

# Appendix 2.1

## Statement of grants/appropriations where saving was more than Rs 10 crore in each grant/appropriation or more than 20 per cent of the total provision (Reference paragraph 2.3.1 ; page 43)

| Sl. No.                | Grant No | Name of the Grant/Appropriation                                                     | Total Grant/<br>Appropriation | Savings  | Per centage |
|------------------------|----------|-------------------------------------------------------------------------------------|-------------------------------|----------|-------------|
|                        |          |                                                                                     | (Rupees in crore)             |          |             |
| (1)                    | (2)      | (3)                                                                                 | (4)                           | (5)      | (6)         |
| <b>A-Revenue Voted</b> |          |                                                                                     |                               |          |             |
| 1                      | 3        | Industries Department (Small Industry and Export Promotion)                         | 89.35                         | 13.10    | 15          |
| 2                      | 4        | Industries Department (Mines and Minerals)                                          | 28.32                         | 15.87    | 56          |
| 3                      | 7        | Industries Department (Heavy and Medium Industries)                                 | 196.93                        | 138.96   | 71          |
| 4                      | 9        | Power Department                                                                    | 2,289.68                      | 302.76   | 13          |
| 5                      | 10       | Agriculture and Other Allied Departments (Horticulture and Sericulture Development) | 170.86                        | 19.73    | 12          |
| 6                      | 11       | Agriculture and Other Allied Departments (Agriculture)                              | 2,393.72                      | 460.99   | 19          |
| 7                      | 13       | Agriculture and Other Allied Departments (Rural Development)                        | 1,651.72                      | 13.37    | 1           |
| 8                      | 14       | Agriculture and Other Allied Departments (Panchayati Raj)                           | 1,597.50                      | 379.24   | 24          |
| 9                      | 15       | Agriculture and Other Allied Departments (Animal Husbandry)                         | 329.86                        | 24.08    | 7           |
| 10                     | 16       | Agriculture and Other Allied Departments (Dairy Development)                        | 111.98                        | 27.88    | 25          |
| 11                     | 17       | Agriculture and Other Allied Departments (Fisheries)                                | 78.56                         | 43.64    | 56          |
| 12                     | 18       | Agriculture and Other Allied Departments (Co-operative)                             | 151.69                        | 26.01    | 17          |
| 13                     | 21       | Food and Civil Supplies Department                                                  | 161.01                        | 129.42   | 80          |
| 14                     | 23       | Cane Development Department (Cane)                                                  | 102.76                        | 14.23    | 14          |
| 15                     | 24       | Cane Development Department (Sugar Industry )                                       | 625.87                        | 124.80   | 20          |
| 16                     | 26       | Home Department (Police)                                                            | 4,096.75                      | 64.74    | 2           |
| 17                     | 31       | Medical Department (Medical Education and Training)                                 | 867.51                        | 96.46    | 11          |
| 18                     | 32       | Medical Department (Allopathy)                                                      | 1,881.09                      | 369.50   | 20          |
| 19                     | 33       | Medical Department (Ayurvedic and Unani)                                            | 269.32                        | 52.41    | 19          |
| 20                     | 34       | Medical Department (Homeopathy)                                                     | 128.45                        | 21.50    | 17          |
| 21                     | 35       | Medical Department (Family Welfare)                                                 | 883.58                        | 56.25    | 6           |
| 22                     | 36       | Medical Department (Public Health)                                                  | 286.20                        | 74.09    | 26          |
| 23                     | 37       | Urban Development Department                                                        | 3,156.61                      | 300.97   | 10          |
| 24                     | 40       | Planning Department                                                                 | 102.17                        | 32.71    | 32          |
| 25                     | 42       | Judicial Department                                                                 | 587.74                        | 157.09   | 27          |
| 26                     | 44       | Tourism Department                                                                  | 18.57                         | 3.66     | 20          |
| 27                     | 45       | Environment Department                                                              | 88.62                         | 85.69    | 97          |
| 28                     | 46       | Administrative Reforms Department                                                   | 5.59                          | 1.72     | 31          |
| 29                     | 47       | Technical Education Department                                                      | 180.18                        | 59.31    | 33          |
| 30                     | 48       | Muslim Waqf Department                                                              | 700.79                        | 279.40   | 40          |
| 31                     | 49       | Women and Child Welfare Department                                                  | 2,198.37                      | 138.16   | 6           |
| 32                     | 50       | Revenue Department (District Administration)                                        | 310.46                        | 10.71    | 3           |
| 33                     | 51       | Revenue Department (Relief on Account of Natural Calamities)                        | 1,147.10                      | 57.67    | 5           |
| 34                     | 52       | Revenue Department (Board of Revenue and Other Expenditure)                         | 1,032.97                      | 64.99    | 6           |
| 35                     | 53       | National Integration Department                                                     | 1.68                          | 1.44     | 86          |
| 36                     | 54       | Public Works Department (Establishment)                                             | 787.99                        | 496.58   | 63          |
| 37                     | 61       | Finance Department (Debt Services and Other Expenditure)                            | 4,784.82                      | 1,278.98 | 27          |
| 38                     | 71       | Education Department (Primary Education)                                            | 9,600.10                      | 646.22   | 7           |
| 39                     | 72       | Education Department (Secondary Education)                                          | 3,743.03                      | 202.21   | 5           |
| 40                     | 73       | Education Department (Higher Education)                                             | 971.23                        | 164.51   | 17          |
| 41                     | 75       | Education Department<br>(State Council of Educational Research and Training)        | 76.48                         | 13.19    | 17          |
| 42                     | 76       | Labour Department (Labour Welfare)                                                  | 133.87                        | 18.20    | 14          |
| 43                     | 78       | Secretariat Administration Department                                               | 270.69                        | 32.23    | 12          |
| 44                     | 80       | Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)          | 2,423.52                      | 42.93    | 2           |
| 45                     | 81       | Social Welfare Department (Tribal Welfare)                                          | 40.46                         | 13.13    | 32          |
| 46                     | 83       | Social Welfare Department (Special Component Plan for Scheduled Castes)             | 4,476.43                      | 470.38   | 10          |

|                        |    |                                                                             |                  |                 |     |
|------------------------|----|-----------------------------------------------------------------------------|------------------|-----------------|-----|
| 47                     | 86 | Information Department                                                      | 105.75           | 46.17           | 44  |
| 48                     | 87 | Soldier's Welfare Department                                                | 40.90            | 10.58           | 26  |
| 49                     | 88 | Institutional Finance Department (Directorate)                              | 33.19            | 26.91           | 81  |
| 50                     | 89 | Institutional Finance Department (Commercial-Tax)                           | 301.72           | 18.57           | 6   |
| 51                     | 95 | Irrigation Department (Establishment )                                      | 1,201.45         | 78.65           | 7   |
| <b>Total- A</b>        |    |                                                                             | <b>56,915.19</b> | <b>7,221.99</b> |     |
| <b>B-Capital Voted</b> |    |                                                                             |                  |                 |     |
| 52                     | 1  | Excise Department                                                           | 0.20             | 0.07            | 35  |
| 53                     | 7  | Industries Department (Heavy and Medium Industries)                         | 180.10           | 22.75           | 13  |
| 54                     | 8  | Industries Department (Printing and Stationery)                             | 8.97             | 7.34            | 82  |
| 55                     | 9  | Power Department                                                            | 7,876.46         | 2,176.69        | 28  |
| 56                     | 11 | Agriculture and Other Allied Departments (Agriculture)                      | 318.74           | 154.60          | 48  |
| 57                     | 14 | Agriculture and Other Allied Departments (Panchayati Raj)                   | 631.23           | 60.28           | 10  |
| 58                     | 15 | Agriculture and Other Allied Departments (Animal Husbandry)                 | 109.24           | 71.48           | 65  |
| 59                     | 16 | Agriculture and Other Allied Departments (Dairy Development)                | 2.00             | 1.98            | 99  |
| 60                     | 24 | Cane Development Department (Sugar Industry)                                | 321.39           | 165.28          | 51  |
| 61                     | 26 | Home Department (Police)                                                    | 307.83           | 69.62           | 23  |
| 62                     | 27 | Home Department (Civil Defence)                                             | 9.62             | 7.21            | 75  |
| 63                     | 32 | Medical Department (Allopathy)                                              | 647.29           | 122.79          | 19  |
| 64                     | 33 | Medical Department (Ayurvedic and Unani)                                    | 10.22            | 3.88            | 38  |
| 65                     | 37 | Urban Development Department                                                | 200.00           | 11.20           | 6   |
| 66                     | 38 | Civil Aviation Department                                                   | 115.80           | 42.70           | 37  |
| 67                     | 42 | Judicial Department                                                         | 207.50           | 111.16          | 54  |
| 68                     | 44 | Tourism Department                                                          | 69.65            | 26.45           | 38  |
| 69                     | 45 | Environment Department                                                      | 80.65            | 80.65           | 100 |
| 70                     | 47 | Technical Education Department                                              | 75.91            | 33.96           | 45  |
| 71                     | 48 | Muslim Waqf Department                                                      | 227.27           | 167.86          | 74  |
| 72                     | 49 | Women and Child Welfare Department                                          | 60.08            | 59.53           | 99  |
| 73                     | 51 | Revenue Department (Relief on Account of Natural Calamities)                | 100.00           | 70.07           | 70  |
| 74                     | 52 | Revenue Department (Board of Revenue and Other Expenditure)                 | 14.52            | 13.65           | 94  |
| 75                     | 53 | National Integration Department                                             | 0.01             | 0.01            | 100 |
| 76                     | 56 | Public Works Department (Special Area Programme)                            | 417.00           | 21.86           | 5   |
| 77                     | 61 | Finance Department (Debt Services and other Expenditure)                    | 244.01           | 21.69           | 9   |
| 78                     | 62 | Finance Department (Superannuation Allowances and Pensions)                 | 100.00           | 53.02           | 53  |
| 79                     | 63 | Finance Department (Treasury and Accounts Administration)                   | 1.12             | 0.32            | 29  |
| 80                     | 65 | Finance Department (Audit, Small Saving, etc.)                              | 0.02             | 0.01            | 50  |
| 81                     | 67 | Legislative Council Secretariat                                             | 0.40             | 0.40            | 100 |
| 82                     | 68 | Legislative Assembly Secretariat                                            | 0.84             | 0.52            | 62  |
| 83                     | 72 | Education Department (Secondary Education)                                  | 40.55            | 15.17           | 37  |
| 84                     | 73 | Education Department (Higher Education)                                     | 37.50            | 18.97           | 51  |
| 85                     | 75 | Education Department (State Council of Educational Research and Training)   | 1.30             | 1.30            | 100 |
| 86                     | 78 | Secretariat Administration Department                                       | 24.82            | 24.82           | 100 |
| 87                     | 79 | Social Welfare Department (Welfare of the Handicapped and Backward Classes) | 28.01            | 9.09            | 32  |
| 88                     | 81 | Social Welfare Department (Tribal Welfare)                                  | 9.44             | 8.87            | 94  |
| 89                     | 83 | Social Welfare Department (Special Component Plan for SCs)                  | 3,224.09         | 399.73          | 12  |
| 90                     | 86 | Information Department                                                      | 1.00             | 1.00            | 100 |
| 91                     | 89 | Institutional Finance Department (Commercial-Tax)                           | 12.50            | 11.10           | 89  |
| 92                     | 92 | Culture Department                                                          | 225.93           | 10.68           | 5   |
| <b>Total B</b>         |    |                                                                             | <b>15,943.21</b> | <b>4,079.76</b> |     |

## Appendix - 2.1

| C-Revenue Charged |    |                                                              |                        |                    |                  |     |
|-------------------|----|--------------------------------------------------------------|------------------------|--------------------|------------------|-----|
| 93                | 1  | Excise Department                                            |                        | 0.10               | 0.10             | 100 |
| 94                | 3  | Industries Department (Small Industry and Export Promotion)  |                        | 0.04               | 0.04             | 100 |
| 95                | 11 | Agriculture and Other Allied Departments (Agriculture)       |                        | 0.12               | 0.12             | 100 |
| 96                | 13 | Agriculture and other Allied Departments (Rural Development) |                        | 0.11               | 0.03             | 27  |
| 97                | 15 | Agriculture and Other Allied Departments (Animal Husbandry)  |                        | 0.14               | 0.13             | 93  |
| 98                | 21 | Food and Civil Supplies Department                           |                        | 205.01             | 205.01           | 100 |
| 99                | 22 | Sports Department                                            |                        | 0.01               | 0.01             | 100 |
| 100               | 23 | Cane Development Department (Cane)                           |                        | 0.01               | 0.01             | 100 |
| 101               | 25 | Home Department (Jail)                                       |                        | 0.08               | 0.05             | 62  |
| 102               | 26 | Home Department (Police)                                     |                        | 0.65               | 0.65             | 100 |
| 103               | 32 | Medical Department (Allopathy)                               |                        | 0.20               | 0.20             | 100 |
| 104               | 35 | Medical Department (Family Welfare)                          |                        | 0.15               | 0.15             | 100 |
| 105               | 36 | Medical Department (Public Health)                           |                        | 0.01               | 0.01             | 100 |
| 106               | 42 | Judicial Department                                          |                        | 98.30              | 10.02            | 10  |
| 107               | 47 | Technical Education Department                               |                        | 0.01               | 0.01             | 100 |
| 108               | 48 | Muslim Waqf Department                                       |                        | 0.02               | 0.01             | 50  |
| 109               | 49 | Women and Child Welfare Department                           |                        | 0.10               | 0.10             | 100 |
| 110               | 50 | Revenue Department (District Administration)                 |                        | 0.16               | 0.16             | 100 |
| 111               | 54 | Public Works Department (Establishment )                     |                        | 0.04               | 0.04             | 100 |
| 112               | 58 | Public Works Department (Communications Roads)               |                        | 0.05               | 0.05             | 100 |
| 113               | 60 | Forest Department                                            |                        | 0.14               | 0.12             | 86  |
| 114               | 61 | Finance Department (Debt Services and other expenditure)     |                        | 14,425.77          | 124.66           | 1   |
| 115               | 63 | Finance Department (Treasury and Accounts Administration)    |                        | 0.04               | 0.04             | 100 |
| 116               | 67 | Legislative Council Secretariat                              |                        | 0.45               | 0.14             | 31  |
| 117               | 68 | Legislative Assembly Secretariat                             |                        | 0.57               | 0.23             | 40  |
| 118               | 95 | Irrigation Department (Establishment)                        |                        | 0.50               | 0.05             | 100 |
|                   |    |                                                              | <b>Total -C</b>        | <b>14,732.78</b>   | <b>342.14</b>    |     |
| D-Capital Charged |    |                                                              |                        |                    |                  |     |
| 119               | 52 | Revenue Department (Board of Revenue and Other Expenditure)  |                        | 0.11               | 0.11             | 100 |
| 120               | 58 | Public Works Department (Communications- Roads)              |                        | 5.50               | 2.75             | 50  |
| 121               | 61 | Finance Department (Debt Services and Other Expenditure)     |                        | 15,253.03          | 10,001.56        | 66  |
|                   |    |                                                              | <b>Total -D</b>        | <b>15,258.64</b>   | <b>10,004.42</b> |     |
|                   |    |                                                              | <b>Total (A+B+C+D)</b> | <b>1,02,849.82</b> | <b>21,648.31</b> |     |

