

Appendix-1.1
(Reference: Paragraph: 1.6.2 Page No.6)
Arrear in Tax Collection

(₹ in lakh)

SI No	Name of Units	Arrear (1-4-2008)	Demand during the year	Total Demand	Recovery	Arrear (31-3-2009)
Nagar Panchayats (NPs)						
1	Talbehat Lalitpur	9.46	6.67	16.13	2.72	13.41
2	Kopaganj Mau	8.94	15.67	24.61	11.93	12.68
3	Agrawal Mandi Bagpat	7.23	13.56	20.79	5.25	15.54
4	Oyal Thakwa Khiri	1.49	1.00	2.49	0.78	1.71
5	Singhazi Bethore	2.15	13.52	15.67	13.29	2.38
6	Dhaurahara Khiri	0.92	0.93	1.85	1.15	0.70
7	Pali Hardoi	6.34	7.50	13.84	7.51	6.33
8	Ajhuwa Kaushambi	2.43	3.21	5.64	4.62	1.02
9	Jafrabad Jaunpur	0.67	0.70	1.37	0.06	1.31
10	Madhogarh Jalaun	2.16	0.37	2.53	0	2.53
11	Chaprauli Bagpat	6.73	10.27	17.00	8.41	8.59
12	Chandauli	15.50	9.97	25.47	1.39	24.08
13	Nanauta Saharanpur	0	19.48	19.48	13.44	6.04
14	Kunda Pratapgarh	9.57	12.38	21.95	11.02	10.93
15	Jarwal Bahraich	4.12	2.12	6.24	1.80	4.44
16	Aminagar Bagpat	21.27	8.82	30.09	5.24	24.85
17	Kachala Badaun	8.76	9.18	17.94	8.18	9.76
18	Sakhanu Badaun	1.51	0.96	2.47	1.30	1.17
19	Kheri	5.82	2.71	8.53	1.91	6.62
20	Mandawar Bijnore	2.40	2.60	5.00	2.38	2.62
21	Gosaiganj Lucknow	5.62	6.75	12.37	4.11	8.26
22	Ghirore Mainpuri	7.49	1.60	9.09	0.64	8.45
Total		130.58	149.97	280.55	107.13	173.42
Nagar Palika Parishads(NPPs)						
1	Kashganj	59.10	133.44	192.54	124.74	67.80
2	Karnailganj Gonda	14.26	12.75	27.01	13.44	13.57
3	Tanda Ambedkarnagar	18.46	24.66	43.12	21.93	21.19
4	Nagina	110.60	21.14	131.74	26.21	105.53
5	Fatehpur Sikari	9.86	17.50	27.36	15.14	12.22
6	Bahjoi	12.85	6.30	19.15	6.62	12.53
7	Shahabad Hardoi	24.35	57.09	81.44	60.84	20.60
8	Khairabad	19.32	11.27	30.59	10.45	20.14
9	Fatehpur	41.80	50.00	91.80	39.78	52.02
10	Afzalgarh	14.59	14.43	29.02	15.12	13.90
11	Nazibabad	15.36	64.00	79.36	54.40	24.96
12	Barabanki	75.33	56.40	131.73	53.64	78.09
Total		415.88	468.98	884.86	442.31	442.55
Grand Total		546.46	618.95	1165.41	549.44	615.97

Appendix-1.2
(Reference: Paragraph: 1.9 Page No. 8)
Non-reconciliation of cash balances as on 31 March 2009

