

Annexure-1

Statement showing particulars of up to date paid up capital, loans outstanding and Manpower as on 31 March 2009 in respect of Government companies and Statutory corporations

(Referred to in paragraphs 1.3)

(Figures in column 5 (a) to 6(d) are Rupees in crore)

Sl No	Sector and name of the company	Name of the Department	Month and year of incorporation	Paid up capital\$				Loans* outstanding at the close of 2008-09				Debt Equity ratio for 2008-09 (previous year)	Manpower (No of employees as on 31-03-2009)
				State Government	Central Government	Others	Total	State Government	Central Government	Others	Total		
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
A WORKING GOVERNMENT COMPANIES													
AGRICULTURE AND ALLIED													
1	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	Sugar Industry & Cane Development	27.08.1975	0.15	-	0.10	0.25	-	-	-	-	-	26
2	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	Sugar Industry & Cane Development	27.08.1975	0.51	-	0.14	0.65	-	-	-	-	-	11
3	Uttar Pradesh Beej Vikas Nigam Limited	Agriculture	15.02.2002	1.25	-	0.67	1.92	-	-	-	-	-	
4	Uttar Pradesh Bhumi Sudhar Nigam	Agriculture	30.03.1978	1.50	-	-	1.50	-	-	-	-	-	76
5	Uttar Pradesh Matsya Vikas Nigam Limited	Matysa & Pashudhan	27.10.1979	1.07	-	-	1.07	-	-	-	-	-	231
6	Uttar Pradesh Project Corporation	Irrigation	26.05.1976	5.40	1.00	-	6.40	-	-	-	-	-	858
7	Uttar Pradesh State Agro Industrial Corporation Limited	Agriculture	29.03.1967	40.00	-	-	40.00	5.00	-	-	5.00	0.13:1 (0.13:1)	1250
	Sector wise total			49.88	1.00	0.91	51.79	5.00	-	-	5.00	0.10:1 (0.13:1)	2452
FINANCING													
8	The Pradeshiya Industrial and Investment Corporation of Uttar Pradesh Limited	Industrial Development	29.03.1972	110.58	-	25.00	135.58	17.50	-	312.22	329.72	2.43:1 (2.43:1)	298
9	Uttar Pradesh Alpsankhyak Vittyva Avam Vikas Nigam Limited	Alpsankhyak Kalyan & Waqf	17.11.1984	30.00	-	-	30.00	7.52	-	82.68	90.20	3.01:1 (3.01:1)	-
10	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	Pichhara Varg Kalyan	26.04.1991	12.91	-	-	12.91	3.20	-	42.85	46.05	3.57:1 (3.57:1)	15
11	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	Samaj Kalyan	25.03.1975	107.00	-	90.12	197.12	-	-	76.05	76.05	0.39:1 (0.41:1)	487

Sl No	Sector and name of the company	Name of the Department	Month and year of incorporation	Paid up capital\$				Loans* outstanding at the close of 2008-09				Debt Equity ratio for 2008-09 (previous year)	Manpower (No of employees as on 31-03-2009)
				State Government	Central Government	Others	Total	State Government	Central Government	Others	Total		
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
12	Uttar Pradesh State Industrial Development Corporation Limited	Industrial Development	29.03.1961	24.08	-	-	24.08	1.98	-	-	1.98	0.08:1 (0.08:1)	654
	Sector wise total			284.57	-	115.12	399.69	30.20	-	513.80	544.00	1.36:1	1454
INFRASTRUCTURE													
13	Uttar Pradesh Police Avas Nigam Limited	Home	27.03.1987	3.00	-	-	3.00	-	-	-	-	-	160
14	Uttar Pradesh Rajkiya Nirman Nigam Limited	Public Works Department	01.05.1975	1.00	-	-	1.00	-	-	-	-	-	3629
15	Uttar Pradesh Samaj Kalyan Nirman Nigam Limited	Samaj Kalyan	25.06.1976	0.15	-	-	0.15	-	-	0.38	0.38	2.52:1 (-)	607
16	Uttar Pradesh State Bridge Corporation Limited	Public Works Department	09.01.1973	15.00	-	-	15.00	6.15	-	11.68	17.83	1.19:1 (1.89:1)	6550
	Sector wise total			19.15	-	-	19.15	6.15	-	12.06	18.21	0.95:1	10946
MANUFACTURE													
17	Almora Magnesite Limited(619-B Company)		27.08.1971	-	-	2.00	2.00	-	-	-	-	-	440
18	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	Sugar Industry and Cane Development	18.04.1975	-	-	81.38	81.38	4.00	-	0.32	4.32	0.05:1 (0.80:1)	525
19	Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	Sugar Industry and Cane Development	30.05.1986	-	-	8.95	8.95	-	-	138.77	138.77	15.51:1 (5.90:1)	651
20	Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	Sugar Industry and Cane Development	18.04.1975	-	-	34.04	34.04	-	-	37.00	37.00	1.09:1 (1.09:1)	-
21	Shreetron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics & information Technology	10.02.1979	-	-	7.22	7.22	-	-	2.63	2.63	0.36:1 (0.36:1)	14
22	UPSIC Potteries Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	Laghu Udyog	27.04.1976	-	-	0.76	0.76	0.28	1.35	0.40	2.03	2.67:1 (1.61:1)	75
23	Upton India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics & information Technology	18.10.1974	-	-	57.93	57.93	-	-	9.70	9.70	0.17:1 (0.17:1)	-

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				State Government	Central Government	Others	Total	State Government	Central Government	Others	Total		
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
24	Uptron Powertronics Ltd. (subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics & Information Technology	10.04.1977	-	-	4.07	4.07	-	-	2.85	2.85	0.70:1 (0.77:1)	30
25	Uttar Pradesh Drugs and Pharmaceuticals Limited	Health	-	1.10	-	-	1.10	-	-	-	-	-	333
26	Uttar Pradesh Electronics Corporation Limited.	Electronics & Information Technology	20.03.1974	88.47	-	-	88.47	83.53	-	-	83.53	0.94:1 (0.89:1)	44
27	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited	Sugar Industry and Cane Development	16.05.2002	553.03	-	327.00	880.03	-	-	-	-	-	NA
28	Uttar Pradesh Small Industries Corporation Limited)	Laghu Udhog	01.06.1958	5.96	-	-	5.96	6.32	-	3.92	10.24	1.72:1 (1.72:1)	NA
29	Uttar Pradesh State Handloom Corporation Limited)	Hathkargha evam Vastra Udhog	09.01.1973	36.44	10.63	-	47.07	103.02	-	5.00	108.02	2.29:1 (2.23:1)	384
30	Uttar Pradesh State Leather Development and Marketing Corporation Limited	Niryat Protshahan	12.02.1974	5.74	-	-	5.74	1.91	-	-	1.91	0.33:1 (0.33:1)	2
31	Uttar Pradesh State Spinning Company Limited	Hathkargha evam Vastra Udhog	20.08.1976	93.24	-	-	93.24	45.64	-	-	45.64	0.49:1 (0.49:1)	2389
32	Uttar Pradesh State Sugar Corporation Limited	Sugar Industry & Cane Development	26.03.1971	1103.72	-	-	1103.72	60.00	-	-	60.00	0.05:1 (2.26:1)	5721
33	Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	Hathkargha evam Vastra Udhog	20.08.1974	53.67	-	-	53.67	27.16	-	20.77	47.93	0.89:1 (0.49:1)	1238
	Sector wise total			1941.37	10.63	523.35	2475.35	331.86	1.35	221.36	554.57	0.22:1	11846
	POWER												
34	Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	Energy	1.05.2003	-	-	1995.87	1995.87	-	-	545.88	545.88	0.27:1 (2.00:1)	
35	Kanpur Electricity Supply Company Ltd	Energy	21.07.1999	-	-	177.99	177.99	-	-	308.59	308.59	1.73:1 (2.34:1)	2257

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				State Government	Central Government	Others	Total	State Government	Central Government	Others	Total		
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
36	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	Energy	01.05.2003	-	-	1626.98	1626.98	-	-	520.86	520.86	0.32:1 (1.01:1)	9337
37	Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	Energy	01.05.2003	-	-	1978.70	1978.70	-	-	968.27	968.27	0.49:1 (1.36:1)	8233
38	Prayag Raj Power Generation Company Limited	Energy	12.02.2007	-	-	0.05	0.05	-	-	79.95	79.95	1599:1	-
39	Purvanchal Vidyut Vitaran Nigam Limited	Energy	01.05.2003	-	-	2670.35	2670.35	-	-	390.81	390.81	0.15:1 (5.90:1)	10430
40	Sangam Power Generation Company Limited	Energy	13.02.2007	-	-	0.05	0.05	-	-	69.85	69.85	1397:1	-
41	Sonebhadra Power Generation Company Limited	Energy	14.02.2007	-	-	0.07	0.07	-	-	-	-	-	-
42	UCM Coal Company Limited	Energy	16.02.2008	-	-	0.16	0.16	-	-	-	-	-	-
43	UPSIDC Power Company Limited (subsidiary of Uttar Pradesh State Industrial Corporation Limited)	Energy	11.04.2000	-	-	0.05	0.05	-	-	0.06	0.06	1.20:1	-
44	Uttar Pradesh Jal Vidyut Nigam Limited	Energy	15.04.1985	427.24	-	-	427.24	64.65	-	-	64.65	0.15:1 (0.36:1)	672
45	Uttar Pradesh Power Corporation Limited	Energy	30.11.1999	23670.03	-	-	23670.03	-	-	1644.77	1644.77	0.07:1 (0.08:1)	8345
46	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	Energy	22.08.1980	4714.81	-	-	4714.81	219.09	-	4036.48	4255.57	0.9:1 (0.94:1)	9050
	Sector wise total			28812.08	-	8450.27	37262.35	283.74	-	8565.52	8849.26	0.24:1	48324
	SERVICE												
47	Abhyaranya Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
48	Adyhasai Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
49	Awadh Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
50	Bithpur Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
51	Braj Darshan Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
52	Braj Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
53	Bundelkhand Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-

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				State Government	Central Government	Others	Total	State Government	Central Government	Others	Total		
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
54	Ganga Saryu Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
55	Garhmukteshwar Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
56	Gyanodaya Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
57	Hastinapur Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
58	Hindon Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
59	Madhyanchal Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
60	Paanchal Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
61	Pachimanchal Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
62	Sangam Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
63	Satyadarshan Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
64	Shajhanpur Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
65	Siddartha Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
66	Taj Shilp Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
67	Taj Virasat Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
68	Triveni Paripath Paryatan Limited	Tourism	20.02.2009	-	-	0.05	0.05	-	-	-	-	-	-
69	Uttar Pradesh Development Systems Corporation Limited	Electronics & Information Technology	15.03.1977	1.00	-	-	1.00	-	-	-	-	-	-
70	Uttar Pradesh Export Corporation Limited	Niryat Protsahan	20.01.1996	6.34	0.90	-	7.24	7.44	-	-	7.44	1.03:1 (1.03:1)	142
71	Uttar Pradesh Food and Essential Commodities Corporation Limited	Food & Civil Supplies	22.10.1974	5.50	-	-	5.50	13.47	-	-	13.47	2.45:1 (2.45:1)	860
72	Uttar Pradesh State Tourism Development Corporation Limited	Tourism	05.08.1974	18.75	-	-	18.75	0.44	-	-	0.44	0.02:1 (0.002:1)	623
	Sector wise total			31.59	0.90	1.10	33.59	21.35	-	-	21.35	0.64:1	1731

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				State Government	Central Government	Others	Total	State Government	Central Government	Others	Total		
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
MISCELLANEOUS													
73	Uttar Pradesh Mahila Kalyan Nigam Limited	Mahila Kalyan	17.03.1988	4.71	0.48	-	5.19	-	-	-	-	-	23
74	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	Samaj Kalyan	23.05.1989	0.43	-	-	0.43	-	-	-	-	-	107
75	Uttar Pradesh Waqf Vikas Nigam Limited	Waqf & Alpsankhyak	27.04.1987	7.00	-	-	7.00	-	-	-	-	-	22
Sector wise total				12.14	0.48	-	12.62	-	-	-	-	-	152
Total A (All sector wise working Government companies)				31150.78	13.01	9090.75	40254.54	678.30	1.35	9312.75	9992.39	0.25:1	76905
B WORKING STATUTORY CORPORATIONS													
AGRICULTURE & ALLIED													
1	Uttar Pradesh State Warehousing Corporation	Co-operative	19.03.1958	7.79	5.58	-	13.37	-	-	20.19	20.19	1.51:1 (2.25:1)	1617
Sector wise total				7.79	5.58	-	13.37	-	-	20.19	20.19	1.51:1 (2.25:1)	1617
FINANCING													
2	Uttar Pradesh Financial Corporation	Industry Development	01.11.1954	114.51	-	64.78	179.29	139.69	-	692.46	832.15	4.64:1 (5.06:1)	738
Sector wise total				114.51	-	64.78	179.29	139.69	-	692.46	832.15	4.64:1 (5.06:1)	738
INFRASTRUCTURE													
3	Uttar Pradesh Avas Evam Vikas Parishad	Avas	03.04.1966	-	-	-	-	-	-	-	-	-	-
4	Uttar Pradesh Jal Nigam	Urban Development	6.06.1975	-	-	-	-	98.68	-	-	98.68	-	18353
Sector wise total				-	-	-	-	98.68	-	-	98.68	-	18353
SERVICE													
5	Uttar Pradesh State Road Transport Corporation	Transport	01.06.1972	309.11	60.01	-	369.12	9.40	-	237.05	246.45	0.67:1 (0.47:1)	35687
6	Uttar Pradesh Government Employees Welfare Corporation	Food & Civil Supplies	05.05.1965	-	-	-	-	8.09	-	-	8.09	-	1452
Sector wise total				309.11	60.01	-	369.12	17.49	-	237.05	254.54	0.69:1	37139
Miscellaneous													
7	Uttar Pradesh Forest Corporation	Forest	25.11.1974	-	-	-	-	-	-	17.50	17.50	-	2858
Sector wise total				-	-	-	-	-	-	17.50	17.50	-	2858
Total B (All sector wise working statutory corporations)				431.41	65.59	64.78	561.78	255.86	-	967.20	1223.06	2.18	60705
Total (A+B)				31582.19	78.60	9155.53	40816.32	934.16	1.35	10279.94	11215.45	0.27:1	137610

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				State Government	Central Government	Others	Total	State Government	Central Government	Others	Total		
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
C	NON WORKING COMPANIES												
	AGRICULTURE & ALLIED												
1	Command Area Poultry Development Corporation Limited (619-B company)	Matsya & Pashudhan	-	-	-	0.24	0.24	-	-	-	-	-	-
2	Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited	Sugar Industry & Cane Development	27.08.1975	0.23	-	0.08	0.31	1.69	-	-	1.69	5.45:1 (5.46:1)	19
3	Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikash Nigam Limited	Sugar Industry & Cane Development	27.08.1975	0.38	-	0.33	0.71	6.55	-	-	6.55	9.23:1 (9.17:1)	-
4	Uttar Pradesh Pashudhan Udyog Nigam Limited	Matsya & Pashudhan	05.03.1975	2.10	0.63	-	2.73	0.71	-	-	0.71	0.26:1 (0.26:1)	-
5	Uttar Pradesh Poultry and Livestock Specialties Limited	Matsya & Pashudhan	07.12.1974	1.66	1.28	-	2.94	1.1	-	-	1.10	0.37:1 (0.37:1)	31
6	Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited	Food Processing	06.04.1977	6.41	-	0.64	7.05	1.22	-	-	1.22	0.17:1 (0.17:1)	330
	Sector wise total			10.78	1.91	1.29	13.98	11.27	-	-	11.27	0.81:1	380
	FINANCING												
7	Uplease Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics & Information Technology	05.01.1988	-	-	1.06	1.06	-	-	4.15	4.15	3.92:1 (3.92:1)	-
8	Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited	Panchyati Raj	24.04.1973	0.78	-	0.66	1.44	-	-	-	-	-	52
	Sector wise total			0.78	-	1.72	2.50	-	-	4.15	4.15	1.66:1	52
	INFRASTRUCTURE												
9	Uttar Pradesh Cement Corporation Limited	Industry Development	19.03.1972	66.28	-	-	66.28	124.77	-	-	124.77	1.88:1 (1.83:1)	-

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				State Government	Central Government	Others	Total	State Government	Central Government	Others	Total		
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
10	Uttar Pradesh State Mineral Development Corporation Limited	Industry Development	23.03.1974	59.43	-	-	59.43	18.24	-	1.50	19.74	0.33:1 (0.33:1)	-
11	Vindhyachal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	Industry Development	05.12.1985	-	-	0.08	0.08	-	-	0.84	0.84	10.50:1 (11.11:1)	-
Sector wise total				127.71	-	0.08	127.79	143.01	-	2.34	145.35	1.14:1	-
MANUFACTURE													
12	Auto Tractors Limited	Industry Development	28.12.1972	5.63	-	1.87	7.50	0.38	-	-	0.38	0.05:1 (0.05:1)	-
13	Bhadohi Woollens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Ltd.)	Hatkargha & Vastra Udyog	14.06.1976	-	-	3.76	3.76	-	-	-	-	-	-
14	Continental Float Glass Limited	Industry Development	12.04.1985	-	-	46.24	46.24	-	-	138.85	138.85	3.00:1 (3.00:1)	-
15	Electronics and Computers (India) Limited (619-B Company)	-	-	-	-	-	-	-	-	-	-	-	-
16	Handloom Intensive Development Corporation (Gorakhpur and Basti) Limited (Subsidiary of Uttar Pradesh State Handloom Corporation Limited)	Hatkargha & Vastra Udyog	26.05.1976	-	-	0.03	0.03	-	-	-	-	-	-
17	Handloom Intensive Development Project (Bijnore) Limited (Subsidiary of Uttar Pradesh State Handloom Corporation Limited)	Hatkargha & Vastra Udyog	13.09.1976	-	-	0.02	0.02	2.09	-	-	2.09	104.50:1	-
18	Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Ltd.)	Electronics & Information Technology	31.03.1978	-	-	0.05	0.05	-	-	-	-	-	-
19	Steel and Fasteners Limited (619-B Company)	-	-	-	-	1.90	1.90	-	-	-	-	-	-
20	The Indian Turpentine and Rosin Company Limited	Industry Development	22.02.1974	0.19	-	0.03	0.22	5.33	-	-	5.33	24.23:1 (24.21:1)	-

Sl No	Sector and name of the company	Name of the Department	Month and year of incorporation	Paid up capital\$				Loans* outstanding at the close of 2008-09				Debt Equity ratio for 2008-09 (previous year)	Manpower (No of employees as on 31-03-2009)
				State Government	Central Government	Others	Total	State Government	Central Government	Others	Total		
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
21	Uptron Sempack Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Electronics & Information Technology	23.05.1977	-	-	0.03	0.03	-	-	0.03	0.03	1:1 (1.09:1)	-
22	Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	Laghu Udyog	18.6.1972	-	-	0.05	0.05	-	-	-	-	-	-
23	Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Ltd.)	Industry Development	23.04.1979	-	-	6.59	6.59	11.02	-	-	11.02	1.67:1 (1.67:1)	-
24	Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Ltd.)	Industry Development	1.01.1975	0.09	-	1.93	2.02	5.55	-	11.49	17.04	8.44:1 (8.43:1)	259
25	Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	Laghu Udyog	28.6.1972	-	-	0.02	0.02	-	-	0.03	0.03	1.5:1 (1.84:1)	-
26	Uttar Pradesh State Brassware Corporation Ltd.	Niryat Protsahan	12.02.1974	5.28	0.10	-	5.38	1.94	-	-	1.94	0.36:1 (0.36:1)	-
27	Uttar Pradesh State Textile Corporation Limited	Hatkargha & Vastra Udyog	02.12.1969	204.11	-	-	204.11	95.31	-	-	95.31	0.47:1 (0.47:1)	-
28	Uttar Pradesh Textile Printing Corporation Limited (Subsidiary of Uttar Pradesh State Handloom Corporation Limited)	Hatkargha & Vastra Udyog	05.12.1975	0.16	-	0.26	0.42	-	-	-	-	-	74
29	Uttar Pradesh Tyre and Tubes Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	Industry Development	14.01.1976	-	-	1.83	1.83	-	-	-	-	-	-
Sector wise total				215.46	0.10	64.61	280.17	121.62	-	150.40	272.02	0.98:1	333

Sl No	Sector and name of the company	Name of the Department	Month and year of incorporation	Paid up capital\$				Loans* outstanding at the close of 2008-09				Debt Equity ratio for 2008-09 (previous year)	Manpower (No of employees as on 31-03-2009)
				State Government	Central Government	Others	Total	State Government	Central Government	Others	Total		
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
SERVICE SECTOR													
30	Agra Mandal Vikas Nigam Limited	Bhumi Vikas evam Jal Sansadhan	31.03.1976	1.00	-	-	1.00	0.05	-	-	0.05	0.05:1 (0.05:1)	-
31	Allahabad Mandal Vikas Nigam Limited	Bhumi Vikas evam Jal Sansadhan	31.03.1976	0.67	-	-	0.67	0.66	-	-	0.66	0.99:1 (0.98:1)	-
32	Bareilly Mandal Vikas Nigam Limited	Bhumi Vikas evam Jal Sansadhan	31.03.1976	1.25	-	-	1.25	-	-	-	-	-	-
33	Bundelkhand Concrete Structural Limited (Subsidiary of Uttar Pradesh Bundelkhand Vikas Nigam Ltd.)	Bhumi Vikas evam Jal Sansadhan	1986-87	-	-	0.01	0.01	-	-	-	-	-	-
34	Gandak Smadesh Kshetriya Vikas Nigam Limited	Bhumi Vikas evam Jal Sansadhan	1976-77	0.46	-	-	0.46	-	-	-	-	-	-
35	Gorakhpur Mandal Vikas Nigam Limited	Bhumi Vikas evam Jal Sansadhan	31.03.1976	0.94	-	0.32	1.26	0.88	-	-	0.88	0.70:1 (0.70:1)	-
36	Lucknow Mandaliya Vikas Nigam Limited	Bhumi Vikas evam Jal Sansadhan	31.03.1976	0.70	-	-	0.70	0.86	-	-	0.86	1.23:1 (1.23:1)	-
37	Meerut Mandal Vikas Nigam Limited	Bhumi Vikas evam Jal Sansadhan	31.03.1976	1.00	-	-	1.00	0.30	-	-	0.30	0.3:1 -	-
38	Moradabad Mandal Vikas Nigam Limited	Bhumi Vikas evam Jal Sansadhan	30.03.1978	0.25	-	-	0.25	0.65	-	-	0.65	2.58:1 (2.58:1)	-
39	Tarai Anusuchit Janjati Vikas Nigam Limited	Samaj Kalyan	2.08.1975	0.45	-	-	0.45	1.25	-	-	1.25	2.78:1 (2.78:1)	-
40	Uttar Pradesh Bundelkhand Vikas Nigam Limited	Bhumi Vikas evam Jal Sansadhan	30.03.1971	1.23	-	-	1.23	0.05	-	0.01	0.06	0.05:1 (0.05:1)	-
41	Uttar Pradesh Chalchitra Nigam Limited	Tax And Institutional Finance	10.09.1975	8.18	-	-	8.18	3.01	-	-	3.01	0.37:1 (0.30:1)	-
42	Uttar Pradesh Poorvanchal Vikas Nigam Limited	Bhumi Vikas evam Jal Sansadhan	30.03.1971	1.30	-	-	1.30	0.35	-	-	0.35	0.27:1 (0.27:1)	-

Sl No	Sector and name of the company	Name of the Department	Month and year of incorporation	Paid up capital\$				Loans* outstanding at the close of 2008-09				Debt Equity ratio for 2008-09 (previous year)	Manpower (No of employees as on 31-03-2009)
				State Government	Central Government	Others	Total	State Government	Central Government	Others	Total		
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	6(a)	6(b)	6(c)	6(d)	(7)	(8)
43	Varanasi Mandal Vikas Nigam Limited	Bhumi Vikas evam Jal Sansadhan	31.03.1976	0.70	-	-	0.70	0.30	-	-	.30	0.43:1 (0.43:1)	-
	Sector wise total			18.13	-	0.34	18.47	8.36	-	0.01	8.37	0.45:1	-
	Total C (All sector wise non working companies)			372.85	2.01	68.03	442.89	284.26	-	156.90	441.16	0.99:1	765
	Grand Total (A+B+C)			31955.04	80.61	9223.56	41259.21	1218.42	1.35	10436.84	11656.61	0.28:1	138375

Notes:

Above includes Section 619-B companies at Sr. no A-17, C-1, 15 and 19.

\$ Paid up capital includes share application money.

* Loans outstanding at the close of 2008-09 represent long term loans only.

Companies at serial No. 47 to 68 are subsidiaries of Uttar Pradesh State Tourism Development Corporation Limited.

Annexure-2
Summarised financial results of Government companies and statutory corporations for the latest year for which accounts were finalised
(Referred to in paragraphs 1.6)

(Figures in column 5 (a) to 6(d) are rupees in crore)

SI No	Sector and name of the company	Period of accounts	Year in which finalised	Net Profit / Loss				Turnover	Impact of Account comments#	Paid up Capital	Accumulated Profit (+) / Loss (-)	Capital employed@	Return on capital employed\$	Percent age return on capital employed
				Net Profit / loss before interest and depreciation	Interest	Depreciation	Net profit / loss							
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
WORKING GOVERNMENT COMPANIES														
AGRICULTURE AND ALLIED														
1	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	2008-09	2009-10	(-)0.10	-	-	(-)0.10	0.24	IL 0.10	0.24	(-)0.65	2.36	(-)0.10	-
2	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	2008-09	2009-10	0.69	-	-	0.69	0.90	-	0.64	0.41	1.10	0.69	62.73
3	Uttar Pradesh Beej Vikas Nigam Limited	2005-06	2008-09	8.70	1.17	0.34	7.19	105.48	-	1.92	20.39	24.56	8.36	34.04
4	Uttar Pradesh Bhumi Sudhar Nigam	2006-07	2008-09	0.12	-	-	0.12	11.60	-	1.50	0.12	8.44	0.12	1.42
5	Uttar Pradesh Matsya Vikas Nigam Limited	1999-00	2008-09	0.59	-	0.20	0.39	4.57	DP 0.81	1.07	(-)1.72	3.65	0.39	10.68
6	Uttar Pradesh Project Corporation	2006-07	2008-09	14.57	-	0.14	14.43	376.21	DP 0.17	6.40	3.30	9.50	14.43	151.89
7	Uttar Pradesh State Agro Industrial Corporation Limited	2005-09	2008-09	6.70	3.68	0.10	2.92	368.04	DP 0.27	40.00	(-)58.22	49.15	6.60	13.43
Sector wise total				31.27	4.85	0.78	25.64	867.04	-	51.77	(-)36.37	98.76	30.49	30.87
FINANCING														
8	The Pradeshiya Industrial and Investment Corporation of Uttar Pradesh Limited	2007-08	2008-09	68.52	16.82	19.27	32.43	57.81	DP 13.91	135.57	(-)396.29	429.74	49.25	11.46
9	Uttar Pradesh Alpsankhyak Vitya Avam Vikas Nigam Limited	1994-95	2008-09	(-)0.08	-	0.01	(-)0.09	0.21	-	4.23	(-)0.12	16.16	(-)0.09	-
10	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	2001-02	2008-09	2.23	1.37	0.18	0.68	2.28	-	8.10	3.15	45.19	2.05	4.54

Sl No	Sector and name of the company	Period of accounts	Year in which finalised	Net Profit / Loss				Turnover	Impact of Account comments#	Paid up Capital	Accumulated Profit (+) / Loss (-)	Capital employed@	Return on capital employed\$	Percent age return on capital employed
				Net Profit / loss before interest and depreciation	Interest	Depreciation	Net profit / loss							
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
11	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	2004-05	2009-10	2.96	2.08	0.08	0.80	12.26	DP 23.04	81.89	19.55	233.18	2.88	1.24
12	Uttar Pradesh State Industrial Development Corporation Limited	2006-07	2009-10	82.30	0.11	3.16	79.03	105.89	DP 4.57	24.07	-	146.63	79.14	53.97
	Sector wise total			155.93	20.38	22.70	112.85	178.45		253.86	(-)373.71	870.90	133.23	15.30
	INFRASTRUCTURE													
13	Uttar Pradesh Police Avastha Nigam Limited	2007-08	2008-09	1.04	-	0.18	0.86	42.32	DP 2.90	3.00	11.49	14.31	0.86	6.01
14	Uttar Pradesh Rajkiya Nirman Nigam Limited	2007-08	2009-10	83.48	-	3.07	80.41	1518.14	DP 3.37	1.00	137.72	149.89	80.41	53.65
15	Uttar Pradesh Samaj Kalyan Nirman Nigam Limited	2007-08	2008-09	3.76	-	0.45	3.31	239.52	-	0.15	14.43	20.28	3.31	16.32
16	Uttar Pradesh State Bridge Corporation Limited	2007-08	2009-10	19.96	1.41	4.10	14.45	432.80	-	10.00	17.01	53.20	15.86	29.81
	Sector wise total			108.24	1.41	7.80	99.03	2232.78	-	14.15	180.65	237.68	100.44	42.26
	MANUFACTURE													
17	Almora Magnesite Limited (619 B company)	2008-09	2009-10	0.89	0.10	0.39	0.40	21.12	-	2.00	0.86	3.70	0.50	13.51
18	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2007-08	2008-09	(-)8.70	0.81	0.27	(-)9.78	21.18	IL 6.34	36.18	(-)87.88	4.20	(-)8.97	-
19	Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2006-07	2008-09	(-)6.00	7.60	0.11	(-)13.71	12.26	-	8.95	(-)113.04	(-)5.84	(-)6.11	-
20	Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2007-08	2009-10	(-)4.96	13.22	0.14	(-)18.32	18.22	-	34.04	(-)224.39	(-)107.66	(-)5.10	-

Sl No	Sector and name of the company	Period of accounts	Year in which finalised	Net Profit / Loss				Turnover	Impact of Account comments#	Paid up Capital	Accumulated Profit (+) / Loss (-)	Capital employed@	Return on capital employed\$	Percent age return on capital employed
				Net Profit / loss before interest and depreciation	Interest	Depreciation	Net profit / loss							
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
21	Shretron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	2008-09	2009-10	2.15	-	0.44	1.71	30.05	DP 3.01	7.22	(-) 0.49	9.49	1.71	18.02
22	UPSIC Potteries Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	1994-95	2008-09	(-)0.17	0.40	0.03	(-)0.60	0.05	-	0.76	(-)5.12	(-)0.45	(-)0.20	-
23	Upron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	1995-96	1997-98	(-)1.99	28.06	2.07	(-)32.12	97.15	-	53.16	(-)196.93	52.06	(-)4.06	-
24	Upron Powertronics Ltd. (Subsidiary of U. P. Electronics Corporation Ltd)	2008-09	2008-09	0.91	0.08	0.08	0.75	11.35	0.83	4.07	(-)5.81	3.74	0.83	22.19
25	Uttar Pradesh Drugs and Pharmaceuticals Limited	2007-08	2008-09	(-)8.20	0.13	0.22	(-)8.55	3.71	-	1.10	(-)5.20	(-)6.10	(-)8.42	-
26	Uttar Pradesh Electronics Corporation Limited.	2007-08	2009-10	0.63	0.18	0.04	0.41	32.10	-	87.66	(-) 1.02	90.07	0.59	0.66
27	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited	2006-07	2008-09	(-)51.59	5.93	0.40	(-)57.92	36.62	IL 2.45	8.44	(-)88.68	(-)87.42	(-)51.99	-
28	Uttar Pradesh Small Industries Corporation Limited)	2001-02	2009-10	(-)0.99	1.53	0.08	(-)2.60	19.38	-	5.96	(-)13.68	6.44	(-)1.07	-
29	Uttar Pradesh State Handloom Corporation Limited)	1995-96	2009-10	(-)7.30	1.38	0.48	(-)9.16	42.44	-	22.84	(-)38.14	75.30	(-)7.78	-
30	Uttar Pradesh State Leather Development and Marketing Corporation Limited	2000-01	2002-03	0.42	0.05	0.11	0.26	3.60	-	573.94	(-)6.85	4.81	0.31	6.44
31	Uttar Pradesh State Spinning Company Limited	2006-07	2008-09	(-)7.16	0.12	1.82	(-)9.10	103.16	-	93.24	(-)133.38	42.95	(-)8.98	-

Sl No	Sector and name of the company	Period of accounts	Year in which finalised	Net Profit / Loss				Turnover	Impact of Account comments#	Paid up Capital	Accumulated Profit (+) / Loss (-)	Capital employed@	Return on capital employed\$	Percent age return on capital employed
				Net Profit / loss before interest and depreciation	Interest	Depreciation	Net profit / loss							
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
32	Uttar Pradesh State Sugar Corporation Limited	2006-07	2007-08	(-)41.70	17.84	5.42	(-)64.96	461.36	IL 0.27	93.96	(-)432.08	793.04	(-)47.12	-
33	Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	2007-08	2008-09	0.42	6.84	0.36	(-)6.78	39.27	IL 4.91	31.90	(-)128.85	(-)18.82	0.06	-
	Sector wise total			(-)133.34	84.27	12.46	(-)230.07	953.02	-	1065.42	(-)1490.68	859.51	(-)145.80	-
	POWER													
34	Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2005-06	2008-09	(-)738.83	37.73	115.64	(-)892.20	1751.25	DL 477.95	346.24	(-)1594.58	45.42	(-)854.47	-
35	Kanpur Electricity Supply Company Ltd (Subsidiary of Uttar Pradesh Power Corporation Limited)	2004-05	2009-10	(-)253.53	9.78	10.96	(-)274.27	451.24	DL 76.33	60.00	(-)790.22	(-) 407.98	(-)264.49	-
36	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2005-06	2008-09	(-)351.04	82.40	112.44	(-)545.88	1644.26	DL 344.22	155.48	(-)979.03	939.26	(-)463.48	-
37	Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2005-06	2008-09	(-)360.65	76.40	184.77	(-)621.82	3005.12	DL 593.10	540.05	(-)1175.44	814.62	(-)545.42	-
38	Prayag Raj Power Generation Company Limited	Account not finalised												
39	Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2005-06	2008-09	(-)383.84	78.29	144.62	(-)606.75	2184.91	DL366.74	165.41	(-)1092.22	1019.08	(-)528.46	-
40	Sangam Power Generation Company Limited	Account not finalised	-	-	-	-	-	-	-	-	-	-	-	-
41	Sonebhadra Power Generation Company Limited	Account not finalised	-	-	-	-	-	-	-	-	-	-	-	-

Sl No	Sector and name of the company	Period of accounts	Year in which finalised	Net Profit / Loss				Turnover	Impact of Account comments#	Paid up Capital	Accumulat ed Profit (+) / Loss (-)	Capital employed@	Return on capital employed\$	Percent age return on capital employ ed
				Net Profit / loss before interest and depreciation	Interest	Depreciati on	Net profit / loss							
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
42	UCM Coal Company Limited	Account not finalised	-	-	-	-	-	-	-	-	-	-	-	-
43	UPSIDC Power Company Limited (subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	2007-08	2008-09	(-)0.02	-	-	(-)0.02	(-)	-	0.05	(-)0.14	(-)0.03	(-)0.02	-
44	Uttar Pradesh Jal Vidyut Nigam Limited	2006-07	2008-09	(-)38.64	22.63	11.61	(-)72.88	95.35	IL 17.95	415.08	(-)261.62	424.80	(-)50.25	-
45	Uttar Pradesh Power Corporation Limited	2006-07	2008-09	81.32	380.24	206.50	(-)505.42	11587.25	IL 810.89	470.74	(-)7169.89	(-)710.43	(-)125.18	-
46	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	2007-08	2009-10	487.89	169.81	395.18	(-)77.10	3835.78	-	3651.80	(-)161.68	8222.49	92.71	1.13
	Sector wise total			(-)1557.34	857.28	1181.72	(-)3596.34	24555.16	-	5804.85	(-)13224.82	10347.23	(-)2739.06	-
	SERVICE													
47	Abhyaranya Paripath Paryatan Limited	Account not fianlised	-	-	-	-	-	-	-	-	-	-	-	-
48	Adyhasasai Paripath Paryatan Limited	Account not fianlised	-	-	-	-	-	-	-	-	-	-	-	-
49	Awadh Paryatan Limited	Account not fianlised	-	-	-	-	-	-	-	-	-	-	-	-
50	Bithpur Paripath Paryatan Limited	Account not fianlised	-	-	-	-	-	-	-	-	-	-	-	-
51	Braj Darshan Paripath Paryatan Limited	Account not fianlised	-	-	-	-	-	-	-	-	-	-	-	-
52	Braj Paripath Paryatan Limited	Account not fianlised	-	-	-	-	-	-	-	-	-	-	-	-
53	Bundelkhand Paripath Paryatan Limited	Account not fianlised	-	-	-	-	-	-	-	-	-	-	-	-

Sl No	Sector and name of the company	Period of accounts	Year in which finalised	Net Profit / Loss				Turnover	Impact of Account comments#	Paid up Capital	Accumulated Profit (+) / Loss (-)	Capital employed@	Return on capital employed\$	Percent age return on capital employed
				Net Profit / loss before interest and depreciation	Interest	Depreciation	Net profit / loss							
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
54	Ganga Saryu Paripath Paryatan Limited	Account not finalised	-	-	-	-	-	-	-	-	-	-	-	-
55	Garhmukteshwar Paryatan Limited	Account not finalised	-	-	-	-	-	-	-	-	-	-	-	-
56	Gyanodaya Paripath Paryatan Limited	Account not finalised	-	-	-	-	-	-	-	-	-	-	-	-
57	Hastinapur Paripath Paryatan Limited	Account not finalised	-	-	-	-	-	-	-	-	-	-	-	-
58	Hindon Paryatan Limited	Account not finalised	-	-	-	-	-	-	-	-	-	-	-	-
59	Madhyanchal Paripath Paryatan Limited	Account not finalised	-	-	-	-	-	-	-	-	-	-	-	-
60	Paanchal Paripath Paryatan Limited	Account not finalised	-	-	-	-	-	-	-	-	-	-	-	-
61	Pachimanchal Paripath Paryatan Limited	Account not finalised	-	-	-	-	-	-	-	-	-	-	-	-
62	Sangam Paripath Paryatan Limited	Account not finalised	-	-	-	-	-	-	-	-	-	-	-	-
63	Satyadarshan Paripath Paryatan Limited	Account not finalised	-	-	-	-	-	-	-	-	-	-	-	-
64	Shajhanpur Paripath Paryatan Limited	Account not finalised	-	-	-	-	-	-	-	-	-	-	-	-
65	Siddartha Paripath Paryatan Limited	Account not finalised	-	-	-	-	-	-	-	-	-	-	-	-
66	Taj Shilp Paryatan Limited	Account not finalised	-	-	-	-	-	-	-	-	-	-	-	-

Sl No	Sector and name of the company	Period of accounts	Year in which finalised	Net Profit / Loss				Turnover	Impact of Account comments#	Paid up Capital	Accumulat ed Profit (+) / Loss (-)	Capital employed@	Return on capital employed\$	Percent age return on capital employ ed
				Net Profit / loss before interest and depreciation	Interest	Depreciati on	Net profit / loss							
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
67	Taj Virasat Paripath Paryatan Limited	Account not fianlised	-	-	-	-	-	-	-	-	-	-	-	-
68	Triveni Paripath Paryatan Limited	Account not fianlised	-	-	-	-	-	-	-	-	-	-	-	-
69	Uttar Pradesh Development Systems Corporation Limited	2006-07	2008-09	0.29	-	0.05	0.24	3.99	DP 2.13	1.00	2.62	3.62	0.24	6.63
70	Uttar Pradesh Export Corporation Limited	2003-04	2009-10	0.16	0.02	0.07	0.07	6.86	-	7.24	(-)21.85	0.98	0.09	9.18
71	Uttar Pradesh Food and Essential Commodities Corporation Limited	1997-98	2009-10	0.13	2.65	0.18	(-)2.70	304.51	IL 1.07	5.00	(-)34.16	100.55	(-)0.05	-
72	Uttar Pradesh State Tourism Development Corporation Limited	2007-08	2009-10	2.18	0.01	0.87	1.30	15.56	-	15.12	(-)16.37	4.74	1.31	27.64
	Sector wise total			2.76	2.68	1.17	(-)1.09	330.62	-	28.36	(-)69.76	109.89	1.59	1.45
	MISCELLANEOUS													
73	Uttar Pradesh Mahila Kalyan Nigam Limited	2008-09	2009-10	0.17	-	0.06	0.11	0.68	DP 0.64	5.19	(-)0.70	6.84	0.11	1.61
74	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	2006-07	2008-09	6.16	-	0.06	6.10	66.48	DP 1.06	0.43	25.15	25.70	6.10	23.74
75	Uttar Pradesh Waqf Vikas Nigam Limited	1998-99	2007-08	0.01	-	0.01	-*	0.28	II 0.002	3.50	0.02	2.11	-	-
	Sector wise total			6.34	-	0.13	6.21	67.44	-	9.12	24.47	34.65	6.21	17.92
	Total A (All sector wise working Government companies)			(-)1386.14	970.87	1226.76	(-)3583.77	29184.50	-	7227.53	(-)14990.22	12767.41	(-)2612.90	-