# Appendix 2.2

Statement of grants/appropriations where expenditure was more than Rs. 10 crore in each grant/appropriation or more than 20 *per cent* of the total provision  
(Reference paragraph 2.3.3; page 45)

| Sl. No.                   | Grant No | Name of the Grant/Appropriation                                            | Total Grant/<br>Appropriation | Expenditure      | Percentage of<br>excess<br>expenditure |
|---------------------------|----------|----------------------------------------------------------------------------|-------------------------------|------------------|----------------------------------------|
|                           |          |                                                                            | Rs in crore                   |                  |                                        |
| (1)                       | (2)      | (3)                                                                        | (4)                           | (5)              | (6)                                    |
| <b>A-Revenue-Voted</b>    |          |                                                                            |                               |                  |                                        |
| 1                         | 5        | Industries Department (Handloom and Village Industries)                    | 42.08                         | 52.04            | 24                                     |
| 2                         | 20       | Personal Department(Public Service Commission)                             | 1.26                          | 1.52             | 21                                     |
| 3                         | 58       | Public Works Department (Communications- Roads)                            | 1300.20                       | 1358.82          | 5                                      |
| 4                         | 59       | Public Works Department(Estate Directorate)                                | 86.51                         | 96.72            | 12                                     |
| 5                         | 62       | Finance Department (Superannuation Allowances & Pensions)                  | 5065.74                       | 5586.98          | 10                                     |
| 6                         | 79       | Social Welfare Department(Welfare of the Handicapped and Backward Classes) | 1452.16                       | 1471.28          | 1                                      |
| 7                         | 96       | Irrigation Department(Works)                                               | 1420.30                       | 1450.35          | 2                                      |
|                           |          | <b>Total</b>                                                               | <b>9,368.25</b>               | <b>10,017.71</b> |                                        |
| <b>B- Capital Voted</b>   |          |                                                                            |                               |                  |                                        |
| 1                         | 13       | Agriculture and Other Allied Departments (Rural Development)               | 684.98                        | 716.40           | 5                                      |
| 2                         | 21       | Food and Civil Supplies Department                                         | 7552.62                       | 7961.78          | 5                                      |
| 3                         | 40       | Planning Department                                                        | 65.24                         | 113.68           | 74                                     |
| 4                         | 50       | Revenue Department(District Administration)                                | 166.03                        | 180.22           | 9                                      |
| 5                         | 55       | Public Works Department (Buildings)                                        | 46.55                         | 816.05           | 1653                                   |
| 6                         | 57       | Public Works Department (Communication-Bridges)                            | 595.00                        | 629.14           | 6                                      |
| 7                         | 58       | Public Works Department (Communications- Roads)                            | 2961.35                       | 4659.23          | 57                                     |
| 8                         | 96       | Irrigation Department (Works)                                              | 2364.29                       | 3034.41          | 28                                     |
|                           |          | <b>Total</b>                                                               | <b>14,436.06</b>              | <b>18,110.91</b> |                                        |
| <b>C- Revenue Charged</b> |          |                                                                            |                               |                  |                                        |
| 1                         | 52       | Revenue Department(Board of Revenue & Other Expenditure)                   | 0.46                          | 1.54             | 235                                    |
| 2                         | 55       | Public Works Department (Buildings)                                        | 2.62                          | 4.62             | 76                                     |
| 3                         | 72       | Education Department (Secondary Education)                                 | 0.03                          | 0.70             | 2233                                   |
|                           |          | <b>Total</b>                                                               | <b>3.11</b>                   | <b>6.86</b>      |                                        |
|                           |          | <b>Grand Total</b>                                                         | <b>23,807.42</b>              | <b>28,135.48</b> |                                        |

# Appendix 2.3

## Excess over provision of previous years requiring regularisation

(Reference paragraph 2.3.4; page 45)

| Year         | Number of grants/<br>appropriations | Grant/ appropriation numbers                                                                                                                                                              | Amount of excess<br>(Rs in crore) |
|--------------|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|
| 2005-06      | 29                                  | Revenue- Voted 8, 12, 19, 53, 55, 57, 58, 72<br>Capital- Voted 15, 16, 18, 23, 24, 33, 34, 37, 38, 40, 55, 56, 57, 58,<br>73, 75, 96<br>Revenue- Charged 1, 52<br>Capital- Charged 52, 55 | 1,026.78                          |
| 2006-07      | 24                                  | Revenue- Voted 9, 13, 55, 58, 61, 62, 73, 91, 95<br>Capital- Voted 3, 16, 31, 37, 55, , 57, 58, 89, 96<br>Revenue- Charged 2, 3, 10, 52, 62, 89                                           | 2,484.47                          |
| 2007-08      | 14                                  | Revenue –Voted 51,55,57,58,62<br>Capital Voted 13,16,55,58,63,83,96<br>Revenue Charged 51,66                                                                                              | 3,610.65                          |
| <b>Total</b> |                                     |                                                                                                                                                                                           | <b>7,121.90</b>                   |

# Appendix 2.4

Cases where supplementary provision  
(Rs 50 lakh or more in each case) proved unnecessary  
(Reference paragraph 2.3.6; page 46)

(In thousands of Rupees)

| Sl. No.                    | Number and name of the Grant                                                            | Original Provision | Actual expenditure | Savings out of Original provision | Supplementary provision |
|----------------------------|-----------------------------------------------------------------------------------------|--------------------|--------------------|-----------------------------------|-------------------------|
| <b>A-Revenue (Charged)</b> |                                                                                         |                    |                    |                                   |                         |
| 1                          | 29- Confidential Department (Governor's Secretariat)                                    | 4,75,63            | 4,50,23            | 25,40                             | 60,32                   |
| 2                          | 42- Judicial Department                                                                 | 88,98,64           | 88,28,33           | 70,31                             | 9,31,11                 |
|                            | <b>Total -A</b>                                                                         | <b>93,74,27</b>    | <b>92,78,56</b>    | <b>95,71</b>                      | <b>9,91,43</b>          |
| <b>B-Revenue (Voted)</b>   |                                                                                         |                    |                    |                                   |                         |
| 3                          | 3-Industries Department (Small Industry and Export Promotion)                           | 80,83,49           | 76,25,24           | 4,58, 25                          | 8,51,92                 |
| 4                          | 4- Industries Department (Mines and Minerals)                                           | 27,21,03           | 12,45,26           | 14,75,77                          | 1,11,14                 |
| 5                          | 6- Industries Department (Handloom Industry)                                            | 44,96,91           | 42,76,44           | 2,20,47                           | 1,08,18                 |
| 6                          | 7- Industries Department (Heavy and Medium Industries)                                  | 1,86,73,39         | 57,96,57           | 1,28,76,82                        | 10,19,39                |
| 7                          | 8- Industries Department (Printing and Stationery)                                      | 80,46,85           | 77,79,70           | 2,67,15                           | 6,16,33                 |
| 8                          | 9- Power Department                                                                     | 22,88,70,02        | 19,86,91,67        | 3,01,78,35                        | 97,91                   |
| 9                          | 10- Agriculture and Other Allied Departments (Horticulture and Sericulture Development) | 1,61,98,61         | 1,51,13,10         | 10,85,51                          | 8,87,56                 |
| 10                         | 11- Agriculture and Other Allied Departments (Agriculture)                              | 23,35,26,01        | 19,32,73,04        | 4,02,52,97                        | 58,46,11                |
| 11                         | 16- Agriculture and Other Allied Departments (Dairy Development)                        | 1,10,79,97         | 84,10,35           | 26,69,62                          | 1,18,29                 |
| 12                         | 17- Agriculture & Other Allied Departments (Fisheries)                                  | 75,73,89           | 34,91,76           | 40,82,13                          | 2,81,84                 |
| 13                         | 18- Agriculture and Other Allied Departments (Co-operative)                             | 1,42,21,59         | 1,25,68,03         | 16,53,56                          | 9,47,22                 |
| 14                         | 19- Personnel Department (Training and Other Expenditure)                               | 7,03,09            | 6,70,35            | 32,74                             | 1,14,50                 |
| 15                         | 21-Food and Civil Supplies Department                                                   | 1,41,72,10         | 31,59,49           | 1,10,12,61                        | 19,29,26                |
| 16                         | 22-Sports Department                                                                    | 25,84,03           | 25,49,87           | 34,16                             | 1,26,56                 |
| 17                         | 23-Cane Development Department (Cane)                                                   | 92,44,87           | 88,52,90           | 3,91,97                           | 10,30,96                |
| 18                         | 28- Home Department (Political Pension and Other Expenditure)                           | 85,18,43           | 84,15,92           | 1,02,51                           | 4,40,28                 |
| 19                         | 31-Medical Department (Medical Education and Training)                                  | 8,23,68,07         | 7,71,04,42         | 52,63,65                          | 43,82,75                |
| 20                         | 32- Medical Department (Allopathy)                                                      | 17,41,71,36        | 15,11,58,64        | 2,30,12,72                        | 1,39,37,82              |
| 21                         | 33- Medical Department (Ayurvedic and Unani)                                            | 2,43,11,55         | 2,16,90,93         | 26,20,62                          | 26,20,06                |
| 22                         | 34- Medical Department (Homeopathy)                                                     | 1,15,27,13         | 1,06,95,62         | 8,31,51                           | 13,18,17                |
| 23                         | 36- Medical Department (Public Health)                                                  | 2,57,80,61         | 2,12,10,47         | 45,70,14                          | 28,39,22                |
| 24                         | 39-Language Department                                                                  | 6,49,55            | 6,45,52            | 4,03                              | 70,72                   |
| 25                         | 40- Planning Department                                                                 | 94,69,07           | 69,46,18           | 25,22,89                          | 7,47,73                 |
| 26                         | 42-Judicial Department                                                                  | 5,34,16,00         | 4,30,65,07         | 1,03,50,93                        | 53,58,27                |
| 27                         | 44-Tourism Department                                                                   | 15,21,29           | 14,91,01           | 30,28                             | 3,36,16                 |
| 28                         | 47-Technical Education Department                                                       | 1,61,93,98         | 1,20,87,13         | 41,06,85                          | 18,24,12                |
| 29                         | 51-Revenue Department (Relief on account of Natural Calamities)                         | 11,34,46,78        | 10,89,42,84        | 45,03,94                          | 12,63,22                |
| 30                         | 54- Public Works Department (Establishment)                                             | 7,16,75,83         | 2,91,41,04         | 4,25,34,79                        | 71,23,06                |
| 31                         | 67-Legislative Council and Secretariat                                                  | 17,67,20           | 16,13,93           | 1,53,27                           | 90,31                   |
| 32                         | 68- Legislative Assembly Secretariat                                                    | 49,86,12           | 49,79,82           | 6,30                              | 1,93,17                 |

## Appendix 2.4

|                        |                                                                                  |                      |                      |                    |                    |
|------------------------|----------------------------------------------------------------------------------|----------------------|----------------------|--------------------|--------------------|
| 33                     | 70-Science and Technology Department                                             | 30,94,27             | 28,79,58             | 2,14,69            | 4,34,94            |
| 34                     | 71-Education Department (Primary Education)                                      | 89,55,75,24          | 89,53,88,45          | 1,86,79            | 6,44,34,69         |
| 35                     | 73- Education Department (Higher education)                                      | 8,85,16,14           | 8,06,71,91           | 78,44,23           | 86,07,02           |
| 36                     | 75- Education Department (State Council of Educational Research and Training)    | 69,85,21             | 63,29,37             | 6,55,84            | 6,62,92            |
| 37                     | 76-Labour Department                                                             | 1,22,63,84           | 1,15,66,89           | 6,96,95            | 11,22,87           |
| 38                     | 78- Secretariat Administration Department                                        | 2,44,20,46           | 2,38,46,04           | 5,74,42            | 26,49,00           |
| 39                     | 81-Social Welfare Department (Tribal Welfare)                                    | 28,83,37             | 27,33,23             | 1,50,14            | 11,63,01           |
| 40                     | 83- Social Welfare Department<br>(Special Component Plan for Scheduled Castes)   | 40,68,96,57          | 40,06,04,81          | 62,91,76           | 4,07,46,29         |
| 41                     | 90-Institutional Finance Department<br>(Entertainment and Betting Tax)           | 61,24,15             | 60,61,50             | 62,65              | 1,24,53            |
| 42                     | 91- Institutional Finance Department<br>(Stamps and Registration)                | 81,18,03             | 81,04,11             | 13,92              | 3,46,62            |
| <b>Total -B</b>        |                                                                                  | <b>2,63,48,76,10</b> | <b>2,41,08,78,20</b> | <b>22,39,97,90</b> | <b>17,69,24,12</b> |
| <b>C-Capital Voted</b> |                                                                                  |                      |                      |                    |                    |
| 43                     | 7- Industries Department<br>(Heavy & Medium Industries)                          | 1,60,00,00           | 1,57,34,68           | 2,65,32            | 20,10,00           |
| 44                     | 9- Power Department                                                              | 77,78,46,42          | 56,99,77,17          | 20,78,69,25        | 98,00,02           |
| 45                     | 11-Agriculture and Other Allied Departments(Agriculture)                         | 2,39,13,54           | 1,64,13,85           | 74,99,69           | 79,60,00           |
| 46                     | 26-Home Department (Police)                                                      | 2,91,20,02           | 2,38,20,66           | 52,99,36           | 16,62,26           |
| 47                     | 33-Medical Department (Ayurvedic and Unani)                                      | 8,88,00              | 6,33,63              | 2,54,37            | 1,33,63            |
| 48                     | 44-Tourism Department                                                            | 67,26,28             | 43,20,25             | 24,06,03           | 2,38,92            |
| 49                     | 62-Finance Department<br>(Superannuation Allowance & Pensions)                   | 50,00,00             | 46,98,04             | 3,01,96            | 50,00,00           |
| 50                     | 79- Social Welfare Department<br>(Welfare of the Handicapped & Backward Classes) | 19,36,11             | 18,92,66             | 43,45              | 8,65,36            |
| 51                     | 83-Social Welfare Department<br>(Special Component Plan for Scheduled Castes)    | 29,46,83,23          | 28,24,35,91          | 1,22,47,32         | 2,77,25,77         |
| <b>Total-C</b>         |                                                                                  | <b>1,15,61,13,60</b> | <b>91,99,26,85</b>   | <b>23,61,86,75</b> | <b>5,53,95,96</b>  |
| <b>Grand Total</b>     |                                                                                  | <b>3,79,09,89,70</b> | <b>3,33,08,05,05</b> | <b>46,01,84,65</b> | <b>23,23,20,08</b> |

# Appendix 2.5

## Statement of grants/appropriations where supplementary provision proved insufficient by more than Rs 2 crore in each grant/appropriation

(Reference paragraph 2.3.6; page 46)

| Sl. No                 | Grant Number | Name of the Grants and Appropriation                                      | Original Provision | Supplementary provision | Total            | Expenditure      | Excess          |
|------------------------|--------------|---------------------------------------------------------------------------|--------------------|-------------------------|------------------|------------------|-----------------|
| (Rs. in crore)         |              |                                                                           |                    |                         |                  |                  |                 |
| <b>Revenue Voted</b>   |              |                                                                           |                    |                         |                  |                  |                 |
| 1                      | 5            | Industries Department (Handloom and village Industries)                   | 39.91              | 2.17                    | 42.08            | 52.04            | 9.96            |
| 2                      | 25           | Home Department(Jail)                                                     | 170.96             | 27.79                   | 198.75           | 203.22           | 4.47            |
| 3                      | 43           | Transport Department                                                      | 51.05              | 104.98                  | 156.03           | 158.98           | 2.95            |
| 4                      | 59           | Public Works Department (Estate Directorate)                              | 80.56              | 5.95                    | 86.51            | 96.72            | 10.21           |
| 5                      | 62           | Finance Department (Superannuation Allowances and Pensions)               | 5,015.74           | 50.00                   | 5,065.74         | 5,586.98         | 521.24          |
| 6                      | 79           | Social Welfare Department (Welfare of the Handicapped & Backward Classes) | 1,177.21           | 274.95                  | 1,452.16         | 1,471.28         | 19.12           |
|                        |              | <b>Total</b>                                                              | <b>6,535.43</b>    | <b>465.84</b>           | <b>7,001.27</b>  | <b>7,569.22</b>  | <b>567.95</b>   |
| <b>Capital Voted</b>   |              |                                                                           |                    |                         |                  |                  |                 |
| 7                      | 13           | Agriculture and other Allied Department (Rural Department)                | 667.79             | 17.19                   | 684.98           | 716.40           | 31.42           |
| 8                      | 21           | Food and Civil Supplies Department                                        | 6,502.62           | 1,050.00                | 7,552.62         | 7,961.78         | 409.16          |
| 9                      | 40           | Planning Department                                                       | 59.63              | 5.61                    | 65.24            | 113.68           | 48.44           |
| 10                     | 57           | Public Works Department (Communication-Bridges)                           | 575.00             | 20.00                   | 595.00           | 629.14           | 34.14           |
| 11                     | 58           | Public Works Department (Communication-Roads)                             | 2,876.35           | 85.00                   | 2,961.35         | 4,659.23         | 1,697.88        |
|                        |              | <b>Total</b>                                                              | <b>10,681.39</b>   | <b>1,177.80</b>         | <b>11,859.19</b> | <b>14,080.23</b> | <b>2,221.04</b> |
| <b>Revenue Charged</b> |              |                                                                           |                    |                         |                  |                  |                 |
| 12                     | 20           | Personal Department (Public Service Commission)                           | 14.64              | 2.40                    | 17.04            | 19.26            | 2.22            |
|                        |              | <b>Total</b>                                                              | <b>14.64</b>       | <b>2.40</b>             | <b>17.04</b>     | <b>19.26</b>     | <b>2.22</b>     |
|                        |              | <b>Grand Total</b>                                                        | <b>17,231.46</b>   | <b>1,646.04</b>         | <b>18,877.50</b> | <b>21,668.71</b> | <b>2,791.21</b> |



