

Annexure-1

**Statement showing particulars of up to date paid up capital, loans outstanding and Manpower as on 31 March 2010 in respect of Government companies and Statutory corporations
(Referred to in paragraph 1.3)**

(Figures in column 5 (a) to 6(d) are ` in crore)

Sl No	Sector and name of the company	Name of the Department	Month and year of incorporation	Paid up capital\$				Loans* outstanding at the close of 2009-10				Debt Equity ratio for 2009-10 (previous year)	Manpower (No of employees as on 31-03-2010)
				State Government	Central Government	Others	Total	State Government	Central Government	Others	Total		
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
A	Working Government companies												
	AGRICULTURE AND ALLIED												
1	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	Sugar Industry & Cane Development	27-08-1975	0.15	-	0.10	0.25	-	-	-	-	-	23
2	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	Sugar Industry & Cane Development	27-08-1975	0.51	-	0.14	0.65						10
3	Uttar Pradesh Beej Vikas Nigam Limited	Agriculture	15-02-2002	1.25	-	0.67	1.92	-	-	-	-	-	380
4	Uttar Pradesh Bhumi Sudhar Nigam	Agriculture	30.03.1978	1.50	-	-	1.50	-	-	-	-	-	272
5	Uttar Pradesh Matsya Vikas Nigam Limited	Matysa & Pashudhan	27.10.1979	1.07	-	-	1.07	-	-	-	-	-	-
6	Uttar Pradesh Projects Corporation Limited	Irrigation	26.05.1976	5.40	1.00	-	6.40	-	-	-	-	-	787
7	Uttar Pradesh State Agro Industrial Corporation Limited	Agriculture	29.03.1967	46.78	-	-	46.78	5.00	-	-	5.00	0.11:1 (0.13:1)	948
	Sector wise total			56.66	1.00	0.91	58.57	5.00	-	-	5.00	0.09:1 (0.13:1)	2420
	FINANCING												
8	The Pradeshiya Industrial and Investment Corporation of Uttar Pradesh Limited	Industrial Development	29.03.1972	110.58	-	25.00	135.58	146.03	-	1.27	147.30	1.09:1 (2.43:1)	243
9	Uttar Pradesh Alpsankhyak Vitya Avam Vikas Nigam Limited	Alpsankhyak kalyan & Waqf	17.11.1984	30.00	-	-	30.00	7.52	-	82.68	90.20	3.01:1 (3.01:1)	--
10	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	Pichhara Varg Kalyan	26.04.1991	12.91	-	-	12.91	-	-	51.17	51.17	3.96:1 (3.57:1)	17
11	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	Samaj Kalyan	25.03.1975	112.00	-	90.12	202.12	-	-	74.54	74.54	0.37:1 (0.39:1)	405
12	Uttar Pradesh State Industrial Development Corporation Limited	Industrial Development	29.03.1961	24.08	-	-	24.08	1.98	-	-	1.98	0.08:1 (0.08:1)	654
	Sector wise total			289.57	-	115.12	404.69	155.53	-	209.66	365.19	0.90:1 (1.36:1)	1319

(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
	INFRASTRUCTURE												
13	Uttar Pradesh Police Avast Nigam Limited	Home	27.03.1987	3.00	-	-	3.00	-	-	-	-	-	157
14	Uttar Pradesh Rajkiya Nirman Nigam Limited	Public Works Department	01.05.1975	1.00	-	-	1.00	-	-	-	-	-	3618
15	Uttar Pradesh Samaj Kalyan Nirman Nigam Limited	Samaj Kalyan	25.06.1976	0.15	-	-	0.15	-	-	-	-	-	602
16	Uttar Pradesh State Bridge Corporation Limited	Public works Department	09.01.1973	15.00	-	-	15.00	3.75	-	0.68	4.43	0.30:1 (1.19:1)	6274
	Sector wise total			19.15	-	-	19.15	3.75	-	0.68	4.43	0.23:1 (0.95:1)	10651
	MANUFACTURE												
17	Almora Magnesite Limited(619-B Company)		27.08.1971	-	-	2.00	2.00	-	-	0.09	0.09	0.05:1 (-)	441
18	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	Sugar Industry and Cane Development	18.04.1975	-	-	81.38	81.38	-	4.00	0.23	4.23	0.05:1 (0.05:1)	525
19	Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	Sugar Industry and Cane Development	30.05.1986	-	-	147.72	147.72	-	-	10.21	10.21	0.07:1 (15.51:1)	60
20	Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	Sugar Industry and Cane Development	18.04.1975	-	-	34.04	34.04	-	-	3.76	3.76	0.11:1 (1.09:1)	120
21	Shreetron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics & information Technology	10.02.1979	-	-	7.22	7.22	-	-	2.63	2.63	0.36:1 (0.36:1)	14
22	UPSIC Potteries Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	Laghu Udyog	27.04.1976	-	-	0.76	0.76	0.28	-	1.40	1.68	2.21:1 (2.67:1)	95
23	Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics & information Technology	18.10.1974	-	-	57.93	57.93	-	-	9.70	9.70	0.17:1 (0.17:1)	-
24	Uptron Powertronics Ltd. (subsidiary of Uttar Pradesh Electronics Corporation)	Electronics and information technology	10.04.1977	-	-	4.07	4.07	-	-	5.92	5.92	1.45:1 (0.70:1)	39
25	Uttar Pradesh Drugs and Pharmaceuticals Limited	Health	-	1.10	-	-	1.10	-	-	-	-	-	321
26	Uttar Pradesh Electronics Corporation Limited.	Electronics and information technology	20.03.1974	91.54	-	-	91.54	80.46	-	-	80.46	0.88:1 (0.94:1)	42
27	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited	Sugar Industry and cane Development	16.05.2002	553.03	-	327.00	880.03	-	-	-	-	-	61
28	Uttar Pradesh Small Industries Corporation Limited	Laghu Udhog	01.06.1958	5.96	-	-	5.96	6.32	-	3.92	10.24	1.72:1 (1.72:1)	-
29	Uttar Pradesh State Handloom Corporation Limited	Hathkargha evam vastra Udhog	09.01.1973	36.44	10.63	-	47.07	103.96	-	5.00	108.96	2.31:1 (2.29:1)	376

(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
30	Uttar Pradesh State Leather Development and Marketing Corporation Limited	Niryat Protshahan	12.02.1974	5.74	-	-	5.74	1.91	-	-	1.91	0.33:1 (0.33:1)	2
31	Uttar Pradesh State Spinning Company Limited	Hathkargha evam vastra Udhog	20.08.1976	93.24	-	-	93.24	45.64	-	-	45.64	0.49:1 (0.49:1)	2389
32	Uttar Pradesh State Sugar Corporation Limited	Sugar Industry & Cane Development	26.03.1971	1103.72	-	-	1103.72	231.21	-	-	231.21	0.21:1 (0.05:1)	5674
33	Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	Hathkargha evam vastra Udhog	20.08.1974	53.67	-	-	53.67	29.66	-	22.02	51.68	0.96:1 (0.89:1)	1240
	Sector wise total			1944.44	10.63	662.12	2617.19	499.44	4.00	64.88	568.32	0.22:1 (0.22:1)	11399
	POWER												
34	Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	Energy	1.05.2003	-	-	2755.87	2755.87	77.98	-	574.78	652.76	0.24:1 (0.27:1)	-
35	Kanpur Electricity Supply Company Limited	Energy	21.07.1999	-	-	177.99	177.99	4.04	-	284.37	288.41	1.62:1 (1.73:1)	2158
36	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	Energy	01.05.2003	-	-	2100.72	2100.72	-	-	617.57	617.57	0.29:1 (0.32:1)	9193
37	Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	Energy	01.05.2003	-	-	1978.70	1978.70	-	-	968.27	968.27	0.49:1 (0.49:1)	8233
38	Prayag Raj Power Generation Company Limited	Energy	12.02.2007	-	-	0.05	0.05	-	-	79.95	79.95	1599:1 (1599:1)	-
39	Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	Energy	01.05.2003	-	-	3511.54	3511.54	-	-	174.65	174.65	0.05:1 (0.15:1)	10408
40	Sangam Power Generation Company Limited	Energy	13.02.2007	-	-	0.05	0.05	-	-	69.85	69.85	1397:1 (1397:1)	-
41	Sonebhadra Power Generation Company Limited	Energy	14.02.2007	-	-	0.07	0.07	-	-	-	-	--	-
42	UCM Coal Company Limited	Energy	16.02.2008	-	-	0.16	0.16	4.54	-	9.44	13.98	117.69:1	-
43	UPSIDC Power Company Limited (subsidiary of Uttar Pradesh State Industrial Corporation Limited)	Energy	11.04.2000	-	-	0.05	0.05	-	-	-	-	-	-
44	Uttar Pradesh Jal Vidyut Nigam Limited	Energy	15.04.1985	430.74	-	-	430.74	64.65	-	85.21	149.86	0.35:1 (0.15:1)	648
45	Uttar Pradesh Power Corporation Limited	Energy	30.11.1999	27986.30	-	-	27986.30	521.96	-	3266.20	3788.16	0.14:1 (0.07:1)	-
46	Uttar Pradesh Power Transmission Corporation Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	Energy	13.07.2006	-	-	-	-	-	-	-	-	-	-

(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
47	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	Energy	22.08.1980	5527.01	-	-	5527.01	219.09	-	5233.83	5452.92	0.99:1 (0.90:1)	9327
	Sector wise total			33944.05	-	10525.20	44469.25	892.26	-	11364.12	12256.38	0.28:1 (0.24:1)	39967
	SERVICE												
48	Abhyaranya Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
49	Adyhasvai Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
50	Awadh Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
51	Bithpur Paripath Paryatan Ltd.	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
52	Braj Darshan Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
53	Braj Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
54	Bundelkhand Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
55	Ganga Saryu Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
56	Garhmukteshwar Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
57	Gyanodaya Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
58	Hastinapur Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
59	Hindon Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
60	Madhyanchal Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
61	Paanchal Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
62	Pachimanchal Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
63	Sangam Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
64	Satyadarshan Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
65	Shajhanpur Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
66	Siddhartha Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
67	Taj Shilp Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
68	Taj Virasat Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
69	Triveni Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
70	Uttar Pradesh Development Systems Corporation Limited	Electronics & information Technology	15.03.1977	1.00	-	-	1.00	-	-	-	-	-	98
71	Uttar Pradesh Export Corporation Limited	Niryat Protsahan	20.01.1996	6.34	0.90	-	7.24	7.44	-	-	7.44	1.03:1 (1.03:1)	142
72	Uttar Pradesh Food and Essential Commodities Corporation Limited	Food & Civil Supplies	22.10.1974	5.50	-	-	5.50	13.47	-	-	13.47	2.45:1 (2.45:1)	847

(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
73	Uttar Pradesh State Tourism Development Corporation Limited	Tourism	05.08.1974	18.75	-	-	18.75	2.41	-	-	2.41	0.13:1 (0.02:1)	586
	Sector wise total			31.59	0.90	1.10	33.59	23.32	-	-	23.32	0.69:1 (0.64:1)	1673
	MISCELLANEOUS												
74	Uttar Pradesh Mahila Kalyan Nigam Limited	Mahila Kalyan	17.03.1988	4.71	0.48	-	5.19	-	-	-	-	-	23
75	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	Samaj Kalyan	23.05.1989	0.43	-	-	0.43	-	-	-	-	-	122
76	Uttar Pradesh Waqf Vikas Nigam Limited	Waqf & Alpsankhyak	27.04.1987	7.00	-	-	7.00	--	--	-	-	-	24
	Sector wise total			12.14	0.48	-	12.62	-	-	-	-	--	169
	Total A (All sector wise working Government companies)			36297.60	13.01	11304.45	47615.06	1579.30	4.00	11639.34	13222.64	0.28:1 (0.25:1)	67598
B	Working Statutory Corporations												
	AGRICULTURE & ALLIED												
1	Uttar Pradesh State Warehousing Corporation	Cooperative	19.03.1958	7.79	5.58	-	13.37	-	-	-	-	-	1491
	Sector wise total			7.79	5.58	-	13.37	-	-	-	-	-	1491
	FINANCING												
2	Uttar Pradesh Financial Corporation	Industry Development	01.11.1954	114.51	-	64.78	179.29	230.45	-	376.59	607.04	3.39:1 (4.64:1)	699
	Sector wise total			114.51	-	64.78	179.29	230.45	-	376.59	607.04	3.39:1 (4.64:1)	699
	INFRASTRUCTURE												
3	Uttar Pradesh Avas Evam Vikas Parishad	Avas	03.04.1966	-	-	-	-	-	-	-	-	-	4147
4	Uttar Pradesh Jal Nigam	Urban Development	06.06.1975	-	-	-	-	98.68	-	-	98.68	-	-
	Sector wise total			-	-	-	-	98.68	-	-	98.68	-	4147
	SERVICE												
5	Uttar Pradesh State Road Transport Corporation	Transport	01.06.1972	309.11	60.01	-	369.12	-	-	-	-	-	-
6	Uttar Pradesh Government Employees Welfare Corporation	Food & Civil Suplies	05.05.1965	-	-	-	-	9.51	-	-	9.51	-	904
	Sector Wise total			309.11	60.01	-	369.12	9.51	-	-	9.51	0.03:1 (0.69:1)	904
	Miscellaneous												
7	Uttar Pradesh Forest Corporation**	Forest	25.11.1974	-	-	-	-	-	-	-	-	-	2641
	Sector Wise total			-	-	-	-	-	-	-	-	-	2641
	Total B (All Sector wise working statutory corporations)			431.41	65.59	64.78	561.78	338.64	-	376.59	715.23	1.27:1 (2.18:1)	9882
	Total (A+B)			36729.01	78.60	11369.23	48176.84	1917.94	4.00	12015.93	13937.87	0.29:1 (0.27:1)	77480

(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
C	Non working Companies												
	AGRICULTURE & ALLIED												
1	Command Area Poultry Development Corporation Limited (619-B company)	Matsya & Pashudhan		-	-	0.24	0.24						
2	Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited	Sugar Industry & Cane development	27.08.1975	0.23	-	0.08	0.31	1.69	-		1.69	5.45:1 (5.45:1)	19
3	Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikash Nigam Limited	Sugar Industry & Cane development	27.08.1975	0.38	-	0.33	0.71	6.55	-	-	6.55	9.23:1 (9.23:1)	-
4	Uttar Pradesh Pashudhan Udyog Nigam Limited	Matsya & Pashudhan	05.03.1975	2.10	0.63	-	2.73	0.71	-	-	0.71	0.26:1 (0.26:1)	-
5	Uttar Pradesh Poultry and Livestock Specialties Limited	Matsya & Pashudhan	07.12.1974	1.66	1.28	-	2.94	1.10	-	-	1.10	0.37:1 (0.37:1)	-
6	Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited	Food Processing	06.04.1977	6.41	-	0.64	7.05	1.22	-	-	1.22	0.17:1 (0.17:1)	330
	Sector wise Total			10.78	1.91	1.29	13.98	11.27	-	-	11.27	0.81:1 (0.81:1)	349
	FINANCING												
7	Uplease Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics & Information Technology	05.01.1988	-	-	1.06	1.06	--	-	4.15	4.15	3.92:1 (3.92:1)	--
8	Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited	Panchyati Raj	24.04.1973	0.78	-	0.66	1.44	--	-	-	-	-	52
	Sector Wise Total			0.78	-	1.72	2.50	-	-	4.15	4.15	1.66:1 (1.66:1)	52
	INFRASTRUCTURE												
9	Uttar Pradesh Cement Corporation Limited	Industry Development	19.03.1972	66.28	-	-	66.28	124.77	-	-	124.77	1.88:1 (1.88:1)	
10	Uttar Pradesh State Mineral Development Corporation Limited	Industry Development	23.03.1974	59.43	-	-	59.43	18.24	-	1.50	19.74	0.33:1 (0.33:1)	
11	Vindhychal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	Industry Development	05.12.1985	-	-	0.08	0.08	-	-	0.84	0.84	10.50:1 (10.50:1)	-
	Sector wise Total			125.71	-	0.08	125.79	143.01	-	2.34	145.35	1.16:1 (1.16:1)	-
	MANUFACTURE												
12	Auto Tractors Limited	Industry Development	28.12.1972	5.63	-	1.87	7.50	0.38	-	-	0.38	0.05:1 (0.05:1)	-
13	Bhadohi Woollens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Ltd.)	HatKargha & Vastra Udyog	14.06.1976	-	-	3.76	3.76	-	-	-	-	-	-
14	Continental Float Glass Limited	Industry Development	12.04.1985	-	-	46.24	46.24	-	-	138.85	138.85	3.00:1 (3.00:1)	-

(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
15	Electronics and Computers (India) Limited (619-B Company)				-			-	-	-	-	-	-
16	Handloom Intensive Development Corporation (Gorakhpur and Basti) Limited (Subsidiary of Uttar Pradesh State Handloom Corporation Limited)	HatKargha & Vastra Udyog	26.05.1976	-	-	0.03	0.03	-	-	-	-	-	-
17	Handloom Intensive Development Project (Bijnore) Limited (Subsidiary of Uttar Pradesh State Handloom Corporation Limited)	HatKargha & Vastra Udyog	13.09.1976	-	-	0.02	0.02	2.09	-	-	2.09	104.50:1 (104.50:1)	
18	Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Ltd.)	Electronic & Information Technology	31.03.1978	-	-	0.05	0.05	-	-	-	-	-	-
19	Steel and Fasteners Limited (619-B Company)		-	-	-	1.90	1.90	-	-	-	-	-	-
20	The Indian Turpentine and Rosin Company Limited	Industry Development	22.02.1974	0.19	-	0.03	0.22	5.33	-	1.88	7.21	32.77:1 (24.23:1)	-
21	Uptron Sempack Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronic & Information Technology	23.05.1977	-	-	0.03	0.03	-	-	0.03	0.03	1:1 (1:1)	
22	Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	Laghu Udyog	18.6.1972	-	-	0.05	0.05	-	-	-	-	-	-
23	Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Ltd.)	Industry Development	23.04.1979	-	-	6.59	6.59	11.02	-	-	11.02	1.67:1 (1.67:1)	
24	Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	Industry Development	1.01.1975	0.09	-	1.93	2.02	5.55	-	11.49	17.04	8.44:1 (8.44:1)	259
25	Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	Laghu Udyog	28.6.1972	-	-	0.02	0.02	-	-	0.03	0.03	1.50:1 (1.50:1)	
26	Uttar Pradesh State Brassware Corporation Limited	Niryat Protsahan	12.02.1974	5.28	0.10	-	5.38	1.94	-	-	1.94	0.36:1 (0.36:1)	
27	Uttar Pradesh State Textile Corporation Limited	HatKargha & Vastra Udyog	02.12.1969	204.11	-	-	204.11	95.31	-	-	95.31	0.47:1 (0.47:1)	-
28	Uttar Pradesh Textile Printing Corporation Limited (Subsidiary of Uttar Pradesh State Handloom Corporation Limited)	HatKargha & Vastra Udyog	05.12.1975	0.16	-	0.26	0.42	-	-	-	-	-	-