Sl No	Sector and name of the company	Period of accounts	Year in which finalised	Net Profit / Loss				Turnover	Impact of Account comments#	Paid up Capital	Accumulated Profit (+) / Loss (-)	Capital employed@	Return on capital employed\$	Percent age return on capital employed
				Net Profit / loss before interest and depreciation	Interest	Depreciation	Net profit / loss							
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
B	WORKING STATUTORY CORPORATIONS													
	AGRICULTURE & ALLIED													
1	Uttar Pradesh State Warehousing Corporation	2007-08	2008-09	47.26	2.61	4.50	40.15	125.01	DP 0.77	11.16	(-)	260.64	42.76	16.41
	Sector wise total			47.26	2.61	4.50	40.15	125.01	-	11.16	(-)	260.64	42.76	16.41
2	FINANCING													
	Uttar Pradesh Financial Corporation	2007-08	2008-09	(-)112.26	2.41	0.34	(-)115.01	21.51	IL 0.68	179.28	(-)962.70	1115.64	(-)112.60	-
	Sector wise total			(-)112.26	2.41	0.34	(-)115.01	21.51	-	179.28	(-)962.70	1115.64	(-)112.60	-
	INFRASTRUCTURE													
3	Uttar Pradesh Avas Evam Vikas Parishad	2007-08	2008-09	180.47	-	1.89	178.58	418.26	-	-	2155.38	654.91	178.58	27.27
4	Uttar Pradesh Jal Nigam	2007-08	2009-10	17.23	16.32	0.30	0.61	307.41	-	-	(-)135.56	5536.20	16.93	0.31
	Sector wise total			197.70	16.32	2.19	179.19	725.67	-	-	2019.82	6191.11	195.51	3.16
	SERVICE													
5	Uttar Pradesh State Road Transport Corporation	2007-08	2009-10	173.58	17.79	115.08	40.71	1198.16	DP 3.13	359.12	(-)811.76	-224.63	40.88	-
6	Uttar Pradesh Government Employees Welfare Corporation	2005-06	2009-10	(-)0.38	0.66	0.06	(-)1.10	62.38	-	-	6.24	(-)2.58	(-)0.44	-
	Sector Wise total			173.20	18.45	115.14	39.61	1260.54	-	359.12	(-)805.52	(-)227.21	40.44	-
	MISCELLANEOUS													
7	Uttar Pradesh Forest Corporation	1998-99	1999-2000	30.16	-	0.86	29.30	162.84	-	-	352.45	357.56	29.30	8.20
	Sector wise total			30.16	-	0.86	29.30	162.84	-	-	352.45	357.56	29.30	8.20
	Total B (All sector wise statutory corporations)			336.06	39.79	123.03	173.24	2295.57	-	549.56	604.05	7697.74	213.03	2.77
	Total (A+B)			(-)1050.08	1010.66	1349.79	(-)3410.53	31480.07	-	7777.09	(-)14386.17	20465.15	(-)2399.87	-
C	Non working Companies													
	AGRICULTURE & ALLIED													
1	Command Area Poultry Development Corporation Limited (619-B company)	1994-95	-	0.02	-	0.01	.01	0.96	-	0.24	(-)	-	0.01	-

Sl No	Sector and name of the company	Period of accounts	Year in which finalised	Net Profit / Loss				Turnover	Impact of Account comments#	Paid up Capital	Accumulated Profit (+) / Loss (-)	Capital employed@	Return on capital employed\$	Percent age return on capital employed
				Net Profit / loss before interest and depreciation	Interest	Depreciation	Net profit / loss							
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
2	Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited	2002-03 (under liquidation (UL) from 01-07-03)	2004-05	(-)0.14	0.04	-	(-)0.18	0.04	-	0.31	(-)0.55	1.53	(-)0.14	-
3	Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikash Nigam Limited	2006-07 (UL from 01-07-03)	2008-09	0.06	1.10	0.01	(-)1.05	0.11	-	0.71	(-)8.01	3.31	0.05	1.51
4	Uttar Pradesh Pashudhan Udyog Nigam Limited	2003-04	2006-07	0.28	0.14	-	0.14	0.39	-	2.73	(-)8.26	183.83	0.28	0.15
5	Uttar Pradesh Poultry and Livestock Specialties Limited	2003-04	2008-09	(-)0.13	0.20	-	(-)0.33	0.14	IL 0.49	0.50	(-)2.58	(-)0.34	(-)0.13	-
6	Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited	1984-85	1994-95	(-)0.51	0.15	0.01	(-)0.67	0.27	-	1.90	(-)2.55	80.72	(-)0.52	-
	Sector wise total			(-)0.42	1.63	0.03	(-)2.08	1.91	-	6.39	(-)21.95	269.05	(-)0.45	-
	FINANCING													
7	Uplease Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	1997-98	1998-99	0.37	0.54	0.23	(-)0.40	1.29	-	1.05	(-)0.40	5.34	0.14	2.62
8	Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited	1992-93	2007-08	0.08	-	-	0.08	0.28	-	1.37	(-)0.14	138.65	0.08	0.06
	Sector wise total			0.45	0.54	0.23	(-)0.32	1.57	-	2.42	(-)0.54	143.99	0.22	0.15
	INFRASTRUCTURE													
9	Uttar Pradesh Cement Corporation Limited	1995-96	1996-97	(-)20.07	24.84	2.84	(-)47.75	113.01	-	68.28	(-)425.99	(-)239.80	(-)22.91	-
10	Uttar Pradesh State Mineral Development Corporation Limited	2007-08	2008-09	(-)1.87	-	0.06	(-)1.93	0.60	IL 0.06	59.43	(-)79.02	0.03	(-)1.93	-
11	Vindhyachal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	1987-88 (UL from 28.11.02)	1995-96	(-)0.11	0.01	-	(-)0.12	-	-	-	(-)0.11	0.01	(-)0.11	-
	Sector wise Total			(-)22.05	24.85	2.90	(-)49.80	113.61	-	127.71	(-)505.12	(-)239.76	(-)24.95	-

Sl No	Sector and name of the company	Period of accounts	Year in which finalised	Net Profit / Loss				Turnover	Impact of Account comments#	Paid up Capital	Accumulated Profit (+) / Loss (-)	Capital employed@	Return on capital employed\$	Percent age return on capital employed
				Net Profit / loss before interest and depreciation	Interest	Depreciation	Net profit / loss							
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
	MANUFACTURE													
12	Auto Tractors Limited	1991-92	1995-96	0.37	0.26	-	0.11	6.31	-	7.5	(-)	11.14	0.37	3.32
13	Bhadohi Woollens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Ltd.)	1994-95 (UL from 20.02.96)		0.85	2.51	-	(-1.66)	0.27	-	3.76	(-)11.95	(-)0.49	0.85	-
14	Continental Float Glass Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	1997-98 (UL from 01-04-02)	2002-03	-	-	-	-	(-)	-	46.24	(-)	83.87	Company went into liquidation when it was under construction	-
15	Electronics and Computers (India) Limited (619-B Company)	-	-	-	-	-	-	(-)	-	-	(-)	-	-	-
16	Handloom Intensive Development Corporation (Gorakhpur and Basti) Limited (Subsidiary of Uttar Pradesh State Handloom Corporation Limited)	1994-95	2008-09	0.01	0.08	0.01	(-)0.08	(-)	-	0.03	(-)1.24	(-)0.11	-	-
17	Handloom Intensive Development Project (Bijnore) Limited (Subsidiary of Uttar Pradesh State Handloom Corporation Limited)	1996-97	2008-09	(-)0.04	0.26	0.01	(-)0.31	0.08	-	0.02	(-)1.04	1.06	(-)0.05	-
18	Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Ltd.)	Under liquidation from 10-06-96	-	-	-	-	-	0.05	-	--	-	-	-	-
19	Steel and Fasteners Limited (619-B Company)	1978-79	-	-	-	-	-	0.90	-	-	-	-	-	-
20	The Indian Turpentine and Rosin Company Limited	2006-07	2008-09	(-)0.13	0.10	0.08	(-)0.31	0.08	-	0.22	(-)31.22	(-)25.53	(-)0.21	-

Sl No	Sector and name of the company	Period of accounts	Year in which finalised	Net Profit / Loss				Turnover	Impact of Account comments#	Paid up Capital	Accumulat ed Profit (+) / Loss (-)	Capital employed@	Return on capital employed\$	Percent age return on capital employ ed
				Net Profit / loss before interest and depreciation	Interest	Depreciati on	Net profit / loss							
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
21	Uptron Sempack Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	1979-80 (UL from 10.06.96)	1983-84	(-)0.01	-	-	(-)0.01	-	-	0.03	(-)0.03	0.02	(-)0.01	-
22	Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	1975-76 (UL from 19-04-96)		(-)0.01	0.01	-	(-)0.02	-	-	0.05	(-)	0.12	(-)0.01	-
23	Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Ltd.)	1992-93 (UL from 19.02.94)	-	(-)0.15	5.67	0.36	(-)6.18	2.26	-	6.58	(-)35.32	(-)18.45	(-)0.51	-
24	Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	2001-02	2005-06	(-)0.26	0.02	0.01	(-)0.29	0.16	-	1.93	(-)38.75	0.35	(-)0.27	-
25	Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	1974-75 (UL from 11/2003)	1984-85	(-)0.01	-		(-)0.01	0.04	-	0.01	0.01	(-)0.34	(-)0.01	-
26	Uttar Pradesh State Brassware Corporation Limited	1997-98	2007-08	2.52	0.12	0.01	2.39	0.53	-	5.38	(-)6.04	3.59	2.51	69.92
27	Uttar Pradesh State Textile Corporation Limited	2008-09	2009-10	(-)0.02	6.68	0.47	(-)7.17	0.05	-	160.79	(-)450.91	(-)118.68	(-)0.49	-
28	Uttar Pradesh Textile Printing Corporation Limited (Subsidiary of Uttar Pradesh State Handloom Corporation Limited)	1991-92	2008-09	(-)0.04	0.01	0.01	(-)0.06	1.28	DL 0.73	0.26	(-)0.39	0.29	(-)0.05	-

Sl No	Sector and name of the company	Period of accounts	Year in which finalised	Net Profit / Loss				Turnover	Impact of Account comments#	Paid up Capital	Accumulated Profit (+) / Loss (-)	Capital employed@	Return on capital employed\$	Percent age return on capital employed
				Net Profit / loss before interest and depreciation	Interest	Depreciation	Net profit / loss							
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
29	Uttar Pradesh Tyre and Tubes Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	1992-93 (UL from 09.01.96)	-	2.10	4.27	-	(-2.17)	1.38	-	1.83	(-)9.96	(-)4.06	2.10	-
	Sector wise total			5.18	19.99	0.96	(-)15.77	13.39	-	234.63	(-)586.84	(-)67.57	4.22	-
SERVICE SECTOR														
30	Agra Mandal Vikas Nigam Limited	1988-89	2007-08	(-)0.08	-	0.01	(-)0.09	3.91	-	1.00	(-)0.35	0.92	(-)0.09	-
31	Allahabad Mandal Vikas Nigam Limited	1983-84	1992-93	(-)0.03	0.01	0.07	(-)0.11	2.74	-	0.55	(-)0.11	0.99	(-)0.10	-
32	Bareilly Mandal Vikas Nigam Limited	1.7.87 to 31.3.89	2006-07	(-)0.36	0.20	0.11	(-)0.67	5.82	-	1.00	(-)1.52	3.85	(-)0.47	-
33	Bundelkhand Concrete Structurals Limited (Subsidiary of Uttar Pradesh Bundelkhand Vikas Nigam Ltd.)	1986-87	1993-94	-	-	-	-	(-)	-	0.02	(-)0.01	0.04	-	-
34	Gandak Smadesh Kshetriya Vikas Nigam Limited	1976-77 (UL from 1976-77)	-	-	-	-	-	(-)	-	0.46	(-)	0.46	-	-
35	Gorakhpur Mandal Vikas Nigam Limited	1986-87	2007-08	(-)0.08	0.04	0.03	(-)0.15	1.60	-	1.26	(-)1.33	0.83	(-)0.11	-
36	Lucknow Mandaliya Vikas Nigam Limited	1981-8	1992-93	0.54	-	0.53	0.01	1.70	-	0.50	1.49	0.61	0.01	1.64
37	Meerut Mandal Vikas Nigam Limited	2005-06	2009-10	(-)0.02	-	-	(-)0.02	0.01	-	1.00	(-)1.41	0.09	(-)0.02	-
38	Moradabad Mandal Vikas Nigam Limited	1990-91	2007-08	(-)0.19	-	0.01	(-)0.20	1.07	-	0.25	(-)0.59	0.31	(-)0.20	-
39	Tarai Anusuchit Janjati Vikas Nigam Limited	1982-83	1990-91	(-)0.04	-	-	(-)0.04	0.01	-	0.25	-	0.70	(-)0.04	-
40	Uttar Pradesh Bundelkhand Vikas Nigam Limited	1997-98	2007-08	0.28	-	-	0.28	0.37	-	1.23	(-)1.20	0.08	0.28	-
41	Uttar Pradesh Chalchitra Nigam Limited	2004-05	2008-09	(-)0.08	0.40	0.02	(-)0.50	0.06	IL 0.139	8.18	(-)13.05	(-)2.40	(-)0.10	-

Sl No	Sector and name of the company	Period of accounts	Year in which finalised	Net Profit / Loss				Turnover	Impact of Account comments#	Paid up Capital	Accumulat ed Profit (+) / Loss (-)	Capital employed@	Return on capital employed\$	Percent age return on capital employ ed
				Net Profit / loss before interest and depreciation	Interest	Depreciati on	Net profit / loss							
(1)	(2)	(3)	(4)	5(a)	5(b)	5(c)	5(d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
42	Uttar Pradesh Poorvanchal Vikas Nigam Limited	1987-88	1994-95	(-)0.11	-	0.03	(-)0.14	1.30	-	1.15	(-)1.08	0.19	(-)0.14	-
43	Varanasi Mandal Vikas Nigam Limited	1987-88	1993-94	(-)0.02	-	0.01	(-)0.03	1.47	-	0.70	(-)0.26	0.88	(-)1.01	-
	Sector wise total			(-)0.19	0.65	0.82	(-)1.66	20.06	-	17.55	(-)19.42	7.55	(-)1.01	-
	Total C (All sector wise non working companies)			(-)17.03	47.66	4.94	(-)69.63	150.54	-	(-)388.70	(-)1133.87	113.26	(-)21.97	-
	Grand Total (A+B+C)			(-)1067.11	1058.32	1354.73	(-)3480.16	31630.61	-	8165.79	(-)15520.04	20578.41	(-)2421.84	-

Notes:

Companies at Sr No. 47 to 68 are subsidiaries of Uttar Pradesh State Tourism Corporation Limited.

Impact of accounts comments include the net impact of comments of statutory Auditor and CAG.

@ Capital employed represents net fixed assets (including capital work in progress) plus working capital except in case of finance companies / corporations where the capital employed is worked out as a mean of aggregate of he opening and closing balances of paid up capital, free reserves, bonds, deposits, and borrowings including refinance)

\$ Return on capital employed has been worked out by adding profit and interest charged to profit and loss account.

IL indicates increase in loss, DL indicates decrease in loss, IP indicates increase in profit and DP indicates decrease in profit.

* Company at serial no. A-75 earned profit of Rs 16,000/-, which has been shown as 'nil' due to rounding off of figures.

Annexure-3

Statement showing grants and subsidy received/receivable, guarantees received, waiver of dues, loans written off and loans converted into equity during the year and guarantee commitment at the end of March 2009

(Referred to in paragraphs 1.4)

(Figures in column 3(a) to 6 (d) are Rupees in crore)

Sl No	Sector and name of the company	Equity / loans received out of budget during the year		Grants and subsidy received during the year				Guarantees received during the year and commitment at the end of the year		Waiver of dues during the year			
		Equity	Loans	Central government	State Government	Others	Total	Received	Commitment@	Loan repayment written off	Loans converted into equity	Interest / penal interest waived	Total
1	2	(3a)	(3b)	4(a)	4(b)	4(c)	4(d)	5(a)	5(b)	6(a)	6(b)	6(c)	6(d)
A	Working Government companies												
	AGRICULTURE AND ALLIED												
1	Uttar Pradesh Bhumi Sudhar Nigam	-	-	-	3.10	-	3.10	-	-	-	-	-	-
	Sector wise total				3.10		3.10						
	FINANCING												
2	Uttar Pradesh Scheduled Castes Finance and Development Corporation Ltd.	8.16	-	-	91.97	-	91.97	-	-	-	-	-	-
3	Uttar Pradesh State Industrial Development Corporation Ltd.	-	-	2.20	-	-	2.20	-	-	-	-	-	-
	Sector wise total	8.16		2.20	91.97		94.17						
	MANUFACTURE												
4	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	-	-	-	17.45	-	17.45	-	-	-	45.20	-	45.20
5	Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	-	-	-	-	9.19	9.19	-	-	-	-	-	-
6	Uttar Pradesh Electronics Corporation Limited.	-	-	-	0.55	-	0.55	-	-	-	-	-	-
7	Uttar Pradesh State Handloom Corporation Limited)	-	0.75	-	-	-	-	-	-	-	-	-	-
8	Uttar Pradesh State Sugar Corporation Limited	-	60.00	-	359.12	-	359.12	-	130.27	-	164.10	-	164.10
9	Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	-	2.60	-	-	-	-	-	-	-	-	-	-
	Sector wise total		63.35		377.12	9.19	386.31		130.27		209.30		209.30
	POWER												
10	Uttar Pradesh Jal Vidyut Nigam Limited	2.00	-	-	-	-	-	-	-	-	-	-	-
11	Uttar Pradesh Power Corporation Limited	1615.19	-	-	187.01	-	187.01	20321.66	10174.42	-	-	-	-

Sl No	Sector and name of the company	Equity / loans received out of budget during the year		Grants and subsidy received during the year				Guarantees received during the year and commitment at the end of the year		Waiver of dues during the year			
		Equity	Loans	Central government	State Government	Others	Total	Received	Commitment@	Loan repayment written off	Loans converted into equity	Interest / penal interest waived	Total
1	2	(3a)	(3b)	4(a)	4(b)	4(c)	4(d)	5(a)	5(b)	6(a)	6(b)	6(c)	6(d)
12	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	778.00	-	-	-	-	-	414.16	-	-	-	-	-
	Sector wise total	2395.19	-	-	187.01	-	187.01	20735.82	10174.42	-	-	-	-
SERVICE													
13	Uttar Pradesh Development Systems Corporation Limited	-	-	-	0.65	-	0.65	-	-	-	-	-	-
14	Uttar Pradesh State Tourism Development Corporation Limited	1.73	-	-	-	-	-	-	-	-	-	-	-
	Sector wise total	1.73	-	-	0.65	-	0.65	-	-	-	-	-	-
	Total A (All sector wise working Government companies)	2405.08	63.35	2.20	659.85	9.19	671.24	20735.82	10304.69	-	209.30	-	209.30
B	Working Statutory Corporations												
FINANCING													
1	Uttar Pradesh Financial Corporation	-	27.18	-	-	-	-	-	216.10	-	-	-	-
	Sector wise total	-	27.18	-	-	-	-	-	216.10	-	-	-	-
INFRASTRUCTURE													
2	Uttar Pradesh Jal Nigam	-	-	-	438.68	-	438.68	-	-	-	-	-	-
	Sector wise total	-	-	-	438.68	-	438.68	-	-	-	-	-	-
SERVICE													
3	Uttar Pradesh Government Employees Welfare Corporation	-	-	-	-	-	-	-	3.00	-	-	-	-
	Sector wise total	-	-	-	-	-	-	-	3.00	-	-	-	-
	Total B (all sector wise statutory corporations)	-	27.18	-	438.68	-	438.68	-	219.10	-	-	-	-
	Total (A+B)	2405.08	90.53	2.20	1098.53	9.19	1109.92	20735.82	10523.79	-	209.30	-	209.30
C	NON WORKING COMPANIES												
MANUFACTURE													
1	The Indian Turpentine and Rosin Company Limited	-	-	-	-	-	-	-	1.93	-	-	-	-
	Sector wise total	-	-	-	-	-	-	-	1.93	-	-	-	-
SERVICE SECTOR													
2	Allahabad Mandal Vikas Nigam Limited	-	-	-	-	-	-	-	0.09	-	-	-	-
	Sector wise total	-	-	-	-	-	-	-	0.09	-	-	-	-
	Total C (All sector wise non working companies)	-	-	-	-	-	-	-	2.02	-	-	-	-
	Grand Total (A+B+C)	2405.08	90.53	2.20	1098.53	9.19	1109.92	20735.82	10525.81	-	209.30	-	209.30

@ Figures indicate total guarantee outstanding at the end of the year.

Annexure-4

Statement showing investment made by the Government in form of equity, loans, grants / subsidies to the working Government companies / statutory corporations for which accounts have not been finalised.

(Referred to in paragraph 1.13)

(Amount-Rs. in crore)

SI No	Name of company and corporation	Year up to which accounts finalised	Paid up capital as per latest finalised accounts	Investment made by state Government during the years for which accounts were not finalised			
				Equity	Loans	Grants	Subsidies
1	2	3	4	5	6	7	8
	A. Working Government Companies						
1	Uttar Pradesh Bhumi Sudhar Nigam	2006-07	1.50	-	-	3.10	-
2	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	2004-05	81.89	29.10	-	2.79	385.08
3	Uttar Pradesh State Industrial Development Corporation Limited	2006-07	24.07	-	-	-	7.25
4	Uttar Pradesh Alpsankhyak Vitya Avam Vikas Nigam Limited	1994-95	4.23	8.48	19.40	1.50	0.27
5	Uttar Pradesh Small Industries Corporation Limited	2001-02	5.96		5.35	-	-
6	UPSIC Potteries Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	1994-95	0.76		0.58	-	-
7	Uttar Pradesh State Handloom Corporation Limited	1995-96	22.84		100.50	16.00	12.19
8	Uttar Pradesh Electronics Corporation Limited	2007-08	87.66	-	-	0.55	-
9	Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	1995-96	53.16	4.77	-	-	-
10	Uttar Pradesh State Yarn Company Limited	2007-08	31.90		2.60	-	-
11	Uttar Pradesh State Sugar Corporation Limited	2006-07	93.96		179.48	359.12	-
12	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2007-08	36.18	-	-	17.45	-
13	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	2007-08	3651.80	778.00	-	-	-
14	Uttar Pradesh Jal Vidyut Nigam Limited	2006-07	415.08	3.00	-	-	-
15	Uttar Pradesh Power Corporation Limited	2006-07	470.74	1615.20	-	187.00	-
16	Uttar Pradesh Food and Essential Commodities Corporation Limited	1997-98	5.00	-	1.11	-	-
17	Uttar Pradesh Export Corporation Limited	2003-04	7.24	-	-	1.25	-
18	Uttar Pradesh Development Systems Corporation Limited	2006-07	1.00	-	-	0.65	-

Sl No	Name of company and corporation	Year up to which accounts finalised	Paid up capital as per latest finalised accounts	Investment made by state Government during the years for which accounts were not finalised			
				Equity	Loans	Grants	Subsidies
19	Uttar Pradesh State Tourism Development Corporation Limited	2007-08	15.12	1.73	-	-	-
20	Uttar Pradesh Waqf Vikas Nigam Limited	1998-99	3.50	3.50	-	-	-
	Total A		5013.59	2443.78	309.02	589.41	404.79
	B Working Statutory Corporations						
1	Uttar Pradesh Financial Corporation	2007-08	179.28	27.18	-	-	-
2	Uttar Pradesh Jal Nigam	2007-08	-	-	-	438.68	-
3	U.P. Government Employees Welfare Corporation	2005-06	-	-	-	-	1.30
	Total B		179.28	27.18	-	438.68	1.30
	Grand Total (A+B)		5192.87	2470.96	309.02	1028.09	406.09

Annexure-5
Statement showing financial position of statutory corporations
(Referred to in paragraph 1.6)

Working Statutory corporations

1. Uttar Pradesh State Road Transport Corporation

Particulars	2005-06	2006-07	2007-08
(Rs. in crore)			
A. Liabilities			
Capital (including capital loan and equity capital)	312.13	359.13	359.13
Borrowings:			0
Government			
Central			
State	3.47	3.47	3.47
Others	208.29	183.60	165.47
Funds	1.28	18.69	33.17
Trade dues and other current liabilities (including provisions)	735.42	854.13	811.02
Uttar Pradesh and Uttaranchal State Road Transport Corporation reorganisation settlement account	26.41	26.41	26.41
Total A	1287.00	1445.43	1398.67
B. Assets			
Gross Block	838.31	918.81	974.42
Less: Depreciation	485.89	503.41	596.84
Net fixed assets	352.42	415.40	377.58
Capital work in progress (including cost of chassis)	6.24	7.76	8.06
Investments	2.01	2.53	0.52
Current Assets, Loans and Advances	157.99	167.89	200.75
Accumulated Losses	768.34	851.85	811.76
Total B	1287.00	1445.43	1398.67
C. Capital employed*	(-) 218.77	(-) 263.08	(-)224.63

2. Uttar Pradesh Financial Corporation

Particulars	2005-06	2006-07	2007-08
(Rs. in crore)			
A. Liabilities			
Paid-up capital	179.28	179.28	179.28
Share application money	-	-	-
Reserve fund and other reserves and surplus	19.88	19.75	19.62
Borrowings	-	-	-
(i) Bonds and debentures	558.73	479.42	382.07
(ii) Fixed deposits	3.96	2.11	1.38
(iii) Industrial Development Bank of India and Small Industries Development Bank of India	408.06	387.56	387.56
(iv) Reserve Bank of India	-	-	-
(v) Loans in lieu of share capital	-	-	-
(a) State Government	30.00	58.56	124.51
(b) National Handicapped Finance and Development Corporation	-	0.48	0.60
(vi) Others (including State Govt.)	7.56	16.49	11.69
Other Liabilities and Provision	403.06	314.95	426.45
Total A	1610.53	1458.60	1533.16
B. Assets			
Cash and Bank balances	49.95	20.63	46.50

* Capital employed represents net fixed assets (including capital work-in-progress) plus working capital.

Particulars	2005-06	2006-07	2007-08
Investments	30.20	15.19	15.19
Loans and Advances	595.16	535.78	483.24
Net Fixed Assets	13.23	13.10	13.14
Other Assets	14.20	26.21	12.39
Misc. Expenditure	-	-	-
Profit and Loss Account	907.79	847.69	962.70
Total B	1610.53	1458.60	1533.16
C. Capital Employed¹	1207.59	1155.52	1115.64

3. Uttar Pradesh State Warehousing Corporation

(Rs. in crore)

Particulars	2005-06	2006-07	2007-08
A. Liabilities			
Paid up capital ²	13.37	13.37	13.37
Reserves and surplus	179.12	197.33	217.24
Subsidy	-	-	-
Borrowings:	-	-	-
Government	-	-	-
Others	55.45	39.87	30.03
Trade Dues and Current Liabilities (including provisions)	58.19	64.62	56.54
Total A	306.13	315.19	317.18
B. Assets			
Gross Block	281.01	287.85	289.23
Less Deprecation	63.31	68.25	72.54
Net Fixed Assets	217.70	219.60	216.69
Capital work-in-progress	(-)1.58	(-)1.81	(-)2.02
Current Assets, Loans and Advances	90.01	97.40	102.51
Profit and Loss Account	-	-	-
Total B	306.13	315.19	317.18
Capital Employed³	247.94	250.57	260.64

4. Uttar Pradesh Forest Corporation

(Rs. in crore)

Particulars	1996-97	1997-98	1998-99
A. Liabilities			
Reserve and Surplus	287.35	323.15	352.45
Borrowings	0.16	0.16	0.16
Current Liabilities (including provisions)	73.52	103.87	147.54
Other Liabilities	7.00	7.00	7.00
Total A	368.03	434.18	507.15
B. Assets			
Net Fixed Assets	10.92	10.31	11.16
Current Assets, Loans and Advances	354.64	421.45	493.94
Accumulated loss	-	-	-
Miscellaneous Expenditure	2.47	2.42	2.05
Total B	368.03	434.18	507.15
C. Capital employed³	292.04	327.89	357.56

¹ Capital employed represents the mean of the aggregate of opening and closing balances of paid-up capital, Seed money, debentures, reserves (other than those which have been funded specifically and backed by Investment outside), bonds, deposits and borrowings (including refinance).

² Including share capital pending allotment Rs.2.21 crore.

³ Capital employed represents the net fixed assets (including capital work-in-progress) plus working capital.

5. Uttar Pradesh Avas Evam Vikas Parishad

(Rs. in crore)

Particulars	2005-06	2006-07	2007-08
A. Liabilities			
Parishad Fund	1783.29	2054.23	2155.38
Surplus	-	-	-
Borrowings	-	-	-
Deposits	142.07	143.49	139.14
Reserve for maintenance of unsold property	-	-	-
Current Liabilities (including Registration Fee)	1270.54	1675.83	2338.57
Excess of assets over liabilities	-	-	-
Total A	3195.90	3873.55	4633.09
B. Assets			
(i) Net Fixed Assets	12.25	19.59	34.90
(ii) Investments	1065.75	1389.82	1639.61
(iii) Current Assets, Loans and Advances	2117.90	2464.14	2958.58
Total B	3195.90	3873.55	4633.09
C. Capital employed*	859.61	807.90	654.91

6. Uttar Pradesh Jal Nigam

(Rs. in crore)

Particulars	2005-06	2006-07	2007-08
A. Liabilities			
Borrowings			
Loans fund			
(i) From LIC	10.18	-	-
(ii) From UP Government	337.45	392.90	393.14
(iii) From Banks	-	-	-
Grants from Government	4540.92	5001.47	5416.22
Deposits	-	-	-
Current Liabilities			
Centage on material unconsumed	37.99	51.11	57.86
Other liabilities	3036.31	3473.87	3724.37
(i) Deposits (deposit received for project)	1666.03	2004.50	2403.86
(ii) Provision for gratuity	1.24	7.74	6.5
Project transferred from LSGED to Jal Nigam	9.45	9.45	9.5
Total A	9639.57	10941.04	12011.45
B. Assets			
Gross Block	25.38	25.55	25.65
Less: Depreciation	9.46	9.79	9.77
Net Fixed Assets	15.92	15.76	15.88
Investments	-	--	--
PF Invested	171.53	162.43	144.48
Project			
(i) Material	301.28	390.54	469.92
(ii) Work in progress	3903.78	4574.52	5098.39
(iii) Completed rural water project maintained by UP Jal Nigam	760.92	756.77	774.46
(iv) Rural water work project cost of LSGED transferred to UP Jal Nigam	9.08	9.08	9.08
Current Assets	3564.61	4080.03	4613.00
Loans and advances	756.31	810.24	750.67
Deficit	156.14	141.67	135.57
Total B	9639.57	10941.04	12011.45
C. Capital employed*	4562.50	5098.38	5536.22

Source: Latest finalised accounts of the PSUs.

* Capital employed represents net fixed assets (including capital work-in-progress) plus working capital.

Annexure-6
Statement showing working results of Statutory corporations
(Referred to in paragraph 1.6)

A. Working Statutory corporations

1. Uttar Pradesh State Road Transport Corporation

(Rs. in crore)

Particulars	2005-06	2006-07	2007-08
Operating			
(a) Revenue	981.57	1104.16	1198.66
(b) Expenditure	987.85	1082.03	1182.24
(c) Surplus (+)/Deficit (-)	(-)6.28	22.13	16.42
Non operating			
(a) Revenue	37.11	37.01	42.08
(b) Expenditure	17.06	19.11	17.79
(c) Surplus (+)/Deficit (-)	20.05	17.90	24.29
Total			
(a) Revenue	1018.68	1141.17	1240.74
(b) Expenditure	1004.91	1101.14	1200.03
(c) Net Profit (+)/Loss (-)	13.77	40.03	40.71
Interest on Capital and Loans	17.06	19.11	17.79
Total return on Capital employed	30.83	59.14	58.5

2. Uttar Pradesh Financial Corporation

(Rs. in crore)

Particulars	2005-06	2006-07	2007-08
1 Income			
(a) Interest on loans	58.63	37.19	21.51
(b) Other Income	4.36	3.71	1.68
(c) Interest Provision written back	-	54.26	-
(d) NPA Provision written back	30.40	30.33	-
Total 1	93.39	125.49	23.19
2. Expenses			
(a) Interest on long term	63.33	42.13	2.41
(b) Provision for non performing assets	-	-	114.53
(c) Other expenses	28.21	23.26	21.25
Total 2	91.54	65.39	138.19
3. Profit (+)/Loss (-) before tax (1-2)	1.85	60.10	(-)115.01
4. Other appropriations	-	-	-
5. Amount available for dividend*	-	-	-
6. Dividend paid/payable	-	-	-
7. Total return on capital employed	65.18	102.23	(-) 112.60
8. Percentage of return on capital employed	5.40	8.85	-

3. Uttar Pradesh State Warehousing Corporation

(Rs. in crore)

Particulars	2005-06	2006-07	2007-08
1. Income			
(a) Warehousing charges	132.99	122.94	125.91
(b) Other Income	1.18	2.38	3.45
Total 1	134.17	125.32	129.36
2. Expenses			
(a) Establishment charges	30.24	46.42	37.79
(b) Interest	5.28	2.91	2.61
(c) Other expenses	64.88	41.16	48.81
Total 2	100.40	90.49	89.21
3. Profit (+)/Loss (-) before tax	33.77	34.83	40.15
4 Appropriations			
(i) Payment of income tax	4.95	7.15	12.42

* Represents profit of current year available for dividend after considering the specific reserves and provision for taxation.

Particulars	2005-06	2006-07	2007-08
(ii) Provision for tax			
(a) Income tax	7.60	2.80	
(b) Dividend tax	0.21	0.26	0.28
(iii) Profit after tax (Amount available for dividend)	21.01	24.62	27.45
(iv) Dividend proposed for the year	1.51	1.51	1.67
(v) Other appropriations	19.50	23.11	25.78
5 Profit transferred to Balance Sheet¹	--	-	-
Total return on capital employed ²	39.05	37.74	42.76
Percentage of return on capital employed	15.51	15.06	16.41

4. Uttar Pradesh Forest Corporation

(Rs. in crore)

Particulars	1996-97	1997-98	1998-99
1. Income			
Sales	178.37	128.12	162.84
Other Income	29.86	27.84	35.43
Closing Stock	67.92	106.77	147.67
Total 1	276.15	262.73	345.94
2. Expenditure			
Purchases	39.07	74.06	124.25
Other Expenses	75.25	84.95	85.62
Opening Stock	117.19	67.92	106.77
Total 2	231.51	226.93	316.64
Net Profit	44.64	35.80	29.30
Total return on capital employed	44.64	35.80	29.30
Percentage of return on capital employed	15.29	10.92	8.19

5. Uttar Pradesh Avas Evam Vikas Parishad

(Rs. in crore)

Particulars	2005-06	2006-07	2007-08
1 Income			
(a) Income from property	305.74	273.39	276.79
(b) Other Income	246.94	299.54	252.55
Total 1	552.68	572.93	529.34
2. Expenditure			
(a) Cost of property sold	253.97	208.03	239.41
(b) Establishment	60.10	65.61	76.74
(c) Interest	-	-	-
(d) Other expenses	27.12	28.35	34.61
Total 2	341.19	301.99	350.76
3. Excess of income over expenditure	211.49	270.94	178.58
4. Total return on capital employed	211.49	270.94	178.58
5. Percentage of total return on capital employed	24.60	33.54	27.27

6. Uttar Pradesh Jal Nigam

(Rs. in crore)

Particulars	2005-06	2006-07	2007-08
1.Income			
Centage	66.05	97.24	97.97
Survey and project fee	1.15	0.56	0.28
Receipt from consumers for scheme maintained by Jal Nigam	16.45	23.78	23.09

¹ profit transferred to Balance Sheet is only Rs. 506, 295 and 714 in 2005-06, 2006-07 and 2007-08 respectively.

² Total return on capital employed equals Profit / Loss before tax plus interest.