# Appendix 2.6

## Excess/unnecessary/insufficient re-appropriation of funds (Reference paragraph 2.3.7; page 46)

(Rupees in lakh)

| Sl. No | Grant No. | Name of the Grant                               | Head of Account | Re-appropriations (+) | Final Excess(+)/<br>Saving (-) |
|--------|-----------|-------------------------------------------------|-----------------|-----------------------|--------------------------------|
| 1.     | 1         | Excise Department                               | 2039-001-03     | 81.25                 | (-)2,94.27                     |
| 2.     | 2         | Housing Department                              | 2052-800-03     | 2.70                  | (+) 36.47                      |
|        |           |                                                 | 2205-800-03     | 97.26                 | (-) 97.26                      |
| 3.     | 3         | Industries Department                           | 2851-102-01     | 100.64                | (-)7,95.59                     |
| 4.     |           | (Small Industry and Export Promotion)           | 2851-102-06     | 166.03                | (+) 43.20                      |
| 5.     |           |                                                 | 2852-80-001-03  | 288.00                | (-)2,31.71                     |
| 6.     | 4         | Industries Department (Mines and Minerals)      | 2853-02-004-03  | 72.30                 | (+) 68.21                      |
| 7.     | 10        | Agriculture and Other Allied Departments        | 2401-001-05     | 6.92                  | (-) 33.59                      |
| 8.     |           | (Horticulture and Sericulture Development)      | 2415-80-07      | 0.41                  | (+) 1.63                       |
| 9.     | 11        | Agriculture and Other Allied                    | 2401-105-01     | 13.52                 | (-) 12.23                      |
| 10.    |           | Departments(Agriculture)                        | 2401-107-03     | 399.81                | (-) 13.42                      |
| 11.    |           |                                                 | 2401-111-01     | 30.03                 | (-) 31.20                      |
| 12.    |           |                                                 | 2401-001-03     | 48.63                 | (+) 23.15                      |
| 13.    |           |                                                 | 2401-001-05     | 395.05                | (-) 1.62                       |
| 14.    |           |                                                 | 2401-113-01     | 28.01                 | (-) 0.01                       |
| 15.    |           |                                                 | 2401-800-03     | 9181.54               | (-) 3.05                       |
| 16.    |           |                                                 | 2402-102-10     | 5452.15               | (+) 1.03                       |
| 17.    |           |                                                 | 2402-102-11     | 2753.76               | (-) 2,26.83                    |
| 18.    |           |                                                 | 2415-80-120-03  | 838.61                | (+) 37.50                      |
| 19.    |           |                                                 | 2415-80-120-09  | 75.00                 | (+)72.10                       |
| 20.    |           |                                                 | 2415-80-120-27  | 2080.56               | (-) 17,02.28                   |
| 21.    |           |                                                 | 4401-103 -04    | 8588.18               | (+) 3,73.73                    |
| 22.    | 13        | Agriculture and Other Allied Departments (Rural | 2215-01-102-01  | 167.51                | (-) 31,32.17                   |
| 23.    |           | Development)                                    | 2515-001-04     | 7.83                  | (+) 0.78                       |
| 24.    |           |                                                 | 2505-01-702-01  | 2192.70               | (+) 24,44.55                   |
| 25.    |           |                                                 | 2515-001-03     | 20.60                 | (+) 3.12                       |
| 26.    |           |                                                 | 2515-102-06     | 497.61                | (-) 1,00.42                    |
| 27.    | 14        | Agriculture and Other Allied Departments        | 2515-800-10     | 260.14                | (-) 6,85.68                    |
| 28.    |           | (Panchayati Raj)                                | 2515-800-13     | 167.86                | (-) 5,38.83                    |
| 29.    |           |                                                 | 2515-800-07     | 9.36                  | (+) 6.80                       |
| 30.    | 15        | Agriculture and Other Allied Departments        | 2403-800-06     | 266.62                | (-)7.18                        |
|        |           | (Animal Husbandry)                              |                 |                       |                                |
| 31.    | 17        | Agriculture and Other Allied Departments        | 2405-001-03     | 5.00                  | (+) 15.36                      |
|        |           | (Fisheries)                                     |                 |                       |                                |
| 32.    | 18        | Agriculture and Other Allied Departments        | 2049-01-200-03  | 16.59                 | (-)16.59                       |
|        |           | (Cooperative)                                   |                 |                       |                                |
| 33.    | 21        | Food and Civil Supplies Department              | 2075-800-04     | 8.47                  | (-)28.23                       |
| 34.    |           |                                                 | 4408-01-101-03  | 4500.00               | (+) 7,79,39.61                 |
| 35.    | 23        | Cane Development Department (Cane)              | 2401-108-04     | 19.63                 | (+) 18.17                      |
| 36.    | 25        | Home Department(Jail)                           | 2056-001-03     | 8.04                  | (+) 6.04                       |
| 37.    |           |                                                 | 2056-101-03     | 1332.27               | (+) 2,97.10                    |

|    |    |                                                        |                 |          |             |
|----|----|--------------------------------------------------------|-----------------|----------|-------------|
| 38 | 26 | Home Department (Police)                               | 2070-105-03     | 50.00    | (-)0.52     |
| 39 |    |                                                        | 2055-001-03     | 954.46   | (-)245.54   |
| 40 |    |                                                        | 2055-003-04     | 572.39   | (+)76.26    |
| 41 |    |                                                        | 2055-101-03     | 2706.51  | (+)3,41.50  |
| 42 |    |                                                        | 2055-101-04     | 422.76   | (-)2.75     |
| 43 |    |                                                        | 2055-104-03     | 3512.10  | (+)91.70    |
| 44 |    |                                                        | 2055-108-03     | 394.37   | (+)1,48.76  |
| 45 |    |                                                        | 2055-109-03     | 28055.17 | (+)11,83.84 |
| 46 |    |                                                        | 2055-109-04     | 563.00   | (-)2,54.95  |
| 47 |    |                                                        | 2055-109-05     | 2868.00  | (-)12,97.34 |
| 48 |    |                                                        | 2055-109-06     | 5.00     | (+)14.00    |
| 49 |    |                                                        | 2055-111-03     | 706.40   | (+)0.64     |
| 50 |    |                                                        | 2055-113-04     | 240.50   | (-)48.51    |
| 51 |    |                                                        | 2055-113-05     | 25.00    | (+)2.39     |
| 52 |    |                                                        | 2055-114-03     | 40.31    | (+)1.97     |
| 53 |    |                                                        | 2055-115-03     | 250.00   | (-)16.11    |
| 54 |    |                                                        | 2055-116-03     | 65.50    | (+) 2.63    |
| 55 |    |                                                        | 2055-800-03     | 211.00   | (+)27,03.08 |
| 56 |    |                                                        | 2070-108-03     | 1690.43  | (+)1,23.93  |
| 57 |    |                                                        | 4055-207-03     | 90.00    | (-) 90.47   |
| 58 | 27 | Home Department (Civil Defence)                        | 2070-107-04     | 17.49    | (+) 82.16   |
| 59 |    |                                                        | 2070-106-03     | 1.00     | (+)10.33    |
| 60 |    |                                                        | 2070-107-03     | 16.30    | (+)74.47    |
| 61 |    |                                                        | 4070-800-06     | 123.54   | (-) 0.01    |
| 62 | 31 | Medical Department<br>(Medical Education and Training) | 2210-05-105-03  | 71.57    | (-)6047.90  |
| 63 |    |                                                        | 2210-01-110-15  | 1223.14  | (-) 621.79  |
| 64 |    |                                                        | 2210-05-105-03  | 44.18    | (-)19.01    |
| 65 | 32 | Medical Department (Allopathy)                         | 2210-01-110-04  | 2725.00  | (-)11779.32 |
| 66 |    |                                                        | 2210-03-110-10  | 1796.00  | (-) 7394.31 |
| 67 | 33 | Medical Department (Ayurvedic and Unani)               | 2210-04-101-04  | 21.86    | (-) 1565.90 |
| 68 |    |                                                        | 2210-04-103-03  | 0.16     | (-) 20.72   |
| 69 |    |                                                        | 2210-05-101-06  | 7.12     | (-)909.65   |
| 70 |    |                                                        | 2210-05-103-03  | 2.62     | (-) 344.46  |
| 71 | 37 | Urban Development Department                           | 2215-02-106-01  | 2240.39  | (-)581.48   |
| 72 |    |                                                        | 2217-05-800-06  | 50000    | 5589.50     |
| 73 | 41 | Election department                                    | 2015-105-04     | 50.24    | (-)9.75     |
| 74 |    |                                                        | 2015-103-03     | 1450.00  | (+)32.10    |
| 75 |    |                                                        | 2015-103-04     | 14.00    | (-)1.68     |
| 76 |    |                                                        | 2015-103-05     | 212.88   | (+) 40.92   |
| 77 |    |                                                        | 2015-106-03     | 879.11   | (+) 7.14    |
| 78 |    |                                                        | 2015-106-04     | 52.10    | (+) 0.21    |
| 79 |    |                                                        | 2015-106-05     | 36.73    | (+) 3.01    |
| 80 | 42 | Judicial Department                                    | 2235-60-200-10  | 150.00   | (-)150.00   |
| 81 |    |                                                        | 2014-114-03     | 53.53    | (-)0.35     |
| 82 |    |                                                        | 2014-102-800-07 | 57.43    | (+)0.32     |
| 83 |    |                                                        | 4059-01-051-01  | 942.11   | (+) 2421.97 |
| 84 | 43 | Transport Department                                   | 3055-001-03     | 26.07    | (-)36.38    |
| 85 | 48 | Muslim Waqf Department                                 | 2250-102-03     | 32.31    | (-)37.90    |
| 86 |    |                                                        | 2225-80-800-03  | 1331.11  | (+) 4.81    |

## Appendix 2.6

|     |    |                                                                |                 |         |               |
|-----|----|----------------------------------------------------------------|-----------------|---------|---------------|
| 87  | 49 | Women and Child Welfare Department                             | 2235-02-102-08  | 106.79  | (-)165.42     |
| 88  |    |                                                                | 2235-02-102-01  | 9000.00 | (-)3,279.56   |
| 89  |    |                                                                | 2235-02-103-01  | 524.68  | (+) 33.05     |
| 90  |    |                                                                | 4235-02-103-01  | 55.00   | (-) 129.02    |
| 91  | 50 | Revenue Department (District Administration)                   | 2053-093-03     | 1120.00 | (+)1063.87    |
| 92  |    |                                                                | 2053-101-03     | 118.00  | (-)79.24      |
| 93  |    |                                                                | 3053-02-102-03  | 41.50   | (-)15.88      |
| 94  | 52 | Revenue Department                                             | 2052-099-03     | 10.56   | (-)113.58     |
| 95  |    | (Board of Revenue and Other Expenditure)                       | 2029-001-03     | 5.52    | (+)77.63      |
| 96  | 53 | National Integration Department                                | 2070-800-01-09  | 0.25    | (-)13.52      |
| 97  |    |                                                                | 2070-800-14     | 11.94   | (-) 6.65      |
| 98. | 54 | Public Works Department<br>(Establishment)                     | 2059-80-001-03  | 5.00    | (-)2812.15    |
| 99  |    |                                                                | 2059-80-001-04  | 1755.00 | (+)1532.77    |
| 100 |    |                                                                | 2059-80-001-05  | 1000.00 | (-)293.25     |
| 101 | 56 | Public Works Department<br>(Special Area Programme)            | 4575-60-800-08  | 538.32  | (-)834.50     |
| 102 | 57 | Public Works Department<br>(Communication-Bridges)             | 5054-04-101-035 | 336.92  | +370.06       |
| 103 | 58 | Public Works Department<br>(Communication-Roads)               | 5054-03-337-03  | 1959.50 | (+)1735.79    |
| 104 |    |                                                                | 5054-04-337-13  | 8887.58 | (+) 13,607.92 |
| 105 | 59 | Public Works Department (Estate Directorate)                   | 2216-01-700-05  | 15.00   | (-) 82.50     |
| 106 |    |                                                                | 2052-090-03     | 127.70  | (+) 803.27    |
| 107 |    |                                                                | 2070-800-03     | 113.79  | (+) 8.94      |
| 108 |    |                                                                | 4059-01-051-05  | 14.79   | (+) 9.44      |
| 109 |    |                                                                | 4216-01-700-05  | 1103.27 | (-)28.85      |
| 110 | 62 | Finance Department<br>(Superannuation Allowances and Pensions) | 2071-01-101-03  | 6761.44 | (+)24581.41   |
| 111 |    |                                                                | 2071-01-105-03  | 7227.29 | (+) 758.69    |
| 112 |    |                                                                | 2071-01-109-04  | 270.03  | (+) 1692.45   |
| 113 |    |                                                                | 2071-01-109-05  | 57.41   | (+) 1539.89   |
| 114 |    |                                                                | 2071-01-115-03  | 6115.00 | (-) 1479.10   |
| 115 |    |                                                                | 2071-01-200-04  | 261.84  | (-) 113.50    |
| 116 |    |                                                                | 2071-01-103-03  | 8.25    | (-) 29.87     |
| 117 |    |                                                                | 2049-60-701-04  | 0.46    | (+) 175.32    |
| 118 | 65 | Finance Department<br>(Audit, Small Savings etc.)              | 2052-091-800-03 | 1.67    | (-) 138.33    |
| 119 |    |                                                                | 2054-098-03     | 293.00  | (-) 313.23    |
| 120 |    |                                                                | 2070-105-03     | 5.50    | (+) 17.15     |
| 121 | 67 | Legislative Council Secretariat                                | 2011-103-03     | 48.30   | (-) 0.07      |
| 122 | 71 | Education Department (Primary Education)                       | 2202-01-001-03  | 105.56  | (-) 299.72    |
| 123 | 72 | Education Department (Secondary Education)                     | 2202-02-001-03  | 37.30   | (+) 258.05    |
| 124 |    |                                                                | 2202-02-101-03  | 25.15   | (+) 428.86    |
| 125 |    |                                                                | 2202-02-104-05  | 1.37    | (+) 36.54     |
| 126 |    |                                                                | 2202-05-103-04  | 607.30  | (-)93.07      |