(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
29	Uttar Pradesh Tyre and Tubes Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	Industry Development	14.01.1976	-	-	1.83	1.83	-	-	-	-	-	-
	Sector Wise Total			215.46	0.10	64.61	280.17	121.62	-	152.28	273.90	0.98:1 (0.98:1)	259
	SERVICE SECTOR												
30	Agra Mandal Vikas Nigam Limited	Bhumi Vikas & Jal Sansadhan	31.03.1976	1.00	-	-	1.00	0.05	-	-	0.05	0.05:1 (0.05:1)	-
31	Allahabad Mandal Vikas Nigam Limited	Bhumi Vikas & Jal Sansadhan	31.03.1976	0.67	-	-	0.67	0.66	-	-	0.66	0.99:1 (0.99:1)	-
32	Bareilly Mandal Vikas Nigam Limited	Bhumi Vikas & Jal Sansadhan	31.03.1976	1.25	-	-	1.25	-	-	-	-	-	-
33	Bundelkhand Concrete Structurals Limited (Subsidiary of Uttar Pradesh Bundelkhand Vikas Nigam Ltd.)	Bhumi Vikas & Jal Sansadhan	1986-87	-	-	0.01	0.01	-	-	-	-	-	-
34	Gandak Smadesh Kshetriya Vikas Nigam Limited	Bhumi Vikas & Jal Sansadhan	1976-77	0.46	-	-	0.46	-	-	-	-	-	-
35	Gorakhpur Mandal Vikas Nigam Limited	Bhumi Vikas & Jal Sansadhan	31.03.1976	0.94	-	0.32	1.26	0.88	-	-	0.88	0.70:1 (0.70:1)	-
36	Lucknow Mandaliya Vikas Nigam Limited	Bhumi Vikas & Jal Sansadhan	31.03.1976	0.70	-	-	0.70	0.86	-	-	0.86	1.23:1 (1.23:1)	-
37	Meerut Mandal Vikas Nigam Limited	Bhumi Vikas & Jal Sansadhan	31.03.1976	1.00	-	-	1.00	-	-	-	-	-	-
38	Moradabad Mandal Vikas Nigam Limited	Bhumi Vikas & Jal Sansadhan	30.03.1978	0.25	-	-	0.25	0.65	-	-	0.65	2.60:1 (2.60:1)	-
39	Tarai Anusuchit Janjati Vikas Nigam Limited	Samaj Kalyan	2.08.1975	0.45	-	-	0.45	1.25	-	-	1.25	2.78:1 (2.78:1)	-
40	Uttar Pradesh Bundelkhand Vikas Nigam Limited	Bhumi Vikas & Jal Sansadhan	30.03.1971	1.23	-	-	1.23	0.05	-	0.01	0.06	0.05:1 (0.05:1)	-
41	Uttar Pradesh Chalchitra Nigam Limited	Tax and Institutional Finance	10.09.1975	8.18	-	-	8.18	2.47	-	-	2.47	0.30:1	-
42	Uttar Pradesh Poorvanchal Vikas Nigam Limited	Bhumi Vikas & Jal Sansadhan	30.03.1971	1.30	-	-	1.30	0.35	-	-	0.35	0.27:1 (0.27:1)	-
43	Varanasi Mandal Vikas Nigam Limited	Bhumi Vikas & Jal Sansadhan	31.03.1976	0.70	-	-	0.70	0.30	-	-	0.30	0.43:1 (0.43:1)	-
	Sector wise Total			18.13	-	0.33	18.46	7.52	-	0.01	7.53	0.41:1 (0.45:1)	-
	Total C (All sector wise non working companies)			370.86	2.01	68.03	440.90	283.42	-	158.78	442.20	1.00:1 (0.99:1)	660
	Grand Total (A+B+C)			37099.87	80.61	11437.26	48617.74	2201.36	4.00	12174.71	14380.07	0.30:1 (0.28:1)	78140

Note 1: Above includes Section 619-B companies at Sr. no- A-17,C-1, 15 and 19.

Note 2: Companies at SI No. A-48 to A-69 are subsidiaries of Uttar Pradesh State Tourism Development Corporation Limited.

\$ Paid up capital includes share application money.

* Loans outstanding at the close of 2009-10 represents long term loans only.

** The audit of Accounts for the periods 1999-2000 to 2007-08 was conducted by Local Audit and Audit for the year 2008-09 has been entrusted to this Office as per order of the corporation dated 31 July 2010 after doing necessary amendment in the UP Forest Corporation Act, 1974.

Annexure-2

Summarised financial results of Government companies and Statutory corporations for the latest year for which accounts were finalised (Referred to in paragraph 1.6)

(Figures in column 5 (a) to 11 are in crore)

Sl No	Sector and name of the company	Period of accounts	Year in which finalised	Net Profit/Loss				Turnover	Impact of Account comments#	Paid up Capital	Accumulated Profit (+) / Loss (-)	Capital employed@	Return on capital employed\$	Percentage return on capital employed
				Net Profit / loss before interest and depreciation	Interest	Depreciation	Net profit / loss							
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
A	Working Government companies													
	AGRICULTURE AND ALLIED													
1	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	2009-10	2010-11	-	-	-	-	0.27	DP 0.10	0.25	0.64	2.09	-	-
2	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	2009-10	2010-11	0.22	-	-	0.22	0.46	DP-0.02	0.65	0.54	1.23	0.22	17.89
3	Uttar Pradesh Beej Vikas Nigam Limited	2006-07	2010-11	6.90	1.09	0.44	5.37	119.54	-	6.92	24.13	48.42	6.46	13.34
4	Uttar Pradesh Bhumi Sudhar Nigam	2007-08	2010-11	0.09	-	-	0.09	9.19	-	1.50	0.21	22.61	0.09	0.40
5	Uttar Pradesh Matsya Vikas Nigam Limited	2002-03	2010-11	0.36	-	0.13	0.23	3.63	DP 2.60	1.07	(-)0.71	54.79	0.23	0.42
6	Uttar Pradesh Projects Corporation Limited	2008-09	2010-11	25.37	-	0.15	25.22	528.43	DP 26.22	6.40	49.43	55.56	25.22	45.39
7	Uttar Pradesh State Agro Industrial Corporation Limited	2007-08	2010-11	14.13	8.79	0.10	5.24	383.90	-	40.00	(-)51.68	73.68	14.03	19.04
	Sector wise total			47.07	9.88	0.82	36.37	1045.42	-	56.79	22.56	258.38	46.25	17.90
	FINANCING													
8	The Pradeshiya Industrial and Investment Corporation of Uttar Pradesh Limited	2009-10	2010-11	33.88	19.60	0.31	13.97	44.01	DP 0.56	135.58	(-) 366.40	297.32	33.57	11.29
9	Uttar Pradesh Alpsankhyak Vitya Avam Vikas Nigam Limited	1995-96	2010-11	0.70	0.45	0.01	0.24	1.14	DP 5.29	14.23	0.12	20.94	0.69	3.30
10	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	2004-05	2010-11	2.41	2.16	0.14	0.11	2.68	-	12.68	5.41	65.69	2.27	3.46
11	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	2006-07	2010-11	7.64	2.48	0.08	5.08	16.95	-	90.08	32.67	277.30	7.56	2.73
12	Uttar Pradesh State Industrial Development Corporation Limited	2007-08	2010-11	102.73	0.01	5.71	97.01	140.31	-	24.08	0.01	179.58	97.02	54.03
	Sector wise total			147.36	24.70	6.25	116.41	205.09	-	276.65	(-) 328.19	840.83	141.11	16.78

(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
	INFRASTRUCTURE													
13	Uttar Pradesh Police Avas Nigam Limited	2008-09	2010-11	1.34	-	0.22	1.12	48.85	-	3.00	11.97	14.99	1.12	7.47
14	Uttar Pradesh Rajkiya Nirman Nigam Limited	2008-09	2010-11	200.60	0.02	4.94	195.64	2947.52	-	1.00	264.85	282.02	195.66	69.38
15	Uttar Pradesh Samaj Kalyan Nirman Nigam Limited	2008-09	2010-11	8.69	-	0.45	8.24	369.54	DP 1.00	0.15	24.45	24.61	8.24	33.48
16	Uttar Pradesh State Bridge Corporation Limited	2008-09	2010-11	30.57	1.64	4.36	24.57	608.84	IP 0.29	15.00	37.80	71.56	26.21	36.63
	Sector wise total			241.20	1.66	9.97	229.57	3974.75	-	19.15	339.07	393.18	231.23	58.81
	MANUFACTURE													
17	Almora Magnesite Limited (619-B Company)	2009-10	2010-11	0.55	0.70	0.31	(-)0.46	23.81	--	2.00	-	2.61	0.24	9.20
18	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2008-09	2009-10	8.21	0.87	0.23	7.11	48.95	DP 23.66	81.38	(-)80.77	15.60	7.98	51.15
19	Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2007-08	2010-11	(-)7.84	9.80	1.01	(-)18.65	12.26	-	8.95	(-) 113.08	4.08	(-)8.85	-
20	Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2007-08	2009-10	(-)4.96	13.22	0.14	(-)18.32	18.22	-	34.04	(-) 224.39	(-)107.66	(-)5.10	-
21	Shretron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	2009-10	2010-11	1.61	-	0.55	1.06	30.92	DP 4.52	7.22	-	10.47	1.06	10.12
22	UPSIC Potteries Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	1994-95	2008-09	(-)0.17	0.40	0.03	(-)0.60	0.05	-	0.76	(-)5.12	(-)0.45	(-)0.20	-
23	Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	1995-96	1997-98	(-)1.99	28.06	2.07	(-)32.12	97.15	-	53.16	(-) 196.73	52.06	(-)4.06	-
24	Uptron Powertronics Ltd. (Subsidiary of U. P. Electronics Corporation Ltd)	2009-10	2010-11	0.26	-	0.06	0.20	5.96	DP 0.81	4.07	(-)5.60	9.26	0.20	2.16
25	Uttar Pradesh Drugs and Pharmaceuticals Limited	2007-08	2008-09	(-)8.20	0.13	0.22	(-)8.55	3.71	-	1.10	(-)15.20	(-)6.10	(-)8.42	-
26	Uttar Pradesh Electronics Corporation Limited.	2008-09	2010-11	0.65	-	0.07	0.58	27.88	IP 3.07	87.66	(-)0.44	89.50	0.58	0.65
27	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited	2008-09	2010-11	38.75	1.36	0.29	37.10	89.78	DP 0.32	8.44	(-) 886.13	56.79	38.46	67.72

(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
28	Uttar Pradesh Small Industries Corporation Limited)	2002-03	2010-11	(-)2.02	0.93	0.06	(-)3.01	15.50	IL 0.80	5.96	(-)16.70	7.17	(-)2.08	-
29	Uttar Pradesh State Handloom Corporation Limited	1995-96	2009-10	(-)7.30	1.38	0.48	(-)9.16	42.44	-	22.84	(-)38.14	75.30	(-)7.78	-
30	Uttar Pradesh State Leather Development and Marketing Corporation Limited	2000-01	2002-03	0.42	0.05	0.11	0.26	3.60	-	573.94	(-)6.85	4.81	0.31	6.44
31	Uttar Pradesh State Spinning Company Limited	2008-09	2010-11	2.28	0.50	1.48	0.30	77.15	-	93.45	(-) 146.21	46.30	0.80	1.73
32	Uttar Pradesh State Sugar Corporation Limited	2008-09	2010-11	(-)12.47	26.27	5.13	(-)43.87	668.80	DP 281.77	1103.71	(-) 249.04	341.59	(-)17.60	-
33	Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	2008-09	2010-11	4.90	2.22	0.34	2.34	33.05	DP 3.01	31.91	(-)44.26	(-)37.14	4.56	-
Sector Wise total				12.68	85.89	12.58	(-)85.79	1199.23	-	2120.59	(-) 2028.66	564.19	0.10	0.02
POWER														
34	Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2006-07	2010-11	(-)938.96	10.87	120.17	(-) 1070.00	1896.75	-	346.24	(-) 2664.92	(-)202.66	(-) 1059.13	-
35	Kanpur Electricity Supply Company Limited	2006-07	2010-11	(-)113.14	17.23	10.20	(-) 140.57	592.17	-	60.00	(-) 1043.91	(-)584.08	(-)123.34	-
36	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2006-07	2010-11	(-)534.11	86.01	118.39	(-) 738.51	1761.98	DP 28.34	155.48	(-) 1717.54	1003.20	(-)652.50	-
37	Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2006-07	2010-11	(-)435.02	105.03	189.91	(-) 729.96	3398.15	DP 76.35	540.05	(-) 1905.40	581.15	(-)624.93	-
38	Prayag Raj Power Generation Company Limited	2008-09	2010-11	-	-	-	-	-	-	0.05	-	73.36	-	-
39	Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2006-07	2010-11	(-)939.35	69.96	87.01	(-) 1096.32	2004.03	DP 9.33	165.41	(-) 2188.55	734.09	(-) 1026.36	-
40	Sangam Power Generation Company Limited	2008-09	2010-11	-	-	-	-	-	-	0.05	-	66.10	-	-
41	Sonebhadra Power Generation Company Limited	Account not finalised												
42	UCM Coal Company Limited	2008-09	2010-11	-	-	-	-	-	-	0.16	-	14.40	-	-
43	UPSIDC Power Company Limited (subsidiary of Uttar Pradesh State Industrial Corporation Limited)	2009-10	2010-11	(-)0.02	-	-	(-)0.02	0.02	-	0.05	(-)0.16	(-)0.06	(-)0.02	-

(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
44	Uttar Pradesh Jal Vidyut Nigam Limited	2008-09	2010-11	28.12	22.77	9.99	(-4.64)	103.99	-	424.25	(-) 264.50	446.12	18.13	4.06
45	Uttar Pradesh Power Corporation Limited	2006-07	2008-09	81.32	380.24	206.50	(-) 505.42	11587.25	IL 810.89	470.74	(-) 7169.89	(-)710.43	(-)125.18	-
46	Uttar Pradesh Power Transmission Corporation Limited (Subsidiary Uttar Pradesh Power Corporation Limited)	Account not finalised	-	-	-	-	-	-	-	-	-	-	-	-
47	Uttar Pradesh Rajya Vidyut Utpadan Nigam Ltd	2008-09	2010-11	236.87	274.67	419.95	(-) 457.75	4170.30	IL 0.98	4581.31	(-) 619.44	9826.04	(-)183.08	-
	Sector wise total			(-)2614.29	966.78	1162.12	(-) 4743.19	25514.64	-	6743.79	(-) 17574.31	11247.23	(-) 3776.41	-
	SERVICE													
48	Abhyaranya Paripath Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
49	Adyhasvai Paripath Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
50	Awadh Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
51	Bithpur Paripath Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
52	Braj Darshan Paripath Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
53	Braj Paripath Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
54	Bundelkhand Paripath Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
55	Ganga Saryu Paripath Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
56	Garhmukteshwar Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
57	Gyanodaya Paripath Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
58	Hastinapur Paripath Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
59	Hindon Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
60	Madhyanchal Paripath Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
61	Paanchal Paripath Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
62	Pachimanchal Paripath Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
63	Sangam Paripath Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
64	Satyadarshan Paripath Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
65	Shajhanpur Paripath Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
66	Siddartha Paripath Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-

(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
67	Taj Shilp Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
68	Taj Virasat Paripath Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
69	Triveni Paripath Paryatan Limited	2009-10	2010-11	-	-	-	-	-	-	0.05	-	0.05	-	-
70	Uttar Pradesh Development Systems Corporation Limited	2007-08	2010-11	0.33	-	0.06	0.27	3.85	DP 1.99	1.00	2.70	3.70	0.27	7.30
71	Uttar Pradesh Export Corporation Limited	2004-05	2010-11	0.21	0.07	0.02	0.12	6.97	-	7.24	21.74	1.75	0.19	10.86
72	Uttar Pradesh Food and Essential Commodities Corporation Limited	2000-01	2010-11	(-)1.33	2.39	0.21	(-)3.93	579.36	-	5.00	(-)14.94	89.62	(-)1.54	-
73	Uttar Pradesh State Tourism Development Corporation Limited	2009-10	2010-11	1.76	0.01	1.01	0.74	25.46	-	18.75	(-)14.31	9.87	0.75	7.60
	Sector wise total			0.97	2.47	1.30	(-)2.80	615.64	-	33.09	(-)4.81	106.04	(-)0.33	-
	MISCELLANEOUS													
74	Uttar Pradesh Mahila Kalyan Nigam Limited	2009-10	2010-11	0.44	-	0.12	0.32	0.95	DP 0.62	5.19	(-)0.38	7.17	0.32	4.46
75	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	2006-07	2008-09	6.16	-	0.06	6.10	66.48	DP 1.06	0.43	25.15	25.70	6.10	23.74
76	Uttar Pradesh Waqf Vikas Nigam Limited	1998-99	2007-08	0.01	-	0.01	-	0.28	IL 0.002	3.50	0.02	2.11	-	-
	Sector wise total			6.61	-	0.19	6.42	67.71	-	9.12	24.79	34.98	6.42	18.35
	Total A (All sector wise working Government companies)			(-)2158.40	1091.38	1193.23	(-)4443.01	32622.48	-	9259.18	(-) 19549.55	13444.83	(-) 3351.63	-
B	Working Statutory Corporations													
	AGRICULTURE & ALLIED													
1	Uttar Pradesh State Warehousing Corporation	2008-09	2010-11	47.21	1.90	5.49	39.82	181.41	IP 7.35	11.17	-	286.73	41.72	14.55
	Sector wise total			47.21	1.90	5.49	39.82	181.41	IP 7.35	11.17	-	286.73	41.72	14.55
	FINANCING													
2	Uttar Pradesh Financial Corporation	2007-08	2008-09	(-)112.26	2.41	0.34	(-) 115.01	21.51	IL 0.68	179.28	(-) 962.70	1115.64	(-)112.60	-
	Sector wise total			(-)112.26	2.41	0.34	(-) 115.01	21.51	IL 0.68	179.28	(-) 962.70	1115.64	(-)112.60	-
	INFRASTRUCTURE													
3	Uttar Pradesh Avas Evam Vikas Parishad	2008-09	2009-10	426.50	-	2.37	424.13	649.19	-	-	2577.66	958.10	424.13	44.27
4	Uttar Pradesh jal Nigam	2008-09	2010-11	88.14	21.29	0.31	66.54	481.06	-	-	(-)84.44	6321.53	87.83	1.39
	Sector wise total			514.64	21.29	2.68	490.67	1130.25	-	-	2493.22	7279.63	511.96	7.03
	SERVICE													
5	Uttar Pradesh State Road Transport Corporation	2008-09	2010-11	149.86	22.17	117.02	10.67	1251.62	DP 0.68	369.13	(-) 804.29	(-)146.39	32.84	-
6	Uttar Pradesh Government Employees Welfare Corporation	2007-08	2010-11	(-)3.77	0.68	0.05	(-)4.50	63.33	-	-	(-)2.67	35.87	(-)3.82	-
	Sector Wise total			146.09	22.85	117.07	6.17	1314.95	-	369.13	(-) 806.96	(-)110.52	29.02	26.26

