

CHAPTER XII : MINISTRY OF SHIPPING

12.1 Scheme for Inland Water Transport

A Centrally Sponsored Scheme for Development of Inland Water Transport (IWT), was substantially revised in 2002 with provision of financial assistance of 90 to 100 per cent grant-in-aid for various IWT activities – surveys / studies, waterway development, navigation aids, terminal facilities, procurement of vessels for development and regulation etc. During 2003-07, 35 projects were sanctioned in 15 States at a cost of Rs. 105.89 crore, against which funds of Rs. 52.84 crore were released. The scheme was discontinued by the Planning Commission in February 2007. As such, the objective of development of IWT as an eco-friendly, economically viable and fuel-efficient mode of transport remained unachieved.

Audit scrutiny of the records of the Ministry of Shipping revealed that only 3 out of 35 projects were reported to have been completed, while work had not even commenced in respect of 13 projects. Further, field audit of 16 projects in 5 States (Orissa, Himachal Pradesh, Madhya Pradesh, Maharashtra and West Bengal), revealed that many projects were lying incomplete and had not achieved their intended objectives resulting in unfruitful expenditure. In Madhya Pradesh, inland water transport had not been operationalised at Bansagar reservoir project despite construction of jetties and waiting hall whereas at Rani Awanti Bai Sagar reservoir, fewer jetties than sanctioned were constructed, and one jetty was submerged in water. In the third project at Gandhi Sagar reservoir, the jetty and waiting hall were far from the waterline and therefore, could not be used. In Maharashtra, in one project at Godawari river near Vishnupuri, jetties were only partially completed and not in usable shape, and the major portion of expenditure had been diverted and incurred at a religious tourist destination for construction of bathing ghats. Three projects at Isapur Reservoir, Karanja and Janjira Fort were yet to commence, whereas in three projects at Agardanda, Dighi and Rajpuri, there were redundant provisions for existing jetties and approach roads. In another project at Mandwa, funds were used primarily for creation of high class amenities way beyond the requirements of normal passengers, while navigational components were not carried out. In Himachal Pradesh, construction of the two major jetties in the project at Gobind Sagar Lake were yet to commence, while the State Government wrongly reported completion of all the smaller jetties. Further, the passenger sheds were constructed far from the waterline. In West Bengal, in one project in Sunderbans Area, the constructed jetties were unusable, while in another project, there was clear requirement for passenger facilities, which could not be fulfilled due to the closure of the scheme. In Orissa, funds for extending an existing waiting hall at Balugaon were diverted for constructing a new waiting hall with office building.

Scheme for Inland Water Transport

12.1.1 Introduction

Inland Water Transport (IWT) is an eco-friendly, economically viable and fuel efficient mode of transportation. While India has 14,500 km of navigable waters, IWT in India has not developed to its full potential due to several constraints like insufficient water depth of major rivers, non-availability of adequate navigational aids, inadequate terminal facilities etc.

Development of IWT would have numerous direct and indirect benefits such as catalysing industrial growth and economic activities in the hinterland along waterways, shift of cargo transport from other modes of transport, and decongesting road and rail traffic.

Responsibility for development of inland water transport is divided between the Centre and the States, with the Central Government developing national waterways through the Inland Waterways Authority of India (IWAI), while the State Governments are responsible for development of other waterways.

A Centrally Sponsored Scheme of the Government of India in IWT Sector, which had been in existence since the First Five Year Plan, was modified in November 2002 for providing 100 per cent grant-in-aid for projects in the North Eastern States (including Sikkim), and 90 per cent grant-in-aid to other States. The Ministry of Shipping (MoS) was the nodal Ministry for administering the scheme.

In February 2007, the Planning Commission discontinued the scheme as a Centrally Sponsored Scheme but retained it as a Central Sector Scheme only for the North-Eastern States.

12.1.2 Financial Outlays and Expenditure

Under the scheme, 35 projects in respect of 15 States were sanctioned during 2003-07 at a cost of Rs. 105.89 crore and funds of Rs. 52.84 crore released; a complete list of the projects is indicated in **Annex-I**.

12.1.3 Audit Scope and Coverage

Audit of the IWT Scheme, covering the projects sanctioned after the revision in November 2002, was conducted to verify achievement of the stated objectives of the scheme, utilization of funds and compliance with the scheme guidelines.

Records in the Department of Shipping were scrutinized between May and August 2008. In addition, audit also conducted field visits to Orissa, Himachal Pradesh, Madhya Pradesh, Maharashtra and West Bengal between August 2008 and January 2009 to examine the records of State-level agencies and verify field level implementation of 19 projects costing Rs. 80.61 crore (76 per cent of total sanctioned cost) sanctioned during 2003-07.

Audit gratefully acknowledges the assistance and co-operation of the Ministry of Shipping, GoI as well as the State Governments during the conduct of the audit.

12.1.4 Audit Findings

12.1.4.1 Project Execution

State wise sanction of projects alongwith expenditure thereof is summarized in the table below:

Table-1 : IWT Projects sanctioned

(Rupees in lakh)

S. No.	State	Number of Projects in the State	Sanctioned Cost	Central Share released	State Share released	Total Expenditure reported
1.	Assam	4	1482.56	1085.81	0.00	840.20
2.	Andhra Pradesh	1	75.00	67.50	3.75	52.50
3.	Bihar	4	115.00	21.00	11.50	11.50
4.	Goa	1	109.00	59.18	0.00	0.00
5.	Himachal Pradesh	1	117.40	105.66	11.74	43.07
6.	Karnataka	1	56.70	51.03	5.67	56.70
7.	Kerala	1	362.22	65.30	0.00	0.00
8.	Maharashtra	8	2983.48	1037.81	1945.68	568.01
9.	Madhya Pradesh	3	861.74	213.49	626.52	804.69
10.	Manipur	1	280.33	178.15	0.00	0.00
11.	Nagaland	1	75.60	15.12	0.00	15.12
12.	Orissa	2	214.70	50.07	5.11	51.10
13.	Tripura	1	46.60	9.32	0.00	0.00
14.	Uttar Pradesh	1	10.00	2.00	0.00	0.00
15.	West Bengal	5	3798.51	2322.20	255.42	2372.79
	Total	35	10588.84	5283.64	2865.39	4815.68

Of the 35 projects sanctioned during 2003-07, one project was foreclosed and the status of remaining 34 projects was as follows:

- The full central share of sanctioned amount was released by MoS in respect of only seven projects. Out of these seven projects, only three projects were reported to have been completed as of May 2008.
- 13 projects (37.14 per cent) in seven States, in respect of which Rs. 7.41 crore (14 per cent) of funds had been released between March 2004 and March 2007, had not even commenced (March 2009);
- The remaining 18 projects, for which Rs 41.81 crore had been released between March 2004 and March 2007, were still incomplete;

Project-wise details are given in **Annex-II**.

12.1.4.2 Physical progress of works

Audit observed that the physical achievements against the targets, as shown in Annex **II-B**, were way behind schedule in all the projects, and MoS did not take effective action to get the work on the projects expedited. All the 35 projects sanctioned were targeted to be completed by March 2008. Physical progress in respect of these projects was as under:

Table-2

Number of projects to be completed by 2007-08	35
Projects with physical progress less than 25 percent	14
Projects with physical progress between 25 to 50 percent	06
Projects with physical progress between 50 to 75 percent	03
Projects with physical progress between 75 to 100 percent	05
Projects where progress not reported or percentage progress not available	07

12.1.4.3 Projects not achieving intended objectives

Field visits by audit team to Madhya Pradesh, Maharashtra, Himachal Pradesh, West Bengal and Orissa revealed that many projects had not achieved their intended objectives and there were several cases of unfruitful expenditure, as described below.

(i) Madhya Pradesh

Three projects on development of IWT in Indira Sagar and Rani Awanti Bai Sagar reservoirs, Bansagar reservoir, and Gandhi Sagar reservoir were sanctioned at a total cost of Rs. 8.62 crore. Against the Central share of Rs. 7.76 crore, Rs. 2.13 crore of funds was released between March 2005 and October 2006. All the three projects were covered in field audit, and the findings are as follows:

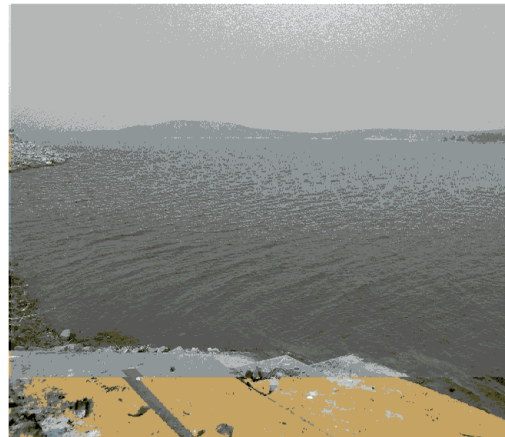
Development of IWT in Sone River at Bansagar Reservoir

The project was sanctioned in March 2006 for infrastructure development and facilitation for passengers and cargo. It was envisaged that over 36 lakh passengers would use IWT annually. The project had a sanctioned cost of Rs. 4.15 crore (Central share – Rs. 3.74 crore) and was to be completed by March 2007.

Field visit by audit revealed that the planned Jetty, Booking Office and Waiting Hall at New Sapta had been constructed. However, IWT had not been operationalised, as the agency responsible for regulation of the transportation system had not been decided in the absence of guidelines from the Central and State Government. The expenditure of Rs. 3.67 crore (including Central share of Rs. 0.83 crore released in March 2006) incurred on the project and the facilities created remained unproductive as of November 2008.



Waiting Hall at New Sapta not yet operational



Jetty at Sariya (nearest to Bansagar Dam) with tourism potential awaiting arrival of boats

Development of intra reservoir transport in Rani Awanti Bai Sagar and Indira Sagar reservoirs

- Against the sanctioned eight jetties, only six jetties were constructed. Jetties were not constructed at Bargi and Payalee. In response to an audit

query, the implementing agency Narmada Valley Development Authority (NVDA) intimated that more funds were required for taking up the remaining work.

- Two jetties (Rs. 10.80 lakh) as well as components relating to on hydrographic, construction and traffic surveys, navigational aids, signaling system, GPS, computers (Rs. 9.00 lakh) had not been taken up by the State Government. However, the central share of funding of Rs. 19.80 lakh in respect of these works was not refunded to the centre.



