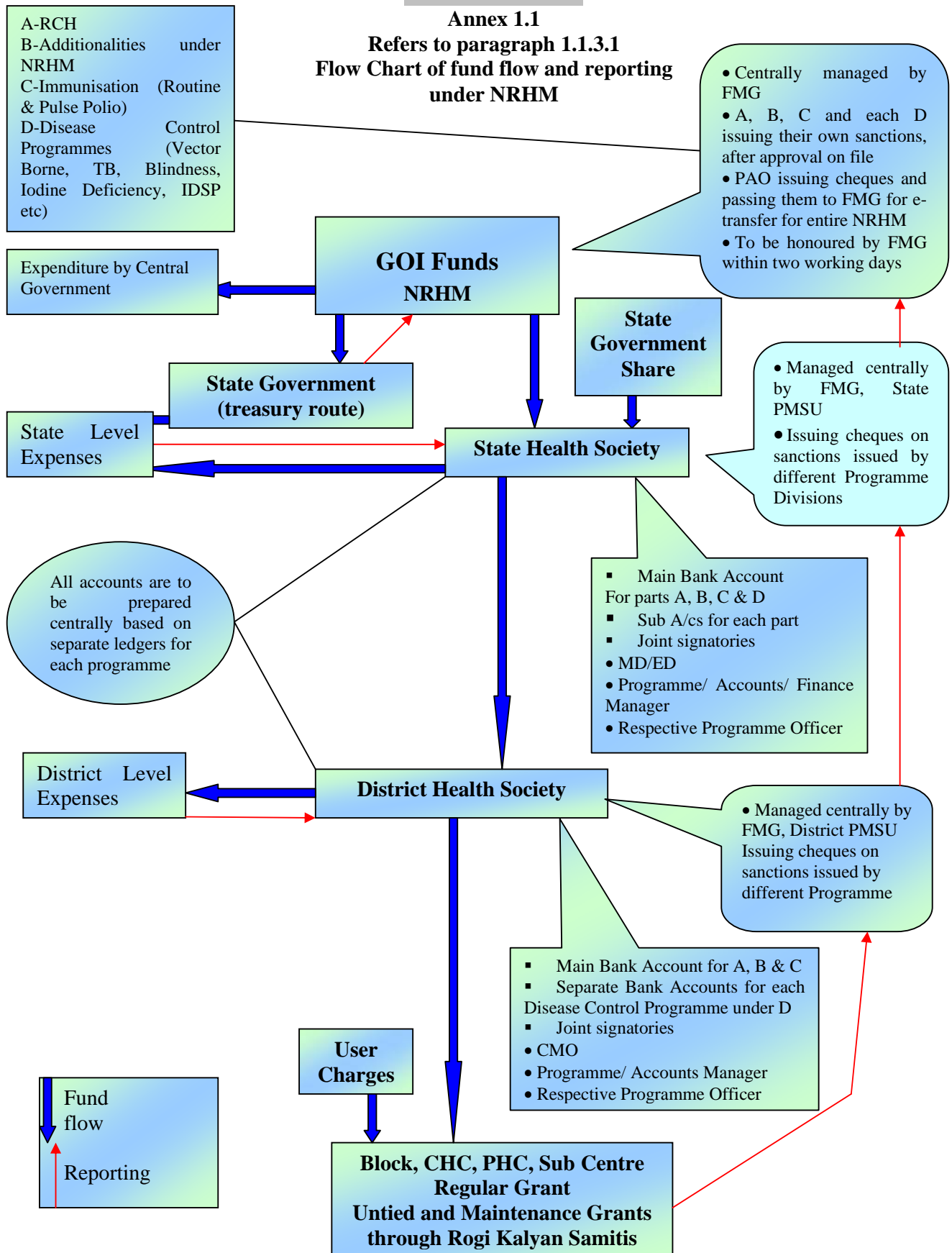


Introduction

Annex 1.1
Refers to paragraph 1.1.3.1
Flow Chart of fund flow and reporting under NRHM



Introduction

Annex 1.2

(Refers to paragraph 1.1.3.2)

Programme wise details of Budget Estimates and Actual expenditure under different components of the NRHM

(Rs. in crore)

S N	Name of the scheme	2005-06		2006-07		2007-08	
		BE	AE	BE	AE	BE	AE ¹
Centrally sponsored programmes: Funded through State Budget (Treasury Route)							
1.	Direction & Administration	275.80	226.60	245.00	147.47	255.28	215.80
2.	Rural Family Welfare Services	1964.40	1231.06	1556.68	977.12	1939.00	2029.13
3.	Urban Family Welfare Services	135.33	122.73	125.00	73.59	122.84	130.99
4.	Grants to State Training Institutions and Strengthening of Basic Training Schools	106.87	83.51	91.15	52.07	92.07	92.18
5.	Free distribution of contraceptives and Free condoms for NACO	172.52	163.61	300.00	358.73	335.00	311.94
6.	Procurement of supplies and materials	250.00	0.00	250.00	0.00	200.00	0.00
7.	Sterilisation beds	2.02	1.88	2.02	1.16	0	0
Sub total (1 to 7)		2,906.94	1,829.39	2,569.85	1,610.14	2,944.19	2,780.04
Centrally sponsored programmes: Funded through State Health Society (Society Route)²							
8.	Area Projects	536.26	360.12	215.27	120.96	50.01	46.23
9.	Routine Immunisation	507.00	162.58	345.00	228.83	317.00	236.49
10.	Pulse Polio Immunisation	877.00	918.07	1049.00	1064.61	1341.48	1084.00
11.	Information, Education, Communication	129.10	122.87	130.10	134.60	160.00	155.83
12.	RCH Flexible Pool	955.74	2011.76	1705.72	1427.03	1725.00	1842.88
13.	Mission Flexible Pool	0	0	1943.18	2069.36	3155.32	3151.65
14.	National Vector Borne Disease Control Programme	348.45	259.92	371.58	318.13	399.50	383.65
15.	National TB Control Programme	186.00	188.12	202.17	220.62	267.00	261.96
16.	National Leprosy Eradication Programme	41.75	23.12	42.25	34.15	40.00	25.00
17.	National Programme for Control of Blindness	89.00	92.97	90.00	110.34	140.00	163.50
18.	Iodine Deficiency Disorder Disease Control Programme	12.00	9.01	15.00	11.79	25.00	19.46
19.	National Drugs De-addiction Control Programme	Nil	Nil	Nil	Nil	10.50	9.63
Sub total (8 to 19)		3,682.30	4,148.54	6,109.27	5,740.42	7,630.81	7,380.28
Central Sector Schemes							
20.	Integrated Disease Surveillance Project	88.00	39.26	102.00	12.93	80.00	41.07
21.	Social marketing of contraceptives	241.04	107.65	49.50	20.31	50.00	26.71
22.	NGOs (Public Private Partnership)	102.70	49.45	32.91	3.68	20.50	18.01
23.	Other schemes including grant to research and training institutes	168.22	110.29	136.47	99.14	164.50	134.14
Sub total (20 to 23)		599.96	306.65	320.88	136.06	315.00	219.93
GRAND TOTAL		7,189.20	6,284.58	9,000.00	7,486.62	10,890.00	10,380.25

(Source: Information provided by the Ministry)

¹ BE – Budget Estimates; AE – Actual Expenditure as reported by the Ministry² Under the Routine Immunisation, Pulse Polio Immunisation and various disease control programmes, while operating cost is released to the State Health Societies, the medicines and vaccines are sent in-kind grants to the State Health Department, i.e. through the State Budget.

Introduction

Annex 1.3 (Refers to paragraph 1.4.3) List of sample districts

Name of the State	Name of the district	No. of sample units		
		CHCs	PHCs	Sub Centres
Andhra Pradesh	1. Adilabad, 2. Khammam, 3. Krishna, 4. Kurnool, 5. Nellore, 6. Vizianagaram	18	36	72
Arunachal Pradesh	7. Changlang, 8. East Kameng, 9. East Siang, 10. Lohit, 11. Upper Subansiri	8	20	40
Assam	12. Nagaon, 13. Nalbari, 14. Sibsagar, 15. Lakhimpur, 16. Karbi Anglong	14	29	58
Bihar	17. Kishanganj, 18. Bhojpur, 19. Sheikhpura, 20. Samastipur, 21. East Champaran, 22. Nalanda	12	36	72
Chhattisgarh	23. Raipur, 24. Kanker, 25. Raigarh	9	18	36
Gujarat	26. Jamnagar, 27. Gandhinagar, 28. Kachcha, 29. Valsad	12	24	48
Haryana	30. Faridabad, 31. Ambala, 32. Bhiwani, 33. Fatehabad	12	24	48
Himachal Pradesh	34. Kinnaur, 35. Hamirpur, 36. Bilaspur	9	18	36
Jammu & Kashmir	37. Leh, 38. Anantnag, 39. Rajouri, 40. Doda	12	22	45
Jharkhand	41. Sahebganj, 42. Hazaribagh, 43. Ranchi	0	24	36
Karnataka	44. Bidar, 45. Dharwar, 46. Dakshina Kannada, 47. Chickmagalur, 48. Tumkur, 49. Chamaraja Nagar	18	36	72
Kerala	50. Thiruvananthapuram, 51. Alappuzha, 52. Kozhikode	9	18	36
Madhya Pradesh	53. Shahdol, 54. Vidisha, 55. Ujjain, 56. Seoni, 57. Betul, 58. Morena	18	35	70
Maharashtra	59. Thane, 60. Nasik, 61. Pune, 62. Osmanabad, 63. Yeotmal, 64. Gondia	18	36	72
Manipur	65. Senapati, 66. Churachandpur, 67. Bishenpur	5	14	27
Meghalaya	68. West Garo Hills, 69. South Garo Hills, 70. West Khasi Hills, 71. East Khasi Hills, 72. Jaintia Hills	13	22	30
Mizoram	73. Kolasib, 74. Lunglei, 75. Lawngtali	3	6	18
Orissa	76. Sundargarh, 77. Koraput, 78. Bolangir, 79. Jajpur, 80. Cuttack	15	30	60
Punjab	81. Amritsar, 82. Bathinda, 83. Hoshiarpur, 84. Ludhiana	12	24	48
Rajasthan	85. Jaipur, 86. Bundi, 87. Udaipur, 88. Ajmer, 89. Ganganagar, 90. Pali	18	36	72
Sikkim	91. East District, 92. South District, 93. West District	3	6	12
Tamil Nadu	94. Erode, 95. Vellore, 96. Kanyakumari, 97. Villupuram, 98. Pudukottai	15	30	60
Tripura	99. Dhalai, 100. South Tripura, 101. West Tripura	3	18	36
Uttarakhand	102. Dehradun, 103. Pauri Garhwal, 104. Almora	9	13	30
Uttar Pradesh	105. Saharanpur, 106. Mirzapur, 107. Banda, 108. Etawah, 109. Bahraich, 110. Barabanki	18	36	72
West Bengal	111. Jalpaiguri, 112. Uttar Dinajpur, 113. Howrah, 114. Birbhum, 115. Purulia	15	30	60
A & N Islands	116. South Andaman, 117. North & Middle Andaman, 118. Nicobar	4	8	16
Chandigarh	119. Chandigarh	2	0	4
D & N Haveli	120. Silvassa	1	2	7
Daman & Diu	121. Daman, 122. Diu	1	3	6
Delhi	123. North West District, 124. South West District,	9	18	36

Name of the State	Name of the district	No. of sample units		
		CHCs	PHCs	Sub Centres
	125. West District			
Lakshadweep	126. Lakshadweep	2	2	4
Puducherry	127. Puducherry, 128. Mahe, 129. Karaikal	4	13	22
Total	129	321	687	1361

Planning and Monitoring

Annex 2.1 (Refers to paragraph 2.2)

A. Status of facility survey

Name of the State	Total centres			Facility conducted survey			Test centres checked			No of centres where facility survey was conducted		
	CHC	PHC	SC	CHC	PHC	SC	CHC	PHC	SC	CHC	PHC	SC
Arunachal Pradesh	31	85	378	29	41	299						
A & N Islands	4	19	114	4	18	Nil	4	8	16	4	8	Nil
Gujarat#	273	1073	7274	273	1073	Nil	12	24	48	8	17	20
Haryana	87	420	2465	31	Nil	Nil	12	24	48	Nil	Nil	Nil
Karnataka	NF	NF	NF	NF	NF	NF	18	36	72	12	24	48
Maharashtra	448	1818	10535	276	397	Nil	18	36	72	4	5	Nil
Meghalaya	28	101	401	15	54	257	13	22	30	3	12	19
Mizoram#	9	57	366	9	57	366	3	6	18	2	2	8
Punjab#	128	484	2858	128	484	2858	12	24	48	10	19	36
Rajasthan	116	1503	10742	NA	NA	NA	18	36	72	7	5	8
Uttarakhand	49	232	1765	26	Nil	Nil	9	13	30	4	Nil	Nil
Uttar Pradesh	393	3660	20521	323	2962	19678	18	36	72	Nil	Nil	Nil

(Source: Information collected from SHSs/DHSs)

The information on facility survey furnished by the SHS differed from the same furnished by the lower level formations.