(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
	Miscellaneous													
7	Uttar Pradesh Forest Corporation	2008-09*	2010-11	102.38	-	0.79	101.59	271.01	-	-	936.56	893.25	101.59	11.37
	Sector Wise total			102.38	-	0.79	101.59	271.01	-	-	936.56	893.25	101.59	11.37
	Total B (All sector wise statutory corporations)			698.06	48.45	126.37	523.24	2919.13	-	559.58	1660.12	9464.73	571.69	6.04
	Total (A+B)			(-)1460.34	1139.83	1319.60	(-) 3919.77	35541.61	-	9818.76	(-) 17889.43	22909.56	(-) 2779.94	-
C	Non working Companies													
	AGRICULTURE & ALLIED													
1	Command Area Poultry Development Corporation Limited (619-B company)	1994-95	-	0.02	-	0.01	0.01	0.96	-	0.24	-	-	0.01	-
2	Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited	2002-03 (UL from 01-07-03)	2004-05	(-)0.14	0.04	-	(-)0.18	0.04	-	0.31	(-)0.55	1.53	(-)0.14	-
3	Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikash Nigam Limited	2006-07 (UL from 01-07-03)	2008-09	0.06	1.10	0.01	(-)1.05	0.11	-	0.71	(-)8.01	3.31	0.05	1.51
4	Uttar Pradesh Pashudhan Udyog Nigam Limited	2005-06	2009-10	0.19	0.11	0.09	(-)0.01	0.23	-	2.79	(-)8.29	1.19	0.10	8.40
5	Uttar Pradesh Poultry and Livestock Specialties Limited	2005-06	2010-11	(-)0.21	0.16	-	(-)0.37	0.15	IL 0.31	0.50	(-)3.33	(-)1.08	(-)0.21	-
6	Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited	1984-85	1994-95	(-)0.51	0.15	0.01	(-)0.67	0.27	-	1.90	(-)2.55	80.72	(-)0.52	-
	Sector wise Total			(-)0.59	1.56	0.12	(-)2.27	1.76	-	6.45	(-)22.73	85.67	(-)0.71	-
	FINANCING													
7	Uplease Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	1997-98	1998-99	0.37	0.54	0.23	(-)0.40	1.29	-	1.05	(-)0.40	5.34	0.14	2.62
8	Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited	1992-93	2007-08	0.08	-	-	0.08	0.28	-	1.37	(-)0.14	138.65	0.08	0.06
	Sector Wise Total			0.45	0.54	0.23	(-)0.32	1.57	-	2.42	(-)0.54	143.99	0.22	0.15
	INFRASTRUCTURE													
9	Uttar Pradesh Cement Corporation Limited	1995-96	1996-97	(-)20.07	24.84	2.84	(-)47.75	113.01	-	68.28	(-) 425.99	(-)239.80	(-)22.91	-
10	Uttar Pradesh State Mineral Development Corporation Limited	2007-08	2008-09	(-)1.87	-	0.06	(-)1.93	0.60	IL 0.06	59.43	(-)79.02	0.03	(-)1.93	-
11	Vindhyachal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	1987-88 (UL from 28.11.02)	1995-96	(-)0.11	0.01	-	(-)0.12	-	-	-	(-)0.11	0.01	(-)0.11	-
	Sector wise Total			(-)22.05	24.85	2.90	(-)49.80	113.61	-	127.71	(-) 505.12	(-)239.76	(-)24.95	-
	MANUFACTURE													
12	Auto Tractors Limited	1991-92	1995-96	0.37	0.26	-	0.11	6.31	-	7.50	-	11.14	0.37	3.32

(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
13	Bhadohi Woollens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Ltd.)	1994-95 (UL from 20.02.96)		0.85	2.51	-	(-1.66)	0.27	-	3.76	(-11.95)	(-0.49)	0.85	-
14	Continental Float Glass Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	1997-98 (UL from 01-04-02)	2002-03	-	-	-	-	-	-	46.24	-	83.87	Company went into liquidation (since inception)	-
15	Electronics and Computers (India) Limited (619-B Company)	-	-	-	-	-	-	-	-	-	-	-	-	-
16	Handloom Intensive Development Corporation (Gorakhpur and Basti) Limited (Subsidiary of Uttar Pradesh State Handloom Corporation Limited)	1994-95	2008-09	0.01	0.08	0.01	(-0.08)	-	-	0.03	(-1.24)	(-0.11)	--	-
17	Handloom Intensive Development Project (Bijnore) Limited (Subsidiary of Uttar Pradesh State Handloom Corporation Limited)	1997-98	2010-11	0.08	0.26	0.01	(-0.19)	0.09	-	0.02	1.46	0.65	0.07	10.77
18	Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Ltd.)	Under liquidation from 10-06-96	-	-	-	-	-	0.05	-	--	-	-	-	-
19	Steel and Fasteners Limited (619-B Company)	1978-79	-	-	-	-	-	0.90	-	-	-	-	-	-
20	The Indian Turpentine and Rosin Company Limited	2008-09	2010-11	(-0.32)	0.10	0.01	(-0.43)	0.04	-	0.22	(-31.85)	(-26.16)	(-0.33)	-
21	Uptron Sempack Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	1979-80 (UL from 10.06.96)	1983-84	(-0.01)	-	-	(-0.01)	-	-	0.03	(-0.03)	0.02	(-0.01)	-
22	Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	1975-76 (UL from 19-04-96)		(-0.01)	0.01	-	(-0.02)	-	-	0.05	-	0.12	(-0.01)	-
23	Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Ltd.)	1992-93 (UL from 19.02.94)	-	(-0.15)	5.67	0.36	(-6.18)	2.26	-	6.58	(-35.32)	(-18.45)	(-0.51)	-
24	Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	2001-02	2005-06	(-0.26)	0.02	0.01	(-0.29)	0.16	-	1.93	(-38.75)	0.35	(-0.27)	-

(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
25	Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	1974-75 (UL from 11/2003)	1984-85	(-)0.01	-	-	(-)0.01	0.04	-	0.01	0.01	(-)0.34	(-)0.01	-
26	Uttar Pradesh State Brassware Corporation Limited	1997-98	2007-08	2.52	0.12	0.01	2.39	0.53	-	5.38	(-)6.04	3.59	2.51	69.92
27	Uttar Pradesh State Textile Corporation Limited	2008-09	2009-10	(-)0.02	6.68	0.47	(-)7.17	0.05	-	160.79	(-) 450.91	(-)118.68	(-)0.49	-
28	Uttar Pradesh Textile Printing Corporation Limited (Subsidiary of Uttar Pradesh State Handloom Corporation Limited)	1991-92	2008-09	(-)0.04	0.01	0.01	(-)0.06	1.28	DL 0.73	0.26	(-)0.39	0.29	(-)0.05	-
29	Uttar Pradesh Tyre and Tubes Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	1992-93 (UL from 09.01.96)	-	2.10	4.27	-	(-)2.17	1.38	-	1.83	(-)9.96	(-)4.06	2.10	-
	Sector Wise Total			5.11	19.99	0.89	(-)15.77	13.36	-	234.63	(-) 584.97	(-)68.26	4.22	-
	SERVICE SECTOR													
30	Agra Mandal Vikas Nigam Limited	1988-89	2007-08	(-)0.08	-	0.01	(-)0.09	3.91	-	1.00	(-)0.35	0.92	(-)0.09	-
31	Allahabad Mandal Vikas Nigam Limited	1983-84	1992-93	(-)0.03	0.01	0.07	(-)0.11	2.74	-	0.55	(-)0.11	0.99	(-)0.10	-
32	Bareilly Mandal Vikas Nigam Limited	1.7.87 to 31.3.89	2006-07	(-)0.36	0.20	0.11	(-)0.67	5.82	-	1.00	(-)1.52	3.85	(-)0.47	-
33	Bundelkhand Concrete Structurals Limited (Subsidiary of Uttar Pradesh Bundelkhand Vikas Nigam Ltd.)	1986-87	1993-94	-	-	-	-	-	-	0.02	(-)0.01	0.04	-	-
34	Gandak Smadesh Kshetriya Vikas Nigam Limited	1976-77 (UL from 1976-77)	-	-	-	-	-	-	-	0.46	-	0.46	-	-
35	Gorakhpur Mandal Vikas Nigam Limited	1986-87	2007-08	(-)0.08	0.04	0.03	(-)0.15	1.60	-	1.26	(-)1.33	0.83	(-)0.11	-
36	Lucknow Mandaliya Vikas Nigam Limited	1981-82	1992-93	0.54	-	0.53	0.01	1.70	-	0.50	1.49	0.61	0.01	1.64
37	Meerut Mandal Vikas Nigam Limited	2008-09	2010-11	(-)0.03	-	-	(-)0.03	-	-	1.00	(-)1.50	(-)0.01	(-)0.03	-
38	Moradabad Mandal Vikas Nigam Limited	1990-91	2007-08	(-)0.19	-	0.01	(-)0.20	1.07	-	0.25	(-)0.59	0.31	(-)0.20	-
39	Tarai Anusuchit Janjati Vikas Nigam Limited	1982-83	1990-91	(-)0.04	-	-	(-)0.04	0.01	-	0.25	-	0.70	(-)0.04	-
40	Uttar Pradesh Bundelkhand Vikas Nigam Limited	2008-09	2010-11	0.25	-	-	0.25	0.20	-	1.23	(-)1.57	(-)0.29	0.25	-

(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
41	Uttar Pradesh Chalchitra Nigam Limited	2008-09	2010-11	0.12	0.40	0.01	(-)0.29	0.09	-	8.18	(-)14.42	1.35	0.11	8.15
42	Uttar Pradesh Poorvanchal Vikas Nigam Limited	1987-88	1994-95	(-)0.11	-	0.03	(-)0.14	1.30	-	1.15	(-)1.08	0.19	(-)0.14	-
43	Varanasi Mandal Vikas Nigam Limited	1987-88	1993-94	(-)0.02	-	0.01	(-)0.03	1.47	-	0.70	(-)0.26	0.88	(-)0.03	-
	Sector wise Total			(-)0.03	0.65	0.81	(-)1.49	19.91	-	17.55	(-)21.25	10.83	(-)0.84	-
	Total C (All sector wise non working companies)			(-)17.11	47.59	4.95	(-)69.65	150.21	-	388.76	(-) 1134.61	(-)67.53	(-)22.06	-
	Grand Total (A+B+C)			(-)1477.45	1187.42	1324.55	(-) 3989.42	35691.82	-	10207.52	(-) 19024.04	22842.03	(-) 2802.00	-

Note: IL indicates increase in loss, DL indicates decrease in loss, IP indicates increase in profit and DP indicates decrease in profit.

Impact of accounts comments include the net impact of comments of Statutory Auditor and CAG.

@ Capital employed represents net fixed assets (including capital work in progress) plus working capital except in case of finance companies/corporations where the capital employed is worked out as a mean of aggregate of the opening and closing balances of paid up capital, free reserves, bonds, deposits, and borrowings including refinance.

\$ Return on capital employed has been worked out by adding profit and interest charged to profit and loss account.

* The audit of Accounts for the periods 1999-2000 to 2007-08 was conducted by Local Audit and Audit for the year 2008-09 has been entrusted to this Office as per order of the Corporation dated 31 July 2010 after making necessary amendment in the UP Forest Corporation Act, 1974.

Annexure-3

**Statement showing grants and subsidy received/receivable, guarantees received, waiver of dues, loans written off and loans converted in to equity during the year and guarantee commitment at the end of March 2010
(Referred to in paragraph 1.4)**

(Figures in column 3(a) to 6 (d) are in crore)

Sl No	Sector and name of the company	Equity / loans received out of budget during the year		Grants and subsidy received during the year				Guarantees received during the year and commitment at the end of the year		Waiver of dues during the year			
		Equity	Loans	Central government	State Government	Others	Total	Received	Commitment @	Loan repayment written off	Loans converted into equity	Interest / penal interest waived	Total
1	2	(3a)	(3b)	4(a)	4(b)	4(c)	4(d)	5(a)	5(b)	6(a)	6(b)	6(c)	6(d)
A	Working Government companies												
	AGRICULTURE AND ALLIED												
1.	Uttar Pradesh Beej Vikas Nigam Limited				5.77		5.77	-	-	-	-	-	-
2.	Uttar Pradesh Bhumi Sudhar Nigam	-	-	-	0.08	-	0.08	-	-	-	-	-	-
3.	Uttar Pradesh State Agro Industrial Corporation Limited	6.78#	-	-	-	-	-	-	-	-	-	-	-
	Sector wise total	6.78	-	-	5.85	-	5.85	-	-	-	-	-	-
	FINANCING												
4.	The Pradeshiya Industrial and Investment Corporation of Uttar Pradesh Limited	-	128.53	-	-	-	-	---	-	-	-	-	-
5.	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	5.00			150.05		150.05	---	-	-	-	-	-
	Sector wise total	5.00	128.53	-	150.05	-	150.05	---	-	-	-	-	-
	INFRASTRUCTURE												
	Sector wise total												
	MANUFACTURE												
6.	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	-	-	0.37*	-	-	0.37	-	-	-	-	-	-
7.	Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	-	-	-	-	-	-	-	-	-	138.77	-	138.77
8.	Uttar Pradesh Electronics Corporation Limited.	3.07	-	-	6.94	-	6.94	-	-	-	-	-	-
9.	Uttar Pradesh State Handloom Corporation Limited	-	2.76	-	-	-	-	-	6.40	-	-	-	-
10.	Uttar Pradesh State Sugar Corporation Limited	-	171.21	-	-	-	-		299.84	-	-	-	-

1	2	(3a)	(3b)	4(a)	5(b)	4(c)	4(d)	5(a)	5(b)	6(a)	6(b)	6(c)	6(d)
11.	Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile corporation Limited)		2.50	-	-	-	-	-	-	-	-	-	-
	Sector Wise total	3.07	176.47	0.37	6.94	-	7.31	-	306.24	-	138.77	-	138.77
	POWER												
12.	Dakshinanchal Vidyut Vitaran Nigam Limited(Subsidiary of U.P. Power Corporation Limited)	-	77.98	-	299.82*	-	299.82	-	-	-	-	-	-
13.	Kanpur Electricity Supply Co. Limited	-	4.04	-	0.40*	-	0.40	-	-	-	-	-	-
14.	Madhyanchal Vidyut Vitaran Nigam Limited(Subsidiary of U.P. Power Corporation Limited)	-	-	-	358.68*	-	358.68	-	-	-	-	-	-
15.	Purvanchal Vidyut Vitaran Nigam Limited(Subsidiary of U.P. Power Corporation Limited)	-	-	-	574.30	-	574.30	-	-	-	-	-	-
16	UCM Coal Company Limited	-	4.54	-	-	-	-	-	-	-	-	-	-
17	Uttar Pradesh Jal Vidyut Nigam Limited	3.50	-	-	-	-	-	-	-	-	-	-	-
18	Uttar Pradesh Power Corporation Limited	4316.27	521.96	-	-	-	-	5495.25	1702.28	-	-	-	-
19	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	812.20	-	-	-	-	-	750.00	5150.62	-	-	-	-
	Sector wise total	5131.97	608.52	-	1233.20	-	1233.20	6245.25	6852.90	-	-	-	-
	SERVICE												
20.	Uttar Pradesh Development Systems Corporation Limited	-	-	-	1.45	-	1.45	-	-	-	-	-	-
21.	Uttar Pradesh State Tourism Development Corporation Limited	-	1.97	-	-	-	-	-	-	-	-	-	-
	Sector wise total	-	1.97	-	1.45	-	1.45	-	-	-	-	-	-
	MISCELLANEOUS												
22.	Uttar Pradesh Mahila Kalyan Nigam limited				5.04		5.04						
	Sector wise total	-	-	-	5.04	-	5.04	-	-	-	-	-	-
	Total A (All sector wise working Government companies)	5146.82	915.49	0.37	1402.53	-	1402.90	6245.25	7159.14	-	138.77	-	138.77
B	Working Statutory Corporations												
	FINANCING												
1.	Uttar Pradesh Financial Corporation	-	105.05	-	-	-	-	-	216.09	-	-	-	-
	Sector wise total	-	105.05	-	-	-	-	-	216.09	-	-	-	-
	INFRASTRUCTURE												
2.	Uttar Pradesh jal Nigam	-	-	-	447.41	-	447.41	-	-	-	-	-	-
	Sector wise total	-	-	-	447.41	-	447.41	-	-	-	-	-	-

1	2	(3a)	(3b)	4(a)	5(b)	4(c)	4(d)	5(a)	5(b)	6(a)	6(b)	6(c)	6(d)
	SERVICE												
3.	Uttar Pradesh Government Employees Welfare Corporation	-	1.42	-	0.25	-	0.25	-	3.00	-	-	-	-
4.	Uttar Pradesh Road Transport Corporation	-	-	-	92.37	-	92.37	-	-	-	-	-	-
	Sector Wise total	-	1.42	-	92.62	-	92.62	-	3.00	-	-	-	-
	Miscellaneous												
	Sector Wise total	-	-	-	-	-	-	-	-	-	-	-	-
	Total B (all sector wise statutory corporations)	-	106.47	-	540.03	-	540.03	-	219.09	-	-	-	-
	Total (A+B)	5146.82	1021.96	0.37	1942.56	-	1942.93	6245.25	7378.23	-	138.77	-	138.77
C	Non working Companies												
	MANUFACTURE												
1.	The Indian Turpentine and Rosin Company Limited	-	-	-	-	-	-	-	1.88	-	-	-	-
	Sector Wise Total	-	-	-	-	-	-	-	1.88	-	-	-	-
	SERVICE SECTOR												
2.	Uttar Pradesh Bundelkhand Vikas Nigam Limited	-	-	-	0.20	-	0.20	-	-	-	-	-	-
	Sector wise Total	-	-	-	0.20	-	0.20	-	-	-	-	-	-
	Total C (All sector wise non working companies)	-	-	-	0.20	-	0.20	-	1.88	-	-	-	-
	Grand Total (A+B+C)	5146.82	1021.96	0.37	1942.76	-	1943.13	6245.25	7380.11	-	138.77	-	138.77

@ Figures indicate total guarantee outstanding at the end of the year.