|     |    |                                                                                 |                |          |            |
|-----|----|---------------------------------------------------------------------------------|----------------|----------|------------|
| 127 | 73 | Education Department (Higher Education)                                         | 2202-03-001-03 | 1.50     | (+) 61.64  |
| 128 |    |                                                                                 | 2204-102-01    | 92.50    | (+) 20.90  |
| 129 |    |                                                                                 | 4202-01-203-05 | 987.39   | (-)177.57  |
| 130 | 75 | Education Department (State Council of Educational Research and Training)       | 2202-80-800-01 | 25.00    | (+) 29.70  |
| 131 | 76 | Labour Department (Labour Welfare)                                              | 2230-103-04    | 3.14     | (-)12.08   |
| 132 |    |                                                                                 | 2210-01-102-05 | 85.40    | (-)38.50   |
| 133 |    |                                                                                 | 2210-02-102-03 | 6.24     | (+) 8.39   |
| 134 |    |                                                                                 | 2230-01-001-03 | 0.50     | (+) 10.06  |
| 135 |    |                                                                                 | 2230-01-101-03 | 98.96    | (+) 21.95  |
| 136 | 77 | Labour Department (Employment)                                                  | 2230-02-800-05 | 15.44    | (-)22.53   |
| 137 |    |                                                                                 | 2230-02-001-03 | 78.12    | (+) 2.64   |
| 138 |    |                                                                                 | 2230-02-101-03 | 4.45     | 1.26       |
| 139 |    |                                                                                 | 2230-03-003-04 | 28.93    | (-)49.51   |
| 140 |    |                                                                                 | 2230-02-101-04 | 12.38    | (-)8.08    |
| 141 |    |                                                                                 | 2230-02-800-03 | 66.70    | (+) 59.28  |
| 142 |    |                                                                                 | 2230-03-003-10 | 104.45   | (-)77.65   |
| 143 |    |                                                                                 | 2230-03-003-11 | 3.50     | (-)2.72    |
| 144 |    |                                                                                 | 2230-03-003-14 | 32.13    | (-)23.24   |
| 145 |    |                                                                                 | 2230-03-102-03 | 11.90    | (-)8.50    |
| 146 |    |                                                                                 | 4250-203-05    | 19.00    | (+) 231.20 |
| 147 | 78 | Secretariat Administration Department                                           | 2052-090-04    | 15.00    | (-)0.74    |
| 148 | 79 | Social Welfare Department (Welfare of the Handicapped and the Backward Classes) | 2235-02-101-03 | 4.62     | (-)174.89  |
| 149 |    |                                                                                 | 2225-03-277-07 | 2500.00  | (-)179.68  |
| 150 | 80 | Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)      | 2225-01-001-03 | 0.69     | (-)71.49   |
| 151 |    |                                                                                 | 2235-02-104-05 | 3057.00  | +5010.07   |
| 152 |    |                                                                                 | 2235-02-104-07 | 6000.00  | (-)181.34  |
| 153 |    |                                                                                 | 2225-01-277-03 | 258.47   | (+) 5.42   |
| 154 | 81 | Social Welfare Department (Tribal Welfare)                                      | 2235-02-796-03 | 19.58    | (+)124.09  |
| 155 | 83 | Social Welfare Department (Special Component Plan for Scheduled Castes)         | 2217-05-800-01 | 2210.19  | (+)1051.77 |
| 156 |    |                                                                                 | 2225-01-800-03 | 7379.50  | (-)5,72.14 |
| 157 |    |                                                                                 | 2403-101-02    | 400.00   | (-)379.99  |
| 158 |    |                                                                                 | 2515-101-98    | 57134.66 | (-)4365.51 |
| 159 |    |                                                                                 | 4225-01-277-06 | 3378.83  | (+) 232.74 |
| 160 |    |                                                                                 | 4575-60-800-03 | 15528.04 | (-)261.55  |
| 161 |    |                                                                                 | 4575-60-800-04 | 8548.37  | (-)1078.45 |

Appendix 2.6

|     |                |                                                               |                |         |              |
|-----|----------------|---------------------------------------------------------------|----------------|---------|--------------|
| 162 |                |                                                               | 5054-04-337-03 | 184.71  | (+) 432.85   |
| 163 |                |                                                               | 5054-04-337-06 | 3381.70 | (+)1935.93   |
| 164 |                |                                                               | 5054-04-337-98 | 506.92  | (+) 11683.66 |
| 165 | 84             | General Administration Department                             | 2075-800-03    | 9.50    | (-) 1.83     |
| 166 | 86             | Information Department                                        | 2220-60-101-05 | 660.31  | (-)4157.67   |
| 167 | 91             | Institutional Finance Department<br>(Stamps and Registration) | 2030-03-001-03 | 15.00   | (-)16.02     |
| 168 |                |                                                               | 2030-03-001-04 | 35.00   | (-) 145.10   |
| 169 |                |                                                               | 2030-03-001-02 | 10.00   | (+)37.18     |
| 170 |                |                                                               | 2030-02-001-03 | 60.00   | (+)241.18    |
| 171 | 95             | Irrigation Department (Establishment)                         | 2701-02-001-04 | 50.00   | (-)2260.31   |
| 172 | 96             | Irrigation Department (Works)                                 | 2700-13-101-03 | 49.58   | (+) 0.42     |
| 173 |                |                                                               | 2701-36-101-03 | 199.00  | (+) 18.70    |
| 174 |                |                                                               | 2701-64-101-03 | 86.00   | (-) 1.36     |
| 175 |                |                                                               | 2701-66-101-03 | 10.00   | (+)10.00     |
| 176 |                |                                                               | 4700-04-800-05 | 2077.71 | (-)122.06    |
| 177 |                |                                                               | 4700-09-800-03 | 1221.75 | (+)537.42    |
| 178 |                |                                                               | 4700-18-800-03 | 200.00  | (+) 81.98    |
| 179 |                |                                                               | 4700-18-800-22 | 2095.58 | (-)221.65    |
| 180 |                |                                                               | 4700-52-800-03 | 113.99  | (+)223.06    |
| 181 |                |                                                               | 4700-54-800-03 | 900.76  | (+)255.97    |
| 182 |                |                                                               | 4700-55-800-03 | 500.00  | (+)106.45    |
| 183 |                |                                                               | 4700-59-800-03 | 80.70   | (+)181.21    |
| 184 |                |                                                               | 4700-60-800-03 | 771.91  | (-) 214.56   |
| 185 |                |                                                               | 4700-69-800-03 | 100.00  | (-) 17.21    |
| 186 |                |                                                               | 4700-81-800-03 | 953.21  | (+)100.01    |
| 187 |                |                                                               | 4700-82-800-03 | 47.01   | (+)8.05      |
| 188 |                |                                                               | 4700-83-800-03 | 150.00  | (+)252.96    |
| 189 |                |                                                               | 4701-40-800-03 | 143.20  | (+)51.85     |
| 190 |                |                                                               | 4701-43-800-03 | 858.00  | (-)49.03     |
| 191 |                |                                                               | 4701-46-800-03 | 71.66   | (+)94.98     |
| 192 |                |                                                               | 4701-51-800-03 | 54.36   | (+) 11.37    |
| 193 | 4701-52-800-03 | 231.25                                                        | (-) 65.56      |         |              |

|              |  |  |                |                    |                                          |
|--------------|--|--|----------------|--------------------|------------------------------------------|
| 194          |  |  | 4702-101-04    | 1321.37            | (+) 828.40                               |
| 195          |  |  | 4702-102-03    | 682.70             | (+) 59.68                                |
| 196          |  |  | 4711-01-103-01 | 230.00             | (-)205.48                                |
| 197          |  |  | 4711-01-103-03 | 1,808.27           | (+)1119.59                               |
| 198          |  |  | 4711-01-103-06 | 772.51             | (-)351.45                                |
| 199          |  |  | 4711-01-103-09 | 600.01             | (+)151.19                                |
| 200          |  |  | 4711-01-103-23 | 1,594.47           | (+)132.96                                |
| 201          |  |  | 4711-01-103-29 | 749.01             | (+)81.69                                 |
| 202          |  |  | 4700-30-800-03 | 95.00              | (+) 8.65                                 |
| 203          |  |  | 4700-38-800-03 | 280.78             | (+)0.94                                  |
| 204          |  |  | 4700-64-800-03 | 100.00             | (+)109.11                                |
| 205          |  |  | 4700-64-800-05 | 96.93              | (-) 129.19                               |
| 206          |  |  | 4711-01-08     | 553.80             | (+)578.10                                |
| 207          |  |  | 4711-01-11     | 791.00             | (-) 300.97                               |
| 208          |  |  | 4711-03-103-11 | 100.00             | (-) 499.63                               |
| <b>Total</b> |  |  |                | <b>25,43,00.92</b> | <b>(+)16,36,56.71<br/>(-) 6,70,73.18</b> |

# Appendix 2.7

## Substantial surrenders made during 2008-09 (Reference paragraph 2.3.9; page 47)

| Sl. No. | Number and title of grant                                                           | Name of the scheme (Head of account)                                               | Provision (Rs in lakh) | Amount surrendered (Rs in lakh) | Percentage of surrender |
|---------|-------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|------------------------|---------------------------------|-------------------------|
| 1       | 4- Industries                                                                       | Arrangement for Mineral Fund (2853-02-004-05)                                      | 800.00                 | 800.00                          | 100                     |
| 2       | Department (Mines and Minerals)                                                     | Transfer to Development Fund (2853-797-03)                                         | 800.00                 | 800.00                          | 100                     |
| 3       | 5- Industries                                                                       | Organisation of Rural Industrial Exhibition (2851-105-07)                          | 55.00                  | 55.00                           | 100                     |
| 4       | Department (Village & Handloom Industry)                                            | PDS & QA Scheme (2851-105-25)                                                      | 20.00                  | 20.00                           | 100                     |
| 5       |                                                                                     | Skill Revision Training (2851-105-26)                                              | 30.00                  | 30.00                           | 100                     |
| 6       | 6- Industries                                                                       | Scheme for development of power loom area (2851-103-10)                            | 467.50                 | 467.50                          | 100                     |
| 7       | Department (Handloom Industries)                                                    | Central Plan/Centrally Plan Schemes (2851-103-01)                                  | 3122.01                | 2292.02                         | 73                      |
| 8       | 9-Power Department                                                                  | Share capital investment in State Electricity Production limited, (4801-02-190-05) | 2300                   | 2300                            | 100                     |
| 9       |                                                                                     | Share capital investment in State Electricity Production limited, (4801-02-190-10) | 38600.00               | 19300.00                        | 50                      |
| 10      |                                                                                     | State Electricity Production Limited Anapar (4801-02-190-14)                       | 115600.00              | 72600.00                        | 62                      |
| 11      |                                                                                     | Investment in Share Capital of Electricity Corp. (4801-05-190-05)                  | 10000.00               | 10000.00                        | 100                     |
| 12      |                                                                                     | Investment in share capital of Power Corp. (4801-06-190-03)                        | 165000.00              | 113469.25                       | 69                      |
| 13      | 10- Agriculture & Other Allied Departments (Horticulture & Sericulture Development) | Horticulture Crop Insurance ( 2401-110-03)                                         | 200                    | 200                             | 100                     |
| 14      |                                                                                     | Creation of horticulture data base (2411-111-03)                                   | 122.00                 | 122.00                          | 100                     |
| 15      |                                                                                     | Food Science training centres (4401-800-03),                                       | 20.00                  | 20.00                           | 100                     |
| 16      |                                                                                     | Up gradation of Food Science Training Centres (4401-800-04)                        | 50.00                  | 50.00                           | 100                     |
| 17      | 11- Agriculture and Other Allied Departments (Agriculture)                          | Certified Seed Production Programme (2401-103-07)                                  | 3691.49                | 3691.49                         | 100                     |
| 18      |                                                                                     | National Agriculture Development Scheme (24012-103-08)                             | 879.41                 | 831.49                          | 95                      |
| 19      |                                                                                     | Development of Ex. Services in Private Sectors(2401-109-06)                        | 6000.00                | 5271.70                         | 88                      |
| 20      |                                                                                     | Diversified Agricultural Support Project - II (2401-109-97)                        | 1732.00                | 1732.00                         | 100                     |
| 21      |                                                                                     | Research/Designing for agricultural Statistics & Management 2401-111-04            | 224.96                 | 130.76                          | 58                      |
| 22      |                                                                                     | Crops Production & data Bank of Statistics (2401-111-05)                           | 557.59                 | 303.51                          | 54                      |
| 23      |                                                                                     | National Agriculture Development Scheme (2401-119-03)                              | 6039.50                | 4818.58                         | 80                      |
| 24      |                                                                                     | Central Plan/centrally sponsored Schemes (2401-800-01)                             | 445.19                 | 445.19                          | 100                     |
| 25      |                                                                                     | National Agriculture Development Scheme (2402-103-05)                              | 200.00                 | 100.00                          | 50                      |
| 26      |                                                                                     | National Agriculture Development Scheme (2403-102-03)                              | 373.00                 | 373.00                          | 100                     |
| 27      |                                                                                     | Research programme in Agriculture and Technological University (2415-120-23)       | 2500.00                | 1940.00                         | 78                      |

|    |                                                                  |                                                                          |                                  |         |         |
|----|------------------------------------------------------------------|--------------------------------------------------------------------------|----------------------------------|---------|---------|
| 28 |                                                                  | Agricultural Extension Scheme (2415-120-24)                              | 500.00                           | 500.00  | 100     |
| 29 |                                                                  | National Agriculture Development Scheme (2851-107-03)                    | 742.40                           | 742.40  | 100     |
| 30 |                                                                  | Central Plan/centrally sponsored Schemes (4401-102-01)                   | 964.26                           | 945.50  | 98      |
| 31 |                                                                  | National Agriculture Development Scheme (4401-103-06)                    | 458.50                           | 458.50  | 100     |
| 32 |                                                                  | National Agriculture Development Scheme (4401-800-03)                    | 2460.00                          | 2460.00 | 100     |
| 33 |                                                                  | Strengthening of soil health (4402-102-03)                               | 892.00                           | 892.00  | 100     |
| 34 |                                                                  | National Agriculture Development Scheme (4402-102-04)                    | 1000.00                          | 683.49  | 68      |
| 35 |                                                                  | National Agriculture Development Scheme (4403-101-03)                    | 3000.00                          | 3000.00 | 100     |
| 36 |                                                                  | National Agriculture Development Scheme (4404-102-03)                    | 2500.00                          | 2500.00 | 100     |
| 37 | 13- Agriculture and Other Allied Departments (Rural Development) | Central Plan/centrally sponsored Schemes (2702-800-01)                   | 256.70                           | 213.19  | 83      |
| 38 |                                                                  | Technical Audit Cell (2702-800-05)                                       | 56.97                            | 56.97   | 100     |
| 39 |                                                                  | Research and Design Cell (2702-800-06)                                   | 64.39                            | 64.39   | 100     |
| 40 |                                                                  | Payment of arrears (2052-800-03)                                         | 21.18                            | 17.02   | 80      |
| 41 |                                                                  | Centre for Good Governance (2070-800-04)                                 | 50.00                            | 49.26   | 99      |
| 42 |                                                                  | 21- Food and Civil Supplies Department                                   | Payment of arrears (2052-800-03) | 1600.82 | 1011.52 |
| 43 | 26- Home Department (Police)                                     | Police Reform Commission (2055-800-07)                                   | 100.36                           | 82.10   | 82      |
| 44 |                                                                  | State Commission and Committees (2070-105-03)                            | 125.42                           | 107.69  | 86      |
| 45 |                                                                  | UP State Advisory Council (2070-800-05)                                  | 71.32                            | 59.00   | 82      |
| 46 |                                                                  | Non residential buildings (Modernisation of Police Force ) (4055-207-05) | 6000.00                          | 3001.15 | 50      |
| 47 |                                                                  | Residential buildings of the Police Department (4055-211-06)             | 5120.00                          | 4107.88 | 80      |
| 48 |                                                                  | Residential /non residential buildings (4055-211-08,                     | 100.00                           | 100.00  | 100     |
| 49 | 27- Home Department (Civil Defence)                              | Non residential buildings (4070-800-05)                                  | 885.00                           | 654.77  | 74      |
| 50 |                                                                  | Modernisation of Home Guards (4070-800-06)                               | 76.81                            | 66.65   | 87      |
| 51 | 28- Home Department (Political Pension and Other Expenditure)    | Subsidiary grant for construction of monuments (2251-200-05)             | 30.00                            | 21.58   | 72      |
| 52 | 38- Civil Aviation Department                                    | Construction etc., of airstrips and land acquisition (5053-800-20)       | 4499.98                          | 3499.98 | 78      |
| 53 |                                                                  | Special repairs of helicopters/airplanes (5053-800-04)                   | 1400.00                          | 886.75  | 63      |
| 54 | 40- Planning Department                                          | Arrear payments (2052-800-03)                                            | 652.91                           | 328.49  | 50      |



