(81)	113	67	46(41)
2012-13	06	02	04(67)	17	00	17(100)	58	10	48(83)	113	62	51(45)
2013-14	06	02	04(67)	17	00	17(100)	58	10	48(83)	113	62	51(45)

(Source: Information provided by KNN) (SS- Sanctioned Strength; PIP-Person-in-position)

Appendix- 4.1.25

(Reference: Paragraph no. 4.1.14; page 96)

Position of non/improper maintenance of records during 2009-14

Sl. No.	Authority (<i>Lekha Niyamawali, 1960</i>)	Proforma number in which record was to be maintained	Name of records not maintained	Name of records not maintained properly	Remarks
1.	Rule 7	01	-	General cash book.	Transactions made through cheque/draft/online were not recorded.
2.	Rule 11	04	Departmental cash book (except tax department).	-	-
3.	Rule 20	15	Receipt to be issued by the cashier in lieu of tax deposited by tax collector.	-	-
4.	Rule 21	16	Details of daily collection of taxes by the zonal offices.	-	-
5.	Rule 42 (2)	29	Personal ledger account in respect of firms/contractors with whom regular transactions occurred.	-	-
6.	Rule 52	30	Register for permanent advances to employees.	-	-
7.	Rule 54	31	Account of permanent advances to employees.	-	-
8.	Rule 57 (a)	33	-	Temporary advance register.	Payment/ adjustment entry of the advances was not done.
9.	Rule 63	39	Loan register.	-	-
10.	Rule 65	41	Government grants register.	-	-
11.	Rule 66	42	Investments register.	-	-
12.	Rule 67	43	Deposit register.	-	-
13.	Rule 71	44 & 45	Suit and decree register, court decree register.	-	-
14.	Rule 88 (3)	Proforma B-1	Expenditure on original works executed.	-	-
15.	Rule 88 (3)	Proforma P-4	Expenditure details of the Government's grants.	-	-
16.	Rule 88 (3)	Proforma P-5	Details of developmental schemes.	-	-

(Source: *Lekha Niyamawali, 1960* and information collected from KNN)

Appendix- 4.1.26
(Reference: Paragraph no. 4.1.15; page 96)
Statement showing delays in issue of minutes of meetings of EC and Corporation

Sl. No.	EC			Corporation		
	Date of meeting	Date of release of minutes	Delay (days)	Date of meeting	Date of release of minutes	Delay (days)
1	11.06.2009	22.06.2009	11	23.05.2009	05.06.2009	13
2	02.09.2009	22.09.2009	20	10.12.2009	13.01.2010	34
3	01.04.2010	20.09.2010	172	23.01.2010	29.01.2010	06
4	13.10.2010	23.10.2010	10	13.12.2010	16.12.2010	03
5	01.11.2010	09.11.2010	08	20.01.2011	24.02.2011	35
6	24.12.2010	07.01.2011	14	25.08.2012	30.08.2012	05
7	17.10.2012	26.10.2012	09	15.12.2012	27.12.2012	12
8	16.01.2013	24.01.2013	08	16.02.2013	21.02.2013	05
9	21.03.2013	30.03.2013	09	27.04.2013	08.05.2013	11
10	14.08.2013	NA	NA	10.09.2013	20.09.2013	10
11	25.10.2013	31.10.2013	06			
12	04.03.2014	28.03.2014	24			

(Source: Information provided by KNN)

Appendix-4.1.27

(Reference: Paragraph no.4.1.16.1 & 4.1.22.1; page: 97 & 102)

Details of receipt and expenditure of test-checked NPPs/NPs during 2009-14

(₹ in crore)