Including Share Application money ` 0.48 crore pending for allotment.

* It includes subsidy.

Annexure-4

Statement showing investment made by the Government in form of equity, loans, grants/subsidies to the working Government companies / Statutory corporations during the years for which accounts have not been finalised

(Referred to in paragraph 1.7)

(` in crore)

Sl. No.	Name of company/corporation	Year up to which accounts finalised	Paid up capital as per latest finalised accounts	Investment made by state Government during the years for which accounts were not finalised			
				Equity	Loans	Grants	Subsidies
A. Working Government Companies							
1	Uttar Pradesh Beej Vikas Nigam Limited	2006-07	6.92	-	-	5.77	-
2	Uttar Pradesh Bhumi Sudhar Nigam	2007-08	1.50	-	-	3.18	-
3	Uttar Pradesh State Agro Industrial Corporation Limited	2007-08	40.00	6.78#	-	-	-
4	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	2004-05	12.68	0.23	-	-	-
5	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	2006-07	90.08	21.91	-	344.58	-
6	UPSIC Potteries Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	1994-95	0.76	-	0.58	-	-
7	Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	1995-96	53.16	4.77	-	-	-
8	Uttar Pradesh Electronics Corporation Limited	2008-09	87.66	3.88	-	6.94	-
9	Uttar Pradesh State Handloom Corporation Limited	1995-96	22.84	-	103.26	16.00	12.19
10	Uttar Pradesh State Sugar Corporation Limited	2008-09	1103.71	-	171.21	-	-
11	Uttar Pradesh State Yarn Company Limited	2008-09	31.91	-	2.50	-	-
12	Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of U.P. Power Corporation Limited)	2006-07	346.24	-	77.98	-	299.82
13	Kanpur Electricity Supply Co. Limited	2006-07	60.00	-	4.04	-	0.40
14	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of U.P. Power Corporation Limited)	2006-07	155.48	-	-	-	358.68
15	Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of U.P. Power Corporation Limited)	2006-07	165.41	-	-	574.30	-
16	UCM Coal Company Limited	2008-09	0.16	-	4.54	-	-
17	Uttar Pradesh Jal Vidyut Nigam Limited	2008-09	424.25	3.50	-	-	-
18	Uttar Pradesh Power Corporation Limited	2006-07	470.74	27515.56	521.96	187.00	-
19	Uttar Pradesh Rajya Vidyut Utpadan Nigam Ltd.	2008-09	4581.31	812.20	-	-	-
20	Uttar Pradesh Development Systems Corporation Limited	2007-08	1.00	-	-	2.10	-
21	Uttar Pradesh Waqf Vikas Nigam Limited	1998-99	3.50	3.50	-	-	-
Total A			7659.31	28372.33	886.07	1139.87	671.09
B. Working Statutory Corporations							
1.	Uttar Pradesh Financial Corporation	2007-08	179.28	-	105.05	-	-
2.	Uttar Pradesh Jal Nigam	2008-09	-	-	-	447.41	-
3.	Uttar Pradesh State Road Transport Corporation	2008-09	369.13	-	-	92.37	-
4.	U.P. Government Employees Welfare Corporation	2007-08	-	-	1.42	0.25	-
Total B			548.41	-	106.47	540.03	-
Grand Total (A+B)			8207.72	28372.33	992.54	1679.90	671.09

Including Share Application Money ` 0.48 crore pending for allotment.

Annexure-5
Statement showing financial position of Statutory corporations
(Referred to in paragraph 1.6)

Working Statutory corporations

1. Uttar Pradesh State Road Transport Corporation

(` in crore)

Particulars	2006-07	2007-08	2008-09
A. Liabilities			
Capital (including capital loan and equity capital)	359.13	359.13	369.13
Borrowings:			
Government:			
Central	-	-	-
State	3.47	3.47	-
Others	183.60	165.47	239.17
Funds	18.69	33.17	23.19
Trade dues and other current liabilities (including provisions)	854.13	811.02	808.81
Uttar Pradesh and Uttaranchal State Road Transport Corporation reorganisation settlement account	26.41	26.41	26.41
Total A	1445.43	1039.54	1466.71
B. Assets			
Gross Block	918.81	974.42	1096.27
Less: Depreciation	503.41	596.84	649.49
Net fixed assets	415.40	377.58	446.78
Capital work in progress (including cost of chassis)	7.76	8.06	11.56
Investments	2.53	0.52	-
Current Assets, Loans and Advances	167.89	200.75	204.08
Accumulated Losses	851.85	811.76	804.29
Total B	1445.43	1398.67	1466.71
C. Capital employed¹	(-) 263.08	(-)224.63	(-)146.39

2. Uttar Pradesh Financial Corporation

(` in crore)

Particulars	2005-06	2006-07	2007-08
A. Liabilities			
Paid-up capital	179.28	179.28	179.28
Share application money	-	-	-
Reserve fund and other reserves and surplus	19.88	19.75	19.62
Borrowings:	-	-	-
(i) Bonds and debentures	558.73	479.42	382.07
(ii) Fixed deposits	3.96	2.11	1.38
(iii) Industrial Development Bank of India and Small Industries Development Bank of India	408.06	387.56	387.56
(iv) Reserve Bank of India	-	-	-
(v) Loans in lieu of share capital:			
(a) State Government	30.00	58.56	124.51
(b) National Handicapped Finance and Development Corporation	-	0.48	0.60
(vi) Others (including State Govt.)	7.56	16.49	11.69
Other Liabilities and Provisions	403.06	314.95	426.45
Total A	1610.53	1458.60	1533.16
B. Assets			
Cash and Bank balances	49.95	20.63	46.50
Investments	30.20	15.19	15.19
Loans and Advances	595.16	535.78	483.24
Net Fixed Assets	13.23	13.10	13.14
Other Assets	14.20	26.21	12.39
Misc. Expenditure	-	-	-
Profit and Loss Account	907.79	847.69	962.70
Total B	1610.53	1458.60	1533.16
C. Capital Employed²	1207.59	1155.52	1115.64

¹ Capital employed represents net fixed assets (including capital work-in-progress) plus working capital.

² Capital employed represents the mean of the aggregate of opening and closing balances of paid-up capital, seed money, debentures, reserves (other than those which have been funded specifically and backed by Investment outside), bonds, deposits and borrowings (including refinance).

3. Uttar Pradesh State Warehousing Corporation

(` in crore)

Particulars	2006-07	2007-08	2008-09
A. Liabilities			
Paid up capital ³	13.37	13.37	13.37
Reserves and surplus	197.33	217.24	252.31
Subsidy	-	-	-
Borrowings:			
Government	-	-	-
Others	39.87	30.03	21.05
Trade Dues and Current Liabilities (including provisions)	64.62	56.54	56.72
Total A	315.19	317.18	343.45
B. Assets			
Gross Block	287.85	289.23	295.37
Less Deprecation	68.25	72.54	77.81
Net Fixed Assets	219.60	216.69	217.56
Capital work-in-progress	(-)1.81	(-)2.02	(-)2.02
Current Assets, Loans and Advances	97.40	102.51	127.91
Profit and Loss Account	-	-	-
Total B	315.19	317.18	343.45
Capital Employed⁴	250.57	260.64	286.73

4. Uttar Pradesh Forest Corporation

(` in crore)

Particulars	1997-98	1998-99	2008-09
A. Liabilities			
Reserve and Surplus	323.15	352.45	936.56
Borrowings	0.16	0.16	10.71
Current Liabilities (including provisions)	103.87	147.54	129.76
Other Liabilities	7.00	7.00	-
Total A	434.18	507.15	1077.03
B. Assets			
Net Fixed Assets	10.31	11.16	11.24
Current Assets, Loans and Advances	421.45	493.94	1011.77
Accumulated loss	-	-	-
Uttaranchal Forest Development Corporation, Dehradun. (Net assets under its possession)	-	-	53.77
Miscellaneous Expenditure	2.42	2.05	0.25
Total B	434.18	507.15	1077.03
C. Capital employed³	327.89	357.56	893.25

5. Uttar Pradesh Avas Evam Vikas Parishad

(` in crore)

Particulars	2006-07	2007-08	2008-09
A. Liabilities			
Parishad Fund	2054.23	2155.38	2577.66
Surplus	-	-	-
Borrowings	-	-	-
Deposits	143.49	139.14	215.83
Reserve for maintenance of unsold property	-	-	-
Current Liabilities (including Registration Fee)	1675.83	2338.57	2719.92
Excess of assets over liabilities	-	-	-
Total A	3873.55	4633.09	5513.41
B. Assets			
(i) Net Fixed Assets	19.59	34.90	33.50
(ii) Investments	1389.82	1639.61	1835.39
(iii) Current Assets, Loans and Advances	2464.14	2958.58	3644.52
Total B	3873.55	4633.09	5513.41
C. Capital employed³	807.90	654.91	958.10

³ Including share capital pending allotment ` 2.20 crore.

⁴ Capital employed represents the net fixed assets (including capital work-in-progress) plus working capital.

6. Uttar Pradesh Jal Nigam

(` in crore)

Particulars	2006-07	2007-08	2008-09
A. Liabilities			
Borrowings			
Loans fund			
(i) From LIC	-	-	-
(ii) From UP Government	392.90	393.14	393.14
(iii) From Banks	-	-	-
Grants from Government	5001.47	5416.22	6150.13
Deposits	-	-	-
Current Liabilities:			
Centage on material unconsumed	51.11	57.86	73.67
Other liabilities	3473.87	3724.37	4952.03
(i) Deposits (deposit received for project)	2004.50	2403.86	3088.47
(ii) Provision for gratuity	7.74	6.50	6.50
Project transferred from LSGED to Jal Nigam	9.45	9.50	9.47
Total A	10941.04	12011.45	14673.41
B. Assets			
Gross Block	25.55	25.65	23.49
Less: Depreciation	9.79	9.77	9.20
Net Fixed Assets	15.76	15.88	14.29
Investments	--	--	-
PF Invested	162.43	144.48	144.19
Project:			
(i) Material	390.54	469.92	725.74
(ii) Work in progress	4574.52	5098.39	6329.45
(iii) Completed rural water project maintained by UP Jal Nigam	756.77	774.46	735.04
(iv) Rural water work project cost of LSGED transferred to UP Jal Nigam	9.08	9.08	9.08
Current Assets	4080.03	4613.00	5824.90
Loans and advances	810.24	750.67	806.28
Deficit	141.67	135.57	84.44
Total B	10941.04	12011.45	14673.41
C. Capital employed⁵	5098.38	5536.22	6321.53

⁵ Capital employed represents the net fixed assets (including capital work-in-progress) plus working capital.

Annexure-6
Statement showing working results of Statutory corporations
(Referred to in paragraph 1.6)

A. Working Statutory corporations

1. Uttar Pradesh State Road Transport Corporation

(` in crore)

Particulars	2006-07	2007-08	2008-09
Operating			
(a) Revenue	1104.16	1198.66	1260.56
(b) Expenditure	1082.03	1182.24	1381.02
(c) Surplus (+)/Deficit (-)	22.13	16.42	(-)120.46
Non operating			
(a) Revenue	37.01	42.08	153.30
(b) Expenditure	19.11	17.79	22.17
(c) Surplus (+)/Deficit (-)	17.90	24.29	131.13
Total			
(a) Revenue	1141.17	1240.74	1413.86
(b) Expenditure	1101.14	1200.03	1403.19
(c) Net Profit (+)/Loss (-)	40.03	40.71	10.67
Interest on Capital and Loans	19.11	17.79	22.17
Total return on Capital employed	59.14	58.5	32.84

2. Uttar Pradesh Financial Corporation

(` in crore)

Particulars	2005-06	2006-07	2007-08
1 Income			
(a) Interest on loans	58.63	37.19	21.51
(b) Other Income	4.36	3.71	1.68
(c) Interest Provision written back	-	54.26	-
(d) NPA Provision written back	30.40	30.33	-
(e) Depreciation investment written back	-	-	-
Total 1	93.39	125.49	23.19
2. Expenses			
(a) Interest on long term loan	63.33	42.13	2.41
(b) Provision for non performing assets	-	-	114.53
(c) Other expenses	28.21	23.26	21.25
Total 2	91.54	65.39	138.19
3. Profit (+)/Loss (-) before tax (1-2)	1.85	60.10	(-)115.01
4. Other appropriations	-	-	-
5. Amount available for dividend*	-	-	-
6. Dividend paid/payable	-	-	-
7. Total return on capital employed	65.18	102.23	(-) 112.60
8. Percentage of return on capital employed	5.40	8.85	-

3. Uttar Pradesh State Warehousing Corporation

(` in crore)

Particulars	2006-07	2007-08	2008-09
1. Income:			
(a) Warehousing charges	122.94	125.91	177.50
(b) Other Income	2.38	3.45	4.16
Total 1	125.32	129.36	181.66
2. Expenses:			
(a) Establishment charges	46.42	37.79	44.14
(b) Interest	2.91	2.61	1.90
(c) Other expenses	51.37	48.81	95.80
Total 2	100.70	89.21	141.84
3. Profit (+)/Loss (-) before tax	24.62	40.15	39.82
4 Appropriations:			
(i) Payment of income tax	7.15	12.42	10.12
(ii) Provision for tax:			
(a) Income tax	2.80		

* Represents profit of current year available for dividend after considering the specific reserves and provision for taxation.

Particulars	2006-07	2007-08	2008-09
(b) Dividend tax	0.26	0.28	0.28
(iii) Profit after tax (Amount available for dividend)	24.62	27.45	29.42
(iv) Dividend proposed for the year	1.51	1.67	1.67
(v) Other appropriations	23.11	25.78	27.75
5 Profit transferred to Balance Sheet¹			
Total return on capital employed	37.74	42.76	41.72
Percentage of return on capital employed	15.06	16.41	14.55

4. Uttar Pradesh Forest Corporation

(` in crore)

Particulars	1997-98	1998-99	2008-09
1. Income:			
Sales	128.12	162.84	215.80
Other Income	27.84	35.43	55.22
Closing Stock	106.77	147.67	105.55
Total 1	262.73	345.94	376.57
2. Expenditure:			
Purchases	74.06	124.25	95.16
Other Expenses	84.95	85.62	94.99
Opening Stock	67.92	106.77	84.83
Total 2	226.93	316.64	274.98
Net Profit	35.80	29.30	101.59
Total return on capital employed	35.80	29.30	101.59
Percentage of return on capital employed	10.92	8.19	11.37

5. Uttar Pradesh Avas Evam Vikas Parishad

(` in crore)

Particulars	2006-07	2007-08	2008-09
1 Income:			
(a) Income from property	273.39	276.79	426.06
(b) Other Income	299.54	252.55	379.34
Total 1	572.93	529.34	805.40
2. Expenditure:			
(a) Cost of property sold	208.03	239.41	260.08
(b) Establishment	65.61	76.74	75.09
(c) Interest	-	-	-
(d) Other expenses	28.35	34.61	46.10
Total 2	301.99	350.76	381.27
3. Excess of income over expenditure	270.94	178.58	424.13
4. Total return on capital employed	270.94	178.58	424.13
5. Percentage of total return on capital employed	33.54	27.27	44.27

6. Uttar Pradesh Jal Nigam

(` in crore)

Particulars	2006-07	2007-08	2008-09
1. Income:			
Centage	97.24	97.97	164.34
Survey and project fee	0.56	0.28	4.20
Receipt from consumers for scheme maintained by Jal Nigam	23.78	23.09	23.60
Other income	8.95	6.41	19.18
Income from financing activities	30.24	34.42	43.64
Revenue grant:			
(i) From UP Government for maintenance	72.98	102.27	153.28
(ii) From Government for HRD	-	-	-
Income of C&DS	33.81	41.49	69.90
Income of Nalkoop wing	1.44	1.47	2.91
Interest	---	-	-
Grant	-	-	-

¹ Profit transferred to balance sheet is only ` 295, 714 and 734 in 2006-07, 2007-08 and 2008-09 respectively.