Jetty at Papri Kalan submerged in water

One jetty at Papri Kalan, which had a sanctioned cost of Rs. 6.00 lakh but was awarded at Rs. 7.59 lakh, had been constructed in 2006-07 was found completely submerged in water during the audit team's field visit in November 2008. This indicated lack of proper planning, study and survey before selection of the site and deciding the level and design of the jetty.

In response (September 2009), the implementing agency (Narmada Valley Development Authority, Government of Madhya Pradesh) stated that due to escalation in prices as well as inadequacy of funds, Rs. 19.80 lakh was utilized in the construction of six jetties taken up. They also stated that due to unavoidable reasons some part of jetty would always be under submergence. However, the reply is not tenable since as per the sanction of MoS, escalation was to be borne by the State Government from its own resources. Further, the jetties were completely submerged, and were not in usable condition.

Development of IWT in Chambal River at Gandhi Sagar Reservoir

The jetty constructed at Rampura, which had a sanctioned cost of Rs. 0.21 crore, was not serving any purpose, as there was no water within a range of two kilometres from the jetty. The waiting hall and booking office constructed



Rampura Jetty far way from water



Deserted Waiting Hall and Booking Office at Rampura

in March 2008, were also deserted, as the actual boarding point was far away. Audit further observed (November 2008) that commuters were using a temporary shed of dried leaves as the waiting hall. There was no maintenance of the assets created at Rampura – notably the approach road, the booking office and the waiting hall.

(ii) Maharashtra

In Maharashtra, eight projects were sanctioned with a cost of Rs. 29.83 crore (Central share Rs. 26.85 crore) against which Central funds of Rs. 10.38 crore were released during March 2004 to March 2006. Audit findings in respect of these eight projects are discussed below:

Development of IWT in Godavari River near Vishnupuri

The project, which had a sanctioned cost of Rs. 2.72 crore, was intended to provide basic infrastructure facilities at various sites near the Vishnupuri Lift Irrigation Project in Nanded. As of October 2008, expenditure of Rs. 3.25 crore (including Central share release of Rs. 2.07 crore) had been incurred. However, field audit revealed that:

- While six jetties had been completed by November 2008 and the remaining four sanctioned jetties were nearing completion, the boats and ferries had not been made operational. In response, the executing agency

stated (December 2008) that the use of the jetties would be commenced soon.

- Even the completed jetties were not in usable shape, as finishing work was still to be done, and the approach road/ path had not been constructed/ strengthened. In response to an audit query, the Executive Engineer, Nanded Irrigation Division, Nanded, replied that the above work was not executed because of the instructions from IWAI, the Maharashtra Maritime Board (MMB), and the Executive Committee for Implementation of IWT. However, audit scrutiny revealed that this correspondence predated the sanction of the project, and the scope of the project included strengthening of approach roads for jetties at ten locations.



Jetty at Gangabet not in operation



Lack of approach road for jetty at Mohanpur



No approach road for jetty at Markand



Jetty at Markand stated to be completed, but needing finishing, railing and steps

- None of the jetties, except at Kaleshwar, was completed in all respects. Further, the major portion of the expenditure had been incurred at Kaleshwar (a religious tourist destination) for construction of a complex of bathing ghats etc., which did not relate to IWT scheme.



Proposed Jetty at Rahati – work started and stated to be nearing completion, but evidently not in progress



Complex of bathing ghats at Kaleshwar – diversion of IWT scheme funds

- Sanctioned components relating to strengthening of approach roads, navigational aids, street lighting, fencing of facilities and various studies and investigations were apparently not intended to be taken up, as these were not included in the composite tender for works.

Development of IWT in Penganga River at Isapur Reservoir, Taluka Pusad

An amount of Rs. 78.00 lakh had been released as Central share in March 2006. However, Government of Maharashtra released Rs. 3.90 crore to the nodal agency, MMB, between January and March 2008, which was lying unutilized as of November 2008, although the executing agency¹ was ready to carry out the execution of the work. Field audit revealed that, in contrast to the situation at Vishnupuri, boats and ferries were operational, but no jetties or waiting halls had been constructed.



Boats operational at Warkhed without a jetty

¹ Executive Engineer, Upper Penganga Project Division No. 1, Nanded

Development of IWT at Agardanda, Dighi and Rajpuri

Three projects for construction of new jetties at Agardanda, Dighi and Rajpuri in Raigarh District were sanctioned by MoS during 2003-05 at a cost of Rs. 3.62 crore. However, field audit revealed that:

- Jetties already existed at all three sites; construction at Agardanda and Dighi had not commenced, while construction at Rajpuri was rescinded after incurring an expenditure of Rs. 0.31 crore till November 2008.
- Approach roads existed at Agardanda and Dighi, which were re-sanctioned in March 2006 and March 2005 respectively. While the work at Agardanda was not taken up, expenditure of Rs. 0.67 crore (against the sanctioned cost of Rs. 0.53 crore) had been incurred on the new approach road at Dighi, which was still incomplete as of December 2008 though the project was stipulated to be completed by March 2007.
- While the existing waiting shed at Rajpuri had been dismantled, the work on construction of the new waiting shed was rescinded.



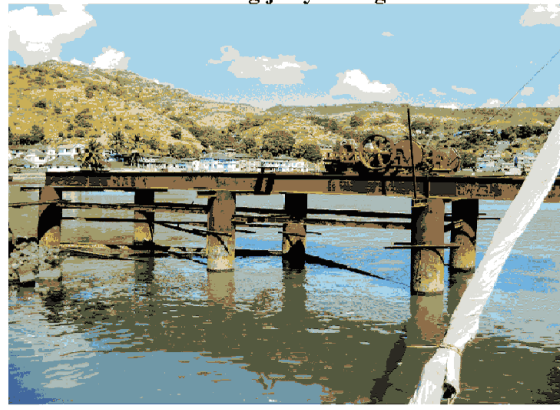
Existing jetty at Agardanda



Existing jetty at Dighi



Existing jetty at Rajpuri



Construction of new jetty at Rajpuri, since rescinded



New approach road at Dighi – still in progress



Existing Waiting Shed at Rajpuri dismantled

Development of IWT at Karanja and Janjira Fort

Two projects had been sanctioned at Karanja and Janjira Fort in March 2004 at a cost of Rs. 6.06 crore, and Central funds of Rs. 2.27 crore were released between March 2004 and March 2005. However, the projects had not been taken up as of December 2008.

Development of IWT from South Mumbai to Mandwa

In March 2004, MoS sanctioned a project for development of IWT from South Mumbai to Mandwa for Rs. 4.11 crore, involving Central share of Rs. 3.70 crore. The project covered several components - provision of passenger amenities; external water supply pipeline; dredging; break water of 150 meters; firefighting and life saving appliances; hydrographic survey; and studies/ investigations.

Subsequently, MoS released Rs. 2.04 crore between March 2004 and March 2005 to the State Government. The nodal agency, MMB, drew the full sanctioned amount of Rs. 4.11 crore in phases by October 2007. Field audit, however, revealed that:

- Out of the total sanctioned cost of Rs.4.11 crore, MMB incurred expenditure of Rs. 1.64 crore (including consultancy charges of Rs. 0.32 crore) covering only two components – provision of passenger amenities, and external water supply pipeline. The other components had not been taken up.
- Ministry sanctioned Rs. 0.27 crore, for passenger amenities (passenger shed, cafeteria, ticket counter, toilet, water supply) and external water

supply pipeline. As against this, MMB incurred an expenditure of Rs. 1.32 crore which included construction of unusually high class amenities (first class and VIP waiting area after booking, open to sky sit out, restaurant with kitchen and service to kitchen road in place of cafeteria). Further, an office for MMB was also constructed, which is outside the scope of IWT scheme.



Office for MMB in Mandwa Terminus Building constructed out of IWT funds



First Class and VIP Waiting Hall at Mandwa

Thus funds provided were used primarily for creation of amenities way beyond the requirement of the normal IWT passengers. Further, unusually high consultancy charges of Rs. 32.00 lakh, even more than the MoS sanction of Rs. 27.00 lakh for passenger amenities, were incurred for consultancy charges. On the other hand, navigational components like dredging, break water, hydrographic survey, studies and investigations were not carried out. Thus as against the sanctioned amount of Rs. 0.27 crore for creation of passenger amenities and external water supply pipeline, Rs. 1.64 crore (including consultancy charges) had been reportedly incurred as of December 2008. The balance amount of Rs. 1.77 crore needed to be refunded to GoI.

Diversion of funds for consultancy

The IWT scheme provides for grants-in-aid to the State Government for supervision and consultancy. The consultancy component was not included in any of the eight projects sanctioned for Maharashtra. Nevertheless, the nodal agency, MMB, unauthorizedly paid Rs. 1.12 crore for the consultancy component for these eight projects.

(iii) **Himachal Pradesh**

One project for development of IWT for transit facilities at Gobind Sagar Lake was sanctioned in March 2005 at a cost of Rs. 1.17 crore, with central share of Rs. 1.06 crore which was released by February 2006. Under the project, two jetties at Luhnu and Jeoripattan, and nine small jetties and passenger sheds were to be constructed.

Field audit revealed that:

- The construction of the jetties at the two most important sites at Luhnu and Jeoripattan had not commenced as of October 2008, though the foundation stone for the Jeoripattan jetty had been laid in September 2005.



Jetty at Luhnu not constructed



Jetty at Jeoripattan not constructed

- In response to an audit query by the Pr. Accountant General (Audit), Himachal Pradesh, in August 2007, regarding non-completion of project, the State Government intimated (January 2008) that all nine small jetties had been completed. However, field visit in October 2008 to Nakranaghat and Challelaghat confirmed that jetties at these two sites had not been constructed. In the same context, the State Government reported expenditure of Rs. 0.67 crore as of January 2008 on the project, while the expenditure verified during field audit in October 2008 was only Rs. 0.43 crore². Such incorrect reporting by officials of the State Government must be investigated.

² Inclusive of Rs. 9.77 lakh drawn by the Directorate of Transport for consultancy charges and contingencies

- While passenger sheds at both Nakranaghat and Challelaghat had been constructed, terminal approaches from the passenger sheds had not been constructed. As such, the waiting sheds were, in effect, not usable.



Small jetty at Nakranaghat not constructed



Small jetty at Challelaghat not constructed



Terminal approach at Challelaghat not constructed



Approach to Passenger Shed at Nakranaghat not constructed

(iv) West Bengal

Five projects were sanctioned for West Bengal at a total cost of Rs. 38.83 crore, with Central share of Rs. 34.19 crore; Central funds of Rs. 23.22 crore were released in phases upto March 2007. Out of five, two projects were covered in field audit.