## Appendix 2.7

|    |                                                                 |                                                           |                                            |          |            |
|----|-----------------------------------------------------------------|-----------------------------------------------------------|--------------------------------------------|----------|------------|
| 55 | 41-Election Department                                          | Arrear Payments (2052-800-03)                             | 129.82                                     | 69.78    | <b>54</b>  |
| 56 | 42- Judicial Department                                         | Maintenance of buildings (2014-800-05)                    | 80.00                                      | 80.00    | <b>100</b> |
| 57 |                                                                 | Maintenance of Residential buildings (2014-800-06)        | 50.00                                      | 50.00    | <b>100</b> |
| 58 |                                                                 | Payment of arrears (2052-800-03)                          | 5283.13                                    | 5283.13  | <b>100</b> |
| 59 |                                                                 | Public court (2235-200-05)                                | 434.13                                     | 434.13   | <b>100</b> |
| 60 |                                                                 | Payment of arrears (2052-800-03)                          | 818.46                                     | 589.02   | <b>72</b>  |
| 61 |                                                                 | Central Plan/Centrally sponsored Schemes (4059-051-01)    | 18100.01                                   | 12318.28 | <b>68</b>  |
| 62 |                                                                 | Office building of AG /Standing Council (4059-051-03)     | 450.00                                     | 450.00   | <b>100</b> |
| 63 |                                                                 | Construction in Honble High Court (4059-051-04)           | 74.50                                      | 50.25    | <b>67</b>  |
| 64 |                                                                 | Residence for judicial administration (4216-700-03)       | 500.01                                     | 412.72   | <b>83</b>  |
| 65 |                                                                 | 44-Tourism Department                                     | Operation of Shilp Gram Agra (3452-104-09) | 246.00   | 241.54     |
| 66 | Externally Aided scheme (3452-800-97)                           |                                                           | 100.00                                     | 100.00   | <b>100</b> |
| 67 | Development of different tourist places (5452-104-11)           |                                                           | 100.00                                     | 100.00   | <b>100</b> |
| 68 | Scheme of district Kannauj (5452-104-12)                        |                                                           | 27.17                                      | 27.17    | <b>100</b> |
| 69 | Construction of road side facilities (5452-104-17)              |                                                           | 100.00                                     | 100.00   | <b>100</b> |
| 70 | Establishment of Lucknow Haat (5452-104-19)                     |                                                           | 300.00                                     | 300.00   | <b>100</b> |
| 71 | Different tourism works (5452-104-81)                           |                                                           | 500.00                                     | 459.26   | <b>92</b>  |
| 72 | Externally Aided scheme (5452-800-97)                           |                                                           | 900.00                                     | 900.00   | <b>100</b> |
| 73 | 46-Administrative Reforms Department                            | Payment of arrears (2052-800-03)                          | 48.83                                      | 43.07    | <b>88</b>  |
| 74 | 48- Muslim Waqf Department                                      | Central Plan/Centrally sponsored Schemes (4235-800-01)    | 20000.00                                   | 14137.71 | <b>71</b>  |
| 75 | 50-Revenue Department (District Administration)                 | Office buildings including purchase of land (4059-051-03) | 3450.00                                    | 1734.59  | <b>50</b>  |
| 76 |                                                                 | Office buildings including purchase of land (4216-106-08) | 575.00                                     | 392.78   | <b>68</b>  |
| 77 | 51-Revenue Department (Relief on Account of Natural Calamities) | Establishment related to natural calamity (2052-090-03)   | 30.80                                      | 26.55    | <b>86</b>  |
| 78 |                                                                 | Transfer to state Disaster Response Fund (2245-102-03)    | 250.00                                     | 250.00   | <b>100</b> |
| 79 |                                                                 | Transfer to District Disaster Response Fund (2245-102-04) | 250.00                                     | 250.00   | <b>100</b> |

|     |                                                                 |                                                                                                  |          |         |     |
|-----|-----------------------------------------------------------------|--------------------------------------------------------------------------------------------------|----------|---------|-----|
| 80  |                                                                 | Transfer to state Disaster Mitigation Fund (2245-102-05)                                         | 250.00   | 250.00  | 100 |
| 81  |                                                                 | Transfer to District Disaster Mitigation Fund (2245-102-06)                                      | 250.00   | 250.00  | 100 |
| 82  |                                                                 | Capital work in Bundelkhand, other drought areas (4575-800-03)                                   | 10000.00 | 8475.12 | 85  |
| 83  | 58-Public Works Department (Communication Roads)                | Viability gap funding schemes of PP projects (5054-337-68)                                       | 2000.00  | 2000.00 | 100 |
| 84  | 61-Finance Department (Debt services and Other expenditure)     | Interest on Depreciation Reserve Fund (2049-101-03)                                              | 9000.00  | 8000.00 | 89  |
| 85  |                                                                 | HBA to officers of All India Services (7610-201-03)                                              | 100.00   | 86.50   | 87  |
| 86  | 62- Finance Department (Superannuation Allowances and Pensions) | Loans for voluntarily retirement scheme to sick corporations etc (6075-800-03)                   | 10000.00 | 5301.96 | 53  |
| 87  | 68- Legislative Assembly Secretariat                            | Revolving Fund for treatment of members of Legislative Assembly (6210-800-03)                    | 40.00    | 40.00   | 100 |
| 88  | 70-Science and Technology Department                            | Central Plan/Centrally Sponsored Scheme (2810-800-01)                                            | 449.50   | 449.50  | 100 |
| 89  | 73- Education Department (Higher Education)                     | Extension of basic facilities in State Universities (2202-102-47)                                | 1200.00  | 649.96  | 54  |
| 90  |                                                                 | Employment of Bureau/Guidance / Placement Cell (2202-102-48)                                     | 100.00   | 100.00  | 100 |
| 91  |                                                                 | Establishment of Govt. Degree College (2202-103-06)                                              | 282.95   | 273.01  | 96  |
| 92  |                                                                 | Basic Facilities in Non Govt. Degree Colleges (2202-104-29)                                      | 1000.00  | 1000.00 | 100 |
| 93  |                                                                 | Higher Education Service Commission (2202-800-03)                                                | 375.70   | 340.70  | 91  |
| 94  |                                                                 | Payment of arrears (2202-800-05)                                                                 | 8607.02  | 8577.60 | 100 |
| 95  |                                                                 | Land purchase/building construction for regional higher education officer's office (4202-203-06) | 500.00   | 421.86  | 84  |
| 96  |                                                                 | State Administration and Management Institute (4202-203-07)                                      | 200.00   | 200.00  | 100 |
| 97  |                                                                 | Fittings and fixtures in Govt. Degree Colleges (4202-203-14)                                     | 50.00    | 50.00   | 100 |
| 98  |                                                                 | Basic facilities in Govt. Degree Colleges (4202-203-15)                                          | 300.00   | 215.78  | 72  |
| 99  | 76-labour Department (Labour Welfare)                           | Strengthening of industrial management etc.(2230-101-05)                                         | 37.43    | 26.45   | 71  |
| 100 |                                                                 | Central Plan/Centrally Sponsored Scheme (2230-103-01)                                            | 1555.00  | 1515.30 | 97  |
| 101 | 78- Secretariat Administration Department                       | Income Tax due to GOI to be borne by the State (2013-101-04)                                     | 25.00    | 20.18   | 81  |
| 102 |                                                                 | CCTV/surveillance camera etc in Secretariat (4059-800-04)                                        | 481.83   | 481.83  | 100 |

Appendix 2.7

|              |                                                |                                                               |                  |                  |     |
|--------------|------------------------------------------------|---------------------------------------------------------------|------------------|------------------|-----|
| 103          |                                                | Modernisation of security arrangement (4070-800-03)           | 2000.00          | 2000.00          | 100 |
| 104          | 81- Social Welfare Department (Tribal Welfare) | Payment of arrears (2052-800-03)                              | 79.58            | 61.70            | 78  |
| 105          |                                                | Headquarters establishment (2225-001-03)                      | 137.08           | 77.25            | 56  |
| 106          |                                                | Establishment of district office (2225-001-04)                | 113.28           | 86.71            | 77  |
| 107          |                                                | Government Ashram Type School for STs (2225-277-04)           | 397.72           | 204.33           | 51  |
| 108          |                                                | Implementation of ITDP (2225-796-05)                          | 94.64            | 54.94            | 58  |
| 109          |                                                | Central Plan/Centrally Sponsored Scheme (4225-277-01)         | 300.00           | 300.00           | 100 |
| 110          |                                                | Construction of hostels for STs students (4225-277-03)        | 200.48           | 200.48           | 100 |
| 111          |                                                | Central Plan/Centrally Sponsored Scheme (4250-203-01)         | 380.00           | 380.00           | 100 |
| 112          | 86- Information Department                     | Gallery and establishment of statues (4059-051-03)            | 100.00           | 100.00           | 100 |
| 113          | 92- Culture Department                         | Strengthening and modernisation of museums (4202-106-05)      | 150.00           | 125.70           | 84  |
| 114          |                                                | Land for maitrai project in district Kushinagar (4202-106-12) | 1000.00          | 735.53           | 74  |
| 115          |                                                | Construction of Auditorium/Open dais (4202-800-03)            | 50.00            | 50.00            | 100 |
| 116          |                                                | Construction of Craft Village in Ayodhya (4202-800-04)        | 50.00            | 50.00            | 100 |
| <b>Total</b> |                                                |                                                               | <b>498113.74</b> | <b>350626.14</b> |     |

# Appendix 2.8

## Surrenders in excess of actual saving (Rs 50 lakh or more) (Reference paragraph 2.3.10; page 47)

(Rupees in crore)

| Sl. No.                | Number and name of the grant/ appropriation                                             | Total grant/ appropriation | Saving        | Amount surrendered | Amount surrendered in excess |
|------------------------|-----------------------------------------------------------------------------------------|----------------------------|---------------|--------------------|------------------------------|
| <b>Revenue – Voted</b> |                                                                                         |                            |               |                    |                              |
| 1                      | 4- Industries Department (Mines and Minerals)                                           | 28.32                      | 15.87         | 16.58              | 0.71                         |
| 2                      | 6- Industries Department (Handloom Industry)                                            | 46.05                      | 3.29          | 27.60              | 24.31                        |
| 3                      | 10- Agriculture and Other Allied Departments (Horticulture and Sericulture Development) | 170.86                     | 19.73         | 20.39              | 0.66                         |
| 4                      | 13- Agriculture and Other Allied Departments (Rural Development)                        | 1651.72                    | 13.37         | 31.87              | 18.50                        |
| 5                      | 26- Home Department (Police)                                                            | 4096.75                    | 64.74         | 99.91              | 35.17                        |
| 6                      | 27- Home Department (Civil Defence)                                                     | 269.95                     | 1.91          | 3.65               | 1.74                         |
| 7                      | 28- Home Department (Political Pension and Other Expenditure)                           | 89.59                      | 5.43          | 8.64               | 3.21                         |
| 8                      | 41- Election Department                                                                 | 95.98                      | 5.86          | 7.65               | 1.79                         |
| 9                      | 42- Judicial Department                                                                 | 587.74                     | 157.09        | 158.17             | 1.08                         |
| 10                     | 44- Tourism Department                                                                  | 18.57                      | 3.66          | 5.27               | 1.61                         |
| 11                     | 73- Education Department (Higher Education)                                             | 971.23                     | 164.51        | 178.39             | 13.88                        |
| 12                     | 89- Institutional Finance Department (Commercial Tax)                                   | 301.72                     | 18.57         | 20.77              | 2.20                         |
|                        | <b>Total</b>                                                                            | <b>8328.48</b>             | <b>474.03</b> | <b>578.89</b>      | <b>104.86</b>                |
| <b>Capital – Voted</b> |                                                                                         |                            |               |                    |                              |
| 13                     | 26- Home Department (Police)                                                            | 307.83                     | 69.62         | 73.93              | 4.31                         |
| 14                     | 38- Civil Aviation Department                                                           | 115.80                     | 42.70         | 43.87              | 1.17                         |
| 15                     | 42- Judicial Department                                                                 | 207.50                     | 111.16        | 135.43             | 24.27                        |
| 16                     | 44- Tourism Department                                                                  | 69.65                      | 26.45         | 27.05              | 0.60                         |
| 17                     | 51- Revenue Department (Relief on account of Natural Calamities)                        | 100.00                     | 70.07         | 84.75              | 14.68                        |
|                        | <b>Total</b>                                                                            | <b>800.78</b>              | <b>320.00</b> | <b>365.03</b>      | <b>45.03</b>                 |
|                        | <b>Grand Total</b>                                                                      | <b>9129.26</b>             | <b>794.03</b> | <b>943.92</b>      | <b>149.89</b>                |

# Appendix 2.9

## Statement of grants/appropriations in which savings occurred but no part of which was surrendered (Reference paragraph 2.3.11; page 47)

| Sl. No.          | Name of grant/appropriation                                                      | Savings (Rs in crore) |         |
|------------------|----------------------------------------------------------------------------------|-----------------------|---------|
|                  |                                                                                  | Revenue               | Capital |
| <b>I -Grants</b> |                                                                                  |                       |         |
| 1                | 1-Excise Department                                                              | 5.85                  | 0.07    |
| 2                | 2-Housing Department                                                             | 8.86                  | 6.17    |
| 3                | 3-Industries Department (Small Industry and Export Promotion)                    | -                     | 0.60    |
| 4                | 7-Industries Department (Heavy and Medium Industries)                            | 138.96                | 22.75   |
| 5                | 8-Industries Department (Printing and Stationery)                                | 8.83                  | 7.34    |
| 6                | 14-Agriculture and Other Allied Departments (Panchayati Raj)                     | 379.24                | 60.28   |
| 7                | 15-Agriculture and Other Allied Departments (Animal Husbandry)                   | 24.08                 | 71.48   |
| 8                | 16-Agriculture and Other Allied Departments (Dairy Development)                  | 27.88                 | 1.98    |
| 9                | 22-Sports Department                                                             | 1.61                  | 3.28    |
| 10               | 24-Cane Development Department (Sugar Industry)                                  | -                     | 165.28  |
| 11               | 31-Medical Department (Medical Education and Training)                           | 96.46                 | 3.65    |
| 12               | 32-Medical Department (Allopathy)                                                | 369.50                | 122.79  |
| 13               | 33-Medical Department (Ayurvedic and Unani)                                      | 52.41                 | 3.88    |
| 14               | 34-Medical Department (Homeopathy)                                               | 21.50                 | 2.06    |
| 15               | 36-Medical Department (Public Health)                                            | 74.09                 | -       |
| 16               | 43-Transport Department                                                          | -                     | 0.40    |
| 17               | 45-Environment Department                                                        | 85.69                 | 80.65   |
| 18               | 47-Technical Education Department                                                | 59.31                 | 33.96   |
| 19               | 49-Women and Child Welfare Department                                            | 138.16                | 59.53   |
| 20               | 52-Revenue Department (Board of Revenue and Other Expenditure)                   | -                     | 13.65   |
| 21               | 53-National Integration Department                                               | 1.44                  | -       |
| 22               | 54-Public Works Department (Establishment)                                       | 496.58                | -       |
| 23               | 56-Public Works Department (Special Area Programme)                              | 2.63                  | -       |
| 24               | 71-Education Department (Primary Education)                                      | 646.22                | -       |
| 25               | 72-Education Department (Secondary Education)                                    | 202.21                | 15.17   |
| 26               | 75-Education Department (State Council of Educational Research & Training )      | 13.19                 | 1.30    |
| 27               | 77-Labour Department (Employment)                                                | 6.60                  | -       |
| 28               | 79-Social Welfare Department (Welfare of Handicapped & Backward Classes)         | -                     | 9.09    |
| 29               | 80-Social Welfare Department<br>(Social Welfare and Welfare of Scheduled Castes) | 42.93                 | -       |
| 30               | 83-Social Welfare Department (Special Component Plan for Scheduled Castes)       | 470.38                | 399.73  |
| 31               | 87-Soldiers' Welfare Department                                                  | 10.58                 | 0.03    |
| 32               | 88-Institutional Finance Department (Directorate)                                | 26.91                 | -       |
| 33               | 90-Institutional Finance Department (Entertainment and Betting-Tax)              | 1.87                  | -       |

|                           |                                                                |                 |                 |
|---------------------------|----------------------------------------------------------------|-----------------|-----------------|
| 34                        | 91-Institutional Finance Department (Stamps and Registration)  | 3.61            | -               |
| 35                        | 95-Irrigation Department (Establishment)                       | 78.65           | 2.17            |
|                           | <b>Total</b>                                                   | <b>3496.23</b>  | <b>1087.29</b>  |
| <b>II- Appropriations</b> |                                                                |                 |                 |
| 1                         | 1-Excise Department                                            | 0.10            | -               |
| 2                         | 15-Agriculture and Other Allied Departments (Animal Husbandry) | 0.13            | -               |
| 3                         | 21-Food and Civil Supplies Department                          | 205.01          | -               |
| 4                         | 32-Medical Department (Allopathy)                              | 0.20            | -               |
| 5                         | 36-Medical Department (Public Health)                          | 0.01            | -               |
| 6                         | 47-Technical Education Department                              | 0.01            | -               |
| 7                         | 49-Women and Child Welfare Department                          | 0.10            | -               |
| 8                         | 52-Revenue Department (Board of Revenue and Other Expenditure) | 0.16            | -               |
| 9                         | 53-National Integration Department                             | 0.01            | -               |
| 10                        | 54-Public works Department (Establishment)                     | 0.04            | -               |
| 11                        | 95-Irrigation Department (Establishment)                       | 0.50            | -               |
| 12                        | 96-Irrigation Department (Works)                               | -               | 0.70            |
|                           | <b>Total</b>                                                   | <b>206.27</b>   | <b>0.70</b>     |
|                           | <b>Grand Total</b>                                             | <b>3,702.50</b> | <b>1,087.99</b> |
|                           | <b>Total of Revenue and Capital</b>                            | <b>4790.49</b>  |                 |