Particulars	2006-07	2007-08	2008-09
Others	-	-	-
Total 1	269.00	307.41	481.05
2. Expenditure			
Establishment charges/operating expenses	169.12	187.50	237.59
Expenditure on maintenance	62.20	78.82	122.34
Interest	15.64	16.32	21.29
Other expenses	-	-	-
Depreciation	0.33	0.30	0.31
Expenditure of C&DS	20.72	22.72	31.38
Expenditure of Nalkoop Nigam	0.95	1.14	1.60
Grant to Jal Sansthan	-	-	-
Grant to Irrigation	-	-	-
Total 2	268.96	306.80	414.51
Deficit (-)/Surplus (+)	0.04	0.61	66.54
Total return on capital employed	15.68	16.92	87.83

Source: Latest finalised accounts of the PSUs

Annexure-7

Statement showing financial position of the Company

(Referred to in paragraph 2.1.20)

(` in crore)

Particulars	2005-06	2006-07	2007-08	2008-09 (Prov.)	2009-10 (Prov.)
SOURCES OF FUNDS					
Share holder's fund:					
Share Capital	40.00	40.00	40.00	42.39	46.78
Reserve & Surplus	00.01	00.01	00.01	00.01	00.01
	40.01	40.01	40.01	42.40	46.79
Loan funds:					
Secured loans	49.90	69.65	57.23	43.58	44.00
Un-secured loans	18.90	43.50	36.41	47.48	42.61
	68.80	113.15	93.64	91.06	86.61
Total	108.81	153.16	133.65	133.46	133.40
APPLICATION OF FUNDS					
Fixed assets					
Gross block	3.62	3.96	4.08	3.57	3.60
Less: Depreciation	2.88	2.96	3.08	3.22	3.25
Net fixed assets	0.74	1.00	1.00	0.35	0.35
Capital work in progress	-	-	0.12	-	-
INVESTMENTS					
Unquoted (as cost 64255 State Hartico & 8000 share in U P Beej Vikas Nigam, Lucknow of ` 100.00 each fully paid + value of assets transferred to Uttranchal Mandi Parishad.	0.72	0.72	0.72	0.72	0.72
Total	1.46	1.72	1.84	1.07	1.07
Current assets, loans & advances					
Inventories					
Sundry Debtors	16.51	17.49	22.13	10.05	10.20
Cash & bank balance	14.90	17.55	23.22	13.03	13.00
	149.56	176.26	187.73	138.16	158.37
Other current assets					
Accrued interest on fixed deposit receipts	--	--	--	0.34	0.40
Loans & advances	28.45	35.54	33.83	2.21	2.30
Total	209.42	246.84	266.91	163.79	184.27
Less:					
Current liabilities and Provisions	160.29	151.99	186.44	74.49	75.00
Net current Assets	49.13	94.85	80.47	89.30	109.27
Accumulated loss	58.22	56.59	51.34	43.09	23.06
Total	108.81	153.16	133.65	133.46	133.40

Annexure-8

Statement showing working results of the Company

(Referred to in paragraph 2.1.20)

(` in crore)

Particulars	2005-06	2006-07	2007-08	2008-09 (Prov.)	2009-10 (Prov.)
Income:					
Sales & services	364.71	358.92	375.36	651.36	875.83
Other income	3.34	5.48	8.53	6.58	7.00
Increase/decrease in stores	3.18	(-) 1.13	3.99	--	--
Total	371.23	363.27	387.88	657.94	882.83
Expenditure:					
Raw material cost	26.96	30.65	33.91	35.50	40.10
Manufacturing expenses	17.34	21.12	22.01	26.50	30.25
Material & store	302.58	284.29	298.39	558.27	765.56
Payment & provision for employees	13.93	15.29	15.32	17.80	18.90
Administrative expenses	3.52	3.95	3.60	3.96	4.28
Total	364.33	355.30	373.23	642.03	859.09
Profit before depreciation/ interest	6.90	7.97	14.65	15.91	23.74
Interest paid to bank & others	1.68	3.48	5.34	3.40	2.75
Interest paid to Government	2.00	2.67	3.44	0.90	0.90
Depreciation	0.09	0.06	0.10	0.06	0.06
Profit before prior period adjustments	3.13	1.76	5.77	11.55	20.03
Adjustment relating to earlier years:					
Income	1.18	0.20	0.15	--	--
Expenses	1.38	0.33	0.67	--	--
Net prior period adjustments	(-) 0.20	(-) 0.13	(-) 0.52	0.00	0.00
Loss brought forward from previous years	61.15	58.22	56.59	54.64	43.09
Loss carried over to balance sheet	58.22	56.59	51.34	43.09	23.06

Annexure-9

Statement showing Financial Position and Working Results of UPJVNL

(Referred to in paragraph 2.2.6)

Financial Position

Particulars	(` in crore)				
	2005-06	2006-07	2007-08	2008-09*	2009-10 (Provisional)
A. Liabilities					
Paid up Capital	415.09	424.25	425.25	427.25	430.75
Reserve & Surplus (including Capital Grants but excluding Depreciation Reserve)	24.82	24.82	24.82	24.82	24.82
Borrowings (Loan Funds):					
Secured	--	--	--	--	--
Unsecured	302.55	325.33	348.10	370.87	393.64
Current Liabilities & Provisions	107.79	130.56	109.87	106.57	118.23
Total	850.25	904.96	908.04	929.51	967.44
B. Assets					
Gross Block	408.11	431.75	432.28	432.68	433.45
Less: Depreciation	331.05	342.66	354.16	364.16	372.90
Net Fixed Assets	77.06	89.09	78.12	68.52	60.55
Capital works-in-progress	34.25	12.26	14.58	15.17	18.17
Investments	--	--	--	--	--
Current Assets, Loans and Advances	550.14	541.85	555.41	581.19	609.78
Miscellaneous expenditure	011	0.14	0.14	0.14	0.14
Accumulated losses	188.69	261.62	259.79	264.49	278.80
Total	850.25	904.96	908.04	929.51	967.44

Working results

Sl. No.		Description	(` in crore)				
			2005-06	2006-07	2007-08	2008-09	2009-10 (Provisional)
1	2	3	4	5	6	7	
1.	Income						
	Generation Revenue	46.81	80.92	66.83	74.99	49.07	
	Other income	12.45	14.43	17.82	29.00	31.74	
	Total Income	59.26	95.35	84.65	103.99	80.81	
2.	Generation						
	Total generation (In MUs)	1282	1431	925	1097	945	
	Less: Auxiliary consumption (In MUs)	5.29	5.08	4.36	5.48	4.63	
	Energy available for sale (In MUs)	1276.71	1425.92	920.64	1091.52	940.37	
3.	Expenditure						
(a)	Fixed cost						
(i)	Employees cost	15.51	16.00	18.16	21.77	25.31	
(ii)	Administrative and General expenses	4.26	5.26	7.16	13.87*	12.72	
(iii)	Depreciation	11.82	11.61	11.50	10.00	8.73	
(iv)	Interest and finance charges	63.86	22.63	22.77	33.52 ^ψ	22.77	
	Total fixed cost	95.45	55.50	59.49	79.16	69.53	
(b)	Variable cost						
(i)	Fuel consumption						
(a)	Coal	-	-	-	-	-	
(b)	Oil	-	-	-	-	-	
(c)	Gas						
(d)	Naptha						
(e)	Other fuel related cost including shortages/ surplus	-	-	-	-	-	
(ii)	Cost of water (thermal)	-	-	-	-	-	
(iii)	Lubricants and consumables	-	-	-	-	-	
(iv)	Repair and maintenance	8.77	12.87	12.64	15.12	17.46	
	Total variable cost	8.77	12.87	12.64	15.12	17.46	
C.	Total cost 3(a) + (b)	104.22	68.37	72.23	94.28	86.99	
4.	Realisation (` per unit)	0.46	0.67	0.92	0.95	0.86	
5.	Fixed cost (` per unit)	0.75	0.39	0.65	0.72	0.74	
6.	Variable cost (` per unit)	0.07	0.09	0.14	0.14	0.19	
7.	Total cost (5+6) (` per unit)	0.82	0.48	0.79	0.86	0.93	
8.	Contribution (4-6) (` per unit)	0.39	0.58	0.78	0.81	0.67	
9.	Profit (+)/Loss(-) (4-7) (` Per unit)	(-) 0.36	0.19	0.13	0.09	(-) 0.07	

* Accounts audited, but under revision.

* It includes ` 3.89 crore paid to Irrigation Deptt. against an award.

^ψ It includes ` 10.75 crore paid to Irrigation Deptt. on account of interest against an award.

Annexure-10

Statement showing operational performance of UPRVUNL and UPJVNL

(Referred to in paragraph 2.2.11)

Sl.No	Particulars	2005-06	2006-07	2007-08	2008-09	2009-10
1.	Installed capacity	(MW)				
(a)	Thermal	3877	3987	4197	4032	4082
(b)	Hydel	522.50	526.10	526.10	526.10	526.10
(c)	Gas	-	-	-	-	-
(d)	Other	-	-	-	-	-
	TOTAL	4399.50	4513.10	4723.10	4558.10	4608.10
2.	Normal maximum demand (MUs)	58158	58872	65679	70138	76088
	Percentage increase/decrease (-) over previous year	6.24	1.23	11.56	6.79	8.48
3.	Power generated	(MUs)				
(a)	Thermal	19370	20741	21041	22383	22912
(b)	Hydel	1282	1431	925	1097	945
(c)	Gas	-	-	-	-	-
(d)	Other					
	TOTAL	20652	22172	21966	23480	23857
	Percentage increase/decrease (-) over previous year	(-)0.99	7.36	(-)0.93	6.89	1.60
4.	LESS: Auxiliary consumption					
(a)	Thermal	2051	2124	2240	2427	2433
	(Percentage)	10.59	10.24	10.65	10.84	10.62
(b)	Hydel	5.29	5.08	4.36	5.48	4.63
	(Percentage)	0.41	0.35	0.47	0.50	0.49
(c)	Gas	-	-	-	-	-
	(Percentage)	-	-	-	-	-
	TOTAL	2056.29	2129.08	2244.36	2432.48	2437.63
	(Percentage)	9.96	9.60	10.22	10.36	10.22
5.	Net power generated	18595.71	20042.92	19721.64	21047.52	21419.37
6.	Total demand (in MUs)	58158	58872	65679	70138	76088
7.	Deficit (-) power (In MU)*	39562	38829	45957	49090	54669
8.	Power purchased (MU)					
(a)	Within the State					
	(i) Government	25860	28460	31471	29998	41531
	(ii) Private	1865	2498	4280	5420	5228
(b)	Other States	105	--	--	--	--
	Total power purchased	27830	30958	35751	35418	46759
9.	Net deficit	11732	7871	10206	13672	7910

* Round off figures.

Annexure-11

Statement showing capacity addition and deletion in Thermal Power Generating stations of UPRVUNL and Hydro Power Generating stations of UPJVNL during 2005-06 to 2009-10 (Referred to in paragraph 2.2.13)

Sl.No.	Name of projects	Capacity (in MW)			
		As on 01.04.2005	Addition	Deletion	As on 31.03.2010
Thermal					
1.	Anpara-A	630	-	-	630
2.	Anpara-B	1000	-	-	1000
3.	Obra-A	442	60	120	382
4.	Obra-B	1000	-	-	1000
5.	Harduaganj	375	-	155	220
6.	Panki	242	-	32	210
7.	Parichha-A	220	-	-	220
8.	Parichha-B	-	420	-	420
Total		3909	480	307	4082
Hydro					
1.	Rihand	300	-	-	300
2.	Obra-H	99	-	-	99
3.	Matatila	30	-	-	30
4.	Khara	72	-	-	72
5.	Nirgajini	5	-	-	5
6.	Chitora	3	-	-	3
7.	Salawa	3	-	-	3
8.	Bhola	2.7	-	-	2.7
9.	Belka	3	-	-	3
10.	Babail	3	-	-	3
11.	Purla Sumera	1.8	-	-	1.8
12.	Sheetla	-	3.6	-	3.6
Total		522.50	3.6	-	526.10

Annexure-12

Statement showing manpower position in UPRVUNL and UPJVNL

(Referred to in paragraph 2.2.35)

Sl. No.	Particulars.	2005-06	2006-07	2007-08	2008-09	2009-10
UPRVUNL						
1	Sanctioned strength (number)	9712	9712	9712	9712	9712
2	Manpower as per the CEA recommendations (number)	6184	5762	6091	5832	5910
3	Actual manpower (number)	10113	9560	9335	9211	9327
4	Excess man power with reference to CEA norms (3-2)	3929	3798	3244	3379	3417
5	Expenditure on salaries (in crore)	262.87	265.71	431.85	468.19	449.78
6	Extra expenditure with reference to sanctioned strength (in crore) [(5/3) x (3 – 1)]	10.42	NA	NA	NA	NA
7	Extra expenditure with reference to CEA norms (in crore) [(5/3) x (3–2)]	102.13	105.56	150.07	171.65	164.70
UPJVNL						
1	Sanctioned strength (number)	1659	1659	1659	1659	1078
2	Manpower as per the CEA recommendations (number)	935	942	847	847	847
3	Actual manpower (number)	737	720	720	702	648
4	Excess man power with reference to CEA norms (3-2)	NA	NA	NA	NA	NA
5	Expenditure on salaries (in crore)	15.51	16.00	18.16	21.77	25.31
6	Extra expenditure with reference to sanctioned strength (in crore) [(5/3) x (3 – 1)]	NA	NA	NA	NA	NA
7	Extra expenditure with reference to CEA norms (in crore) [(5/3) x (3–2)]	NA	NA	NA	NA	NA

Annexure-13

Statement showing station-wise year-wise details of energy to be generated as per design, actual generation and plant load factor as per design vis-à-vis actual in UPRVUNL

(Referred to in paragraph 2.2.36)

Year	Energy Generation (MU)		Plant Load Factor (<i>per cent</i>)	
	As per design	Actual	As per design	Actual
Obra 'A'				
2005-06	3872	679.005	100	23.12
2006-07	3872	692.529	100	19.85
2007-08	3883	769.590	100	23.12
2008-09	3346	1167.535	100	35.40
2009-10	3346	1110.941	100	64.60
Total	18319	4419.6		
Obra 'B'				
2005-06	8760	4893.896	100	55.86
2006-07	8760	4558.888	100	52.04
2007-08	8784	4585.08	100	52.20
2008-09	8760	4060.067	100	46.34
2009-10	8760	3517.419	100	43.88
Total	43824	21615.35		
Parichha 'A'				
2005-06	1927.20	763.1808	100	39.60
2006-07	1927.20	1135.9712	100	58.94
2007-08	1932.48	667.6672	100	34.64
1927.20	1927.20	1005.2860	100	52.16
1927.20	1927.20	958.3668	100	49.73
Total	9641.28	4530.4720		
Parichha 'B'				
2005-06	-	-	-	-
2006-07	2000.88	1080.078	100	26.99
2007-08	3689.28	1885.120	100	51.10
2008-09	3679.20	2187.330	100	59.45
2009-10	3679.20	2770.330	100	75.30
Total	13048.56	7922.858		
Anpara 'A'				
2005-06	5519	4112.12	100	74.51
2006-07	5519	4247.82	100	76.97
2007-08	5534	4048.91	100	73.17
2008-09	5519	4582.55	100	83.04
2009-10	5519	4657.92	100	84.4
Total	27609	21649.31		
Anpara 'B'				
2005-06	8760	7447.97	100	85.02
2006-07	8760	8088.88	100	92.34
2007-08	8784	7442.21	100	84.72
2008-09	8760	7227.69	100	82.51
2009-10	8760	7337.31	100	83.76
Total	43824	37544.06		

Annexure-14

Statement showing short assessment of energy charges and electricity duty

(Referred to in paragraph 2.3.11)

Name of the Division	No. of cases	EC levied (₹)	EC to be levied (₹)	Difference of EC (₹)	ED levied (₹)	ED to be levied (₹)	Difference of ED (₹)	Total difference (₹)
Alambagh	2911	557,847.95	1,617,075.20	1,059,227.25	6,663.06	33,845.76	27,182.70	1,086,409.95
Aliganj	9273	1,959,807.82	4,867,772.00	2,907,964.18	23,071.77	101,883.60	78,811.83	2,986,776.01
Aminabad	3251	633,404.70	1,719,484.00	1,086,079.30	13,257.81	35,989.20	22,731.39	1,108,810.69
Aishbagh	2932	617,469.67	1,523,610.40	906,140.73	5,379.75	31,889.52	26,509.77	932,650.50
Baksi ka talab	83	17,019.40	42,484.00	25,464.60	356.22	889.20	532.98	25,997.58
Chowk	8290	1,581,577.53	4,005,570.40	2,423,992.87	23,087.43	83,837.52	60,750.09	2,484,742.96
Daliganj	326	67,776.60	185,588.00	117,811.40	1,418.58	3,884.40	2,465.82	120,277.22
Gomti nagar	1194	236,628.10	759,345.60	522,717.50	3,116.61	15,893.28	12,776.67	535,494.17
Hussainganj	5484	1,117,554.98	3,785,995.20	2,668,440.22	10,986.39	79,241.76	68,255.37	2,736,695.59
Indira nagar	3999	743,432.96	2,140,299.20	1,396,866.24	13,102.20	44,796.96	31,694.76	1,428,561.00
Kanpur road	1718	311,528.05	935,095.20	623,567.15	4,944.33	19,571.76	14,627.43	638,194.58
Khurramnagar	2288	465,551.43	1,304,929.60	839,378.17	8,192.70	27,312.48	19,119.78	858,497.95
Raj Bhawan	649	131,745.90	599,695.20	467,949.30	2,749.53	12,551.76	9,802.23	477,751.53
Rajajipuram	632	135,566.10	309,909.60	174,343.50	2,837.43	6,486.48	3,649.05	177,992.55
Residency	9289	2,032,575.16	4,704,544.00	2,671,968.84	14,563.53	98,467.20	83,903.67	2,755,872.51
Thakurganj	1315	270,300.90	714,178.40	443,877.50	5,658.75	14,947.92	9,289.17	453,166.67
Total	53634	10,879,787.25	29,215,576.00	18,335,788.75	139,386.09	611,488.80	472,102.71	18,807,891.46

Annexure-15

Statement showing short assessment of units and energy charges/electricity duty

(Referred to in paragraph 2.3.12)

Name of the Divisions	No. of cases	Unit Charged	Units to be Charged	Energy charges levied (`)	Energy charges to be levied (`)	Difference of EC (`)	ED Charged (`)	Ed to be Charged (`)	Difference of ED (`)
Alambagh	107	28837	101903	87220.30	329240.20	242019.90	2587.41	9171.27	6583.86
Aliganj	69	19934	134979	57000.80	440790.20	383789.40	1794.06	12148.11	10354.05
Aminabad	9	664	7539	1478.30	23984.70	22506.40	59.76	678.51	618.75
Ashbagh	51	13588	31565	33781.90	100361.40	66579.50	1222.92	2840.85	1617.93
Bakshikatalab	1	1006	5891	1911.40	19380.30	17468.90	90.54	530.19	439.65
Chowk	104	39950	169088	102996.10	550737.90	447741.80	3595.50	15217.92	11622.42
Gomtinagar	146	42015	310307	120502.10	1014021.20	893519.10	3781.35	27927.63	24146.28
Hussainganj	21	2224	29788	5019.70	96907.20	91887.50	200.16	2680.92	2480.76
Indira nagar	135	34224	746628	104865.30	2455236.40	2350371.10	3080.16	67196.52	64116.36
Kanpur road	58	16328	50096	49349.80	161233.60	111883.80	1469.52	4508.64	3039.12
Khurramnagar	78	29278	130570	81150.20	425584.30	344434.10	2635.02	11751.30	9116.28
Raj bhawan	22	6673	44600	16746.80	145987.60	129240.90	600.57	4014.00	3413.43
Rajajipuram	100	19022	63832	52405.90	203806.00	151400.10	1711.98	5744.88	4032.90
Residency	41	8279	150700	23605.30	494499.90	470894.60	745.11	13563.00	12817.89
Thakur ganj	84	11900	100416	30366.90	325198.80	294831.90	1071.00	9037.44	7966.44
Total	1026	273922	2077902	768400.8	6786969.7	6018569	24645.06	187011.18	162366.12

Annexure-16

Statement showing short assessment of energy charges and electricity duty

(Referred to in paragraph 2.3.12)

Name of Division	No. of cases	Units Billed	Units to be billed	EC charged	ED charged	EC to be charged	Difference of EC	ED to be charged	Difference of ED
Alambagh	17	63445	217095	2,45,766.93	5,710.10	8,42,190.69	5,96,423.76	19,538.55	13,828.50
Aliganj	12	14310	44301	61,533.00	1,287.90	1,90,494.30	1,28,961.30	3,987.09	2,699.19
Aminabad	12	45519	777763	1,80,362.16	4,096.70	30,89,322.10	29,08,959.94	69,998.67	65,901.96
Aishbagh	3	7839	31527	30,420.35	705.5	1,35,566.10	1,05,145.75	2,837.43	2,131.92
Chowk	18	40574	287316	1,59,927.29	3,651.70	11,19,634.00	9,59,706.71	25,858.44	22,206.78
Gomtinagar	5	7437	9858	31,979.10	669.30	42,389.40	10,410.30	887.22	217.89
Hussain ganj	8	10761	81717	42,866.70	968.50	3,31,861.10	2,88,994.40	7,354.53	6,386.04
Indira nagar	21	69666	534668	2,79,583.10	6,269.90	20,80,418.26	18,00,835.16	48,120.12	41,850.18
Kanpur Road	2	10026	13386	39,345.00	902.30	52,692.20	13,347.20	1,204.74	302.4
Khurramnagar	15	30423	304714	1,22,106.53	2,738.10	13,10,270.20	11,88,163.67	27,424.26	24,686.19
Raj bhawan	2	1075	1493	4,548.10	96.80	6,419.90	1,871.80	134.37	37.62
Rajajipuram	3	681	4500	2,928.30	61.30	19,350.00	16,421.70	405	343.71
Residency	15	38449	217023	1,51,279.65	3,460.40	8,78,429.80	7,27,150.15	19,532.07	16,071.66
Thakur ganj	12	13208	183833	55,642.00	1,188.70	7,90,481.90	7,34,839.90	16,544.97	15,356.25
Total	145						94,81,231.74		2,12,020.29