Construction of 22 RCC Jetties in Sunderban Area

In March 2006, MoS sanctioned a project for construction of 22 RCC jetties in the Sunderbans area at a total cost of Rs. 4.07 crore, with Central share of Rs. 3.66 crore, and released Rs. 0.81 crore as the first installment. The Government of West Bengal took up construction of only 18 jetties, as two jetties had already been completed under other programmes, and two other

jetties could not be taken up due to unsuitable site conditions. MoS did not, however, readjust the sanctioned cost in the light of this reduction.

While Audit was informed (January 2009) that 10 out of 18 jetties had been completed, field audit revealed that the approach roads and protective work were yet to be constructed, rendering the jetties effectively unusable. According to the State Government, construction of approach roads could not be taken up due to non-availability of Central funds.



Jetty at Satjelia without approach road



Jetty at Hogalduri without approach road



Commuters at Hogalduri not using the newly constructed jetty

Construction of jetties between Tribeni and Farakka

In 2003-04, MoS sanctioned a project for construction of 57 jetties on River Hooghly – Bhagirathi between Tribeni and Farakka at a cost of Rs. 22.62 crore with a Central share of Rs. 20.35 crore. The project was to be completed by August 2008. Upto March 2007 (when the scheme was closed), MoS had released Rs. 14.68 crore for the project as Central share.

As of January 2009, of the 57 jetties sanctioned, only 11 jetties were stated to have been completed, while in respect of 13 jetties, gangway and pontoon work was complete but link platforms were yet to be constructed.

(v) **Orissa**

Two projects on 'Development of IWT Sector for upgradation of existing facilities' and 'Preparation of DPR on waterways and IWT development' were sanctioned for Orissa, with a total sanctioned cost of Rs. 2.15 crore and release of GoI funds of Rs. 0.50 crore.

Development of IWT Sector for Upgradation of Existing Facilities

The project on 'Development of IWT Sector for upgradation of existing facilities', for which GoI funds of Rs. 0.41 crore were released, was foreclosed after work on only one out of 15 components was undertaken, as the State Government found it difficult to execute the project at the sanctioned cost. Audit scrutiny, however, revealed that IWAI, while recommending the project for sanction, did not comment on the reliability or firmness of the cost estimates.

One component of the project at Balugaon was the extension of waiting hall for which Rs. 4.20 lakh were sanctioned. Field audit revealed that instead of extending the existing waiting hall, a new office building (with rooms for officers, staff and stores and a very small portion as waiting hall) was irregularly constructed at a cost of Rs. 28.00 lakh. The Director, Ports and Inland Water Transport, Bhubaneswar in his reply of August 2009 stated that the construction of the waiting hall was made within the scope of work sanctioned by the State Government. The reply is not acceptable, as the construction office building was outside the scope of the project sanctioned by MoS.

12.1.4.4 Financial Management

(i) **Releases**

As per the Scheme guidelines, funds were to be released in the manner:

- An advance upto a maximum of 20 per cent of the project could be given to the State Government by GoI. The first installment would be released only on submission of a detailed action programme by the State Government.
- Release of remaining funds would be decided on a case-to-case-basis, based on the Detailed Project Report (DPR), proposed phasing of expenditure, satisfactory progress reports for the preceding quarter/ period etc.

However, audit scrutiny revealed several instances of release of excess funds by MoS, without properly considering earlier releases of funds and State Government proposals for further expenditure, state-wise details of excess releases noticed in audit are given below.

Table-3

<i>(Rs. in crore)</i>		
State	Month & Year of Release	Amount of Excess Release
Andhra Pradesh	February 2007	0.20
Maharashtra	March 2005	4.73
Assam	March 2007	0.85
Manipur	March 2007	1.22
Himachal Pradesh	February 2006	0.86

Case-wise details of the excess releases are discussed in **Annex-III**.

Audit scrutiny further revealed that:

- Rs. 6.22 crore of Central share released by MoS were lying unutilized with State Governments and their implementing agencies for periods ranging from 10 to 51 months (Bihar – 51 months, Kerala – 39 months, Uttar Pradesh – 28 months, Assam – 28 months, Tripura – 27 months, etc.). There were delays in release of the Central Share by the State Governments to the implementing agencies.
- There was a shortfall in contribution of the share of State Governments of Rs. 1.32 crore (West Bengal - Rs. 63.34 lakh (16.31 *per cent*), Kerala Rs. 36.22 lakh (100 *per cent*), Orissa Rs. 16.36 lakh (80.04 *per cent*), Goa Rs. 10.90 lakh (100 *per cent*), etc.).
- Contrary to the provisions of the GFR for avoiding release of funds towards the end of the year, the sanctions issued by MoS in the month of March of Rs. 37.89 crore constituted 72 per cent of the total released amount of Rs. 52.84 crore during 2003-04 to 2006-07.

Project-wise details are discussed in **Annex-IV**.

(ii) Non-Receipt of UCs and SOEs

Audit scrutiny revealed that against the amount of Rs. 52.84 crore released upto March 2007, Utilisation Certificates (UCs) for only Rs. 30.24 crore had been received by MoS as of May 2008, as detailed in **Annex-II**.

Further, while the project sanctions stipulated submission of audited Statements of Expenditure (SOEs) along with the UCs, such SOEs were not received for any project.

(iii) Cases of Deficient Financial Management

Audit scrutiny revealed the following cases of deficient financial management:

- The Government of West Bengal had not refunded excess release of Central share of Rs. 0.41 crore on account of reduction in the scope of a project for construction of five jetties between Haldia and Triveni.
- There were no instructions from MoS relating to utilization of interest earned on unspent balances of Central release funds parked in various accounts.

Case-wise details are given in **Annex-V**.

(iv) Spillover Liabilities

Consequent on the discontinuation of the scheme in March 2007, the total spillover liabilities on account of unreleased Central share for already sanctioned projects amounted to Rs. 44.35 crore. The modalities for meeting these balance liabilities had not been finally worked out by MoS in conjunction with the Ministry of Finance.

12.1.4.5 Lack of Monitoring and Review

The State Governments were to send detailed Quarterly Progress Reports (QPRs) to the MoS in a prescribed format, indicating the item-wise financial and physical targets and achievements during the year. Audit scrutiny revealed that against the stipulated 454 QPRs in respect of 35 projects for the period April 2004 to June 2008 required to be received, only 62 QPRs were actually received.

As per the guidelines, IWAI was to assist MoS in monitoring progress and would recommend to GoI when the releases of the next installment should be made to State Government. However, IWAI did not fulfill its assigned role properly and merely forwarded requests of the State Governments to GoI without proper scrutiny. In the case of Assam, the MoS had already released Rs. 3.25 crore (March 2007) out of total central share of Rs. 3.52 crore, and the maximum central share remaining to be released was Rs. 0.27 crore, IWAI forwarded the request of the Government of Assam for further release of Rs. 1.12 crore, for consideration.

The matter was referred to the Ministry in March 2009; their reply was awaited as of March 2010.

12.2 Unfruitful expenditure on computerization in the Chartering Wing

Based on the report of the Expenditure Reforms Commission computerization of the operations of the Chartering Wing, with the objective of full automation of work processes and consequent reduction in staff strength, was approved in March 2003. However, despite expenditure of Rs. 20.00 lakh, the envisaged benefits in terms of full automation of the Chartering Wing could not be achieved. Further, savings of Rs. 62.25 lakh for the period from June 2005 to May 2009 on account of reduction in staff strength could also not be achieved.

The Chartering Wing (CW) of the Ministry of Shipping (MoS) is responsible for making arrangements for the transportation of cargo owned/ controlled by the government/ government-owned entities as per the policy of buying FOB/ FAS and selling CAF/ CIF³.

In its ninth report, the Expenditure Reforms Commission observed in September 2001 that there was considerable scope for the computerisation of the operations of the wing, and this could result in a reduction in the staff strength as this exercise was to be carried out in six months. These recommendations were accepted by the Ministry and in July 2002, the Indian Port Association (IPA), a Society of Major Port Trusts, was asked to commence a detailed study for computerization of CW. Subsequently in March 2003, a proposal of the IPA for computerization of CW at a cost of Rs. 47.90 lakh was approved, and an advance of Rs. 20.00 lakh was paid to IPA. The objective of the project was to fully automate work processes at CW for both bulk and liner cargo, including capturing of letters of indent, capturing of enquiry details and preparation of enquiry registers, and preparing of fixture registers and vessel monitoring. However, voyage estimation, laytime calculation, etc was required to be done using separate application software to be purchased by MoS. As decided in May 2003, the project was envisaged to be completed within 15 months – 3 months for pre-operative/ preparatory work and 12 months for installation/ implementation.

Since IPA lacked in-house capability for software development, a contract for software development at a cost of Rs. 26.85 lakh was awarded to M/s Birlasoft Ltd. in February 2004. The hardware and system software for the project was

³ FOB: Free on Board; FAS: Free Along Side; CAF: Cost and Freight; CIF: Cost Insured Freight

procured by IPA between February 2005 and May 2005 at a cost of Rs. 10.21 lakh.

Audit scrutiny, however, revealed that the computerization project had not been implemented as of May 2009. The hardware procured by the Ministry was lying idle and unused, and the software developed by Birlasoft had not been utilized, for the following reasons:

- Birlasoft supplied a detailed Software Requirement Specifications (SRS) and a prototype Software Architecture and Design Document in July 2004. While MoS accepted these documents, subject to some additions/ alterations, in August 2004, it stated that since none of the officers of CW had any formal training in computers, some of the screens might require slight modifications to meet specific/ unique requirements, and the requisite flexibility for incorporation of minor changes/ additions.
- Based on the accepted SRS and prototype, the software application was developed by Birlasoft, and the Preliminary Acceptance Test and User Acceptance Test conducted during January 2005. The hardware for the project was delivered and installed by NICSI⁴ in May 2005. Training to all users of CW was completed by June 2005, while certain modifications and additions requested by CW in June 2005 were also carried out. By September 2005, Birlasoft informed IPA that they had completed all activities, and had also installed the application on the production server. Accordingly, IPA requested CW in October, December 2005 and May 2006 to issue a 'Final Acceptance Test' certificate; however, there was no recorded confirmation as to whether the same was ever issued.
- While facilitation support was provided by Birlasoft from August 2005 to January 2006 at a cost of Rs. 3.00 lakh, Birlasoft insisted that the SRS was the base accepted document, and any major changes/ new requirements could not be accepted at this stage; however, changes/ suggestions in the screens could be taken up during the warranty period of three years. The MoS continued to try to get amendments to the software, and also held/ attempted to hold meetings with IPA and the contractor between November 2007 and May 2008, which were, however, not successful.