Nagar Panchayat(NPs) (₹in lakh)					
Sl. no.	Name of NPs	District	As per Cash Book	As per Pass Book	Difference
1.	Kutar	Shahjahanpur	7.94	8.79	0.85
2.	Aminagar Sarai	Bagpat	6.48	7.24	0.76
3.	Mandawar	Bijnaur	5.59	3.48	2.11
4.	Saiyad raja	Chandauli	15.66	14.77	0.89
5.	Chandauli	Chandauli	35.18	35.10	0.08
6.	Bakewar	Etawa	117.65	109.21	8.44
7.	Nanauta	Saharanpur	163.10	164.04	0.94
8.	Pali	Hardoi	4.18	5.21	1.03
9.	Dhaurahara	Lakhimpur	22.32	22.68	0.36
10.	Singahi Bheraura	Lakhimpur	3.55	2.89	0.66
11.	Dayalbag	Agra	86.84	89.73	2.89
12.	Oyal Dhakawa	Lakhimpur	4.83	3.47	1.36
13.	Renukoot	Sonbhadra	88.43	42.97	45.46
Total					65.83
Nagar Palika Parishads(NPPs)					
Sl. no.	Name of NPPs	District	As per Cash Book	As per Pass Book	Difference
1.	Karnailganj	Gonda	286.50	264.54	21.96
2.	Tanda	Ambedkarnagar	25.21	53.63	28.42
3.	Shamsabad	Agra	21.81	20.75	1.06
4.	Fatehpur Sikari	Agra	1.14	7.42	6.28
5.	Fatehpur	Fatehpur	248.27	692.00	443.73
6.	Afzalgarh	Bijnore	1.32	9.83	8.51
Total					509.96
Grand Total					575.79

Appendix 2.1
(Reference: Para 2.6.2 Page No. 17)
Non-adherence to the orders of Government of India

SI No	Name of ULBs	SI No	Name of Project	Cost ₹ In lakh	Date of SLSC
1	NN Aligarh	1	WS	10823.81	12.1.2009
		2	Drainage	6190.65	24.1.2009
2	Akbarpur	3	WS	917.04	12.1.2009
3	NPP Auraiya	4	WS	1419.7	24.1.2009
4	NPP Baraut	5	WS	1547.234	24.1.2009
5	NN Bareilly	6	Sewerage	39814	12.1.2009
		7	WS	4309	24.1.2009
6	NPP Baharaich	8	WS	1957.4	12.1.2009
7	Bareru	9	WS	410.24	24.1.2009
8	NPPBulanshahar	10	Sewerage	19344	12.1.2009
		11	Drainage	7089	12.1.2009
	Sikandrabad	12	WS	933.34	12.1.2009
9	Karvi	13	WS	1820.76	24.1.2009
10	Kasganj	14	WS	2382.8	24.2009
11	NPP Faizabad	15	SWM	660	12.1.2009
		16	SWM	287.86	12.1.2009
12	NPP Farrukhabad	17	WS	1510.5	12.1.2009
13	NPP Fatehpur	18	Sewerage	16371	24.1.2009
14	Dadari	19	WS	2805.7	24.1.2009
15	NN Ghaziabad	20	WS	15109.56	12.1.2009
16	NN Gorakhpur	21	WSII	1858.31	12.1.2009
		22	WSIII	1337.62	12.1.2009
17	Rath	23	WS	1669.69	24.1.2009
18	NPP Hardoi	24	WS	3729.49	24.1.2009
19	NPP Hathras	25	Drainage	3903.15	12.1.2009
		26	WS	2166.88	12.1.2009
20	NPP Orai	27	WS	2906.31	24.1.2009
21	NP Akbarpur (NP)	28	WS	579.54	12.1.2009
22	Manjhanpur	29	WS	432.63	24.1.2009
23	NPP Mahoba	30	Sewerage	8397.84	24.1.2009
24	NNP Mainpuri	31	WS	1420.68	12.1.2009
25	NPP Amroha	32	WS	2234.82	12.1.2009
26	NN Moradabad	33	Drainage	23708.42	12.1.2009
27	NPP Pilibhit	34	WS	1562.61	24.1.2009
29	Bela Pratapgarh	35	SWM	437	12.1.2009
		36	Drainage	4017.82	24.1.2009
30	N PP Sitapur	37	SWM	615.7	12.1.2009
		38	WS	1738.54	24.1.2009
31	NPP Khalilabad	39	WS	583.32	24.1.2009
32	Robertsganj	40	WS	2739.48	12.1.2009
33	NPP Sultanpur	41	WS	2232.05	12.1.2009
Total Project cost				203975.49	

Appendix-2.2

(Reference: Para 2.7.1 Page No. 21)

Non-release of Second Installment of GoI

(₹ in crore)