Year	Opening balance	Government grants received during the year	Revenue realised including other receipts	Total available fund	Expenditure	Closing balance
<i>Nagar Palika Parishad, Kannauj</i>						
2009-10	07.57	05.70	01.42	14.69	11.64	03.05
2010-11	03.05	09.71	02.26	15.02	12.46	02.56
2011-12	02.56	06.65	02.41	11.62	07.58	04.04
2012-13	04.04	14.12	01.19	19.35	10.45	08.90
2013-14	08.90	12.82	01.79	23.51	15.18	08.33
Total	07.57	49.00	09.07	65.64	57.31	08.33
<i>Nagar Palika Parishad, Ghatampur</i>						
2009-10	00.96	02.18	00.24	03.38	02.04	01.34
2010-11	01.34	02.97	00.26	04.57	03.78	00.79
2011-12	00.79	02.86	00.31	03.96	02.92	01.04
2012-13	01.04	04.17	00.35	05.56	04.78	00.78
2013-14	00.78	08.39	00.33	09.50	06.66	02.84
Total	0.96	20.57	01.49	23.02	20.18	2.84
<i>Nagar Palika Parishad, Kayamganj</i>						
2009-10	1.49	2.30	0.49	4.28	3.46	0.82
2010-11	0.82	3.31	0.34	4.47	3.92	0.55
2011-12	0.55	3.06	0.36	3.97	3.11	0.86
2012-13	0.86	4.81	0.87	6.54	5.86	0.68
2013-14	0.68	7.00	0.84	8.52	7.69	0.83
Total	1.49	20.48	2.90	24.87	24.04	0.83
Total of NPPs	10.02	90.05	13.46	113.53	101.53	12.00
<i>Nagar Panchayat, Talgram</i>						
2009-10	00.05	00.34	00.08	00.47	00.44	00.03
2010-11	00.03	00.80	00.08	00.91	00.50	00.41
2011-12	00.41	00.62	00.08	01.11	01.05	00.06
2012-13	00.06	01.09	00.11	01.26	01.20	00.06
2013-14	00.06	04.02	00.27	04.35	01.91	02.44
Total	00.05	06.87	00.62	07.54	05.10	02.44
<i>Nagar Panchayat, Tirwaganj</i>						
2009-10	00.22	00.91	00.22	01.35	01.22	00.13
2010-11	00.13	01.40	00.22	01.75	01.39	00.36

2011-12	00.36	02.18	00.38	02.92	02.86	00.06
2012-13	00.06	02.52	00.39	02.97	02.49	00.48
2013-14	00.48	04.83	00.25	05.56	03.84	01.72
Total	00.22	11.84	01.46	13.52	11.80	01.72
<i>Nagar Panchayat, Shivli</i>						
2009-10	00.03	00.97	00.08	01.08	01.05	00.03
2010-11	00.03	00.69	00.04	00.76	00.67	00.09
2011-12	00.09	01.04	00.06	01.19	01.11	00.08
2012-13	00.08	01.54	00.07	01.69	01.40	00.29
2013-14	00.29	02.67	00.11	03.07	01.73	01.34
Total	00.03	06.91	00.36	07.30	05.96	01.34
<i>Nagar Panchayat, Atsu</i>						
2009-10	00.02	01.20	00.13	01.35	01.28	00.07
2010-11	00.07	01.02	00.09	01.18	00.90	00.28
2011-12	00.28	01.09	00.06	01.43	01.05	00.38
2012-13	00.38	01.26	00.07	01.71	00.74	00.97
2013-14	00.97	02.88	00.05	03.90	01.63	02.27
Total	00.02	07.45	00.40	07.87	05.60	02.27
<i>Nagar Panchayat, Ekdil</i>						
2009-10	00.04	00.88	00.02	00.94	00.30	00.64
2010-11	00.64	00.87	00.03	01.54	01.16	00.38
2011-12	00.38	01.11	00.04	01.53	01.20	00.33
2012-13	00.33	01.34	00.03	01.70	00.94	00.76
2013-14	00.76	02.41	00.18	03.35	01.98	01.37
Total	00.04	06.61	00.30	06.95	05.58	01.37
<i>Nagar Panchayat, Kamalganj</i>						
2009-10	0.15	1.34	0.20	1.69	1.65	0.04
2010-11	0.04	1.48	0.19	1.71	1.46	0.25
2011-12	0.25	2.25	0.20	2.70	2.38	0.32
2012-13	0.32	2.16	0.44	2.92	1.88	1.04
2013-14	1.04	3.75	0.20	4.99	2.19	2.80
Total	0.15	10.98	1.23	12.36	9.56	2.80
Total of NPs	00.51	50.66	4.37	55.54	43.60	11.94

(Source: Information provided by test-checked NPPs/NPs)

Appendix- 4.1.28
(Reference: Paragraph no. 4.1.18; page 101)
Details of works constructed without approval of Municipal Board

(₹ in lakh)