⁴ NICSI: National Informatics Centre Services Inc – a Section 25 Company set up under NIC (National Informatics Centre) for providing IT solutions to Government organizations.

As of May 2009, despite an expenditure of Rs. 20.00 lakh (hardware and system software, software development by Birlasoft, facilitation support by Birlasoft, etc.), the computerization project had not been successfully implemented, and the envisaged benefits of full automation of CW could not be achieved. In addition, the MoS (through IPA) would also be responsible for balance liability to the contractor for software development. Further, the envisaged savings of Rs. 62.25 lakh for the period from June 2005 to May 2009 though abolition of posts could also not be achieved.

The matter was referred to the Ministry in November 2008; their reply was awaited as of March 2010.

Annex - I

(Referred to in paragraph No. 12.1.2)

Statement showing the spillover liability against the projects sanctioned under IWT scheme during 2003-04, 2004-05, 2005-06 & 2006-07

Sl. No.	State	Name of Project	Sanctioned Cost	Central Govt. share (100% for NE States & 90% for other states)	Total fund released up to 31.03.07	Spillover liability
1	2	3	4	5	6	7 (=5-6)
1.	Assam	1. Terminal facilities at South bank of river Brahmaputra.(2003-04)	351.68	351.68	325.00	26.68
		2. Terminal facilities at North bank of river Brahmaputra.(2003-04) *	260.40	260.40	260.40	0.00
		3. Development of 11 floating Jetties at major ghat banks on river Brahmaputra.(2004-05)	420.40	420.40	410.40	10.00
		4.Construction of 20 nos. of 17 m long floating terminal at 20 major ghat on river Brahmaputra.(2006-07)	450.08	450.08	90.01	360.07
		SUB-TOTAL	1482.56		1085.81	396.75
2.	Andhra Pradesh	1. Survey and techno economic feasibility study for development of Inland Waterways in Andhra Pradesh.(2005-06) *	75.00	67.50	67.50	0.00
		SUB-TOTAL	75.00		67.50	0.00
3.	Bihar	1. DPR for river front development at Patna aimed at IWT base tourism.(2003-04)	25.00	22.50	5.00	17.50
		2. Collection of hydromorphological data and preparation of DPR for development of IWT facilities in river Gandak.(2003-04)	30.00	27.00	5.00	22.00
		3. Collection of hydromorphological data and preparation of DPR for development of IWT facilities in river Kosi.(2003-04)	30.00	27.00	6.00	21.00
		4. Collection of hydromorphological data and preparation of DPR for development of IWT facilities in river Sone.(2003-04)	30.00	27.00	5.00	22.00
		SUB-TOTAL	115.00		21.00	82.50
4.	Goa	1. Conducting fresh Hydrographic Survey in the Inland Waterways of Goa at Mapusa, Chapora and Sal rivers.(2005-06)	109.00	98.10	59.18	38.93
		SUB-TOTAL	109.00		59.18	38.93
5.	Himachal Pradesh	1. Development of Inland water transport for transit facilities at Govind Sagar lake.(2004-05) *	117.40	105.66	105.66	0.00
		SUB-TOTAL	117.40		105.66	0.00
6.	Karnataka	1. Techno-economic feasibility Study/ Survey for development of IWT in Karnataka.(2003-04) *	56.70	51.03	51.03	0.00
		SUB-TOTAL	56.70		51.03	0.00
7.	Kerala	1. Revival of Veli-Kovlam stretch of ITS canal. (2005-06)	362.22	326.00	65.30	260.70
		SUB-TOTAL	362.22		65.30	260.70

Sl. No.	State	Name of Project	Sanctioned Cost	Central Govt. share (100% for NE States & 90% for other states)	Total fund released up to 31.03.07	Spillover liability
8.	Maharashtra	1. Project Proposal for development of IWT in Godawari river near Vishnupuri. (2003-04)	272.21	244.99	206.77	38.22
		2. Project proposal on development of IWT from South Mumbai to Amba river/ Dharmatar Creek & vice versa at Karanja.(2003-04)	481.54	433.39	174.73	258.66
		3. Project proposal on development of IWT from South Mumbai to Mandwa (Amba river/ Dharmatar Creek & vice versa at Mandwa.(2003-04)	410.95	369.86	204.45	165.41
		4. Project proposal on development of Inland Waterways in Mhasla/ Mandad River (Rajpuri Creek) at Rajpuri.(2003-04)	469.45	422.51	171.00	251.51
		5. Project proposal for development of Inland Waterways in Mhasla/Mandad river(Rajpuri Creek) near Janjira Fort in Maharashtra.(2003-04)	124.60	112.14	51.96	60.18
		6. Development of Inland Waterways in Mhasla/ Mandad River (Rajpuri Creek) at Dighi.(2004-05)	499.39	449.45	83.83	365.62
		7. Development of IWT in Penganga river at Isapur reservoir Taluka - Pused.(2005-06)	390.00	351.00	78.00	273.00
		8. Development of Inland Waterways in Mhasla/ Mandad river in Rajpuri creek at Agardanda(2005-06)	335.34	301.81	67.07	234.74
		SUB-TOTAL	2983.48		1037.81	1647.32
9.	Madhya Pradesh	1. Development of Intra reservoir transport in Indira sagar and Rami Awanti bai sagar reservoirs in Mandhya Pradesh.(2004-05)	59.74	53.76	53.76	0.00
		2. Development of IWT in Sone river at Bansagar reservoir(2005-06)	415.00	373.50	83.00	290.50
		3. Development of Inland Water Transport in Chambal river at Gandhi Sagar reservoir.(2005-06)	387.00	348.30	76.73	271.57
		SUB-TOTAL	861.74		213.49	562.07
10.	Manipur	1. Proposal of Loktak Lake Inland Water Transport project.(2006-07)	280.33	280.33	178.15	102.18
		SUB-TOTAL	280.33		178.15	102.18
11.	Nagaland	1. Hydrographic Survey and Techno-Economic Feasibility Study in river Tizu to promote Indo- Myanmar trade(2006-07)	75.60	75.60	15.12	60.48
		SUB-TOTAL	75.60		15.12	60.48
		1. Development of IWT sector in the state of Orissa for upgradation of existing facilities.(2003-04)	204.40	183.96	40.80	143.16
12.	Orissa	2. Preparation of DPR on waterways development and future development of Iwt sector in the state of Orissa.(2003-04) *	10.30	9.27	9.27	0.00
		SUB-TOTAL	214.70		50.07	143.16
13.	Tripura	1. Development of ferry service in dumber water area(2005-06)	46.60	46.60	9.32	37.28
		SUB-TOTAL	46.60		9.32	37.28

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Sl. No.	State	Name of Project	Sanctioned Cost	Central Govt. share (100% for NE States & 90% for other states)	Total fund released up to 31.03.07	Spillover liability
14.	Uttar Pradesh	1. Preparation of Detailed Project Report for introduction of IWT on river Gomti in Lucknow city from Gaughat Pumping station to Gomati Nagar Barrage.(2005-06)	10.00	9.00	2.00	7.00
		SUB-TOTAL	10.00		2.00	7.00
15.	West Bengal	1. Construction of Gangway Pontoon type floating jetties 53 nos. and R. C. C. Slipway jetty - 4 nos on NW-1 between Tribeni and Farakka.(2003-04)	2261.50	2035.35	1467.53	567.83
		2. Construction of 4 Gangway Pontoon type jetties and 1 RCC jetty at Dakshineswar, Sheorafuli, Manirampur, Santoshpur (AKRA) and Nandigram between Haldia and Triveni.(2004-05)Original sanctioned cost was Rs. 372.82 lakh.State Govt. dropped the const. Of jetty at Nandigram so the project cost got reduced to Rs. 288.01 lakh. *	288.01	259.21	300.51	-41.30
		3. Construction of 2 Gangway Cum Pontoon Jetties at Nebukhali and Dulduli on river Sahibkhali in Sundarban.(2004-05)	460.00	414.00	404.00	10.00
		4. Construction of 22 RCC jetties in Sunderban area.(2005-06)	407.00	366.30	81.40	284.90
		5. Construction of two gangway cum pontoon jetty at Harwood point and Kachuberia(2006-07)	382.00	343.80	68.76	275.04
		SUB-TOTAL	3798.51		2322.20	1096.46
		GRAND TOTAL	10588.84		5283.64	4434.83

No. of states – 15 Cost of sanctioned projects – Rs. 10588.84 lakh Funds released up to 31.03.07 – Rs. 5283.64 lakh

* Projects for which entire central share released by MoS

Annex - II⁵
(Referred to in paragraph No. 12.1.4.1)
Status of the projects as of May 2008⁶

Sl. No.	Name of the Project and year of sanction	Amount and date of sanction	Central share released	Stipulated month of completion of project	Expenditure reported	UCs received		UCs outstanding	Physical Status of the project
						Amount	Upto		
Assam									
1	1. Terminal facilities at South bank of river Brahmaputra.(2003-04)	351.68 19.03.2004	325.00	03/06	240.00	240.00	30.11.07	85.00	Work in progress
2	2. Terminal facilities at North bank of river Brahmaputra.(2003-04)	260.40 19.03.2004	260.40	03/06	255.50 (Upto 02/07)	255.50	30.11.06	4.90	Project stated to be completed
3	3. Development of 11 floating Jetties at major ghat banks on river Brahmaputra.(2004-05)	420.40 31.03.2005	410.40	03/07	344.70 (Upto 07/07)	210.00	10/2006	200.40	Work in progress
4*	4. Construction of 20 nos. of 17 m long floating terminal at 20 major ghat on river Brahmaputra.(2006-07)	450.08 26.03.2007	90.01	03/08	Nil	Nil	-	90.01	No progress
	Total	1482.56	1085.81		840.20			380.31	
Andhra Pradesh									
5	1. Survey and techno economic feasibility study for development of Inland Waterways in Andhra Pradesh.(2005-06)	75.00 16.03.06	67.50	03/07	52.50 (Upto 04/08)	48.75	09/2007	18.75	Physical progress in quantitative terms not furnished. Various projection, planning and submission of draft report still pending as of April 2008.
	Total	75.00	67.50	52.50				18.75	
Bihar									
6*	1. Collection of hydromorphological data and preparation of DPR for development of IWT facilities in river Gandak.(2003-04)	30.00 19.03.04	5.00	03/06	3.00	Nil	-	5.00	Nil