Annexure-17

Statement showing details of cases where due date of payment of bills were not as per the Supply Code

(Referred to in paragraph 2.3.14)

Name of the Division	Cases where 7 days allowed from bill date	Cases where bill date and due date are common	Cases where 1 to 6 days allowed from bill date	Cases where more than 7 days allowed from bill date		Cases where due dates are earlier to bill dates		Total
				No of cases	Range of days	No of cases	Range of days	
Alambagh	440884	57126	31387	55318	8 to 31	135650	(1 to 68)	720365
Aliganj	828581	127205	47555	88085	8 to 344	324740	(1 to 3621)	1416166
Aminabad	234130	2953	10619	16531	8 to 28	10553	(1 to 26)	274786
Aishbagh	440352	60808	45757	57110	8 to 28	435133	(1 to 3342)	1039160
Bakhsi ka talab	21966	1261	4239	7872	8 to 28	4178	(1 to 19)	39516
Chowk	478150	142620	23829	37975	8 to 35	323129	(1 to 25)	1005703
Daliganj	62959	1162	4072	8041	8 to 28	4287	(1 to 28)	80521
Gomtinagar	490903	104419	58217	90442	8 to 31	240314	(1 to 31)	984295
Hussainganj	384292	59798	21596	23329	8 to 54	185823	(1 to 23)	674838
Indira nagar	730443	79174	44181	71099	8 to 726	266265	(1 to 3397)	1191162
Kanpur road	479003	47253	55599	85893	8 to 382	253757	(1 to 55)	921505
Khurramnagar	560898	75588	61436	131019	8 to 371	276065	(1 to 116)	1105006
Rajbhawan	207818	2784	12282	26219	8 to 28	12220	(1 to 22)	261323
Rajajipuram	303313	9237	29687	44367	8 to 28	36996	(1 to 27)	423600
Residency	428690	79878	21856	39689	8 to 28	231051	(1 to 26)	801164
Thakurganj	270717	6281	22154	32425	8 to 28	34488	(1 to 20)	366065
	6363099	857547	494466	815414		2774649		11305175

Annexure-18

Statement showing short billing of energy charges and electricity duty

(Referred to in paragraph 2.3.15)

Name of the Division	No. of cases	Total units billed	EC levied (₹)	ED levied (₹)	ED to be levied (₹)	Units actually consumed	EC to be levied (₹)	Difference of EC (₹)	Difference of ED (₹)
Alambagh	86	159,200.00	567,800.93	11,352.00	61793.37	686,593.00	2,374,697.40	1,806,896.47	2,976.00
Aliganj	89	260,000.00	990,510.89	23,400.00	98167.41	1,090,749.00	4,141,993.70	3,151,482.81	0.00
Aminabad	27	34,400.00	128,380.00	3,096.00	21174.48	235,272.00	821,142.60	692,762.60	0.00
Aishbagh	111	118,400.00	411,305.52	10,656.00	78227.46	869,194.00	2,926,412.20	2,515,106.68	0.00
BakshiKaTalab	2	1,600.00	5,160.00	144.00	186.21	2,069.00	6,707.70	1,547.70	0.00
Chowk	93	117,600.00	438,029.27	10,584.00	69750.54	775,006.00	2,868,008.65	2,429,979.38	0.00
Daliganj	7	8,800.00	32,680.00	792.00	12946.05	143,845.00	574,633.50	541,953.50	0.00
Gomtinagar	163	260,000.00	876,178.60	23,400.00	234218.1	2,602,423.00	8,761,749.10	7,885,570.50	0.00
HussainGanj	28	40,800.00	141,569.70	3,672.00	19293.93	214,377.00	786,808.10	645,238.40	0.00
Indira Nagar	95	260,000.00	928,911.50	21,384.00	142309.4	1,581,216.00	5,486,368.20	4,557,456.70	2,016.00
Kanpur Road	68	116,000.00	411,453.11	10,440.00	108659.5	1,207,328.00	4,134,976.40	3,723,523.29	0.00
Khurramnagar	85	119,200.00	402,864.04	10,728.00	184628	2,051,422.00	6,813,117.60	6,410,253.56	0.00
Raj Bhawan	46	105,600.00	380,257.09	9,168.00	46614.42	517,938.00	1,966,298.60	1,586,041.51	336.00
Rajajipuram	49	68,000.00	240,160.00	6,120.00	35512.74	394,586.00	1,333,710.80	1,093,550.80	0.00
Residency	75	168,000.00	610,554.38	14,592.00	91241.28	1,013,792.00	3,812,552.60	3,201,998.22	528.00
Thakur Ganj	72	72,800.00	240,840.00	6,552.00	44632.89	495,921.00	1,637,367.30	1,396,527.30	0.00
Total	1096	1,910,400	6,806,655.03	166,080	1249356	13,881,731	48,446,544.45	41,639,889.42	5,856.00

Annexure-19

Statement showing short assessment of energy charges and electricity duty

(Referred to in paragraph 2.3.20)

Name of the Division	No. of cases	Units consumed	EC levied (₹)	ED levied (₹)	Payment received	EC to be levied (₹)	ED to be levied (₹)	Difference of EC (₹)	Difference of ED (₹)	Total Difference (₹)
Alambagh	15558	1,242,824	1,866,960.00	0	0	2361365.6	111,854.16	494,405.60	111,854.16	606,259.76
Aliganj	63365	5,067,471	7,603,800.00	0	0	9628194.9	456,072.39	2,024,394.90	456,072.39	2,480,467.29
Aishbagh	102292	8,181,286	12,275,040.00	0	0	15544443.4	736,315.74	3,269,403.40	736,315.74	4,005,719.14
Chowk	81518	6,517,987	9,781,440.00	0	0	12384175.3	586,618.83	2,602,735.30	586,618.83	3,189,354.13
Gomtinagar	67698	5,415,640	8,123,760.00	0	0	10289716	487,407.60	2,165,956.00	487,407.60	2,653,363.60
HussainGanj	20035	1,602,236	2,404,200.00	0	0	3044248.4	144,201.24	640,048.40	144,201.24	784,249.64
Indira Nagar	72599	5,807,920	8,711,880.00	0	0	11035048	522,712.80	2,323,168.00	522,712.80	2,845,880.80
Kanpur Road	39434	3,154,720	4,732,080.00	0	0	5993968	283,924.80	1,261,888.00	283,924.80	1,545,812.80
Khurramnagar	64889	5,191,000	7,786,680.00	0	0	9862900	467,190.00	2,076,220.00	467,190.00	2,543,410.00
Rajajipuram	460	36,800	55,200.00	0	0	69920	3,312.00	14,720.00	3,312.00	18,032.00
Residency	22486	1,797,800	2,698,320.00	0	0	3415820	161,802.00	717,500.00	161,802.00	879,302.00
Thakur Ganj	3	240	360.00	0	0	456	21.6	96.00	21.60	117.60
Total	550337	44,015,924	66,039,720	0	0	83630255.6	3,961,433.16	17,590,535.6	3,961,433.16	21,551,968.76

Annexure-20

Statement showing short billing of energy charges and electricity duty

(Referred to in paragraph 2.3.20)

Name of the Division	No. of cases	Units consumed	EC levied (₹)	ED levied (₹)	Payment received (₹)	EC to be levied (₹)	ED to be levied (₹)	Difference of EC (₹)	Difference of ED (₹)	Total Difference (₹)
Alambagh	11958	955,897.00	1,452,774.40	12,466.89	353,458.00	1816204.3	86,030.73	363,429.90	73,563.84	436,993.74
Aliganj	47560	3,802,009.00	5,733,904.00	129,446.01	1,436,307.00	7223817.1	342,180.81	1,489,913.10	212,734.80	1,702,647.90
Aminabad	1115	89,160.00	169,404.00	8,024.40	209,711.00	169404	8,024.40	0.00	0.00	0.00
Aishbagh	42017	3,360,160.00	5,106,460.00	69,786.00	480,026.00	6384304	302,414.40	1,277,844.00	232,628.40	1,510,472.40
BakshiKaTalab	1121	89,680.00	139,512.00	8,071.20	153,902.00	170392	8,071.20	30,880.00	0.00	30,880.00
Chowk	46797	3,741,292.00	5,781,911.40	131,999.04	745,267.00	7108454.8	336,716.28	1,326,543.40	204,717.24	1,531,260.64
Daliganj	1471	117,299.00	177,800.00	10,556.91	573,724.00	222868.1	10,556.91	45,068.10	0.00	45,068.10
Gomtinagar	40484	3,240,240.00	5,157,132.00	68,378.40	1,203,306.00	6156456	291,621.60	999,324.00	223,243.20	1,222,567.20
HussainGanj	10804	864,680.00	1,410,476.00	26,647.20	259,429.00	1642892	77,821.20	232,416.00	51,174.00	283,590.00
Indira Nagar	58366	4,670,400.00	7,035,232.00	130,730.40	1,600,785.00	8873760	420,336.00	1,838,528.00	289,605.60	2,128,133.60
Kanpur Road	8519	681,920.00	1,078,944.00	29,836.80	446,356.00	1295648	61,372.80	216,704.00	31,536.00	248,240.00
Khurramnagar	87989	7,039,200.00	10,647,472.00	192,175.20	2,775,928.00	13374480	633,528.00	2,727,008.00	441,352.80	3,168,360.80
Raj Bhawan	1625	129,360.00	199,168.00	11,611.20	202,356.00	245784	11,642.40	46,616.00	31.20	46,647.20
Rajajipuram	3445	275,600.00	441,176.00	23,234.40	292,577.00	523640	24,804.00	82,464.00	1,569.60	84,033.60
Residency	18331	1,466,480.00	2,288,880.00	22,327.20	387,291.00	2786312	131,983.20	497,432.00	109,656.00	607,088.00
Thakur Ganj	15256	1,219,740.00	2,072,990.00	109,776.60	680,622.00	2317506	109,776.60	244,516.00	0.00	244,516.00
Total	396858	31,743,117	48,893,235.8	985,067.85	11,801,045	60311922.3	2,856,880.53	11,418,686.5	1,871,812.68	13,290,499.18

Annexure-21

Statement showing short billing of energy charges and electricity duty

(Referred to in paragraph 2.3.20)

Name of the Division	No. of cases	Units consumed	EC levied (₹)	ED levied (₹)	Payment received (₹)	ED to be levied (₹)	EC to be levied (₹)	Difference of EC (₹)	Difference of ED (₹)
Alambagh	2248	351184	269,760.00	31,436.04	351,190.00	31,606.56	914,394.70	644,634.70	170.52
Aliganj	13341	2010104	1,600,920.00	180,479.10	2,094,108.00	180,909.36	5,184,396.80	3,583,476.80	430.26
Aishbagh	2055	336821	246,600.00	30,313.89	219,545.00	30,313.89	891,769.00	645,169.00	0.00
Bakshi Ka Talab	186	22787	22,320.00	2,050.83	29,324.00	2,050.83	54,762.60	32,442.60	0.00
Chowk	5462	1001680	655,440.00	90,151.20	438,012.00	90,151.20	2,750,679.20	2,095,239.20	0.00
Daliganj	1010	142686	121,200.00	12,841.74	355,252.00	12,841.74	357,372.30	236,172.30	0.00
Indira Nagar	6375	1047598	765,000.00	94,217.22	990,902.00	94,283.82	2,771,183.00	2,006,183.00	66.60
Kanpur Road	1555	227099	186,600.00	20,438.91	168,757.00	20,438.91	574,623.60	388,023.60	0.00
Khurramnagar	5554	703691	666,480.00	63,332.19	736,295.00	63,332.19	1,703,980.70	1,037,500.70	0.00
Raj Bhawan	2905	445876	348,600.00	39,851.40	517,250.00	40,128.84	1,161,249.90	812,649.90	277.44
Rajajipuram	683	96757	81,960.00	8,708.13	108,608.00	8,708.13	247,862.40	165,902.40	0.00
Residency	3	264	360.00	23.76	358.00	23.76	501.60	141.60	0.00
Thakur Ganj	2078	346902	249,360.00	31,221.18	133,645.00	31,221.18	924,175.00	674,815.00	0.00
Total	43455	6733449	5,214,600	605,065.59	6,143,246	606,010.41	17,536,950.8	12,322,350.8	944.82

Annexure-22

Statement showing short billing of energy charges and electricity duty

(Referred to in paragraph 2.3.20)

Name of the Division	No. of cases	Units	EC levied (`)	ED levied (`)	EC to be levied (`)	ED to be levied (`)	Difference of EC (`)	Difference of ED (`)
Alambagh	10220	1268970	2,523,413.6	114,207.30	2559225	114,207.30	35,811.40	0.00
Aliganj	450	47715	90,658.5	4,294.35	92288	4,294.35	1,629.50	0.00
Aminabad	4860	594174	1,176,691.8	53,475.66	1193835	53,475.66	17,143.20	0.00
Aishbagh	9671	1185050	2,348,158.1	106,654.50	2382365	106,654.50	34,206.90	0.00
Bakshi Ka Talab	2	235	446.5	21.15	468	21.15	21.50	0.00
Chowk	4909	602845	1,194,568.7	54,256.05	1212573	54,256.05	18,004.30	0.00
Daliganj	3	416	790.4	37.44	860	37.44	69.60	0.00
Gomtinagar	11472	1426951	2,840,749.0	128,425.59	2879058	128,425.59	38,309.00	0.00
HussainGanj	12621	1557278	3,091,631.8	140,144.40	3135935	140,155.02	44,303.20	10.62
Indira Nagar	243	25891	49,210.1	2,330.19	50148	2,330.19	937.90	0.00
Kanpur Road	10123	1248108	2,479,240.0	112,329.72	2512890	112,329.72	33,650.00	0.00
Khurramnagar	230	24986	47,568.1	2,248.74	48665	2,248.74	1,096.90	0.00
Raj Bhawan	291	34580	67,968.6	3,105.12	68990	3,112.20	1,021.40	7.08
Rajajipuram	8557	1062989	2,112,429.5	95,669.01	2144053	95,669.01	31,623.50	0.00
Residency	11019	1352620	2,680,505.8	121,735.80	2720410	121,735.80	39,904.20	0.00
Thakur Ganj	3517	436453	866,388.1	39,280.77	880113	39,280.77	13,724.90	0.00
Total	88188	10869261	21,570,418.6	978,215.79	21881876	978,233.49	311,457.40	17.70

Annexure-23

Statement showing short billing of energy charges and electricity duty

(Referred to in paragraph 2.3.20)

Name of the Division	No. of cases	Units	EC levied (₹)	ED levied (₹)	EC to be levied (₹)	ED to be levied (₹)	Short EC (₹)	Short ED (₹)
Alambagh	34082	14233183	44,395,913.0	1,280,743.44	44,924,583.9	1,280,986.47	528,670.90	243.03
Aliganj	12351	6874155	21,020,471.0	617,375.31	21,943,651.5	618,673.95	923,180.50	1,298.64
Aminabad	5508	2326213	7,260,971.3	209,359.17	7,346,022.9	209,359.17	85,051.60	0.00
Aishbagh	20699	8192242	25,142,184.2	737,222.64	25,792,458.6	737,301.78	650,274.40	79.14
Bakshi Ka Talab	10	8348	21,618.5	751.32	26,948.4	751.32	5,329.90	0.00
Chowk	11344	5327357	16,466,476.1	479,462.13	16,899,638.1	479,462.13	433,162.00	0.00
Daliganj	13	21445	55,103.0	1,930.05	69,988.5	1,930.05	14,885.50	0.00
Gomtinagar	76166	37787880	119,323,809.8	3,400,746.30	120,130,044.0	3,400,909.20	806,234.20	162.90
HussainGanj	23538	10154226	31,726,371.6	912,514.02	32,096,665.8	913,880.34	370,294.20	1,366.32
Indira Nagar	13263	6105402	18,697,312.9	549,426.60	19,352,046.6	549,486.18	654,733.70	59.58
Kanpur Road	42765	17437654	54,449,224.4	1,569,388.86	54,978,358.2	1,569,388.86	529,133.80	0.00
Khurramnagar	8292	3538971	10,686,727.4	318,507.39	11,181,084.3	318,507.39	494,356.90	0.00
Raj Bhawan	3986	3087152	9,511,122.0	274,477.65	9,948,441.6	277,843.68	437,319.60	3,366.03
Rajajipuram	22966	8890180	27,730,260.4	800,116.20	27,959,634.0	800,116.20	229,373.60	0.00
Residency	28592	13120749	41,208,608.1	1,179,822.75	41,582,951.7	1,180,867.41	374,343.60	1,044.66
Thakur Ganj	3714	1671436	5,172,105.9	150,429.24	5,292,898.8	150,429.24	120,792.90	0.00
Total	307289	138776593	432,868,279.6	12,482,273.07	439,525,416.9	12,489,893.37	6,657,137.30	7,620.30

Annexure-24

Statement showing short billing of energy charges and electricity duty

(Referred to in paragraph 2.3.21)