Particulars	2005-06	2006-07	2007-08
Other income	6.08	8.95	6.41
Income from financing activities	21.71	30.24	34.42
Revenue grant			
(i) From UP Government for maintenance	93.82	72.98	102.27
(ii) From Government for HRD	-	-	-
Income of C&DS	28.92	33.81	41.49
Income of Nalkoop wing	1.68	1.44	1.47
Interest	-	---	-
Grant	-	-	-
Others	-	-	-
Total 1	235.86	269.00	307.40
2. Expenditure			
Establishment charges/operating expenses	151.34	169.12	187.50
Expenditure on maintenance	47.81	62.20	78.82
Interest	18.46	15.64	16.32
Other expenses	-	-	-
Depreciation	0.39	0.33	0.30
Expenditure of C&DS	16.80	20.72	22.72
Expenditure of Nalkoop Nigam	1.03	0.95	1.14
Grant to Jal Sansthan	-	-	-
Grant to Irrigation	-	-	-
Total 2	235.83	268.96	306.80
Deficit (-)/Surplus (+)	0.03	0.04	0.60
Total return on capital employed	18.49	15.68	16.92

Source: Latest finalised accounts of the PSUs

Annexure-7

**Units planned for R&M, actual date of start and completion of R&M works indicating expenditure incurred on the works
(Referred to in paragraph 2.1.1 and 2.1.3)**

Sl. No.	Name of Project Planned for R&M	Capacity (MW)	Estimated cost (Rs In crore)	Date of approval by Board of Directors	Actual date of start of work	Loan Sanctioned by PFC	Funding from Internal Sources/ Govt.	Expenditure incurred (Up to March 2009)	Actual Date of completion
R&M Activities									
1.	Obra A (Unit No. 6) TPS	100	52.47	7.04.2005	21.12.2005	42.00	10.47	49.99	Completed in March 2008
2.	Panki TPS Unit No. 3&4	220	31.43	10.03.2000	29.08.2000	15.71	15.72	29.89	Completed in December 2006
3.	Harduaganj Unit No.5&7	165	55.34	15.01.2001	20.5.2005	38.73	16.61	55.34	Completed in January 2006 and May 2008
4.	Parichha Unit No.1&2	220	32.80	17.07.2000	01.05.2002	16.40	16.40	36.45	Completed in March 2006
5.	Parichha Unit No.1&2	220	8.02	17.07.2000	01.05.2002	2.37	5.65	8.20	Completed in June 2006
6.	Anpara A unit No. 1,2,3	630	68.96	20.09.2005	16.05.2006	55.17	13.79	38.43	Work is scheduled to be completed by September 2009
Refurbishment									
1.	Obra A (5x50 MW)	250	479.50	22.09..2000	21.07.2003	335.60	143.90	186.36	Work in Progress
2.	Obra B (5x200MW)	1000	1635.00	15.01.2001	20..06.2006	1308.00	327.00	687.31	Work in Progress
	Total		2363.52			1813.98	549.54	1090.93	

Annexure -8

Statement showing details of non-adherence to Annual Maintenance Schedule

(Referred to in paragraph 2.1.11 and 2.1.18)

Sl. No.	Name of TPS	Unit No.	2004-05		2005-06		2006-07		2007-08		2008-09	
			Due	Done	Due	Done	Due	Done	Due	Done	Due	Done
1.	Anpara A	1	04/2004	--	04/04	08/05	08/06	--	08/06	04/08	--	--
2.		2	10/ 2003	11/04	11/05	3/06	04/07	--	04/07	--	04/07	12/08
3.		3	10/ 2004	09/04	10/05	--	10/05	03/07	03/08	03/08	--	--
4.	Obra A	1	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment
5.		2	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment
6.		3	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Deleted	Deleted
7.		4	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Deleted	Deleted
8.		5	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Under Refurbishment	Deleted	Deleted
9.		6	09/04	12/04	Under R&M	Under R&M	Under R&M	Under R&M	Under R&M	03/08	--	--
10		7	--	--	--	08/05	09/06	03/07	--	--	04/08	--
11		8	--	11/04	12/05	--	12/05	--	12/05	--	12/05	07/08
12		9	--	--	05/05	--	05/05	03/07	--	--	04/08	Under Refurbishment
13		10	04/03	09/04	09/05	--	09/05	09/06	10/07	01/08	01/09	--
14		11	12/03	02/05	02/06	--	02/06	--	02/06	--	02/06	--
15		12	10/03	--	10/03	--	10/03	--	10/03	--	10/03	09/08
16		13	12/02	--	12/02	10/05	10/06	12/06	01/08	--	01/08	--
17	Hardua-ganj	3	11/00	03/05	03/06	--	03/06	--	03/06	11/07	11/08	--
18		5	Under R&M	Under R&M	Under R&M	Under R&M	Under R&M	Under R&M	Under R&M	Under R&M	--	05/08
19		7	08/04	--	08/04	01/06	02/07	--	02/07	12/07	01/09	10/08
20	Panki	3	08/04	--	08/04	--	08/04	05/06	06/07	--	06/07	10/08
21		4	08/03	12/04	12/05	--	12/05	--	12/05	10/07	09/08	--
22	Parichha	1	01/04	--	01/04	01/06	02/07	--	02/07	02/08	02/09	--
23		2	01/05	--	01/05	--	01/05	--	01/05	12/07	01/09	--

Annexure-9
Excess Auxiliary consumption
(Referred to in paragraph 2.1.18)

Name of the project	Generation (MU)	Norms for auxiliary consumption As per DPR (in percentage)	Norms for auxiliary consumption As per UPERC (in percentage)	Actual Auxiliary consumption (in percentage)	Excess consumption (in percentage)	Excess consumption of units (MU) (2 X 6)	Rate of sale of energy (in Rs/Kwh)	Amount (Rs in crore) (7 X 8)	TPS wise total
1	2	3	4	5	6	7	8	9	10
Obra A									
2008-09	544.683	--	11	13.63	2.63	14.325	2.13	3.05	3.05
Panki									
2006-07	929.198	13	--	13.47	0.47	4.37	2.39	1.04	1.80
2007-08	1066.606	13	--	13.30	0.30	3.20	2.36	0.76	
2008-09	1346.799	13	--	12.53	--	--	--	--	
Harduaganj									
2006-07	751.962	--	11.50	14.41	2.91	21.88	3.04	6.65	29.82
2007-08	751.575	--	11	14.82	3.82	28.71	2.96	8.50	
2008-09	806.130	--	11.50	16.05	4.55	36.68	4.00	14.67	
Parichha									
2006-07	1135.971	10	--	16.38	6.38	72.474	2.33	16.89	52.58
2007-08	667.666	10	--	18.47	8.47	56.551	2.62	14.82	
2008-09	1005.284	10	--	16.53	6.53	65.645	3.18	20.87	
Anpara A									
2006-07	4247.815	--	8.0	10.14	2.14	90.90	1.34	12.18	35.08
2007-08	4048.907	--	8.0	10.44	2.44	98.79	1.31	12.94	
2008-09	4582.548	--	8.5	10.01	1.51	69.196	1.44	9.96	

Annxure-10
Excess consumption of heat
(Referred to in paragraph 2.1.19)

Name of the project	Norms for heat rate (Kcal/Kwh) (As per UPERC)	Total heat consumed (Kcal/Kwh)	Excess consumption of heat (Kcal/Kwh)	Generation (MU)	Total excess heat consumed (MKcl) (4X5)	Average calorific value of coal (Kcal)	Excess consumption of heat in terms of coal (in MT) (5/6)	Average cost of coal (Rs/MT)	Total value of excess consumption of heat (Rs in crore)	TPS Wise Total (Rs in crore)
1	2	3	4	5	6	7	8	9	10	11
Obra A										
2008-09	3000	3249	249	544.683	135626	3252	41705	1389	5.79	5.79
Panki										
2006-07	3000	3458	458	929.198	425572	3658	116340	1836	21.36	74.30
2007-08	2950	3493	543	1066.606	579167	3775	153422	2122	32.56	
2008-09	3100	3353	253	1346.799	340740	3817	89269	2283	20.38	
Harduaganj										
2006-07	3350	3484	134	751.962	100763	3414	29515	2105	6.21	46.36
2007-08	3300	4055	755	751.575	567439	3970	142932	2479	35.43	
2008-09	3450	3534	84	806.130	67715	3391	19969	2364	4.72	
Parichha										
2006-07	3250	3890	640	1135.971	727021	4247	171185	2083	35.66	51.74
2007-08	3100	3377	277	667.666	184943	3624	51033	2121	10.83	
2008-09	3100	3181	81	1005.284	81428	3387	24041	2182	5.25	
Anpara A										
2006-07	2500	2795	295	4247.815	1253105	3100	404227	888	35.90	36.98
2007-08	2500	2367	--	4048.907	--	3309	--	--	--	
2008-09	2500	2507	7	4582.548	32078	3298	9727	1110	1.08	

Annexure-11

Excess consumption of Oil

(Referred to in paragraph 2.1.20)

Name of the project	Generation (MU)	Norms for Oil consumption (ML/Kwh) (As per DPR)	Norms for Oil consumption (ML/Kwh) (As per UPERC)	Actual Fuel consumption (ML/Kwh)	Excess consumption of Oil (ML/Kwh)	Excess consumption of Oil (KL) (2 X 6)	Average cost of oil (Rs/KL)	Amount of excess consumption of oil (Rs in crore) (7 X 8)	TPS wise total
1	2	3	4	5	6	7	8	9	10
Obra									
2008-09	544.683	--	4	7.27	3.27	1781.11	34171	6.09	6.09
Panki									
2006-07	929.198	8	--	3.79	--	--	28944	--	--
2007-08	1066.606	8	--	3.48	--	--	30016	--	
2008-09	1346.799	8	--	2.20	--	--	47528	--	
Harduaganj									
2006-07	751.962	--	5	7.25	2.25	1691.92	23082	3.91	34.42
2007-08	751.575	--	4.5	8.53	4.03	3028.84	23135	7.01	
2008-09	806.130	--	4.5	12.95	8.45	6811.79	34494	23.50	
Parichha									
2006-07	1135.971	10	--	6.91	--	--	21378	--	9.73
2007-08	667.666	10	--	11.78	1.78	1188.45	25689	3.05	
2008-09	1005.284	10	--	11.90	1.90	1910.04	34976	6.68	
Anpara A									
2006-07	4247.815	--	2	0.91	--	--	--	--	--
2007-08	4048.907	--	2	0.99	--	--	--	--	
2008-09	4582.548	--	2	0.98	--	--	--	--	

Annexure-12
Excess Coal consumption
(Referred to in paragraph 2.1.21)

Name of the Project	Generation (MU)	Norms for coal consumption (Kg/Kwh) (As per DPR)	Norms for coal consumption (Kg/Kwh) (As per UPERC)	Actual Coal consumption (Kg/Kwh)	Excess consumption of coal (Kg/Kwh)	Excess consumption of coal (MT)	Average cost of coal (Rs/MT)	Amount of excess consumption of coal (Rs in crore)	TPS wise total
1	2	3	4	5	6	7	8	9	10
Obra A									
2008-09	544.683	--	0.89	0.96	0.07	38128	1389	5.30	5.30
Panki									
2006-07	929.198	0.84	--	0.94	0.10	92920	1836	17.06	43.58
2007-08	1066.606	0.84	--	0.93	0.09	95995	2122	20.37	
2008-09	1346.799	0.84	--	0.86	0.02	26936	2283	6.15	
Harduaganj									
2006-07	751.962	--	0.97	1.00	0.03	22559	2105	4.75	38.88
2007-08	751.575	--	0.96	1.00	0.04	30063	2479	7.45	
2008-09	806.130	--	0.87	1.01	0.14	11285	2364	26.68	
Parichha									
2006-07	1135.971	0.85	--	0.90	0.05	56799	2083	11.83	36.46
2007-08	667.666	0.85	--	0.90	0.05	33383	2121	7.08	
2008-09	1005.284	0.85	--	0.93	0.08	80423	2182	17.55	
Anpara A									
2006-07	4247.815	--	0.91	0.79	--	--	--	--	10.17
2007-08	4048.907	--	0.91	0.77	--	--	--	--	
2008-09	4582.548	--	0.75	0.77	0.02	9165	1110	10.17	

Annexure-13

Non-achievement of targeted PLF

(Referred to in paragraph 2.1.22)

Name of the project	PLF achieved (in percentage)	Norms (As per DPR) (in percentage)	Norms (As per UPERC) (in percentage)	Shortfall (in percentage)	Generation	Loss of generation due to short achievement of PLF (MU)	Sale Rate (Rs/KWH)	Loss (Rs in crore)	TPS wise total
1	2	3	4	5	6	7	8	9	10
Obra A									
2008-09	66.15	--	65	--	544.683	--	2.13	--	--
Panki									
2006-07	50.51	55	--	4.49	929.198	82.599	2.39	19.74	19.74
2007-08	57.82	55	--	--	1066.606	--	2.36	--	
2008-09	73.21	55	--	--	1346.799	--	2.97	--	
Harduaganj									
2006-07	23.79	--	28	4.21	751.962	133.07	3.04	40.45	108.27
2007-08	31.11	--	40	8.89	751.575	214.77	2.96	63.57	
2008-09	39.48	--	40	0.52	806.130	10.62	4.00	4.25	
Parichha									
2006-07	58.94	60	--	1.06	1135.971	20.43	2.33	4.76	181.66
2007-08	34.55	60	--	25.45	667.666	491.811	2.62	128.85	
2008-09	52.16	60	--	7.84	1005.284	151.101	3.18	48.05	
Anpara A									
2006-07	76.97	--	75	--	4247.815	--	1.34	--	49.51
2007-08	73.17	--	80	6.83	4048.907	377.942	1.31	49.51	
2008-09	83.04	--	80	--	4582.548	--	1.44	--	

Annexure-14
Cost of Generation
(Referred to in paragraph 2.1.23)

Name of the project	Actual cost of generation	Cost of generation to be achieved as per DPR	Cost of generation to be achieved as per UPERC	Excess cost of generation	Generation (MU)	Amount of excess cost of generation (Rs. In crore)	TPS wise total
1	2	3	4	5	6	7	8
Obra A							
2008-09	3.63	--	1.66	1.97	544.683	107.30	107.30
Panki							
2006-07	3.30	1.97	1.91	1.33	929.198	123.58	426.16
2007-08	3.38	1.97	1.91	1.41	1066.606	150.39	
2008-09	3.10	1.97	2.09	1.13	1346.799	152.19	
Harduaganj							
2006-07	4.20	--	2.28	1.92	751.962	144.38	560.90
2007-08	4.64	--	2.23	2.41	751.575	181.13	
2008-09	5.25	--	2.33	2.92	806.130	235.39	
Parichha							
2006-07	3.18	2.04	1.31	1.14	1135.971	129.50	496.09
2007-08	4.70	2.04	1.25	2.66	667.666	177.60	
2008-09	3.92	2.04	2.25	1.88	1005.284	188.99	
Anpara A							
2006-07	1.33	--	0.89	0.44	4247.815	186.90	559.35
2007-08	1.21	--	0.89	0.32	4048.907	129.57	
2008-09	1.42	--	0.89	0.53	4582.548	242.88	

Annexure-15

Statement showing duplicate and fictitious record in the data bank

(Referred to in Paragraph 2.2.16)

Name of the Division	Month of analysis	Total consumers	Total operative consumer	Duplicate book/ SC nos.	Fictitious meters	Duplicate meters		
						Nos.	Premises	range
EUDD-I Agra	December 2008	44,480	42,575	105	1,958	3,713	8091	2-6
EUDD-II Agra	November 2008	41,573	38,810	292	2417	1722	3574	2-6
EUDD-III Agra	December 2008	39,762	37,288	18	2554	26	2168	2-11
EUDD-IV Agra	December 2008	28,358	28,089	8	937	492	1073	2-5
EUDD-V Agra	December 2008	28,161	26,854	102	1877	710	1744	2-9
EUDD-VII Agra	December 2008	35,085	34,953	367	4138	1993	5305	2-12
EUDD-I, Mathura	November 2008	54,329	41471	Nil	3129	1300	3314	2-13
EUDD-III, Aligarh	March 2009	45,678	42,804	Nil	2854	3135	6506	2-8
Total		317,426	292,844		19,864	13,091	31775	

Annexure-16

Statement showing difference between data base and commercial statement

(Referred to in Paragraph 2.2.41)

Division/ category	Number of consumers		Assessment for the month (Rs in lakh)	
	Database	Commercial Statement	Database	Commercial Statement
EUDD-1 AGRA				
LMV-1	21374	21655	226.65	105.97
LMV-2	17920	18195	265.54	108.97
LMV-4	36	80	1.67	15.61
LMV-6	302	297	23.88	23.78
EUDD-II, AGRA				
LMV-1	28735	29057	261.17	NA
LMV-2	9861	10020	122.75	NA
LMV-4	228	229	5.95	NA
LMV-6	581		38.35	NA
EUDD-III AGRA				
LMV-1	32125	33303	140.99	98.23
LMV-2	5159	7076	52.30	62.52
LMV-4	62	75	2.17	7.88
LMV-5	38	8	0.20	0.21
LMV-6	2246	1615	180.06	154.06
EUDD-IV AGRA				
LMV-1	23466	24395	125.87	162.10
LMV-2	3646	3790	59.45	176.37
LMV-4	88	95	3.48	12.50
EUDD-V, AGRA				
LMV-1	21890	22459	175.72	118.53
LMV-2	4963	4964	57.85	48.27
LMV-4	166	216	4.76	9.47
LMV-6	322	393	32.33	25.31
EUDD-VII, AGRA				
LMV-1	27790	29107	400.17	82.60
LMV-2	4689	5148	119.89	117.03
LMV-6	212	271	15.73	16.23
EUDD-I MATHURA				
LMV-1	34271	41523	177.91	282.62
LMV-2	7291	9258	70.48	66.97
LMV-4	112	98	3.99	25.17
LMV-6	1033	1057	65.44	47.87
EUDD-III ALIGARH				
LMV-1	36727	34408	162.91	137.00
LMV-2	5273	5189	36.61	23.40
LMV-4	118	316	4.58	1.40
LMV-5	30	31	0.75	1.00
LMV-6	2765	2772	98.67	97.00
Total	293519	307100	2938.70	2028.07

Annexure-17
Statement showing operational performance of Uttar Pradesh State Road Transport Corporation
(Referred to in paragraph 3.7)

Particulars	(Rs.in crore)				
	2004-05	2005-06	2006-07	2007-08	2008-09 (Provisional)
Average number of vehicles held	5673	6031	6418	6660	6831
Average number of vehicles on road	5406	5785	6165	6323	6471
Percentage of utilisation of vehicles	95	96	96	95	95
Number of employees	36398	37339	35687	35314	35198
Employee vehicle ratio	6.23	5.99	5.44	5.30	5.15
Number of routes operated at the end of the year	1859	2118	2222	2246	2450
Route kilometers (in lakh)	4.23	4.83	4.95	4.87	5.75
Kilometers operated (in lakh)					
Gross	7463.56	8228.30	8738.71	9231.94	NA
Effective	7223.56	7954.30	8477.71	9012.94	9411.53
Dead	240.00	274.00	261.00	219.00	NA
Percentage of dead kilometers to gross kilometers	3.22	3.33	2.99	2.37	NA
Average kilometers covered per bus per day	307	315	321	330	332
Average revenue per kilometer (Rs.)	12.07	12.81	13.46	13.77	15.02
Average expenditure per kilometer (Rs.)	12.02	12.63	12.99	13.31	14.91
Loss (-)/Profit (+) per kilometre (Rs.)	0.05	0.18	0.47	0.46	0.11
Number of operating depots	99	107	107	107	107
Average number of break-down per lakh kilometers	0.14	0.08	0.06	0.06	NA
Average number of accidents per lakh kilometers	0.14	0.13	0.13	0.12	NA
Passenger kilometre operated (in crore)	2336.16	2457.06	2634.28	2881.45	3032.25
Occupancy ratio (Load Factor)	62	59	62	64	64
Kilometres obtained per litre of Diesel Oil	5.03	5.16	5.33	5.31	5.32

Annexure-18

Map indicating nationalised and non nationalised roads
(Referred to in paragraph 3.8)



Annexure-19

Details of variations between estimated and actual value of work

(Referred to in paragraph 4.14.4)

Name of the Division	Year	Variation from estimate to execution	
		Number of estimates	Range (%)
EDD-Rahimnagar, Lucknow	2007-08	10	59 to 274
	2008-09	35	36 to 339
EDD-I, Lakhimpur	2007-08	NA	NA
	2008-09	NA	NA
EDD-I,Unnao	2007-08	34	53 to 228
	2008-09	51	29 to 143
EDD,Bahraich	2007-08	35	50 to 117
	2008-09	54	47 to 192
EDD-I & II, Sitapur	2007-08	80	69 to 328
	2008-09	139	09 to 295
EDD-I & II, Hardoi	2007-08	28	80 to 292
	2008-09	42	89 to 294
EDD-I & II, Bareilly	2007-08	53	28 to 194
	2008-09	135	52 to 494

Annexure-20**Short installation of transformers****(Referred to in paragraph 4.14.5)**

Name of the Division	Year	No of Village/Hamlet	Length of line (range KM)	No of T/F required (range)	No of T/F installed (range)	Expenditure incurred (Rs. in lakh)
EDD-I, Unnao	2007-08	16	0.130 to 2.082 (26.237 KM)	2 to 26 (328 nos)	1 to 7 (48 nos)	143.88
	2008-09	12	0.210 to 1.56 (11.057 KM)	3 to 20 (138 nos)	1 to 3 (30 nos)	61.83
EDD, Bahraich	2007-08	30	0.5 to 2.81 (47.147 KM)	7 to 35 (596 nos)	1 to 12 (206 nos)	391.15
	2008-09	33	0.157 to 4.72 (49.188 KM)	2 to 59 (615 nos)	1 to 8 (143 nos)	236.67
EDD, Rahim Nagar, Lucknow	2008-09	5	0.76 to 1.693 (6.073 KM)	10 to 21 (76 nos)	1 to 4 (11 nos)	39.01
	Total	96	139.70 KM	1753 nos	438 nos	872.54

Annexure-21
Statement showing outstanding paras of inspection reports in which Opportunity to recover money
ignored
(Referred to in paragraph 4.23)

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
Madhyanchal Vidyut Vitran Nigam Limited				
EDD, Jagdishpur	10/95-12/96	Non levy of extra charges for supply at low voltage for Nandi Pump Canal	3.25	Reply awaited.
		Non billing & non realization of revenue under Kutir Jyoti Scheme	16.02	Reply awaited.
	04/98-05/99	Short assessment against HAL Korva Gauriganj	332.78	Reply awaited.
		Wrong application of rate schedule in billing against Rungta Steels	21.66	Reply awaited.
		Non-recovery of bay charges from Rungta Steels	17.50	Reply awaited.
		Short-billing due to wrong calculation of Minimum Consumption Guarantee	11.78	Reply awaited.
		Incorrect application of rate schedule in case of Malvika Steel	5.51	Reply awaited.
	6/99 – 5/2000	Undercharge of revenue	27.91	Reply awaited.
		Undercharge of revenue due to wrong application of tariff (M/s Malvika Steel Plant)	26.25	Reply awaited.
		Non-realisation of bay charges from Malvika Steels	17.13	Reply awaited.
		Under-charge of revenue in case of low voltage supply	6.29	Reply awaited.
	4/01 – 2/02	Non-levy of additional surcharge	53.40	Reply awaited.
EDC, Gonda	4/92 - 3/94	Non-recovery of miscellaneous advance against JEs	3.68	Reply awaited.
	4/98 – 6/99	Non-levy of Electricity Duty on Railways	13.21	Reply awaited.
	4/2000 – 7/01	Under charge due to incorrect application of tariff	7.55	Reply awaited.
EDD, Barabanki	10/94 – 9/95	Undercharge of Minimum Consumption Guarantee from Pashupati Alloys	12.59	Reply awaited.
	10/95 – 2/96	Under assessment of energy charges	26.14	Reply awaited.
	3/96 – 12/97	Damage of metering of Potential Transformer under charge	15.91	Reply awaited.
	4/99 – 3/2000	Incorrect application of tariff	43.21	Reply awaited.
	4/2000- 3/01	Short assessment against Ganesh Steels	30.68	Reply awaited.
	7/02 – 6/03	Undue favour to consumer due to non-billing under continuous process	11.15	Reply awaited.
EUDD-I, Bareilly	4/02 – 3/03	Loss due to incorrect application of tariff	1.79	Reply awaited.
		Short billing of fixed charges	1.34	Reply awaited.
	4/03 – 12/03	Short billing due to incorrect bifurcation of tariff	1.03	Reply awaited.
		Short assessment due to non bifurcation of KVAH section	4.14	Reply awaited.
		Non levy of penalty for excess load	7.05	Reply awaited.
		Short assessment of demand charges	1.51	Reply awaited.
EWC, Bareilly	4/98-3/2000	Non-recovery of miscellaneous advance booked against Sri R.S.Srivastava, JE	33.76	Reply awaited.
EDD, Bareilly	1/02 – 11/02	Loss due to short assessment of Mr. Sushil Alias Goldy Honda	8.11	Reply awaited.
EDD-II, Badaun	4/01 – 3/02	Theft of revenue from cash chest	3.11	Reply awaited.
EDD-II, Hardoi	4/2000 – 3/01	Irregular withholding of payment of electricity bills	55.50	Reply awaited.
	4/01 – 3/02	Non-levy of additional surcharge	77.97	Reply awaited.
	4/02 – 2/03	Non-assessment against theft of energy	41.18	Reply awaited.
		Non-assessment against excess load	2.90	Reply awaited.
EDD-I, Raibareilly	8/01 – 10/02	Loss due to non-levy of penalty for peak hour violation	177.77	Reply awaited.
EDD, Khurramnagar, Lucknow	6/98 – 5/99	Underbilling of SGPGI	78.25	Reply awaited.
		Non-recovery from M/s Lucknow Alloys	28.82	Reply awaited.
		Short levy of electricity charges from Dindayal Sansthan	1.37	Reply awaited.
EDD-II, Lakhimpur	4/93 – 3/94	Short billing of M/s Bajaj Hindustan Ltd.	4.13	Reply awaited.
	11/01 – 10/03	Non-raising of bill against defective meter of M/s Bajaj Hindustan Ltd.	16.16	Reply awaited.
EUDD, Chowk, Lucknow	7/99 – 6/2000	Undercharge of Electricity Duty	5.51	Reply awaited.
		Non-billing and non-realisation of surcharge	61.17	Reply awaited.
		Non levy of late payment surcharge	4.83	Reply awaited.
EUDD, Gomtinagar, Lucknow	3/97-6/98	Undercharge due to incorrect application of tariff	23.54	Reply awaited.
EDD-I, Sitapur	4/02 – 5/03	Short charge of Minimum Consumption Guarantee	7.82	Reply awaited.
EUDD, Hussainganj, Lucknow	5/97 – 4/98	Non-recovery of system loading charges	13.34	Reply awaited.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
	6/2000 – 3/01	Loss due to incorrect application of tariff	71.91	Reply awaited.
EDD, Unnao	9/2000- 12/01	Non- charging from consumer having supply from independent feeder	16.77	Reply awaited.
EDD-II, Raibareilly	04/00-03/01	Loss due to non levy of surcharge	20.24	Reply awaited.
	11/02-10/03	Short billing against consumers during peak hour	1.89	Reply awaited.
EUDD, Aishbagh, Lucknow	06/99-03/00	Loss due to incorrect application of tariff	6.37	Reply awaited.
	04/00-06/01	Short assessment due to incorrect application of tariff	22.37	Reply awaited.
		Short billing against M/s Singhal paints due to incorrect application of tariff	0.78	Reply awaited.
EUDD, Aliganj, Lucknow	9/99-06/00	Incorrect application of tariff - undercharge of revenue	75.25	Reply awaited.
Purvanchal Vidyut Vitran Nigam Limited				
EUDD-I, Varanasi	1/2000- 11/2000	Non-levy of extra charge against the consumers getting supply through independent feeder.	30.75	Reply awaited.
EUDD-II, Varanasi	1/96 – 2/97	Non-levy of extra charge	4.80	Reply awaited.
		Incorrect application of tariff	3.02	Reply awaited.
		Under-charge of revenue due to defective meter	1.88	Reply awaited.
		Loss of revenue due to charging lower tariff	1.01	Reply awaited.
		Under charge of revenue due to incorrect multiplying factor	12.85	Reply awaited.
EUDD-IV, Varanasi	9/96 – 9/97	Short billing of revenue due to application of incorrect multiplying factor	7.74	Reply awaited.
		Loss of revenue due to incorrect application of tariff	21.83	Reply awaited.
EDD-II, Ghazipur	4/93 – 3/94	Non replacement of defective meter resulting in underbilling	11.20	Reply awaited.
	4/94 – 3/95	Underassessment of theft case	1.35	Reply awaited.
	4/98 – 2/99	Short billing due to application of wrong tariff	69.12	Reply awaited.
	3/99 – 6/2000	Under billing due to non application of revised tariff	5.91	Reply awaited.
	4/02 – 3/03	Short assessment of revenue on railway traction jamania	43.01	Reply awaited.
EDD-II, Jaunpur	9/99-7/00	Undue favour to consumer	24.69	Reply awaited.
	7/2000 – 4/02	Loss due to non realization of peak hour penalty due to delayed receipt of MRI report	70.88	Reply awaited.
EDD-I, Ballia	7/99-6/00	Doubtful recovery due to undue favour to Sahkari Cold Storage	14.91	Reply awaited.
		Short billing against M/s Kisan Sahakari chini Mill	4.69	Reply awaited.
		Undue favour to cold storage resulting in unrecovered dues	16.31	Reply awaited.
EDD-II, Azamgarh	11/99–7/00	Undercharge of revenue from street light consumers	18.55	Reply awaited.
EDD, Bhadohi	2/02 – 3/03	Wrong application of multiplying factor	6.67	Reply awaited.
EDD, Kaushambi	7/02 – 7/04	Loss due to wrong application of tariff	5.33	Reply awaited.
EDD, Fatehpur	4/94-3/95	Short billing of PTW consumers due to incorrect application of tariff	8.31	Reply awaited.
	9/95 – 3/96	Undercharge due to wrong application of PTW consumers	13.46	Reply awaited.
	6/97 – 7/98	Undercharge due to incorrect assessment	10.74	Reply awaited.
		Undue benefit to consumer	17.13	Reply awaited.
	8/98 – 7/99	Short billing against induction furnace consumers	807.90	Reply awaited.
	8/99 – 4/2000	Incorrect application of tariff resulting in undercharge	5.85	Reply awaited.
	5/2000 – 6/01	Short charge of demand charge and energy charges and additional charge against M/s Sharda Steels wrong application of Multiplying .Factor.	73.56	Reply awaited.
	7/01-6/02	Non-charging of fixed charges	10.00	Reply awaited.
	7/02-3/03	Loss due to underbilling of revenue incorrect application of tariff	25.50	Reply awaited.
EDD-I, Allahabad	10/97 – 9/98	Undercharge of billable demand	48.60	Reply awaited.
EUDD-II, Gorakhpur	8/03-3/04	Allowance of inadmissible load factor rebate	116.17	Reply awaited.
		Non recovery of independent feeder surcharge	26.09	Reply awaited.
EDD, Maharajanj	1/02 – 1/03	Short assessment of revenue (shunt capacitor surcharge)	612.63	Reply awaited.
	5/99-4/2000	Non billing of peak hour penalty from consumers	7.60	Reply awaited.
EDD-I, Basti	4/96-8/96	Short assessment due to incorrect application of tariff	12.25	Reply awaited.
	9/97 – 9/98	Short billing in respect of Balrampur Chini Mills, Babnan, Basti	1.46	Reply awaited.
	7/99 – 6/2000	Loss due to incorrect application of tariff in Basti Sugar factory, Basti	6.89	Reply awaited.
EUDD-I, Gorakhpur	7/2000–8/02	Undercharge of revenue	8.24	Reply awaited.
	10/02 – 5/03	Non levy of peak hour penalty	40.12	Reply awaited.
Dakshinanchal Vidyut Vitran Nigam Ltd.				
		Loss due to non-recovery of system loading charges from consumers	17.96	Reply awaited.
EDD-II, Aligarh	01/2003 – 12/2003	Non-recovery of revenue in case of theft of electricity	16.75	Reply awaited.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
		Loss due to non-imposition and recovery of penalty on the use of electricity during peak hour	41.15	Reply awaited.
EUDD-IV, Agra	9/2002 - 08/2003	Loss due to non-recovery of unrealized revenue from M/s Himgiri Ice Factory, Agra	57.42	Reply awaited.
EDD, Banda	1/2003 - 12/2003	Non-issue of Sec 3 and Sec 5 notice for recovery of outstanding dues from Parenhut Steel Limited	1923.18	Reply awaited.
EDD, Lalitpur	9/1999 - 8/2000	Doubtful recovery from the consumer M/s Etah Steel Pvt Ltd	7.37	Reply awaited.
		Loss of revenue due to wrong application of tariff	28.30	Reply awaited.
	09/2000 - 01/2002	Short billing	74.34	Reply awaited.
EDD, Etah	4/2000 - 03/2001	Non-recovery due to irregular adjustment to consumers	8.51	Reply awaited.
EDD, Orai	4/2001 to 03/2002	Irregular rebate	210.15	Reply awaited.
	01/1994 - 02/1995	Undercharge of revenue due to incorrect assessment of energy	5.72	Reply awaited.
EDD, Etawah	10/1993 - 9/1994	Undercharge of revenue on account of fuel surcharge and establishment surcharge	15.89	Reply awaited.
EDD-I, Jhansi	4/2003 - 3/2004	Loss due to wrong billing of M/s Bansal Febwell	4.24	Reply awaited.
EDD, Orai (Jalaun)	4/2003 - 3/2004	Loss due to inadmissible load factor rebate	2.42	Reply awaited.
	4/2002 - 3/2003	Underbilling due to incorrect application of tariff	152.88	Reply awaited.
	4/2001 - 3/2002	Loss due to non-charging of peak/restricted hour additional surcharge	447.22	Reply awaited.
		Short assessment against Real Cement & Company	15.29	Reply awaited.
		Short assessment for direct supply against Vijay Ispat Limited	4.21	Reply awaited.
	2/2000 - 3/2001	Loss on account of favour to consumer in capacity verification	525.67	Reply awaited.
	7/1999 - 1/2000	Irregular allowing development rebate against consumer	509.46	Reply awaited.
	9/1998 - 6/1999	Undue benefit to consumers due to short-assessment	127.37	Reply awaited.
		Loss due to short assessment in theft cases	219.79	Reply awaited.
		Undue benefit to M/s Bundelkhand Alloys (P) Ltd	40.22	Reply awaited.
		Loss due to short assessment of M/s Daksh Steel Limited	12.38	Reply awaited.
		Loss due to irregular reduction of load of M/s Bundelkhand Alloys (P) Ltd	11.88	Reply awaited.
		Loss of interest on huge balance in current account	27.28	Reply awaited.
EDD-I, Jhansi	4/1997 - 2/1998	Undercharge of revenue from medium power consumers	5.94	Reply awaited.
EDD, Hamirpur	7/1998 - 6/1999	Loss due to under assessment of HV-1 consumer	12.03	Reply awaited.
	10/1995 to 6/1997	Undue benefit to consumers due to reduction of load with retrospective effect	42.73	Reply awaited.
EDD, Etawah	4/1998 - 3/1999	Loss to Board due to non-levy of electricity duty from the consumer	228.41	Reply awaited.
		Loss to Board due to non-realisation of electricity charge from BCU, Etawah in respect of temporary connection	3.18	Reply awaited.
	10/1993 - 9/1994	Non-levy of late payment surcharge	43.23	Reply awaited.
EDD-I, Aligarh	1/2003 - 12/2003	Under recovery of initial security from consumer	1.31	Reply awaited.
		Doubtful recovery of revenue	15.66	Reply awaited.
Paschimanchal Vidyut Vitran Nigam Limited				
EDD, Bagpat	8/1998 - 1/2000	Non-billing of MCG and non-recovery of late payment surcharge against M/s Bagpat Sugar Mills	17.21	Reply awaited.
EDD, Muzaffarnagar	9/2000 - 3/2002	Non-realisation of independent feeder surcharge from M/s Prem Steel Limited and from Tayal Steel Limited	110.94	Reply awaited.
EDD, Modi Nagar	10/2000 - 7/2002	Non-realisation of independent feeder surcharge from M/s Modi Pan Ltd	140.39	Reply awaited.
EDD-II, Noida	2/1999 - 1/2000	Non-payment of electricity duty M/s NPCL	557.01	Reply awaited.
EDD-I, Saharanpur	11/2002 - 11/2003	Non-realisation of heavy outstanding revenues dues against M/s Indian Tobacco Company Pvt Ltd Saharanpur	152.00	Reply awaited.
EDD, Najibabad	4/2001 - 3/2002	Non-recovery of dues from HV-2 consumers	21.13	Reply awaited.
EDD-II, Bulandshahar	10/2002 - 9/2003	Non-realisation of revenue from M/s Mohan Dairy and cold storage	135.00	Reply awaited.
EDD-I, Bulandshahar	1/1999 - 12/1999	Non-recovery of initial security	4.20	Reply awaited.
		Short-assessment of revenue	3.46	Reply awaited.
	1/2001 - 12/2001	Non-assessment and realization of revenue against raid cases	8.07	Reply awaited.
	2/2003 - 12/2003	Irrecoverable due against M/s Primess Vinyle Plorings Ltd	127.53	Reply awaited.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
		Incorrect application of MF undue benefit to the consumers	43.71	Reply awaited.
EDD, Khurja		Short-realisation of penalty for peak hour violations	0.60	Reply awaited.
EUDD-I, Ghaziabad	12/1993 – 2/1994	Short assessment of revenue	0.08	Reply awaited.
		Loss of revenue due to wrong application of tariff	1.40	Reply awaited.
	6/1995 – 10/1996	Undue favour to consumers loss of revenue	21.79	Reply awaited.
		Loss due to incorrect application of tariff	16.87	Reply awaited.
	11/1996 – 1/1998	Short billing against consumers	151.56	Reply awaited.
		Non-realisation of Bay and Line charges	154.40	Reply awaited.
	10/1999 – 6/2000	Loss due to undue favour to consumer	8.83	Reply awaited.
		Loss due to non-recovery of peak hour penalty	6.75	Reply awaited.
	6/2003 – 3/2004	Non-assessment of revenue	6.23	Reply awaited.
EUDD, Hapur	3/2000 – 3/2001	Billing of continuous process medium power consumers at non-continuous tariff	45.58	Reply awaited.
EUDD, Modinagar	9/1998 – 10/1999	Loss of revenue due to wrong tariff schedule	24.81	Reply awaited.
	4/1993 – 3/1994	Short-realisation of system loading charges from LF consumers	1.27	Reply awaited.
EUDD-II, Noida	2/2000 – 8/2000	Short billing of energy charges against consumer	45.43	Reply awaited.
	2/1999 – 1/2000	Non-charging for excess load	6.29	Reply awaited.
	4/1998 – 1/1999	Short-billing of M/s Nulux tolls	5.39	Reply awaited.
	Since inception 3/1998	Under recovery of system loading charges	5.98	Reply awaited.
EUDD-III, Meerut	10/1998 – 9/1999	Under-assessment of electrical energy	26.32	Reply awaited.
EUDD-II, Muzaffanagar	10/2002 – 3/2004	Non-levy of electricity duty to the state Tubewells	36.88	Reply awaited.
	4/1994 – 6/1996	Non-realisation of bay charges (33 KV bay) from the consumers	17.13	Reply awaited.
EUDD-I, Noida	4/1990 – 3/1991	Under assessment due to incorrect application of tariff	22.50	Reply awaited.
	4/1993 – 3/1994	Short assessment against M/s General Commerce Limited (SC No. 90901000662)	1.22	Reply awaited.
EDD-I, Shamli	10/1997 – 10/1998	Non-levy of electricity duty	25.57	Reply awaited.
EDD-II, Rampur	10/2000 – 9/2001	Under charge of electricity charge and shunt capacitor surcharge from State Tubewell	10.63	Reply awaited.
	12/1998 – 11/1999	Short assessment – Loss to Board's revenue	2.00	Reply awaited.
EDD-II, Bulandshahar	10/2001 – 9/2002	Non-realisation against theft of energy	51.41	Reply awaited.
	1/1999 – 12/1999	Loss of revenue due to incorrect application of tariff	75.22	Reply awaited.
		Loss of revenue due to non application of revised tariff	6.09	Reply awaited.
EDD-I, Moradabad	4/1998 – 5/1999	Incorrect application of tariff on M/s Lohiya Bros (P) Ltd	6.82	Reply awaited.
EDD, Baraut, Meerut	4/1999 – 3/2000	Short billing due to defective meters reading against M/s Jhall Jop Rabber (P) Ltd, Baraut	5.37	Reply awaited.
	4/1998 – 3/1999	Non-levy of electricity duty in respect of PTW/STW consumers	36.77	Reply awaited.
EDD, Gajraulla	10/2002 – 7/2003	Accumulation of arrears due to undue favour to consumers	73.00	Reply awaited.
EUDD-II, Moradabad	5/2002 – 2/2003	Non-realisation of revenue in theft of energy and late payment surcharge	1.59	Reply awaited.
		Short-assessment of revenue in theft of electricity	2.02	Reply awaited.
		Less billing against Railway Colony, Moradabad Loco connection no. 91766	1.20	Reply awaited.
EDD-III, Bulandshahar	1/1997 – 12/1997	Doubtful recovery and loss of surcharge	34.93	Reply awaited.
EUDD-II, Meerut	6/1998 – 6/1999	Short assessment due to wrong application of tariff against PTW consumers connected with 11 KV feeder	80.15	Reply awaited.
EDD-II, Bulandshahar	10/2002 – 9/2003	Non-levy of fixed charges and electricity charges and other miscellaneous revenue	18.15	Reply awaited.
EDD-I, Meerut	5/2001 – 7/2002	Non-realisation of penalty for peak hour violation from consumer	26.40	Reply awaited.
	5/2000 – 4/2001	Underassessment against PTW consumers receiving supply from 11 KV town feeder and meters remained defective.	14.59	Reply awaited.
EDD-II, Rampur	10/2000 – 9/2001	Undercharges of electricity charge and shunt capacitor surcharge	10.64	Reply awaited.
EDD-I, Shamli	10/1997 – 9/1998	Non-levy of electricity duty	25.57	Reply awaited.
EDD Division-I, Saharanpur	1/2003 – 12/2003	Loss due to undercharge of revenue and irregular rebate allowed to large and heavy power consumer	9.78	Reply awaited.
Uttar Pradesh Power Corporation Limited (UPPCL)				
Electricity Transmission Division, Faizabad	1/2000 – 10/2001	Short-recovery of penalty for delay in completion	1007.00	Reply awaited.
U.P. State Handloom Corporation Limited				
U.P. State Handloom Corporation	10/98-3/2000	Heavy outstanding recoveries against dead/ terminated employees	340.20	As recovery made is poor, Para stands.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
The Pradeshiya Industrial and Investment Corporation of Uttar Pradesh				
PICUP Lucknow	9/92-3/94	Sale of space/area of PICUP building to UP Pollution Control Board - Outstanding dues	18.91	No reply from PSU
PICUP Lucknow	1/99-12/2000	Non/ short recovery of building cost, maintenance charges and property taxes	52.02	No reply from PSU
Uttar Pradesh State Spinning Company Limited				
U.P. State Spinning Company, Kanpur	1/96-3/98	Non-realisation of dues from Patodia Syntex Limited and consequent loss of interest	2.79	In view of your reply the Para is retained
U.P. State Sugar Corporation Limited				
UP State Sugar Corp Ltd, Gomati Nagar Lucknow	01/01 to 10/01	Doubtful recovery of RS 268.01 lakh paid to M/s Subodh Prasad Gurudayal Prasad as advance	268.02	No reply from PSU
	04/92 to 03/94	Blockade of fund Rs 70.76 lakh and consequently loss of interest thereon Rs 85.94 lakh on sale of sheera on credit	70.76	No reply from PSU
UP State Sugar Corp Ltd, Shahganj	4/97 to 3/98	Loss due to short recovery of sugar	192.39	No reply from PSU
UP State Sugar Corp Ltd, Siswa Bazar, Maharaganj	7/99 to 6/2000	Non realization of Bagasses cost of Rs 17.06 lakh from parties	17.06	Reply is not convincing, hence para is retained
		Undue advantage to the suppliers recoveries awaited	13.31	Reply is not convincing, hence para is retained
	10/2001 to 6/2002	Unrealised amount of beggasse sold on credit	12.00	Reply is not convincing, hence para is retained
UP State Sugar Corp Ltd, Jarawal Road, Bahraich	7/92 to 6/93	Doubtful recovery of irregular advances given to contractor	11.66	No reply from PSU
Uptron India Limited				
Uptron India Limited	1/95 – 12/95	Loss due to non recovery of advances	9.29	No reply from PSU
U.P. Forest Corporation				
Divisional Logging Manager , Allahabad	Upto 3/2000 since inception	Non recovery/adjustment of work advance given to unit officers	3.44	No reply from PSU
		Non recovery from DLM, Karvi	0.77	No reply from PSU
Regional Manager, Lakhimpur Khiri (Central)	4/99 – 3/2004	Non recovery of Rs. 6.95 lakh	6.95	No reply from PSU
		Non recovery against supply of <i>Khuntta</i>	1.17	No reply from PSU
DLM, Lakhimpur Kheri	4/98 – 3/99	Unrecovered amount of advance given for allotment of timbers	3.00	No reply from PSU
UP Forest Corporation , Lucknow	10/96 – 11/97	Outstanding debts being 15-16 years old till audit against credit sale of timber	91.47	No reply from PSU
Uttar Pradesh Employees Welfare Corporation (UPEWC)				
UPEWC, Lucknow	4/98-3/00	Heavy outstanding against employees of depot/canteen	85.82	No reply from PSU
UPEWC, Varanasi	3/97-4/98	Heavy outstanding dues to be recoverable (a) From employees of Canteen (b) From staff	134.55	-do-
UPEWC, Agra	Since inception - 9/98	Outstanding recoveries against staff	79.99	-do-
UPEWC, Allahabad	4/98-3/99	Non recovery of bank commission from the suppliers	0.12	-do-
-do-	-do-	Suspected embezzlement of cash	0.05	-do-
Uttar Pradesh Export Corporation				
UP Export Corporation Kanpur	4/98-3/99	Non realization of dues	37.10	No reply from PSU
UP Export Corporation Lucknow	01/01-12/01	(a) Excess payment of daily allowances during foreign tours (b) Unrecovered advances	18.62	-do-
-do-	01/02-9/02	Non recoverable amount from Corporation showroom at Mumbai	42.86	-do-
Uttar Pradesh State Leather Development and Marketing Corporation Limited				
	4/91-4/92	Non recovery against Leather item Production Cooperative Society	7.30	As the responsibility of assessing the genuineness and taken address lies upon the management the Para is retained.
		Heavy outstanding dues of the company against others.	26.35	As accepted in the reply that the recovery could not be made, the Para has been retained.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
Uttar Pradesh Panchayat Raj Vitta Evam Vikas Nigam Limited				
UP Panchayat Raj/Vitta Vikash Nigam Limited	11/96-9/99	Non recovery of Loan from Gram Panchayat Institution & Panchayat.	55.31	No reply from PSU
U.P. (Poorva) Ganna Beej Evam Vikas Nigam Limited, Deoria				
U.P. (Poorva Ganna Beej Evam Vikas Nigam Limited, Deoria)	4/95-3/97	Non- recovery of Hiring charges of Nigam's car	3.00	No reply from PSU
U.P. (Poorva Ganna Beej Evam Vikas Nigam Limited, Deoria)	4/97-3/99	Non- recovery of Hiring charges of car from the District Administration	3.00	No reply from PSU
U.P. (Poorva Ganna Beej Evam Vikas Nigam Limited, Deoria)	4/99-3/2000	Non-realisation of Building rent	2.38	No reply from PSU
U.P. State Bridge Corporation Limited				
BCU Allahabad (01-02)	04/00 to 12/00	Blockade of funds due to non recovery from Irrigation Department	25.95	Reply awaited.
		Blockade of funds due to non recovery from Irrigation Department	42.87	Reply awaited.
		Excess expenditure over the fund received from the client	28.16	Reply awaited.
		Wrong payment to Salex Tax Department recovery of which is pending	3.33	Reply awaited.
BCU Unit-II, Allahabad (93-94)	8/90 to 3/92	Balance of payment held up after completion of work	45.82	Reply awaited.
BCU Bareilly (99-00)	4/98 to 3/99	Blockade of funds and loss of interest thereon, pending recovery	12.98	Reply awaited.
BCU Bareilly (97-98)	8/96 to 3/98	Blockade of funds after completion of work and non recovery thereof.	136.63	Reply awaited.
BCU V, ITO New Delhi (96-97)	4/95 to 9/96	Pending recoveries even after completion of work	2.98	Reply awaited.
		Pending recoveries even after completion of work	14.92	Reply awaited.
BCU Etawah (01-02)	1/98 to 12/00	Non realization of expenditure from Irrigation Department incurred in excess of deposits and loss of interest thereon.	34.40	Reply awaited.
BCU Haridwar (01-02)	4/99 to 11/00	Blockade of funds in construction of Song Bridge of Doiwala	86.50	Reply awaited.
		Blockade of cost of dismantling of temporary bridges at Kumbh Mela	25.17	Reply awaited.
		Non refund of security money	6.23	Reply awaited.
		Blockade of funds due to non recovery of expenditure incurred.	4.60	Reply awaited.
BCU Haridwar (96-97)	4/95 to 3/96	Un recovered cost of generators from Military work, Rishikesh.	1.04	Reply awaited.
BCU IV, Kanpur (95-96)	4/94 to 10/95	Unrealized excess expenditure on construction of Turra Nala Bridge.	46.21	Reply awaited.
		Unrealized amount of excess expenditure incurred over and above sanctioned cost.	17.00	Reply awaited.
BCU Faizabad (98-99)	4/93 to 3/98	Non recovery of Trade Tax from PWD Bahraich as per the terms of agreement.	6.80	Reply awaited.
BCU-I Varanasi (00-01)	7/98 to 12/99	Un recovered excess expenditure on deposit works.	39.47	Reply awaited.
U.P. (Rohelkhand-Tarai) Ganna Beej Evam Vikas Nigam Limited				
UP(Rohelkhand Terai) Ganna Beej Avam Vikas Nigam Ltd	12/94-09-96	Blockade of fund and loss of interest on loan advanced to six ganna vikas samiti	324.06	In reply it was stated that Rs 105.22 lacs (including interest) have been recovered from samiti.
	4/00-3/01	Unrecovered loan, interest and handling charges from societies.	926.98	Reply awaited
	4/01-06/03	Doubtful recovery of loans and loss of interest	1175.34	Reply awaited
U.P. Purva Sainik Kalyan Nigam Limited				
	4/02-03/03	Misappropriation of cash	6.91	As per your reply no action has been taken at your end as such the para is retained
Uplease Financial Services Limited				
Uplease Financial Services Limited	11/99-9/00	Doubtful recovery from ms Satyam Automobiles under Block Hire Purchase	25.19	Reply awaited.
		Doubtful recovery from Ms Sri Ram Agencies	13.79	Reply awaited.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
	09/00-07/01	Irrecoverable loan and interest due to issue of no due certificate of borrowers by an unauthorized officer of the company	29.84	Reply awaited.
Uttar Pradesh Avas Evam Vikas Parishad				
EMO, Mathura	From inception to 03/99	Non-recovery of Rs. 28.92 lakh	28.92	Reply awaited
CD-37, Gorakhpur	87/88 to 92/93	Non-recovery of Rs. 92.04 lakh from authority	92.04	Reply awaited
EMO Indiranagar LKO	98/99 to 99/00	Non-recovery of Cash	307.96	Reply awaited
CD-5, Meerut	1999/2000 to 2000/01	Departmental receipts not deposited.	3.46	Reply awaited
EMO, Hardoi	92/93 to 99/00	Non-recovery of dues from allottees.	108.00	Reply awaited
Secretary, Housing & Urban planning, U.P.Govt., Lucknow	1999/00 to 03/04	Non-recovery of loans given to local bodies under organized development scheme.	5274.64	Reply awaited
EMO, Allahabad	1998/99 to 2002/03	Non-recovery of values/ Installments.	110.10	Reply awaited
Headquarter, LKO	2003/04	Loss due to non-recovery of development charges.	2449.00	Reply awaited
Headquarter, LKO	99/00 to 01/02	Development Charges not recovered.	1435.35	Reply awaited
Headquarter, LKO	87/88 to 92/93	Balance of additional stamp duty not recovered and loss of revenue.	1463.51	Reply awaited
CD-33, Bulandshahar	01/02 to 03/04	Dues of allottees not realized.	930.00	Reply awaited
CD-33, Aligarh	03/04	Irregular payment of encashment of leave, not recovered.	18.54	Reply awaited
EMO, Kamlanagar	99/00 to 03/04	Dues of allottees not realized.	207.00	Reply awaited
EMO, Kamlanagar	93/94 to 98/99	Balance of demand call not realized.	46.31	Reply awaited
EMO, Vikasnagar	98/99	Non-realisation of Estates.	219.67	Reply awaited
--DO--	85/86 to 86/87	Non Realisation of sales value of estate	34.11	Reply awaited
---do--		Non realization of water tax & lease	5.49	Reply awaited
--do--	85/86	Instalment of sold estates etc not realized	23.04	Reply awaited
EMO Sitapur	97/98	Realisation of Rs. 30.35 lakh from allottees	30.35	Reply awaited
Uttar Pradesh Financial Corporation, Kanpur				
UPFC HQ Kanpur	4/99-3/2000	Loss due to release of loans for a project of technical obsolescence	263.64	Reply awaited
		Loss due to non-recovery of dues	200.98	Reply awaited
		Loss due to non-recovery of loan	59.43	Reply awaited
UPFC HQ Kanpur	4/2000-12/01	Failure of management in processing loan application	87.29	Reply awaited
		Undue favour in releasing working capital term loan to a private company	22.68	Reply awaited
		Undue favour in releasing working capital term loan to a family	556.88	Reply awaited
		Non-recovery of dues to faulty appraisal of the project	144.24	Reply awaited
		Irregular disbursement of loan	77.86	Reply awaited
		Loss due to incorrect appraisal and inadequate following up action	68.87	Reply awaited
		Non-recovery of dues due to management's lapses	818.77	Reply awaited
		Non-recovery of dues due to incorrect appraisal and irregular acceptance of collateral security	43.42	Reply awaited
		Loss due to belated recovery action	305.51	Reply awaited
		Irregular disbursement of loan	379.33	Reply awaited
		Non-recovery of dues	103.40	Reply awaited
UPFC HQ Kanpur	01/02-10/02	Loss due to disbursement of loan on fake document of collateral security	151.76	Reply awaited
		Loss due to investment in equity shares of shares of Krishna cold Rolled Section Unit	47.54	Reply awaited
		Loss due to non-recovery of bridge loan against inadmissible central investment subsidy	21.11	Reply awaited
		Failure of management in recovering dues due to non-verification of addresses of the directors	365.63	Reply awaited
		Failure of the management in timely issue of RC coupled with non-taking over the physical possession of unit loss	105.60	Reply awaited
UPFC HQ Kanpur	11/02-03/04	Loss due to delay in taking physical possession of unit	220.71	Reply awaited
		Loss due to inadequate security	183.32	Reply awaited
		Loss due to incorrect valuation of security	177.27	Reply awaited
		Loss due to inaction of the corporation	38.00	Reply awaited
U.P. State Warehousing Corporation				
		Non-recovery of storage loss	213.00	Para continues for balance recovery of Rs 2.13 crore.
Uttar Pradesh Rajkiya Nirman Nigam Limited				
Headquarter	4/90 to 3/92	Unauthorised payment of deputation allowance beyond 5 years	22.86	Reply awaited