No of Projects	Cost of Projects	Released amount of first Instalment(Central share)	Period of release	Period due for submission of UC	Amount due for Second Instalment (central share)	Amount of second instalment released against UC submitted	Balance of Second instalment
21	507.79	212.70	February - March 2007	March 2008	212.70	28.19 (Six UC ₹. 24.73)	184.51
13	253.15	109.01	August 2007 & March 2008	March 2009	109.01	79.33 (Six UC ₹.63.84)	29.68
26	352.34	140.93	July 2008 & September 2009	March 2010	140.93	11.70 (two UC ₹. 15.60)	129.23
60	1113.28	462.64			462.64	119.22	343.42

Appendix-2.3

(Reference: Para 2.7.2 Page No. 21)

Non-adherence of guidelines for depositing funds in SLNA accounts led loss of interest

(₹ In lakh)

Government order and date	Amount			Date of released to SLNA Account	Amount	Period of Delay at Dir. LB level due to diversion in JN PLA	Loss of Interest @ 3.5 per cent per anum
	Central	State	Total				
GI 44 dated 31.3.2007	9297.3880	697.97.00	9995.3580	15.9.2007	9995.3580	5 month	145.76
3153 dated 11.9.2007	0.00	464.2035	464.2035	12.11.07	464.2035	2 month	2.70
3153(i) dated 11.9.07	4493.3720	561.6715	5055.435	8.11.2007	5055.435	2 month	29.49
3153(ii) dated 11.9.2007	6226.2700	778.2838	7004.5538	8.11.2007	7004.5538	2 month	40.85
3153(iii) dated 11.9.2007	3076.5400	384.5675	3461.1075	8.11.2007	3461.1075	2 month	20.18
2238 dated 31.3.2008	6609.2700	826.1500	7435.4200	29.7.2008	7435.4200	4 month	86.74
233GI/9-5-08-01 Budget/07 dated 16.10.08	6140.24	767.5295	6907.7695/3	29.11.08	2302.59	2Months 3 months	13.43 20.14
				19.12.08	2302.59		
				25.2.09	2302.58		
9028/9-5-08-02 Budget/07 TC dated 17.12.2008	0.00	565.82	565.82	22.1.2009	565.82	1 month	1.65
8677/9-5-08-02 Budget/07 TC I dated 31.12.08		88.20	88.20	6.2.2009	88.20	1 month	0.26
314GI/9-5-08-02 Budget/07 dated 7.1.09	3194.69	399.33	3594.02/2	10.2.2009 26.2.2009	1797.01	1month	10.48

314 CM/9-05-08-02 Budget/07 TC dated 9.2.09		513.10	513.10	25.2.2009	513.10	-----	-----
38GI/9-5-09-02 Budget/07 TC dated 27.2.09	2263.36	-	2263.26	3.3.2009	2263.26	-----	-----
62GI/09-05-02009-02 Budget/07 dated 2.3.-09	1946.49	243.31	2189.80	17.3.2009		-----	-----
118 GI/09-5-09-02 Budget/07 sated 31.3.09	3274.76	341.28	3616.04	67.2009	3616.04	3 months	31.64
5232(I)/9 050902 Budget dated 13.11.09	243.77	1950.12	2193.89/2	12.12.09 3.3.10	1096.95 1096.94	1 month 4 month	6.39 9.59
7930/9-5-09-02 Budget/07 DC dated 2.2.10	538.93	36.30	575.73	31.3.10		1 month	1.67
3825/9-5-010-02 Budget/07 dated 20.5.10	8968.68	1121.09	10089.77 6470.98 3360.40 1773.07 1477.35	18.6.10 30.7.10 12.8.10 13.9.10 Still not drawn)	3618.79 3110.58 1587.33 295.72	1 2 3 4	29.42 18.87 9.80 5.17
Total							484.27
Say ₹ 4.84 crore							

Appendix-2.4

(Reference: Para 2.8.1 Page No: 22)
Status of projects as on June 2010 (in test checked ULBs)

(Amount: ₹ in crore)