Name of the Division	No. of cases	Units consumed	EC levied (`)	ED levied (`)	Payment received (`)	EC to be levied (`)	ED to be levied (`)	Difference of EC (`)	Difference of ED (`)	Total Difference (`)
Alambagh	5316	1,035,680.00	1,553,520.00	0.00	0.00	3147612	93,211.20	1,594,092.00	93,211.20	1,687,303.20
Aliganj	18443	4,529,030.00	6,793,560.00	0.00	0.00	13957230	407,612.70	7,163,670.00	407,612.70	7,571,282.70
Aishbagh	11009	2,083,200.00	3,124,800.00	0.00	0.00	6320916	187,488.00	3,196,116.00	187,488.00	3,383,604.00
Chowk	7751	1,654,240.00	2,481,360.00	0.00	0.00	5057352	148,881.60	2,575,992.00	148,881.60	2,724,873.60
Gomtinagar	40405	8,627,680.00	12,941,520.00	0.00	0.00	26380644	776,491.20	13,439,124.00	776,491.20	14,215,615.20
HussainGanj	8952	2,453,440.00	3,680,160.00	0.00	0.00	7602564	220,809.60	3,922,404.00	220,809.60	4,143,213.60
Indira Nagar	25328	4,992,160.00	7,488,240.00	0.00	0.00	15180828	449,294.40	7,692,588.00	449,294.40	8,141,882.40
Kanpur Road	31343	6,238,240.00	9,357,360.00	0.00	0.00	18983928	561,441.60	9,626,568.00	561,441.60	10,188,009.60
Khurramnagar	14150	2,703,200.00	4,054,800.00	0.00	0.00	8206188	243,288.00	4,151,388.00	243,288.00	4,394,676.00
Rajajipuram	128	21,440.00	32,160.00	0.00	0.00	64512	1,929.60	32,352.00	1,929.60	34,281.60
Residency	6932	1,732,320.00	2,598,480.00	0.00	0.00	5342880	155,908.80	2,744,400.00	155,908.80	2,900,308.80
Total	169757	36,070,630	54,105,960	0.0	0.0	110244654	3,246,356.7	56,138,694	3,246,356.7	59,385,050.7

Annexure-25

Statement showing short billing of energy charges and electricity duty

(Referred to in paragraph 2.3.21)

Name of the Division	No. of cases	Units consumed	EC levied (₹)	ED levied (₹)	Payment received (₹)	ED to be levied (₹)	EC to be levied (₹)	Difference of EC (₹)	Difference of ED (₹)	Total Difference (₹)
Alambagh	501	97414	120,240.00	8,557.80	141,597.00	8,767.26	298,856.10	178615	209.46	178,825.56
Aliganj	4067	855676	976,080.00	76,982.40	1,095,183.00	77,010.84	2,642,444.10	1666382	28.44	1,666,392.54
Aishbagh	257	58288	61,680.00	5,238.72	58,575.00	5,245.92	180,448.50	118782	7.20	118,775.70
BakshiKaTalab	91	13258	21,840.00	1,193.22	22,657.00	1,193.22	40,025.70	18188	0.00	18,185.70
Chowk	399	87774	95,760.00	7,899.66	64,517.00	7,899.66	270,452.40	174699	0.00	174,692.40
Daliganj	258	41233	61,920.00	3,710.97	56,681.00	3,710.97	125,397.60	63481	0.00	63,477.60
HussainGanj	4	320	960.00	0.00	0.00	28.80	960.00	0	28.80	28.80
Indira Nagar	3330	655308	799,200.00	58,956.12	1,035,051.00	58,977.72	2,007,267.30	1208131	21.60	1,208,088.90
Kanpur Road	613	112648	147,120.00	10,109.52	259,333.00	10,138.32	344,968.80	197854	28.80	197,877.60
Khurramnagar	2482	490012	595,680.00	44,101.08	776,396.00	44,101.08	1,503,516.60	907849	0.00	907,836.60
Raj Bhawan	935	241401	224,400.00	21,167.79	200,867.00	21,726.09	749,332.50	524946	558.30	525,490.80
Rajajipuram	107	19378	25,680.00	1,744.02	28,526.00	1,744.02	59,188.20	33503	0.00	33,508.20
Thakur Ganj	66	12629	15,840.00	1,136.61	7,677.00	1,136.61	38,692.50	22854	0.00	22,852.50
Total	13110	2685339	3,146,400	240,797.91	3,747,060	241,680.51	8,261,550.3	5115284	882.6	5,116,032.9

Annexure-26

Statement showing short billing of energy charges and electricity duty

(Referred to in paragraph 2.3.21)

Name of the Division	No of cases	ED levied (`)	EC levied (`)	Total units	ED to be levied (`)	EC to be levied (`)	Difference of EC (`)	Difference of ED (`)
Alambagh	19247	816,113.40	28556213	9069287	816,235.83	28,773,827.1	218,375.0	122.43
Aliganj	8332	478,276.26	16512958	5326071	479,346.39	17,076,114.3	563,255.2	1,070.13
Aminabad	2393	108,010.26	3804665	1200114	108,010.26	3,816,796.2	12,233.9	0.00
Aishbagh	9481	377,503.26	13079732	4195360	377,582.40	13,275,828.0	196,335.0	79.14
Bakshi ka talab	5	419.94	14097	4666	419.94	15,097.8	1,000.5	0.00
Chowk	5200	269,030.97	9444175	2989233	269,030.97	9,552,468.9	108,491.1	0.00
Daliganj	5	417.42	14352	4638	417.42	15,005.4	653.1	0.00
Gomtinagar	61931	2,986,185.33	105177986	33181647	2,986,348.23	105,783,575.1	608,512.7	162.90
Hussainganj	12946	588,600.60	20740686	6554901	589,941.09	20,854,413.3	114,312.0	1,340.49
Indira nagar	9576	429,924.69	14789546	4777603	429,984.27	15,191,529.9	402,037.2	59.58
Kanpur road	31410	1,241,734.05	43318479	13797045	1,241,734.05	43,645,648.5	328,405.4	0.00
Khurramnagar	5236	218,663.64	7511912	2429596	218,663.64	7,703,506.8	191,635.1	0.00
Raj bhawan	3089	233,075.79	8201656	2626482	236,383.38	8,482,050.6	280,538.5	3,307.59
Rajajipuram	12788	492,587.55	17231317	5473195	492,587.55	17,294,263.5	63,521.2	0.00
Residency	17965	846,776.58	29817460	9420236	847,821.24	30,008,878.8	192,208.7	1,044.66
Thakur ganj	954	42,953.22	1512024	477258	42,953.22	1,517,711.4	5,727.8	0.00
Total	2,00,558	9,130,272.96	31,97,27258	10,15,27332	9,137,459.88	323,006,715.6	3,287,242.4	7,186.92

Annexure-27

Statement showing details of excess payment to hand held billing agencies

(Referred to in paragraph 2.3.29)

Name of the agencies/ Months	Computronics India (P) Limited, Lucknow					SAI Computers, Meerut					KLG Systel (P) Limited, Gurgaon					Total (calculated at the discount rate of one)
	HLT	IDF	ADF	RDF	CDF	HLT	IDF	ADF	RDF	CDF	HLT	IDF	ADF	RDF	CDF	
Oct-08	88618	9437	459	1284	1	48974	12083	774	293	110	76284	15119	1076	917	0	255429
Nov-08	90713	12328	511	1407	0	51192	12466	810	304	116	72065	16214	1071	827	0	260024
Dec-08	91307	12992	605	1463	0	51359	12647	848	338	126	68512	13520	1142	867	0	255726
Jan-09	92500	11935	605	1565	0	52875	12637	960	357	139	74611	14146	1494	973	0	264797
Feb-09	91276	12060	613	1630	0	52990	12829	821	361	127	59059	12514	975	1000	0	246255
Mar-09	90923	12299	579	1640	0	52235	12921	812	348	122	69391	12925	1554	1074	36	256859
Apr-09	85379	12246	539	1565	0	50480	12828	790	335	128	75875	12374	1873	1195	63	255670
May-09	87762	12076	539	1481	0	53885	13130	817	348	151	95544	14809	2228	1266	87	284123
Jun-09	88049	12145	528	1297	0	55511	13482	852	354	156	94218	14666	2185	1197	85	284725
Jul-09	91911	14438	559	1578	0	55367	13486	848	354	152	91130	14864	2166	1149	89	288091
Aug-09	90108	15086	501	1440	0	55321	13486	851	365	166	95271	14604	2125	1176	99	290599
Sep-09	87104	14661	524	1387	0	55698	13532	842	348	177	99714	14948	2148	1170	124	292377
Oct-09	88964	15162	482	1352	0	55456	13518	853	344	186	96241	14892	2088	1169	127	290834
Nov-09	91311	14406	566	1358	0	55394	13413	837	334	184	100361	14964	2168	1187	130	296613
Dec-09	90104	14399	563	1439	0	54503	13335	820	336	175	99732	15174	2155	1163	146	294044
Jan-10	90083	15176	602	1487	1	55337	13301	796	334	170	98719	15582	2097	1170	129	294984
Feb-10	89300	14301	577	1474	0	56906	13267	774	328	169	99375	15198	2023	1094	127	294913
Mar-10	90685	11407	584	1367	0	54436	11698	685	294	138	100718	15128	2003	1049	130	290322
Total	1616097	236554	9936	26214	2	967919	234059	14790	6075	2692	1566820	261641	32571	19643	1372	4996385

Annexure-28

Statement showing details of extra payment to hand held billing agencies

(Referred to in paragraph 2.3.31)

Name of the Division	No. of consumers as per data bank					No. of consumers claimed by Agency		Difference		Amount paid in excess (In `)		Total amount excess paid (In `)
	HLT	IDF	ADF	RDF	Total (IDF, ADF and RDF)	HLT	Defective	HLT	Defective	HLT	Defective	
Aishbagh	235702	40064	1588	2632	44284	257124	48696	37914	4412	252128.1	14647.84	266775.94
Alambagh	349596	18988	1809	1669	22466	383325	26631	35971	4165	239207.15	13827.8	253034.95
Aliganj	610780	100515	3035	12136	115686	643545	121923	32765	6237	217887.30	20738.025	238625.30
Residency	308471	89303	7181	2343	98827	299830	130266	-8749	31439	-58180.85	104534.7	46353.85
Thakurganj	168396	62831	8229	3854	74914	189109	82992	21875	8078	145468.8	26818.96	172287.76
Rajbhawan	155122	16476	2268	3375	22119	164319	23706	9197	1587	61160.05	5276.775	66436.83
Hussainganj	278759	78204	4296	2523	85023	268321	113100	-10438	28077	-69412.7	93356.03	23943.33
Chowk	292923	129665	22707	9993	162365	310893	164491	17970	1111007	119500.5	7068.95	126569.50
Bakshi Ka Talab	7012	1963	82	144	2189	7628	2275	616	86	4096.4	285.95	4382.35
Kanpur Road	378920	13863	7342	2519	23724	408570	27707	29425	3983	195676.25	13243.475	208919.73
Gomti Nagar	315343	30295	401	827	31523	347815	40395	32472	8872	203795.9	28273.12	232069.20
Indira Nagar	593329	59421	2745	9612	71778	624674	72801	31345	1023	208444.25	3396.36	211840.66
Rahim Nagar	411970	64910	2128	6680	73718	449416	78507	37446	4789	249015.9	15923.43	264939.3
Rajaipuram	260484	15816	633	1250	17699	283287	21283	24791	3584	164860.15	11898.88	176759.09
Aminabad	131578	60903	4829	1157	66889	126538	82515	-5040	15626	-33516	51956.45	18440.45
Total	4498385	783217	69273	60714	913204	4764394	1037288	287560	1232965	1900131.20	411246.745	2311378.24

Annexure-29

Statement showing avoidable expenditure due to improper finalisation of rates

(Referred to in paragraph 3.6.4)

Sl. No.	Items/Particulars	Unit (cft or sqm)	Quantity executed for			Rate Finalised on 8 th November 2007 (₹)	Rate as analysed by audit (₹)	Difference (₹) (7-8)	Avoidable expenditure (₹ in lakh) (6X9)
			MKRSS	DASPS	Total (4+5)				
1	2	3	4	5	6	7	8	9	10
1	Labour rate for making of boundary wall with Mirzapur/ Chunar stone including freight from Mirzapur-Bayana (Rajasthan) and Bayana-Lucknow	cft	78865.04	110188.50	189053.54	1890	1720	170	321.39
2	Labour rate including installation of Mirzapur/ Chunar sand stone blocks for kerb stone with freight from Mirzapur-Bayana (Rajasthan), sawing, carving, transportation from Bayana- Lucknow	cft	47024.91	42237.97	89262.88	1750	1600	150	133.89
3	Labour rate including fixing of Mirzapur/ Chunar sand stone blocks flooring 50 mm thick with freight from Mirzapur-Bayana (Rajasthan), sawing, carving, transportation from Bayana-Lucknow	sq. mtr.	21749.72	78907.04	100656.76	2400	2000	400	402.63
	Total								857.91

Annexure-30

Statement showing avoidable expenditure due to improper finalisation of rates

(Referred to in paragraph 3.6.4)

Sl. No.	Items/Particulars	Unit (cft or sqm)	Executed Quantity for			Rate finalised by JPC on 15.12.2008 (₹)	Rates as analysed by audit (₹)	Difference (₹) (7-8)	Avoidable Expenditure (₹ in lakh) (6X9)
			MKRSS	DASPS	Total				
1	2	3	4	5	6	7	8	9	10
1	Labour rate for making of boundary wall with Mirzapur/Chunar stone including freight from Mirzapur-Bayana (Rajasthan) and Bayana-Lucknow	cft	6301.95	--	6301.95	1300	1160	140	8.82
2	Labour rate including installation of Mirzapur/Chunar sand stone blocks for kerb stone with freight from Mirzapur-Bayana (Rajasthan), sawing, carving, transportation from Bayana-Lucknow	cft	11845.57	733.74	12579.31	1250	1010	240	30.19
3	fixing of Mirzapur/Chunar sand stone blocks flooring 50 mm thick with freight from Mirzapur-Bayana (Rajasthan), sawing, carving, transportation from Bayana- Lucknow	sq. mtr.	9269.02	3804.97	13073.99	1750	1400	350	45.76
	Total								84.77

Annexure-31

Statement showing extra expenditure due to incorrect assessment

(Referred to paragraph 3.10.3)

Sl. No.	Particulars of purchases	Management's reply and further remarks of audit																				
1.	The Company procured 100.775 km. Panther conductor at a cost of ` 1.36 crore during August to October 2006 for Ardh Kumbh Mela at Allahabad without taking into account the availability of Panther conductor at ESD Gorakhpur (12 km) and ESD Varanasi (15 km). This was more significant as of the 100.775 km conductor ordered, only 6.495 km was actually used up to March 2010, well after the conclusion of Ardh Kumbh Mela. This requirement of 6.495 km could have been met from existing stock of Panther conductor at Gorakhpur and Varanasi. This procurement resulted in blocking of ` 1.36 crore.	The management stated that the works could not be done due to requirement of shutdown for long hours. The reply is not based on the facts as requirement of shutdown was well known at the time of procurement of conductor. Further instruction of the management to divert the conductor to other wings of UPPCL clearly indicates that procurement of Panther conductor was not required.																				
2.	Stock of steel tubular (ST) poles (900 no.), ACSR conductor (68 km.), XLPE cables (49.11 km.) and 5 MVA transformers (1 no.) of APDRP turnkey contract was lying unutilised at Varanasi since March 2005. The Company did not consider this while assessing the subsequent requirement and placing orders for procurement of these items.	The Management stated that ST poles, conductor cables and MVA transformer had already been utilised elsewhere but did not give reasons for continued purchases despite the stock.																				
3.	<p>The Company invited in piecemeal three tenders for procurement of poles for the year 2007-08 and finalised rate of ` 1430 (excluding freight and insurance) per pole. The tendered, ordered and received quantity of poles are given in the table below:</p> <table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th style="text-align: center;">Tender No.</th> <th style="text-align: center;">Tendered Qty.</th> <th style="text-align: center;">Ordered Qty.</th> <th style="text-align: center;">Qty. Received</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">EAV-09/07-08</td> <td style="text-align: center;">50000 (May 2007)</td> <td style="text-align: center;">76500</td> <td style="text-align: center;">76500</td> </tr> <tr> <td style="text-align: center;">EAV-34/07-08</td> <td style="text-align: center;">53000 (October 2007)</td> <td style="text-align: center;">27000</td> <td style="text-align: center;">27000</td> </tr> <tr> <td style="text-align: center;">EAV-58/07-08</td> <td style="text-align: center;">30000 (November 2007)</td> <td style="text-align: center;">30000</td> <td style="text-align: center;">10000</td> </tr> <tr> <td></td> <td style="text-align: center;">133000</td> <td style="text-align: center;">133500</td> <td style="text-align: center;">113500</td> </tr> </tbody> </table> <p>The Company further procured 32,500 poles at higher rate of ` 1,695.20 per pole against subsequent tender¹ of March 2008. Had the Company assessed the requirement correctly and placed order for purchase, the extra expenditure of ` 86.19 lakh could have been avoided.</p>	Tender No.	Tendered Qty.	Ordered Qty.	Qty. Received	EAV-09/07-08	50000 (May 2007)	76500	76500	EAV-34/07-08	53000 (October 2007)	27000	27000	EAV-58/07-08	30000 (November 2007)	30000	10000		133000	133500	113500	<p>The Management stated (August 2010) that increase in requirement was circumstantial and tender invited in March 2009 was for the requirement of 2008-09.</p> <p>The reply is not based on facts as the number of villages to be electrified under Ambedkar Scheme and damaged wooden poles to be replaced were well known at the time of invitation of tender. Further balance requirement of 32500 poles was included in requirement of the year 2008-09 as the work could not be completed in 2007-08.</p>
Tender No.	Tendered Qty.	Ordered Qty.	Qty. Received																			
EAV-09/07-08	50000 (May 2007)	76500	76500																			
EAV-34/07-08	53000 (October 2007)	27000	27000																			
EAV-58/07-08	30000 (November 2007)	30000	10000																			
	133000	133500	113500																			
4.	The Company procured (April 2007) 20,000 Stay sets ² at the rate of ` 225.21 per set. To meet the additional requirement of the year 2007-08, the Company further procured 10,000 sets ³ at the rate of ` 228 per set and 22,000 sets ⁴ at the rate of 236.01 per set in January 2008. We noticed that the Company failed to correctly assess total requirement of Stay sets for the year. As a result, additional quantities were procured at higher rates resulting in extra expenditure of ` 5.16 lakh on procurement of 32,000 additional Stay sets besides extra cost involved in frequent tendering.	The Management stated that increase in requirement was circumstantial. The reply is indicative of the fact that the Company had not evolved any system for assessment of requirement of material.																				
5.	The Company procured 15 nos. 2.4 MVAR 11 KV Capacitor Banks ⁵ at total cost of ` 26.44 lakh in July 2005 against the order of Electricity Stores Procurement Circle-I (ESPC-1), Lucknow. Due to non-supply of 11 KV VCB Control Panel and related equipments along with capacitor banks by the firms, these capacitor banks could not be installed and were lying unutilised in three ESDs ⁶ . The Chief Engineer (MM) of the holding Company directed (November 2005) the Company for installation of these capacitor banks by procuring control panels and related equipments. The Company purchased 15 nos 11 KV VCB Control Panel and related equipments belatedly in July 2007 at a cost of ` 67.05 lakh. We noticed that the Capacitor Banks and Control Panels were still unutilised after four years of their purchase. This resulted in blocking of funds of ` 93.49 lakh. There was also deterioration in the quality of equipment and the guarantee period of 18 months had also lapsed. In case these capacitor banks had been installed in time, the Company could have saved 4.908747MU energy valued at ` 1.73 crore ⁷ for the period of 33 months,	The management stated (August 2010) that the problem of voltage was solved after construction of new substation. The reply is indicative of the fact that planning for procurement of material was weak.																				