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
Unit III Lucknow	8/89 to 7/91	Non realisaion of expenditure incurred against works executed for UPTRON building, Lucknow	73.59	Reply awaited
Unit VII Lucknow	4/94 to 3/98	Blockade of funds due to deductions made by client in lining work of Sharda Canal at Laharpur, Sitapur	108.44	Reply awaited
Hospital Works Azamgarh	11/2000 to 8/2002	Doubtful recovery from an ex employee	15.81	Reply awaited
Sultanpur	11/98 to 12/2000	Non realisation of cost of construction from UP Rajya Vidyut Utpadan Nigam Ltd.	44.77	Reply awaited
Meerut	11/98 to 10/2002	Expenditure incurred on work of Agriculture University which were stopped could not be recovered from the Government	69.15	Reply awaited
Unit VI Lucknow	4/98 to 3/99	Misappropriation/shortage of material	4.6	Reply awaited
Unit 2 Lucknow	04/94 to 3/97	Non settlement (Recovery) of claim from Mandiparishad	252.5	Reply awaited
		Shortage of cement and excess consumption of cement	96.84	Reply awaited
Unit 4 Etawah	7/96 to 12/97	Excess expenditure against sanctioned cost-unrealised amount	43.34	Reply awaited
Shahjhanpur	12/93 to 3/97	unauthorised deduction and non release of excalation claim by client	9.03	Reply awaited
Unit 21 Lucknow	4/98 to 9/2000	Blockade of fund and loss of interest due to non recovery of outstanding claims with IIM Lucknow	98.97	Reply awaited
Unit Azamgarh	10/98 to 9/2000	Extra expenditure due to defective work and diversion from approved lay out on construction of 20th Vahini PAC NR building Azamgarh and non recovery their of	22.68	Reply awaited
		Non supply of cement by UP State Cement Corporation Chunar due to non presentattion of allotment letter by the unit	1.74	Reply awaited
Hospital Unit Varanasi	4/97 to 3/99	Non recovery of amount against multi storied complex PCF Plaza	25.61	Reply awaited
unit 19 Lohia Hospital Lucknow	4/98 to 7/2000	Excess payment of consultancy charges	5.28	Reply awaited
		Excess expenditure	8.23	Reply awaited
UPRNN Faizabad	4/93 to 3/94	Non acceptance of bills in full relating to construction of building of Narendra Dev Agriculture University resulted in non recovery of fund	526.03	Reply awaited
UPRNN Mau	12/89 to 3/92	Short realisation from Navodaya Samiti New Delhi as the work was doen without getting sanction of client	14.48	Reply awaited
Uttar Pradesh Small Industries Corporation Limited				
	1/84 to 3/85	Heavy payments were made to the parties by Import Division and Coal Division but adjustment/recovery was not made	124.33	Reply awaited
	4/95 to 12/95	Non recovery of House Building Advances from the officials of corporation	11.38	Reply awaited
		Loss due to non recovery of service charges for RCC Pipes under Marketing Assistance scheme	4.03	Reply awaited
		Non recovery of service charges against construction of lime kiln at Dehradun	1.20	Reply awaited
	4/98 to 6/99	Non recovery of cost of schvers from UP Abscott (P) Ltd Fatehpur and UP Plant Protection Appliances, Ghazipur	17.86	Reply awaited
		Non recovery of loans U P Abscott (P) Ltd and Plant Protection appliance Fatehpur	40.07	Reply awaited
		Non recovery of hire charges of weigh bridge at Lucknow	12.50	Reply awaited
		Non recovery of dues from Peekay Metals International Mirzapur	20.37	Reply awaited
	7/99 to 7/01	Non recovery of shortages from contractor (Pronay Sales Kanpur)	10.67	Reply awaited
	8/01 to 10/02	Loss due to non recovery of loss (the corporation deposited a sum of Rs. 45.06 lakh with SAIL (9/2001) on behalf of coordinator RM Enterprises Allahabad which was subsequently treated as loan to coordinator bearing interest @ 8 %. Neither the recovery certificate issued for recovery was returned nor any recovery was made)	51.76	Reply awaited
UP Alpsankhyak Vitta Evam vikas Nigam				
	4/96 to 3/99	Heavy outstanding dues against interest free loans for Higher education (Engineering Medical, MBA & Personal Management etc.) for minority class students	42.97	in case of default in payment recovery was to be made as Land Revenue.
		Heavy doubtful recovery against term loan scheme	1691.00	Reply awaited
		Heavy doubtful recovery against Margin Money level	670.00	Reply awaited
		Heavy outstanding advances against staff	9.37	Reply awaited

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
	10/2000 to 9/2001	Heavy doubtful recovery against different loan schemes of nogan	3327.11	Despite all the provisions present in the Manual of the nigan with regard to ensuring the recovery of loan, the absence of proper monitoring resulted in non realisation of loan.
	10/2001 to 10/2002	Doubtful/Poor recovery of interest free loan	417.60	as per reply of the management although the changes of recovery have become remote yet not ended completely.
		Doubtful recovery of loan, distributed against term loan margin money loan schemes as beneficiaries do not exists, there is no propey in his name, status affidavits of guarantors are not available.	995.00	Reply awaited
Total			431.52	

Annexure-22

Statement showing outstanding paras of inspection reports which indicated lack of remedial action on audit observations

(Referred to in paragraph 4.24)

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
UP Rajya Vidyut Utpadan Nigam Ltd				
TPS, Harduaganj	10/94-12/95	Infructuous expenditure due to inherent defects in a new 15 MW Russian rotor supplied by M/s Elektrim Sa, Poland	464.53	Facts and causes from defects and damages to 50 MW Turbine rotor were not examined by Management.
	7/2000- 5/01	Loss due to purchase of defective boiler tubes	43.72	Quality inspection was not carried out at purchaser's end.
	6/01 – 2/03	Avoidable extra payment of trade tax on purchase of LDO to IOC	128.22	The management did not avail concessional rate of trade tax.
		Non recovery for poor workmanship due to delay in fixing responsibility	71.00	
TPS, Pariccha	4/92 – 7/93	Payment of surcharge on coal freight	287.15	Surcharge was not leviable but due to adhoc payment surcharge was paid.
	8/93-9/94	Infructuous expenditure in erection, testing, commissioning of multifire system of 100 MVA transformer and extension of fire hydrant system in switch yard.	6.49	Multifire system was not installed by the work contractor.
		Loss of coal in transit	871.24	Loss was worked out at 5% of RR and shown consumed instead of working out the actual transit loss as per weigh machine.
	7/98-9/99	Loss due to delay in finalisation of contract	8.34	There was delay in finalizing the tender.
		Unfruitful expenditure on repair and maintenance of dozer no.3	7.42	The management purchased new reconditioned engine which did not work
	11/2000-12/2001	Infructuous expenditure on renovation of C&I equipment on 2 x 110 MW.	57.28	Due to switching over from Transdata system to Max-100
	1/87-3/92	Infructuous expenditure in consumption of unusable Kasture coal	60.16	Kasture coal was not fit for consumption in power house.
	1/02-12/02	Purchase of FO/LDO at higher rates	13.44	Deficiency in placing the order
		Loss due to non replacement of magnetic pulley	93.19	The proposed magnetic pulley was not replaced.
	01/03-12/03	Excess payment of railway freight	313.68	Railway freight was claimed by railways in excess. The matter was not taken up with collieries.
TPS, Panki	04/94-03/95	Avoidable payment of demurrage & manual unloading	306.00	One shunter remained inoperative and the delay occurred
		Excess payment of central sales tax	17.84	Coal included stones and sales tax paid on stones is recoverable
	04/97-03/98	Avoidable payment of demurrage to railways	2.55	Delay in unloading of coal
OBRA 'A', TPS	11/00-12/01	Unfruitful expenditure on repair and installation of turbine rotor	113.63	Repaired rotor whether used or not , not known
	01/02-12/02	Misappropriation of material	63.37	There is system lapse
Madyanchal Vidyut Vitran Nigam Limited				
EDD, Jagdishpur	4/98-5/99	Non-installation of check meter and loss to Board	25.07	Accuracy of old defective meter was not checked by installing check meter
	3/02-3/03	Undue benefit to M/s ACC Ltd.	11.70	Enhancement of load without following the prescribed procedure.
		Loss due to inadmissible load factor rebate to M/s ACC Ltd.	3.08	According to CGM load factor rebate was not admissible to the consumer who had drawn excess load than contracted.
EDD-II, Faizabad	10/2000-8/01	Undue benefit to consumer (non-charging cost of HT line)	12.33	Benefit to the consumer was given in shape of non charging surcharge for supply from 11 KV and peak hours.
EDD, Balrampur	7/98-6/99	Loss of revenue due to non installation of check meter in case of defective meter	18.03	Rectification of billing by installing check meter was not done.
EW/sD, Faizabad	1./93-9/94	Suspected misappropriation of stores	11.33	Full material was not accounted for on dismantling of line

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
EDD, Gonda	8/01-6/02	Misappropriation of Company revenue	3.42	Deposit of realization in lump-sum instead of actual realization
	7/02-6/03	Non-assessment of ITI Ltd. Mankapur for violation of peak hour	158.14	ITI Ltd. Mankapur was not assessed for peak hour violation
EDD, Barabanki	4/99-3/2000	Power purchased from DSM Ltd.	184.93	Power purchased by Board was not accounted properly
		Loss due to non-installation of check meter & replacement of defective meter	5.42	The defective meter was not replaced
	7/02-6/03	Loss due to non sanction of estimate under deposit scheme	10.72	The estimates were sanctioned for R&M works irregularly
EDD, Pilibhit	12/97-10/98	Undue favour to consumer	6.27	The basis of finalization of check meter was not justified.
EDD-I, Shahjahanpur	1/97 – 11/97	Embezzlement of cash	1.41	
	8/00-6/02	Unfruitful expenditure due to non-utilisation of machines	2.87	5 electronic cash collection machines were lying unutilized.
EDD-II, Badaun	4/94-3/95	Undue favour to consumers	99.43	Full cost of independent feeder was not taken from the consumer.
Elect store Dn, Bareilly	1/01-11/02	Irregular issue of material	36.79	Issue of material without sanction of estimates but not regularized.
EDD-II Hardoi	4/00-3/01	Short realization of revenue	14.91	The meter of the consumer was recording only 1/3 rd of actual consumption detected during raid.
EDD, Khurramnagar, Lucknow	6/99-5/00	Dishonored cheques not debited to consumers	5.78	The dishonored cheques were not debited to consumer.
	6/01-3/03	Carriage of transformers at higher rates.	3.27	Rate allowed were higher than the rates of circle.
EUDD, Hussainganj	5/97-4/98	Loss of late payment surcharge due to non raising of bills	74.31	Monthly bills were not issued by the division.
		Loss due to undue favour to consumer	49.34	The consumer was reconnected without realization of arrear
El Store Circle, Lucknow	1/2000 – 3/01	Misappropriation of stock and non-recovery of miscellaneous advances	26.63	
ECCD-I, Lucknow	10/93 – 8/95	Non-recovery of penal rent	10.65	
CAO LKO	4/94-1/00	Irregular payment on reappointment of retired persons	17.32	Retired persons were appointed without the approval of Government.
Purvanchal Vidyut Vitran Nigam Ltd				
EUDD-II, Varanasi	4/98-3/99	Undue benefit to Varanasi hotel by making arbitrary assessment	2.11	Bills were revised without any reason.
EUDD-III, Varanasi	12/97-8/98	Outstanding advances against suppliers	87.45	Records were not available with the division.
EDD-II, Ghazipur	7/00-3/02	Loss of revenue due to irregular bill revision	33.11	Bills were revised irregularly
	4/02-03/03	Undue benefit to Jamania cold storage	25.02	Payment facility through cheques was not withdrawn in spite of dishonor of cheques of the consumer
		Undue benefit to M/s K.N Steel	44.50	Payment facility through cheques was not withdrawn in spite of dishonor of cheques of the consumer
EDD-I, Mau	11/02-8/03	Non compliance of provisions of distribution code (irregular waiver)	31.27	Appeal of the consumer was considered without depositing amount
EDD-II, Azamgarh	12/97-11/98	Injudicious cash management leading to loss	34.82	Loan amount at high rate of interest kept in bank as idle fund resulting in loss of interest
EDD-II, Jaunpur	5/03-7/04	Loss due to forced waiver	18.05	Delay in issue of bills
EDD, Bhadohi	1/98-12/98	Loss of revenue due to fraudulent practices of a routine grade clerk	31.57	Excess credit was allowed to the consumer by a RGC
EDD, Fatehpur	4/93-3/94	Undue benefit to the consumer	61.08	Irregular release of connections
	5/00-6/01	Under assessment against L&F consumers receiving energy supply direct(unmetered) from 11 KV	250.21	Supply was given direct (unmetered) from 11 KV town feeder.
	7/01-6/02	Loss of revenue due to management lapses	95.99	The unit was sold to other party. The dues mounted due to not disconnecting the consumer.
EDD Pratapgarh	12/98-1/2000	Extra expenditure on re-electrification of villages	22.45	Villages were electrified again
		Loss of interest due to non-utilisation of fund	4.88	The loan amount was kept idle in current account

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
	4/93-3/94	Non accountal of stock materials by JEs transferred to other divisions	31.08	The JEs transferred to other divisions & efforts made for accountal of stock not intimated.
ESD Gorakhpur	6/99-3/00	Extra expenditure on transportation	20.89	Placement of order at higher rate for lesser weight of conductor
EDD-I Gorakhpur	8/99-8/00	Loss due to undue favour given to M/s Kundan Steel works, Gorakhpur in finalization of P.D	10.26	Irregular finalization of P.D
EDD Maharajganj	1/02-1/03	Loss due to undue favour to consumer	13.63	Rs 13.63 lakh was irregularly adjusted from the arrear against the consumer.
EDD Kasia	10/96-11/97	Undue benefit to a consumer	45.78	The Chairman allowed to release load of 3000 KVA by tapping existing 33 KV trunk line.
	12/97-10/98	Undue benefit to a heavy power consumer	156.04	Release of connection from existing 33 KV line & billing was done at lesser load
EUCD Allahabad	4/94-6/98	Non return of Ardh Kumbh mela materials by the contractor	5.05	No security was taken from the contractors as per agreement
EDD-II Gorakhpur	8/01-7/02	Undue favour to M/s Nayak Roller & Flour mills	10.32	PD was not finalized.
Dakshinanchal Vidyut Vitran Nigam ltd				
EDC, Hamirpur	5/1995 – 8/1998	Unauthorised diversion of fund from Bundelkhand Vikas Nidhi	21.41	No reply has been received.
EUDD-IV, Agra	9/2002 – 8/2003	Irregular review of bills relating to private tubewell consumer	2.51	No reply has been received.
		Irregularities in stock materials	45.25	No reply has been received.
		Non-recovery of expenses incurred on line construction under deposit work	11.57	No reply has been received.
		Loss due to irregular waival off revenue of M/s J.P. Palace, Agra	12.31	No reply has been received.
El S D, Kanpur	5/2001 - 7/2002	Avoidable expenditure due to non inclusion of delivery schedule	20.19	No reply has been received.
	8/2002 – 6/2003	Non-return of damaged transformer under guarantee	56.97	No reply has been received.
EUTD, Aligarh	10/2001 – 9/2002	Loss due to damage of electronic meters within guarantee period	4.13	No reply has been received.
EDD-I, Aligarh	1/2003 – 12/2003	Illegal load factor rebate to consumer	1.24	No reply has been received.
EDD-II, Kanpur Dehat	7/1999 – 3/2001	Undercharge of revenue in case of huge power theft	153.45	No reply has been received.
		Undercharge of revenue in case of theft of energy	3.26	No reply has been received.
EDD, Banda	1/2003 – 12/2003	Undue favour in releasing PTW consumers under normal scheme instead of full deposit resulting in non-realisation of expenditure	19.09	No reply has been received.
		Irregular release of industrial connection without realization of heavy dues on earlier connections	19.23	No reply has been received.
EDD, Sikohabad	1/1999 – 9/1999	Loss due to negligence	107.98	No reply has been received.
		Undue benefit given to M/s Prashad Steel (P) Limited	22.22	No reply has been received.
		Irregular waival of assessment of M/s BM Jain Cold Storage	5.33	No reply has been received.
		Non-assessment of theft of energy resulting into loss	15.21	No reply has been received.
EDD, Etah	4/2000 – 3/2001	Suspected theft of energy	135.32	No reply has been received.
EDD, Lalitpur	2/2002 – 3/2003	Loss of revenue due to non compliance of raid report	9.25	No reply has been received.
ESD (UPPCL), Agra	2/2002 – 4/2003	Damage of transformer during the guarantee period	267.00	No reply has been received.
		Non-realisation of cost of PCC Pole from Sri V.Singh Sharma	4.11	No reply has been received.
	5/03 – 04/04	Non-receipt back of transformers from the firm damaged under guarantee period	200.16	No reply has been received.
		Loss due to unreasonable assistance provided to M/s Mankameshwar Steel Pvt Ltd in disconnection of connection	14.99	No reply has been received.
		Short recovery of initial security from consumers	10.45	No reply has been received.
EUDD-I, Aligarh	1/2003 – 12/2003	Loss of revenue due to non-levy of surcharge @ 15 per cent in addition to penalty for consumption in restricted peak hours	20.66	No reply has been received.
		Under billing of M/s Aligarh roller flour mill	10.80	No reply has been received.
		Unrealised revenue against Railway Traction	1189.33	No reply has been received.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
		Loss of revenue due to non-recovery of minimum monthly charge	17.62	No reply has been received.
		Loss of revenue due to non-release of connection under individual tube well	1.48	No reply has been received.
EDDD , Banda	1/2002 – 12/2002	Non-payment due to non revision of agreement	7.15	No reply has been received.
		Loss of revenue- due to short billing against consumer	22.42	No reply has been received.
		Under charge of billing against consumer	7.12	No reply has been received.
EDD Mainpuri	4/2000 – 3/2001	Loss to the Corporation due to incorrect application of tariff	23.14	No reply has been received.
		Losses not claimed from third party	61.58	No reply has been received.
Paschimanchal Vidyut Vitran Nigam Limited				
EDD-I, Bulandshahar	1/1999 – 12/1999	Loss due to non-billing of Kutir Jyoti/Janta service connection	9.78	No reply has been received.
EDD, Khurja	1/2001 – 10/2001	Undue benefit to consumers	64.01	No reply has been received.
EUDD-II, Ghaziabad	8/1999 – 3/2000	Irregular waiver of penalty-Safe Metals	27.65	No reply has been received.
EDD-II, Ghaziabad	8/1999 – 4/2000	Irregular waiver of peak hour restriction against Jindals Pipes	9.88	No reply has been received.
EUDD-II,	8/1998 – 7/1999	Irregular waiver of penalty for violation of peak hours against consumers	21.94	No reply has been received.
EUDD-I, Ghaziabad	12/1993 – 12/1994	Irregular waiver of revenue arrears against Agarwal Ice Factory	2.26	No reply has been received.
	6/1995 – 10/1996	Inadmissible adjustment –Goel Gas Company	1.83	No reply has been received.
		Under assessment of energy loss	584.67	No reply has been received.
	2/1998 – 9/1999	Undue benefit given to M/s Ansuls on tapping of 33 KV Morti Udyog Kunj line for electrification of Chiranjiv Vihar Colony	55.28	No reply has been received.
	7/2000 – 8/2001	Irregular reduction of peak hour restriction penalty	32.34	No reply has been received.
		Undercharge of system loading charges and security due to sanction of lesser load	36.84	No reply has been received.
	6/2003 – 3/2004	Inadmissible load factor rebate	11.91	No reply has been received.
	9/2001 – 7/2002	Undue favour in release of 4 KVA additional load to M/a Rathi Ispat Ltd	126.12	No reply has been received.
		Loss due to non-realisation of recovery certificates amount pending against M/s Swadhesi Polytax	73.22	No reply has been received.
EDD, Modinagar	4/1993 – 3/1994	Non-levy of late payment surcharge in respect of permanent disconnection	154.54	No reply has been received.
EUDD-II, Noida	2/2000 – 8/2000	Under assessment of MCD	4.83	No reply has been received.
		Under charge of MCD	1.95	No reply has been received.
		Irregular adjustment	0.75	No reply has been received.
	4/1998 – 1/1999	Non-assessment, foul play with meter	2.63	No reply has been received.
	Since inception – 3/1998	Distributed billing M/s Supreme Limited	73.41	No reply has been received.
		Non-levy of late payment surcharge M/s Supreme Ltd	1995.34	No reply has been received.
	7/2002 – 3/2003	Loss due to non-inclusion of enabling clause in the agreement with Noida power company	128.00	No reply has been received.
	9/2001 – 6/2002	Irregular adjustment of revenue M/s Eveready	14.82	No reply has been received.
		Excess issue of material	6.01	No reply has been received.
		Undue favour to M/s Salora International assessment	26.25	No reply has been received.
		Excess issue and consumption of material against increased capacity of sub-station	14.05	No reply has been received.
	9/2000 – 8/2001	Under charge of penalty for violation of peak hour	15.61	No reply has been received.
		Suspected cases of irregular higher voltage rebate	14.88	No reply has been received.
EDC, Meerut	6/1998 – 10/2000	Loss due to non-observance of orders	5.59	No reply has been received.
EUDD-III, Ghaziabad	4/2002 – 2/2003	Non-credit of cheques	5.13	No reply has been received.
EUDD-III, Ghaziabad	3/2003 – 2/2004	Short assessment of revenue against theft cases	2.51	No reply has been received.
ESD, Ghaziabad	7/2002 – 6/2003	Purchase of cable boxes at higher rates	3.80	No reply has been received.
		Purchase of meter boxes at higher rates	46.80	No reply has been received.
EUDD-III, Meerut		Misappropriation of line materials due to management lapses		No reply has been received.
EDD-I, Shamli	10/1997 – 10/1998	Irregular reduction of load	2.45	No reply has been received.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
EDD-II, Rampur	10/2001 – 11/2002	Infructuous expenditure on replacement of conductor due to misappropriation of conductors	2.67	No reply has been received.
		Misappropriation of stores	27.89	No reply has been received.
	10/2000 – 9/2001	Undue benefit to M/s Mantha & Allied product Ltd, Rampur	5.55	No reply has been received.
		Undue favour to M/s Chadhdha Paper Mills Ltd, Rampur	35.60	No reply has been received.
EUDD-I, Saharanpur	10/1998 – 2/2000	Undue benefit to consumers at the cost of the Board	5.18	No reply has been received.
EDD, Bulandshahar	1/1999 – 12/1999	Loss to the Corporation due to peak hour violation	21.29	No reply has been received.
EDD, Baraut, Meerut	4/1995 – 12/1997	Loss/short recovery of line materials from redundant 33 KV lines	34.14	No reply has been received.
	4/2001 – 3/2002	Delay in payments of power purchased from co-generator resulted in lapse of two and half years	26.18	No reply has been received.
	4/2002 – 4/2003	Avoidable expenditure	11.92	No reply has been received.
EDD, Gajraulla	5/1998 – 3/1999	Undue benefit to the consumer	11.62	No reply has been received.
ESWD, Meerut	10/2002 – 12/2003	Unproductive expenditure on construction of 33 KV line from 132/33 KV sub-station Jaula to 33/11 KV sub-station Shalpur	37.22	No reply has been received.
		Irregularity in stock materials due to non-preparation of executed estimates	16.62	No reply has been received.
		Outstanding miscellaneous advance against firms executed in work ofv World Bank scheme	1407.37	No reply has been received.
		Excess expenditure on construction of 33/11 KV line sub-station, Ghaziabad and 33 KV line	67.20	No reply has been received.
		Construction of 37.5/11 KV sub-station, Raghunatpur (Bulandshahar) in less capacity	32.95	No reply has been received.
	4/2000 – 11/2001	Dismantling of 33 KV redundant line from 132 KV sub-station Tajgarh to Amroha, short accountal of materials	2.28	No reply has been received.
EDD, Chandausi	2/2000 – 9/2001	Loss to the Corporation due to line tapped from 132/37.5 sub-station, Sambhal lay the M/s Jagdeesh Cement and M/s Ankachha Steel Independent feeder consumer	41.31	No reply has been received.
EUDD-II, Moradabad	5/2002 – 2/2003	Irregular revision of consumers bills – Less assessment	11.93	No reply has been received.
EDD-I, Rampur	7/1995 – 11/1996	Undue benefit to consumer due to irregular reduction of load	3.50	No reply has been received.
EUDD-II, Noida	6/2003 – 3/2004	Suspected loss of revenue due to negligence of department	36.29	No reply has been received.
EDD, Najibabad	4/2002 – 3/2003	Purchase of meter on higher rate	1.62	No reply has been received.
EDD, Bijnor	4/2002 – 3/2004	Undue benefit to consumers by providing inadmissible load factor rebate	7.24	No reply has been received.
EDD-II, Bulandshahar	7/1994 – 8/1995	Loss due to not taking timely action	366.58	No reply has been received.
		Undue benefit to the consumers due to irregular release of connection which resulted into loss to the Board	366.58	No reply has been received.
EDD-I, Meerut	5/2000 – 4/2001	Irregular withdrawal of actual electricity charges and under assessment due to wrong application of tariff against Dental Medical College, Meerut	13.92	No reply has been received.
	10/1997 – 10/1998	Loss of revenue due to incorrect waival of electricity duty	15.17	No reply has been received.
		Loss of revenue due to delay in release of protective load	2.25	No reply has been received.
EDD-II, Rampur	10/2000 – 9/2001	Undue benefit to M/s Meetha and All Product Limited, Rampur	5.55	No reply has been received.
		Undue favour to M/s Chadela Paper Mills, Rampur	35.60	No reply has been received.
	11/2001 – 11/2002	Infructuous expenditure on replacement of conductor due to misapplication of conductor	2.67	No reply has been received.
		Misappropriation of store	27.89	No reply has been received.
	12/02 – 12/03	Loss due to delay in disconnection	12.85	No reply has been received.
GM, Disrtibution, Moradabad	6/1999 – 4/2001	Loss due to damage of capacitor	73.08	No reply has been received.
EDD-I, Shamli	10/1997 – 9/1998	Irregular reduction of load loss	2.45	No reply has been received.
EDD, Gajraulla	5/1998 – 3/1999	Undue benefit to the consumer	11.62	No reply has been received.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
Electricity Secondary Works Division, Meerut	10/2002 – 12/2003	Unproductive expenditure on construction of 33 KV line from Jaula to Shahpur 33/11 KV	23.27	No reply has been received.
		Outstanding miscellaneous advances against the firms executing the work of World Bank scheme	1407.37	No reply has been received.
		Excess expenditure on construction of line on 33/11 sub-station, Shalimar	67.20	No reply has been received.
EDD-I, Saharanpur	1/2002 – 12/2002	Short billing of PTW consumers	208.80	No reply has been received.
		Violation of rules deliberately resulting in loss to the Corporation	12.35	No reply has been received.
Uttar Pradesh Power Corporation Ltd				
ETD, Moradabad	4/91-3/92	Loss due to negligence	12.94	No reply has been received.
	10/01-09/03	Non recovery of miscellaneous advance	8.95	No reply has been received.
	08/00-09/01	Non receipt back of old material in increasing capacity of 132/33 KV/s Gajraula	1.52	No reply has been received.
	04/89-03/90	Excess issue of control cable	23.78	No reply has been received.
DGM 400 KV s/s Design Circle, Lucknow	09/99-03/01	Loss due to failure of crane during guarantee period	8.97	No reply has been received.
Chief Engineer, Civil Division Lucknow	04/92-04/96	Blockade of fund in purchase of land at Gomti Nagar from LDA and loss of interest	126.97	No reply has been received.
ETD, Shahjhanpur	01/01-12/03	Irregular payment of price variation	22.12	No reply has been received.
G.M., Transmission (Design) Lucknow	1/01-12/03	Loss due to negligence in duty/supervision	105.00	No reply has been received.
ETC, Moradabad	10/99-5/01	Non recovery of misc. advance	8.07	No reply has been received.
ESPC-II, Lucknow	6/99-5/01	Avoidable expenditure on procurement of conductor at higher rate	55.12	No reply has been received.
		Award of contract at higher rate-Loss	96.00	No reply has been received.
		Award of contract at higher rate-Loss	166.65	No reply has been received.
		Avoidable expenditure on purchase of XLPE cable	16.29	No reply has been received.
	8/02-7/03	Blockade of funds due to non-utilization of XLPE Cable procured for up gradation of LESA	420.00	No reply has been received.
	4/93-3/94	Avoidable extra expenditure. on purchase of AAC conductor	122.00	No reply has been received.
	6/01-7/02	Avoidable extra expenditure due to wrong fixation of base price of raw material on purchase of aluminium/ZLPE PVC cables	12.25	No reply has been received.
	12/97-5/99	Avoidable extra expenditure in purchase of ACSR weasl conductor	38.18	No reply has been received.
		Avoidable liability for payment of interest on delayed payment	7.59	No reply has been received.
	4/91-3/92	Payment of price variation without completing formalities	24.75	No reply has been received.
		Non recovery outstanding against m/s prem cables	8.95	No reply has been received.
	4/89-3/91	Extra payment on account of higher freight and forwarding & transit in insurance charge	1.10	No reply has been received.
		Purchase of aluminium conductor cable at higher ex work rate- non consideration of reasonability of rates	1.20	No reply has been received.
		Purchase of ACSR Rabbit conductor against specification at higher price	15.98	No reply has been received.
		Procurement of 11 KV PILL cable against specification no. VBK 217/1987 at higher rates.	2.01	No reply has been received.
		Avoidable extra expend. in purchase of fensing poles	3.97	No reply has been received.
		Avoidable liability for extra expenditure against tender specification no. VBK 234/89	6.51	No reply has been received.
		Non supply of steel cron - risk purchase thereon	5.33	No reply has been received.
Electricity s/s Design Circle-II, Lucknow	2/01-12/02	Purchase of transformers at higher rates	134.73	No reply has been received.
		Purchase of Current Transformers at higher rates	12.25	No reply has been received.
ETD-I Allahabad	1/2000-10/01	Abnormal variation in executed work leading to extra expenditure	1166.15	No reply has been received.
		Suspected over payment to M/s Hyundai	5.50	No reply has been received.
	7/98 – 1/2000	Blockade of funds due to non utilization of tower parts	20.00	No reply has been received.
		Non disposal of obsolete scrap materials	46.57	No reply has been received.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
	12/96-6/98	Excess payment towards sales tax on erection works	62.10	No reply has been received.
		Idle expenditure on construction of 132 kv s/s Lalgunj	53.48	No reply has been received.
		Suspected over payment of price variation	49.98	No reply has been received.
ETD, Agra	1/98-12/98	Non providing of jack steps by suppliers	7.20	No reply has been received.
400 KV s/s Design Circle-I, Lucknow	4/94-12/95	Un- fruitful purchase of disturbance recorders	88.11	No reply has been received.
		Non recovery of cost disturbance recorders from NTPC	11.68	No reply has been received.
	3/98-8/99	Loss on demurrage and wharfage charges	24.77	No reply has been received.
		Avoidable payment on transit insurance premium	8.28	No reply has been received.
		Waival of penalties and release of withheld amount	89.91	No reply has been received.
400 KV s/s Design Circle-II, Lucknow	4/89-3/91	Avoidable expenditure on repair of new transformer	26.41	No reply has been received.
		Extra expenditure in purchase of isolators	23.29	No reply has been received.
		Extra expenditure in purchase of control cables	18.67	No reply has been received.
		Extra expenditure in purchase of circuit breakers	19.06	No reply has been received.
DGM s/s Design Circle-I, Lucknow	1/01 – 12/02	Loss due to delay in finalization of tenders	56.98	No reply has been received.
	11/99 – 12/2000	Loss due to non-imposition of penalty	35.14	No reply has been received.
		Excess expenditure in shifting of 315 MVA transformer	31.00	No reply has been received.
ESPC-III, UPPCL, Lucknow	5/01 – 8/02	Loss due to procurement of 11 meter long steel tubular poles 410 sp 55 at higher rates	129.27	No reply has been received.
		Loss due to procurement of sub standard PCC 8.5 meter poles	43.74	No reply has been received.
	4/93 – 3/94	Defective supply of 85 Auto reclosers SF.6	5.88	No reply has been received.
	4/92 – 3/93	Avoidable extra expenditure on procurement of GI wire (5 mm) & GSS wire	13.38	No reply has been received.
		Undue favour to suppliers-- extra expenditure	7.32	No reply has been received.
	10/87 – 3/90	Extra expenditure due to passing over the lowest tender	25.69	No reply has been received.
	3/99 – 4/01	Award of contract at higher rates for the procurement of 8.5 mts PCC poles-- – undue benefit to the suppliers	216.00	No reply has been received.
		Avoidable expenditure due to curtailment of quantity of steel tubular poles to be procured	14.75	No reply has been received.
		Supply of underweight steel tubular poles	65.26	No reply has been received.
		Procurement of 11 KV disc insulator at higher rates	59.00	No reply has been received.
	2/98 – 2/99	Non-delivery of LT Pilfer resistant metering cubicals against specification no.ESPC-II/I/96	19.75	No reply has been received.
		Non-encashment of bank guarantee	14.39	No reply has been received.
	1/96 – 3/97	Unfruitful expenditure due to defective purchase of 11 KV auto reclosers	58.81	No reply has been received.
		Avoidable extra payment due to non-purchase of 11 KV pin insulation	20.64	No reply has been received.
		Loss due to non-encashment of bank guarantee	45.20	No reply has been received.
	4/94 – 12/95	Blockade of Board's funds on account of purchase of store material without requirement	46.00	No reply has been received.
CMD, UPPCL, Lucknow	7/02 – 6/03	Loss due to providing inadmissible facilities to Energy Minister	--	No reply has been received.
		Irregular award of contract for repair of aluminium wound transformer	142.00	No reply has been received.
		Loss due to irregular release of connection to consumer under Electricity Distribution Division-I, Raibaraeli.	15.47	No reply has been received.
S.E., ETC, Ghaziabad	6/98 – 9/00	Excess expenditure on construction of transmission line	7.14	No reply has been received.
ETD, Shahjahanpur	7/99 – 8/00	Undue benefit to supplier on account of excess advance payment	34.23	No reply has been received.
ETD, Muzaffarnagar	10/95 – 8/97	Non-realisation of bay charges	34.78	No reply has been received.
		Excess acquisition of land and ideal investment	23.61	No reply has been received.
Secretary, UPSEB, Lucknow	4/97 – 6/99	Loss due to additional interest liability on payment of guarantee fee to PFC for want of Government's approval on transfer of guarantee	167.00	No reply has been received.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
		Blockade of fund in construction of 400 KV, Srinagar, Rishikesh and 400 KV Srinagar, Moradabad transmission line	247.78	No reply has been received.
		Loss due to undue favour to the consumer in releasing fund	157.57	No reply has been received.
SE 400 KV, TDC, Lucknow	01/96 – 12/97	Avoidable extra expenditure due to award of contract at higher rate to M/s SAE India Limited	110.00	No reply has been received.
		Undue benefit to a consumer	230.00	No reply has been received.
DGM, 400 KV, sub-station Design Circle, Lucknow	4/01 – 12/02	Loss on account of finalization of tender at higher rate	94.43	No reply has been received.
		Purchase of lighting arrestors at higher rates	64.43	No reply has been received.
		Purchase of equipment at higher rates	30.13	No reply has been received.
	4/97 – 2/98	Avoidable extra expenditure on work	264.00	No reply has been received.
		Avoidable extra expenditure due to wrong computation of ex-work price	41.08	No reply has been received.
400 KV sub-station, ECD, Agra	7/00 – 7/02	Unfruitful expenditure due to non-repair of defective material damaged within guarantee period	56.37	No reply has been received.
	4/97 – 2/98	Non-recovery of expenditure incurred by the Board on the work to be executed by the contractor	18.33	No reply has been received.
ETLEU, Varanasi	2/03 – 3/04	Nugatory expenditure in construction of Bachcharana – Lalganj line	43.48	No reply has been received.
ETD, Bareilly	1/02 – 11/03	Extra expenditure on construction of 220 KV LELO line	33.71	No reply has been received.
		Non-recovery of maintenance charges	21.86	No reply has been received.
Secretary, UPSEB, Lucknow	1/96 – 6/97	Excess payment of DA in UPSEB	75.49	No reply has been received.
	7/99 – 1/00	Loss of revenue due to Government Industrial Policy and development rebate	71621.00	No reply has been received.
	4/95 – 12/95	Loss on cancellation of orders for installation of Hydro Project by BHEL	1042.00	No reply has been received.
		Loss due to shortage of Board's material at Shakti Bhawan	15.83	No reply has been received.
	4/89 – 3/91	Heavy financial loss due to non-utilisation of surplus staff at closed power house	315.00	No reply has been received.
400 KV sub-station, Moradabad	11/01 – 12/03	Non-recovery of maintenance charges from NTPC	48.44	No reply has been received.
		Loss due to unrecovered insurance claims	40.41	No reply has been received.
		Non-recovery of advance against contractors	38.44	No reply has been received.
CGM, Commercial, UPPCL, Lucknow	4/00 – 7/01	Loss due to irregular release of connection	489.00	No reply has been received.
		Loss due to undue favour to the consumer	1249.00	No reply has been received.
		Avoidable loss due to wrong calculation of paper size for printing	12.39	No reply has been received.
ETD, Badaun	4/91 – 3/94	Embezzlement of boards stores – loss to Board	81.50	No reply has been received.
		Missing coal wagons – Blocking of Boards fund	62.40	No reply has been received.
Secretary, UPSEB, Lucknow	10/87 – 3/98	Infructuous expenditure on a documentary film.	3.22	No reply has been received.
CMD-UPPCL	4/01 – 6/02	Finalisation of tender without exercising rock bottom rates and imprudent decisions for procurement of hardware and peripheral before development of software for system design led to loss	111.00	No reply has been received.
		Infructuous expenditure due to improper planning and defective agreement with M/s SBI Capital Market Ltd for the privatization of KESA	68.35	No reply has been received.
		Irregular decision to refund penalty deducted from the bills of M/s Hyundai Engineering South Korea and M/s SAE India Limited	603.00	No reply has been received.
		Loss due to allowing undue favour to M/s Somania Steel Limited against the provision of condition	8040.00	No reply has been received.
		Inadmissible expenditure on vehicle	59.73	No reply has been received.
		Non-submission of study report regarding decision made with foreign companies for improvement in power sector	11.42	No reply has been received.
		Non-raising assessment on account of theft of energy	88.03	No reply has been received.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
		Doubtful recovery of miscellaneous advance booked after 7 years of retirement against Shri Sher Bahadur Singh, JE	8.83	No reply has been received.
		Inordinate delay of 10 years despite clear provision in the constitution resulted in avoidable payment of pay and allowances to Shri Girish Chandra Tiwari, JE	10.01	No reply has been received.
		Relaxation to railway allowed in deposit of additional security without obtaining approval from Regulation Commission	58.50	No reply has been received.
		Delay in finalization of investigation resulted in doubtful recovery of excess payment made on telephone bills	23.68	No reply has been received.
	7/02 – 6/03	Connivance of UPPCL's officials in theft of energy by a consumer of Sandila (Hardoi)	--	No reply has been received.
EMD, Shakti Bhawan, Lucknow	6/99 – 10/00	Irregular adjustment of temporary advance/imprest	19.17	No reply has been received.
Director (personal and admn), UPPCL, Lucknow	2/00 – 3/01	Loss on sale of power purchased from co-generators	405.97	No reply has been received.
		Under charge of revenue due to incorrect application of tariff	53.53	No reply has been received.
		Loss of revenue due to delayed revision of special tariff	689.25	No reply has been received.
		Undue favour to consumer M/s Samdi Steel (P), Ltd	22.45	No reply has been received.
		Non-recovery against shortage of material	10.13	No reply has been received.
ESPC-I, Lucknow (Store Procurement)	3/01 – 3/02	Extra expenditure due to award of contract at higher L-2 rate in procurement 250 KV trans	94.35	No reply has been received.
		Inadmissible allowance of five per cent tolerance in load --loss of repaired transformers	3031.00	No reply has been received.
		Uneconomical repair of copper wound transformers-- extra avoidable expenditure	16.75	No reply has been received.
	4/02 – 3/03	Avoidable loss in procurement of 10 MVA transformers	200.97	No reply has been received.
		Procurement of distribution transformers at higher rate	443.00	No reply has been received.
Chief GM (Commercial), Lucknow	8/01 – 8/03	Loss due to non-reimbursement of concession to Bharat Pumps & Compressors, Allahabad	66.07	No reply has been received.
Import, Export & Payment Circle, Lucknow	Since inception to 3/01	Forfeiture of rebate & levy of late payment surcharge due to delay in payment of energy bills raised by M/s NTPC	1039.80	No reply has been received.
		Levy of late payment surcharge by NHPC	14690.00	No reply has been received.
EUCD, Majhola	3/00 – 3/02	Splitting of work award of contract at different rates	8.95	No reply has been received.
U.P. State Agro Industrial Corporation Limited				
U.P. State Agro Industrial Corporation Ltd.,	7/86-3/88	Embezzlement due to non-observance of rules and negligence	64.35	No reply from PSU
	4/93-3/94	Embezzlement at Rasoolabad sale centre	1.94	No reply from PSU
	1/97-12/97	Hire purchase Scheme and Self Employment Scheme Embezzlement of cash by cashiers of RM Office Kanpur	91.26 11.00	No reply from PSU
	1/99-12/99	Usurpation of wheat. by centre i/c Khuttar Distt. Shahjahanpur with amount making payments to farmers Loss of stock due to manipulated theft at Fatehpur Service Centre under DE Allahabad	8.66 0.82	No reply from PSU
	1/2000-12/2000	Loss due to non-sale of defective paddy seeds	0.50	No reply from PSU
	4/95-12/96	Loss in sale of Grain Bins	29.46	No reply from PSU
	1/2000-12/2000	Loss due to non replacement of expired pesticides Outstanding balances against expired staff	15.15 23.25	No reply from PSU
Uttar Pradesh Poultry and Livestock Specialities Limited				
	01/01-03/02	Loss in Investment with M/s Capan Food Specialities Limited Lucknow	197.00	Para is retained
		Loss of Interest on loan of Rs. 130 lakh	58.50	Para is retained