⁵ Annex compiled from the records of MoS and information provided by State Government, Implementing / executing agency to Audit

⁶ Status till May 2008 except where specifically mentioned

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Sl. No.	Name of the Project and year of sanction	Amount and date of sanction	Central share released	Stipulated month of completion of project	Expenditure reported	UCs received		UCs outstanding	Physical Status of the project
						Amount	Upto		
7*	2. Collection of hydromorphological data and preparation of DPR for development of IWT facilities in river Kosi.(2003-04)	30.00 19.03.04	6.00	03/06	3.00	Nil	-	6.00	Nil
8*	3. Collection of hydromorphological data and preparation of DPR for development of IWT facilities in river Sone.(2003-04)	30.00 19.03.04	5.00	03/06	3.00	Nil	-	5.00	The consultant stated to have collected data but field work not started till 12/2007.
9*	4. DPR for river front development at Patna aimed at IWT base tourism.(2003-04)	25.00 19.03.04	5.00	03/06	2.5	Nil	-	5.00	Nil
	Total	115.00	21.00		11.50			21.00	
	Goa								
10	Conducting fresh Hydrographic Survey in the Inland Waterways of Goa at Mapusa, Chapora and Sal rivers.(2005-06)	109.00 24.10.2005	59.18	03/07	NA	Nil	-	59.18	Progress Report not received
	Total	109.00	59.18		0.00			59.18	
	Himachal Pradesh								
11	1. Development of Inland water transport for transit facilities at Govind Sagar lake.(2004-05)	117.40 31.03.05	105.66	03/06	43.07	Nil	-	105.66	Work at two jetties not started as of October 2008. Work of small jetties and passenger sheds in progress.
	Total	117.40	105.66		43.07			105.66	
	Karnataka								
12	1. Techno-economic feasibility Study/ Survey for development of IWT in Karnataka.(2003-04)	56.70 19.03.04	51.03	03/05	56.70	56.70 ⁷	30.04.06	Nil	Project stated to be completed.
	Total	56.70	51.03		56.70			Nil	
	Kerala								
13	1. Revival of Veli-Kovlam stretch of TS canal. (2004-05)	362.22 31.03.05	65.30	03/06	Nil	Nil	-	65.30	Work in progress
	Total	362.22	65.30		0.00			65.30	
	Maharashtra								
14	1. Project Proposal for development of IWT in Godawari river near Vishnupuri. (2003-04)	272.21 19.03.04	206.77	03/05	325.22 11/08	Nil	-	206.77	6 jetties completed out of 10. Work of approach road not taken up (11/08)

⁷ UCs for Rs. 56.70 lakh received against the central release of Rs. 51.03 lakh

Sl. No.	Name of the Project and year of sanction	Amount and date of sanction	Central share released	Stipulated month of completion of project	Expenditure reported	UCs received		UCs outstanding	Physical Status of the project
						Amount	Upto		
15*	2. Project proposal on development of IWT from South Mumbai to Amba river/ Dharmatar Creek & vice versa at Karanja.(2003-04)	481.54 29.03.04	174.73	03/06	11.94 11/08	Nil	-	174.73	Work not started as of November 2008.
16	3. Project proposal on development of Inland Waterways in Mhasla/ Mandad River (Rajpuri Creek) at Rajpuri.(2003-04)	469.45 26.03.2004	171.00	03/06	32.00 11/08	Nil	-	171.00	Jetty work started but rescinded (11/08)
17*	4. Project proposal for development of Inland Waterways in Mhasla/Mandad river(Rajpuri Creek) near Janjira Fort in Maharashtra.(2003-04)	124.60 31.03.04	51.96	03/06	Nil 11/08	Nil	-	51.96	Work not started as of November 2008.
18	5. Project proposal on development of IWT from South Mumbai to Mandwa (Amba river/ Dharmatar Creek & vice versa at Mandwa.(2003-04)	410.95 29.03.04	204.45	03/06	132.00 11/08	Nil	-	204.45	Dredging work and breakwater not taken up. Terminal building completed and road works in progress (11/08)
19	6. Development of Inland Waterways in Mhasla/ Mandad River (Rajpuri Creek) at Dighi.(2004-05)	499.39 31.03.2005	83.83	03/07	66.85 11/08	Nil	-	83.83	Road work in progress. Jetty and other works not taken up (11/08).
20*	7. Development of IWT in Penganga river at Isapur reservoir Taluka - Pusad.(2005-06)	390.00 03.03.2006	78.00	03/08	Nil 11/08	Nil	-	78.00	Work not started as of November 2008.
21*	8. Development of Inland Waterways in Mhasla/ Mandad river in Rajpuri creek at Agardanda(2005-06)	335.34 23.03.2006	67.07	03/08	Nil 11/08	Nil	-	67.07	Work not started as of November 2008.
	Total	2983.48	1037.81		568.01			1037.81	
Madhya Pradesh									
22	1. Development of Intra reservoir transport in Indira sagar and Kani Awanti bai sagar reservoirs in Mandhya Pradesh.(2004-05)	59.74 31.03.2005	53.76	03/07	73.78	53.76	09/2007	Nil	6 sites taken up and completed out of 8 sites sanctioned (10/08).
23	2. Development of IWT in Sone river at Bansagar reservoir(2005-06)	415.00 09.03.2006	83.00	03/07	366.96	Nil	-	83.00	11 jetties taken up out of 13 sanctioned which were stated to be complete except some minor works. 1 booking office cum waiting hall was stated to be completed out of 11 planned to be taken up (sanctioned 13). (10/08)

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Sl. No.	Name of the Project and year of sanction	Amount and date of sanction	Central share released	Stipulated month of completion of project	Expenditure reported	UCs received		UCs outstanding	Physical Status of the project
						Amount	Upto		
24	3. Development of Inland Water Transport in Chambal river at Gandhi Sagar reservoir.(2005-06)	387.00 03.03.2006	76.73	03/07	363.95 (10/08)	Nil	-	76.73	All 12 jetties stated to be completed. Waiting Hall, booking office and toilet blocks constructed at some sites. Navigational aids etc not taken up (10/08)
	Total	861.74	213.49		804.69			159.73	
	Manipur								
25*	1. Proposal of Loktak Lake Inland Water Transport project.(2006-07)	280.33 16.03.2007	178.15	03/08	Nil	Nil	-	178.15	No progress
	Total	280.33	178.15		0.00			178.15	
	Nagaland								
26	1.Hydrographic Survey and Techno-Economic Feasibility Study in river Tizu to promote Indo-Myanmar trade(2006-07)	75.60 26.03.2007	15.12	03/08	15.12	15.12	09/2007	Nil	40 per cent work completed
	Total	75.60	15.12		15.12			Nil	
	Orissa								
27.	1. Development of IWT sector in the state of Orissa for upgradation of existing facilities.(2003-04)	204.40 16.03.2004	40.80	03/05 (extended upto 09/06)	40.80	14.12	02/2006	26.68	Sanctioned project not taken up and revised project not sanctioned by MoS
28	2. Preparation of DPR on waterways development and future development of IWT sector in the state of Orissa.(2003-04)	10.30 25.03.2004	9.27	03/05 (extended upto 03/06)	10.30	9.27	02/2006	Nil	Project stated to be completed.
	Total	214.70	50.07		51.10			26.68	
	Tripura								
29*	1. Development of ferry service in Dumber water area (2005-06)	46.60 23.03.2006	9.32	03/07	Nil	Nil	-	9.32	No progress
	Total	46.60	9.32		0.00			9.32	
	Uttar Pradesh								

Sl. No.	Name of the Project and year of sanction	Amount and date of sanction	Central share released	Stipulated month of completion of project	Expenditure reported	UCs received		UCs outstanding	Physical Status of the project
						Amount	Upto		
30*	1. Preparation of Detailed Project Report for introduction of IWT on river Gomti in Lucknow city from Gaughat Pumping station to Gomati Nagar Barrage.(2005-06)	10.00 03.08.2005	2.00	03/06	Nil	Nil	-	2.00	No progress
	Total	10.00	2.00		0.00			2.00	
West Bengal									
31	1. Construction of Gangway Pontoon type floating jetties 53 nos. and RCC slipway jetty - 4 nos on NW-1 between Tribeni and Farakka.(2003-04)	2261.50 17.02.2004	1467.53	08/08	1573.59	1541.00 ⁸	29.02.08	Nil	11 jetties stated to be completed. At 13 sites only gangway and pontoon work completed but link platform yet to be completed (12/08).
32	2. Construction of 4 Gangway Pontoon type jetties and 1 RCC jetty at Dakshineswar, Sheorafuli, Manirampur, Santoshpur (AKRA) and Nandigram between Haldia and Triveni.Original sanctioned cost was Rs. 372.82 lakh.State Govt. dropped the const. Of jetty at Nandigram so the project cost got reduced to Rs. 288.01 lakh. (2004-05)	288.01 30.03.2005	300.51	03/06	248.81	172.80	31.08.07	127.71	Out of 4 jetties 3 commissioned. Construction of jetty at dakshineswar has not yet started.
33	3. Construction of 2 Gangway Cum Pontoon Jetties at Nebukhali and Dulduli on river Sahibkhali in Sundarban.(2004-05)	460.00 31.03.2005	404.00	09/06	460.00	460.00 ⁹	29.02.08	Nil	The jetties have been stated to be inaugurated.
34	4. Construction of 22 RCC jetties in Sunderban area.(2005-06)	407.00 16.03.2006	81.40	03/07	90.39	81.34	30.09.07	0.06	10 RCC jetties completed but approach road and protective work done at two sites only. Work at other 8 sites states to be in progress (12/08).
35*	5. Construction of two gangway cum pontoon jetty at Harwood point and Kachuberia(2006-07)	382.00 19.07.2006	68.76	07/07	Nil	Nil	-	68.76	Nil
	Total	3798.51	2322.20		2372.79			196.53	

⁸ UCs for Rs. 1541.00 lakh received against the central release of Rs. 1467.53 lakh