¹ Tender specification EAV-81/07-08.

² Against tender specification EAV-04/07-08.

³ Against tender specification EAV-28/07-08.

⁴ Against tender specification EAV-65/07-08.

⁵ ESPC-1/3030/2001-02.

⁶ Allahabad, Gorakhpur and Varanasi.

⁷ 36 MVAR x 0.04958 MU per MVAR per annum x 33/12 x ` 3.53 per unit (average revenue realisation rate for the year 2007-08).

Annexure-32

Statement showing paragraphs/reviews for which replies were not received (Referred to in paragraph 3.14.1)

Sl. No	Name of Department	2004-05		2005-06		2006-07		2007-08		2008-09	
		No. of para in Audit Report	No. of para for which reply not received	No. of para in Audit Report	No. of para for which reply not received	No. of para in Audit Report	No. of para for which reply not received	No. of para in Audit Report	No. of para for which reply not received	No. of para in Audit Report	No. of para for which reply not received
1.	Energy (Power)	14	2	18	16	14	12	17	10	13	12
2.	Transport	4	2	--	--	5	4	2	--	1	1
3.	Co-operative	--	--	1	--	--	--	1	--	--	--
4.	Samaj Kalyan	1 [♥]	1 [♥]	2	--	--	--	--	--	2	1
5.	Waqf Avam Alpsankhyak	1 [♥]	1 [♥]	--	--	--	--	--	--	--	--
6.	Mahila Kalyan	1 [♥]	1 [♥]	--	--	--	--	--	--	--	--
7.	Agriculture	--	--	2	2	3	1	1	1	--	--
8.	Vastra Udyog	--	--	3	3	--	--	--	--	--	--
9.	Industrial Development	4	1	4	1	2	2	1	--	3	3
10.	Public Works	1	1	1	--	2	2	3	3	1	1
11.	Small Industries	--	--	--	--	2	2	--	--	--	--
12.	Sugar Industry and Cane Development	--	--	3	--	--	--	1	--	--	--
13.	Urban Development	2	0	--	--	1	--	--	--	1	1
14.	Housing	--	--	1	1	3	3	2	2	1	--
15.	Irrigation	--	--	1	1	--	--	--	--	--	--
16.	Matsya Avam Pashudhan	--	--	--	--	--	--	--	--	1	1
17.	Electronics & IT	1	0	--	--	--	--	--	--	--	--
18.	Public Enterprises [*]	3	2	2	2	1	--	--	--	2 [*]	--
19.	Food and Civil Supplies	--	--	1	1	1	--	--	--	--	--
20.	Health	--	--	1	1	--	--	--	--	--	--
21.	Minerals and Mining	1	--	--	--	3	--	5	--	2	2
	Total	31	9	40	28	37	26	33	16	27	22

Note: The numbers of paragraphs and the paragraphs for which replies have not been received for the previous years (2004-05 to 2007-08) have been regrouped due to change in the administrative department of the Companies/Statutory Corporations.

- ♥ A review on Upliftment of Scheduled Castes, Minorities and Women by Social Welfare Sector Companies covered the observations on three Companies under the administrative control of three different departments (Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited; Samaj Kalyan Department, Uttar Pradesh Alpsankhyak Vitta Avam Vikas Nigam Limited; Waqf Avam Alpsankhyak Department and Uttar Pradesh Mahila Kalyan Nigam Limited: Mahila Kayan Department). Hence it is counted as one para.
- * In the group of Public Enterprises, there were three, five, three and thirteen departments in respect of which General paras were issued during 2004-05, 2005-06, 2006-07 and 2008-09 respectively.
- ♦ This relates to 13 Departments including Department of Niryat Protsahan, Tax and Institutional Finance, Forest, Panchayati Raj, Pichara Varg Kalyan and Tourism not appearing in column of Name of Department.

Annexure-33

Statement showing persistent irregularities pertaining to Government Companies appeared in the Reports of the Comptroller & Auditor General of India (Commercial) - Government of Uttar Pradesh (Referred to in paragraph 3.14.3)

Year of Audit Report	Paragraph No.	Money Value (in crore)	Gist of Persistent Irregularities	Actionable points/action to be taken	Details of actions taken
1. Power Sector Companies					
1997-98	3C.10.2(a)	2.37	Non-discontinuance of cheque facility after dishonour of cheques and non-disconnection of supply of electricity leading to accumulation of arrears.	Responsibility was required to be fixed on officials for not taking appropriate action.	Total dues against the consumer could not be recovered due to stay order of the court. The UPSEB/Company did not fix responsibility on any official for accumulation of dues.
1998-99	3A.6.2.3	8.99	-----do-----	-----do-----	Management's reply and further action were awaited.
	3A.6.2.6	16.66	-----do-----	-----do-----	-----do-----
1999-2000	4A.14	11.45	-----do-----	-----do-----	-----do-----
	4A.17	0.99	-----do-----	-----do-----	Management intimated the action taken for recovery of dues. Further action for recovery of balance amount of ₹ 0.99 crore was awaited. UPSEB did not fix responsibility on any official.
2001-02	3A.10	0.55	-----do-----	-----do-----	Management's reply and further action were awaited.
	3A.12	0.18	-----do-----	-----do-----	-----do-----
2002-03	2.2.25	0.79	-----do-----	-----do-----	-----do-----
2003-04	2.3.16	16.10	-----do-----	-----do-----	Management stated that action would be taken.
	3.11	0.51	-----do-----	-----do-----	Management stated that RC is pending in court.
2005-06	4.17	0.46	-----do-----	-----do-----	Management reply and further action is awaited.
1997-98	3C.12.1	61.39	Excessive damage of transformers (damage of transformers in excess of norm of 2 per cent) resulting in extra financial burden on repair	Examination for ascertaining reasons of excessive damage and adherence of schedule of preventive maintenance were required.	As a remedial measures, Management issued instructions from time to time to zonal offices to reduce excessive damage of transformers and intimated that UPSEB was increasing the capacity of existing transformers and establishing new sub station. The details of impact of remedial measures leading to reduction in damage of transformers was awaited.
1999-2000	3B.6.2	325.28	-----do-----	-----do-----	-----do-----
2002-03	2.2.21	0.43	-----do-----	-----do-----	Management's reply and further action were awaited.
1998-99	3A.5.17	3.17	Short billing and irregular waiver of minimum consumption guarantee/late payment surcharge.	Responsibility was required to be fixed in the cases of gross negligence on the part of official and where company sustained loss.	-----do-----
1999-2000	4A.13(a)	0.23	-----do-----	-----do-----	Government had directed to adjust the amount of outstanding dues from the loan of State Government to UPPCL. Intimation regarding adjustment of dues of UPPCL with the Government loan was awaited.
	4A.26	0.10	-----do-----	-----do-----	Management's reply and further action were awaited.
2001-02	3A.19	0.49	-----do-----	-----do-----	No responsibility was fixed by the Management so far.

Year of Audit Report	Paragraph No.	Money Value (₹ in crore)	Gist of Persistent Irregularities	Actionable points/action to be taken	Details of actions taken
2002-03	2.2.21	0.52	-----do-----	-----do-----	Management's reply and further action were awaited.
2004-05	3.3	171.15	-----do-----	-----do-----	No responsibility was fixed by the Management so far.
2005-06	2.2.15	1.32	-----do-----	-----do-----	Management stated that due to large number of consumers, billing in stipulated time is not possible.
2003-04	3.9	8.22	Irregular waiver of penalty for peak hour violation	Responsibility was required to be fixed in the cases of gross negligence on the part of official and where company sustained loss.	Management's reply and further action were awaited.
	3.13	0.44	-----do-----	-----do-----	-----do-----
	3.18	0.18	-----do-----	-----do-----	No responsibility was fixed by the Management so far.
2004-05	3.10	0.36	-----do-----	-----do-----	Management's reply and further action were awaited.
2003-04	3.14	0.79	Non-levy of penalty for peak hour violation/ non-application of rate for unrestricted supply	Responsibility was required to be fixed on officials for not taking appropriate action.	-----do-----
	3.15	0.47	-----do-----	-----do-----	-----do-----
	3.16	1.24	-----do-----	-----do-----	-----do-----
2004-05	3.13	0.19	-----do-----	-----do-----	-----do-----
1998-99	3A.6.2.1	68.95	Payment of monthly bills in instalments and waiver of late payment surcharge	Responsibility was required to be fixed on official violating the procedures of revenue collection.	Management replied that the instalment payment were allowed to consumers due to bad financial position of the consumers as a result of recession in the industry, after obtaining permission of competent authority/committee. UPPCL was taking action for recovery of balance amount of dues from consumer. Outcome of the action was awaited
2000-01	4A.22	2.80	-----do-----	-----do-----	Management replied that the consumer was an important company of erstwhile KESA, decision taken by KESA had been adopted by the Corporation and recovery was made as per the decision of KESA.
2003-04	3.12	0.27	Short billing due to incorrect application of tariff.	Responsibility was required to be fixed on officials for not ensuring billing on the applicable tariff.	Management's reply and further action were awaited.
2004-05	3.7	1.12	-----do-----	-----do-----	Management's reply and further action were awaited.
2005-06	4.25	0.10	-----do-----	-----do-----	Management stated that bills of differential amount of ₹ 1.12 crore have been issued to the consumer. However, the recovery was awaited.
2006-07	4.15	1.53	-----do-----	-----do-----	Bills were raised by the division but recovery was awaited.
2007-08	3.12	0.11	-----do-----	-----do-----	The Management stated that the bill for difference amount has been raised. The recovery was however awaited.

Year of Audit Report	Paragraph No.	Money Value (` in crore)	Gist of Persistent Irregularities	Actionable points/action to be taken	Details of actions taken
	3.17	0.81	-----do-----	-----do-----	-----do-----
	3.18	0.25	-----do-----	-----do-----	-----do-----
2008-09	4.17	0.12	-----do-----	-----do-----	Management's reply was awaited.
	4.9	7.43	-----do-----	The management was required to strengthen the Internal control system to avoid such lapses in future.	-----do-----
	Total	714.63			
2. U.P. State Sugar Corporation Ltd.					
1999-2000	4A.8	0.51	Improper storage leading to damage of sugar and consequential loss	Remedial action was required to be taken to avoid recurrence of loss due to improper storage.	Management stated that sugar became wet due to unavoidable circumstances and no official was responsible for it.
2000-01	4A.5	0.83	-----do-----	-----do-----	Government/Management explained that Sugar Directorate did not issue release order according to stock and sugar became wet due to excessive carry over of stock for longer period.
2002-03	3.1.6	1.19	-----do-----	-----do-----	Management's reply was awaited
	Total	2.53			

Annexure-34

Statement showing persistent irregularities pertaining to Statutory Corporations appeared in the Reports of the Comptroller & Auditor General of India (Commercial)- Government of Uttar Pradesh

(Referred to in paragraph 3.14.3)

Year of Audit Report	Paragraph No.	Money Value (₹ in crore)	Gist of Persistent Irregularities	Actionable points/action to be taken	Details of actions taken
1. Uttar Pradesh Financial Corporation					
1997-98	3A.7.2.1	2.39	Faulty appraisal of proposal for sanction of loan where units were not viable from beginning leading to loss or non-recovery of the amount of loan.	Responsibility was required to be fixed on officials who appraised the proposal for sanction of loan besides strengthening of appraisal system and procedure.	Corporation could recover ₹ 36.32 lakh only from the Directors of the assisted unit and issued Personal Recovery Certificate (PRC) for recovery of balance amount. Responsibility was not fixed on any official.
	3A.7.2.3	1.66	-----do-----	-----do-----	Corporation could recover ₹ 28.53 lakh only from the Promoters. For recovery of balance amount PRC was issued. Responsibility was not fixed on any official.
1999-2000	4B.2	1.30	-----do-----	-----do-----	Corporation recovered ₹ 11.54 lakh by sale of assets. Corporation issued Recovery Certificate (RC)/ Personal recovery certificate (PRC) for recovery of dues against Directors and guarantors. Responsibility was not fixed on any official.
	4B.7	1.39	-----do-----	-----do-----	Corporation could recover ₹ 25.15 lakh only through sale of assets of assisted unit. PRC have been issued. Responsibility was not fixed on any official
2002-03	3.2.2	11.68	-----do-----	-----do-----	No recovery could be made. RC has been issued.
	3.2.3	7.09	-----do-----	-----do-----	Corporation recovered ₹ 44.13 lakh. PRC has been issued.
	3.2.4	4.85	-----do-----	-----do-----	Corporation approved OTS of ₹ 1.95 crore against which ₹ 1.45 crore had been deposited so far.
2004-05	3.16	5.65	-----do-----	-----do-----	Management's reply and further action were awaited.
1997-98	3A.8.2.1	2.82	Non-observance of pre-disbursement conditions leading to loss due to recovery of loans becoming impossible.	Responsibility was required to be fixed on officials who failed to ensure pre-disbursement conditions besides the strengthening of system and procedure for disbursement of loan.	Corporation could recover ₹ 75 lakh only under One Time Settlement (OTS) decision.
	3A.8.2.2	1.75	-----do-----	-----do-----	Corporation could recover ₹ 74.60 lakh (including ₹ 32.75 lakh against OTS of ₹ 51.10 lakh). Responsibility was not fixed on any official so far.
	3A.8.2.3	1.36	-----do-----	-----do-----	Corporation recovered ₹ 12 lakh through sale of assets. Corporation issued PRC and recovered ₹ 70.50 lakh from one promoter against PRC. Responsibility was not fixed on any official.

Year of Audit Report	Paragraph No.	Money Value (` in crore)	Gist of Persistent Irregularities	Actionable points/action to be taken	Details of actions taken
	3A.8.2.4	2.14	-----do-----	-----do-----	Corporation could not recover the dues. Responsibility was not fixed on any official so far.
2003-04	3.21	2.21	-----do-----	-----do-----	Corporation could not recover the dues and further action was awaited.
2004-05	3.15	13.59	-----do-----	-----do-----	Management's reply and further action were awaited.
1999-2000	4B.6	0.56	Loss due to disbursement of loan on irregular legal documentation/forged documents.	Strengthening of procedure for fool proof verification/ independent checking of documents were required.	Corporation approved OTS of ` 62.74 lakh against which borrower deposited ` 31.30 lakh so far.
2000-01	4B.3	4.44	-----do-----	-----do-----	Corporation could recover only nominal amount from the promoters. PRC has been issued.
	4B.5	0.97	-----do-----	-----do-----	Corporation could recover ` 28.80 lakh only. PRC was issued against promoters and guarantors.
	4B.6	0.62	-----do-----	-----do-----	Corporation could not recover any amount from the promoter. Further action was awaited.
2002-03	3.2.6	4.50	-----do-----	-----do-----	Corporation recovered ` 1.46 crore. RC has been issued. Management did not indicate any remedial action to avoid recurrence of such incidence.
2003-04	3.22	2.06	Loss due to delay in taking over possession of the unit.	Responsibility was required to be fixed on officials for delay in taking over the possession of the unit.	Management's reply and further action were awaited.
2004-05	3.18	10.79	-----do-----	-----do-----	-----do-----
2005-06	4.30	11.64	-----do-----	-----do-----	Possession was not taken to avoid huge security expenses.
	Total	95.46			
2. Uttar Pradesh State Road Transport Corporation					
1997-98	4B.2	0.32	Avoidable payment of damages on belated deposit of EPF.	Timely payment of EPF was required to ensure avoiding incidence of damages on delayed deposits	Management's reply was awaited
1998-99	4B.1	0.19	-----do-----	-----do-----	Management intimated that the amount of damages was adjusted in the wake of stay order of the court.
2000-01	4B.2	0.27	-----do-----	-----do-----	Management informed that a work plan had been prepared for deposit of tax. Further action was awaited
	Total	0.78			

Annexure-35

Statement showing the department-wise outstanding Inspection Reports (IRs)

(Referred to in paragraph 3.14.4)

Sl. No.	Name of Department	No. of PSUs	No. of outstanding IRs	No. of outstanding Paragraphs	Year from which paragraphs outstanding
1.	Agriculture	3	10	56	2004-05
2.	Matsya and Pashudhan	1	5	18	--do--
3.	Sugar Industry and Cane Development	6	31	113	--do--
4.	Irrigation	1	6	36	--do--
5.	Small Industries	1	5	31	--do--
6.	Industrial Development	2	32	143	--do--
7.	Export Promotion	2	10	55	--do--
8.	Hathkargha & Vastra Udyog	3	13	55	--do--
9.	Electronics & IT	4	10	19	--do--
10.	Public Works	2	255	847	--do--
11.	Samaj Kalyan	3	8	23	2006-07
12.	Mahila Kalyan	1	3	8	2006-07
13.	Pichhara Varg Kalyan	1	3	5	2006-07
14.	Home	1	6	26	2004-05
15.	Food and Civil Supplies	2	9	33	2005-06
16.	Tourism	1	3	7	2007-08
17.	Waqf Avam Alpsankhyak	2	7	36	2004-05
18.	Transport	1	57	266	--do--
19.	Co-operative	1	6	36	--do--
20.	Forest	1	8	32	--do--
21.	Energy	10	1291	5717	--do--
22.	Health	1	4	14	2005-06
23.	Housing and Urban Development	2	800	2556	2004-05
24.	Minerals and Mining	1	19	170	2004-05
	Total	53	2601	10302	

Annexure-36

**Statement showing the department-wise draft paragraphs/reviews replies to which were awaited
(Referred to in paragraph 3.14.4)**

Sl. No.	Name of Department	No of draft paragraphs	No of reviews	Period of issue
1.	Minerals and Mining	2	--	March 2010
2.	Urban Development	2 [▼]	--	March and May 2010
3.	Energy	--	1	October 2010
4.	Agriculture	--	1	June 2010
5.	PWD	3 [▼]	--	March, May and August 2010
6.	Irrigation	1	--	April 2010
7.	Hathkargha and Vastra Udyog	1	--	April 2010
	Total	8	2	

▼ One paragraph (3.13) covering two companies and one corporation viz. Uttar Pradesh State Bridge Corporation Limited (Public Works Department), Uttar Pradesh Police Avas Nigam Limited (Home Department) and Uttar Pradesh Jal Nigam (Urban Development Department) respectively was issued to three departments of which reply in respect of two departments (PWD and Urban Development) was awaited. Although this paragraph has been included separately against the respective departments, the para is counted as one paragraph in the total number of paragraphs.