B. Inconsistency in data provided by the SHS and data verified during audit

States	Information provided by the SHS	Discrepancy in data observed during audit of sample units
Punjab	Facility surveys were complete for all the units	Facility surveys were not conducted at 2 CHCs, 5 PHCs and 12 Sub Centres
Mizoram	Facility surveys were complete for all the units	Facility surveys were not conducted at 1 CHC, 4 PHCs and 10 Sub Centres
Gujarat	Facility surveys were complete for CHCs and PHCs and not started for Sub Centres	Facility surveys were not conducted at 4 CHCs and 7 PHCs. The surveys had been conducted at 20 Sub Centres.
Uttar Pradesh	Facility surveys were complete for 82% CHCs, 81% PHCs and 96% Sub Centres	Facility surveys were not conducted at any of the audited CHCs, PHCs and Sub Centres

Community Participation

Annex 3.1

(Refers to paragraph 3.2)

State wise status of constitution of VHSCs

VHSCs formed in all villages		VHSCs formed in some villages			
State	No. of villages	State	Total no. of villages	Villages with VHSCs	
				Number	Percentage
Andhra Pradesh	21,916	Jharkhand ³	32615	29822	91.44
Sikkim	452	Lakshadweep	10	3	30.00
Manipur	2391	Madhya Pradesh	52009	16349	31.43
Tamil Nadu	12618	Jammu & Kashmir	7537	6745	89.49
Puducherry	92	Meghalaya	6180	4952	80.13
		Mizoram	817	786	96.21
		Rajasthan	39859	9188	23.05
		Gujarat	18123	16730	92.31
		A & N Islands	302	238	78.81
		Uttar Pradesh	107452	29136	27.12
		Maharashtra	43876	25786	58.77
		Punjab	12278	11319	92.19
		Chhattisgarh	20639	7301	35.37
		Haryana	6955	6223	89.48
		Arunachal Pradesh	3862	2178	56.40
		Delhi	Formed only in South West District		
VHSCs not formed at all					
Himachal Pradesh	17495				
Bihar	45356				
Chandigarh	22				
D & N Haveli ⁴	72				
Assam	26247				
Orissa	47529				
Tripura	1040				
Uttarakhand	16826				
Daman & Diu ⁵	23				

(Source: Information provided by SHSs)

- In West Bengal, it was decided to constitute Functional Committees on Health and Sanitation under already existing Gram Unnayan Samitis (GUS). However, in five audited districts no such committee had been formed and the GUS was performing the functions of the VHSC.
- In Karnataka and Kerala, the State Health Society did not make information on setting up of VHSCs available. However, in all test checked (144) villages in Karnataka and 36 rural wards in Kerala, the Committee had been formed.

³ VHSCs were formed but non-functional.

⁴ The orders for formation of VHSCs had been issued by the UT Panchayat department.

⁵ The proposal for VHSC formation had been sent to Sarpanchs in September 2007. The Director of Health stated in July 2008 that the VHSCs were being constituted and would commence functioning from August 2008.

Community Participation

Annex 3.2

(Refers to paragraph 3.4.1)

A - Status of formation of the Rogi Kalyan Samitis at health centres in States where the Samiti had not been formed at all centres at a particular level

State/UT	DH		CHC		PHC	
	Formed	Not Formed	Formed	Not Formed	Formed	Not Formed
Assam	21	Nil	99	9	844	68
Andhra Pradesh	19	Nil	166	1	1516	54
Bihar	20	5	44	26	311	1330
D & N Haveli	1	Nil	Nil	1	Nil	6
Daman & Diu	1	1	Nil	1	Nil	6
Himachal Pradesh	12	0	48	25	44	405
Jharkhand	18	4	181	13	318	12
Maharashtra	23	0	346	17	1786	30
Orissa	32	0	231	0	870	409
Puducherry	0	4	4	0	39	0
Tripura	2	0	11 ⁶	0	73	3
Uttar Pradesh	131	9	325	68	560	3100
A & N Islands	2	0	4	0	18	1
Karnataka	6	0	17	1	32	4
Haryana	20	0	87	0	366	54
Meghalaya	3	0	28	0	99	2
Lakshadweep	1	1	1	2	2	2
Chhattisgarh	16	0	129	0	695	12
Madhya Pradesh	48	0	270	0	870	279
Uttarakhand	30	5	49	0	0	232
Arunachal Pradesh	14	0	29	2	71	14
Total	420	29	2069	166	8514	6023

(Source: Information provided by SHSs)

Note: 1. Data of Karnataka is based on sample test. Data for the entire State was not made available to audit.

B- Excess funds released for the RKS

(Rs. in lakh)

Sl. No.	State	Funds released for RKS	State's requirement	Excess release
1.	Andhra Pradesh	953.0	89.0	864.0
2.	Haryana	590.0	0	590.0
3.	Himachal Pradesh	102.0	94.0	8.0
4.	Jammu & Kashmir	517.0	475.0	42.0
5.	Karnataka	429.0	343.0	86.0
6.	Maharashtra	535.0	425.0	110.0
7.	Chhattisgarh	714.0	654.0	60.0
8.	Jharkhand	300.0	0	300.0
9.	Madhya Pradesh	1636.0	484.0	1152.0
10.	Uttar Pradesh	87.5	0	87.5
11.	Bihar	800.0	0	800.0
Total		6663.5	2564.0	4099.5

(Source: Information compiled from Ministry's records)

⁶ Including Sub Divisional Hospital

Community Participation

Annex 3.3

(Refers to paragraph 3.4.6)

Discrepancies/irregularities in expenditure by the RKS : State specific audit findings

State	Audit Findings	Amount (Rs. in lakh)
Andhra Pradesh	PHC Cherla (Khammam) - Repairs to forest guest house.	0.32
	18 PHCs – Procurement without following procedures.	11.10
	PHC Agiripalli (Krishna)- Purchase of flower bouquet for MLA.	0.09
Assam	Diphu District Hospital – Unauthorized financial aid to the contractors.	6.00
	Issue of self cheques for cash and drawl for reimbursement.	57.22
	Lakhimpur District Hospital – Advances paid by RKS to contractors for works done but not measured, remained unadjusted for 10 months.	10.50
	Eight construction works were awarded by RKS without inviting tenders and without execution of agreement.	50.31 (estimates)
	All test checked RKS (109) - Assets created out of RKS funds and user charges were neither booked in Government account and nor was any separate Asset Register maintained.	
Chhattisgarh	Kanker – Purchase of video camera without approval of the RKS.	0.11
D & N Haveli	Assets created out of RKS funds were not handed over to the government and hence were not booked in government accounts.	1.19
Himachal Pradesh	In four cases expenditure was incurred for non-health delivery purposes.	12.63
Jammu & Kashmir	In 15 cases expenditure was incurred for non-health delivery purposes.	2.88
Maharashtra	In 17 PHCs the expenditure was incurred for non-health delivery purposes, i.e. for the purchase of computers, furniture, music system etc.	7.63
	PHC Vasantnagar (Yavatmal) - Medical Officer had incurred the expenditure without approval of RKS.	1.75
	CHC Manor (Thane) - Cleanliness services were outsourced despite the services of two regular sweepers on rolls.	0.70
Madhya Pradesh	Assets created out of RKS funds were not handed over to the government and hence were not booked in government accounts.	84.54
Uttar Pradesh	District Hospital Barabanki - Clearance of outstanding liabilities and repair of equipment.	5.00
Kerala	CHC Nedumangad - Fund was diverted to meet the expenditure on electricity and water charges.	3.00
Manipur	District Hospital Churachandpur - Expenditure for non-health delivery purposes.	2.93
Orissa	Koraput – In two cases, money was paid to the Medical Officers in charge for Post Partum Centre without indicating any purpose and vouchers were not submitted.	2.00
	In five cases, advance was given to one pharmacist, CDMO and two others without assigning any reason and vouchers were not submitted.	1.05
	[Health Department stated that the matter was brought to the notice of the District Collector and further action was awaited.]	
Tamil Nadu	Erode & Villupuram - Payment of salaries (July 2006 to November 2007) to outsourced employees such as Lab Assistant, Drivers and House Keeping Staff.	2.09 & 2.78

Convergence

Annex 4.1

(Refers to Paragraph 4.2.2)

Status of funds released by State Health Societies to NGOs and their utilisation

(Rs. in Lakh)

Sl. No.	Name of the State/UT	Grants-in-aid released to NGOs			Amount of UCs furnished by NGOs		
		2005-06	2006-07	2007-08	2005-06	2006-07	2007-08
1	Andhra Pradesh	225.47	1110.03	733.41	Nil	Nil	113.81
2	Assam	45	3	105	12	Nil	Nil
3	Chandigarh	Nil	4.97	Nil	Nil	Nil	4.93
4	Himachal Pradesh	90	50	166	Nil	84.55	95.55
5	Jammu & Kashmir	Nil	47	30		Nil	Nil
6	Jharkhand	90.12	92.15	267.97	90.12	92.15	100
7	Kerala	5	90	139	5	90	Nil
8	Arunachal Pradesh	80	391.21	439.12	75	331.41	455.22
9	Maharashtra	21	167.5	144.85	Nil	Nil	300.85
10	Manipur	Nil	60	30	Nil	Nil	45
11	Orissa	237	319.88	153.24	173.84	247.65	125.1
12	Puducherry	1	Nil	5.03	0.29	0.71	0.03
13	Rajasthan	8	1	97	Nil	Nil	Nil
14	Sikkim	Nil	Nil	43	Nil	Nil	32.45
15	Tripura	Nil	Nil	1	Nil	Nil	1
16	Uttar Pradesh		63.07	Nil	Nil	58.14	Nil
17	West Bengal	Nil	144.15	256.61	Nil	128.07	134.71
18	Uttarakhand	6	174.25	20.41	Nil	Nil	109
19	Gujarat	151.5	507.23	209.96	62.5	55.21	10.88
20	Delhi	2	4	88	2	4	41.02
21	Chhattisgarh	Nil	Nil	224.92	Nil	Nil	Nil
22	Haryana	Nil	36.22	Nil	Nil	Nil	Nil
23	Madhya Pradesh	Nil	145	505	Nil	Nil	Nil
24	Punjab	Nil	Nil	118.25	Nil	Nil	39.45
	Total	962.09	3410.66	3777.77	420.75	1091.89	1609.00

(Source: Information provided by SHSs)

Fund Flow Management

Annex 5.1

(Refers to paragraph 5.5.3)

A- Advances by the SHSs excluded from unspent balances for 2007-08

(Rs. in crore)

Sl. No.	State/UT	Funds eligibility to the State/UT ⁷	Unspent balance with SHS	Advances excluded from unspent balance
1.	Chhattisgarh	35.76	20.70	23.10
2.	Gujarat	61.10	29.55	51.51
3.	Jammu & Kashmir	9.16	8.10	2.69
4.	Jharkhand	39.33	39.29	9.95
5.	Karnataka	64.25	56.11	22.33
6.	Kerala	37.17	42.04	14.94
7.	Madhya Pradesh	214.25	32.52	25.86
8.	Maharashtra	105.92	135.86	62.83
9.	Mizoram	5.66	0.99	0.42
10.	Orissa	106.25	44.28	41.76
11.	Rajasthan	78.05	51.67	90.06
12.	Sikkim	2.33	0.60	0.98
13.	Tamil Nadu	78.46	66.89	66.89
14.	Uttar Pradesh	230.80	170.90	69.85
15.	Uttarakhand	12.10	10.34	6.24
16.	West Bengal	97.88	96.54	35.80
17.	A&N Islands	0.35	0.17	0.22
18.	Puducherry	1.26	0.77	0.14
Total		1180.38	807.32	525.57