⁹ UCs for Rs. 460.00 lakh received against the central release of Rs. 404.00 lakh

Annex - II (B)¹⁰

(Referred to in paragraph No. 12.1.4.2)

Project wise Physical and Financial Targets and Achievements thereagainst

S. No.	Name of the Project and year of sanction	Amount and date of sanction	Stipulated month of completion of project	Physical Progress*		Year	Financial Progress	
				Target in per cent	Achievement ¹¹ in per cent		Target	Achievement ¹²
				Year	Year		Year	Year
Assam								
1.	1. Terminal facilities at South bank of river Brahmaputra.(2003-04)	351.68 19.03.2004	03/06	20 48 32	Nil In percentage not available	2003-04 2004-05 2005-06 2006-07 2007-08	70.00 170.00 111.68 - -	Nil 70.00(01/05) Nil (10/06) NA 170.00 (11/07)
2.	2. Terminal facilities at North bank of river Brahmaputra.(2003-04)	260.40 19.03.2004	03/06	20 48 32	Nil Not available in percentage	2003-04 2004-05 2005-06 2006-07	52.00 125.00 83.40	Nil 52.00 (01/05) 125.00 (12/05) 78.50 (10/06)
3.	3. Development of 11 floating Jetties at major ghat banks on river Brahmaputra.(2004-05)	420.40 31.03.2005	03/07	12 38 50	Nil 30 25 30	2004-05 2005-06 2006-07 2007-08	50.00 160.00 210.40 -	Nil 50.00 (12/05) 160.00 (05/07) 134.70 (07/07)
4.	4. Construction of 20 nos. of 17 m long floating terminal at 20 major ghat on river Brahmaputra.(2006-07)	450.08 26.03.2007	03/08	46.08 53.92	Nil	2006-07 2007-08	207.40 242.68	Nil
Andhra Pradesh								
5.	1. Survey and techno economic feasibility study for development of Inland Waterways in Andhra Pradesh.(2005-06)	75.00 16.03.06	03/07	20 80	Nil In percentage not available	2005-06 2006-07 2007-08	15.00 60.00 -	Nil 15.00 37.50
Bihar								
6.	1. Collection of hydro morphological data and preparation of DPR for development of IWT facilities in river Gandak.(2003-04)	30.00 19.03.04	03/06	17 50 33	Nil	2003-04 2004-05 2005-06 2006-07 2007-08	5.00 15.00 10.00	Nil Nil Nil Nil 3.00

¹⁰ Annexure compiled from the records of DoS and information provided by State Government, Implementing / executing agency to Audit^{*} In case year wise physical targets not fixed by DoS, the targets intimated by States have been taken where available¹¹ Month in bracket indicates achievement reported till the month¹² Month in bracket indicates achievement till the month after previous reported financial progress

7.	2. Collection of hydro morphological data and preparation of DPR for development of IWT facilities in river Kosi.(2003-04)	30.00 19.03.04	03/06	2003-04 2004-05 2005-06	20 47 33	Nil	2003-04 2004-05 2005-06 2006-07 2007-08	6.00 14.00 10.00 3.00	Nil Nil Nil Nil 3.00
8.	3. Collection of hydro morphological data and preparation of DPR for development of IWT facilities in river Sone.(2003-04)	30.00 19.03.04	03/06	2003-04 2004-05 2005-06	17 50 33	Nil	2003-04 2004-05 2005-06 2006-07 2007-08	5.00 15.00 10.00 3.00	Nil Nil Nil Nil 3.00
9.	4. DPR for river front development at Patna aimed at IWT base tourism. (2003-04)	25.00 19.03.04	03/06	2003-04 2004-05 2005-06	20 40 40	Nil	2003-04 2004-05 2005-06 2006-07 2007-08	5.00 10.00 10.00 2.50	Nil Nil Nil Nil 2.50
10.	Goa Conducting fresh Hydrographic Survey in the Inland Waterways of Goa at Mapusa, Chapora and Sal rivers.(2005-06)	109.00 24.10.2005	03/07	2005-06 2006-07	Not fixed in percentage	Not reported	2005-06 2006-07	79.85 29.23	Not reported
11.	Himachal Pradesh 1. Development of Inland water transport for transit facilities at Govind Sagar lake.(2004-05)	117.40 31.03.05	03/06	2004-05 2005-06	20 80	Not provided year wise in percentage	2004-05 2005-06 2006-07 2007-08 2008-09	23.48 93.92 - - -	Nil Nil - 33.30 Upto 10/08
12.	Karnataka 1. Techno-economic feasibility Study/ Survey for development of IWT in Karnataka.(2003-04)	56.70 19.03.04	03/05	2003-04 2004-05 2005-06 2006-07 2007-08	Not fixed in percentage	Nil 40 (12/04) Year wise progress not available	2003-04 2004-05 2005-06 2006-07 2007-08	11.34 45.36	Nil 22.04 (12/04) 34.66 (06/06)
13.	Kerala 1. Revival of Veli-Kovlam stretch of TS canal.(2004-05)	362.22 31.03.05	03/06	2004-05 2005-06	30 70	Nil	2004-05 2005-06	108.66 253.56	Nil -
14.	Maharashtra 1. Project Proposal for development of IWT in Godawari river near Vishnupuri. (2003-04)	272.21 19.03.04	03/05	2003-04 2004-05 2005-06 2006-07	18 82 - -	Nil Nil 8 16	2003-04 2004-05 2005-06 2006-07	49.00 223.21 - -	Nil 1.82 43.33 90.33

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							2007-08 2008-09	- -		15 10		2007-08 2008-09	- -		87.76 101.98
15.	2. Project proposal on development of IWT from South Mumabi to Amba river/ Dharmatar Creek & vice versa at Karanja.(2003-04)	481.54 29.03.04	03/06				2003-04 2004-05 2005-06 2006-07 2007-08 2008-09	20 45 35 - - -		Nil Nil Nil Nil Nil Nil		2003-04 2004-05 2005-06 2006-07	96.00 219.00 166.54 -		Nil Nil Nil Nil
16.	3. Project proposal on development of Inland Waterways in Mhasla/ Mandad River (Rajpuri Creek) at Rajpuri.(2003-04)	469.45 26.03.2004	03/06				2003-04 2004-05 2005-06 2006-07 2007-08 2008-09	20 45 35 - - -		Nil Nil Nil Nil 55		2003-04 2004-05 2005-06 2006-07	93.00 211.00 165.45 -		32.00 Upto 11/08
17.	4. Project proposal for development of Inland Waterways in Mhasla/Mandad river(Rajpuri Creek) near Janjira Fort in Maharashtra.(2003-04)	124.60 31.03.04	03/06				2003-04 2004-05 2005-06 2006-07 2007-08 2008-09	13 62 25 - - -		Nil Nil Nil Nil Nil Nil		2003-04 2004-05 2005-06 2006-07	16.20 77.25 31.15 -		Nil Nil Nil Nil
18.	5. Project proposal on development of IWT from South Mumabi to Mandwa (Amba river/ Dharmatar Creek & vice versa at Mandwa).(2003-04)	410.95 29.03.04	03/06				2003-04 2004-05 2005-06 2006-07 2007-08 2008-09	20 58 22 - - -		Nil Nil Nil 20* 40* 83+		2003-04 2004-05 2005-06 2006-07	82.00 237.00 91.95 -		132.00 Upto 11/08
19.	6. Development of Inland Waterways in Mhasla/ Mandad River (Rajpuri Creek) at Dighi.(2004-05)	499.39 31.03.2005	03/07				2004-05 2005-06 2006-07 2007-08 2008-09	17 40 35 ¹³ - -		Nil Nil 5# 10# 15.30#		2004-05 2005-06 2006-07 2006-07	84.00 240.00 175.39		66.85 Upto 11/08
20.	7. Development of IWT in Penganga river at Isapur reservoir Taluka - Pusad.(2005-06)	390.00 03.03.2006	03/08				2005-06 2006-07 2007-08	Not fixed in percentage		Nil Nil Nil		2005-06 2006-07 2007-08	78.00 234.00 78.00		Nil Nil Nil

* Percentage physical progress in respect of terminal building as reported by the implementing agency to Audit

+ Percentage physical progress in respect of road work and interiors as reported by the implementing agency to Audit

¹³ DoS did not even fixed target for 100 per cent work completion

Percentage physical progress in respect of road work as reported by the implementing agency to Audit

21.	8. Development of Inland Waterways in Mhasla/ Mandad river in Rajpuri creek at Agardanda(2005-06)	335.34 23.03.2006	03/08	2005-06 2006-07 2007-08	20 40 40	Nil Nil Nil	2005-06 2006-07 2007-08	67.07 134.14 134.14	Nil Nil Nil
Madhya Pradesh									
22.	1. Development of Intra reservoir transport in Indira sagar and Rani Awanti bai sagar reservoirs in Mandhya Pradesh.(2004-05)	59.74 31.03.2005	03/07	2004-05 2005-06 2006-07 2007-08	20 15 65 -	Nil 25 38 12	2004-05 2005-06 2006-07 2007-08 2008-09	11.95 8.96 38.83 -	Nil 10.86 43.15 16.14 3.63
23.	2. Development of IWT in Some river at Bansagar reservoir(2005-06)	415.00 09.03.2006	03/07	2005-06 2006-07 2007-08 2008-09	20 80 - -	Nil Nil 1 ^α / 5 ^β 78 ^α / 15 ^β	2005-06 2006-07 2007-08 2008-09	83.00 332.00 - -	Nil Nil 21.76 345.20
24.	3. Development of Inland Water Transport in Chambal river at Gandhi Sagar reservoir.(2005-06)	387.00 03.03.2006	03/07	2005-06 2006-07 2007-08 2008-09	20 80 - -	Nil 10 55 25	2005-06 2006-07 2007-08 2008-09	77.40 309.60 - -	Nil 53.01 221.99 88.95
Manipur									
25.	1. Proposal of Loktak Lake Inland Water Transport project.(2006-07)	280.33 16.03.2007	03/08	2006-07 2007-08	63.55 36.45	Nil	2006-07 2007-08	178.15 102.18	Nil
Nagaland									
26.	1.Hydrographic Survey and Techno-Economic Feasibility Study in river Tizu to promote Indo- Myanmar trade(2006-07)	75.60 26.03.2007	03/08	2006-07 2007-08	Not fixed in percentage	Nil 40 (09/07)	2006-07 2007-08	53.00 22.60	Nil 15.12 (09/07)
Orissa									
27.	1. Development of IWT sector in the state of Orissa for upgradation of existing facilities.(2003-04)	204.40 16.03.2004	03/05 (extended upto 09/06)	2003-04 2004-05	Not fixed in percentage	Not in percentage	2003-04 2004-05 2005-06 2006-07	Not fixed year wise	Nil 14.90 (3/05) 3.30 26.68
28.	2. Preparation of DPR on waterways development and future development of IWT sector in the state of Orissa.(2003-04)	10.30 25.03.2004	03/05 (extended upto 03/06)	2003-04 2004-05	Not fixed in percentage	Year wise progress not reported	2003-04 2004-05 2005-06	2.06 8.24 -	Nil 2.07 8.23