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
Uttar Pradesh Projects Corporation Limited				
U.P. Project and Tubewell Corporation Kanpur, Agra Varanasi and Basti	4/2000-3/01	Loss due to non-fulfillment of the terms of agreement of well establishment of water supply of Sanjay Gandhi Post Graduate Institute that resulted in deduction of the amount	4.75	In view of your reply the Para is retained and amount has been revised to Rs.4.75 Lakh
Uttar Pradesh State Industrial Development Corporation Limited (UPSIDC)				
UPSIDC	4/92-3/94	Undue favour to the firm resulting into blockade of funds	301.83	No reply from PSU
UPSIDC, Kanpur	10/95-12/96	Undue favour to M/s Escorts Limited Nugatory expenditure due to inordinate delay in submission of Part A and part B of report(regarding pre investment feasibility) and also due to non submission of part C&D of Report (Reg. identification of product and long term plan for hi-tech plastic processing complex) that resulted in dropping of scheme Undue favour in allotment of plot	6.43 2.53 1.92	No reply from PSU
UPSIDC, Kanpur	1/97-3/20333300	Avoidable loss in buy back of shares	64.00	No reply from PSU
UPSIDC	4/2000-3/01	Undue benefit to a transferee Loss due to relaxation of agreed terms and conditions and inordinate delay in taking recovery action Disinvestments of shares of M/s Shree Acids and Chemicals Limited due to action of flashback to find out the market value of shares at the time of taking decision was not made by disinvestment committee	57.65 46.40 20.00	No reply from PSU
UPSIDC	4/01-12/02	Loss due to doubtful recovery of dues from Wise Industrial Park Limited	326.00	No reply from PSU
Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited				
U.P. (Poorva) Ganna Beej Vikas Nigam Deoria	4/97-3/99	Doubtful recovery of loan and interest from M/s Cane development Society Barhni (Siddharthnagar)	29.18	No reply from PSU
	4/99-3/2000	Poor realization of outstanding loans and advances	230.00	No reply from PSU
	4/2000-3/01	Doubtful poor recovery of outstanding loans and advances Unauthorised/Irregular utilization of fund and loss of Interest thereon	194.59 28.00	No reply from PSU
Uttar Pradesh Pichhara Varg VittaEvam Vikas Nigam Limited				
U.P. Pichhara Varg Vitta Evam Vikas Nigam Limited Lucknow	01/01-12/01	Blockade of funds in view of ban on CityCo-operativebank to disburse any payment by RBI matter is under consideration of Government	55.14	No reply from PSU
The Pradeshiya Industrial and Investment Corporation of Uttar Pradesh (PICUP)				
	1/01-12/01	Misappropriation in long-term loans	162.64	Pending recovery para may retain.
		Loss due to unscrupulous distribution of loan	1888.10	No legal proceedings initiated para may retain
		Irregular acceptance of loan to Amrit Computer Private Limited Lucknow	86.55	Reply not convincing para may retain.
	01/02-12/02	Loss due to sanction of loan to an ineligible unit	689.43	RC under process para may retain.
		Loss due to incorrect appraisal and charge of management	582.23	Reply not convincing para may retain.
		Non-recovery of dues due to sanction of loan to an ineligible unit	278.53	Recovery pending para may retain.
Uttar Pradesh State Spinning Company Limited				
U.P.State Spinning Company, Kanpur	1/96-3/98	Avoidable loss due to non provision of 'default clauses' loan given to holding company U.P. State Textile Corporation	13.22	In view of your reply the Para is retained
Barabanki	4/93-12/94	Excess payment of electricity charges	25.24	In view of your reply the Para is retained

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
Kanpur	4/99-3/00	Loss due to short realization of Excise duty from customers	24.50	In view of your reply the Para is retained
Uttar Pradesh State Handloom Corporation Limited				
U.P. State Handloom Corporation, Kanpur	4/95-3/96	Blockade of funds	1.80	Unit has not stated that any market survey was made, para stands.
		Outstanding advances and shortages in Varanasi Silk Project	1.26	No recovery has been made for the shortages, Para stands
	4/97-9/98	Loss due to violation of contract	596.00	Since no payment has been received, para stands.
	10/98-3/2000	Infructuous expenditure on construction of warehouse at Jaspur	47.26	The Nigam did not reply regarding use of warehouse constructed at jaipur , para stands.
		Loss in procurement and sale of silk yarn	34.43	No document furnished in support of reply, para stands.
		Uninvestigated losses	13.17	As the losses have not been made good, para stands.
		Loss of acceptance of supplies below the specification	8.66	No recovery made, para stands.
	4/2000-3/01	Loss on account of payment of gratuity under VRS due to default in depositing annual premium with L.T.C.	114.50	Para stands.
		Loss due to non-recovery of shortage of stock	101.00	It has not been intimated how much amount recoverwd, para stands.
		Misutilisation of working capital loan	450.00	Para Stands.
	01/02-12/02	Infructuous expenditure due to failure of Project Package Scheme	222.65	Non completion of project has been admitted, Para stands.
		Doubtful dues against U.P.Sahkari Upphokta Sangh	166.79	As dues have not cleared, Para stands.
		Irrecoverable dues against retired expired and terminated staff	638.00	As recovery is very small, Para stands.
Uttar Pradesh State Yarn Company Limited				
do	7/02-6/03	Compulsory payment of rent of UP Cooperative spinning mill association, bahadurganj Unit	9.00	The Para is retained and amount has been revised to Rs.9 Lakh.
U.P. State Sugar Corporation Limited				
UP State Sugar Corp Ltd. Gomati Nagar Lucknow	10/2002 to 10/2003	Loss due to availing cash credit from Co-operative Banks	1364.00	No reply from PSU
		Loss due to unsafe storage of sugar in Almora unit	83.98	--do--
		Loss due to damage of sugar at pipraich	48.29	--do--
	11/2001 to 09/2002	Loss due to unsafe storage of sugar on hired storage	330.00	--do--
		Avoidable expenditure on purchase of kops feed water regulator	16.67	--do--
	01/2001 to 10/2001	Doubtful realization	148.58	--do--
	02/95 to 03/99	Cost overrun due to poor planning	2909.81	--do--
		Unfruitful expenditure on installation of Fly Ash Arrestor	19.40	--do--
		Undue benefit to gunny bag transporters	12.91	--do--
	04/99 to 12/2000	Blockade of fund in FCI	149.51	--do--
	04/94 to 03/95	Loss of government revenue	13.25	--do--
		Loss in sale of molasses	2.02	--do--
	04/92 to 03/94	Misutilisation of cash credit scheme resulting in loss of interest	419.41	--do--
UP State Sugar Corp Ltd, Shahganj	4/92 to 3/93	Excess payment of electricity charge	0.48	No reply from PSU

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
		Outstanding of Godown rent	33.09	--do--
		Non submission of reimbursement claim	0.29	--do--
	4/98 to 03/2001	Loss due to excessive exhaustion of sugar	74.87	--do--
		Less recovery of sugar resulted loss to the Company	87.25	--do--
	4/2001 to 3/2002	Loss due to short recovered molasses in pits	20.26	--do--
UP State Sugar Corp Ltd, Rohankalan (Muffarnagar)	4/97 to 3/98	Loss due to lapses of release orders of free sale sugar	19.23	--do--
	4/98 to 3/99	Loss due to poor recovery of sugar	96.12	--do--
		Loss due to reprocessing of moist sugar	10.50	--do--
UP State Sugar Corp Ltd, Khadda	4/93 to 3/97	Avoidable loss	2.84	--do--
	4/97 to 3/98	Payment of advance against fake Bank Guarantee	1.86	--do--
	4/2001 to 3/2002	Shortage in stock remained uninvestigated	12.53	--do--
UP State Sugar Corp Ltd, Deoria	4/95 to 3/97	Unaccounted production losses	7.45	No reply from PSU
	4/97 to 3/98	Loss due to excess consumption of bagasse as fuel	42.25	--do--
	4/99 to 3/2000	Loss due to excess use of Bagasse in fuel	11.35	--do--
		Loss due to non levy of penal charges	4.03	--do--
		Excess loss of Sugar in Bye products due to processing deficiencies	49.71	--do--
		Loss in Stock of brown sugar	29.18	--do--
		Loss due to poor recovery of sugar	184.70	--do--
	4/2000 to 3/2001	Blockade of fund due to inordinate delay in disposal of sugar	247.21	--do--
		Loss due to reprocessing of moist sugar	3.99	--do--
		Loss in stock of Brown Sugar	58.36	--do--
UP State Sugar Corp Ltd, Bijnore	4/99 to 3/2000	Loss due to non realization of sold molasses	19.07	--do--
	4/2002 to 02/2003	Loss due to imprudence and delay decision by corporation (HQ)	21.12	--do--
UP State Sugar Corp Ltd, Pipraich (Gorakhpur)	4/99 to 3/2000	Non use of surplus stores resulting locking up of fund	4.58	--do--
	4/2000 to 3/2001	Excess payment of electricity charge	4.78	--do--
	4/2001 to 3/2002	Loss due to non levy of penal charges	2.90	--do--
UP State Sugar Corp Ltd, Siswa Bazar, Maharajganj	11/98 to 6/99	Loss of sugar production from non supply of cane by union and non levy of penalty on such union.	428.76	No reply from PSU
	7/2000 to 9/2001	Loss due to excessive damage of sugar	69.28	--do--
		Loss due to improper storage of sugar	11.69	--do--
UP State Sugar Corp Ltd, Baitalpur	4/99 to 3/2000	Loss due to inadmissible deduction of differential levy price	8.65	--do--
UP State Sugar Corp Ltd, Bhatni	4/97 to 3/99	Loss of molasses	45.89	--do--
	4/99 to 3/2000	Extra expenditure on transportation charge	21.08	--do--
		Non levy of penalty against default in short supply of sugar cane	2.06	--do--
	4/2001 to 3/2002	Avoidable loss on account of spontaneous combustion of molasses	17.67	--do--
		Loss on account of sale of sugar	42.64	--do--
		Loss on account of BISS sugar	26.29	--do--
		Shortage of sugar store	5.57	--do--
UP State Sugar Corp Ltd, Chandpur, Bijnore	10/2001 to 01/2003	Undue favour to Firms	6.59	No reply from PSU
UP State Sugar Corp Ltd, Mohiuddinpur (Meerut)	4/2000 to 3/2001	Undue excess payment to Rishi Enterprises	8.86	--do--
UP State Sugar Corp Ltd, Jarawal Road, Bahraich	7/93 to 8/94	Avoidable payment of interest	0.82	--do--
	9/94 to 3/95	Wasteful expenditure on the purchase and erection of the 30 MTs capacity Automatic Electronic weigh Bridge	7.86	--do--

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
Shreeton India Limited				
	4/2001 – 11/2003	Wasteful expenditure on single phase technology from foreign collaborator	124.00	As Shreeton India is the successor of Uptron Powertronics Ltd, the matter should have been taken up at the time of takeover of the business, as such the Para is retained.
		Sub standard three phase SMPS Power Plant resulting blockade of fund	86.93	I view of the reply the Para is retained and the amount has been revised to Rs.86.93 Lakh.
		Obsolete store	53.55	The reply shows that the Material valuing Rs.53.55 Lakh is still lying in the store as the para has been retained.
Uptron Powertronics Limited				
UP Uptron Power Tronics Ltd., Sahibabad, Gaziabad	10/96 – 03/98	Blockade of fund due to non disposal of MCRs items	16.93	In view of your reply the Para is retained
	04/2001 – 11/2002	Irregular and unfruitful expenditure on upgradation of technology	140.00	In view of your reply the Para is retained
		Loss due to delay in execution of supply order	14.87	In view of your reply the Para is retained
		Loss due to bad performance and blockade of funds	211.54	In view of your reply the Para is retained
Uptron India Limited				
Uptron India Limited, Lucknow	04/91 – 03/92	Blockade of funds	102.47	No reply from PSU
	04/92 – 03/93	Blockade of and loss of interest thereon	84.75	--do--
		Blockade of fund and loss of interest	6.53	--do--
		Avoidable expenditure on electricity charges	9.25	--do--
		Excess payment -- Simi Conductors Ltd	2.08	--do--
		Extra expenditure due to increase in commission	11.26	--do--
		Non recovery due to delay in supply of equipments	1.99	--do--
		Non accountal of raw material	2.84	--do--
		Under settlement of insurance claim	1.96	--do--
		Avoidable expenditure in shape of fine due to non payment of excise duty	1.10	--do--
	4/95 – 12/95	Loss of dues due to write off by the management	103.52	--do--
		Loss in sale of self ticket printing machines (STPM) and ticket stationary to railways	6.20	--do--
		Loss of interest in execution of contracts with Bokaro Steel Plant (BSP)	36.79	--do--
		Loss due to improper functioning of research and Development report	113.54	--do--
		Loss of cable	1.00	--do--
Uttar Pradesh Development Systems Corporation Limited				
UP Development System Corporation Ltd., Lucknow	1/99 to 3/2000	Irregular purchase of computers on higher rates for police and prosecution department	37.15	As per the reply there was deficiency in tendering process as such the Para is retained.
		Irregular contract due to non inviting open tender resulted extra expenditure	17.54	The reply does not give the justification about awarding contract on quotation basis instead of tender. As such the Para is retained.
	4/2000 – 3/2001	Non payment of balance water testing charges by UP Jal Nigam	13.35	As per reply Rs.13.35 Lakh could not be recovered till date. As such the Para is retained.
Uttar Pradesh Forest Corporation				
DLM, Nazibabad (Bijnore)	04/97 – 03/98	Blockade of fund due to excess deposit of royalty	39.64	No reply from PSU
		Outstanding amount against sales tax	7.09	--do--
		Loss in <i>Khair</i> timbers	14.37	--do--
DSM, PILIPHIT	04/98 – 03/99	Loss on sale of timber	197.85	--do--
		Un-recovered shortages	16.84	--do--
		Loss on clearance of sale of timber	11.20	--do--
		Locking up of fund on account of undisposed timbers	159.63	--do--
		Blockade of fund and loss of interest	3.43	--do--
		Non credit to bank resulting loss of interest	0.69	--do--

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks	
DSM, Gonda	10/96 – 12/99	Shortage of timber stock	8.48	No reply from PSU	
		Inadmissible uses of costly timbers	7.88	--do--	
		Shortage of timber on transfer of charges	3.06	--do--	
DLM, Piliphit	10/96 – 10/99	Avoidable payment of royalty	108.00	--do--	
		Inadmissible payment of marking charges	22.44	--do--	
	11/99 – 12/2002	Inadmissible payment of marking charge	20.44	--do--	
		Avoidable expenditure	4.72	--do--	
Regional Manager, Gorakhpur	01/2003 – 12/2003	Loss due to embezzlement of corporation's money by Shri P P Shukla Asstt. Accountant and J P Patel, AG-III	34.99	--do--	
Regional Manager (South), Allahabad	04/97 – 03/98	Loss due to unadmissible payment of royalty	49.60	No reply from PSU	
		Loss due to payment of royalty on <i>Unwardical</i> bamboo lots	16.77	--do--	
		Loss due to payment of royalty on <i>Unwardical</i> bamboo lots	9.22	--do--	
		Loss in fire accident	52.37	--do--	
		Loss by shortage of stock materials	23.94	--do--	
		Loss due to payment of royalty on <i>unwardical BAGHAI</i> grass	2.24	--do--	
		Irregular expenditure on forest development plan	31.56	--do--	
		Locking up of fund due to non recovery and non adjustment	34.41	--do--	
		Blockade of fund	12.42	--do--	
		4/98 – 3/99	Loss of interest due to blockade of fund	2.74	--do--
			Avoidable liability of interest on trade tax	1.17	--do--
		4/99 – 12/03	Loss on sale of 'A' grade tendu leaves through public auction	206.00	--do--
			Loss on production of rain affected tendu leaves	259.50	--do--
	Loss due to payment of unrequired godown	39.39	--do--		
	Irregular diversion of loan funds	--	--do--		
DSM, Lakhimpur Khiri	4/97 – 3/98	Non disposal of old stores of timber resulting blockade of fund	24.68	No reply from PSU	
		4/98 – 3/99	Loss on sale of timber	61.71	--do--
			Locking up of fund	39.00	--do--
			Short credit/wrong debit given by the bank	1.25	--do--
			Loss on clearance sale of timber	2.70	--do--
DLM, Gonda	10/96 – 12/99	Short realization of sale proceeds due to delay in transportation of timber from logging sites	10.28	--do--	
		Unauthorised expenditure due to without sanction of forestry project	10.16	--do--	
		Inadmissible expenses on marking and stamping of trees by forest department	17.49	--do--	
		Shortage in timber produce	4.60	--do--	
		Cash embezzlement by an Ex-Dy Forest Ranger Shri balak Ram Shrivatava	1.03	--do--	
		01/2000 – 03/2002	Inadmissible expenses on marking and stamping of tree by forest department	18.74	--do--
			Material loss/disputed materials	2.31	--do--
DSM, Bahraich	10/96 – 12/99	Loss of floor price under distress/clearance sales of timber	26.40	No reply from PSU	
		Inadmissible payment of salary and wages	0.99	--do--	
		Loss due to disposal of timber at lower price than base price	5.57	--do--	
DLM, Dugdhi (Sonbhadra)	4/2001 – 12/2002	Loss on account of selling of tendu leaves at lower rates than the production price	217.00	--do--	
		Loss due to production of rain affected tendu leaves	72.29	--do--	
		Misappropriation/theft of Government cash	1.00	--do--	
DLM, Bahraich	10/96 – 12/99	Loss due to sale of timber below royalty cost	78.78	--do--	
		Delay in transportation of felled tree causing loss of sale price	4.83	--do--	
		Loss due to timber thefts	2.38	--do--	
	01/2000 – 3/2001	Loss of timber materials	2.23	--do--	
DLM, Etawah	4/98 – 3/2000	Unrecovered amount of work advances	1.52	--do--	
		Non disposal of timber resulting blockade of fund and loss of interest	2.41	--do--	
DLM, Varanasi	4/98 – 3/99	Loss due to shortage of materials	43.97	No reply from PSU	

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
		Loss due to non verification of semi finished products	17.47	--do--
		Loss due to sale of timber lots	3.73	--do--
		Non adjustment of amount against distributors as heavy works advances	39.69	--do--
		Loss due to shortage of materials	23.77	--do--
		Loss due to continuously decrease in sale	14.06	--do--
	4/99 – 3/2002	Loss due to wrong estimation of volume of sheesham woods	7.92	--do--
		Loss on sale of sheesham woods	15.46	--do--
		Loss due to short receipt of tendu patta at godown.	10.19	--do--
DLM, Renukoot	4/97 – 3/99	Non recovery of shortage of forest materials from erring staff resulting loss	5.57	No reply from PSU
		Imposition of penalty by forest department	10.57	--do--
		Outstanding amount on contractors and employees	7.28	--do--
		Non credit of deposited cheques	3.46	--do--
		Unrecovered/non written off of Rs. 1.92 lakh	1.92	--do--
		Outstanding amount on DFO, Obra and PWD, Robertganj	4.46	--do--
		Loss of stock due to shortage of material	10.76	--do--
		Delay in transfer of money into Bank account resulting loss of interest	2.06	--do--
	1/2000 – 6/2002	Shortage inf timber stores	30.31	--do--
		Loss due to cancellation of sale of tendu patta	6.45	--do--
		Payment of excess royalty	3.94	--do--
		Loss in sale of rain affected quantity of tendu patta	28.29	--do--
DLMt, Mirzapur	Upto 12/99 since inception	Inordinate delay in transfer of money loss of interest	13.91	No reply from PSU
		Delay in shifting of tendu patta to godowns	6.29	--do--
		Loss on sale of bamboos	4.36	--do--
		Non recovery of loss from erring officers	3.62	--do--
		Loss due to sale of bamboos at rate lower than its value	0.99	--do--
		Non recovery of losses from responsible officers/officials	0.90	--do--
	01/2000 – 6/2002	Loss due to non execution of agreement with successful tenderers	14.03	--do--
		Loss due to breach of agreement in sale of tendu patta	2.06	--do--
	7/2002 – 12/2002	Loss due to sale of rain affected lots	38.58	--do--
		Non adjustment of work advances	1.13	--do--
DLM, Allahabad	Upto 3/2000 since inception	Excess payment of royalty	4.91	--do--
		Non adjustment of work advances	3.12	--do--
	3/2000 – 6/2001	Loss due to hiring of non standard godown for storing of tendu patta	12.38	--do--
		Loss due to embezzlement committed by M.K. Singh Dy Logging Officer	4.37	--do--
		Loss due to collection of rain affected tendu patta	6.15	--do--
	4/2001 – 3/2002	Loss due to sale of tendu patta at lower rate	8.31	--do--
Divisional Logging Manager, Lalitpur	4/2001 – 12/2002	Avoidable loss on sale of tendu patta	61.96	No reply from PSU
		Loss to the Nigam due to sale of wood bags at lower rate than the procurement cost (including royalty and overhead charge)	23.14	--do--
Regional Manager Lakhimpur Khiri	4/97 to 3/98	Non observance of control over grant of work advances in division by Regional office	15.05	--do--
	4/98 – 3/99	Non imposition of leviable penalty on contractor contrary to agreement	0.54	--do--
	4/99 – 3/2004	Loss due to sale of lots at lower rate than base price	16.37	--do--
		Non adjustment of excess payment on account of royalty to forest department	11.24	--do--
		Suspected embezzlement	1.60	--do--
DLM, Lakhimpur Khiri	4/97 – 3/98	Un required payment of marking expenses	6.61	--do--
	4/98 – 3/99	Unrecovered shortage of round timber	13.36	--do--
		Non reimbursement of marking expenses	12.04	--do--

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
	4/99 – 3/2002	Undue payment of marking expenses	21.11	--do--
DLM, Gorakhpur	7/97 – 12/2002	Loss due to short production of timber than prescribed quarter girth volume of timber	41.00	--do--
		Inadmissible payment of marking charges of forest deptt.	12.44	--do--
UP Forest Corporation Lucknow	10/96 – 11/97	Loss due to fire unsettled claim at Lalitpur Tendu leaves Garden	27.00	No reply from PSU
		Avoidable payment of royalty and interest charges	22.20	--do--
		Avoidable payment of petty demand charges	4.03	--do--
		Unrecovered shortage from logging division, Lakhimpur	13.35	--do--
		Irregular payment of marking charges and wages	7.18	--do--
	4/2000 – 3/2002	Loss in procurement of tendu patta	92.51	--do--
		Loss on sale of khair wood	55.24	--do--
		Loss on sale of sheesham wood	300.99	--do--
	4/2002 – 3/2003	Blockade of fund due to late disposal of pulp woods	--	--do--
		Loss due to irregular sale of tendu patta	33.60	--do--
	4/2003 – 3/2004	Short production of sheesham: Loss	10.78	--do--
		Avoidable loss due to sale at lower rate than its cost	40.18	--do--
Regional Manager Forest Department, Lucknow	4/97 – 3/98	Unjustified creation and continuation of Region at Lucknow resulting loss	354.00	No reply from PSU
		Loss due to unrecovered shortages of timbers	6.27	--do--
	4/2000 – 3/2002	Avoidable loss on sale of standing trees in auction from May 2000 to March 2002	669.29	--do--
		Heavy inventory of round timbers, fire woods	660.20	--do--
	4/2002 – 3/2003	Short production of sheesham resulting loss to the corporations	79.03	--do--
		Loss due to inadmissible and unauthorized clearance sale of sheesham	24.01	--do--
Uttar Pradesh Food and Essential Commodities Corporation Limited				
UP Food and Essential Commodities, Lucknow	11/02-10/03	Avoidable loss in sale of Janta Dhoti	28.05	In view of the reply para is retined.
-do-	-do-	Misappropriation of cash and stores	31.29	In view of the reply para is retained.-
Uttar Pradesh State Employees Welfare Corporation (UPSEWC)				
UPSEWC Lucknow	4/97-12/98	Unauthorized credit sale to Government by the canteens	170.98	No reply from PSU
-do-	-do-	Blockade of funds due to non disposal of damaged/partially damaged Material	21.28	-do-
-do-	4/98-3/00	Accumulation of damaged goods and consequent loss of interest on working capital	83.48	-do-
-do-	-do-	Accumulation of unadjusted advances	378.15	-do-
-do-	1/99-9/00	Misappropriation/Shortage of inventory/cash	12.88	-do-
-do-	-do-	Misappropriation of Inventory/Cash	10.37	-do-
-do-	-do-	Blockade of fund due to damage and unsaleable Goods	11.91	-do-
-do-	4/02-3/03	Irregular expenditure on excess staff	11.78	-do-
UPSEWC, Varanasi	3/97-4/98	Heavy outstanding towards non payment of EPF contribution	190.00	-do-
-do-	-do-	Non Payment of Govt Loans & outstanding interest	59.75	-do-
UPSEWC, Kanpur	4/97-3/98	Undue favour to the suppliers of two wheelers	21.72	-do-
-do-	-do-	Misutilisation of the facility of sale of vehicles to employees of state Govt. at concessional rates.	-	-do-
-do-	-do-	Infrauctious expenditure due to payment of salaries/ allowances without assigning any charge of work.	1.81	-do-
-do-	4/00-3/01	Non-Supply of goods by the suppliers against advances made/non-refund of such advances	6.30	-do-
-do-	4/01-6/02	Irregular payment of Bank commission	0.89	-do-
UPSEWC, Agra	S.I. -9/98	Heavy outstanding liabilities to automobile dealers	7.80	-do-
-do-	-do-	Non reconciliation of money transfer from depots with H.Q. account	429.43	-do-
-do-	-do-	Blockage of funds in idle inventories of consignment stock	4.14	-do-

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
-do-	-do-	Non-saleable inventory	2.92	-do-
-do-	-do-	Liability for non deposit of P.F. contribution	2.06	-do-
-do-	-do-	Unviable depots.	-	Many depots were running in losses.
-do-	10/98-9/99	(A) Embezzlement at Haturas Depot and other serious financial irregularities (B) Embezzlement of cash & other serious financial irregularities at Ferozabad Depot.- (C) Non- payment to Jindal automobiles (D) Shortage of stock at Sadar Tehsil Mainpuri	1.85	-do-
-do-	-do-	Loss due to non-replacement of damaged items	4.67	-do-
-do-	10/00-8/01	Blockade of fund.	21.85	-do-
-do-	-do-	Un-adjusted amount	3.21	-do-
-do-	9/01-6/02	Blockade of funds	4.48	-do-
UPSEWC, Allahabad	4/97-3/98	Avoidable Expenditure	2.26	-do-
-do-	-do-	Damaged and non-saleable goods	5.22	-do-
-do-	4/98-3/99	Non-return of damaged goods- blockade of fund	2.75	-do-
-do-	-do-	Misappropriation of stock	0.44	-do-
-do-	-do-	Irregular payment of cartage	0.22	-do-
-do-	-do-	Irregular payment of excise duty	0.18	-do-
-do-	4/99-3/01	Irregular payment of cartage	1.77	-do-
-do-	-do-	Non- return of damaged goods blockage of fund	4.15	-do-
-do-	-do-	Suspicious dispatch of goods	0.93	-do-
UPSEWC, Mirzapur	4/97-3/99	Damaged and non- saleable depot stores/items	1.39	-do-
-do-	10/00-9/01	Irregular payment of cartage	1.06	-do-
UPSEWC, Chitrakoot	8/00-10/01	Non- replacement of damaged and non saleable stock items	2.95	-do-
UPSEWC, Gorakhpur	4/96-10/98	Loss due to damaged goods in stock	0.98	-do-
-do-	-do-	Shortage of stock	0.52	-do-
-do-	11/98-11/99	Loss due to damaged/defective goods in stock	3.50	-do-
-do-	12/99-10/00	Misappropriation of stock	5.30	-do-
-do-	-do-	Untraceable bank balances – Locking fund and likely loss by way of bad debt	6.30	-do-
-do-	11/00-10/01	Diversion of funds	23.20	-do-
UPSEWC, Meerut	4/97-3/98	Non- receipt of supplies from suppliers blocking of company's fund	1.89	-do-
-do-	-do-	Damaged articles blocking of funds	1.42	-do-
-do-	7/00-6/01	Improper utilization by diverting the funds of vehicles account to general account.	6.03	-do-
UPSEWC, Bareilly	4/97-3/98	Embezzlement of government fund	5.30	-do-
-do-	-do-	Blockade of Govt. fund	3.47	-do-
UPSEWC, Moradabad	4/97-12/98	Non- reconciliation of money transfers from depots with Headquarters account.	-	-do-
-do-	-do-	Loss due to non- saleable inventory.	6.53	-do-
-do-	1/99-12/00	Decreasing trend of sale.	-	-do-
UPSEWC, Jhansi	4/98-8/99	Loss due to revision of price .	0.40	-do-
-do-	3/01-2/02	Diversion of funds	10.16	-do-
UPSEWC, Gonda	9/00-8/01	Bank overdraft due to non preparation of Bank reconciliation statement	7.02	-do-
UPSEWC, Saharanpur	S.I. to 3/99	Blockade of fund	1.97	-do-
UPSEWC, Haldwani	4/97-3/99	Non disposal of damaged goods	1.92	-do-
UPSEWC, Ajamgarh	S.I.- 3/99	Loss by way of shortage of vegetable oils	2.44	-do-
UPSEWC, Deharadun	4/98-3/00	Misappropriation of stores and cash	1.97	-do-
Uttar Pradesh Samaj Kalyan Nirman Nigam Limited				
-- Do--	7/97-6/98	Excess expenditure over fund received	19.39	In view of your reply the Para is retained and amount has been revised to Rs.19.39 Lakh.
Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited				
-do-	4/95-3/96	Non- maintenance of utilization amount	2.70	As per your reply Rs.10, 000 has been recovered as such the Para id retained and amount has been revised to Rs.2.70 Lakh.
-do-	-do-	Embezzlement of cash	34.56	As no action could be taken by the management as per the reply, the Para is retained.
Uttar Pradesh Export Corporation Limited				
UP Export Corporation, Kanpur	4/97-3/98	Unfruitful expenditure on business activity	16.81	No reply from PSU

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
-do-	-do-	Loss of interest	38.25	-do-
-do-	4/98-3/99	Liability to Bikaner woolen mills	91.03	-do-
-do-	-do-	Goods belonging to UP State Brassware Corporation Ltd: Shortage of goods	7.05	-do-
-do-	-do-	Accumulation of stock inventory after relaxation of procurement procedure	12.56	-do-
-do-	-do-	Non absorption of employees	90.00	-do-
UP Export Corporation, Lucknow	4/99-12/00	Unrecovered cost of misappropriated cloth	11.31	-do-
-do-	-do-	Locking UP of government fund	16.58	-do-
-do-	1/02-9/02	Purchase of lower quality and damaged goods	15.54	-do-
Uttar Pradesh State Leather Development and Marketing Corporation Limited				
	4/91-4/92	Unplanned purchase of material	10.99	As per your reply the loss of Rs.10.99 Lakh has been accepted. As such the Para is retained.
	-do-	Loss in purchase of dye and Mould.	4.00	Your reply does not satisfy our objection as such the Para is retained.
	-do-	Purchase of defective Foam	1.98	As the money realised through auction has not been intimated in reply, the Para is retained.
	4/99-3/00	Delay in finanlisation of offer and consequent loss of interest. Inaction on the part of the Government inspite of favorable offer.	7.93	As per your reply the matter is still pending as such the Para is retained.
	-do-	Impudent investment on high frequency flow moulding machine	55.33	The loss has been accepted in your reply as such the Para is retained.
Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited				
-- do --	11/96 – 9/99	Loss on interest due to blockade of fund for payment of printing bills	37.53	Reply awaited
The Indian Turpentine and Rosin Company Limited (ITR)				
ITR	4/99-10/00	Loss due to Rebate on sales	19.99	Reply awaited
ITR	8/94-6/95	Loss due to improper storage	3.25	Reply awaited
Uplease Financial Services Limited				
Uplease Financial Services Limited	1/94-3/96	Embezzlement of fund after collection of money against Tvs/Audios in Hire purchase scheme neither deposited moneys nor received books	0.93	Reply awaited
	4/96-10/99	Avoidable payment of interest due to non recovery of dues form state government employees	30.13	Reply awaited
		Loss due to non recovery of dues	38.15	Reply awaited
		Deprivation of interest earning and non recovery of penalty	3.09	Reply awaited
	11/99-8/00	Loss of interest	4.14	Reply awaited
	9/00-07/01	Embezzlement of Co's fund due to irregular financing made by the management	14.72	Reply awaited
		Embezzlement of Co's fund	0.38	Reply awaited
U.P. (Rohelkhand-Tarai) Ganna Beej Evam Vikas Nigam Limited				
-Do-	10/96-11/97	Loss in civil constructions	1.79	Reply awaited
	12/97-12/98	Blockade of fund due to irregular lending	503.41	Reply awaited
U.P. State Bridge Corporation Limited (BCU)				
BCU Allahabad (99-00)	04/95 to 03/98	Shortage of Store materials	2.10	No recovery made, para may retain.
BCU Allahabad (99-00)	04/98-06/99	Expenditure over the approved estimate resulting in loss to the Unit	330.71	Para may retain till approval of revised estimate.
BCU Allahabad (00-01)	07/99- 03/00	Blockade of funds due to irregular use of boulders.	24.26	Payment still unrealized, para may retain.
BCU Allahabad (01-02)	4/00 to 12/00	Idle wage payment to the surplus departmental labourers	17.31	In view of reply the para may retain.
BCU-II Allahabad (93-94)	8/90 to 3/92	Payment of idle labour and increased cost of work due to stoppage of work	494.28	Reply awaited