B- Short accountal of unspent balances for 2007-08

(Rs. in crore)

Sl. No.	State/UT	Unspent balance as on 1.1.2008	Unspent balance as Audited Report	Short accountal of unspent balance
1.	Andhra Pradesh	0	52.19	52.19
2.	Assam	0	52.09	52.09
3.	Arunachal Pradesh	0	2.35	2.35
4.	Goa	0.75	0.92	0.17
5.	Haryana	2.07	12.51	10.44
6.	Himachal Pradesh	3.54	7.84	4.30
7.	Jammu & Kashmir	0.05	10.13	10.08
8.	Karnataka	21.63	47.04	25.41
9.	Kerala	15.20	36.72	21.52
10.	Manipur	0.40	5.84	5.44
11.	Meghalaya	3.66	6.46	2.80
12.	Mizoram	0	1.18	1.18
13.	Nagaland	0.59	3.62	3.03
14.	Punjab	15.49	24.95	9.46
15.	Rajasthan	0	43.67	43.67
16.	Sikkim	0	0.86	0.86
17.	Tripura	4.39	9.20	4.81
18.	Uttarakhand	1.13	9.86	8.73
19.	West Bengal	16.45	94.80	71.10
20.	Chandigarh	0.51	0.94	0.43
21.	D&N Haveli	0.18	0.46	0.16
22.	Delhi	7.89	13.84	5.95
23.	Puducherry	0	0.87	0.87
Total		93.93	438.34	337.04

⁷ 75 per cent of BE or 100 per cent of BE where Audit Report and UC received

Fund Flow Management

Annex 5.2

(Refers to paragraph 5.7)

State wise status of outstanding Utilization Certificates under various programmes as of October 2009

(Rs. in lakh)

State/UT	Mission Flexi-pool			RCH Flexi-pool			Routine Immunisation	Pulse Polio Immunisation
	2005-06	2006-07	2007-08	2005-06	2006-07	2007-08	Up to 2007-08	Up to 2007-08
Andhra Pradesh	369.41	3596.96	14650.21	0	0	6171.49	0	498.80
A & N Islands	142.17	61.92	324.12	0	0	25.63	11	14.76
Arunachal Pradesh	119.24	344.17	55.88	0	0	0	28	0
Assam	0	0	0	0	0	0	0	0
Bihar	6219.67	12536.69	13763.00	806.51	0	0	1896	1183.05
Chandigarh	31.30	37.32	177.00	0	38.02	42.00	6	0
Chhattisgarh	0	1023.30	6413.00	0	0	0	0	28.36
D&N Haveli	10.99	8.09	0	0	0	1.50	2	0
Daman & Diu	34.57	55.77	0	0	31.63	0	2	0.87
Delhi	105.54	399.21	2323.00	0	0	619.00	33	483.31
Goa	186.20	111.57	94.00	98.49	45.51	32.25	0	0.38
Gujarat	1853.90	7651.13	6772.55	0	0	6700.90	0	855.53
Haryana	1955.67	2628.87	4651.00	0	0	1461.66	119	149.82
Himachal Pradesh	356.73	3029.21	536.00	188.82	0	664.00	89	1.23
J&K	284.00	994.78	11933.54	0	0	820.89	63	53.34
Jharkhand	713.97	4618.63	6647.00	0	1315.73	2215.51	600	459.16
Karnataka	3237.17	5902.41	8425.36	0	0	1716.83	32	126.47
Kerala	316.48	1899.36	14311.00	0	0	3396.45	347	0
Lakshadweep	75.52	14.30	0	0	0	0	4	0.68
Madhya Pradesh	5831.75	2415.18	14076.00	0	0	0	773	50.94
Manipur	670.37	738.53	1372.00	0	341.60	1261.26	12	0
Meghalaya	106.40	1213.48	2322.00	0	291.15	995.92	39	49.04
Mizoram	0	587.03	895.00	0	0	0	0	0
Maharashtra	2865.86	9654.59	17788.00	0	4194.82	18620.70	424	1121.22
Nagaland	0	0	0	0	0	0	4	0
Orissa	2118.42	5414.54	9885.10	0	0	6507.17	515	154.66
Punjab	0	0	105.23	0	0	0	21	273.48
Puducherry	0	0	212.84	0	0	0	0	13.31
Rajasthan	0	0	3096.04	0	0	0	306	124.96
Sikkim	0	0	594.68	0	0	0	16	15.15
Tamil Nadu	0	3333.92	20607.09	0	0	9019.20	815	0
Tripura	92.71	426.58	3806.00	0	293.23	1434.40	21	19.50
Uttar Pradesh	0	0	35602.55	0	0	5944.30	2813	7225.37
Uttarakhand	0	0	1588.95	0	0	0	150	190.66
West Bengal	0	0	23371.00	0	1776.58	7109.92	1034	906.36
Total	27698.04	68697.53	226399.16	1093.82	8328.27	74760.99	10175	14000.41

(Source: Information provided by the Ministry)

Fund Flow Management

Annex 5.3

(Refers to Paragraph 5.7)

Submission of incorrect Utilisation Certificates

State/UT	Remarks
Orissa	<p>(i) The SHS sent UCs in March, 2006 to the Ministry in respect of Rs. 590 lakh received in March, 2005 for the construction of operation theatre (OT) and labour rooms in 180 PHCs and CHCs although Rs 560 lakh were actually advanced in July 2006 to Orissa Industrial Infrastructure Development Corporation Limited for the above construction. No construction was done and the funds were refunded to the Ministry in February 2008.</p> <p>(ii) Out of Rs. 1343 lakh advanced to three executing agencies during 2006-08 under 'up-gradation of CHC/PHC to IPHS', Rs. 846 lakh was booked during 2007-08 as expenditure and UC submitted to the Ministry in November 2008, however only Rs. 164 lakh was actually spent by these agencies by 31 March 2008. Thus, advance of Rs 682 lakh was irregularly shown as expenditure in UC.</p> <p>(iii) UCs in respect of the entire funds of Rs 73.34 lakh received in April 2005 for IEC activities were sent to the Ministry in February 2007 by the SHS. However, it was noticed that only Rs 46.87 lakh was spent.</p>
Karnataka	<p>(i) Under National Programme for Control of Blindness, Rs. 490 lakh was shown as received during 2005-06 in the audited accounts of SHS. Out of this, Rs. 43 lakh was not included in the amount of UCs furnished to the Ministry for the year 2005-06. This amount was included and shown as utilised in the UC for 2004-05 leading to misrepresentation of facts.</p> <p>(ii) DHS Chamrajnagar, had received Rs. 154.25 lakh from the SHS during 2007-08 for Untied Funds to Sub Centre and PHC and Annual Maintenance Grants to the PHCs. Out of this, the health centres had incurred an expenditure of only Rs. 40.24 lakh as of 31 March 2008. Instead of submitting utilisation certificates to the SHS for Rs. 40.24 lakh, the DHS had submitted utilisation certificates for Rs. 142.49 lakh.</p> <p>(iii) In a UC furnished by the SHS to the Ministry in respect of Rs. 21.80 crore received for the procurement of drugs kits under ASHA, Kit A and Kit B, PHC kits, RTI/STI kits under RCH/NRHM programme, an amount of Rs.165 lakh had been shown as utilised. However, it had not been reflected in the audited accounts.</p>
Assam	Director of Health Services submitted UCs of Rs. 87.68 lakh in March 2008 in respect of procurement of drugs and medicines prior to actual expenditure and even before the placement of supply orders.

Fund Flow Management

Annex-5.4

(Refers to paragraph 5.9)

A- Delay in release of funds

State/UT	Delay period	Details of funds transfer
Assam	12 days to 3.5month	Funds transfer from SHS to DHSs during 2005-06 and 2006-07
Gujarat	9 days to 34 months.	Transfer of Rs.139.21 crore from State Finance Department during 2005-08
	8 months	Transfer of Rs. 69.70 lakh from DHS Jamnagar to VHSCs during 2007-08
Jammu & Kashmir	2 to 9 months	Release of Rs. 12.19 crore from the administrative department to SHS
Jharkhand	4 to 14 months	Transfer of untied funds and annual maintenance grants of Rs. 2.90 crore from SHS to PHCs
Karnataka	1months 19 days to 13 months	Transfer of untied funds and JSY funds of Rs. 1.57 crore from DHS to health centres
Maharashtra	1 month to 9.5 months	Transfer from Rs. 266.37 crore received from the Ministry by the SHS to DHS
	1.5 months to 7.5 months	Transfer from Rs. 2.97 crore received from the SHS by DHS to health centres
Orissa	82 days	Transfer of Rs. 4.75 crore to DHS from SHS meant for immunisation
	15 days to 13 months	Transfer of funds to health centres from DHS meant for immunisation
Punjab	1month to 11 month	Transfer of Rs. 27.96 crore for upgradation of CHCs, grants for health centres, health mela etc. from SHS to DHS and other administrative units
Rajasthan	3 months to 30 months	Transfer of Rs. 16.87 crore from three DHS to health centres during 2005-08
Sikkim	23 days to 6.5 months.	Transfer of funds from SHS to executing authorities
Uttar Pradesh	5 months	Transfer of Rs. 18.57 crore for untied funds from SHS to DHS
	6 months	Transfer of untied funds from DHS to Sub Centres

B - Details of unspent balances at District/CHC/PHC/Sub Centre levels

Sl. No.	State/UT	As on 31 st March,2008 (Rs. in lakh)			
		District level	CHC level	PHC level	SC level
1.	Assam	8693.11	NA	NA	NA
3.	Bihar	26363.00	NA	NA	NA
4.	Haryana	NA	NA	168.09	262.26
5.	Himachal Pradesh	NA		1972.90	
6.	Jammu &Kashmir	NA		7249.62	
7.	Karnataka(S)	NA	29.70	24.20	3.44
8.	Kerala	6808.05	NA	NA	NA
9.	Madhya Pradesh	6257.31	NA	NA	NA
10.	Maharashtra	NA	2792.02	1994.07	323.39
11.	Manipur	NA	477.77	407.65	321.81
12.	Meghalaya	NA	132.61	128.38	183.28
13.	Punjab	NA	2123.30	315.37	202.64
14.	Sikkim	NA	291.78	NA	NA
15.	Tamil Nadu(S)		953.22		NA
16.	Tripura	NA		1555.94	
17.	A&N Islands	NA	4.00	9.00	18.76
18.	D&N Haveli	NA	14.14	4.50	3.80
19.	Delhi	1494.87	NA	NA	NA
20.	Lakshadweep	NA	4.80	Nil	1.40

(Source: Information provided by SHSs)

Fund Flow Management

Annex 5.5

(Refers to paragraph 5.10)

State specific audit findings on diversion of funds

(Rs. in crore)

State	SHS/ DHS	Year	Purpose for which funds were released	Purpose for which funds were spent	Amount
Assam	SHS	2006-07	Orientation of VHSCs	Village Health and Nutrition Days	0.40
	DHSs	2007-08	Unspent fund of EC-SIP	JSY scheme	5.74
	SHS	2007-08	Unspent fund of the RCH-I	Receipt under RCH-II	0.36
Jammu & Kashmir	DHS Rajouri	2006-07	Activities to be undertaken by RKS at PHC level	O.E., POL at district level	0.06
Maharashtra	SHS	2007-08	Up-gradation of 3 SDHs to IPHS	Purchase of medicines	0.22
Tamil Nadu	SHS	2006-07	RCH funds	15 Government Medical College Hospitals	7.00
	SHS	2006-08	NRHM funds	State schemes	2.78
Uttar Pradesh	SHS	2007-08	Mission Flexible Pool	Settling old claims under the Blindness Control Programme	24.57
	SHS	2006-07	Mission Flexible Pool	Advance to DGMH	50.00
Karnataka	SHS	2007-08	Up-gradation of CHCs to IPHS	Repairs of quarters of doctors, ANMs, nurses, Group D	2.40
	SHS	2006-07	RCH flexi-pool	Control of Chikungunia disease	0.95
	SHS	2008-09	NRHM funds	Purchase of four wheelers (Kysanur Forest Disease Control Programme), control of Handigodu disease, 'Mysore Dasara Exhibition'	0.36
TOTAL					94.84