# Appendix 2.10

Details of saving of Rs 1 crore and above not surrendered  
(Reference paragraph 2.3.11; page 47)

(Rupees in crore)

| Sl. No.              | Number and Name of Grants/Appropriation                                                | Savings | Surrenders | Savings which remained to be surrendered |
|----------------------|----------------------------------------------------------------------------------------|---------|------------|------------------------------------------|
| 1                    | 2                                                                                      | 3       | 4          | 5                                        |
| <b>Revenue Voted</b> |                                                                                        |         |            |                                          |
| 1                    | 1-Excise Department                                                                    | 5.85    | NIL        | 5.85                                     |
| 2                    | 2-Housing Department                                                                   | 8.86    | NIL        | 8.86                                     |
| 3                    | 3-Industries Department<br>(Small Industry and Export promotion )                      | 13.10   | 0.19       | 12.91                                    |
| 4                    | 7-Industries Department (Heavy & Medium Industries)                                    | 138.96  | NIL        | 138.96                                   |
| 5                    | 8-Industries Department (Printing and Stationery)                                      | 8.83    | NIL        | 8.83                                     |
| 6                    | 9- Power Department                                                                    | 302.76  | 0.23       | 302.53                                   |
| 7                    | 11- Agriculture and Other Allied Departments (Agriculture)                             | 460.99  | 451.60     | 9.39                                     |
| 8                    | 12- Agriculture and Other Allied Departments<br>(Land Development and Water Resources) | 5.15    | 3.69       | 1.46                                     |
| 9                    | 14-Agriculture and Other Allied Departments (Panchayati Raj)                           | 379.24  | NIL        | 379.24                                   |
| 10                   | 15-Agriculture and Other Allied Departments<br>(Animal Husbandry)                      | 24.08   | NIL        | 24.08                                    |
| 11                   | 16-Agriculture and Other Allied Departments<br>(Dairy Development)                     | 27.88   | NIL        | 27.88                                    |
| 12                   | 17-Agriculture and Other Allied Departments (Fisheries)                                | 43.64   | NIL        | 43.64                                    |
| 13                   | 21-Food and Civil Supplies Department                                                  | 129.42  | 23.32      | 106.10                                   |
| 14                   | 22-Sports Department                                                                   | 1.61    | NIL        | 1.61                                     |
| 15                   | 23- Cane Development Department (Cane)                                                 | 14.23   | 10.51      | 3.72                                     |
| 16                   | 24- Cane Development Department (Sugar Industry)                                       | 124.80  | 1.10       | 123.70                                   |
| 17                   | 31-Medical Department<br>(Medical Education and Training)                              | 96.46   | NIL        | 96.46                                    |
| 18                   | 32-Medical Department (Allopathy)                                                      | 369.50  | Nil        | 369.50                                   |
| 19                   | 33-Medical Department (Ayurvedic and Unani)                                            | 52.41   | Nil        | 52.41                                    |
| 20                   | 34-Medical Department (Homeopathy)                                                     | 21.50   | Nil        | 21.50                                    |
| 21                   | 35- Medical Department (Family Welfare)                                                | 56.25   | 49.76      | 6.49                                     |
| 22                   | 36-Medical Department (Public Health)                                                  | 74.09   | NIL        | 74.09                                    |
| 23                   | 37- Urban Development Department                                                       | 300.97  | 175.17     | 125.80                                   |
| 24                   | 40-Planning Department                                                                 | 32.71   | 3.44       | 29.27                                    |
| 25                   | 45-Environment Department                                                              | 85.69   | NIL        | 85.69                                    |
| 26                   | 47-Technical Education Department                                                      | 59.31   | NIL        | 59.31                                    |
| 27                   | 48-Muslim Waqf Department                                                              | 279.40  | 265.55     | 13.85                                    |
| 28                   | 49-Women and Child Welfare Department                                                  | 138.16  | NIL        | 138.16                                   |
| 29                   | 51-Revenue Department<br>(Relief on account of Natural Calamities )                    | 57.67   | 10.27      | 47.40                                    |
| 30                   | 52-Revenue Department<br>(Board of Revenue and Other Expenditure)                      | 64.99   | 13.28      | 51.71                                    |
| 31                   | 53-National Integration Department                                                     | 1.44    | NIL        | 1.44                                     |
| 32                   | 54-Public Works Department (Establishment)                                             | 496.58  | Nil        | 496.58                                   |
| 33                   | 56-Public Works Department (Special Area Programme)                                    | 2.63    | NIL        | 2.63                                     |

|                      |                                                                                  |                |                |                |
|----------------------|----------------------------------------------------------------------------------|----------------|----------------|----------------|
| 34                   | 61- Finance Department<br>(Debt Services and Other Expenditure)                  | 1278.98        | 200.58         | 1078.40        |
| 35                   | 65- Finance Department (Audit, Small Saving etc.)                                | 8.89           | 1.47           | 7.42           |
| 36                   | 71-Education Department(Primary Education)                                       | 646.22         | Nil            | 646.22         |
| 37                   | 72-Education Department(Secondary Education)                                     | 202.21         | NIL            | 202.21         |
| 38                   | 75-Education Department<br>(State Council of Educational Research and Training)  | 13.19          | NIL            | 13.19          |
| 39                   | 77-Labour Department (Employment)                                                | 6.60           | NIL            | 6.60           |
| 40                   | 80-Social Welfare Department<br>(Social Welfare and Welfare of Scheduled Castes) | 42.93          | NIL            | 42.93          |
| 41                   | 83-Social Welfare Department<br>(Special Component Plan for Scheduled Castes)    | 470.38         | NIL            | 470.38         |
| 42                   | 86- Information Department                                                       | 46.17          | 4.59           | 41.58          |
| 43                   | 87-Soldier's Welfare Department                                                  | 10.58          | NIL            | 10.58          |
| 44                   | 88-Institutional Finance Department (Directorate)                                | 26.91          | NIL            | 26.91          |
| 45                   | 90-Institutional Finance Department<br>(Entertainment and Betting-Tax)           | 1.87           | Nil            | 1.87           |
| 46                   | 91-Institutional Finance Department<br>(Stamps and Registration)                 | 3.61           | NIL            | 3.61           |
| 47                   | 95-Irrigation Department (Establishment )                                        | 78.65          | NIL            | 78.65          |
|                      | <b>Total</b>                                                                     | <b>6716.35</b> | <b>1214.75</b> | <b>5501.60</b> |
| <b>Capital Voted</b> |                                                                                  |                |                |                |
| 1                    | 2- Housing Department                                                            | 6.17           | Nil            | 6.17           |
| 2                    | 7-Industries Department (Heavy and Medium Industries)                            | 22.75          | NIL            | 22.75          |
| 3                    | 8-Industries Department(Printing and Stationary)                                 | 7.34           | NIL            | 7.34           |
| 4                    | 11- Agriculture and Other Allied Departments (Agriculture)                       | 154.60         | 152.14         | 2.46           |
| 5                    | 14-Agriculture and Other Allied Departments<br>(Panchayati Raj)                  | 60.28          | NIL            | 60.28          |
| 6                    | 15-Agriculture and Other Allied Departments<br>(Animal Husbandry)                | 71.48          | NIL            | 71.48          |
| 7                    | 16-Agriculture and Other Allied Departments<br>(Dairy Development)               | 1.98           | NIL            | 1.98           |
| 8                    | 22-Sports Department                                                             | 3.28           | NIL            | 3.28           |
| 9                    | 24-Cane Development Department(Sugar Industry)                                   | 165.28         | NIL            | 165.28         |
| 10                   | 31-Medical Department(Medical Education and Training)                            | 3.65           | NIL            | 3.65           |
| 11                   | 32-Medical Department (Allopathy)                                                | 122.79         | NIL            | 122.79         |
| 12                   | 33-Medical department(Ayurvedic and Unani)                                       | 3.88           | NIL            | 3.88           |
| 13                   | 34-Medical Department (Homeopathy)                                               | 2.06           | Nil            | 2.06           |
| 14                   | 35- Medical Department (Family Welfare)                                          | 4.09           | 0.01           | 4.08           |
| 15                   | 45-Environment Department                                                        | 80.65          | NIL            | 80.65          |
| 16                   | 47-Technical Education Department                                                | 33.96          | NIL            | 33.96          |
| 17                   | 49-Women and Child Welfare Department                                            | 59.53          | Nil            | 59.53          |
| 18                   | 52-Revenue Department<br>(Board of Revenue and Other Expenditure)                | 13.65          | NIL            | 13.65          |
| 19                   | 56-Public Works Department (Special Area Programme)                              | 21.86          | 8.22           | 13.64          |
| 20                   | 61- Finance Department (Debt Services and Other Expenditure)                     | 21.69          | 7.81           | 13.88          |
| 21                   | 72-Education Department (Secondary Education)                                    | 15.17          | NIL            | 15.17          |



**Appendix 2.10**

|                        |                                                                                   |                 |                 |                 |
|------------------------|-----------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| 22                     | 73-Education Department ( Higher Education)                                       | 18.97           | 17.13           | 1.84            |
| 23                     | 75-Education Department<br>(State Council of Educational Research and Training)   | 1.30            | NIL             | 1.30            |
| 24                     | 79-Social Welfare Department<br>(Welfare of the Handicapped and Backward Classes) | 9.09            | NIL             | 9.09            |
| 25                     | 83-Social Welfare Department<br>(Special Component Plan for Scheduled Castes)     | 399.73          | NIL             | 399.73          |
| 26                     | 95-Irrigation Department (Establishment)                                          | 2.17            | NIL             | 2.17            |
|                        | <b>Total</b>                                                                      | <b>1,307.40</b> | <b>185.31</b>   | <b>1,122.09</b> |
| <b>Revenue Charged</b> |                                                                                   |                 |                 |                 |
| 1                      | 21-Food and Civil Supplies Department                                             | 205.01          | NIL             | 205.01          |
| 2                      | 61- Finance Department (Debt Services and Other Expenditure)                      | 124.66          | 85.40           | 39.26           |
|                        | <b>Total</b>                                                                      | <b>329.67</b>   | <b>85.40</b>    | <b>244.27</b>   |
| <b>Capital Charged</b> |                                                                                   |                 |                 |                 |
| 1                      | 58- Public Works Department (Communication-Roads)                                 | 2.75            | 0.83            | 1.92            |
|                        | <b>Total</b>                                                                      | <b>2.75</b>     | <b>0.83</b>     | <b>1.92</b>     |
|                        | <b>Grand Total</b>                                                                | <b>8,356.17</b> | <b>1,486.29</b> | <b>6,869.88</b> |

# Appendix 2.11

## Cases of surrender of funds in excess of Rs 10 crore on 30 and 31 March 2009 (Reference paragraph 2.3.11; page 47)

(Rupees in crore)

| Sl. No.              | Grant No. | Major Head                                                                          | Amount of surrender | Percentage of total provision |
|----------------------|-----------|-------------------------------------------------------------------------------------|---------------------|-------------------------------|
| <b>Revenue Voted</b> |           |                                                                                     |                     |                               |
| 1                    | 4         | Industries Department (Mines and Minerals)                                          | 16.58               | 59                            |
| 2                    | 6         | Industries Department (Handloom Industries)                                         | 27.60               | 60                            |
| 3                    | 10        | Agriculture and Other Allied Departments (Horticulture and Sericulture Development) | 20.39               | 12                            |
| 4                    | 11        | Agriculture and Other Allied Departments (Agriculture)                              | 451.60              | 19                            |
| 5                    | 13        | Agriculture and Other Allied Departments (Rural Development)                        | 31.87               | 02                            |
| 6                    | 18        | Agriculture and Other Allied Departments (Co-operative)                             | 25.94               | 17                            |
| 7                    | 21        | Food and Civil Supplies Department                                                  | 23.32               | 14                            |
| 8                    | 23        | Cane Development Department (Cane)                                                  | 10.51               | 10                            |
| 9                    | 26        | Home Department (Police)                                                            | 99.91               | 02                            |
| 10                   | 35        | Medical Department (Family Welfare)                                                 | 49.76               | 06                            |
| 11                   | 37        | Urban Development Department                                                        | 175.17              | 06                            |
| 12                   | 42        | Judicial Department                                                                 | 158.17              | 27                            |
| 13                   | 48        | Muslim Waqf Department                                                              | 265.55              | 38                            |
| 14                   | 51        | Revenue Department (Relief on Account of Natural Calamities)                        | 10.27               | 01                            |
| 15                   | 52        | Revenue Department (Board of Revenue and Other Expenditure)                         | 13.28               | 01                            |
| 16                   | 58        | Public works Department (Communications- Roads)                                     | 29.91               | 02                            |
| 17                   | 60        | Forest Department                                                                   | 10.01               | 04                            |
| 18                   | 61        | Finance Department (Debt Services and Other Expenditure)                            | 200.58              | 04                            |
| 19                   | 73        | Education Department (Higher Education)                                             | 178.39              | 18                            |
| 20                   | 76        | Labour Department (Labour Welfare)                                                  | 18.22               | 14                            |
| 21                   | 78        | Secretariat Administration Department                                               | 31.49               | 12                            |
| 22                   | 81        | Social Welfare Department (Tribal Welfare)                                          | 13.43               | 33                            |
| 23                   | 89        | Institutional Finance Department (Commercial Tax)                                   | 20.77               | 07                            |
|                      |           | <b>Total</b>                                                                        | <b>1882.72</b>      |                               |
| <b>Capital Voted</b> |           |                                                                                     |                     |                               |
| 24                   | 9         | Power department                                                                    | 2176.69             | 28                            |
| 25                   | 11        | Agriculture and Other Allied Departments (Agriculture)                              | 152.14              | 48                            |
| 26                   | 26        | Home Department (Police)                                                            | 73.93               | 24                            |
| 27                   | 37        | Urban Development Department                                                        | 11.44               | 06                            |
| 28                   | 38        | Civil Aviation Department                                                           | 43.87               | 38                            |
| 29                   | 42        | Judicial Department                                                                 | 135.43              | 65                            |
| 30                   | 44        | Tourism Department                                                                  | 27.05               | 39                            |
| 31                   | 48        | Muslim Waqf Department                                                              | 167.89              | 74                            |