Sl. No.	Name of ULB	Name of project	Cost	Date of Sanction by SLSC	Funds disbursed to EA	Date of Completion of work as per DPR	Works to be executed	Work done (per cent)	Expenditure
1	NPP Fatehpur	WS (1)	15.70	8.9.06	14.92 (13.2.07)	December 2009	Tubewell-11 Rebore	58	11.48
							25 Tubewell	63	
							8 OHT	46	
							36 PP	100	
							CP 25	100	
							83.12 KM Distribution and Rising main	66	
							25 PH	60	
2	NN Gorakhpur	WS (2)	15.98	13.9.07	8.454 (30.6.08)	March 2010	9 OHT	47	8.79
							22 PH, 8set staff quarters	92	
							Distribution system 122.50 km and Rising main 7.04km	57	
							22No tubewell	90	
3	NPP Deoria	WS (3)	11.04	5.8.08	331.22 (13.8.09)	March 2010	Construction of TW & appurtenant work (E&M) 6 No	3 No 50	61.59
							Pipe line (Rising main) 3637 m	Nil	
							Pipe line (distribution system) 81.80 m	Nil	
							OHT and other related works 4 No.	Nil	
							CWR and other related work	Nil	

							Building works i.e pump house 6 No staff quarter 3 No. boundary wall rain water harvesting in all the three zones	Nil	
4	NPP Ballia	WS (4)	8.04	10.11.06	3.84 (1.2.08)	March 2009	PH 12 No	60	2.80
							R/Main 4044 m	21.20	
							D/System 30232m	16.57	
							OHT 3 No	5	
							Building 5 No	0.00	
							TW No.	90	
							P/Plant 12 No	Procured material 100	
							Ch/Plant 12 No	Procured 100	
		E/Transmission 12 Job	25						
				Sewerage (1)	44.72	11.10.06	21.35 (3.6.08)	March 2009	Sewerage Net work 29.648 KM
							STP 5MLD	Nil	
							E&M works	Nil	
							STP	Nil	
5	NN Ghaziabad	Road & fly over (2)	90.88	8.9.06	45.43	March 2010	Construction of six lane link road from NH 24 to NH58 3.65 KM	NIL	18.70
								Construction of six lane link road from new halland factory to NH 24 1.50 KM	

							Construction of six lane link road from GT Road to NH 58 6.50 KM	85 work completed	
6	NPP Basti	WS(5)	9.73	8.9.06	9.57	Dec 09	OHT 2 CWR 2 PH 8 R/Main 4.34 KM D/system 50.75 T.W. 8 PP 8	91	7.92.
		Roads (2)	23.76	16.7.07	11.88	March 2010	Construction of 20 roads and drains	66 work completed	11.73
7	NPP Faizabad	WS (6)	18.80	16.7.07	9.40 (23.8.08)	March 2009	OHT 5	13	8.13
							Rising main 6.495 KM	70	
							PH 8 & BW	NIL	
							R/W 24	NIL	
							D/ S 149.067 KM	40	
							TW 12 PP 12 CP 12 PC 12	100	

Appendix- 2.5
(Reference Para no: 2.8.4 Page no: 26)
Interest not credited to Projects Account

Name of Bank	FD No. & Date	Amount (In ₹)	Maturity date	Amount (In ₹)	Detail of en-cashed		Interest earned (In ₹)
					Date	Amount (In ₹)	
Indian Overseas Bank, Mathura	0562186 of 2.4.2009	5000000	2.7.2009	5074795	2.7.2009	Renewed	
	5062353 of 2.7.2009	5074795	30.12.10	5200622	14.10.2009	5110944	110944
	0562187 of 2.4.2009	5000000	30.9.09	5179760	3.9.2009	5094614	94614
	562188 of 2.4.2009	5000000	28.12.09	5282025	14.9.2009	510712	101712
Oriental Bank Mathura	364327 of 2.4.2009	5000000	2.7.09	5087260	Extended *		
	364351 of 2.7.2009	5087260	2.1.10	5234570	4.1.2010	5224570	224570
	364328 of 2.4.2009	5000000	2.10.09	519428	3.9.2009	5126575	126575
	364329 of 2.4.2009	5000000	2.1.10	5301370	14.9.2009	5135616	135616
	364330 of 2.4.2009	5000000	2.4.10	5425438	14.9.2009	5136736	136736
Punjab National Bank Mathura	3644 PA 000 23983 of 25.5.2009	9900000	25.11.09	10174122	14.10.09	9995101	95101
	364400 PR 0002400 of 27.5.2009	9900000	27.11.09	10174122	27.11.09	10174122	174122
	3644000 PR 24098 of 28.5.09	9900000	28.11.09	10174122	4.12.2009	10177188	177188
	364400PR 000/137 of 4.12.2009	9900000	28.2.10	10175655	8.3.10	10175655	275655
	364400 PR 000 24140 of 30.5.2009	9900000	30.11.10	10174122	30.11.09		
	364400 PR 0000 23.11.09	9900000	28.2.10	10174122	8.3.2010	10174122	174122
	3644002419	500000	1.12.09	513845	1.3.10	513845	13845