Fund Flow Management

Annex-5.6

(Refers to paragraph 5.11.1)

Details of Unspent balance in respect of untied funds released to Health Centres during 2005-08

Sl. No	State/UT	Unspent balance (Rs. In lakh)									
		SC level			PHC level			CHC level			Unspent as on 31.03.2008
		2005-06	2006-07	2007-08	2005-06	2006-07	2007-08	2005-06	2006-07	2007-08	
1.	Andhra Pradesh	NA	NA	459.09	NA	NA	-NA	NA	NA	NA	459.09
2.	Arunachal Pradesh	9.90	0.20	23.44	NA	9.51	22.92	NA	NA	25.03	71.39
3.	Assam	467.22	NA	NA	NA	NA	NA	NA	NA	NA	121.11
4.	Bihar(Sample)	Nil	52.91	4.40	Nil	Nil	11.50	Nil	Nil	Nil	68.81
5.	Chhattisgarh	3.28	2.83	5.70	Nil			Nil	Nil	Nil	3.78
6.	Gujarat	Nil	235.59	131.28	Nil	Nil	105.02			46.86	518.75
7.	Haryana	196.95	95.45	262.26	Nil	289.03	168.09	Nil	Nil	Nil	430.35
8.	Himachal Pradesh	170.44	38.12	132.35	Nil	109.75	105.75	Nil	Nil	Nil	238.10
9.	Jammu & Kashmir	Nil	38.16	102.29		83.50	-32.58	-	-	33.14	224.51
10.	Jharkhand	Nil	370.45	525.86	Nil	48.25	12.38	-	-	-	956.94
11.	Karnataka (Sample)	0.93	0.83	2.35	Nil	1.82	4.43				10.36
12.	Madhya Pradesh	839.50	748.55	69.61	Nil	235.14	-6.03	Nil	129.51	31.22	1985.06
13.	Maharashtra	769.81	246.78	150.37	Nil	445.00	111.14	Nil	Nil	45.89	307.40
14.	Manipur	42.0	25.1	21.81	Nil	18	4.8	Nil	16	-0.60	85.11
15.	Meghalaya	40.10	21.58	9.28	-	25.25	15.10		--	9.59	33.97
16.	Mizoram						0.47			0.43	0.90
17.	Orissa(Sample)	110.00	101.78	90.15	Nil	55	Nil	Nil	Nil	38	394.93
18.	Rajasthan (Sample)	1.38	3.17	0.025	0.15	3.03	3.70	3.12	4.16	7.36	26.10
19.	Sikkim	14.70	NA	2.80	NA	6	-2.27	NA	NA	0.27	21.50
20.	Tamil Nadu	-	286.87	-27.75	-	74.00	162.52				170.80
21.	Tripura	53.90	3.47	7.12	Nil	13.11	14.12	Nil	Nil	5	39.35
22.	Uttar Pradesh	1857	167	1332	NR	NR	3306	NR	NR	270	6932.00
23.	Uttarakhand	Nil	Nil	46.14	Nil	Nil	12.05	Nil	Nil	4.65	62.84
24.	West Bengal (Sample)	3.42	2.78	4.22	Nil	2.98	6	Nil	Nil	7.13	17.35
25.	A&N Islands	10.70	5.54	13.89	NR	5	4.79	NR	NR	NR	18.68
26.	Delhi	Nil	Nil	1.25	Nil	Nil	0.75	Nil	Nil	4	6.00
27.	Chandigarh	0.80	0.30	0.66							0.66
28.	Lakshadweep	1.40	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	1.40
29.	Puducherry	6.05	0.40	8	-	9.75	13.73	-	-	3.87	25.60
TOTAL											13232.84

(Source: Information provided by SHSs)

NA-Not Available

NR-Not Reported

Fund Flow Management

Annex 5.7

(Refers to paragraph 5.11.1)

A. State specific cases: Untied grants

State/UT	Audit findings
Bihar	Only 573 Sub Centres out of 1482 Sub Centres in sample districts had received untied grants of Rs. 57.31 lakh during 2005-08, which also remained unutilized. Untied grants of Rs. 89.12 lakh for Sub Centres remained idle in the accounts of 70 PHCs.
Chhattisgarh	Against entitlement of untied funds of Rs.381.80 lakh (Rs. 10000 per sub centres for 3818 sub centres), Rs. 883.50 lakh was released by the Ministry during 2004-05. Thus Rs. 501.70 lakh was released in excess, out of which Rs. 381.80 lakh was adjusted during 2005-06 and Rs. 119.90 lakh remained unadjusted.
Gujarat	Untied grants to Sub Centres during 2005-06 and to PHCs and CHCs during 2005-07 were not released and out of untied funds of Rs. 183.30 lakh released to health centres in sample districts, Rs. 77.90 lakh (42.48 per cent) remained unspent.
Himachal Pradesh	Untied funds ranging from 21 to 82 per cent at Sub Centre level and ranging from 96 to 100 per cent at PHC level remained unspent during 2005-08.
Jharkhand	Untied funds ranging from 69 to 98 per cent in Sub Centres and from 26 to 100 per cent in PHCs released during 2006-08 remained unspent.
Kerala	Information given by the State Health Mission regarding untied grants released to CHCs, PHCs and Sub Centres was incorrect as the number of institutions, amount released etc. were at variance with other relevant records.
Orissa	Untied grants were not released to 243 PHCs during 2005-06 and 2007-08 and 76 CHCs in sample districts during 2005-06 and 2006-07.
Sikkim	Untied grants were not released to CHCs during 2005-07.
Uttar Pradesh	Out of Rs. 37.14 crore released to the Sub Centres during 2005-07, Rs.20.24 crore were not utilised due to delay in opening of joint bank accounts.

B. State specific audit findings on misuse of untied funds

(Rs. in lakh)

State/UT	Audit findings	Amount
Arunachal Pradesh	During 2005-06, in two sample districts, untied funds of the Sub Centres were spent on construction and procurement of furniture and hospital accessories etc.	5.00
Chhattisgarh	Out of the untied funds, which were for release to Sub Centres during 2005-08, DHSs in sample districts utilized these for procurement of equipment/furniture.	59.68
Jammu & Kashmir	Untied funds during 2005-08 were used for Office Expenditure and purchase of stationery, furniture etc at Sub Centre and PHC levels.	8.79
Karnataka	i) Rs.814.30 lakh received during 2005-06 from the Ministry for untied funds was not released to Sub Centres and of this, Rs. 800 lakh was kept in fixed deposits for six months. ii) Untied funds and Annual Maintenance Grants were diverted by the Sub Centres, PHCs and the CHCs for transportation charges and purchase of television, furniture, stationery, drugs and fuel etc.	3.58
Madhya Pradesh	During 2006-08, untied funds were used for purchase of furniture and stationery etc. at Sub Centres/CHC/PHC levels.	6.11
Punjab	Untied funds (Rs. 7.72 lakh at Sub Centre and Rs. 1.12 lakh at PHC level) during the period 2005-08 were used for purchase of stationery, equipment, drugs and furniture etc.	8.84
Tamil Nadu	Untied funds during 2006-08 were used for recurring expenditure (electricity charges), purchase of furniture and equipment at Sub Centre and PHC levels.	8.75
West Bengal	Untied funds during 2005-08 at 56 Sub Centres and at 27 PHCs during 2006-08 were used for purchase of stationery, equipment, drugs and furniture etc.	11.15
Total		111.90

Fund Flow Management

Annex-5.8

(Refers to paragraph 5.12.1)
Non-reconciliation of funds flow
A - From the Ministry to SHS

(Rs. in crore)

Sl. No.	State/UT	Difference between Ministry's figure and SHS's figure (_short /+ excess) of receipt of funds		
		2005-06	2006-07	2007-08
1.	Andhra Pradesh	(-)128.05	(+)0.69	(-)40.88
2.	Assam	(-)14.66	(-)130.13	(+)122.66
3.	Chhattisgarh	(-)8.42	(-)17.15.	(-)32.11
4.	Gujarat	(-)112.94	(-)67.07.	(-)48.87
5.	Haryana	(+) 13.12	(+) 26.20.	(+) 19.56
6.	Himachal Pradesh	(-)9.77	(+)1.16	(-)8.35
7.	Jharkhand	(-)52.79	(-)3.55	(-)35.89
8.	Kerala	(-)21.14	(-)4.70	(+)6.32
9.	Madhya Pradesh	(-)126.85	(-)90.72	(+)31.15
10.	Maharashtra	(-)148.37	(-)31.82	(-)25.58
11.	Meghalaya	(-)4.58	(-)0.61	(+)1.73
12.	Mizoram	(-)7.76	(-)20.36	(+)16.08
13.	Orissa	(-)60.00	(+)15.77	(-)39.11
14.	Punjab	(-)16.14	(+)4.82	(+)3.05
15.	Rajasthan	(-)95.63	(+) 6.17	(-)56.54
16.	Tripura	(-)21.53	(-)16.15	(-)23.94
17.	Uttar Pradesh	(-)19.54	(-)212.83	(+)114.74
18.	Uttarakhand	(-)10.58	(-)4.62	(+)7.62
19.	West Bengal	(-)22.54	(-)18.05	-
20.	A&N Islands	(-)0.58	Nil	Nil
21.	D&N Haveli	(-)0.57	(-)0.36	(-)0.45
22.	Lakshadweep	(-)0.61	(+)0.43	(+)0.05
TOTAL		21 States (-)883.05 1 State (+)13.12	14 States (-)618.12 7 States (+)55.24	10 States (-)311.72 10 States(+)322.96

B- From the SHS to DHS

(Rs. in crore)

Sl. No.	State/UT	Difference between SHS figures and DHS figures (-short receipt/+ excess receipt of funds)		
		2005-06	2006-07	2007-08
1.	Andhra Pradesh(S)	(-)2.83	(-)4.25	(+)1.48
2.	Assam	(-)0.11	Nil	(-)3.09
3.	Gujarat(S)	(+)2.92	(+)1.72	(+)3.61
4.	Kerala	Nil	(-)1.85	NA
5.	Maharashtra	(-)1.44	(+) 2.39	(-)5.67
6.	Meghalaya	(-)0.01	(-)0.49	(-)0.66
7.	Orissa	Nil	(-)1.41	Nil
8.	Rajasthan(S)	(-)0.83	(+) 0.56	(+)0.01
9.	Tripura	NA	(-)2.31	(-)3.71
TOTAL		5States (-)5.22 1 State (+) 2.92	5States (-)10.31 3States (+)4.67	4States (-)13.13 3States (+)5.10

Fund Flow Management

Annex 5.9

(Refers to paragraph 5.12.2)