^α Jetty

^β Construction of booking office cum waiting hall

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Tripura										
29.	1. Development of ferry service in Dumber water area (2005-06)	46.60 23.03.2006	03/07	2005-06 2006-07	20 80	Nil Nil	2005-06 2006-07	9.32 37.28	Nil Nil	
Uttar Pradesh										
30.	1. Preparation of Detailed Project Report for introduction of IWT on river Gomti in Lucknow city from Gaughat Pumping station to Gomati Nagar Barrage.(2005-06)	10.00 03.08.2005	03/06	2005-06	100	Nil	2005-06	10.00	Nil	
West Bengal										
31.	1. Construction of Gangway Pontoon type floating jetties 53 nos. and RCC slipway jetty - 4 nos on NW-1 between Tribeni and Farakka.(2003-04)	2261.50 17.02.2004	08/08	2003-04 2004-05 2005-06 2006-07 2007-08 2008-09	Targets fixed phase and component wise 30 70 - -	Nil 10 20 20 10 5	2003-04 2004-05 2005-06 2006-07 2007-08 2008-09	Year wise targets not fixed 112.82 260.00 - -	Nil 224.25 410.75 486.00 420.00 32.59	
32.	2. Construction of 4 Gangway Pontoon type jetties and 1 RCC jetty at Dakshineswar, Sheorafuli, Manirampur, Santoshpur (AKRA) and Nandigram between Haldia and Triveni. Original sanctioned cost was Rs.372.82 lakh. State Government dropped the construction of jetty at Nandigram so the project cost got reduced to Rs.288.01 lakh(2004-05)	288.01 30.03.2005	03/06	2004-05 2005-06 2006-07 2007-08	30 70 - -	Nil In percentage not available	2004-05 2005-06 2006-07 2007-08	112.82 260.00 - -	Nil 46.10 (12/05) 160.78 (07/06) 41.93 (09/07)	
33.	3. Construction of 2 Gangway Cum Pontoon Jetties at Nebukhali and Dulduli on river Sahibkhali in Sundarban.(2004-05)	460.00 31.03.2005	09/06	2004-05 2005-06 2006-07 2007-08	20 50 30	Nil In percentage not available	2004-05 2005-06 2006-07 2007-08	92.00 230.00 138.00	Nil 79.50 (12/05) 264.50 (10/06) 116.00 (2/08)	
34.	4. Construction of 22 RCC jetties in Sunderban area.(2005-06)	407.00 16.03.2006	03/07	2005-06 2006-07 2007-08	20 80 -	Nil 12* 84*	2005-06 2006-07 2007-08	81.40 325.60 -	Nil 81.34 9.05	
35.	5. Construction of two gangway cum pontoon jetty at Harwood point and Kachuberia(2006-07)	382.00 19.07.2006	07/07	2006-07 2007-08	70 30	Nil Nil	2006-07 2007-08	267.40 114.60	Nil Nil	

* Structural work of jetties only. Approach road work not taken up.

Annex – III

(Referred to in paragraph No. 12.1.4.4)

Statement showing cases of release of excess funds by MoS

Sl. No.	State	Details of excess release
1	Andhra Pradesh	Rs. 15.00 lakh were released on 16.03.2006 for the project to be completed in March 2007. No expenditure was incurred during 2005-06 and Rs. 52.50 lakh were likely to be spent during the year 2006-07, out of which the central share was Rs. 47.25 lakh. Though MoS was required to release only Rs. 32.25 lakh after adjusting already released Rs. 15.00 lakh, it irregularly released the whole balance amount of Rs. 52.50 lakh in February 2007.
2	Maharashtra	Five projects (S. No. 14 to 18 of Annex-IV) had been approved by the MoS during 2003-04 for Maharashtra. Government of Maharashtra could utilize only Rs. 89.81 lakh upto 31.12.2004 out of Rs. 3.36 crore released during 2003-04. In response to MoS' advice to first exhaust the fund released by the MoS and 10 per cent State share, the State Government stated that Rs. 99.30 lakh had been spent on the five projects till February 2005, and bills for Rs. 17.50 lakh were being processed for payment by March 2005. As such, Rs. 116.80 lakh were to be utilised by March 2005 against central release of Rs. 336.19 lakh and state share of Rs. 175.86 lakh. The MoS, further, irregularly released Rs. 472.72 lakh on 31 March 2005 for these five projects.
3	Assam	Rs. 70.00 lakh and Rs. 170.00 lakh were released for the project at S. No. 1 of Annex-IV, on 19 March 2004 and 31 March 2005 respectively. Rs. 70.00 lakh had been incurred by November 2006 and within December 2006 expenditure of Rs. 85.00 lakh was further expected. The MoS irregularly released Rs. 85.00 lakh on 29 March 2007, though even after the expected expenditure within December 2006, the State Government would have been left with unspent funds of Rs. 85.00 lakh.
4	Manipur	The MoS released Rs. 178.15 lakh on 16 March 2007, as advance grant-in-aid, against the project sanctioned for Rs. 280.33 lakh, which worked out to 64 per cent of the project cost.
5	Himachal Pradesh	The MoS released the whole balance of Rs. 85.66 lakh in February 2006, though Government of Himachal Pradesh had intimated in November 2005 that the tenders would be opened on 9 January 2006 and civil work would start on 15 January 2006 with target of completion by June 2006. As such, the MoS released the funds where even the tenders were not intimated to be opened, and already released central share of Rs. 20.00 lakh and state share of Rs. 10.88 lakh were lying unspent with executing agencies.

Annex - IV¹⁴

(Referred to in paragraph No. 12.1.4.4)

Statement showing delay in release of central share by State Governments, non release of State share and funds lying unspent with State Governments

Sl. No.	Name of the Project and year of sanction	Sanctioned Cost	Central Government share (100% for NE States & 90% for other States)	State share to be released (excluding taxes)	Funds released		Release by State			Shortfall in State contribution	Unspent central release with State Government	Period for which amount is lying unspent (As of June 2008)
					Amount*	Date	Central Share released	State share				
								Amount	Date			
Assam												
1	1. Terminal facilities at South bank of river Brahmaputra.(2003-04)	351.68	351.68	NA ⁺	70.00 170.00 85.00 325.00	19.03.04 31.03.05 29.03.07		Upto 30.11.2007	240.00	NA	85.00	15 months
2#	2. Terminal facilities at North bank of river Brahmaputra.(2003-04)	260.40	260.40	NA	52.00 125.00 83.40 260.40	19.03.04 31.03.05 17.02.06		Upto 30.11.2006	255.50	NA	4.90	28 months
3	3. Development of 11 floating Jetties at major ghat banks on river Brahmaputra.(2004-05)	420.40	420.40	NA	50.00 160.00 200.40 410.40	31.03.05 17.02.06 08.02.07		Upto 01.11.2007	344.70	NA	65.70	16 months
4	4.Construction of 20 nos. of 17 m long floating terminal at 20 major ghat on river Brahmaputra.(2006-07)	450.08	450.08	NA	90.01	26.03.07		-	Nil	NA	90.01	15 months
Total											245.21	

¹⁴ Annex compiled from the records of MoS and information provided by State Government, Implementing / executing agency to Audit

* Amount in Bold is total of all releases for the project

+ State share in respect of North Eastern States Not Applicable (NA)

Sl. No.	Name of the Project and year of sanction	Sanctioned Cost	Central Government share % for NE States & 90% for other States)	State share to be released (excluding taxes)	Funds released		Release by State			Shortfall in State contribution	Unspent central release with State Government	Period for which amount is lying unspent (As of June 2008)
					Amount*	Date	Central Share released	Amount	Date			
	Andhra Pradesh											
5#	1. Survey and techno economic feasibility study for development of Inland Waterways in Andhra Pradesh.(2005-06)	75.00	67.50	7.50	15.00 52.50 67.50	16.03.06 02.02.07	30.08.06 17.10.07	15.00 33.75 48.75	Nil 3.75*	3.75	18.75	16 months
	Bihar											
6	1. Collection of hydromorphological data and preparation of DPR for development of IWT facilities in river Gandak.(2003-04)	30.00	27.00	3.00	5.00	19.03.04	-	Nil	3.00	Nil	5.00	51 months
7	2. Collection of hydromorphological data and preparation of DPR for development of IWT facilities in river Kosi.(2003-04)	30.00	27.00	3.00	6.00	19.03.04	-	Nil	3.00	Nil	6.00	51 months
8	3. Collection of hydromorphological data and preparation of DPR for development of IWT facilities in river Sone.(2003-04)	30.00	27.00	3.00	5.00	19.03.04	-	Nil	3.00	Nil	5.00	51 months
9	4. DPR for river front development at Patna aimed at IWT base tourism.(2003-04)	25.00	22.50	2.50	5.00	19.03.04	-	Nil	2.50	Nil	5.00	51 months
	Total										21.00	
	Goa											

* Additional state share of Rs. 6.43 lakh paid on account of taxes payable which were to be borne by the State.