## Appendix 2.11

|                        |    |                                                              |                  |     |
|------------------------|----|--------------------------------------------------------------|------------------|-----|
| 32                     | 50 | Revenue Department (District Administration)                 | 21.27            | 13  |
| 33                     | 51 | Revenue Department (Relief on Account of Natural Calamities) | 84.75            | 85  |
| 34                     | 58 | Public Works Department (Communication-Roads)                | 23.35            | 01  |
| 35                     | 62 | Finance Department (Superannuation Allowances and Pensions)  | 53.02            | 53  |
| 36                     | 73 | Education Department (Higher Education)                      | 17.13            | 46  |
| 37                     | 78 | Secretariat Administration Department                        | 24.82            | 100 |
| 38                     | 89 | Institutional Finance Department (Commercial Tax)            | 11.10            | 89  |
| 39                     | 92 | Culture Department                                           | 10.68            | 05  |
|                        |    | <b>Total</b>                                                 | <b>3,034.56</b>  |     |
| <b>Revenue Charged</b> |    |                                                              |                  |     |
| 40                     | 61 | Finance Department (Debt Services and Other Expenditure)     | 85.40            | 01  |
|                        |    | <b>Total</b>                                                 | <b>85.40</b>     |     |
| <b>Capital Charged</b> |    |                                                              |                  |     |
| 41                     | 61 | Finance Department (Debt Services and Other Expenditure)     | 10001.13         | 66  |
|                        |    | <b>Total</b>                                                 | <b>10001.13</b>  |     |
|                        |    | <b>Grand Total</b>                                           | <b>15,003.81</b> |     |

# Appendix 2.12

## Pending AC bills for the year up to 2008-09 (Reference paragraph 2.4; page 48)

(Rupees in crore)

| Sl No. | Name of the Controlling Officers                                 | No of AC Bills           | Amount                    |
|--------|------------------------------------------------------------------|--------------------------|---------------------------|
| 1      | Chief Development Officer, Hamirpur                              | 3                        | 1.77                      |
| 2      | Chief Development Officer, Ghazipur                              | 7                        | 1.09                      |
| 3      | Chief Development Officer, Lalitpur                              | 2                        | 2.00                      |
| 4      | Chief Development Officer, Kaushambi                             | 1                        | 2.38                      |
| 5      | PAC Commandant, 35 <sup>th</sup> Battalion                       | 303                      | 5.24                      |
| 6      | Commissioner, Allahabad                                          | 3                        | 29.08                     |
| 7      | Deputy Conservator of Forest, Hamirpur                           | 3                        | 1.89                      |
| 8      | Dy Director, National savings Directorate, Lucknow-2             | 249                      | 1.21                      |
| 9      | DIG, HQ, Allahabad                                               | 5                        | 12.05                     |
| 10     | Director, Mineral and Mines, Lucknow-2                           | 322                      | 1.28                      |
| 11     | Director, Civil Aviation, Lucknow-2                              | 249                      | 10.23                     |
| 12     | Director, Higher Secondary Education, Lucknow-2                  | 144                      | 5.34                      |
| 13     | District, Backward Class Welfare Officer Unnao                   | 7                        | 1.65                      |
| 14     | District Employment Officer, Unnao                               | 31                       | 1.10                      |
| 15     | District Excise officer, Padrauna                                | 64                       | 1.52                      |
| 16     | DIOS, Hamirpur                                                   | 8                        | 1.13                      |
| 17     | District Magistrate, Revenue Department, Mainpuri                | 14                       | 1.91                      |
| 18     | District Magistrate, Revenue Department, Hamirpur                | 35                       | 17.20                     |
| 19     | District Magistrate, Revenue Department, Pratapgarh              | 28                       | 3.61                      |
| 20     | District Magistrate, Lalitpur                                    | 1                        | 3.08                      |
| 21     | District Magistrate, Hathras                                     | 18                       | 4.88                      |
| 22     | District Panchayt Raj Officer, Aligarh                           | 5                        | 1.01                      |
| 23     | District Panchayt Raj Officer, Ghazipur                          | 3                        | 6.63                      |
| 24     | District Probation Officer, Women and Child Welfare, Allahabad-2 | 43                       | 6.23                      |
| 25     | District Programme officer, Women Welfare, Fatehgarh             | 7                        | 1.34                      |
| 26     | District Programme officer, Women Welfare Hamirpur               | 9                        | 1.08                      |
| 27     | District Programme officer, Women Welfare, Banda                 | 17                       | 3.67                      |
| 28     | District Social Welfare Officer, Lucknow-2                       | 102                      | 6.54                      |
| 29     | SSP, Lucknow                                                     | 918                      | 19.20                     |
| 30     | Under Secretary, Estate Department, Lucknow-2                    | 1351                     | 2.54                      |
| 31     | Zonal Tourism officer Lucknow 2                                  | 94                       | 1.37                      |
|        | <b>Total</b>                                                     | <b>4,046<sup>1</sup></b> | <b>159.25<sup>2</sup></b> |

<sup>1</sup>Under reconciliation

<sup>2</sup>Under reconciliation

# Appendix 3.1

## Utilisation certificates outstanding as on 31 March 2009 (Reference paragraph 3.1; page 55)

(Amount: Rupees in lakh)

| Sl. No. | Department                                                                                                          | Year of payment of grant | Total Grant paid |          | Utilisation certificate |          |             |          | Reasons for the UCs remaining outstanding |
|---------|---------------------------------------------------------------------------------------------------------------------|--------------------------|------------------|----------|-------------------------|----------|-------------|----------|-------------------------------------------|
|         |                                                                                                                     |                          | No.              | Amount   | Received                |          | Outstanding |          |                                           |
|         |                                                                                                                     |                          |                  |          | No.                     | Amount   | No.         | Amount   |                                           |
| 1.      | Department of Technical Education                                                                                   | 2006-07                  | 20               | 63.97    | 01                      | 27.87    | 19          | 36.10    | No reason furnished                       |
|         |                                                                                                                     | 2007-08                  | 28               | 63.97    | 10                      | 20.90    | 18          | 43.07    | No reason furnished                       |
| 2.      | Department of Sports                                                                                                | 2007-08                  | 2                | 450.92   | 000                     | 000      | 02          | 450.92   | No reason furnished                       |
| 3.      | Department of Science and Technology (Council for Science & Technology)                                             | 2007-08                  | 3                | 2592.59  | 2                       | 1042.00  | 1           | 1550.59  | Lying unspent in PLA                      |
| 4.      | Department of Vocational and Technical Education.                                                                   | 2007-08                  | 9                | 5335.00  | 000                     | 000      | 9           | 5335.00  | Grantees have not submitted               |
| 5.      | Department of Urban Development (Nagar Vikas)                                                                       | 2007-08                  | 8                | 1426.09  | 07                      | 1015.09  | 01          | 411.00   | Lying unspent in PLA                      |
| 6.      | Department of Urban Development (Local Bodies)                                                                      | 2007-08                  | 1254             | 10340.00 | 273                     | 5550.02  | 981         | 4789.98  | Grantees have not submitted               |
| 7.      | Department of Urban Development (U.P. Jal Nigam)                                                                    | 2007-08                  | NA               | 18681.68 | NA                      | NIL      | NA          | 18681.68 | Grantees have not submitted               |
| 8.      | Department of Housing and Urban Planning                                                                            | 2007-08                  | NA               | 46670.21 | NA                      | 46170.21 | NA          | 500.00   | No reason furnished                       |
| 9.      | Department of Medical Education and Training (Chatrapati Sahuji Maharaj Chikitsa Vishvavidhyalaya, Lucknow)         | 2007-08                  | 11               | 8789.00  | 9                       | 6089.77  | 2           | 2699.23  | No reason furnished                       |
| 10.     | Department of Medical Education and Training (U.P. Rural Post Graduate Institute of Medical Science, Saifai, Etawa) | 2007-08                  | NA               | 9356.53  | NA                      | NIL      | NA          | 9356.53  | No reason furnished                       |
| 11.     | Department of Medical Education and Training (Dr. Ram Manohar Lohia Institute of Medical Sciences Lucknow)          | 2007-08                  | NA               | 4500.00  | NA                      | NIL      | NA          | 4500.00  | No reason furnished                       |
| 12.     | Department of Medical Education and Training (Sanjay Gandhi Post Graduate Institute of Medical Sciences, Lucknow)   | 2007-08                  | NA               | 17244.00 | NA                      | 2142.17  | NA          | 15101.83 | Lying unspent in PLA                      |
| 13.     | Department of Social Welfare                                                                                        | 2007-08                  | 70               | 12960.20 | 70                      | 12852.93 | 000         | 107.27   | No reason furnished                       |
| 14.     | Department of Social Welfare (Scheduled Tribe Development)                                                          | 2007-08                  | 49               | 865.30   | 25                      | 461.12   | 24          | 404.18   | Grantees have not submitted               |
| 15.     | Department of Language                                                                                              | 2007-08                  | NA               | 183.83   | NA                      | 172.63   | NA          | 11.20    | No reason furnished                       |
| 16.     | Department of Milk Development                                                                                      | 2006-07                  | NA               | 6300.17  | NA                      | 6270.63  | 00          | 29.54    | Grantees have not submitted               |
|         |                                                                                                                     | 2007-08                  | 870              | 11656.27 | NA                      | 9029.75  | NA          | 2626.52  |                                           |
| 17.     | Department of Home (Secretary, Human Rights Commission)                                                             | 2007-08                  | 12               | 45.12    | 12                      | 39.35    | NA          | 5.77     | No reason furnished                       |
| 18.     | Department of Agriculture and Other Allied Activities (Fisheries)                                                   | 2007-08                  | 140              | 60381.00 | 90                      | 40439.10 | 50          | 19941.90 | No reason furnished                       |
| 19.     | Department of Agriculture and Other Allied Activities (Cooperative Societies)                                       | 2007-08                  | 19               | 6472.80  | 17                      | 4898.45  | 02          | 1574.35  | Grantees have not submitted               |

|              |                                                                  |         |     |          |     |          |             |                  |                             |
|--------------|------------------------------------------------------------------|---------|-----|----------|-----|----------|-------------|------------------|-----------------------------|
| 20           | Sugar cane Development and Sugar Industries ( Sugar)             | 2001-02 | 000 | 000      | 000 | 000      | 89          | 679.10           | Grantees have not submitted |
|              |                                                                  | 2005-06 | 88  | 12609.00 | 87  | 6609.00  | 01          | 6000.00          |                             |
|              |                                                                  | 2006-07 | 97  | 16100.97 | 95  | 14698.97 | 02          | 1402.00          |                             |
| 21           | Department of Industries (Handcrafts)                            | 2004-05 | 438 | 4459.61  | NA  | 3959.61  | NA          | 500.00           | Grantees have not submitted |
|              |                                                                  | 2005-06 | 782 | 2340.27  | 385 | 903.09   | 397         | 1437.18          |                             |
|              |                                                                  | 2007-08 | 370 | 727.39   | 102 | 351.89   | 268         | 375.50           |                             |
| 22           | Transport Department                                             | 1998-99 | 02  | 73.75    | 01  | 25.24    | 01          | 48.51            | Grantees have not submitted |
| 23           | Department of Science and Technology ( NEDA)                     | 2006-07 | 18  | 1659.04  | 10  | 1539.33  | 08          | 119.71           | No reason furnished         |
|              |                                                                  | 2007-08 | 9   | 718.80   | 5   | 620.02   | 4           | 98.78            | No reason furnished         |
| 24           | Department of Information                                        | 2006-07 | 18  | 194.86   | 06  | 100.89   | 12          | 93.97            | No reason furnished         |
|              |                                                                  | 2007-08 | 2   | 55.00    | 1   | 25.00    | 1           | 30.00            | No reason furnished         |
| 25           | Department of Industries.                                        | 2006-07 | 62  | 16820.67 | 58  | 15784.58 | 04          | 1036.09          | Grantees have not submitted |
| 26           | Department of Industries (Heavy and Medium Industries)           | 2007-08 | 15  | 969.30   | 13  | 868.96   | 2           | 100.34           | Grantees have not submitted |
| 27           | Department of Industries (Small Industries and Export Promotion) | 2007-08 | 12  | 1342.81  | 7   | 771.81   | 5           | 571.00           | Grantees have not submitted |
| 28           | Department of Women and Child Welfare                            | 2007-08 | 6   | 522.41   | NIL | NIL      | 6           | 522.41           | Grantees have not submitted |
| 29           | Department of Minor Irrigation                                   | 2007-08 | NA  | 20422.74 | NA  | 20328.25 | NA          | 94.49            | No reason furnished         |
| <b>Total</b> |                                                                  |         |     |          |     |          | <b>1909</b> | <b>101265.74</b> |                             |

# Appendix 3.2

## Statement showing performance of the autonomous bodies (Reference paragraph 3.2; page 56)

| Sl. No. | Name of body                         | Period of entrustment | Year up to which accounts were rendered | Period up to which Separate Audit Report is issued | Placement of Separate Audit Report in the Legislature | Delay in submission of accounts | Period of delay |
|---------|--------------------------------------|-----------------------|-----------------------------------------|----------------------------------------------------|-------------------------------------------------------|---------------------------------|-----------------|
| 1       | 2                                    | 3                     | 4                                       | 5                                                  | 6                                                     | 7                               | 8               |
| 1       | Jal Sansthan, Jhansi                 | 2001-10               | 2007-08                                 | 2007-08                                            | -                                                     | 2008-09                         | 3 months        |
| 2       | Jal Sansthan, Allahabad              | 2001-10               | 2007-08                                 | 2005-06 <sup>1</sup>                               | -                                                     | 2008-09                         | 3 months        |
| 3       | Jal Sansthan, Agra                   | 2001-10               | 2004-05                                 | 2004-05                                            | -                                                     | 2005-09                         | 3-39 months     |
| 4       | Jal Sansthan, Varanasi               | 2001-10               | 2007-08                                 | 2007-08                                            | -                                                     | 2008-09                         | 3 months        |
| 5       | Jal Sansthan, Kanpur                 | 2001-10               | 2006-07                                 | 2006-07                                            | -                                                     | 2007-09                         | 3-15 months     |
| 6       | Jal Sansthan, Chitrakoot Dham, Banda | 2001-10               | 2007-08                                 | 2006-07 <sup>2</sup>                               | -                                                     | 2008-09                         | 3 months        |
| 7       | Jal Sansthan, Lucknow                | 2001-10               | 2006-07                                 | 2006-07                                            | -                                                     | 2007-09                         | 3-15 months     |
| 8       | Khadi Gramodyog Board, Lucknow       | 2004-14               | 2005-06                                 | 2005-06                                            | SAR ending 2003-04 placed on 19 Feb. 2009             | 2006-09                         | 3-27 months     |

<sup>1</sup>SARs for the years 2006-07 and 2007-08 are under process.

<sup>2</sup>SAR for the year 2007-08 is under process.