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
BCU Mech, Unit-II Allahabad (01-02)	4/98 to 10/00	Accumulation of surplus spare parts resulting in locking up of funds.	5.47	Inventory still unutilized, para may retain.
BCU Bareilly (95-96)	4/93 to 3/95	Loss due to non inclusion of centage, income tax and sales tax in the estimate of Bhakra & Baigul Railway Bridge	35.83	No significant reply para may retain.
BCU Bareilly (96-97)	4/95 to 7/96	Withholding of funds by the railway due to non-return of steel by the Corporation	14.10	Para may retain till verified in audit.
BCU Bareilly (99-00)	4/98 to 3/99	Unscrupulous payment for centage at higher rates.	4.88	In view of reply the para is retained.
BCU –IV Janakpuri, New Delhi (00-01)	1/99 to 10/01	Loss due to incorrect assessment of percentage of componenets of cost of a Grade Separator at Najafgarh Road.	30.45	No price escalation bill claimed, para may retain.
		Loss due to non utilization of cement of high grade as per provisions of agreement.	4.20	Reply awaited
BCU Shahdara, New Delhi (96-97)	Incep. to 3/95	Loss due to non recovery of cartage charges from sub contractor (due to non provision in the agreement)	3.31	Reply not convincing para may retain.
		Loss due to arbitrary execution of work of construction of bridge on Singur river in Etawah resulting in high cost and deprivation of benefit to the users.	82.00	Para may retain till management intimates the action taken to avoid such situation in future.
BCU Ghaziabad (02-03)	8/01 to 5/02	Loss of interest of suspended work of Chhizari bridge.	55.32	No justification given regarding realization of interest para may stand.
BCU Ghaziabad (01-02)	5/00 to 7/01	Loss due to unauthorized acceptance of work at lower rates of centage @ 4.4% instead of 12.5%.	27.07	Reply not convincing para may stand.
		Extra expenditure on construction of four lane bridge over Lohia Nala over the funds provided by Noida.	7.22	Reply not convincing para may stand.
BCU Haridwar (95-96)	4/91 to 3/95	Loss due to non employment of labourers to other works after stoppage of work of construction of bridge over river Banganga.	18.20	Approval of revised estimate still awaited para may retain.
		Loss on purchase of cement from suppliers other than cement corporation.	0.31	Reply not justified para may retain.
		Expenditure without Technical Sanction in excess of sanctioned estimates. (106.23 + 20.04 + 7.82)	134.09	Approval of Headquarter still awaited. Para may retain.
		Loss on construction of New Solid Slab Bridge at Roorkee.	17.27	Reply not convincing para may retain.
		Loss on construction of new Prestressed Girdar Bridge at Roorkee.	1.83	Reply is not convincing para may retain.
		Loss of interest due to non utilization of funds.	7.37	No fund management para may retain.
		Loss on excessive overheads.	94.07	Proper utilization of staff not ensured para may retain.
BCU Haridwar (99-00)	4/98 to 3/99	Loss due to short levy of centage.	30.05	No justification given para may retain.
		Excess expenditure over funds received.	351.43	No proper justification given para may retain.
		Loss of stores due to delayed dismantling of temporary bridges.	18.85	Reply not convincing para may retain.
		Irregular deduction of amount by client on construction work of temporary bridges over the Ganga during Kumbh Mela.	11.64	Reasons not furnished para may retain.
		Loss on conversion of steel scrap into fresh steel of higher cost.	1.15	Factual position not given para may retain.
BCU Haridwar (99-00)	4/96 to 6/98	Loss due to non provision of price escalation clause and other provisions in the MoU.	85.04	Reply not justified para retained.
		Loss due to excess consumption of bitumen in construction of roads without any provision in the MoU.	9.48	Para may retain as excess expenditure not accepted by the client.
		Excess expenditure on construction of bridges.	6.95	Para may retain as reply not justified.
		Loss due to short receipt back of dismantled stores of temporary bridges.	19.24	Para may retain as no funds have been allotted so far.
		Loss due to excess expenditure over admissible cost as per agreement.	33.15	Para may retain till revised estimate approved.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
BCU Kanpur Unit-I, Jhakarkati. (98-99)	11/96 to 8/98	Loss due to expenditure on idle labour.	36.43	Revised Estimate not approved para may retain.
		Loss due to irregular expenditure on excess execution of concreting in foundation on Noon Bridge, Chandaur.	15.21	Revised Estimate not sanctioned para may retain.
BCU Kanpur, Jhakarkati (96-97)	4/95 to 10/96	Extra expenditure on labour and miscellaneous items in construction of Betwa bridge.	42.15	Sanction still pending para may retain.
		Blockade of funds due to non handing over of construction works	1233.08	Since sanction is pending para may retain.
BCU Kanpur, Jhakarkati (01-02)	7/00 to 4/01	Loss due to sale of steel scrap at lower rates and purchase of steel at higher rates.	0.81	No action taken against responsible person para may retain.
BCU Kanpur, Jhakarkati (00-01)	8/99 to 6/00	Loss due to misappropriation of unutilized inventory.	43.01	Reply no convincing para may retain.
		Loss due to non payment of expenditure incurred on some work on N.H.-2 fir there was no record of relevant documents such as agreement, actual cost of work, letter of sanction etc.	12.01	Recovery pending para may retain.
		Blockade of funds on construction of bridge at Gahranala at Attara Naraini Road due to excess expenditure over sanctioned cost.	28.71	Reply not convincing para may retain.
BCU Mumbai (01-02)	6/96 to 3/00	Recurring loss of interest due to non placement of security deposit deducted by the client into interest bearing securities.	1.96	In view of reply para may retain.
		Loss of interest on delayed payments due to non provision of clause "interest on delayed payment" in the agreement with the clients.	9.80	No pursuance on part of unit para may retain.
		Excess deduction of rent towards accommodation for site office, labour colony, godowns etc.	1.14	No supporting document para may retain.
BCU Mumbai (97-98)	Incep. To 12/96	Excess expenditure in purchase of grit due to defective agreement leading to grant of price increase.	11.33	Reply not convincing para may retain.
		Loss due to acceptance of over weight steel beyond the tolerance limit.	3.47	Para may retain with revised money value Ra. 3.47 lakh.
		Excess consumption of cement on flyover bridge.	16.80	No supporting evidence para may retain.
BCU Meerut (98-99)	Incep. To 3/98	Excess expenditure over sanctioned cost in construction of bridge over Krishna river.	14.58	Para may retain with revised value Rs. 14.58 lakh.
		Excess consumption of materials on construction of a bridge over river Hindon Pura Mahadev.	8.59	Excess issue of steel para may retain.
		Execution of work without Technical Sanction and incurring expenditure in excess of sanction.	79.72	No details regarding T.S available para may retain.
		Excess payment on purchase of stone grit due to non deduction of voids from the value of the grits.	1.32	Provisions not followed para may retain.
		Locking of fund due to excess expenditure over estimated cost and consequent loss of interest.	46.00	No details available para may retain.
BCU Ballia (00-01)	8/99 to 9/00	Avoidable expenditure on hiring a barge from Railway at hire charges being more than the cost of barge itself.	18.37	No written consent available, para may retain.
		Excess expenditure on construction of Dahtal Bridge without obtaining approval for revised estimates.	79.26	Para is retained till approval of revised estimate.
		Wasteful expenditure due to non completion of the work.	1.56	No proper justification, para may retain.
BCU Ballia (99-00)	7/94 to 3/98	Wasteful expenditure on shifting of electric pole by SEB Ballia.	9.23	Reply awaited
BCU-I, Varanasi (98-99)	Incep. to 7/98	Excess expenditure on consumable stores.	18.93	No proper justification, para may retain.
BCU-I Varanasi (00-01)	7/98 to 12/99	Infructuous expenditure on the transportation of trusses from Nasik to Chadwak bridge, Varanasi.	2.60	No proper justification, para may retain.
		Avoidable expenditure on opening ceremony of bridge.	14.26	Ceremony expenditures have to be borne by clients. Para may retain.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
BCU-I Varanasi (01-02)	10/99 to 8/00	Extra expenditure on earth work of approach roads without sanction of the competent authority.	8.44	No supportive evidence, para may retain.
		Excessive consumption of consumable stores in Kerakat Bridge.	10.77	NO supportive evidence, para may retain.
		Construction of Pekic Nala Bridge; blockade of funds due to expenditure in excess of the funds received.	36.00	Reasons for excess expenditure not furnished para may retain.
		Excess expenditure over sanctioned cost in construction of Kerakat Bridge.	142.15	Reply not convincing para may retain.
BCU-II Varanasi (00-01)	Incep. to 3/99	Irregular execution of works	1316.43	Revised sanction is still pending para may retain.
		Award of earth work at higher rates resulting in loss.	5.62	Reply is silent about objection para may retain.
		Loss due to irregular purchase of materials	1.55	Para may retain in view of reply.
		Loss due to payment of higher rate of cartage.	1.16	In view of reply para may retain.
		Excess inadmissible payment to supplier resulted in loss.	0.29	Reply is not justified, para may retain.
BCU-II Varanasi (01-02)	4/99 to 6/00	Excess expenditure over the funds received	103.81	Para may retain with revised value Rs. 103.81 lakh.
BCU Agra (merged with Mathura Unit) (99-00)	Incep. to 9/98	Inadmissible excess expenditure due to cost overrun and extra design charges.	161.40	Reply awaited
BCU Mathura (01-02)	4/99 to 10/00	Pending/rejected claims	184.86	Reply awaited
		Non refund of stamp duty	4.14	Reply awaited
		Locking up of Nigam's funds	6.96	Reply awaited
BCU Kanpur (Elect) (98-99)	4/91 to 3/92	Payment of infructuous expenditure	2.51	In view of reply para is retained.
BCU Gonda (01-02)	7/99 to 12/00	Expenditure on work in excess of estimated cost.	6.22	Approval of revised estimate still awaited. Para may retain.
BCU Jammu (99-00)	Incep. to 3/98	Loss as amount withheld by the client due to difference of rates.	31.90	Reply awaited
		Excess expenditure on contracted labour due to lack of proper control.	535.46	Reply awaited
		Loss due to deduction of amount from the running bill without any justification.	9.23	Reply awaited
		Excess expenditure over estimate on Lakhimpur-Dharohra Setu on labour, sand, concreting and structural steel.	13.66	No reasons for extra expenditure para may retain.
		Excessive labour cost in construction of ROB Hardoi.	64.96	No reasons for excess expenditure para may retain.
		Excess expenditure on fabrication of Kara Kuti (huts) for providing residential huts to the victims of earthquakes.	3.26	No documentary evidence para may retain.
		Excess expenditure on prestress material in construction of ROB, Gomti Nagar, Lucknow	6.31	No reasons for extra expenditure para may retain.
		Excess expenditure on the construction of service roads on both sides of the bridge.	11.96	No justification for extra expenditure para may retain.
		Extra expenditure on concreting in construction of Gomti Bridge due to faulty survey of sinking wells.	2.83	No justification for extra expenditure para may retain.
		Excess expenditure on labour due to excessive deployment.	25.21	No justification given for deployment of excess labourers para may retain.
		Excess expenditure over the sanctioned estimates on construction of different bridges.	78.74	Reply awaited
BCU-I, Aishbagh, Lko. (01-02)	11/98 to 5/00	Extra expenditure on additional concrete works without sanctions by competent authority.	19.17	Reply awaited
BCU-II, Lko (98-99)	10/96 to 8/98	Avoidable expenditure on account of payment of wages of staff of idle workshop.	13.35	Reply not tenable para may retain.
		Unfruitful expenditure on surplus staff.	29.71	Reply inconvincing para may retain.
		Unfruitful expenditure of labour due to non-deployment of workers to other works after completion of work.	69.95	Expenditure still to be recovered para may retain.
		Unfruitful expenditure due to execution of bridge work without getting approval of the revised estimate resulting in stoppage of work incomplete.	57.96	Revised sanction still awaited para may retain.
BCU Mechanical unit, Lko. (03-04)	10/00 to 11/03	Irregular and unsanctioned expenditure on repair of transit mixer.	1.51	Headquarters approval awaited para may retain.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
BCU Mechanical unit, Lko. (01-02)	4/99 to 9/00	Locking up of Corporation funds on surplus inventory.	39.69	No supportive evidence para may retain.
		Excess undue payment of excise duty to suppliers without any documentary proof payable to Central/State Governments.	1.79	No supportive evidence para may retain.
		Excess undue payment of excise duty to suppliers without any documentary proof payable to Central/State Governments.	2.34	Reply not convincing para may retain.
BCU Mechanical unit, Lko. (97-98)	4/92 to 8/97	Non realization of hire charges of crane from UPRNN	10.90	Realisation of hire charges still awaited para may retain.
		Avoidable expenditure due to repairing work of vehicles got done through side agencies.	3.33	Reply not convincing para may retain.
BCU Lko (99-00).	4/97 to 3/99	Loss of interest on incurring expenditure from own funds without realizing financial charges.	217.29	Reply awaited
		Loss due to non diversion/withdrawal of money lying with foreign bank.	83.19	Para may retain with revised amount Rs. 83.19 lakh.
BCU Saharanpur (98-99)	10/96 to 9/98	Expenditure incurred without obtaining revised technical sanction, pending recovery subject to approval of the revised estimates	26.26	Para may retain as revised estimate has not been approved so far.
BCU Gorakhpur (99-00)	1/98 to 3/99	Blockade of funds due to irregular deduction of sales tax from the bills	107.61	Reply not convincing para may retain.
Uttar Pradesh Rajkiya Nirman Nigam Limited				
PAC unit Aligarh	since inception to 5/78	Excess expenditure over fund received for construction of two barracks	2.65	Reply awaited
Unit II Haridwar	1/2001 to 3/2002	Loss in 17 Judicial rooms and boundary wall works	83.64	Reply awaited
unit 4 Etawah	10/94 to 3/97	Avoidable payment to private architect	48.00	Reply awaited
		Excess expenditure over client deposits	89.29	Reply awaited
		Blockade of fund on construction of swimming pool at Safai	94.68	Reply awaited
unit 16 Lucknow	4/93 to 10/94	Excess consumption of steel	9.62	Reply awaited
Basti	4/99 to 9/2000	Defalcation and bungling of store	13.73	Reply awaited
unit 17 electrical Lucknow	4/92 to 1/94	Excess issue of bacles to contractor on land development bank lucknw work	3.33	Reply awaited
		Excess issue of materail than requirement on Land Development Bank Lucknow work	0.33	Reply awaited
unit 17 electrical Lucknow	4/97 to 3/98	Purchase of material in excess of requirements	16.00	Reply awaited
unit VII Lucknow	4/94 to 3/98	Expenditure in excess of funds received in different works viz. Van vigagh colony, iit lucknow, etc.	68.45	Reply awaited
		Excess expenditure on overheads of works	14.82	Reply awaited
		Avoidable ppayment of sales tax on transportation in respect of sports college works due to awarding of work at composite rates	0.25	Reply awaited
unit Atrauli, court complex Aligarh	since inception to 6/98	Over estimation and misutilisation of government funds on government degree college work	36.36	Reply awaited
unit II Allahabad	1/95 to 3/97	Excess expenditure over sanctioned estimates on various works	78.92	Reply awaited
		Excess expenditure on overheads more than sanctioned limit	80.92	Reply awaited
		Excess expenditure on cartage of materials	0.21	Reply awaited
unit II Allahabad	4/97 to 3/98	Excess purchase of inventory without having fund for execution of works	28.00	Reply awaited
		Execution of work without receipt of fund and sanction of estimates	19.37	Reply awaited
		Excess expenditure on overhead on different works	21.55	Reply awaited
unit II Allahabad	4/98 to 3/99	Excess expenditure on works against funds received	24.87	Reply awaited
		Outstanding works advances against prws & staff	13.47	Reply awaited
		Excess expenditure on overheads	37.30	Reply awaited
		Blockade of fund due to non closure of bank account of completed works	20.03	Reply awaited

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
		Blockade of funds due to surplus stores	1.90	Reply awaited
unit Azamgarh	1/88 to 12/90	Short realisation of dues from clients	2.77	Reply awaited
		Excess consumption of cement	1.51	Reply awaited
		Avoidable payment of sales tax	0.15	Reply awaited
		Purchase of defective transformer	2.15	Reply awaited
		Shortage and theft of materials	0.55	Reply awaited
unit Azamgarh	5/91 to 3/94	Short receipt of empty cement bags	0.80	Reply awaited
		Excess payment of sales tax	0.59	Reply awaited
		Excess expenditure on purchase of materials	2.57	Reply awaited
Hospital works, Azamgarh	7/97 to 9/98	Blockade of funds on deposit work due to non receipt of funds on DM residence, tehsil works Mau	157.72	Reply awaited
unit Azamgarh	11/2000 to 8/2002	Avoidable expenditure on construction of non residential building of police department	20.15	Reply awaited
unit Sultanpur	1/98 to 12/2000	Construction of community health centre musafirkhana Sultanpur	18.59	Reply awaited
		Excess expenditure in construction of CHC Musafirkhana	68.59	Reply awaited
unit Sultanpur	3/90 to 3/92	Shortage of material	2.80	Reply awaited
		Infructuous expenditure on roof plaster on Vikas Bhawan Pratapgarh	0.89	Reply awaited
unit Sultanpur	4/92 to 3/94	Unauthorised purchase of stonegrit and sand	4.22	Reply awaited
Cable Factory Allahabad	since inception to 3/94	Extra payment of liquidated damages due to delay in execution of work	16.72	Reply awaited
		Loss of claims due to execution of unapproved items of works	19.18	Reply awaited
		Excess payment to grit suppliers on account of non deduction of prescribed voids	1.75	Reply awaited
Unit 2 Lucknow	1/92 to 10/93	Inspite of availability of excavated earth more than requirement earth was purchased for construction of road & drain of lucknow univeristy	2.27	Reply awaited
		Suspected misappropriation of steel		Reply awaited
unit Agra	10/99 to 12/2000	Company incurred extra expenditure in contrary to the provision that expenditure should be confined to sanctioned cost	101.26	Reply awaited
		Non adjustment of advances of prws	3.95	Reply awaited
LMU Lucknow	since inception to 9/2002	Non realisation of lease rent of plant and machinery from UPSBC	99.87	Reply awaited
Meerut	3/92 to 10/98	Loss due to disallowing of amount by client relating construction of Vikas Bhawan	21.33	Reply awaited
		Diversion of fund	71.07	Reply awaited
		Excess expenditure on overheads	49.69	Reply awaited
Meerut	11/98 to 10/02	Excess expenditure beyong ceiling mimits of overheads was incurred on VC residence in Agriculture university	129.66	Reply awaited
unit 9 Lucknow	4/90 to 5/95	Nugatory Expenditure due to consturction of sewer line of Institute of Engineering and Technbology Lucknow below specification	17.00	Reply awaited
		Excess consumption of steel on various works of IET Campus Lucknow	11.89	Reply awaited
Civil Court Allahabad	4/98 to 3/2000	Expenditure was incurred in excess of sanction in respect of High Court Allahabad	17.05	Reply awaited
		Expenditure on overheads in excess of norms on civil court work	53.26	Reply awaited
		Outstanding works advances against prws	12.70	Reply awaited
		Irregularities in purchase of grit	0.13	Reply awaited
Unit VI Lucknow	4/94 to 12/97	Excess expenditue over the sanctioned cost of UP Public Service Commissions Aligang	5.65	Reply awaited
unit 3 Kanpur	11/98 to 2/03	Subletting of construction of overhead tanks of chandrashekar Azad Agriculture and Technology university was allowed against the provision of agreement	6.79	Reply awaited
unit 10 (Bapu Bhawan) Lucknow	4/98 to 10 /99	On construction of Emergency complex medical college, expenditure was incurred over funds received	55.84	Reply awaited
		Loss due to decution of billed amount by sugar corporation	4.57	Reply awaited

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
		Excess expenditure on renovation of Ambedkar Hall	5.08	Reply awaited
Hospital Unit Varanasi	4/99 to 6/2000	Unfruitful expenditure	21.33	Reply awaited
		Excess expenditure without prior approval of client	88.20	Reply awaited
Ambedkar Nagar Unit	Since inception to 11/2000	Unauthorised and incomplete construction at Mani parooat resulted in unfruitfull expenditure	60.00	Reply awaited
		Application of richer specification without approval of competent authority	3.88	Reply awaited
		Avoidable expenditure on plaster of ceiling	1.08	Reply awaited
		Application of rich specification in residential building	1.08	Reply awaited
		Idle expenditure and consequent loss	12.98	Reply awaited
		Unauthorised diversion of funds	11.25	Reply awaited
		Unmanaged purchases of store and its imprudent transfer	2.61	Reply awaited
		Infructuous expenditure	2.66	Reply awaited
		Purchase of coarse sant at exorbitantly higher rates	0.70	Reply awaited
Etawah Unit 4	4/97 to 2/99	Incomplete PHC and blockade of funds	51.41	Reply awaited
		Incomplete VVIP Inspection House of Forest Department	1.22	Reply awaited
		Injudicious accumulation of inventory	15.38	Reply awaited
unit VII Lucknow	4/98 to 3/99	Doubtfull expenditure on construction work	12.44	Reply awaited
unit II Lucknow	4/94 to 3/97	Losses due to incorrect measurement of works on kisan Mandi Bhawan	26.44	Reply awaited
		Grit finish work-Kisan Mandi Bhawan by M/s Garg Builders Aligang Lucknow	10.28	Reply awaited
Etawah Unit 4	7/96 to 12/97	Increase in the cost due to idle payment to chowkidars	5.36	Reply awaited
		Diversion of fund in construction of Ambedkar Hospital	61.69	Reply awaited
		Increase in cost due to time over-run	82.32	Reply awaited
		Blockade of funds and consequent loss of interest	12.28	Reply awaited
Rohilla Farukhabad	inception to 3/92	Unauthorised construction of workshop and kitchen cum dinning hall	6.16	Reply awaited
		Fictitious consumption of bricks	0.55	Reply awaited
Headquarter Lucknow	4/87 to 3/90	Excess expenditure over sanctioned cost	26.62	Reply awaited
		Excess expenditure on construction of R &NR building of revenue deparment	44.78	Reply awaited
		Claims pending in the client	1161.06	Reply awaited
Central Zone Lucknow	7/90 to 3/94	Placing or work orders beyond financial power	101.97	Reply awaited
VDA unit Varanasi	inception to 3/93	Construction of Harithirath commcial Complex -Non recovery of liquidating damages	1.68	Reply awaited
		Procurement of steel other than primary producers	7.81	Reply awaited
Rampur	4/79 to 2/81	Surplus equipment and materials	2.00	Reply awaited
60 Beded ESI Hospital Varanasi	11/84 to 6/90	Loss due to using Sub Standard Material	2.03	Reply awaited
		Avoidable expenditure on account of water charges	1.80	Reply awaited
SidharthNagar	4/98 to 9/2000	Excess expenditure over sanctioned cost	44.97	Reply awaited
		Diversion of funds	135.81	Reply awaited
unit 3 lucknow	1/2001 to 5/02	Expenditure on non sanctioned items resulted into excess expenditure	5.46	Reply awaited
Unit 19 lucknow	8/2000 to 5/2002	Excess payment of consultancy charges on Ram Manohar Lohia Hospital @ 2.2 % against sanctioned 1.5 %	7.67	Reply awaited
		Loss due to irregular reduction in centage charges	13.78	Reply awaited
unit 23 Lucknow	3/96 to 3/98	Diversion of fund	6.75	Reply awaited
		Undue favour to supplier	--	Reply awaited
		Drawing the estimate on higher side and delay in execution of work	--	Reply awaited

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
unit 21 Lucknow	4/98 to 9/2000	Loss due to non acceptance of bills above estimate cost by clients (BRA university Phase 1)	48.42	Reply awaited
unit 13 Lucknow	1/88 to 12/91	Avoidable expenditure on surplus staff	1.21	Reply awaited
		Non handing over of material after transfer by subengineer	0.76	Reply awaited
Structural unit unchahar	10/96 to 10/98	advance payment to PRW awaiting adjustment	4.24	Reply awaited
		Payment withheld by HSCL	10.27	Reply awaited
Faizabad	4/94 to 3/97	Expenditure against work charged staff over and above the prescribed norm (0.5%) resulted in excess expenditure on degree college alapur Balrampur	22.50	Reply awaited
		Irregular diversion of funds from deposti works to other works	67.82	Reply awaited
		Blockade of funds due to non disposal of cement	0.57	Reply awaited
Unit XV Engineering college Lucknow	1/98 to 2/99	Extra irregular expenditure incurred on execution of higher specification items work in construction of Applied Science Humanities and Library Block of IET Lucknow	9.71	Reply awaited
Faizabad	4/97 to 8/98	Irregular expenditure on stopped work at shopping complex at Faizabad	11.03	Reply awaited
Tourist reception centre Ayodhya Faizabad	8/82 to 3/85	Infructuous expenditure in cartage of dismantled material from TRC to tourist Complex and from other site of TRC site	0.20	Reply awaited
		Excess expenditure on procurement of steel from open market at higher rates	0.30	Reply awaited
Faizabad	4/93 to 3/94	Company incurred excess expenditure on work of Avadh University	6.82	Reply awaited
Muzaffar Nagar Meerut	3/91 to 2/92	Irregular payment to M/s Compact Against piling work	1.28	Reply awaited
		Irregular payment against unexecuted work of Zila Vikas karyalay Bhawan Muzaffarnagar	2.09	Reply awaited
		Extra Expenditure due to unauthorised consumption of fine & coarse sand	0.63	Reply awaited
Ghaziabad	4/94 to 9/96	Excess consumption of material to the norm	1.54	Reply awaited
Ghaziabad	10/97 to 6/98	Excess expenditure over fund received	36.42	Reply awaited
Electrical unit Kanpur	10/98 to 9/2000	non handing over of completed works of electrification of villages to UPSEB	78.11	Reply awaited
Agra	7/98 to 9/99	Excess expenditure on overheads with compare to norm of 5.2 % of the total turnover	62.20	Reply awaited
		Irregular expenditure of Rs. 31.38 lakh over and above the fund received from HQ	31.38	Reply awaited
		Irregular Advance to PRW	2.25	Reply awaited
		Loss due to payment of interest on delayed payment of sales tax	0.98	Reply awaited
Etah Work, Agra	inception to 6/98	outstanding advances	14.21	Reply awaited
Rourkela unit including Calcutta	Since inception to 3/97	Amount withheld by the client due to non extension of time	24.24	Reply awaited
		Excess consumption of material	1.66	Reply awaited
Homeopathy College Lucknow	4/99 to 3/2000	Excess expenditure on construction of colonies (Mantri Avas)	4.02	Reply awaited
		Blockade of fund due to extra expenditure on the execution of work at lower estimate sanction	24.31	Reply awaited
		Extra Expenditure incurred on redification of defective and damaged work of lining work of Sharda feeder canal Sitapur	10.25	Reply awaited
Unit-6 Lucknow	4/89 to 7/91	Non realisation of expenditure incurred against works executed for UPTRON building	73.59	Reply awaited
DBT unit Delhi	inception to 3/94	Avoidable loss due to deliberate irregular payment to a contractor	5.48	Reply awaited
		Loss due to excess consumption of steel and cement	12.44	Reply awaited

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
		Loss in construction of Hostel building in JNU complex due to non submission of final bill	36.17	Reply awaited
		Loss due to part payment and withholding payment by the client	33.97	Reply awaited
		Loss due to extra expenditure on earth work	2.95	Reply awaited
Bulandshahr	4/98 to 11/02	Loss in construction of main building in Kurza (Bulandshahr) due to extra expenditure to the amount of fund receipt from client	62.12	Reply awaited
Faizabad	9/98 to 11/99	Unauthorised beautification and strengthening work of Mani Parvat Ayodhya causing infructuos expnediture	56.00	Reply awaited
		Loss in execution of deposit works due to non realisation of work cost	21.95	Reply awaited
Unit Pata Etawah	Since inception to 3/98	Excess expenditure due to unworkable rates	13.50	Reply awaited
		Excess expenditure over fund received	271.90	Reply awaited
Unit Pata Etawah	4/98 to 12/2000	Undue benefit to the contractor	1.77	Reply awaited
		Non handing over of completed Drain cum inspection path to UPPWD	463.63	Reply awaited
		Short adjustment of expenditure by Bhoomi Sudhar Nigam	7.79	Reply awaited
		Surplus staff payment of salary and wages fro no work	25.20	Reply awaited
Unit III Etawah	Since inception to 3/97	Extra expenditure on purchase of HDPE Pipes	4.09	Reply awaited
Warehousing Unit Lakhimpur Tikonia	11/77 to 8/79	Construction of warehouse & auxilary work	0.83	Reply awaited
Sarjoo Sahkari Sugar Facrotly unit Lakhimpur	8/78 to 7/79	An uninsured jeep of unit was lost at lucknow	0.44	Reply awaited
		Avoidable payment of sales tax was made due to non issue of Form III D	0.25	Reply awaited
		Non reconciliation of accounts	0.29	Reply awaited
		Purchase of cement Excess Advance	0.66	Reply awaited
Hospital unit Varanasi	4/97 to 3/99	Excess expenditure over the funds received from clients	110.98	Reply awaited
		Excess expenditure on overheads with compare to norm of 5.2 % of the total turnover	110.24	Reply awaited
		Uneconomic works loss to nigam	164.93	Reply awaited
		Deduction of amount from the bill by the client due to uncompleted work and deviation in sprcification	3.13	Reply awaited
Varanasi	7/92 to 3/97	Extra expenditure due to failure to tube well	3.15	Reply awaited
		Excess expenditure over the funds received from clients	131.39	Reply awaited
		Loss of interest on unrealised values	38.01	Reply awaited
Unit 19 SGPPI Lucknow	Inception to 11/97	Excess expenditure on overheads with compare to norm of 5.2 % of the total turnover	46.78	Reply awaited
		Expenditure in excess of funds received from clients	229.04	Reply awaited
		Blockade of funds due to purchase of unrequired items	13.47	Reply awaited
		Avoidablwe payment of sales Tax	0.29	Reply awaited
UP Alpsankhyak Vitta Evam vikas Nigam				
UP Alpsankhyak Vitta Evam vikas Nigam	4/96 to 3/99	Avoidable payment of penal interest (Nigam was liable to pay penal interest to NASVN Deolhi, due to non disbursement of loan within stipulated time frame)	262.82	Reply awaited
		Avoidable payment of liquidated damages charges due to failure in repayment of loan to National ASVEVN with in stipulated period company was liable to pay liquidated damages	20.10	Reply awaited

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
UP Alpsankhyak Vitta Evam vikas Nigam	4/99 to 9/2000	Embezzlement of Margin Money loan (Sanction of Margin Money Loan to the beneficiary of Ghaziabad & Mau district without verification of their identity and without verification of units after installation, resulted in embezzlement of fund	11.25	Reply awaited
		Irregular payment against the expenditure of vehicles used by Hon.' minister and other officers of Alpshankhyak Directorate	20.22	Reply awaited
		Loss of loan and interest due to irregular payment under "Loan without interest scheme"	26.49	Reply awaited
		Avoidable payment liability to Jal; Sansthan and UPSEB on purchase of Building & Land of M/s Allied Enterprises Noida	6.98	Purchase of land was done without proper investigation.
		Misutilisation of loan due to non implementation of Term Loan Scheme in proper	1094.89	Reply awaited
		Non deposit/delayed deposit of bank draft in banks received from beneficiaries indicates misutilisation of fund and loss of interest	5.88	Reply awaited
		Company incurred unfruitfull expenditure on construction of Training Centre Daliganj as it could not be utilised since its construction (1997-98)	9.21	Reply awaited
UP Alpsankhyak Vitta Evam vikas Nigam	10/2000 to 9/2001	Avoidable payment of compound interest on installments of dues agaisnt National Minorities Development And Finance Corporation (NMDFC)	37.15	The avoidable payment of compound interest was the result of the late payment of dues by the Nigam. Para retained.
UP Alpsankhyak Vitta Evam vikas Nigam	10/2001 to 10/2002	Avoidable payment of interest due to non refund of loan instalments of NMDFC as per schedule	35.03	As per reply of the Nigam due to shortage of staff recovery of loan could not be watched and the installments could not be paid. Para retained.
		Avoidable loss due to non availing of rebae on account of delays in the payment of installments	36.47	As per reply of the Nigam due to shortage of staff recovery of loan could not be watched and the installments could not be paid. Para retained.
		Loss on account of liquidated damages due to non payment/partly payment of installment on due dates and penal interest due to non utilisation of fund within stipulated period of 9 months	359.92	Management stated the waival of penal interest and liquidated damages is being pursued vigorously. Para retained.
		Threatend embezzlement of monay (Amount receivey by staff but not deposited in bank)	0.78	Reply awaited
		Payment to professional training institute in contravention of the terms of the agreement	435.20	Reply awaited
		Payment to higher rates to professional training institurte due to non follow up of general financial rules	7.80	Reply awaited
U.P.Small Industries Corporation , Kanpur (UPSIC)				
UPSIC Kanpur	1/84 to 3/85	The company drawn entire amount of grant subsiby and did not keep in PLA large amount of grant remained unutilised against the prosision og GO	26.16	Reply awaited
UPSIC Kanpur	5/87 to 3/90	Non settlement of railway claims relating missing of coal booked from sirka siding to varanasi cantt	0.14	Reply awaited
UPSIC Kanpur	4/90 to 3/91	Loss in development of ancilliary estate in BHEL Haridwar	45.86	Reply awaited
		Against the purchased quantity of 25763.20 MT stam coal from M/s Coal India Ltd. The company received 22176.95 MT only	22.44	Reply awaited
		Payment at higher rates to handling contractors at Ghazibad Raw Material Department	-	Reply awaited
		Blockades of funds to the tune of 19.65 lakh due to delay in construction of commercial complex at Sanjay Palace Agra	-	Reply awaited
		Loss in Jhansi coal depot due to shortage of coal and infructuous expenditue without work	19.65	Reply awaited

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
		Excess payment of railway freight on cartage of steam coal	1.26	Reply awaited
		In admissible payment to coal handing contractor	1.29	Reply awaited
		unauthorised payment to security guards at RM Depot Agra	4.98	Reply awaited
		Shortage of 'D' Grade Coal	0.50	Reply awaited
		Non disposal of coal dust/stone	14.00	Reply awaited
		non recovery from M/s Bhartiya Plastics Udyog Ghaziabad under import assistance scheme	2.28	Reply awaited
UPSIC Kanpur	4/95 to 12/95	Loss on investment/loan in 5 no. subsidiaries	27.62	Reply awaited
		Advance placed under Hire Purchase Scheme to Veer Khandsari Udyog Muzzafarnagar Could not be realised	14.68	Reply awaited
		Loss of interest due to non refund from SAIL	13.01	Reply awaited
		Avoidable loss due to repayment of loan from Cash Credit Account	19.68	Reply awaited
		Loss in consignment agency work of HCL	2.88	Reply awaited
		Loss due to non monitoring of funds received for deposit work of HBTI Kanpur	2.60	Reply awaited
		Mis utilisation of fund resulted in avoidable payment on account of increase in tendered rate	2.83	Reply awaited
		Shortage of coal at Khurja Depot	4.04	Reply awaited
		Undue benefit to coal contractor (Asha Coal Trading Corp)	0.55	Reply awaited
UPSIC Kanpur	4/94 to 3/95	Extra Expenditure in earthwork at big Industrial estate at Etawah (Phase I)	1.62	Reply awaited
		Loss in sale of coal of poor and substandard quantity	10.10	Reply awaited
		Loss in sale of coal to M/s Balaji Enterprises Firozabad without obtaining advance payment as per terms & condition of contract	4.43	Reply awaited
		Loss of interest due to not inclusion of provision for recovery of loss of interest	7.12	Reply awaited
		Loss in sale of steel below purchase price at material depot Kanpur	11.57	Reply awaited
		Draws of fund from cash credit Account for repayment of loan to government received against Hire Purchase Scheme resulted in over burden of interest	736.64	Reply awaited
		Infructuous expenditure on purchase of Guest House in Kaushambi apartment from GDA	13.69	Reply awaited
		Sale of burnt copper to M/s Lohia Bros Moradabad without negotiating other 169 firms	2.68	Reply awaited
		Unauthorised favour to M/s Lohia Brothers (P) Ltd. Moradabad by selling burnt copper below prevailing market rate	5.00	Reply awaited
		Loss due to cases of loan non monitoring of cases of loan to Burlington Hotel to M/s Baba Feeds M/s National Ice Cream Co. Khaga	0.96	Reply awaited
		Miscellaneous cases	7.59	Reply awaited
		Loss due to non disposal of plant and machinery of chinhat potteries	4.61	Reply awaited
		Loss due to substandard supply of steel by material depot naini	3.55	Reply awaited
UPSIC Kanpur	4/96 to 12/96	Blockade of fund and loss of interest on purchase of stainless still dinner sets without any committed demand from dealers	8.82	Reply awaited
		Blockade of fund on procurement of Shet Jam Plates in excess of requirement	16.32	Reply awaited
UPSIC Kanpur	1/97 to 3/98	non implementation of diversification plan in which was prepared by Tata Consultancy Services lucknow resulted in infructuous expenditure	7.40	Reply awaited
		Loss due to undue favour to the handling agent	6.39	Reply awaited

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
		Blockade of fund due to non executing agreement with handling contractor and non depositing the security money by contractory the company suffered loss	31.93	Reply awaited
UPSIC Kanpur	4/98 to 6/99	Due to non liking of incentive scheme meant for boosting up sales of iron and steel with increased performances resulted not only in loss of business but also payment of incentive despite low performance	175.20	Reply awaited
		company scumbs to pressure and surrenders lease hold prime industrial land (plot no. 960 at Noida resulting in loss	129.00	Reply awaited
		Loss due to non deducting of damages from Pranay Sales (Handling contractor at Agra) for failure to install weigh bridge	5.91	Reply awaited
		Loss due to non invitation of tender for handling work	31.93	Reply awaited
		Non finalisation of accounts		Reply awaited
		Loss due to short lifting of coal	685.50	Reply awaited
		Non achievement of targets of sale of polymer products	13.20	Reply awaited
		Loss due to exoneration of responsible officer from charges of faciliating non recovery of cost of steel	31.93	Reply awaited
		Loss due to difference in sale of margin allowed to coal handling contractor	9.12	Reply awaited
UPSIC Kanpur	7/99 to 7/2001	Deficient control over operation of private dum operators coupled with failure to safe guard company's interest led to heavy shortage in stores	378.00	Reply awaited
		Non exercise of adequate control over dump operator leading to heavy shortage of steel at steel dumps Allahabad Agra, Kanpur & Ghaziabad	-	Reply awaited
		Loss due to non installation of electronic weigh bridge at consignment agency Agra As SAIL deducted regularly @ 15 percent on the remuneration amount	21.07	Reply awaited
		Incorrect waiver of principal and simple interest	7.95	Reply awaited
		Avoidable purchases of steel without demand in market against interest bearing credit facility	16.72	Reply awaited
		Heavy blockade of inventory carrying interest against credit limit of SAIL	27.13	Reply awaited
UPSIC Kanpur	8/01 to 11/02	Loss due to non lifting of coal by coordiantors (Failures of corordinators to furnish sufficient bank guarantees/LC infavour of Coal India resulting in less allotment of coal as compared to MPQ. This resulted in lifting of lessor quantity and financial loss to corporation)	28.83	Reply awaited
		Loss due to non lifting of scrap from ordinance factories	3.37	Reply awaited
		Over dues out standings sale of iron and steel on credit, non taking proper care regarding clearance of cheques issued to sale, dishonour of cheques given by coordinators resulted into over dues out standing and interest thereon	313.20	Reply awaited
Uttar Pradesh Avas Evam Vikas Parishad				
EMO, Mathura	From inception to 03/99	Non-allotment of assets	46.10	Para may retain with revised value of Rs 46.10 lakh
EMO, Hanspuram, Kanpur	2002-03	Blockade of funds	4376.37	Reply awaited
---do---	2003-04	Blockade of funds	4411.00	Reply awaited
CD-2 Lucknow	1989-90	Expenditure without detailed estimate and unjustified expenditure on cartage of earth Unpersued refund claims	208.16	Reply awaited
			3.92	
CD-18, Kanpur	1986-87	Short realization of stamp duty amounting	0.58	Reply awaited
CD-23 Bareilly	1985-86	Short realization from Land Acquisition Officer	4.20	Reply awaited
CD-15 Lucknow	1986/87 to 92/93	Loss in Nilgiri Comm. & Office complex	85.76	Reply awaited