State specific audit findings on discrepancies in accounts

State	Audit findings
Assam	<p>Discrepancy of Rs. 41.92 lakh in the opening balances of the SHS and DHS accounts under RCH-I was adjusted with the closing balance of IEC for Rs. 42.50 lakh as on 31-03-2008 in the schedule of expenditure under RCH-I resulting in understatement of closing balance under RCH-I as on 31.03.2008.</p> <p>Difference of Rs. 85.89 lakh and Rs. 358.97 lakh, between the opening balance of SHS and DHS under RCH-II and NRHM-Additionalities programmes respectively, were adjusted with district level total expenditures and this resulted in reduction of expenditure shown in SOEs submitted by DHSs with corresponding overstatement of closing balances in relevant districts and State as a whole in the State accounts.</p>
Bihar	There was a difference of Rs. 31.56 crore between the figures computed by audit (on the basis of bank pass books/statements pertaining to the SHS and release orders of grants-in-aid by the Ministry/Govt. of Bihar) and the financial statement of grants-in-aid submitted by the SHS in respect of the expenditure/amount released by the SHS during 2005-08.
Madhya Pradesh	There was short account of Rs. 33.53 lakh in the opening balances of the accounts of the 6 DHSs and excess account of Rs. 2.14 lakh in the opening balances of the accounts of the 3 DHSs.
Maharashtra	In General Hospital Nasik, there was a difference of Rs. 9.96 lakh between the cash book and actual cash balance as on 31-3-2008. Expenditure of Rs. 1.01 lakh incurred on Immunisation, Family Planning etc. was also not accounted for in the cash book. No action had been taken till November 2008 against the person held responsible for the embezzlement.
Sikkim	In the accounts of the DHS of East district, closing balance pertaining to 2005-06 was undercasted by Rs. 2.57 lakh.
Chandigarh	<p>There was excess reporting of expenditure of Rs. 89.14 lakh in the Financial Management Reports for the year 2005-06 and 2006-07 vis-à-vis expenditure as per the cash book.</p> <p>There was a difference of Rs. 30.93 lakh between expenditure figures as per cash book and those certified by Chartered Accountant under programme RCH-II for 2005-07.</p>

Fund Flow Management

Annex 5.10

(Refers to paragraph 5.12.4)

State specific audit findings on improper maintenance of control registers

State/UT	Audit findings
Andhra Pradesh	Stock registers were not maintained for items purchased from untied funds in Krishna district (Rs. 6.53 lakh).
Assam	<p>i) Cash book with day to day attestation of transactions and monthly closing certificates by the DDO was not maintained at SHS as well as in 3 out of 5 audited districts. Basic records such as expenditure register, fund register; other registers relating to grants, release of funds, SoEs etc. were also not maintained at State level. Day to day transactions were uploaded on computer periodically from cheque issue register without data scrutiny in the absence of approved software leaving scope for alteration of data. The authenticity of the entries in cash book without any authentication/certification was not ensured.</p> <p>ii) In Nalbari district 24 cash books were maintained instead of a single main cash book.</p>
Bihar	<p>i) None of the DHSs had maintained cash book in double entry system and bank reconciliation had never been done in four test-checked districts</p> <p>ii) Financial records and accounts of the SHS were not maintained separately for each activity. Transactions involving cash, stores etc. were not brought to account under proper head of accounting.</p>
Gujarat	<p>i) Accounts were maintained by the SHS and in the selected DHSs in Tally without formal orders of the administrative authority.</p> <p>ii) Though the erstwhile health societies had been integrated, the accounts continued to be prepared separately.</p>
Jharkhand	<p>i) Periodical reconciliation of cash balance and bank balance was not done.</p> <p>ii) Unspent balance of Rs. 847 lakh of erstwhile programme e.g. RCH-I, RNTCP-I etc. was not brought into the accounts of NRHM.</p> <p>iii) Instead of five bank accounts, 17 banks accounts were in operation in SHS.</p>
Karnataka	<p>i) Bank reconciliation had not been done in the SHS and in the audited units during 2005-08.</p> <p>ii) The SHS had not maintained the cash book for the year 2007-08. For 2005-06 and 2006-07, the cash books had not been maintained properly; field units also had either not maintained the cash book or maintained it improperly.</p> <p>iii) Register of fixed deposits had not been maintained in SHS for the years 2005-08 and the grant register had not been maintained properly in the SHS. The entries were not attested by the officials concerned.</p> <p>iv) In CHC Bhalki of Bidar district, cash book, receipt books, daily receipt register and expenditure register had not been maintained for accounting user charges received by the RKS (Arogya Raksha Samiti). Reconciliation had been not done between the pass book and the user charges actually received and accounted for in the CHC.</p>
Kerala	In four PHCs bank cash book, general ledger and advance register were not maintained. Bank reconciliation with cash book had not been done in one DHS, two CHCs and two PHCs.
Madhya Pradesh	<p>i) In some CHCs and PHCs of four districts, cash books and ledgers for the year 2006-07 were not maintained.</p> <p>ii) Original vouchers worth Rs.125.15 lakh (out of Rs.340.41 lakh) for the year 2006-07 by the DHS Bhopal and vouchers for Rs. 59.70 lakh and Rs. 439.27 lakh for the year 2005-06 and 2006-07 respectively by the DHS Morena were not produced to the chartered accountants for audit.</p>
Rajasthan	In 106 cases, UCs were received for Rs 44.21 lakh instead of Rs 30.50 lakh from sub centres against untied fund in DPMU Udaipur.
Sikkim	<p>i) In SHS and test checked DHSs, cash books were not maintained properly.</p> <p>ii) The SHS opened four bank accounts instead of single bank account for crediting the funds received under RCH, Additionalities under NRHM and Immunisation programmes.</p>

Tamil Nadu	<p>i) System of monitoring the advances (Advances Registers) made to the procurement agencies did not exist at SHS.</p> <p>ii) Specific format had not been developed for recording the cash and other related transactions in SHS, DHS, BPHC and PHC levels, which resulted in poor maintenance of accounts.</p> <p>iii) System to monitor the accrual of interest at various levels and their utilisation had not been prescribed.</p> <p>iv) Double entry system of accounts was not followed in SHS/DHSs for 2005-06 and 2006-07 and UCs were not received from all 29 DHSs by SHS for the period from 2005-06 to 2007-08.</p> <p>v) Reconciliation of accounts with bank was not done in three sample DHSs. In all sample Block PHCs and PHCs reconciliation had not been done.</p>
Uttar Pradesh	<p>i) In the audited districts, the books of accounts and subsidiary records like cash book, ledger, etc. were not maintained in the prescribed format at CHC and PHC level. Vouchers duly marked as paid and cancelled were also not maintained. Details of expenditure at the Sub Centre level were neither maintained nor was monthly progress of expenditure in respect of untied funds reported to the DHSs.</p> <p>ii) At the DHS and SHS level, despite the constitution of the integrated societies, details of expenditure under various programmes were not centrally maintained. Instead, these were maintained by the concerned programme officers.</p>
Uttarakhand	<p>i) Subsidiary books of account like ledger, journal, and register for temporary advances to staff, contractors, suppliers, register for advance to voluntary agencies etc., were not maintained by the SCOVA or DHSs.</p> <p>ii) Some sample CHCs did not maintain the cash book. In some other facilities, combined cash book was being maintained, in which NRHM transactions were also being recorded.</p> <p>iii) The annual accounts of the SHS were to be submitted to the Ministry by 31st July of the succeeding financial year, but were not submitted in time for any of the years. Accounts of 2005-06 and 2006-07 were submitted on 12th October 2006 and 3rd January 2008 respectively. The accounts for the year 2007-08 had not been submitted till October 2008.</p>
West Bengal	<p>The audited accounts of SHS for the years 2005-06 and 2006-07 and accounts of DHSs and RKSs were not submitted to the Principal Accountant General (Audit).</p>
Chandigarh	<p>i) The value of drugs/equipment received directly from central store of the Ministry was not recorded in the stock registers.</p> <p>ii) The account of expenditure for IEC under RNTCP-II/NLEP programmes for the period 2005-08 was not in consonance with the records of trainees/participants.</p> <p>iii) IEC material worth Rs. 0.41 lakh under NPCB was not taken into the stock register. Further, items shown as issued in the stock register were issued without obtaining indents.</p>

Infrastructure Development and Capacity Building

Annex 6.1

(Refers to paragraph 6.2)

Delay in completion of works as of March 2008: State specific audit findings

(Rs. in crore)

State/UT	Audit findings	Amount involved
Andhra Pradesh	▪ Work on upgradation of only 77 out of 151 Comprehensive Emergency Obstetric and Neonatal Care (CEMONC) Centres attached to CHCs, taken up by Andhra Pradesh Health Medical Housing and Infrastructure Development Corporation (APMHIDC) in 2006-07, had been completed.	31.62
	▪ Construction of 14 out of 38 birth waiting homes in tribal areas started in 2007-08 remained incomplete.	1.76
Assam	▪ Out of 404 works of construction of Sub Centres taken up in 2006-07, none had been completed.	9.99
	▪ SHS released Rs. 143.15 crore to executing agencies such as State PWD, Assam State Housing Board and the District Collector (DC) for civil works. Out of 918 sanctioned works, only 82 were complete involving Rs. 8.74 crore. The utilisation position of the remaining amount was not available with the SHS.	NA
Jammu & Kashmir	▪ Upgradation of 69 CHCs to IPHS - Work on 13 CHCs with estimated cost of Rs. 191.16 lakh started in first quarter of 2006 was completed but only 4 works at a cost of Rs. 48.72 lakh had been handed over as of March 2008. Construction on 4 works with estimated cost of Rs. 72.25 lakh was held up due to change of site/design and land dispute etc.	8.68
	▪ Funds for upgradation of sub district hospitals received during 2007-08 were lying unspent with the Director, Health Services.	20.00
Madhya Pradesh	▪ Only four works of Rs.46.71 lakh had been completed and handed over out of the 94 works for which advances were given to government agencies.	9.42
Rajasthan	▪ Construction work of 269 buildings could not be started as of June 2008 due to land disputes.	17.92
Jharkhand	▪ Funds drawn by Additional Chief Medical Officer (ACMO), Ranchi for construction of labour rooms remained unutilised (RCH II).	0.91
	▪ Funds allotted for strengthening of Sub Centres, APHCs and PHCs remained unutilised with ACMO, Ranchi, DHS, Hazaribagh, DC, Ramgarh and State Building Construction Department.	12.61
	▪ Entire amount of Rs. 33.72 crore received from the Ministry for upgradation of CHCs and PHCs remained unspent at the SHS (Rs. 27.32 crore) and two district boards (Rs. 6 crore).	33.72
Kerala	▪ Out of upgradation of 54 CHCs, the work for which a Central PSU had received advance remained incomplete. In respect of 30 CHCs, work had not even started as of March 2008.	4.12
Tripura	▪ Work on six out of nine CHCs for upgradation to IPHS, renovation of six out of seven Sub Divisional Health Centres and 13 out of 19 PHCs taken up during 2006-07 remained incomplete, resulting in unspent balance with executing officers.	1.31
Tamil Nadu	▪ Rs. 9.82 crore was received by the audited DHS for infrastructure development, out of which 14 civil works were sanctioned. Out of these 14 sanctioned works, seven were in progress, while seven had been stopped due to insufficient funds.	9.82
Uttarakhand	▪ Funds were released to the construction agencies for 64 works without ensuring availability of land resulting in blocking of funds for periods up to 4 years.	12.41
Puducherry	▪ SHS received Rs. 2.06 crore for creation and upgradation of infrastructure of health centres, out of which Rs. 1.86 crore remained unspent.	1.86

Infrastructure Development and Capacity Building

Annex 6.2

(Refers to paragraph 6.2)

Irregularities in civil works: State specific audit findings

(Rs. in crore)