# Appendix 3.3

Statement of finalisation of accounts and investment in departmentally managed commercial and quasi-commercial undertakings  
(Reference paragraph 3.3; page 56)

| Sl. No.                                   | Name of the Undertaking                               | Accounts finalised up to | Investment as per the last accounts finalised (Rupees in crore) |
|-------------------------------------------|-------------------------------------------------------|--------------------------|-----------------------------------------------------------------|
| <b>Irrigation Department</b>              |                                                       |                          |                                                                 |
| 1                                         | IWD, Meerut                                           | 2006-07                  | 0.23                                                            |
| 2                                         | IWD, Jhansi                                           | 2007-08                  | 0.64                                                            |
| 3                                         | IWD, Bareilly                                         | 2007-08                  | 2.03                                                            |
| 4                                         | IWD, Kanpur                                           | 2007-08                  | 0.21                                                            |
| 5                                         | IWD, Allahabad                                        | 2007-08                  | 1.87                                                            |
| 6                                         | IWD, Gorakhpur                                        | 2007-08                  | 1.53                                                            |
| <b>Animal Husbandry Department</b>        |                                                       |                          |                                                                 |
| 7                                         | State Live Stock Cum Agriculture Farm                 | 2006-07                  | 7.02                                                            |
| <b>Food and Civil Supplies Department</b> |                                                       |                          |                                                                 |
| 8                                         | Scheme for Public Distribution System of Foodgrain    | 2005-06                  | 531.10                                                          |
| <b>Health Department</b>                  |                                                       |                          |                                                                 |
| 9                                         | State Pharmacy of Ayurvedic and Unani Medicine        | 1987-88                  | 0.09                                                            |
| <b>Samaj Kalyan Department</b>            |                                                       |                          |                                                                 |
| 10                                        | Criminal tribes Settlement tailoring Factory (Kanpur) | 1979-80                  | 0.04                                                            |



# Appendix 3.4

Department-wise/duration-wise break-up of the cases of misappropriation, defalcation, etc. (cases where final action was pending at the end of March 2009)  
(Reference paragraph 3.4; page 57)

(Figures in bracket indicate rupees in lakh)

| Sl. No | Department             | Up to 5 years          | 5 to 10 years         | 10 to 15 years        | 15 to 20 years        | 20 to 25 years        | 25 years to More       | Total no. of cases      |
|--------|------------------------|------------------------|-----------------------|-----------------------|-----------------------|-----------------------|------------------------|-------------------------|
| 1      | Agriculture            | -                      | 3<br>(8.91)           | -                     | 2<br>(0.34)           | -                     | -                      | 5<br>(9.25)             |
| 2      | Animal Husbandry       | -                      | 2<br>(3.46)           | 5<br>(1.09)           | 5<br>(1.58)           | 3<br>(0.41)           | 1<br>(0.02)            | 16<br>(6.56)            |
| 3      | Co-operative           | -                      | -                     | 2<br>(1.85)           | -                     | 1<br>(0.44)           | ---                    | 3<br>(2.29)             |
| 4      | Education              | 2<br>(102.27)          | -                     | 1<br>(5.00)           | ---                   | ---                   | ---                    | 3<br>(107.27)           |
| 5      | Finance                | -                      | -                     | -                     | 1<br>(0.67)           | -                     | -                      | 1<br>(0.67)             |
| 6      | Fisheries              | -                      | -                     | 1<br>(0.02)           | 4<br>(2.18)           | 2<br>(1.00)           | -                      | 7<br>(3.20)             |
| 7      | Food and Supplies      | 1<br>(3.06)            | -                     | -                     | 4<br>(16.42)          | 4<br>(9.30)           | -                      | 9<br>(28.78)            |
| 8      | Irrigation             | 16<br>(0.71)           | 9<br>(0.49)           | 27<br>(14.08)         | -                     | -                     | -                      | 52<br>(15.28)           |
| 9      | Judiciary              | 1<br>(4.44)            | -                     | -                     | -                     | 1<br>(0.66)           | -                      | 2<br>(5.10)             |
| 10     | Land Acquisition       | -                      | -                     | -                     | -                     | -                     | 3<br>(331.44)          | 3<br>(331.44)           |
| 11     | Medical & Health       | -                      | -                     | 3<br>(5.80)           | 5<br>(3.24)           | 9<br>(10.02)          | -                      | 17<br>(19.06)           |
| 12     | Police                 | 1<br>(4.00)            | -                     | -                     | 1<br>(1.21)           | 1<br>(0.60)           | 3<br>(2.94)            | 6<br>(8.75)             |
| 13     | PAC                    | ---                    | -                     | 1<br>(47.48)          | -                     | 1<br>(0.51)           | -                      | 2<br>(47.99)            |
| 14     | PWD                    | 8<br>(103.03)          | 1<br>(0.98)           | -                     | -                     | -                     | -                      | 9<br>(104.01)           |
| 15     | Revenue                | -                      | 1<br>(1.72)           | -                     | -                     | 3<br>(1.86)           | 1<br>(4.56)            | 5<br>(8.14)             |
| 16     | Rural Development      | -                      | -                     | 3<br>(1.65)           | -                     | 5<br>(0.91)           | 4<br>(1.28)            | 12<br>(3.84)            |
| 17     | Social Welfare         | -                      | -                     | 1<br>(0.25)           | -                     | -                     | 2<br>(0.70)            | 3<br>(0.95)             |
| 18     | Technical Education    | -                      | 1<br>(11.59)          | -                     | -                     | -                     | -                      | 1<br>(11.59)            |
| 19     | Weight and Measurement | -                      | -                     | -                     | -                     | 1<br>(1.01)           | -                      | 1<br>(1.01)             |
| 20     | Horticulture           | 1<br>(3.59)            | -                     | -                     | -                     | -                     | -                      | 1<br>(3.59)             |
|        | <b>Total</b>           | <b>30<br/>(221.10)</b> | <b>17<br/>(27.15)</b> | <b>44<br/>(77.22)</b> | <b>22<br/>(25.64)</b> | <b>31<br/>(26.72)</b> | <b>14<br/>(340.94)</b> | <b>158<br/>(718.77)</b> |

# Appendix 3.5

## Department/category-wise details in respect of cases of loss to Government due to theft, misappropriation/loss of Government material

(Reference paragraph 3.4; page 57)

| Name of the Department  | Theft cases     |                      | Misappropriation/ Loss of Government Material |                      | Total           |                      |
|-------------------------|-----------------|----------------------|-----------------------------------------------|----------------------|-----------------|----------------------|
|                         | Number of Cases | Amount (Rs. in lakh) | Number of Cases                               | Amount (Rs. in lakh) | Number of Cases | Amount (Rs. in lakh) |
| Agriculture             | 2               | 1.63                 | 3                                             | 7.62                 | 5               | 9.25                 |
| Animal Husbandry        | 11              | 1.79                 | 5                                             | 4.77                 | 16              | 6.56                 |
| Co-operative            | 2               | 2.12                 | 1                                             | 0.17                 | 3               | 2.29                 |
| Education               | 1               | 5.00                 | 2                                             | 102.27               | 3               | 107.27               |
| Finance                 | -               | -                    | 1                                             | 0.67                 | 1               | 0.67                 |
| Fisheries               | 2               | 1.00                 | 5                                             | 2.20                 | 7               | 3.20                 |
| Food and Supplies       | -               | -                    | 9                                             | 28.78                | 9               | 28.78                |
| Irrigation              | 45              | 2.61                 | 7                                             | 12.67                | 52              | 15.28                |
| Judiciary               | -               | -                    | 2                                             | 5.10                 | 2               | 5.10                 |
| Land Acquisition        | -               | -                    | 3                                             | 331.44               | 3               | 331.44               |
| Medical & Health        | 13              | 14.68                | 4                                             | 4.38                 | 17              | 19.06                |
| Police                  | -               | -                    | 6                                             | 8.75                 | 6               | 8.75                 |
| PAC                     | -               | -                    | 2                                             | 47.99                | 2               | 47.99                |
| PWD                     | 3               | 1.63                 | 6                                             | 102.38               | 9               | 104.01               |
| Revenue                 | -               | -                    | 5                                             | 8.14                 | 5               | 8.14                 |
| Rural Development       | 6               | 1.52                 | 6                                             | 2.32                 | 12              | 3.84                 |
| Social Welfare          | -               | -                    | 3                                             | 0.95                 | 3               | 0.95                 |
| Technical Education     | -               | -                    | 1                                             | 11.59                | 1               | 11.59                |
| Weights and Measurement | 1               | 1.01                 | -                                             | -                    | 1               | 1.01                 |
| Horticulture            | -               | -                    | 1                                             | 3.59                 | 1               | 3.59                 |
| <b>Total</b>            | <b>86</b>       | <b>32.99</b>         | <b>72</b>                                     | <b>685.78</b>        | <b>158</b>      | <b>718.77</b>        |

## Appendix 3.6

### Department-wise details of cases of write off for 2008-09 (Reference paragraph 3.4; page 57)

| Sl. No. | Name of Department | Authority sanctioning write off | Brief Particulars                                                                                                                                                                                                                                                       | No. of cases | Amount in Rupees |
|---------|--------------------|---------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|------------------|
| 1       | Medical and Health | Government of Uttar Pradesh     | Rupees 41,444 were looted on 2 February 1990 by the unknown persons from the office of the Primary Health Centres at Rampur Karkhana, District Deoria. The amount was drawn from the treasury for the disbursement of pay and allowances for the month of January 1990. | One          | 41,444           |

| Terms                                                             | Basis of calculation                                                                                                                                                                        |
|-------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Buoyancy of a parameter                                           | Rate of Growth of the parameter/GSDP Growth Rate                                                                                                                                            |
| Buoyancy of a parameter (X) With respect to another parameter (Y) | Rate of Growth of parameter (X)/<br>Rate of Growth of parameter (Y)                                                                                                                         |
| Rate of Growth (ROG)                                              | $[(\text{Current year Amount} / \text{Previous year Amount}) - 1] * 100$                                                                                                                    |
| Development Expenditure                                           | Social Services + Economic Services                                                                                                                                                         |
| Average interest paid by the State                                | $\text{Interest payment} / [(\text{Amount of previous year's Fiscal Liabilities} + \text{Current year's Fiscal Liabilities}) / 2] * 100$                                                    |
| Interest spread                                                   | GSDP growth – Average Interest Rate                                                                                                                                                         |
| Quantum spread                                                    | Debt stock * Interest spread                                                                                                                                                                |
| Interest received as <i>per cent</i> to Loans Outstanding         | $\text{Interest Received} [(\text{Opening balance} + \text{Closing balance of Loans and Advances}) / 2] * 100$                                                                              |
| Revenue Deficit                                                   | Revenue Receipt – Revenue Expenditure                                                                                                                                                       |
| Fiscal Deficit                                                    | Revenue Expenditure + Capital Expenditure + Net Loans and Advances – Revenue Receipts – Miscellaneous Capital Receipts                                                                      |
| Primary Deficit                                                   | Fiscal Deficit – Interest payments                                                                                                                                                          |
| Balance from Current Revenue (BCR)                                | Revenue Receipts <b>minus</b> all Plan Grants and Non-Plan Revenue Expenditure excluding expenditure recorded under the major head 2048 – Appropriation for reduction of Avoidance of debt. |

## Explanation of terms

| Terms                              | Explanation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Buoyancy ratio                     | Buoyancy ratio indicates the elasticity or degree of responsiveness of a fiscal variable with respect to a given change in the base variable. For instance, for 2008-09, revenue buoyancy at 0.8 implies that revenue receipts tend to increase by 0.8 percentage points, if the GSDP increases by one <i>per cent</i> .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| Core public goods and merit goods  | Core public goods are which all citizens enjoy in common in the sense that each individual's consumption of such a good leads to no subtractions from any other individual's consumption of that good, e.g. enforcement of law and order, security and protection of our rights; pollution free air and other environmental goods and road infrastructure etc. Merit goods are commodities that the public sector provides free or at subsidised rates because an individual or society should have them on the basis of some concept of need, rather than ability and willingness to pay the government and therefore wishes to encourage their consumption. Examples of such goods include the provision of free or subsidised food for the poor to support nutrition, delivery of health services to improve quality of life and reduce morbidity, providing basic education to all, drinking water and sanitation etc |
| Development expenditure            | The analysis of expenditure data is disaggregated into development and non-development expenditure. All expenditure relating to Revenue Account, Capital Outlay and Loans and Advances is categorised into social services, economic services and general services. Broadly, the social and economic services constitute development expenditure, while expenditure on general services is treated as non-development expenditure.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| Debt sustainability                | The Debt sustainability is defined as the ability of the State to maintain a constant debt-GSDP ratio over a period of time and also embodies the concern about the ability to service its debt. Sustainability of debt therefore also refers to sufficiency of liquid assets to meet current or committed obligations and the capacity to keep balance between costs of additional borrowings with returns from such borrowings. It means that rise in fiscal deficit should match with the increase in capacity to service the debt.                                                                                                                                                                                                                                                                                                                                                                                    |
| Debt stabilisation                 | A necessary condition for stability states that if the rate of growth of economy exceeds the interest rate or cost of public borrowings, the debt-GSDP ratio is likely to be stable provided primary balances are either zero or positive or are moderately negative. Given the rate spread (GSDP growth rate – interest rate) and quantum spread (Debt*rate spread), debt sustainability condition states that if quantum spread together with primary deficit is zero, debt-GSDP ratio would be constant or debt would stabilise eventually. On the other hand, if primary deficit together with quantum spread turns out to be negative, debt-GSDP ratio would be rising and in case it is positive, debt-GSDP ratio would eventually be falling.                                                                                                                                                                      |
| Sufficiency of non-debt receipts   | Adequacy of incremental non-debt receipts of the State to cover the incremental interest liabilities and incremental primary expenditure. Debt sustainability could be significantly facilitated if the incremental non-debt receipts could meet the incremental interest burden and the incremental primary expenditure.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| Net availability of borrowed funds | Defined as the ratio of the debt redemption (Principal + Interest Payments) to total debt receipts and indicates the extent to which the debt receipts are used in debt redemption indicating the net availability of borrowed funds.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| Appropriation Accounts             | Appropriation Accounts present the total amount of funds (Original and Supplementary) authorised by the Legislative Assembly in the budget grants under each voted grants and charged appropriation vis-à-vis the actual expenditure incurred against each and the unspent provisions or excess under each grant or appropriation. Any expenditure in excess of the grants requires regularisation by the Legislature.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| Autonomous Bodies                  | Autonomous Bodies (usually registered Societies or Statutory Corporations) are set up whenever it is felt that certain functions need to be discharged outside the governmental set up with some amount of independence and flexibility without day-to-day interference of the Governmental machinery.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| Committed expenditure              | The committed expenditure of the State Government on revenue account mainly consists of interest payments, expenditure on salaries and wages, pensions and subsidies on which the present executive has limited control.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| State Implementing Agency          | State Implementing Agency includes any Organisation/Institution including Non-Governmental Organisation which is authorised by the State Government to receive the funds from the Government of India for implementing specific programmes in the State, e.g. State Health Mission for National Rural Health Mission and UP Rural Roads Development Agency for Pradhanmantri Gram Sadak Yojna, etc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| Contingent liability               | Contingent liabilities may or may not be incurred by an entity depending on the outcome of a future event such as a court case.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |

|                             |                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Sinking Fund                | A Fund into which the government sets aside money over time, in order to retire its debt.                                                                                                                                                                                                                                                                                                                                                    |
| Gaurantee Redemption Fund   | Guarantees are liabilities contingent on the Consolidated Fund of the State in case of default by the borrower for whom the guarantee has been extended. As per the terms of the Guarantee Redemption Fund, the State Government was required to contribute an amount equal to atleast 1/5 <sup>th</sup> of the outstanding invoked guarantees plus an amount likely to be invoked as a result of the incremental guarantees during the year |
| Internal Debt               | Internal Debt comprises regular loans from the public in India, also termed 'Debt raised in India'. It is confined to loans credited to the Consolidated Fund.                                                                                                                                                                                                                                                                               |
| Primary revenue expenditure | Primary revenue expenditure means revenue expenditure excluding interest payments.                                                                                                                                                                                                                                                                                                                                                           |
| Re-appropriation            | Means the transfer of funds from one Primary unit of appropriation to another such unit.                                                                                                                                                                                                                                                                                                                                                     |
| Public Accounts Committee   | A Committee constituted by the Legislative Assembly for the examination of the reports of the Comptroller and Auditor General of India relating to the appropriation accounts of the State, the annual financial accounts of the State or such other accounts or financial matters as are laid before it or which the Committee deems necessary to scrutinise.                                                                               |

# Acronyms

| Acronyms | Full form                                             |
|----------|-------------------------------------------------------|
| AC Bill  | Abstract Contingency Bill                             |
| AE       | Aggregate Expenditure                                 |
| BE       | Budget Estimates                                      |
| CAG      | Comptroller and Auditor General of India              |
| CE       | Capital Expenditure                                   |
| DCC Bill | Detailed Countersigned Contingency Bill               |
| DCRF     | Debt Consolidation and Relief Facility                |
| DE       | Development Expenditure                               |
| FCP      | Fiscal Correction Path                                |
| GOI      | Government of India                                   |
| GSDP     | Gross State Domestic Product                          |
| FRBM     | Fiscal Responsibility and Budget Management Act, 2004 |
| IP       | Interest Payment                                      |
| MTFRPS   | Medium Term Fiscal Restructuring Policy Statement     |
| O&M      | Operation and Maintenance                             |
| PAC      | Public Accounts Committee                             |
| PRIs     | Panchayati Raj Institutions                           |
| RE       | Revenue Expenditure                                   |
| RR       | Revenue Receipts                                      |
| S&W      | Salaries and Wages                                    |
| SAR      | Separate Audit Report                                 |
| SSE      | Social Sector Expenditure                             |
| TE       | Total Expenditure                                     |
| TFC      | Twelfth Finance Commission                            |
| UC       | Utilisation Certificate                               |
| ULBs     | Urban Local Bodies                                    |