Sl. No.	Name of work	Estimated cost
1.	Construction of bituminous and C.C. road from house of Sri Harsh to house of Sri Lal Yadav in Gwal Maidan	10.99
2.	Construction of bituminous and C.C. road from Tulsi upvan park to house of Sri Lal Yadav in Zerquila	5.93
3.	Construction of road and drainage from house of Sri Mohd. Yaqub to house of Taiyab in Hazikala	4.90
4.	Construction of drainage and C.C. road from Naveen tube-well to house of Indresh Divedi & from house of Sri Santosh to house of Raj Kumar	11.28
5.	Construction of drainage in Bank colony of Devintola	1.31
6.	Construction of <i>Nala</i> from Kali Mandir to house of Vasudev in Yusufpur	24.17
7.	Construction of drainage from house of Sri Pavan Awasthi to house of Dr. R.R. Gupta in Nakhasa	0.59
8.	Construction of both side <i>nali</i> from house of Sri Govind Saini to house of Sri Babu Ram in Pathkana	2.66
9.	Construction of <i>nali</i> in front of house of Sri Rajesh Mishra to Shekhpura in Lal Mishra	0.77
10.	Construction of <i>nali</i> from house of Bhole Ouresi to tube-well in Sekhpura	9.23
11.	Construction of <i>pulia</i> in Ranichah	9.47
12.	Construction of <i>nala</i> from house of Sri Murari Gupta to garden of Sri Parmeshwari Dayal Mishra in Saraimera of ward no. 14 Chaudhariapur	22.51
13.	Connection of <i>nali</i> of various street to main nala in Saraimera	5.17
14.	Construction of <i>nala</i> from house of Sri Krishnakant Agnihotri to Jalalpur road via Kanshi Ram Colony in Pathkana	24.85
15.	Construction of C.C, road and <i>nali</i> from chakki of Sri Shyam Singh to house of Rajiv in Bazarkala	3.27
16.	Construction of C.C. road to house of Ganga Ram to house of Santosh in Bagia Fazal Imam	3.11
17.	Construction of C.C., road and <i>nali</i> from <i>pani tanki</i> to house of Sri Malkhan Yadav via plot of Sri Ram Ratan Yadav and Sri Ganga Ram Verma in Gadanpur Baddu Makrandpur	4.18
18.	Construction of C.C, road and <i>nali</i> from house of Lala <i>gihar</i> to house of Sri Sunil Kumar in kutulipur <i>Malin Basti</i>	6.68
Total		151.07
		Say ₹ 1.51 crore

(Source: Information provided by NPP Kannauj)

Appendix- 4.1.29

(Reference: Paragraph no. 4.1.21 & 4.1.25, page 101 & 106)

Statement showing details of meetings of Municipalities during 2009-14

Name of unit	No. of meetings to be held as per norms	No. of meetings held	Percentage of shortfall
NPP, Kannauj	60	20	67
NPP, Ghatampur	60	15	75
NPP, Kayamganj	60	17	72
NP, Talgram	60	38	37
NP, Tirwaganj	60	50	17
NP, Shivli	60	34	43
NP, Atsu	24 (2012-14)	08*	67
NP, Ekdil	60	43	28
NP, Kamalganj	60	18	70

(Source Information provided by KNN and test-checked NPPs/NPs)

* In NP Atsu details/records related to period 2009-10 to 2011-12 were not provided.

Appendix-4.2.1

(Reference: Paragraph no. 4.2.1; page 108)

Year wise Receipt and Expenditure of 12th Finance Commission

(Amount in ₹)

Sl. No.	Financial Year	Receipt	Expenditure	Balance	UC Sent
1.	2005-06	3,83,73,000	3,74,11,250	9,61,750	1,91,38,000
2.	2006-07	3,82,94,620	3,73,37,720	9,56,900	1,91,56,620
3.	2007-08	3,83,13,240	2,65,58,301	1,17,54,939	Nil
4.	2008-09	3,83,13,240	1,36,84,795	2,46,28,445	Nil
5.	2009-10	3,71,57,824	99,57,938	2,71,99,886	Nil
Total		19,04,51,924	12,49,50,004	6,55,01,920	3,82,94,620

(Source: Nagar Nigam, Meerut)

Appendix-4.2.2
(Reference: Paragraph no. 4.2.1; page 108)
Details of Deposit and Surrendered amount

(₹ in lakh)

Date of Deposit	Amount	Bank/ PLA	Date of Surrender	Surrendered Amount	Total
16.10.2008	54.72	Punjab National Bank, Gymkhana, Meerut	29.09.2014	633.10	NIL
23.01.2009	191.57				
04.01.2010	99.11				
09.02.2010	172.88				
Interest earn on above amount	114.82				
Total Deposit in Bank (A)	633.10			633.10	NIL
2005-2008 (B)	136.73	PLA	13.10.2014	101.12	35.61
Grand Total (A+B)	769.83			734.22	35.61

(Source: Nagar Nigam, Meerut)