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
CD-2 Lucknow	1986-87	Loss to the Govt. upto month of 03/87 due to non-realisation of stamp duty from contractor	3.13	Reply awaited
CD-14 Farrukabad	Since inception to 97/98	Excess payment	10.91	Reply awaited
		Loss of Govt. money	24.36	Reply awaited
		Blockade of money	28.00	Reply awaited
		Avoidable payment of Interest	15.79	Reply awaited
		Excess expenditure over administrative & technical sanction	91.15	Revised technical sanction awaited para may be retained
		Loss due to encroachment on property	324.55	Reply awaited
---do--	2002-03	Loss due to providing irregular financial benefit	376.93	Reply awaited
CD-19 Kanpur	1989/90 to 92/93	Avoidable expenditure	9.28	Reply awaited
EMO Raebareilly	1997-98	Blockade of funds	107.41	Reply awaited
CD-2 Lucknow	1999-00 to 2002-03	Avoidable expenditure on land revenue	117.27	Reply awaited
		Unfruitful expenditure on construction	51.45	Reply awaited
---do--	1990-91 to 95	Deviation of Financial position	0.36	Reply awaited
EMO Indiranagar Lucknow	2001/02 to 2002-03	Blockade of funds	214.75	Reply awaited
		Non-settlement of cost of plots under scheme	52.87	Reply awaited
---do--	1998/99 to 99-00	Unfruitful expenditure	87.25	Para standss
---do--	2000-01 to 2003-04	Loss of money due to non-sale of plots	105.00	Reply awaited
CD-24 Rampur	2002-03 to 2003-04	Non-utilisation of funds	221.39	Reply awaited
---do--	04/93 to 03/98	Unfruitful expenditure on water supply in Rudrapur Yojana	18.96	Reply awaited
---do--	1985-86	Blockade of funds	89.29	Reply awaited
CD-7 Meerut	2001-02 to 2002-03	Loss due to non-possession of encroached land	477.96	Reply awaited
		Excess payment of Land compensation	831.24	
---do--	99-00 to 2000-01	Loss due to liability creation and non-payment of interest	774.15	Reply awaited
---do--	93-94 to 97-98	Irregular payment of Medical Compensation	4.00	Reply awaited
CD-5 Meerut	98/99	unfruitful expenditure on 52 old shops	10.40	Reply awaited
---do--	87/88	Non-compliance with inspection programmes Irregularities in maintainance of Bond register Abstract of the fund consumed not prepared	-	Reply awaited
EMO, Hardoi	2000/01 to 2003/04	Non-updation of demand before construction & increment in price resulted non-occupation of 20 commercial shops	76.23	Reply awaited
		Blockade of funds due to non-sale of plots & buildings	169.17	Reply awaited
		Blockade of funds	136.00	Reply awaited
		Non-updation of demand before construction & increment in price resulted non-occupation of 20 commercial shops	76.23	Reply awaited
		Blockade of funds due to non-sale of plots & buildings	169.17	Reply awaited
		Blockade of funds	136.00	Reply awaited
		Blockade of funds	136.00	Reply awaited
CD-6 Ghaziabad	99/2000 to 2003/04	Undue favour to contractors by assigning 165 percent extra work and extra payment	93.00	Reply awaited
		Blockade of funds	663.00	Reply awaited
Cd-16 Ghaziabad	98/99	Substantial construction of sampwell and infructuous expenditure	4.55	Reply awaited
CD-31 Lucknow	2003/04	Interruption in work of trunk severline amounting Rs. 26.41 lakh due to proper acquisition of land and extra expenditure on incomplete work	3.06	Work still incomplete para may retain.
CD-25 Moradabad	86/87	Avoidable expenditure	4.77	Para stands
		Cocked-up expenditure on construction of 19 No. Houses	2.43	Para stands
-do--	1999/2002	Avoidable expenditure	33.57	Para stands
EMO Ghaziabad	93/94 to 98/99	Unfruitful expenditure due to non-sale of builders/plots	4340.46	Reply awaited
EMO, Kalyanpur Kanpur	99/2000 to 2002/03	Assets remained unsettled for long run	1266.46	Reply awaited
		Blockade of funds	355.02	
CD-14 Farrukabad	89/90 to 97/98	Blockade of cost of construction	552.31	Reply awaited

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
PM, CD-3 Ambedkar nagar	2002/03 to 2003/04	Pending of sanction of revised estimate and increment in estimates due to change in approved drawings and designs	293.93	Reply awaited
		Diversion of funds	16.24	Reply awaited
--do--	1996-97 to 2001-02	Delay in construction of collectrate building and wasteful expenditure on construction of piles due to change in drawings & designs	27.62	Technical Sanction still awaited, para may retain
Secretary, Houseing & urban planning Luknow	1999/2000 to 2003/04	Utilisation certificate not submitted Blockade of funds	569.96 4191.00	Reply awaited
EMO, Gorakhpur	1999/2000 to 2001/02	Blockade of funds due to non-settlement of buildings/plots under vikas nagar yojana,	811.48	Reply awaited
---do--	1987/88 to 1992/93	Estates not allotted	155.18	Reply awaited
---do--	1987/88 to 1988/89	Unauthorised possession of houses costing Blockade of boards capital due to non-allotment of houses and plots	60.00 96.11	Reply awaited
---do	1984/85 to 1986/87	Idle investment on Standby tube wells	12.01	Reply awaited
EMO, Allahabad	1998/99 to 2002/03	Blockade of funds as estates remained unsettled	467.26	Reply awaited
CD-28 Agra	2000/01 to 2001/02	Excess expenditure over sanctioned fund	8.02	Reply not convincing para may retain.
CD-22 Ghaziabad	1999/2000 to 2002/03	Achievement of objective to the tune of Rs. 41.97 per cent against expected objective of 65 per cent even after incurring expenditure	343.64	Reply awaited
CD-12 Lucknow	1999/2000 to 2003/04	Faculty contract of construction of Road in Sector-6 under Vrindavan Yojana No 1	35.19	Reply not convincing para may retain.
UPAVP Hqr.	2003/04	Loss in construction of Vidhayak Niwas in Lucknow	302.11	Reply awaited
		Blockade of funds due to non-settlement of unpopular buildings	1299.64	Reply awaited
		Loss due to use of self-owned lands against orders & instructions in VAMBAY	556.87	Para stands
		Loss due to encroachment of 699.16 Hectare land under various schemes	1552.14	Para stands
		Loss & misuse of Infructuous amount	28.23	Reply awaited
---do--	95/96 to 98/99	Unfruitful expenditure	29.09	Reply awaited
--do-	99/00 to 01/02	Blockade of funds due to assets remained unsettled	36324.00	Reply awaited
--do--	87/88 to 92/93	Blockade of funds	179.98	Reply awaited
		Wrong/false refund	8.00	Reply awaited
		Unsettled assets	25630.36	Reply awaited
		Avoidable expenditure	20.93	Para stands
CD-23 Bareilly	87/88 to 92/93			
--do--	98/99	Irregular payment in form of medical reimbursement	5.33	Reply is not convincing para may retain.
Cd-10 Ghaziabad	87/88 to 92/93	Excess payment to the contractor	3.24	Reply awaited
---do--	99/2000 to 01/02	Loss due using stamps of lesser value by contractor	15.95	Reply awaited
Cd-21 Kanpur	98/99	Expenditure on construction of building without demand	318.98	Reply awaited
--do--	93/94 to 97/98	Blockade of funds Unfruitful expenditure	227.36 22.45	Reply awaited
--do--	99/00 to 02/03	Expenditure on Hospital building on Incomplete construction	950.00	Reply awaited
Cd-15 Lucknow	02/03	53.54 acre land under Vrindavan Yojana-2 remained unsettled Unjustified expenditure on construction work of Kalindi van park	208.81 161.27	Reply awaited Reply awaited
CD-30 Agra	99/00 to 03/04	Wasteful expenditure on controversial/encroached land	27.16	Para stands.
CD-8 Meerut	97/98	Irregular payment of Medical reimbursement	8.23	Para stands
CD-4 Lukenow	98/99 to 01/02	Estate unsettled	201.00	Reply not convincing para may retain.
Cd-13 Lucknow	03/04	Illegal possession over land	6957.98	Para may retain
		Blockade of funds due to illegal possession	107.10	Efforts are being made. Para may retain

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
		Expenditure than sanctioned fund & irregular expenditure in construction of buildings	348.76	Policy objectionable. Para may retain.
Cd-33 Bulandsahar	01/02 to 03/04	Blockade of funds	183.31	Reply awaited
Cd-29 Agra	93/94 to 97/98	unfruitful expenditure & incomplete work of 65 poor Income group building under 14 th Hudco Project Illegal possession & construction on 17.69 acre land	5.81 813.74	Reply awaited
--do--	98/99	Blockade of funds due to expenditure on construction of shops	23.80	Reply awaited
CD-17, Kalyanpur, Kanpur	97/98	Excess expenditure over financial sanction, on incomplete work	0.78	Reply awaited
		Blockade of funds due to lack of physical possession acquired land	91.72	Reply is not convencing para may retain.
Cd-20 Kanpur	85/86 to 86/87	Shortage of Store material against Junior engineer Blockade on unpossessed dwelling units	3.87 88.36	Reply awaited
CD-18 Kanpur	03/04	Loss due to Illegal construction on the land of the Parishad Infructuous expenditure on incomplete work of trunk sewer parallel to COD drain & diversion of fund Blockade of funds due to non settlement of assets	83.34 776.62 1604.00	Reply awaited
--do--	99/00 to 03/04	Loss due to not using proposed area due to neary difference between number of estate approved by administration & no of estate proposed under financial schemes Liability of interest due to not paying compensation in time Blockade of funds due to not offering constructed estates to allotment for 12 to 19 years Blockade of funds Irregular exp. On construction of road in Road Construction Sec.5 in Kanpur	0 93.20 69.42 127.25 13.45	Reply awaited
--do--	98/99	Infructuous expenditure Blockade of funds due to non-settlement of buildings	63.89 212.93	Reply awaited
--do--	95/96 to 97/98	Extra expenditure Burden & economic loss in land acquisition cases Blockade of funds due to non settlement of buildings Unutilised material	430.45 127.25 23.58	Reply awaited
EMO Kamlanagar Agra	99/00 to 03/04	Blockade of funds due to non-settlement of estates	11.20	Para may retain with revised value of Rs 11.20 lakh
--do--	93/94 to 98/99	Unallotted estates	138.02	Reply awaited
EMO vikas nagar LKO	85/86 to 86/87	Non-allotment of 1810 number of estates	0	Reply awaited
Uttar Pradesh Financial Corporation				
-- do --	4/1998-3/1999	loss due to noncompliance of pre-disbursement condition and delay in recovery proceedings	75.00	Reply awaited
		payment of purchase price on the issue of SLR bonds without appointment of bankers	78.63	Reply awaited
	4/1999-3/2000	loss due to non-observance of prescribed procedure	23.28	Reply awaited
	11/2002-3/2004	loss due to irregular investment of funds	800.00	Reply awaited
Uttar Pradesh State Road Transport Corporation				
building division (east)	01/1993-03/1994	Unadjusted amount on purchasing cement	21.52	Reply not furnished
	02/2002-6/2005	Loss of interst due to blockade of funds	11.96	Reply not furnished
		Irregularities in construction of IDBT, LKO	-	Reply not furnished
Gm(Mmt)Store, Lko	4/1995-3/1997	Excess payment of sales tax due to fabrication of bus body by outside fabricators	2.25	Reply not furnished
Rm, Meerut	7/2003-9/2005	Excessive shortage of diesel	6.75	Management accepted audit objection, Para stands.
Rm. Kanpur	4/2002-3/2004	Non-release of buses from regional workshop after one lakh kms. Maintenance led to loss	61.29	Reply is irrelevant, Para stands

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
Rm, Jhansi	4/2001-3/2002	Avoidable loss	22.57	Reply not furnished
		Embezzlement of window booking sale	0.81	Reply not furnished
	4/2002-6/2003	Non-recovery of enhanced passenger tax	22.72	Reply not furnished
Rm, Moradabad	4/2003-12/2004	Locking of buses in maintenance at regional workshop resulting in loss	4.69	Reply not furnished
RM, Agra	10/2003-6/2004	Extra expenditure due to non-registration with Central Sales tax authorities	12.27	Reply not convincing, Para stands
		Loss due to cancellation of trips in operation of inter state buses	35.65	Reply not convincing, Para stands.
Md, Upsrtc, Lko	7/2003-6/2004	Excess payment of government guarantee fees	85.77	Reply not furnished
		Avoidable expenditure of interest	56.25	Reply not furnished
		Excess payment on purchase of chasis	11.65	Reply not furnished
		Avoidable expenditure on procurement of High Speed Diesel from IOC	51.21	Reply not furnished
RM, Ghaziabad	10/2001-12/2002	Extra expenditure due to non-registration with central sales tax authorities	8.88	Change in policy needed, para stands.
		Abnormal shortage of diesel	8.14	Abnormal variances should have been investigated, Para stands.
		Extra expenditure due to non-registration with central sales tax authorities	2.06	Reply not convincing, Para stands.
RM, Gorakhpur	4/2001-3/2002	Locking of buses for maintenance at regional workshop resulting in loss	36.20	Reply not furnished
	4/2002-3/2003	Avoidable expenditure on interest/penalties due to delay in deposit of EPF contributions	18.00	Reply not furnished
		Loss due to non-refund of road-tax	11.69	RCs should have been surrendered timely, para stands.
Dgm(Central), Lko	4/2000-3/2001	Irregular sanction of leave to private bus operator leading to loss	33.90	Reply not furnished
Uttar Pradesh State Warehousing Corporation				
	11/2002-10/2003	Loss in wheat storage at Iradatganj air strip	105.62	No reply furnished, Para stands
		Loss on storage of wheat	118.92	No reply furnished, Para stands
Uttar Pradesh Jal Nigam				
EE C.D. Robertsganj, Sonbhadra	1998-01	Incomplete work due to diversion of money	77.30	No reply furnished Para stands.
EE I st CD Raibareilly	2000-01	Profit of Jayas drinking water scheme not received by the public in the past 5 years	81.02	No reply furnished Para stands.
		Non-reconciliation of temporary advance	124.02	No reply furnished Para stands.
CD&S Allahabad	2000-01	Incompletion of work within the stipulated time resulting in blockade of funds	186.47	No reply furnished Para stands.
CD&S Unit 38 Sonbhadra	2000-01	Unprofitable and Irregular expenditure on incomplete work	56.10	No reply furnished Para stands.
EE CD Mau	2000-01	Blockade of funds due to incomplete plan	30.68	No reply furnished Para stands.
EE CD III Indira Nagar Lucknow	2000-01	Unprofitable expenditure	12.27	No reply furnished Para stands.
EE CD Ist, Ghaziabad	1997-98 to 1998-99	Irregular expenditure against non-sanctioned posts	20.17	No reply furnished Para stands.
EE CD Jhansi	1998-99 to 1999-2000	Unnecessary expenditure	250.24	No reply furnished Para stands.
		Less amount received of water tax	1784.00	No reply furnished Para stands.
EE CDII Branch Moradabad	1999-2000	Loss due to incomplete project within the stipulated time	24.41	No reply furnished Para stands.
		Unnecessary expenditure	25.10	No reply furnished Para stands.
EE CD II Allahabad	1999-2000	Loss due to roadside construction of handpumps	75.00	No reply furnished Para stands.
EE CD VIII Varanasi	1999-2000	Irregular charge of centage on Central Govt Plan	73.49	No reply furnished Para stands.
EE CD Badaun	2001-02	Extra expenditure on handpumps under scheduled caste/tribes plan	31.72	No reply furnished Para stands.
EE Mandal II Lucknow	1996-97 to 1999-2000	Unprofitable expenditure on incomplete drinking water projects	142.45	No reply furnished Para stands.
EE 10th Branch Gorakhpur	1998-99 to 1999-2000	Unprofitable expenditure	10.05	No reply furnished Para stands.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
		Delay in implementation of Bosgaon Jal Aapurti Yojna	56.77	No reply furnished Para stands.
EE CD Hamirpur	2000-01	Blockade of funds due to incomplete plan	37.18	No reply furnished Para stands.
		Extra expenditure on drinking water plan and amount spent on plans proved unprofitable to villagers	178.78	No reply furnished Para stands.
EE CD Siddharthnagar	2000-01	Extra expenditure than allowed centage	42.40	No reply furnished Para stands.
EE Jaj Yantrik Shakha Meerut	2000-01	Non-transfer on handpumps and maintenance expenditre on handpumps	25.38	No reply furnished Para stands.
UP Jal Nigam, Allahabad	1998-1999	Blockade of funds due to non-recieot of expenditutre sanction for restructuring the drinking water plan in shankar garh area	97.74	No reply furnished Para stands.
Project Manager, World Bank unit, Jhansi	1997-98	Avoidable payment of interest due to delay in paying off interest dues	16.58	No reply furnished Para stands.
		Loss due to defects found in works undertaken by the contractor	144.08	No reply furnished Para stands.
		Irregular investment of loans sanctioned foe drinking water schemes	589.00	No reply furnished Para stands.
		Irregular payment of price variation (adjustment) amount	314.48	No reply furnished Para stands.
EE,const. div., (E&M),UPJN,bareilly	1998-99	Excess payment on various schemes due to irregular adjustment of unauthorised amounts	92.89	No reply furnished Para stands.
EE,I div., Nirman Shakha, Raebareilly	1998-99	Diversion of funds from Accelerated Rural Drinking Water Scheme to salary head	159.68	No reply furnished Para stands.
		Non-accounting of balance materials of IV construction division in the stores ledger of I construction division after amalgamation of IV division into I division	110.67	No reply furnished Para stands.
		Irregular charging of centage	251.72	No reply furnished Para stands.
		Irregular expenditure on the installation of handpumps	15.45	No reply furnished Para stands.
		Irregular expenditure on the istallation of handpumps without obtaining technical sanction for the detailed estimate	509.32	No reply furnished Para stands.
Unit 29, Kanpur	1998-99	Loss due to tender work/expenditure in excess of sanctioned funds	17.00	No reply furnished Para stands.
EE,const div.(E/M),, Bareilly	1996-97	Unfruitful expenditure on various schemes	20.26	No reply furnished Para stands.
Project Manager I, Yamuna Pollution Control unit,UPJN,Ghaziabad	1997-98	Excess expenditure on the construction of toilets and blockade of funds	13.60	No reply furnished Para stands.
		Blockade of funds due to delay in acquisition of land	897.88	No reply furnished Para stands.
unit 23,upjn,agra	1998-99	Diversion of funds	67.20	No reply furnished Para stands.
EE,const.div.UPJN, Hamirpur	1998-99	Expenditure in excess of estimate	40.27	No reply furnished Para stands.
Ee,Upjn,Allahabad	1996-97	Undue profit to contractor due to irregular work in Magh Mela	87.32	No reply furnished Para stands.
Project Manager, GPC unit, Sultanpur	1995-1997	Expenditure in excess of sanctioned estimate and defective construction work	6.08	No reply furnished Para stands.
Project Manager,unit- I,upjn,lucknow	1996-97	Diversion of funds to salary head	20.20	No reply furnished Para stands.
EE, Niiman Shakha,UPJN,Bhadohi	1996-97	Fictitious adjustment of expenditure incurred on salary head to installation of handpumps and irregular expenditure on repairs of pipelines & sewage	41.03	No reply furnished Para stands.
EE,const.div.UPJN, Rampur	1998-99	Unfruitful mexpnditure on handpumps due to use of sub-standard pipes	48.53	No reply furnished Para stands.
UPJN,LKO	1996-97	Blockade of funds in PLA reserved for the benefit of other backward classes	662.28	No reply furnished Para stands.
		Unconsumed stock	15366.00	No reply furnished Para stands.
World Bank unit,UPJN,Jhansi	1996-97	Irregular supply of water meters without inviting tenders	2.76	No reply furnished Para stands.
EE,UPJN,Barabanki	1997-98	Unfruitful; expenditure in sirauli gauspur drinking water scheme	10.92	No reply furnished Para stands.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
Project Manager, UPJN, agra	1994-95	Excess payment & undue favour to contractor	1.13	No reply furnished Para stands.
Project Manager(E/M),C&DS, LKO	1993-97	Irregular payment under Bakevar Tubewell Scheme through forgrd vouchers	2.70	No reply furnished Para stands.
EE,UPJN,Agra	1995-96	Blockade of funds due to expenditure on unproductive scheme	21.54	No reply furnished Para stands.
EE,const.div.,UPJN, Sonebhadra	1995-96	Unfruitful expenditure on Minimum Drinking Water Requirement Scheme	26.63	No reply furnished Para stands.
Project Manager,C&DS,unit 38,UPJN, Sonebhadra	2001-2004	Unauthorised diversion of funds to other schemes	29.28	No reply furnished Para stands.
		Loss due to expenditure in excess of contract value	133.33	No reply furnished Para stands.
Ee,Const.Div.,Upjn, Jaunpur	2000-2003	Expenditure on maintenance without approved estimates	254.00	No reply furnished Para stands.
		Failure to invest the GPF moneys as per laid down rules	86.48	No reply furnished Para stands.
Ee.Comst.Div.Upjn, Jaunpur	2003-04	Collecting of undue centage charges where it was not chargeable on certain categories of works	21.97	No reply furnished Para stands.
		Undue loadinf of pay & allowances on maintenace works	101.33	No reply furnished Para stands.
		Non-completion of drinking water schemes	109.35	No reply furnished Para stands.
		Irregular expenditure	11.34	No reply furnished Para stands.
EE, 11th DIV. UPJN,MORADABAD	2001-2004	Non-activation of water testing lab even after 5 years: blockade of funds	69.34	No reply furnished Para stands.
Ee,Const.Div.-I, Raebareilly	2001-2003	Excess expenditure on incomplete work	87.36	No reply furnished Para stands.
		Infructuous expenditure on incomplete works	59.64	No reply furnished Para stands.
Ee,-Ist Shakha,Meerut	2002-2004	Charging of centage without any sanction / authority from the government of India	55.53	No reply furnished Para stands.
Project Manager,C&Ds-7,Meerut	2001-2003	Non-adjustment of mobilisation/PRW advance and loss of interest thereon	183.18	No reply furnished Para stands.
		Infructuous expenditure on incomplete works	488.64	No reply furnished Para stands.
Project Manager, C&Ds Unit 50, Barabanki	1998-2001	Diversion of funds	37.79	No reply furnished Para stands.
Project Manager, C&Ds Unit 50, Barabanki	2001-2004	Delay in execution of work due to diversion of funds & irregular extra expenditure	103.39	No reply furnished Para stands.
Ee,Maintenance Div. Agra	2002-03	Excess of expenditure over income	27.91	No reply furnished Para stands.
		Excess of expenditure over income	102.03	No reply furnished Para stands.
		Non-liquidation of liabilities	636.00	No reply furnished Para stands.
		Non-fulfillment of draught relief scheme	117.28	No reply furnished Para stands.
Project Manager, C&Ds-25, Aligarh	2000-2002	Non-adjustment of heavy amounts against supplies in 2001-02	216.00	No reply furnished Para stands.
		Excess expenditure of heavy amounts without financial approval	305.26	No reply furnished Para stands.
		Heavy expenditure without availability of funds	1887.00	No reply furnished Para stands.
		Non-adjustment of advances against supplies	3298.00	No reply furnished Para stands.
		Non-liquidation of liabilities	6692.00	No reply furnished Para stands.
Ee.Const.Div.Aligarh	2001-2002	Temporary embezzlement/non-adjustment of advance against disbursing officer	8.53	No reply furnished Para stands.
		Non-completion of works despite undue favour to contractors	15.49	No reply furnished Para stands.
Project Manager, C&Ds-25, Aligarh	2002-2004	INFRUCTOUS expenditure on construction of Atrauli Auditorium	40.00	No reply furnished Para stands.
Ee,Const.Div. Lalitpur	2003-04	Irregular excess expenditure over 7 above the sanctioned cost	85.67	No reply furnished Para stands.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
		Expenditure without sanction from the government	63.61	No reply furnished Para stands.
Ee., Const.Div. Gonda	2002-2004	Non-fulfillment of purpose due to diversion of funds from Twarit Karyakram 7 infructuous expenditure on installation of 356 nos. Handpumps	74.00	No reply furnished Para stands.
Project Manager , C&Ds-39 Gonda	2000-2003	Incomplete construction & Blockade of funds	339.89	No reply furnished Para stands.
		Diversion of funds	17.33	No reply furnished Para stands.
Headquarters , UPJN	2002-03	Avoidable expenditure on installation of excess handpumps violating the pre-fixed standards by the Government of India	38404.00	No reply furnished Para stands.
		Avoidable expenditure of rs. 26.86 lacs on account of centage due to purchase of computers through updesco	564.11	No reply furnished Para stands.
		Excess expenditure over the sanctioned cost through tender work , non-adjustment of mobilisation advance	314.86	No reply furnished Para stands.
		Non-completion of works executed through tenders and excess expenditure over the funds received from clients	128.28	No reply furnished Para stands.
		Irregular heavy expenditure on maintenance of Flood Pumping Station	1841.00	No reply furnished Para stands.
		Non-liquidation of mobilisation advance	103.26	No reply furnished Para stands.
		Irregular expenditure on quality testing of drinking water	50.56	No reply furnished Para stands.
		Non-completion of schemes under Twarit Water Supply	19684.00	No reply furnished Para stands.
		Excess expenditure due to payment to excess staff as compared to sanctioned poists	298.71	No reply furnished Para stands.
		Loss of government funds due to imprudence	23.29	No reply furnished Para stands.
Headquarters , UPJN	2002-03	Temporary embezzlement	100.68	No reply furnished Para stands.
Project Manager, Gomati Pollution Control Unit Lucknow-3	2000-2004	Excess expenditure over sanctioned cost & projects remaining incomplete despite heavy expenditure	341.63	No reply furnished Para stands.
		expenditure in excess of sanctioned cost	16.95	No reply furnished Para stands.
Project Manager, Ganga Pollution Control Unit, Varanasi	2002-2004	Blockade of funds due to inappropriate action regarding acquisition of land	424.50	No reply furnished Para stands.
Ee, Const.Div. Unit-Ii, Lucknow	2001-2003	Irregular expenditure	55.97	No reply furnished Para stands.
Project Manager, Drinking Water- Ii Lucknow	2002-2003	Expenditure on works without financial sanction	364.53	No reply furnished Para stands.
		Excess consumption of materials on incomplete works	17.54	No reply furnished Para stands.
		Non-adjustment of miscellaneous advances & advances to suppliers	84.68	No reply furnished Para stands.
		Excess expenditure on drinking water scheme as compared to sanctioned cost	172.66	No reply furnished Para stands.
Ee.,Const.Div.-I,Basti	2002-2004	Sanction becoming invalid due to land dispute & blockade of funds	70.97	No reply furnished Para stands.
		Irregular expenditure on maintenance of hand pumps and excess expenditure on drinking water scheme	52.49	No reply furnished Para stands.
Ee., C.D.-Ii, Kanpur	2001-2003	Infructuous expenditure on village sarah drinking water scheme	256.30	No reply furnished Para stands.
		Diversion of funds	363.50	No reply furnished Para stands.
		Construction of overhead tank without drinking water scheme	111.31	No reply furnished Para stands.
Project Manager, Barrage Unit, Kanpur	1997-2003	Irregular expenditure on construction of reservoirs and handpumps	248.39	No reply furnished Para stands.
General Manager, Ganga Pollution Control Unit, Kanpur	2003-04	Loss due to collapse of sewage pumping station following faulty design	111.00	No reply furnished Para stands.
		Failure of Kanpur south water supply service	1640.00	No reply furnished Para stands.
		Avoidable expenditure & schemes remaining incomplete for more than 1 year (relieving sewage scheme)	915.64	No reply furnished Para stands.
		Diversion of funds	1591.00	No reply furnished Para stands.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
Ee., C.D., Kannauj	1998-2000	Infructuous expenditure on Chhraman Water Scheme	18.58	No reply furnished Para stands.
Ee., C.D., Muzaffarnagar	2000-2002	Avoidable expenditure on maintenance of completed works and non-transferring of schemes to Gram Sabha	97.21	No reply furnished Para stands.
Project Manager, C&Ds Unit -5 Balrampur	1997-2002	Works remaining incomplete and sanction of revised estimates being awaited	128.87	No reply furnished Para stands.
Ee., Temporary C.D.-I, Basti	2001-02	Possibility of loss due to store shortages carried forward for the last 12 years	89.21	No reply furnished Para stands.
		Irregular expenditure on installation of hand pumps violating the provisions of handbook and orders of MD	169.11	No reply furnished Para stands.
Ee, C.D.(E/M), Mirzapur	2000-2001	Excess expenditure on deposit work and non-closure of accounts	26.01	No reply furnished Para stands.
Project Manager, C&Ds-36, Jhansi	1999-2000	Infructuous expenditure on tourism development at Damsite of Barua Sagar	13.37	No reply furnished Para stands.
		Diversion of funds	13.56	No reply furnished Para stands.
Ee., C.D., Allahabad	2000-2001	Below target recovery of Jal Sanyojan from contractors	39.80	No reply furnished Para stands.
Project Manager, Gpcu, Mirzapur	2000-2001	Diversion of funds	281.07	No reply furnished Para stands.
Ee, C.D., Bhadohi	2000-2001	Outstanding liabilities	173.20	No reply furnished Para stands.
Ee, Maintenance Div. Jaunpur	1999-2000	Excess & irregular expenditure on maintenance	3.42	No reply furnished Para stands.
		Excess expenditure over sanctioned cost of installation of pumps	65.78	No reply furnished Para stands.
Ee.,C.D.-Ii, Agra	1999-2000	Infructuous expenditure on 17 nos. Drinking water scheme in progress	39.80	No reply furnished Para stands.
Ee.,C.D., Jaunpur	1999-2000	Avoidable expenditure & non-completion of scheme within schedule	13.85	No reply furnished Para stands.
Ee.,C.D., Muzaffarnagar	2000-2001	Irregular expenditure on drinking water schemes	111.55	No reply furnished Para stands.
		Unjustified expenditure on installation of handpumps	23.22	No reply furnished Para stands.
Project Manager, C&Ds-29, Kanpur	2000-2001	Avoidable expenditure	14.22	No reply furnished Para stands.
Headquarters, Upjn , Lucknow	2000-2001	Blocking of funds in Dutch aided schemes	2065.00	No reply furnished Para stands.
		infructuous expenditure	13.50	No reply furnished Para stands.
		Irregular expenditure without revised financial sanction and Government sanction	256.00	No reply furnished Para stands.
		Blockade of funds in fixed deposit account	173.04	No reply furnished Para stands.
		Diversion of funds to other schemes	927.61	No reply furnished Para stands.
Project Manager , C&Ds-28, Noida	2000-2002	Infructuous expenditure on disputed schemes	125.00	No reply furnished Para stands.
EE, 4th BRANCH, LUCKNOW	2000-2001	Infructuous expenditure on Aish Bgh water works rehabilitation	52.85	No reply furnished Para stands.
Project Manager , C&Ds-4, Lucknow	2000-2001	Infructuous blockade of funds I incomplete construction of Health Centers	181.86	No reply furnished Para stands.
Ee, C.D., Hardoi	1998-2000	Infructuous expenditure on Pali Peya Jal Yojana	12.53	No reply furnished Para stands.
		Undue deduction of centage charges on center aided schemes	23.88	No reply furnished Para stands.
Ee., C.D., Kaushambi	2000-2001	Blockade of funds	84.24	No reply furnished Para stands.
		Diversion of funds	132.53	No reply furnished Para stands.
C&Ds-49, Unnao	1997-2001	Blocking of funds in incomplete schemes	45.82	No reply furnished Para stands.
Ee.C.D., Ferozabad	2000-2001	Non-availability of benefits of schemes even after heavy expenditure	34.68	No reply furnished Para stands.
C&Ds-15, Mahoba	1999-2000	Infructuous expenditure on Missionary colony, Lalitpur	137.81	No reply furnished Para stands.
Greater Noida Unit	1998-2001	Blocking of funds in incomplete sewage systems	608.86	No reply furnished Para stands.
Ee., C.D., Shahjahanpur	1997-2000	Infructuous expenditure	109.20	No reply furnished Para stands.
		Excess expenditure	111.73	No reply furnished Para stands.
C.D.-Ii, Lucknow	1999-2000	Excess expenditure	105.06	No reply furnished Para stands.
		Irregular expenditure	0.99	No reply furnished Para stands.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
Gpcu, Mirzapur	1999-2000	Diversion of funds for deposit works to salary & wage of employees	18.55	No reply furnished Para stands.
		Surplus materials in stores	28.09	No reply furnished Para stands.
Headquartes, Upjn, Lucknow	1998-1999	Avoidable expenditure	47.76	No reply furnished Para stands.
		Supply of sub-standard pipes	255.49	No reply furnished Para stands.
		Shortage in PLA	25.00	No reply furnished Para stands.
		Blockade of funds	172.54	No reply furnished Para stands.
		Irregular extra expenditure	835.03	No reply furnished Para stands.
		Irregular expenditure	7.60	No reply furnished Para stands.
		Irregular expenditure	2.83	No reply furnished Para stands.
		Irregular maintenance of PLA	43.83	No reply furnished Para stands.
C&Ds-28, Lucknow	1999-2000	Non-testing of sail prior to construction & avoidable expenditure	17.66	No reply furnished Para stands.
Ee, C.D., Hamairpur	1999-2000	Irregular expenditure on schemes under minimum necessity	82.24	No reply furnished Para stands.
C.D.(E/M) Bareilly	1999-2000	Infructuous expenditure on incomplete shahjahanpue water supply scheme	15.69	No reply furnished Para stands.
Mahapalika Shakha, Lucknow	1998-2000	Infructuous expenditure without any construction work	222.00	No reply furnished Para stands.
C.D. IX Th SAHARANPUR	1999-2000	Non-completion of scheme & state Government remaining deprived of foreign exchange due to delay in work	84.41	No reply furnished Para stands.
		Diversion of funds due to installation of handpumps ignoring stanadards	51.86	No reply furnished Para stands.
		Fictitious adjustments		No reply furnished Para stands.
C.D. Amroha	1998-2000	Non-completion of Gajraula drinking water scheme in 7 years & blockade of funds	10.15	No reply furnished Para stands.
C.D.(E/M) Gorakhpur	1999-2000	Avoidable expenditure on flood and relief operation	140.94	No reply furnished Para stands.
Gomti Pollution Control Unit-Ii Lucknow	1999-2000	Excess expenditure on Nirala Nagar Peyjal reorganised scheme	48.18	No reply furnished Para stands.
C&Ds Kanpur	1999-2000	Blockade of funds due to non-completion of health department work	136.18	No reply furnished Para stands.
		Blockade of funds in incomplete works	106.53	No reply furnished Para stands.
		Diversion of funds	146.64	No reply furnished Para stands.
C&Ds-3 Azamgarh	1999-2000	Charging of excess profit from Government Department	16.43	No reply furnished Para stands.
		Blockade of funds for 3& 1/2 years	33.06	No reply furnished Para stands.
C&DS UNIT 7 Ghaziabad	1999-2000	Execution of work without considerationn of the provisions of the agreement	23.06	No reply furnished Para stands.
UPJN, HQ	1997-98	Payment of compound interest due to failure in payment of loan from LIC	111.72	No reply furnished Para stands.
		Excess expenditure in purchase of PVC pipes	117.78	No reply furnished Para stands.
		Non-utilisation of funds kept in PLA	725.86	No reply furnished Para stands.
		Injudicious expenditure on professional training and non-utilisation of funds	30.00	No reply furnished Para stands.
Gpcu, Mirzapur	1998-99	Irregular payment to sulabh international Varanasi and non- imposition of penalty	10.31	No reply furnished Para stands.
C.D. Sitapur	1998-99	Infructuous expenditure on Peyjal Yojana	42.58	No reply furnished Para stands.
C&Ds Unit 36 Jhansi	1998-99	Irregular expenditure	19.93	No reply furnished Para stands.
		Excess expenditure over the funds received	39.34	No reply furnished Para stands.
Gomti Pollution Control Unit- Ist Lucknow	1998-99	Infructuous expenditure	491.42	No reply furnished Para stands.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
Se, Circle Viii Moradabad	1997-98	Blockade of funds due to failure in construction of lab and inspection house	13.50	No reply furnished Para stands.
C.D.-2 Lucknow	1998-99	Deduction of irregular centage on Central Government funded schemes	62.32	No reply furnished Para stands.
		Non-adjustment of credit balances of stores/materials	16.95	No reply furnished Para stands.
C.D. Ferozabad	1998-99	Avoidable expenditure	12.19	No reply furnished Para stands.
Ii Nirman Shakha(E/M) Varanasi	1997-98	Charging centage fees from centrally sponsored scheme	28.88	No reply furnished Para stands.
Gpcu, Naini Allahabad	1996-97	Avoidable expenditure on land acquisition	18.17	No reply furnished Para stands.
C.D.- Chandauli	1997-98	Diversion of funds	27.81	No reply furnished Para stands.
C.D. Ballia	1997-98	Unfruitful expenditure	17.98	No reply furnished Para stands.
		Unfruitful expenditure	34.12	No reply furnished Para stands.
C.D. Jaunpur	1998-99	Created liability	5.00	No reply furnished Para stands.
		Infructuous expenditure on Jaunpur sewage scheme	29.87	No reply furnished Para stands.
C.D. Allahabad	1997-98	Diversion of funds on Shankergarh water scheme	20.00	No reply furnished Para stands.
		Excess expenditure	15.16	No reply furnished Para stands.
		Created liability	132.00	No reply furnished Para stands.
C&Ds Unit 15 Mahoba	1997-98	Irregular charging of centage from Government Department	19.04	No reply furnished Para stands.
C.D. Fatehpur	1997-98	Sanctioned drinking water scheme was not profitable	153.59	No reply furnished Para stands.
C.D.-2 Allahabad	1998-99	Non-recovery of dues from the staff	18.82	No reply furnished Para stands.
		Non-recovery of TI/PI from the J.E.s	0.94	No reply furnished Para stands.
C&Ds Unit 7 Meerut	1998-99	Loss of revenue	23.57	No reply furnished Para stands.
Executive Engineer, Agra	1998-99	Infractuas expenditure without sanction of competent authority	10.41	No reply furnished Para stands.
		Awarding of workwithout tenders and sanctions from competent authority and non-adjustment of imprest of Rs. 1 lakh.	159.90	No reply furnished Para stands.
Project Manager, CPDS, Unit-6 Lucknow	1997-98	Excess expenditure due to delay in completion of work.	216.00	No reply furnished Para stands.
Executive Engineer, Pratapgarh	1997-98	Infractuas expenditure on Pitura Gram "Group Drinking Water Scheme"	42.50	No reply furnished Para stands.
Executive Engineer, Kousambi, Allahabad.	1996-97	Excess expenditure in installation of Hand Pumps.	38.94	No reply furnished Para stands.
Project Manager, Unit-24, Ghazipur.	1996-97	Excess expenditure in Building Construction.	39.24	No reply furnished Para stands.
Executive Engineer, 1st Branch, Raebareilly	1998-99	Diversion of Fund	159.68	No reply furnished Para stands.
		Non-accounting of value of outstanding Material	110.67	No reply furnished Para stands.
		Charging of centage without authority	251.72	No reply furnished Para stands.
		Irregular expenditure	15.45	No reply furnished Para stands.
		Irregular expenditure on setting up of handpump without planning		No reply furnished Para stands.
Executive Engineer, Construction, Bareilly	1998-99	Excess expenditure due to wrong adjustment	92.89	No reply furnished Para stands.
EE 2nd Project Ghaziabad	1998-99	Per year excess expenditure on excess staff	40.60	No reply furnished Para stands.
EE Construction Allahabad	1998-99	Blockade of fund in the Shankargarh Drinking Water Scheme	97.70	No reply furnished Para stands.
EE 2nd Construction Division Pratapgarh	1998-99	Unfruitful expenditure on Sagipur Vikas khand	29.83	No reply furnished Para stands.
EE 4th Branch Lucknow	1997-98	Irregular expenditure	15.00	No reply furnished Para stands.
		Expenditure incurred on excess staff	44.79	No reply furnished Para stands.
Project Manager, Yamuna Pollution Control Unit Saharanpur	1998-99	Blockade of fund due to non-availability of site	1195.98	No reply furnished Para stands.
		Improper investment	46.68	No reply furnished Para stands.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
World Bank Unit Jhansi	1995-96	Irregular payment without performance	3.66	No reply furnished Para stands.
EE 6th Branch Bareilly	1997-98	Irregular unauthorised expenditure on setting up of hand pumps under minimum requirement Programme	69.07	No reply furnished Para stands.
EE IIIrd Branch Kanpur	1997-98	Irregular expenditure on construction works	47.65	No reply furnished Para stands.
Project Manager 2nd Yamuna Pollution Control Unit, Ghaziabad	1997-98	Blockade of fund and providing advance to Contractor without authority	10.93	No reply furnished Para stands.
Project Manager Unit 23 C&D Agra	1998-99	Diversion of fund	67.20	No reply furnished Para stands.
		Unfruitful expenditure on incomplete work	289.39	No reply furnished Para stands.
Project Manager Yamuna Pollution Control Unit Ghaziabad	1997-98	Excess expenditure on construction of railway crossing	129.38	No reply furnished Para stands.
EE Construction Bareilly	1996-97	Unfruitful expenditure	20.26	No reply furnished Para stands.
Project Manager Construction Kanpur	1997-98	Excess expenditure over approved expenditure	52.30	No reply furnished Para stands.
		Irregular expenditure on Management and Business Administration Building	66.00	No reply furnished Para stands.
Temporary Construction Division Harbanspur Azamgarh	1998-99	Estimate be thought obtaining clearance from respective scheme agencies and difference between estimate and value of construction	151.37	No reply furnished Para stands.
UPJN Gorakhpur	1999-2000	Non investment of G.P.F. Funds Rs. 280.55 resulting in to loss of interest	33.67	No reply furnished Para stands.
Machanical Division Basantpur Gorakhpur	1998-99	Avoidbale expenditure on salary and wages of staff related to transfer scheme	36.14	No reply furnished Para stands.
Maintenance Division Sanjay Palace Agra	1999-2000	Irregular expenditure on maintenance of handpumps	160.64	No reply furnished Para stands.
Contruction DivisionKaushambi Allahabad	1999-2000	Diversion of funds	121.05	No reply furnished Para stands.
		Irregular expenditure on maintenance of handpumps	79.18	No reply furnished Para stands.
UPJN Ambedkarnagar Jaunpur	1996-97 to 1998-99	Blockade of funds due to land dispute	74.26	No reply furnished Para stands.
4th branch Lucknow	1998-99	Fictitious adjustment of staff in branch	150.97	No reply furnished Para stands.
Construction Division Sanjay Palace Agra	1998-99	Irregular expenditure under Rajeev Gandhi Payjal Yojna	7.74	No reply furnished Para stands.
UNICEF Robertsganj Sonbhadra	1998-99	Avoidable expenditure on boring	154.00	No reply furnished Para stands.
C&DS Aligarh	1998-99	Possible losses due to non maintenance of accounts	26.37	No reply furnished Para stands.
		Irregular expenditure on training in respect of injudicious estimate	56.40	No reply furnished Para stands.
		Unfruitful expenditure by diversion of funds on beautification of Dharmidhar Sarover.	33.74	No reply furnished Para stands.
UPJN Mau	1996-97 to 1997-98	Increase in cost due to non completion of scheme within time	41.39	No reply furnished Para stands.
		Decrease in divisional surplus due to recharging of shortage resulting in higher cost of shceme	29.08	No reply furnished Para stands.
Gomti Pollution control Unit Lucknow	1998-99	Adjustment of excess employees than sanctioned post and diversion of funds	54.00	No reply furnished Para stands.
UPJN Gorakhpur	1997-98	Expenditure on uncomplited scheme	164.00	No reply furnished Para stands.
		Infructuous expenditure on flood obstruction	32.00	No reply furnished Para stands.
Construction Division Faizabad	1996-97 to 1997-98	Creation of fictitious income by booking of irregular expenditure	1.43	No reply furnished Para stands.
		Irregular charge of centage on Central Govt funded scheme	1.65	No reply furnished Para stands.
EE II Construction Division Agra	1998-99	Infructuous expenditure over and above the estimates in respect of tubewell	10.42	No reply furnished Para stands.
EE Construction Division Deoria	1998-99	Blockade of funds in incomplete construction	56.73	No reply furnished Para stands.
		Increase in cost due to delay in construction	77.18	No reply furnished Para stands.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
		Infructuous expenditure on incomplete construction due to Bhatanny Payjal Yojna	18.40	No reply furnished Para stands.
		Increase in cost due to noncompletion of work on time	54.84	No reply furnished Para stands.
		Infructuous expenditure due to incomplete construction of Payjal Yojna	19.54	No reply furnished Para stands.
		Non completion of scheme inspite of irregular expenditure be thought sanction of estimate	41.14	No reply furnished Para stands.
Yamuna Pollution Control Unit I Ghaziabad	1998-99	Irregular payment in drawing and diversion head	47.00	No reply furnished Para stands.
		Increase in cost on changes in site	77.30	No reply furnished Para stands.
Construction Division Kaushambi Allahabad	1998-99	Unauthorised irregular and inadmissible charging of expenditure	32.45	No reply furnished Para stands.
Construction division Pratapgarh	1998-99	Infructuous expenditure on funds	23.35	No reply furnished Para stands.
		charging of irregular sanction ignoring the provision of govt. order	35.49	No reply furnished Para stands.
IIIRD Design Unit Lucknow	1998-99	Loss due to getting the work of collection and chemical testing of drinking water samples on contractual basis.	26.20	No reply furnished Para stands.
Project Manager Ganga Pollution Control Unit Mirzapur	1997-98	Loss due to substandard construction	15.66	No reply furnished Para stands.
Project Manager Unit 24 Construction and Design Services Varanasi	1997-98	Wasteful expenditure due to substandard construction of boundry wall.	56.20	No reply furnished Para stands.
EE Sultanpur	1997-98	Fictitious adjustment of income	177.00	No reply furnished Para stands.
		Irregular charging of centage in respect of installation of handpumps	23.38	No reply furnished Para stands.
		Excess expenditure than estimated cost on installation of handpumps	45.99	No reply furnished Para stands.
EE IIIRD Division Kanpur	1996-97	Infructuous expenditure on Gomti Payjal Yojna	53.00	No reply furnished Para stands.
		Loss due to incomlete construction of tubewell on disputed land	8.54	No reply furnished Para stands.
EE Construction Division Maharajganj	1996-97	Avoidable expenditure on construction of over head tank	8.75	No reply furnished Para stands.
EE 6th UPJN Bareilly	1996-97	Wasteful expenditure on Dhaira Tander payjal yojna	32.54	No reply furnished Para stands.
EE Construction Division Ballia	1996-97	Comments on accounts	0.00	No reply furnished Para stands.
EE Machanical Division Meerut	1995-96 to 1996-97	Irregular charges on centage	10.02	No reply furnished Para stands.
EE, Unicef Project Unit, Robertsganj	2001/02 to 2003/04	Loss of Rs. 18.07 lacs due to non-observing the standard of the Govt. to install the handpump	18.07	No reply furnished Para stands.
GM, GPCU Mirzapur	2002-03	Unfruitful expenditure of Rs. 38.50 lakh and blockade of fund amounting to Rs.96.28 lakh due to incomplete and faulty estimates	134.78	No reply furnished Para stands.
--do--	2005-06	After incompletion of work, payment made to the contractor	41.51	No reply furnished Para stands.
EE, Scarcity Div. Mirzapur	2002-03 to 2003-04	Excess expenditure of Rs. 1459.00 lakh due to non-observing the prescribed standard of Govt. of India	1459.00	No reply furnished Para stands.
		Unfruitful expenditure to the work charged employees of Rs. 208.00 lakh to the closed scheme of Drinking water.	208.00	No reply furnished Para stands.
EE, CD Gyanpur Bhadohi	2003/04	Irregular expenditure on 'Twarit Payjal Yajana Suriyawan'	75.00	No reply furnished Para stands.
		Favour to the contractor on account of making selection bond and non-benefit to the Rural areas towards spent amount	34.50	No reply furnished Para stands.
		Suspected/irregular expenditure	48.08	No reply furnished Para stands.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
EE, CD Sultanpur	2000/01 to 2001/02	Incompletion of work even after incurring of Rs. 202.93 lakhs	202.93	No reply furnished Para stands.
		unadjustment of Debit head of Rs. 142.83 lakhs and Credit head of Rs. 68.94 lakhs	211.77	No reply furnished Para stands.
		Non-recovery of excess expenditure on work from the client	130.81	No reply furnished Para stands.
		Non-adjustment of material head Rs. 983.00 lacs and unadjusted amount of Store ledger of Rs. 639.53 lacs	1622.53	No reply furnished Para stands.
PMU-47	2001-02 to 2003-04	Unfruitful expenditure of Rs. 172.30 lakh	172.30	No reply furnished Para stands.
C& DS Sultanpur				
EE ACU Sultanpur	2003-04	Unfruitful expenditure o incomplete schemes Central Sponsored schemes	151.00	No reply furnished Para stands.
		Diversion of Fund resusted into non-achievement of Social aspect	92.48	No reply furnished Para stands.
EE CD-2 Varanasi	2001/02 to 2002/03	Shortage in stores	130.72	No reply furnished Para stands.
GM, GPCU Varanasi	2002/03 to 2003/04	Diversion of fund	33.19	No reply furnished Para stands.
		Excess expenditure on Repair & maintenance	195.00	
EE CD-6 Varanasi	2002-03 to 2003-04	Short realization of Revenue	75.65	No reply furnished Para stands.
EE CD Balrampur	2003-04	Blockade of funds	41.37	No reply furnished Para stands.
EE, unit No.10 Gorakhpur	2001-03	Expenditure of Rs. 97.00 lakh without sanction of Estimates	97.00	No reply furnished Para stands.
P.M. C & DS Unit-14 Gorakhpur	2000/01 to 2001/02	Unfruitful expenditure on construction of Primary Health Centre Noutanawa	63.84	No reply furnished Para stands.
EE CD Pratapgarh	2002/03	Unfruitful Expenditure	153.68	No reply furnished Para stands.
		Wasteful Expenditure	50.10	
EE Unit No.3 Ghaziapur	2000/01 to 2002/03	Unfruitful amount on account of expenditure incurred on Karahiya Drinking Water Scheme	38.57	No reply furnished Para stands.
		Unfruitful expenditure on incomplete scheme	27.75	No reply furnished Para stands.
		Unfruitful expenditure due to low standard work	27.70	No reply furnished Para stands.
		Excess expenditure on India Mark-2 Hand Pump	64.29	No reply furnished Para stands.
PM Unit 1 Deoria	2001/02 to 2002/03	Blockade of funds	22.20	No reply furnished Para stands.
PM Unit 21 C & DS Ambadkar nagar	2001/02 to 2003/04	Diversion of fund Rs. 35.00 lakh	35.00	No reply furnished Para stands.
PM GPCU Naini Allahabad	2003-04	Unfruitful expenditure and irregular unauthorized expenditure	96.64	No reply furnished Para stands.
		Irregular expenditure and unauthorized transfer as well as blockade of funds	89.58	
--do--	2001/02 to 2002/03	Avoidable expenditure on Maintenance of Treatment Plant	33.09	No reply furnished Para stands.
EE Unit-2 Allahabad	2003-04	Irregular and unauthorized expenditure charged	29.17	No reply furnished Para stands.
		Transfer of fund on another work	78.99	
PM C & DS (E/M) Allahabad	2003-04	Unfruitful amount due to cause of inter unit co-ordination	37.40	No reply furnished Para stands.
EE Unit-6 Allahabad	2002/03 to 2003/04	Excess expenditure on installation of handpumps	95.55	No reply furnished Para stands.
		Infructuous expenditure on installation of fake handpumps	12.69	
PM, C&DS Unit-43 Farrukabad	1997/98 to 2002/03	Infructuous expenditure, Diversion of fund and increase of Price due to non-completion of work timely	136.13	No reply furnished Para stands.
PM, C&DS Unit-51, Baharaich	2002-03	Infructuous expenditure on Construction of Primary Health Centre	126.60	No reply furnished Para stands.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
EE, CD Bahraich	1999-00 to 2002-03	Injudicious expenditure on installation of 607 No. Handpumps	104.97	No reply furnished Para stands.
PM Unit-51 C & DS Bahraich	2003/04	Unfruitful expenditure	33.18	No reply furnished Para stands.
EE CD-16 Banda	2003/04	Unfruitful expenditure on severage of chitrakoot	83.84	No reply furnished Para stands.
--do--	2000/01 to 2002/03	Irregular expenditure on maintenance of non-transferred schemes	199.86	No reply furnished Para stands.
EE CD Muzzaffarnagar	2002-03 to 2003/04	Infructuous expenditure on rebore of handpumps	133.79	No reply furnished Para stands.
EE CD Bijnore	2003-04	Infructuous expenditure on rebore of handpumps without getting allotment	74.70	No reply furnished Para stands.
		Expenditure without providing provision in estimate as well as excess expenditure	92.79	
PM YPCU Saharanpur	2000/01 to 2002/03	Non-achievement of targets	1161.00	No reply furnished Para stands.
		Avoidable expenditure	39.65	
		Excess expenditure		
Ee CD Badaun	2001/02 to 2002/03	Infructuous expenditure	56.90	No reply furnished Para stands.
		Irregular expenditure on Reboring	54.45	
		Diversion of fund	21.64	
EE CD Ghaziabad	2001/02 to 2003/04	Increasing of cost due to delay work by the contractor	2944.00	No reply furnished Para stands.
PM Unit-52 C & DS Noida	2001/02 to 2003/04	Loss to the govt. Revenue	29.35	No reply furnished Para stands.
		Undue advantage given to the contractor through providing irregular mobilization advance	1046.84	
PM C&DS Unit 28 Noida	2002/03 to 2003/04	Blockade of funds	94.83	No reply furnished Para stands.
EE 6 th Unit Jaipur House Agra	2002/03 to 2003/04	Unfruitful expenditure	305.00	No reply furnished Para stands.
EE CD Sanjai Place Agra	2003/04	Unfruitful aim of draught relief and unauthorized expenditure	250.75	No reply furnished Para stands.
PM YPCU Ghaziabad	2000/01 to 2002/03	Excess expenditure on incomplete works	9.73	No reply furnished Para stands.
Pm C & DS Unit-31 Ghaziabad	10/2000 to 2/2003	Increase the cost due to wrong calculation and loss due reduction in centage charges	98.45	No reply furnished Para stands.
			147.19	
EE YPCU Agra	2000/01 to 2002/03	Excess expenditure on repair and maintenance	181.10	No reply furnished Para stands.
		Less expenditure on Estimated cost	724.47	
		Diversion of fund in contingency	250.77	
		Blockade of funds more than 5 yrs.	90.00	
		Increase the cost of land due to delay in acquisition	137.47	
EE Unit 1 Ghaziabad	2000/01 to 2003/04	Infructuous expenditure on Modinagar water supply scheme	158.74	No reply furnished Para stands.
		Blockade of fund due to delayed execution of works	149.81	
EE Delhi water Supply maint. unit Ghaziabad	2000/01 to 2002/03	Excess expenditure spent on salary	86.56	No reply furnished Para stands.
EE CD Etah	2000/01 to 2002/03	Excess expenditure on salary	76.11	No reply furnished Para stands.
		Non -Transfer of Scheme, completed prior to 14 yrs and resulting into expenditure on maintenance	21.62	
GM YPCU Agra	2003/04	Undue advantage given to the contractor	18.00	No reply furnished Para stands.
EE CD Bharwari Kaushambi	2001/02	Expenditure incurred on work and amount lying unutilized	98.98	No reply furnished Para stands.
EE CD Hathras	2003/04	Excess expenditure on installation of hand pumps prescribed from Govt. Of India	787.00	No reply furnished Para stands.
EE CD Jhansi	2001/02	Non-realisation of Water tax	3057.87	No reply furnished Para stands.
Pm C & DS Jhansi	2002/03 to 2003/04	Increase the cost of works due to non-providing fund and other irregularities	90.00	No reply furnished Para stands.
		Diversion of fund for execution of works and non-realisation of fund from the client	33.39	
EE Unit2 Design Unit Ballia	2003/04	Unfruitful expenditure	68.73	No reply furnished Para stands.
		Excess expenditure	279.72	
PM C&DS Unit-26 Lucknow	2003/04	Irregular benefit given to Contractor for doing the work of water transportation in Gomti River and Unfruitful expenditure	315.08	No reply furnished Para stands.
		Avoidable loss on A/C of excess expenditure on Dr. Bhimrao Ambedkar State sports centre	190.00	