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Sl. No.	Name of the Project and year of sanction	Sanctioned Cost	Central Government share % for NE States & 90% for other States)	State share to be released (excluding taxes)	Funds released		Release by State			Shortfall in State contribution	Unspent central release with State Government	Period for which amount is lying unspent (As of June 2008)
					Amount*	Date	Central Share released	Amount	Date			
10	1. Conducting fresh Hydrographic Survey in the Inland Waterways of Goa at Mapusa, Chapora and Sal rivers.(2005-06)	109.00	98.10	10.90	21.80 37.38 59.18	07.03.06 07.02.07	Not reported	-	Nil	10.90	-	-
11#	Himachal Pradesh 1. Development of Inland water transport for transit facilities at Govind Sagar lake.(2004-05)	117.40	105.66	11.74	20.00 85.66 105.66	31.03.05 17.02.06	20.00 50.00 35.66	20.09.05 31.03.06 18.05.06	10.88 0.86	Nil	Nil	-
12#	Karnataka 1. Techno-economic feasibility Study/ Survey for development of IWT in Karnataka.(2003-04)	56.70	51.03	5.67	11.34 39.69 51.03	19.03.04 31.03.05	51.03	Upto 30.04.2006	5.67	Nil	Nil	-
13	Kerala 1. Revival of Veli-Kovlam stretch of TS canal. (2004-05)	362.22	326.00	36.22	65.30	31.03.05	Nil	-	Nil	36.22	65.30	39 months
	Total										65.30	
14	Maharashtra 1. Project Proposal for development of IWT in Godavari river near Vishnupuri. (2003-04)	272.21	244.99	27.22	48.99 157.78 206.77	19.03.04 31.03.05	206.77	13.03.2006	65.44	Nil	Nil	-
15	2. Project proposal on development of IWT from South Mumbai to Amba river/ Dharmatar Creek & vice versa at Karanja.(2003-04)	481.54	433.39	48.15	96.00 78.73 174.73	29.03.04 31.03.05	174.73	13.03.2006	306.81	Nil	Nil	-

Sl. No.	Name of the Project and year of sanction	Sanctioned Cost	Central Government share % for NE States & 90% for other States)	State share to be released (excluding taxes)	Funds released		Release by State			Shortfall in State contribution	Unspent central release with State Government	Period for which amount is lying unspent (As of June 2008)
					Amount*	Date	Central Share released	Amount	Date			
16	3. Project proposal on development of Inland Waterways in Mhasla/Mandad River (Rajpuri Creek) at Rajpuri.(2003-04)	469.45	422.51	46.94	93.00 78.00 171.00	26.03.04 31.03.05	171.00	13.03.2006	298.45	Nil	Nil	-
17	4. Project proposal for development of Inland Waterways in Mhasla/Mandad river(Rajpuri Creek) near Janjira Fort in Maharashtra.(2003-04)	124.60	112.14	12.46	16.20 35.76 51.96	31.03.04 31.03.05	51.96	13.03.2006	72.64	Nil	Nil	-
18	5. Project proposal on development of IWT from South Mumbai to Mandwa (Amba river/ Dharmatar Creek & vice versa at Mandwa).(2003-04)	410.95	369.86	41.09	82.00 122.45 204.45	29.03.04 31.03.05	204.45	13.03.2006	206.50	Nil	Nil	-
19	6. Development of Inland Waterways in Mhasla/Mandad River (Rajpuri Creek) at Dighi.(2004-05)	499.39	449.45	49.94	83.83	31.03.05	83.83	13.03.2006	415.56	Nil	Nil	-
20	7. Development of IWT in Penganga river at Isapur reservoir Taluka Pusad. (2005-06)	390.00	351.00	39.00	78.00	03.03.06	78.00	22.01.2008	312.00	Nil	Nil	-
21	8. Development of Inland Waterways in Mhasla/Mandad river in Rajpuri creek at Agardanda(2005-06)	335.34	301.81	33.53	67.07	23.03.06	67.07	22.01.2008	268.28	Nil	Nil	-
	Total										Nil	
22#	1. Development of Intra reservoir transport in Indira	59.74	53.76	5.98	10.80 42.96	31.03.05 31.10.06	10.80 42.96	2004-05 2006-07	25.75	Nil	Nil	-

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Sl. No.	Name of the Project and year of sanction	Sanctioned Cost	Central Government share (100% for NE States & 90% for other States)	State share to be released (excluding taxes)		Funds released		Release by State			Shortfall in State contribution	Unspent central release with State Government	Period for which amount is lying unspent (As of June 2008)
				State share to be released (excluding taxes)	State share to be released (excluding taxes)	Amount*	Date	Central Share released					
								Amount	Date	Amount			
23	sagar and Rani Awanti bai sagar reservoirs in Mandhya Pradesh.(2004-05)	415.00	373.50	41.50	53.76	83.00	09.03.06	53.76	25.06.06	290.50	Nil	Nil	-
24	2. Development of IWT in Sone river at Bansagar reservoir(2005-06)	387.00	348.30	38.70	76.73	76.73	23.03.06	76.73	01.08.06	310.27	Nil	Nil	-
	Total											Nil	
25	Manipur 1. Proposal of Loktak Lake Inland Water Transport project.(2006-07)	280.33	280.33	NA	178.15	178.15	16.03.07	Nil	-	NA	NA	178.15	15 months
	Total											178.15	
26	Nagaland 1.Hydrographic Survey and Techno-Economic Feasibility Study in river Tizu to promote Indo- Myanmar trade(2006-07)	75.60	75.60	NA	15.12	15.12	26.03.07	15.12	Upto 09/07	NA	NA	Nil	-
27	Orissa 1. Development of IWT sector in the state of Orissa for upgradation of existing facilities.(2003-04)	204.40	183.96	20.44	40.80	40.80	16.03.04	40.80	Upto 27.09.04	1.38 2.70	16.36	Nil	-
28#	2. Preparation of DPR on waterways development and future development of IWT sector in the state of Orissa.(2003-04)	10.30	9.27	1.03	2.06 7.21 9.27	2.06 7.21 9.27	25.03.04 30.01.06	2.06 7.21 9.27	06.11.04 16.02.06	0.01 1.02	Nil	Nil	-
	Total											Nil	

Sl. No.	Name of the Project and year of sanction	Sanctioned Cost	Central Government share % for NE States & 90% for other States)	State share to be released (excluding taxes)	Funds released		Release by State			Shortfall in State contribution	Unspent central release with State Government	Period for which amount is lying unspent (As of June 2008)
					Amount*	Date	Central Share released	Amount	Date			
29	Tripura 1. Development of ferry service in Dumber water area (2005-06)	46.60	46.60	NA	9.32	23.03.06	Nil	-	NA	9.32	27 months	
	Total									9.32		
30	Uttar Pradesh 1. Preparation of Detailed Project Report for introduction of IWT on river Gomti in Lucknow city from Gaughat Pumping station to Gomati Nagar Barrage.(2005-06)	10.00	9.00	1.00	2.00	08.02.06	Nil	-	Nil	2.00	28 months	
	Total									2.00		
	West Bengal											
31	1. Construction of Gangway Pontoon type floating jetties 53 nos. and RCC slipway jetty - 4 nos on NW-1 between Tribeni and Farakka.(2003-04)	2261.50	2035.35	226.15	200.00 252.00 411.00 266.00 338.53 1467.53	17.02.04 31.03.04 31.03.05 07.03.06 31.03.07	452.00 411.00 266.00 338.53 1467.53	21.05.04 22.07.05 04.12.06 02.07.07	50.22 45.67 29.55 37.62 163.06	Nil	-	
32#	2. Construction of 4 Gangway Pontoon type jetties and 1 RCC jetty at Dakshineswar, Sheorafuli, Manirampur, Santoshpur (AKRA) and Nandigram between Haldia and Triveni.Original sanctioned cost was Rs.372.82 lakh.State Govt. dropped the const. Of jetty at Nandigram so the project cost got reduced to Rs.288.01	288.01	259.21	37.28	67.10 233.41 300.51	30.03.05 17.02.06	67.10 192.11 28.29 287.50	31.12.05 2006-07 2007-08	7.46 23.34 30.80	13.01	10 months	

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Sl. No.	Name of the Project and year of sanction	Sanctioned Cost	Central Government share (100% for NE States & 90% for other States)	State share to be released (excluding taxes)	Funds released		Release by State			Shortfall in State contribution	Unspent central release with State Government	Period for which amount is lying unspent (As of June 2008)
					Amount*	Date	Central Share released	Amount	Date			
33	lakh. (2004-05) 3. Construction of 2 Gangway Cum Pontoon Jetties at Nebukhali and Dulduli on river Sahibkhali in Sundarban.(2004-05)	460.00	414.00	46.00	82.80 230.00 50.00 41.20 404.00	31.03.05 17.02.06 20.06.06 16.01.07 404.00	82.80 230.00 91.20 404.00	31.12.05 10.05.06 30.09.07	44.88	1.12	Nil	-
34	4. Construction of 22 RCC jetties in Sunderban area.(2005-06)	407.00	366.30	40.70	81.40	16.03.06	81.40	29.03.07	9.04	31.66	Nil	-
35	5. Construction of two gangway cum pontoon jetty at Harwood point and Kachuberia(2006-07)	382.00	343.80	38.20	68.76	19.01.07	Nil	-	7.64	30.56	68.76	17 months
	Total										81.77	

Annex – V

(Referred to in paragraph No. 12.1.4.4)

Details of 'Parking of Funds' in the States visited alongwith interest earned / accrued on unspent balances and its utilization

Sl. No.	State	Details of Parking of Funds and interest earned
1.	Maharashtra	<p>As of November 2008, an interest of Rs. 10.48 lakh had been reported to be earned by the executing agency i.e. Executive Engineer, Nanded irrigation Division, on the funds deposited in Joint Saving Account of 'Executive Engineer, Nanded irrigation Division, Nanded and Collector Nanded'. However, the agency did not intimate about its utilisation.</p> <p>MMB, which had drawn Rs. 29.83 crore against which an expenditure of Rs. 6.63 crore was stated to have been incurred, parked unspent funds in Bank on which MMB was getting interest. However, it did not intimate the quantum of interest so earned and the utilisation thereof.</p>
2.	West Bengal	<p>The unspent funds were deposited in the Banks in Saving / Current / FDR Accounts of West Bengal Transport Infrastructure Development Corporation Limited (WBTIDC) – executing the project (Construction of Gangway Pontoon type floating jetties 53 nos and RCC slipway jetty – 4 nos on NW-1 between Tribeni and Farakka) depending on the payment requirements to the contractors and pending bills. The executing agency further pointed out that no directions on the interest earned on unspent balances had been provided.</p>
3.	Himachal Pradesh	<p>Directorate of Transport, Himachal Pradesh, which had drawn Rs. 117.40 lakh and released Rs. 80.88 lakh to the Nodal Officer / executing agency and Rs. 6.25 lakh for consultancy services, parked rest of the funds in FDR on which an amount of Rs. 1.30 lakh was reported to be accrued as interest. The Directorate could not intimate about the utilisation of the interest.</p>