State	Nature of irregularities	Amount involved
Orissa	SHS released Rs. 45.80 crore for upgrading CHCs to IPHS, to Zilla Swasthya Samitees (ZSSs) during 2005-07 with an instruction to entrust the work to respective DRDAs. However, DRDAs refused to take up these works. In March 2007, the SHS instructed the ZSSs to refund the amount. However, Rs. 6.69 crore was outstanding till October 2008 with various ZSSs, and interest accrued on principal amount while these sums were in ZSS accounts were not accounted for.	6.69
	141 works with estimated cost of Rs 70.44 crore were entrusted to two State owned companies on negotiation basis with 12 per cent supervision charges, creating a liability of Rs 7.55 crore on this account. The SHS did not consider offers of Prasar Bharati (7 per cent) and NBCC (10 per cent) despite these organisations' past experience. The deviation from the lowest offer resulted in avoidable liability of Rs. 2.94 crore.	2.94
	The SHS did not follow codal provisions to safeguard the public funds as two executing agencies were allowed contingencies and charges for quality control at varied rates of two to five per cent of estimated cost of works. No such charges were claimed by the third PSU (OPHC).	0.59
	In 17 cases of construction of 59 quarters for health staff with estimated cost of Rs 7 crore, 15 to 20 per cent supervision charges were allowed to the State Rural Works Divisions. Such charges were not payable for Government works.	0.97
	The advance of Rs.17.68 crore was given to the State PSUs, on the basis of rough cost estimates based on plinth area, seven months to one year prior to preparation and administrative approval of the estimates which was in contravention to the State Finance Department's directives.	-NA-
	The execution of 41 works was entrusted to a State PSU. The company did not have the minimum technical manpower (civil degree engineers) required in the agreement and hence, could not prepare any estimate as of October 2008. The works were to be completed within '8 to 12 months' of according administrative approval or handing over of site whichever was earlier. However, no penal provision was made in the contract for the delays.	2.05
	Interest accrued on advances up to March 2008 had not been refunded by two executing agencies. One agency (OSIC) retained Rs 1 crore in the current account and lost the opportunity to earn interest on the same.	0.14
Assam	In DHS, Lakhimpur eight civil works were awarded to contractors and advances were paid without inviting tenders.	0.13
	Funds were sanctioned for strengthening the infrastructure of the existing health centres on a single design approved for all works without taking into account the status of the existing infrastructure at the centres.	48.14
Andhra Pradesh	Funds released in October 2006 to Municipal Corporation, Nellore for establishment of an Urban Health Centre and First Referral Unit in Nellore town were deposited in bank as FDR. However, the Municipal Corporation, Nellore furnished the Utilisation Certificate for the entire amount in June 2008.	0.74
Bihar	Funds sanctioned for renovation of PHCs and construction of diagnostic centres were diverted for repairing staff quarters.	1.63
	Funds were released for construction of diagnostic centres in seven non-functional PHCs where neither indoor nor outdoor facilities were available. Out of these seven units, at two units construction was completed at a cost of Rs 46 lakh, but the facility remained non-functional.	1.48
	Funds were spent on strengthening the infrastructure of the existing health centres on a single design approved for all works without taking into account the status of the existing infrastructure at the centres.	4.68

State	Nature of irregularities	Amount involved
Haryana	Funds were spent on strengthening the infrastructure of the existing health centres on a single design approved for all works without taking into account the status of the existing infrastructure at the centres.	0.31
Jammu & Kashmir	Work was awarded to Government department (PWD) and State corporations without a contract. The Director Health Services, Jammu advanced the funds to the executing agencies without involving District Health Societies.	20.00
	Equipment of Rs 1.13 crore were purchased from funds received for infrastructure improvement at district/sub district hospitals. Rs. 1.67 crore continued to be unspent with the Director Health Services.	2.80
Maharashtra	DHSs of Pune, Yavatmal and Gondia received funds for strengthening of nursing schools. Rs. 1.12 crore remained unutilised as there was no nursing school in these districts, while Rs. 2.95 lakh was used for purchase of linen and furniture and payment of stipend.	1.15
Madhya Pradesh	In 90 works, advances were not adjusted/recovered from the Government agencies, viz. PWD and RES.	8.95
Punjab	Funds received for upgradation of CHCs to IPHS were spent on upgradation of three Sub District Hospitals and one PHC.	0.66
	Funds received for providing 24 hours delivery service at PHCs were utilised for strengthening of CHCs.	0.42
Tripura	Funds were sanctioned for strengthening of the infrastructure of the existing health centres on a single design approved for all works without taking into account the status of the existing infrastructure at the centres.	8.87
Uttar Pradesh	SHS awarded the work of upgradation of 50 CHCs in 37 districts to three state owned construction agencies and released advances without inviting tenders.	53.53
	During 2005-06, SHS Uttar Pradesh spent Rs. 65.59 crore for upgradation of 50 First Referral Units, but had no information on their operationalisation. In three audited districts the FRUs were not operational for want of necessary medical and paramedical staff and establishment of blood storage facility etc.	65.59

Infrastructure Development and Capacity Building

Annex 6.3

(Refers to paragraph 6.4)

Status of shortfall in health centres, infrastructure targetted and infrastructure created and works in progress

States	Shortfall in health centres			Infrastructure required to be created by 2007			Infrastructure created and handed over			Works in progress		
	SC	PHC	CHC	SC	PHC	CHC	SC	PHC	CHC	SC	PHC	CHC
Andhra Pradesh	303	527	450	91	158	135	Information not reported					
Assam	1428	338	180	428	101	54	248	307	14	404	0	0
Bihar	7742	1126	760	2323	338	228	Information not provided by the SHS.					
Chhattisgarh	5750	766	191	1725	230	57	1742	345	56	106	59	20
Gujarat	0	94	45	0	28	14	0	1	1	31	12	0
Haryana	573	93	43	172	28	13	0	0	0	4	42	4
Himachal Pradesh	0	0	3	0	0	1	0	0	7	0	0	0
Jammu & Kashmir	1474	114	36	442	34	11	0	0	0	0	0	0
Jharkhand	2761	1005	380	828	302	114	0	0	0	0	0	0
Karnataka	0	0	48	0	0	14	0	0	0	0	0	0
Kerala	0	0	82	0	0	25	0	0	0	0	0	0
Madhya Pradesh	1309	487	66	393	146	20	0	0	0	0	0	0
Maharashtra	2627	394	95	788	118	29	18	0	0	0	0	0
Manipur	118	13	6	35	4	2	0	0	0	0	0	0
Meghalaya	144	0	0	43	0	0	2	0	0	0	0	0
Orissa	2520	83	158	756	25	47	5	0	0	0	0	0
Punjab	361	53	6	108	16	2	0	0	0	0	0	0
Rajasthan	4626	843	336	1388	253	101	355	0	50	0	0	0
Tamil Nadu	516	501	0	155	150	0	0	0	0	0	0	0
Tripura	384	19	6	115	6	2	0	0	1	60	4	3
Uttarakhand	1260	200	66	378	60	20	192	32	9	330	61	40
Uttar Pradesh	6669	676	968	2001	203	290	0	0	0	0	0	0
West Bengal	3388	1277	273	1016	383	82	0	0	0	0	0	0
D & N Haveli	13	2	1	4	1	0	0	0	0	0	0	0
Daman & Diu	15	2	1	5	1	0	0	0	0	0	0	0
Lakshadweep	6	0	0	2	0	0	0	0	0	0		0
Total	43987	8613	4200	13196	2585	1261	2562	685	138	935	178	67

(Source: Information provided by SHSs)

Infrastructure Development and Capacity Building

Annex 6.4

(Refers to paragraph 6.5.1)

Shortfall in availability of buildings for health centres

Health centres without a building	Sub Centres	Bihar (39), Gujarat (10), Karnataka (42), Maharashtra (12), Manipur (11), Madhya Pradesh (46), Orissa (34), Punjab (4), Tripura (16) and West Bengal (2)
	PHCs	Bihar (14), Jharkhand (1), Orissa (3) and Uttarakhand (1)
Health centres not running in a government building	Sub Centres	Andhra Pradesh (62), Arunachal Pradesh (9), Assam (3), Bihar (6), Chhattisgarh (17), Chandigarh (1), Daman Diu (1), Delhi (7), Gujarat (7), Haryana (13), Himachal Pradesh (8), Jammu & Kashmir (27), Jharkhand (13), Karnataka (18), Kerala (12), Lakshadweep (3), Maharashtra (21), Manipur (11), Madhya Pradesh (24), Orissa (29), Punjab (13), Rajasthan (10), Sikkim (2), Tamil Nadu (28), Tripura (14), Uttar Pradesh (42), Uttarakhand (13), West Bengal (21)
	PHCs	Andhra Pradesh (4), Bihar (6), Chhattisgarh (5), Gujarat (2), Haryana (3), Himachal Pradesh (5), Jammu & Kashmir (7), Jharkhand (4), Karnataka (2), Kerala (3), Maharashtra (5), Madhya Pradesh (8), Orissa (3), Punjab (2), Rajasthan (10), Uttar Pradesh (10), Tamil Nadu (3)
	CHCs	Gujarat (1), Jammu & Kashmir (1), Maharashtra (3), Rajasthan (2)
Health centres running in a dilapidated building	Sub Centres	Assam (11), Bihar (12), Delhi (2), Haryana (13), Himachal Pradesh (23), Jammu & Kashmir (17), Jharkhand (13), Maharashtra (41), Madhya Pradesh (36), Meghalaya (2), Orissa (5), Punjab (9), Rajasthan (12), Uttar Pradesh (12), West Bengal (4), Tamil Nadu (5)
	PHCs	A & N Islands (1), Andhra Pradesh (1), Bihar (4), Chhattisgarh (1), Delhi (2), Haryana (2), Himachal Pradesh (11), Jammu & Kashmir (7), Jharkhand (7), Maharashtra (13), Madhya Pradesh (10), Punjab (3), Rajasthan (5), Uttar Pradesh (11), West Bengal (7), Tamil Nadu (1)
	CHCs	Bihar (5), Haryana (1), Himachal Pradesh (9), Jammu & Kashmir (5), Uttar Pradesh (3)

(Source: Information collected from health centres)

Infrastructure Development and Capacity Building

Annex 6.5

(Refers to paragraph no. 6.5.2)

State wise data on hygiene and sanitation at sample health centres

States	Bad milieu/ surroundings			Poor cleanliness			No separate utilities for men and women			Absence of water supply			Absence of water storage			Absence of sewerage			Absence of medical waste disposal		
	SC	PHC	CHC	SC	PHC	CHC	SC	PHC	CHC	SC	PHC	CHC	SC	PHC	CHC	SC	PHC	CHC	SC	PHC	CHC
A & N Islands	Nil	Nil	Nil	Nil	Nil	Nil	16	Nil	Nil	1	Nil	Nil	Nil	Nil	Nil	16	8	4	16	Nil	Nil
Andhra Pradesh	Nil	Nil	Nil	Nil	Nil	Nil	63	12	4	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Arunachal Pradesh	Nil	Nil	Nil	10	6	4	40	20	2	Nil	Nil	Nil	20	Nil	Nil	Nil	Nil	Nil	40	13	Nil
Assam	Nil	Nil	Nil	10	5	Nil	46	21	7	58	Nil	Nil	58	9	1	58	10	Nil	58	29	11
Bihar	41	24	7	72	30	5	72	36	10	70	31	Nil	72	32	11	72	34	10	72	36	12
Chandigarh	Nil	Nil	Nil	Nil	Nil	Nil	1	Nil	Nil	1	Nil	Nil	1	Nil	Nil	1	Nil	Nil	1	Nil	Nil
Chhattisgarh	6	5	2	10	2	Nil	32	14	2	23	6	Nil	30	12	3	Nil	5	Nil	13	4	Nil
DNH	Nil	Nil	Nil	Nil	Nil	Nil	7	Nil	Nil	3	Nil	Nil	6	1	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Delhi	4	8	3	1	Nil	Nil	Nil	Nil	Nil	5	Nil	Nil	5	1	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Gujarat	5	3	2	7	Nil	Nil	Nil	10	2	7	3	Nil	29	5	Nil	Nil	1	Nil	24	1	3
Haryana	7	1	Nil	28	10	5	48	23	11	31	1	Nil	35	1	Nil	48	21	9	48	24	12
Himachal Pradesh	2	Nil	1	5	3	2	36	13	3	6	1	Nil	36	18	9	Nil	18	9	36	18	9
Jammu & Kashmir	3	Nil	Nil	13	6	Nil	43	15	5	35	9	1	45	15	7	45	12	5	45	22	12
Jharkhand	1	1	Nil	16	3	Nil	34	19	Nil	27	13	Nil	34	16	Nil	34	15	Nil	33	17	Nil
Karnataka	12	4	2	34	4	Nil	62	23	2	28	1	Nil	63	16	Nil	Nil	3	Nil	40	36	14
Kerala	3	1	1	8	Nil	Nil	35	9	2	14	Nil	Nil	34	1	1	Nil	1	Nil	27	Nil	5
Lakshadweep	Nil	Nil	Nil	Nil	Nil	Nil	4	1	1	Nil	Nil	Nil	3	Nil	Nil	4	Nil	Nil	4	Nil	Nil
Maharashtra	4	1	Nil	8	3	Nil	44	16	7	25	Nil	Nil	69	18	5	Nil	6	Nil	42	Nil	Nil
Manipur	Nil	Nil	Nil	Nil	Nil	Nil	16	14	Nil	27	14	5	27	14	3	27	14	5	27	14	5
Meghalaya	2	1	3	5	Nil	Nil	Nil	12	7	12	6	1	27	15	5	Nil	3	2	22	Nil	1
Madhya Pradesh	30	5	1	10	6	4	70	25	6	Nil	Nil	Nil	Nil	Nil	Nil	35	12	Nil	60	Nil	Nil
Mizoram	3	Nil	Nil	1	Nil	Nil	18	Nil	Nil	14	3	Nil	8	2	Nil	10	4	Nil	18	6	3
Orissa	1	Nil	Nil	38	05	01	60	29	Nil	26	11	04	60	27	04	60	29	06	60	30	15
Puducherry	Nil	Nil	Nil	Nil	Nil	Nil	11	3	1	2	Nil	Nil	12	Nil	Nil	Nil	Nil	Nil	5	2	1
Punjab	9	6	2	4	1	Nil	36	11	Nil	22	1	Nil	32	8	1	32	2	Nil	35	12	3
Rajasthan	5	6	Nil	Nil	2	1	62	22	6	12	4	Nil	61	21	6	Nil	6	3	51	6	4
Tamil Nadu	6	1	Nil	10	Nil	Nil	58	8	6	4	Nil	Nil	55	1	1	60	Nil	2	32	2	1
Tripura	Nil	Nil	Nil	8	Nil	Nil	35	07	03	22	01	Nil	36	06	Nil	36	06	01	36	02	03