Indian Overseas Bank	0562594 of 23.11.09	5000000	23.5.10	5138445	12.7.10	5138445	138445
	0562595 of 23.11.2009	5000000	23.5.10	5138445	12.7.10	5138445	138445
	056296 of 23.11.2009	5000000	23.5.10	5138445	12.7.10	5138445	138445
	0562597 of 23.11.2009	5000000	23.5.10	5138445	12.7.10	5138445	138445
Oriental Bank of India	07862019 of 23.11.2009	10000000	17.12.10	10613636	Renewed		
	0786205 of 17.12.2009	10000000	16.4.10	10115068	24.4.10	10115068	115068
Bank of Baroda	056115119 of 1.1.10	10000000	11.4.10	10095890	21.4.10	10095890	95890
Oriental Bank of India	0786279	10000000	9.4.10	10087260	Period of maturity Extended		
	0786568 of 12.4.10	10000000	9.7.10	10175282	22.7.10	10175282	175282
	0786280 8.1.10	10000000	9.4.10	10087260	12.4.10	10087260	87260
Indian Bank	724355 of 13.3.10	10000000	13.3.11	10613636	Not yet drawn		
Canara Bank	401 of 18.3.10	10000000	17.6.10	1001695	20.4.10	10016915	16915
Union Bank of India	8745907 of 26.6.10	20000000	21.12.10	20452531	Not yet drawn		
Total Interest earned						₹ 2884995	

Appendix 3.1
(Reference: Para 3.1 Page: No. 32)
Non realization of stamp duty

(In ₹.)

Name of Contract	Contract Amount	Stamp duty		Revenue loss
		leviable	levied	
Theka Kothi Tal, Golaghat, Bansital (Machhalipurain)	16,11,000	1,28,880	200	1,28,680
Theka Kothi Tal, Golaghat, Bansital (Machhalipurain)	19,02,000	1,52,160	110	1,52,050
Theka Talab Jaisagar, Malkhan Sagar, Rapat Talaiya	10,23,000	81,840	110	81,730
Theka Talab Ratan Sagar	5,27,000	42,160	100	42,060
Parking 2008-09	4,02,000	32,160	500	31,660
Parking 2009-10	4,43,000	35,440	500	34,940
Theka Haddi Chamada	51,000	4,080	100	3,980
Total	59,59,000	4,76,720	1,620	4,75,100

Appendix 3.2
(Reference: Para 3.2 Page No. 33)
Non-adjustment of advances

(₹. In lakh)

Department	Nagar Nigam, Aligarh				Nagar Nigam, Varanasi			
	1986 -87 to 1996-97	1997-98 to 02-03	2003-04 to 08-09	Total	1971-72 to 1996-97	1997-98 to 02-03	2003-04 to 08-09	Total
Health	1.16	0	14.25	15.41	16.51	3.70	15.00	35.21
Works	5.99	11.83	11.35	29.17	32.43	8.23	22.60	63.26
Water works	0.28	5.36	788.12	793.76	-	-	-	-
Light	-	-	-	-	0.95	0.13	0	1.09
Misc.	-	-	-	-	0	1.76	9.66	11.42
			Total	838.34			Total	110.98