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
--do--	2001/02 to 2002/03	Provision in estimate in excess of Gomit Development Works and irregular expenditure	922.00	No reply furnished Para stands.
		Diversion of fund received for sports complex to other head and Avoidable expenditure	82.56	
		Diversion of fund of received fund for works	72.15	
Ee Unit 1 Meerut	2002/03 to 2003/04	Without authorization of Govt of India provision made for charging of centage	55.53	No reply furnished Para stands.
GM GPCU Unit Kanpur	2001/02 to 2002/03	Irregular expenditure on maintenance of scheme	1352.00	No reply furnished Para stands.
		Diversion of fund	214.45	
		Diversion of fund	41.97	
		Irregular charging of centage	117.68	
EE CD Gyanpur Bhadohi	2001/02 to 2002/03	Unadjusted liability	339.00	No reply furnished Para stands.
EE Unit 2 Mati Kanpur Dehat	2003-04	Irregular expenditure on Rebore	68.24	No reply furnished Para stands.
EE CD Allahabad	2001/02	Expenditure without allotment of fund	976.79	No reply furnished Para stands.
		Expenditure of Rs. 73.00 lakh fund of Rs 106.00 lakh	23.00	
		Purchase of material without tender call	24.40	
		Loss shown in monthly a/c	63.89	
		Incompletion of Water supply Schemes	58.11	
EE CD Unnao	2000/01	Increase in construction cost due to non-start of work timely	42.52	No reply furnished Para stands.
Pm C&DS Unit 29 Kanpur	2002/03	Infructuous expenditure on incomplete work	30.84	No reply furnished Para stands.
PM CD1 Unnao	2003/04	Infructuous expenditure	591.25	No reply furnished Para stands.
		Irregular charges of centage	798.15	
Pm Unit 1 Unnao	2001/02 to 2002/03	Incomplete of works even after spent the amount	500.00	No reply furnished Para stands.
		Unfruitful expenditure on Hasanganj Gram Samooh Yojana	27.03	
		Financial loss due to non-calling tenders	20.05	
EE CD Unnao	2001/02 to 2002/03	Infructuous expenditure	16.59	No reply furnished Para stands.
EE CD barabanki	2000/01	Non transferred the scheme after its completion and avoidable expenditure	61.96	No reply furnished Para stands.
Pm C & DS Unit 36 Jhansi	2000/01 to 2001/02	Works executed without Technical Sanction	581.97	No reply furnished Para stands.
EE CD Bijnore	2000/01	Avoidable expenditure on installation Hand Pumps	76.81	No reply furnished Para stands.
EE Unit II Allahabd	2001/02	Infructuous expenditure on installation of Tubewell	60.76	No reply furnished Para stands.
		Unfruitful expenditure due to non-electrification of tube wells	28.42	
EE Unit 6 Cd Allahabad	2001/02	Irregular expenditure on installation of Hand pump	28.39	No reply furnished Para stands.
		Non carried out of physical verification of Store		
		Non utilisation of hand pump due to non-construction of platform	17.15	
EE CD Ambedkar Nagar	2000/01 to 2001/02	Diversion of deposit fund for deposit work	29.70	No reply furnished Para stands.
		Irregular charges of centage	20.80	
		Non transferring of schemes	18.97	
		Non-transferring of scheme due to incomplete project	23.66	
PM CD I Unnao	1999/2000	Irregular expenditure	22.77	No reply furnished Para stands.
		Difference in store ledger	248.00	
PM World Bank Jhansi	1998/99	Non realization	53.65	No reply furnished Para stands.
		Physical Verification		
EE CD Mainpuri	2000/01	Diversion of fund	63.70	No reply furnished Para stands.
Pm C & DS Unnao	1999/2000	Non-completion of project even after incurring expenditure over the sanctioned cost	578.89	No reply furnished Para stands.
		Non running of scheme after spent of fund	11.09	
EE CD Unit 1 Deoria	2000/01 to 2001/02	Irregular expenditure on maintenance of Hand pumps	524.85	No reply furnished Para stands.
		Diversion of fund and unfruitful expenditure	45.32	
PM C&DS Lucknow	2000/01 to 2001/02	Non realization of fund of deposit work	15.04	No reply furnished Para stands.
		Avoidable expenditure	12.27	
PM Unit 4 C&DS Lucknow	1999/2000	Incomplete work	116.21	No reply furnished Para stands.

Name of Division	Period of AIR	Nature of para	Amount (Rs in lakh)	Remarks
PM Unit 38 C&DS Sonebhandra	1997/98 to 1999/2000	Lloss to the Jal Nigam due to closing of work	33.95	No reply furnished Para stands.
EE CD Muzaffarnagar	1997/98 to 1999/2000	Excess expenditure due to non completion of work timely	12.80	No reply furnished Para stands.
EE CD Bulandsahar	1998/99 to 1999/2000	Expenditure incurred without financial sanction	221.30	No reply furnished Para stands.
EE Badaun	1999/2000	Irregular expenditure on hand pump installation under into Dutch	35.25	No reply furnished Para stands.
		Irregular expenditure	113.38	
Pm 2 nd YPCU Ghaziabad	1998/99 to 1999/2000	Excess expenditure on pay and allowances	137.42	No reply furnished Para stands.
		Excess charge of Project fees	9.30	
EE CD Pilibhit	1999/2000	Charged the centage fee without provision of central govt.	40.85	No reply furnished Para stands.
EE CD Sidharth nagar	1999/2000	Liabilities created	131.38	No reply furnished Para stands.
		Non-return of materials	130.39	
Pm C&DS Unit 7 Meerut	1999/2000	Avoidable expenditure due to charge in site	57.42	No reply furnished Para stands.
		Unauthorised expenditure and non realization of amount from the client	26.78	
Pm Gomti PCU Sultanpur	1999/2000	Infructuous expenditure	297.76	No reply furnished Para stands.
		Infructuous expenditure	172.25	
		Diversion of fund on contingency	179.34	
Pm C&DS Unit 25 Aligarh	1999/2000	Diversion of fund	73.65	No reply furnished Para stands.
		Irregular expenditure	15.64	
Pm C&DS Unit 1 Deoria	2000/01	Blockade of Govt. Fund	1041.00	No reply furnished Para stands.
		Non-utilisation of targets benefit	101.14	
PM YPCU Agra	1999/2000	Non maintenance of plant non achieveing the aim	76.33	No reply furnished Para stands.
EE Unit 2 CD Allahabad	1999/2000	Blockade of fund due to incompletion of work	45.16	No reply furnished Para stands.
EE Unit 16 Banda	1999/2000	Infructuous expenditure on Banda Severage Scheme	250.84	No reply furnished Para stands.
		Unfruitful expenditure on water supply of chitrakoot water supply scheme	24.09	
EE Mec. Unit II Allahabad	2000/01	Outstanding dues of suppliers	48.92	No reply furnished Para stands.
PM C&DS Allahabad	2000/01	Infructuous expenditure	28.83	No reply furnished Para stands.
		Blockade of fund in Fixed Deposit	76.96	
XI Construction Division Moradabad	1997-98	Irregular expexditure of 16 lakh and Unfruitful expenditure of Rs. 4.75 lakh on Sisri Drinking Water Scheme.	20.75	No reply furnished Para stands.
Project Manager Gomti Pollution Control Unit -I Lucknolw	1997-98	Expenditure on salaries and allowances after diverting funds from work scheme	37.40	No reply furnished Para stands.
Project Manager Gomti Pollution Control Unit -II Lucknolw	1997-98	Diversion of funds from work scheme to payment olf salaries and allowances	21.97	No reply furnished Para stands.
UNICEF Project (Electrical) UPJN Robertsganj	1997-98	Irregular levy of Centage charge	53.06	No reply furnished Para stands.
EE Unit 8 Etawah	1997-98	Unfruitful expenditure on Saifai Block Drinking Water Scheme	83.34	No reply furnished Para stands.
Project Manager Yamuna Pollution Control Unit Muzzarnagar	1996-97	Loss due to non-completion of Sulabh Sauchalaya	16.30	No reply furnished Para stands.
EE Construction Division Agra	1997-98	Misappropriation of funds	5.13	No reply furnished Para stands.
EE Construction Division Bijnaur	1995-97	Short-realisation of water tax	9.23	No reply furnished Para stands.
Construction Division Badaun	1995-97	Loss due to non deduction	0.65	No reply furnished Para stands.
Project Manager Unit 33 C&DS Allahabad	1996-97	Non adjustment of Advances	18.62	No reply furnished Para stands.
EE Construction Division Agra	1997-98	Outstanding dues	28.34	No reply furnished Para stands.

Annexure-23
Statement showing paragraphs/reviews for which replies were not received
(Referred to in paragraph 4.25)

Sl. No.	Name of Department	2003-04		2004-05		2005-06		2006-07		2007-08	
		No. of para in Audit Report	No. of para for which reply not received	No. of para in Audit Report	No. of para for which reply not received	No. of para in Audit Report	No. of para for which reply not received	No. of para in Audit Report	No. of para for which reply not received	No. of para in Audit Report	No. of para for which reply not received
1.	Energy (Power)	14	11	14	4	18	18	14	14	17	16
2.	Transport	--	--	4	4	--	--	5	4	2	2
3.	Co-operative	--	--			1	1	--	--	1	--
4.	Samaj Kalyan	3	3	1*	1*	2	0	--	--	--	--
5.	Pichhara Varg Kalyan	--	--	--	--	--	--	--	--	--	--
6.	Waqf Avam Alpsankhyak	1	1	1*	1*	--	--	--	--	--	--
7.	Mahila Kalyan	--	--	1*	1*	--	--	--	--	--	--
8.	Agriculture	--	--	--	--	2	2	2	1	1	1
9.	Vastra Udyog	--	--	--	--	2	2	--	--	--	--
10.	Industrial Development	4	3	5	1	4	2	4	3	6	1
11.	Public Works	1	1	1	1	1	1	3	3	2	2
12.	Tourism	--	--	--	--	--	--	--	--	--	--
13.	Small Industries	--	--	--	--	--	--	2	2	--	--
14.	Sugar Industry and Cane Development	1	0	--	--	3	--	--	--	2	--
15.	Urban Development	--	--	2	0	--	--	4	4	2	1
16.	Home	--	--	--	--	--	--	--	--	--	--
17.	Forest	1	1	--	--	--	--	--	--	--	--
18.	Housing	1	0	--	--	1	1	--	--	--	--
19.	Irrigation	2	0	--	--	1	1	--	--	--	--
20.	Matsya Avam Pashudhan	--	--	--	--	--	--	--	--	--	--
21.	Electronics	--	--	1	0	--	--	--	--	--	--
22.	Public Enterprises	2	1	3	3	--	--	--	--	--	--
23.	Food and civil supplies	--	--	--	--	1	1	1	--	--	--
24.	Handloom	--	--	--	--	1	1	--	--	--	--
25.	Drugs	--	--	--	--	1	1	--	--	--	--
	Total	30	21	31	14	38	31	35	31	33	23

Note: *The number of paragraphs and the paragraphs for which replies have not been received for the previous years (2002-03 to 2004-05) have been regrouped due to change in the administrative department of the Companies /Statutory Corporations.*

* A review on Upliftment of Scheduled Castes, Minorities and Women by Social Welfare Sector Companies covered the observations on three Companies under the administrative control of three different departments (Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited; Samaj Kalyan Department, Uttar Pradesh Alpsankhyak Vitta Avam Vikas Nigam Limited; Waqf Avam Alpsankhyak Department and Uttar Pradesh Mahila Kalyan Nigam Limited: Mahila Kayan Department). Hence it is counted as one para.

Annexure-24

Statement showing persistent irregularities pertaining to Government Companies appeared in the Reports of the Comptroller & Auditor General of India (Commercial) - Government of Uttar Pradesh (Referred to in paragraph 4.27)

Year of Audit Report	Paragraph No.	Money Value (Rs. in crore)	Gist of Persistent Irregularities	Actionable points/action to be taken	Details of actions taken
1.The Pradeshiya Industrial and Investment Corporation of Uttar Pradesh Limited					
1998-99	4A.4	5.60	Loss due to inadequate pre-sanction appraisal and poor follow up of dues.	Responsibility was required to be fixed on officials besides strengthening of procedures/ system for appraisal of loan proposal and follow up of recovery of dues were required	The Company could recover Rs. 48.32 lakh. Responsibility was not fixed on any official.
1999-2000	2A.7.1	75.25	-----do-----	-----do-----	The company could recover 9.57 crore. Responsibility was not fixed on any official.
	2A.7.2	28.09	-----do-----	-----do-----	The company could recover 1.98 crore. Responsibility was not fixed on any official.
	2A.7.3	8.70	-----do-----	-----do-----	The company could recover 2.04 crore. Responsibility was not fixed on any official.
2006-07	4.9	0.26	-----do-----	-----do-----	The Management stated (August 2007) that BODs took the decision for writing off the dues but no action was taken against erring officers.
	Total	117.90			
2. Power Sector Companies					
1997-98	3C.10.2(a)	2.37	Non-discontinuance of cheque facility after dishonour of cheques and non-disconnection of supply of electricity leading to accumulation of arrears.	Responsibility was required to be fixed on officials for not taking appropriate action.	Total dues against the consumer could not be recovered due to stay order of the court. The UPSEB/Company did not fix responsibility on any official for accumulation of dues.
1998-99	3A.6.2.3	8.99	-----do-----	-----do-----	Management's reply and further action were awaited.
	3A.6.2.6	16.66	-----do-----	-----do-----	-----do-----
1999-2000	4A.14	11.45	-----do-----	-----do-----	-----do-----
	4A.17	0.99	-----do-----	-----do-----	Management intimated the action taken for recovery of dues. Further action for recovery of balance amount of Rs. 0.99 crore was awaited. UPSEB did not fix responsibility on any official.
2001-02	3A.10	0.55	-----do-----	-----do-----	Management's reply and further action were awaited.
	3A.12	0.18	-----do-----	-----do-----	-----do-----
2002-03	2.2.25	0.79	-----do-----	-----do-----	-----do-----
2003-04	2.3.16	16.10	-----do-----	-----do-----	Management stated that action would be taken.
	3.11	0.51	-----do-----	-----do-----	Management stated that RC is pending in court.

Year of Audit Report	Paragraph No.	Money Value (Rs. in crore)	Gist of Persistent Irregularities	Actionable points/action to be taken	Details of actions taken
2005-06	4.17	0.46	-----do-----	-----do-----	Management reply and further action is awaited.
1997-98	3C.12.1	61.39	Excessive damage of transformers (damage of transformers in excess of norm of 2 per cent) resulting in extra financial burden on repair	Examination for ascertaining reasons of excessive damage and adherence of schedule of preventive maintenance were required.	As a remedial measures, Management issued instructions from time to time to zonal offices to reduce excessive damage of transformers and intimated that UPSEB was increasing the capacity of existing transformers and establishing new sub station. The details of impact of remedial measures leading to reduction in damage of transformers was awaited.
1999-2000	3B.6.2	325.28	-----do-----	-----do-----	-----do-----
1999-2000	4A.19	1.94	Delay in raising assessment for energy of consumption resulted in delays in realisation from consumers.	Responsibility was required to be fixed on the officials who were making defaults in raising bills besides strengthening of the system of raising bills.	Management's reply and further action were awaited.
2000-01	4A.8	2.90	-----do-----	-----do-----	-----do-----
2002-03	2.2.21	0.43	-----do-----	-----do-----	-----do-----
1998-99	3A.5.17	3.17	Short billing and irregular waiver of minimum consumption guarantee/ late payment surcharge .	Responsibility was required to be fixed in the cases of gross negligence on the part of official and where company sustained loss.	-----do-----
1999-2000	4A.13(a)	0.23	-----do-----	-----do-----	Government had directed to adjust the amount of outstanding dues from the loan of State Government to UPPCL. Intimation regarding adjustment of dues of UPPCL with the Government loan was awaited.
	4A.26	0.10	-----do-----	-----do-----	Management's reply and further action were awaited.
2001-02	3A.19	0.49	-----do-----	-----do-----	No responsibility was fixed by the Management so far.
2002-03	2.2.21	0.52	-----do-----	-----do-----	Management's reply and further action were awaited.
2005-06	2.2.15	1.32	-----do-----	-----do-----	Management stated that due to large number of consumers, billing in stipulated time is not possible.
2004-05	3.3	171.15	-----do-----	-----do-----	No responsibility was fixed by the Management so far.
2003-04	3.9	8.22	Irregular waiver of penalty for peak hour violation	Responsibility was required to be fixed in the cases of gross negligence on the part of official and where company sustained loss.	Management's reply and further action were awaited.
	3.13	0.44	-----do-----	-----do-----	-----do-----
	3.18	0.18	-----do-----	-----do-----	No responsibility was fixed by the Management so far.

Year of Audit Report	Paragraph No.	Money Value (Rs. in crore)	Gist of Persistent Irregularities	Actionable points/action to be taken	Details of actions taken
2004-05	3.10	0.36	-----do-----	-----do-----	Management's reply and further action were awaited.
2003-04	3.14	0.79	Non-levy of penalty for peak hour violation/ non-application of rate for unrestricted supply	Responsibility was required to be fixed on officials for not taking appropriate action.	-----do-----
	3.15	0.47	-----do-----	-----do-----	-----do-----
	3.16	1.24	-----do-----	-----do-----	-----do-----
2004-05	3.13	0.19	-----do-----	-----do-----	-----do-----
1998-99	3A.6.2.1	68.95	Payment of monthly bills in instalments and waiver of late payment surcharge	Responsibility was required to be fixed on official violating the procedures of revenue collection.	Management replied that the instalment payment were allowed to consumers due to bad financial position of the consumers as a result of recession in the industry, after obtaining permission of competent authority/committee. UPPCL was taking action for recovery of balance amount of dues from consumer. Outcome of the action was awaited
2000-01	4A.22	2.80	-----do-----	-----do-----	Management replied that the consumer was an important company of erstwhile KESA, decision taken by KESA had been adopted by the Corporation and recovery was made as per the decision of KESA.
2003-04	3.12	0.27	Short billing due to incorrect application of tariff.	Responsibility was required to be fixed on officials for not ensuring billing on the applicable tariff.	Management's reply and further action were awaited.
2004-05	3.7	1.12	-----do-----	-----do-----	Management's reply and further action were awaited.
2005-06	4.25	0.10	-----do-----	-----do-----	Management's reply and further action is awaited.
2006-07	4.15	1.53	-----do-----	-----do-----	Bills were raised by the division but recovery was awaited.
	Total	714.63			
3. U.P. Projects Corporation Limited					
2000-01	4A.1	1.41	Expenditure incurred over the estimate/revised estimates not approved by client/not contemplated in the agreement and not admitted by the client resulting in loss.	Regularisation of such excess expenditure was required.	In the Government reply it was opined that excess expenditure was due to technical requirement/necessity. Technical sanction was awaited.
2001-02	2D.2.4.4	0.22	-----do-----	-----do-----	Revised estimates was approved by the client.
	Total	1.63			
4. U.P. State Sugar Corporation Ltd.					
1999-2000	4A.8	0.51	Improper storage leading to damage of sugar and consequential loss	Remedial action was required to be taken to avoid recurrence of loss due to improper storage.	Management stated that sugar became wet due to unavoidable circumstances and no official was responsible for it.

Year of Audit Report	Paragraph No.	Money Value (Rs. in crore)	Gist of Persistent Irregularities	Actionable points/action to be taken	Details of actions taken
2000-01	4A.5	0.83	-----do-----	-----do-----	Government/Management explained that Sugar Directorate did not issue release order according to stock and sugar became wet due to excessive carry over of stock for longer period.
2002-03	3.1.6	1.19	-----do-----	-----do-----	Management's reply was awaited
	Total	2.53			

Annexure-25
Statement showing persistent irregularities pertaining to Statutory Corporations appeared in
the Reports of the Comptroller & Auditor General of India (Commercial)- Government of
Uttar Pradesh
(Referred to in paragraph 4.27)

Year of Audit Report	Paragraph No.	Money Value (Rs. in crore)	Gist of Persistent Irregularities	Actionable points/action to be taken	Details of actions taken
1. Uttar Pradesh Financial Corporation					
1997-98	3A.7.2.1	2.39	Faulty appraisal of proposal for sanction of loan where units were not viable from beginning leading to loss or non-recovery of the amount of loan.	Responsibility was required to be fixed on officials who appraised the proposal for sanction of loan besides strengthening of appraisal system and procedure.	Corporation could recover Rs. 36.32 lakh only from the Directors of the assisted unit and issued Personal Recovery Certificate (PRC) for recovery of balance amount. Responsibility was not fixed on any official.
	3A.7.2.3	1.66	-----do-----	-----do-----	Corporation could recover Rs. 28.53 lakh only from the Promoters. For recovery of balance amount PRC was issued. Responsibility was not fixed on any official.
1999-2000	4B.2	1.30	-----do-----	-----do-----	Corporation recovered Rs.11.54 lakh by sale of assets. Corporation issued Recovery Certificate (RC)/ Personal recovery certificate (PRC) for recovery of dues against Directors and guarantors. Responsibility was not fixed on any official.
	4B.7	1.39	-----do-----	-----do-----	Corporation could recover Rs.25.15 lakh only through sale of assets of assisted unit. PRC have been issued. Responsibility was not fixed on any official
2002-03	3.2.2	11.68	-----do-----	-----do-----	No recovery could be made. RC has been issued.
	3.2.3	7.09	-----do-----	-----do-----	Corporation recovered Rs. 44.13 lakh. PRC has been issued.
	3.2.4	4.85	-----do-----	-----do-----	Corporation approved OTS of Rs.1.95 crore against which Rs.1.45 crore had been deposited so far.
2004-05	3.16	5.65	-----do-----	-----do-----	Management's reply and further action were awaited.
1997-98	3A.8.2.1	2.82	Non-observance of pre-disbursement conditions leading to loss due to recovery of loans becoming impossible.	Responsibility was required to be fixed on officials who failed to ensure pre-disbursement conditions besides the strengthening of system and procedure for disbursement of loan.	Corporation could recover Rs. 75 lakh only under One Time Settlement (OTS) decision.
	3A.8.2.2	1.75	-----do-----	-----do-----	Corporation could recover Rs.74.60 lakh (including Rs.32.75 lakh against OTS of Rs.51.10 lakh). Responsibility was not fixed on any official so far.

Year of Audit Report	Paragraph No.	Money Value (Rs. in crore)	Gist of Persistent Irregularities	Actionable points/action to be taken	Details of actions taken
	3A.8.2.3	1.36	-----do-----	-----do-----	Corporation recovered Rs.12 lakh through sale of assets. Corporation issued PRC and recovered Rs.70.50 lakh from one promoter against PRC. Responsibility was not fixed on any official.
	3A.8.2.4	2.14	-----do-----	-----do-----	Corporation could not recover the dues. Responsibility was not fixed on any official so far.
2003-04	3.21	2.21	-----do-----	-----do-----	Corporation could not recover the dues and further action was awaited.
2004-05	3.15	13.59	-----do-----	-----do-----	Management's reply and further action were awaited.
1999-2000	4B.6	0.56	Loss due to disbursement of loan on irregular legal documentation/forged documents.	Strengthening of procedure for fool proof verification/ independent checking of documents were required.	Corporation approved OTS of Rs.62.74 lakh against which borrower deposited Rs.31.30 lakh so far.
2000-01	4B.3	4.44	-----do-----	-----do-----	Corporation could recover only nominal amount from the promoters. PRC has been issued.
	4B.5	0.97	-----do-----	-----do-----	Corporation could recover Rs.28.80 lakh only. PRC was issued against promoters and guarantors.
	4B.6	0.62	-----do-----	-----do-----	Corporation could not recover any amount from the promoter. Further action was awaited.
2002-03	3.2.6	4.50	-----do-----	-----do-----	Corporation recovered Rs.1.46 crore. RC has been issued. Management did not indicate any remedial action to avoid recurrence of such incidence.
2003-04	3.22	2.06	Loss due to delay in taking over possession of the unit.	Responsibility was required to be fixed on officials for delay in taking over the possession of the unit.	Management's reply and further action were awaited.
2004-05	3.18	10.79	-----do-----	-----do-----	-----do-----
2005-06	4.30	11.64	-----do-----	-----do-----	Possession was not taken to avoid huge security expenses.
	Total	95.46			
2. Uttar Pradesh State Road Transport Corporation					
1997-98	4B.2	0.32	Avoidable payment of damages on belated deposit of EPF.	Timely payment of EPF was required to ensure avoiding incidence of damages on delayed deposits	Management's reply was awaited
1998-99	4B.1	0.19	-----do-----	-----do-----	Management intimated that the amount of damages was adjusted in the wake of stay order of the court.
2000-01	4B.2	0.27	-----do-----	-----do-----	Management informed that a work plan had been prepared for deposit of tax. Further action was awaited
2006-07	4.26	1.28	Avoidable payment of interest and damages on belated deposit of PPF	-----do-----	Management stated (June 2007) that the timely payment of PPF was not made due to financial crises and huge losses incurred by the Corporation.
2007-08	3.28	0.33	Avoidable payment on belated deposit of EPF	-----do-----	Management stated (April 2008) that the timely payment of PPF was not made due to financial crises and huge losses incurred by the Corporation.
	Total	2.39			

Annexure-26
Statement showing the department-wise outstanding Inspection Reports (IRs)
(Referred to in paragraph 4.28)

Sl. No.	Name of Department	No. of PSUs	No. of outstanding IRs	No. of outstanding Paragraphs	Year from which paragraphs outstanding
1.	Agriculture	4	21	75	1988-89
2.	Matsya and Pashudhan	3	9	24	1996-97
3.	Sugar Industry and Cane Development	8	85	231	1992-93
4.	Irrigation	1	7	24	1998-99
5.	Small Industries	2	18	130	1984-85
6.	Industrial Development	3	47	207	1995-96
7.	Export Promotion	2	16	80	1992-93
8.	Vastra Udyog	2	22	50	1992-93
9.	Electronics	7	29	111	1980-81
10.	Public Works	2	439	1404	1979-80
11.	Samaj Kalyan	2	8	13	2000-01
12.	Mahila Kalyan	1	2	2	2006-07
13.	Pichhara Varg Kalyan	2	12	35	1996-97
14.	Home	1	4	18	2004-05
15.	Food and Civil Supplies	2	59	200	1998-99
16.	Tourism	1	2	7	2007-08
17.	Waqf Avam Alpsankhyak	2	11	63	1994-95
18.	Transport	1	96	419	1988-89
19.	Co-operative	1	9	34	1991-92
20.	Forest	1	80	344	1996-97
21.	Panchayati Raj	1	4	6	1983-84
22.	Energy	10	2333	8705	1989-90
23.	Drugs	1	4	21	2002-03
24.	Ayurvedic and Unani	1	8	19	1984-85
25.	Housing and Urban Development	2	1607	4974	1985-86
26.	Hathkargha	2	15	67	1986-87
27.	Mineral and Mining	1	24	154	1995-96
28.	Miscellaneous	2	2	12	1980-81
	Total	68	4973	17429	

Source: Progress register of AIRs.

Annexure-27

Statement showing the department-wise draft paragraphs/reviews replies to which were awaited

(Referred to in paragraph 4.28)

Sl. No.	Name of Department	No of draft paragraphs	No of reviews	Period of issue
1.	Industrial Development	5	--	June and July 2009
2.	Housing and urban development	3	--	March to July 2009
3.	Energy	11	2	March to August 2009
4.	Transport	--	1	September 2009
5.	Samaj Kalyan	2	--	March to June 2009
6.	Finance	2	--	August 2009
	Total	23	3	