Uttar Pradesh	8	1	Nil	14	Nil	1	72	32	7	9	7	2	72	21	1	42	8	1	72	24	16
Uttarakhand	1	Nil	Nil	Nil	Nil	1	29	8	5	16	3	1	24	10	2	30	2	1	23	4	1
West Bengal	6	1	Nil	10	5	1	58	28	3	29	6	Nil	54	17	Nil	58	21	Nil	60	30	11
Total	159	69	24	322	91	25	1108	431	102	529	120	14	1008	287	60	688	241	58	1000	332	142

(Source: Information collected from health centres)

Infrastructure Development and Capacity Building

Annex 6.6

(Refers to paragraph No. 6.5.3)

State wise data on lack of basic infrastructural support at sample health centres

States	No telephone			No electricity			No generator		No vehicle		No computer	
	SC	PHC	CHC	SC	PHC	CHC	PHC	CHC	PHC	CHC	PHC	CHC
Andhra Pradesh	65	20	5	0	0	0	13	6	29	5	0	15
Arunachal Pradesh	40	13	0	37	5	0	13	0	14	8	20	8
Assam	58	16	9	58	1	0	15	2	19	0	17	6
Bihar	72	29	2	72	31	0	30	3	35	9	35	10
Chhattisgarh	36	16	2	0	1	0	18	4	15	0	18	2
Gujarat	37	2	0	0	1	0	8	4	7	1	0	3
Haryana	48	2	0	23	0	0	15	5	15	3	8	0
Himachal Pradesh	36	17	0	3	1	0	18	8	15	0	18	0
Jammu & Kashmir	45	22	5	38	1	0	15	0	10	7	21	2
Jharkhand	34	15	NA	34	15	NA	8	NA	17	NA	20	NA
Karnataka	72	7	0	53	1	0	27	2	24	1	36	6
Kerala	36	13	0	14	0	0	18	5	18	0	7	0
Madhya Pradesh	70	28	1	22	0	0	26	1	28	4	35	0
Maharashtra	68	21	4	45	1	0	7	3	3	0	19	5
Manipur	27	14	3	0	0	0	14	0	11	0	0	0
Meghalaya	DNA	20	7	22	1	0	19	6	14	5	DNA	13
Mizoram	18	2	0	8	2	0	6	2	4	0	6	3
Orissa	60	29	0	24	8	0	30	7	29	8	29	1
Punjab	48	16	0	13	0	0	17	0	20	0	23	0
Rajasthan	0	3	0	50	3	1	33	8	35	8	34	9
Sikkim	0	0	0	0	0	0	6	3	0	0	0	0
Tamil Nadu	0	0	0	4	0	0	0	1	14	1	1	0
Tripura	36	5	1	29	1	0	17	3	1	0	13	3
Uttarakhand	30	8	2	5	1	1	10	2	10	1	12	0
Uttar Pradesh	72	23	13	59	10	0	19	1	23	2	24	12
West Bengal	60	30	0	42	9	0	29	7	30	0	30	0
A & N Islands	16	0	0	0	0	0	0	0	1	0	0	0
Chandigarh	3	0	0	0	0	0	0	2	0	2	0	0
D & N Haveli	7	0	0	0	0	0	0	1	0	0	2	0
Delhi	6	4	0	0	0	0	8	0	0	9	7	0
Lakshadweep	3	0	0	0	0	0	0	1	0	0	1	1
Puducherry	4	0	0	2	0	0	7	0	0	0	10	1
Total	1107	375	54	657	93	2	446	87	441	74	446	100

(Source: Information collected from health centres)

DNA- Data not available

Infrastructure Development and Capacity Building

Annex 6.7

(Refers to paragraph 6.6.2)

Status of essential obstetric care facilities

States where none of the health centres had adequate supply of Kit A and Kit B	Haryana, Bihar, Himachal Pradesh Jammu & Kashmir, Madhya Pradesh, Punjab Sikkim, Uttar Pradesh, Manipur, Mizoram and Uttarakhand
States where some of the health centres had adequate supply of kit A and kit B	Gujarat-6, Jharkhand-7, Rajasthan-14, Puducherry-30, Andaman & Nicobar-12, West Bengal-60, Lakshadweep-4
States where none of the health centres had equipment for neonatal resusciation	Uttar Pradesh, Bihar, Sikkim, West Bengal and Uttarakhand
States where some of the health centres had equipment for neonatal resusciation	Assam-20, Jammu & Kashmir-2, Orissa-8, Punjab-4 , Jharkhand-7
States where none of the CHCs had been upgraded to FRU	Andaman & Nicobar, Bihar, Dadra & Nagar Haveli, Kerala, Madhya Pradesh, Mizoram, Orissa, Puducherry, Tamil Nadu, Tripura, West Bengal and Sikkim
States where some of the CHCs had been upgraded to FRU	Haryana-45, Andhra Pradesh-151, Assam-30, Chhattisgarh-94, Gujarat-101, Himachal Pradesh-36, Jammu & Kashmir-24, Manipur-13, Maharashtra-123, Punjab-75, Rajasthan-237, Uttar Pradesh-52, Uttarakhand -26
States where none of the CHCs had facilities for caesarian section	Andaman & Nicobar, Bihar, Dadra & Nagar Haveli, Himachal Pradesh, Meghalaya, Mizoram, Tamil Nadu, Tripura and Uttar Pradesh
States where some of the CHCs had facilities for caesarian section	Haryana-4, Andhra Pradesh-6, Madhya Pradesh-6, Puducherry-3, Assam-10, Chhattisgarh-4, Gujarat-6, Jammu & Kashmir-2, Maharashtra-6, Punjab-5, Rajasthan-8, Manipur-2, Kerala-3, Sikkim-2, Orissa-8, West Bengal-1, Uttarakhand-1

(Source: Information collected from health centres and information provided by SHSs)

Infrastructure Development and Capacity Building

Annex 6.8

Refers to Paragraph 6.6.5

A - Status of cold chain equipment in CHCs

Name of the State/UT	No. of audited CHCs	No. of CHCs with cold chain equipment		
		Ice lined freezers	Refrigerators	Deep freezers
Madhya Pradesh	18	15	13	18
Rajasthan	18	16	16	18
Uttarakhand	9	8	7	9
Chhattisgarh	9	8	6	8
Jammu & Kashmir	12	10	8	11
Manipur	5	2	5	3
Meghalaya*	13	13	3	13
Mizoram	3	3	3	3
Himachal Pradesh	9	9	8	9
Sikkim	3	3	1	3
Andaman & Nicobar*	4	4	4	4
Chandigarh	2	1	1	2
Gujarat	12	11	9	9
Haryana	12	11	9	12
Karnataka	18	18	14	18
Kerala	9	9	9	7
Puducherry	4	4	4	4
Punjab	12	12	11	11
Tamil Nadu	15	15	15	15
West Bengal	15	15	10	14
Uttar Pradesh	18	18	0	18
Total	220	205	156	209

*CHCs in audited districts

B - Status of cold chain equipment in PHCs

Name of the State/UT	No. audited PHCs	No. of PHCs with cold chain equipment		
		Ice lined freezers	Refrigerators	Deep freezers
Uttarakhand	13	12	12	2
Chhattisgarh	18	7	2	8
Jammu & Kashmir	22	10	0	3
Manipur	15	0	14	8
Himachal Pradesh	18	8	4	11
Sikkim	6	6	6	6
Andaman & Nicobar	8	8	8	8
Kerala	18	1	5	2
Punjab	24	15	9	17
Tamil Nadu	30	30	30	30
Jharkhand	9	9	0	9
Uttar Pradesh	36	4	0	4
Total	217	110	90	104

(Source: Information collected from health centres)

Infrastructure Development and Capacity Building

Annex 6.9

(Refers to paragraph 6.7.1)

Staff status at Sub centres

States	No. of Sub Centres without prescribed staff					
	Two ANMs	Per cent	One ANM	Per cent	MPW	Per cent
Andhra Pradesh	12	17	0	0	35	49
Assam	30	52	0	0	52	90
Bihar	50	69	9	13	72	100
Chhattisgarh	35	97	1	3	16	44
Gujarat	44	92	4	8	16	33
Haryana	24	50	0	0	24	50
Himachal Pradesh	36	100	11	31	2	6
Jammu & Kashmir	38	84	1	2	13	19
Jharkhand	16	44	2	6	25	69
Karnataka	72	100	10	14	49	89
Kerala	30	83	8	22	22	61
Madhya Pradesh	70	100	11	16	46	66
Maharashtra	55	82	4	6	19	26
Manipur	27	100	7	26	19	70
Meghalaya	30	100	0	0	0	0
Mizoram	0	0	0	0	0	0
Orissa	52	87	6	10	43	72
Punjab	42	88	4	8	17	35
Rajasthan	61	85	14	19	68	94
Sikkim	12	100	0	0	0	0
Tamil Nadu	60	100	0	0	44	74
Tripura	8	22	5	14	19	53
Uttarakhand	27	90	3	10	22	73
Uttar Pradesh	72	100	8	11	72	100
West Bengal	60	100	2	3	27	45
A & N Islands	11	70	4	25	15	93
Chandigarh	6	75	0	0	8	100
D & N Haveli	3	43	2	29	4	57
Daman & Diu	5	83	0	0	0	0
Lakshadweep	4	100	0	0	4	100
Puducherry	0	0	0	0	22	100
Total	992	77	116	9	775	60

(Source: Information collected from health centres)

Infrastructure Development and Capacity Building

Annex 6.10

(Refers to paragraph 6.7.2)

Staff status at PHCs- Number of PHCs without prescribed staff

States	Medical Officer	Per cent	AYUSH Doctor	Per cent	3 Staff Nurses	Per cent	1 Staff Nurse	Per cent	Nurse Midwife	Per cent	Lab Technician	Per cent	Pharmacist	Per cent	LHV	Per cent
Andhra Pradesh	0	0	36	100	34	94	7	19	9	25	12	33	4	11	15	42
Assam	0	0	18	62	17	59	1	3	DNA	DNA	3	10	3	10	20	69
Bihar	13	36	33	92	36	100	36	100	DNA	DNA	33	92	31	86	34	94
Chhattisgarh	3	17	17	94	16	89	15	83	7	39	12	67	10	56	18	100
Gujarat	1	4	20	84	24	100	12	50	DNA	DNA	8	33	7	29	DNA	DNA
Haryana	7	29	24	100	16	67	12	50	DNA	DNA	10	42	5	21	12	50
Himachal Pradesh	7	39	18	100	18	100	12	67	18	100	12	67	7	39	18	100
Jammu & Kashmir	6	27	12	55	20	91	3	14	17	77	6	27	0	0	22	100
Jharkhand	4	17	23	96	19	79	18	75	5	21	15	63	18	75	11	46
Karnataka	3	8	24	67	29	64	6	17	DNA	DNA	8	22	6	17	9	25
Kerala	0	0	18	100	16	89	7	39	16	89	17	94	2	11	15	83
Madhya Pradesh	10	29	35	100	35	100	24	69	DNA	DNA	23	66	16	46	9	26
Maharashtra	0	0	DNA	DNA	32	89	23	64	DNA	DNA	17	47	0	0	0	0
Manipur	2	14	2	14	13	93	5	36	4	29	3	21	2	14	14	100
Meghalaya	2	9	18	82	22	100	2	9	DNA	DNA	3	14	2	9	DNA	DNA
Mizoram	1	16	6	100	2	33	0	0	DNA	DNA	4	66	0	0	6	100
Orissa	6	20	29	97	30	100	27	90	18	60	28	93	19	63	30	100
Punjab	3	13	24	100	20	83	12	50	4	17	14	58	0	0	0	0
Rajasthan	0	0	30	83	36	100	8	22	11	31	20	56	35	97	12	33
Sikkim	0	0	6	100	6	100	6	100	0	0	3	50	5	83	1	17
Tamil Nadu	0	0	26	87	12	40	12	40	0	0	20	67	0	0	0	0
Tripura	0	0	18	100	3	17	0	0	6	33	0	0	1	6	DNA	DNA
Uttarakhand	3	23	12	92	11	85	9	69	DNA	DNA	10	77	4	31	4	31
Uttar Pradesh	0	0	35	97	36	100	21	58	36	100	18	50	4	11	36	100
West Bengal	0	0	23	77	22	73	6	20	24	80	29	97	8	27	24	80
A & N Islands	0	0	6	75	0	0	0	0	0	0	0	0	0	0	0	0
D & N Haveli	0	0	1	50	2	100	0	0	0	0	0	0	0	0	0	0

States	Medical Officer	Per cent	AYUSH Doctor	Per cent	3 Staff Nurses	Per cent	1 Staff Nurse	Per cent	Nurse Midwife	Per cent	Lab Technician	Per cent	Pharmacist	Per cent	LHV	Per cent
Daman & Diu	0	0	2	67	1	33	1	33	2	66	0	0	0	0	0	0
Lakshadweep	0	0	2	100	2	100	0	0	2	100	0	0	0	0	2	100
Puducherry	0	0	DNA	DNA	5	30	0	0	0	0	8	62	2	15	0	0
Total	71	11	518	86	535	82	285	44	179	46	336	52	191	29	312	53

(Source: Information collected from health centres)

DNA: Data not available

Infrastructure Development and Capacity Building

Annex 6.11

(Refers to paragraph 6.7.3) Status of specialist doctors at CHCs

States	No. of CHCs without prescribed specialist doctors									
	General Physician	Per cent	General Surgeon	Per cent	Obstetrician Gynaecologist	Per cent	Paediatrician	Per cent	Anaesthetist	Per cent
Andhra Pradesh	14	78	14	78	12	67	14	78	17	95
Assam	6	43	12	86	10	71	13	93	12	86
Bihar	11	92	9	75	11	92	12	100	12	100
Chhattisgarh	8	89	3	33	8	89	7	78	9	100
Gujarat	11	92	10	83	11	92	11	92	12	100
Haryana	11	92	11	92	11	92	10	83	10	83
Himachal Pradesh	9	100	9	100	9	100	9	100	9	100
Jammu & Kashmir	6	50	9	75	10	83	7	58	9	75
Karnataka	12	67	8	44	7	39	8	44	11	61
Kerala	7	78	2	22	6	67	8	89	8	89
Madhya Pradesh	16	89	16	89	15	83	16	89	18	100
Maharashtra	17	94	12	67	12	67	14	78	14	78
Manipur	0	0	5	100	5	100	5	100	5	100
Meghalaya	11	85	13	100	13	100	13	100	13	100
Mizoram	0	0	3	100	3	100	3	100	3	100
Orissa	14	93	9	60	4	27	10	67	15	100
Punjab	8	67	4	33	6	50	5	42	10	83
Rajasthan	4	22	7	39	12	67	16	89	18	100
Sikkim	0	0	2	67	2	67	2	67	2	67
Tamil Nadu	15	100	15	100	15	100	15	100	15	100
Tripura	0	0	3	100	3	100	3	100	3	100
Uttarakhand	8	89	8	89	8	89	4	44	8	89
Uttar Pradesh	11	61	14	78	13	72	11	61	14	78
West Bengal	15	100	15	100	11	73	12	80	13	87
A & N Islands	0	0	4	100	4	100	4	100	4	100
Chandigarh	0	0	0	0	0	0	0	0	1	50
D & N Haveli	1	100	1	100	1	100	1	100	1	100
Lakshadweep	2	100	2	100	2	100	2	100	2	100
Puducherry	2	50	4	100	2	50	1	25	4	100
Total	219	72	224	74	226	74	236	78	272	89

(Source: Information collected from health centres)

Infrastructure Development and Capacity Building

Annex 6.12 (Refers to paragraph 6.7.3) Staff status at CHCs

States/UTs	CHCs without prescribed staff											
	9 Staff Nurses	Per cent	5 Staff Nurses	Per cent	1 Staff Nurse	Per cent	Radio logist	Per cent	Pharm acist	Per cent	Lab technician	Per cent
Andhra Pradesh	14	78	6	33	1	6	18	100	1	6	3	17
Assam	9	64	1	7	1	7	6	43	1	7	3	21
Bihar	12	100	12	100			7	58	7	58	9	75
Chhattisgarh	9	100	8	89	1	11	4	44	3	33	1	11
Gujarat	11	92	1	8	0	0	5	42	4	33	2	17
Haryana	5	41	4	34	3	25	6	50	4	33	5	42
Himachal Pradesh	9	100	5	56	0	0	9	100	2	22	0	0
Jammu & Kashmir	10	83	4	33	1	5	11	92	0	0	2	17
Karnataka	11	61	5	28	0	0	10	56	3	17	8	36
Kerala	3	33	1	11	0	0	8	89	1	11	1	11
Madhya Pradesh	18	100	16	89	1	6	6	33	5	28	1	6
Maharashtra	16	89	4	22	1	6	15	83	1	6	3	17
Manipur	2	40	2	40	2	40	3	60	1	20	1	20
Meghalaya	11	85	7	54	0	0	13	100	0	0	1	8
Mizoram	3	100	1	33	0	0	1	33	0	0	0	0
Orissa	15	100	11	73	1	7	15	100	2	15	4	27
Punjab	11	92	5	42	0		12	100	0	0	0	0
Rajasthan	16	89	11	61	0	0	14	78	17	94	3	17
Sikkim	2	66	0	0	0	0	2	67	2	67	0	0
Tamil Nadu	15	100	12	80	0	0	7	48	0	0	3	20
Tripura	3	100	0	0	0	0	1	33	0	0	0	0
Uttarakhand	8	89	8	89	1	11	6	67	1	11	5	56
Uttar Pradesh	18	100	14	78	1	6	10	56	0	0	4	22
West Bengal	12	80	5	33	0	0	15	100	0	0	1	7
A & N Islands	0	0	0	0	0	0	4	100	0	0	0	0
Chandigarh	0	0	0	0	0	0	1	50	0	0	0	0
Lakshadweep	2	100	2	100	0	0	0	0	0	0	0	0
Puducherry	0	0	0	0	0	0	0	0	0	0	0	0
Total	245	81	145	48	14	5	209	69	55	18	60	20

(Source: Information collected from health centres)

Infrastructure Development and Capacity Building

Annex 6.13

(Refers to paragraph 6.10 & 6.10.1)

A. Engagement of ASHAs: State specific cases

Himachal Pradesh	Against the requirement of 7750 ASHAs, 2393 had been selected but none of them were appointed. The SHS and DHSs incurred expenditure of Rs. 31.77 lakh on selection of ASHAs, procurement of drug kits (lying idle), printing of booklet and IEC, which remained unfruitful. Rs. 3.28 crore received from the Ministry for ASHA remained unutilised at SHS and DHSs.
Karnataka	During 2006-07, the SHS received Rs. 2.93 crore from the Ministry for selection, training and mobilisation of ASHA, out of which Rs. 45 lakh was released to DHSs and Rs. 2.48 crore was lying in the bank account of the SHS. The ASHAs were not selected in the State. Further, Rs. 33.62 lakh was spent on printing reading materials/booklets for the ASHA which remained unused in the stores.
Tamil Nadu	No ASHA was engaged in the State against the target of engagement of 12,619 ASHAs in 2007-08. The Ministry had approved Rs. 8.34 lakh for engagement of ASHA in the State PIP of 2007-08.

B. Engagement of ASHAs: Inconsistency in data provided by the SHS and the DHS

State/UT	Number of ASHAs engaged in the audited districts		Difference between the SHS and DHS data
	Data provided by the SHS	Data provided by the DHSs	
Andhra Pradesh	14702	12965	1737
Assam	5324	6009	-685
Bihar	11034	11231	-197
Gujarat	2040	1228	812
Haryana	2404	2355	49
Kerala	1940	1720	220
Madhya Pradesh	5774	6034	-260
Mizoram	401	420	-19
Punjab	3330	3396	-66
Rajasthan	8452	8023	429
Uttar Pradesh	11151	11473	-322
Delhi	1190	1208	-18

C. Training of ASHAs: Inconsistency in data provided by the SHS and the DHS

State/UT	Module	Number of ASHAs trained in the audited districts		Difference between the SHS and the DHS data
		Data provided by SHS	Data provided by DHSs	
Assam	1 & 2	5324	4881	443
	3	5324	4340	984
	4	5234	955	4279
Bihar	1	10024	9907	117
Kerala	1	1940	1264	676
	2	1300	657	643
Madhya Pradesh	1	4990	5687	-697
	2	2672	3889	-1217
	3	2673	3750	-1077
	4	921	2222	-1301
Maharashtra	1	1547	2251	-704
Mizoram	1 to 4	401	391	10
Rajasthan	1	6596	6325	271
	2	6384	0	6384

State/UT	Module	Number of ASHAs trained in the audited districts		Difference between the SHS and the DHS data
		Data provided by SHS	Data provided by DHSs	
Uttar Pradesh	1	11037	10845	192
	2	7687	3479	4208
Delhi	1	785	779	6

Infrastructure Development and Capacity Building

Annex 6.14

(Refers to Paragraph 6.11)

Mobile Medical Units: State specific cases

Karnataka	SHS Karnataka received Rs. 11.37 crore from the Ministry in September 2006 for the purchase of 26 MMUs, each carrying a medically equipped vehicle and a passenger vehicle to carry medical staff, in accordance with approved PIP for 2006-07. The SHS kept the entire fund in the fixed deposit. The SHS purchased passenger vehicles of Rs. 1.47 crore for the MMUs in December 2007 and Rs. 9.87 crore remained in the FD. The SHS stated that the Mission Director has now decided to outsource the MMU services. This indicated poor planning by the SHS resulting in wasteful expenditure of Rs. 1.47 crore.
Tamil Nadu	Instead of fully equipped MMUs for 30 districts as envisaged in the PIP, 100 ambulances at the cost of 6 crore were purchased during 2007-08 without having essential drugs/equipment prescribed for MMUs.
Bihar	The MMU service was outsourced in September 2006 to a private agency which initially started mobile clinics in four districts. The MMU remained operational in those districts during June 2006 to December 2006. The SHS terminated the contract in March 2007 due to unsatisfactory delivery of services.
Manipur	The MMUs were procured but remained unutilised as of October 2008 for want of technical know how and specialist doctors.
Tripura	The MMUs were procured but remained unutilised. Expression of interest was floated in May 2008 inviting interested NGO/charitable organization to operationalise the MMUs.
Andhra Pradesh	The MMUs were not equipped as per the guidelines and BP apparatus, ECG machine, lab equipment etc. were not present in MMUs. These were consequently not providing full prescribed range of services.

Procurement and Supply of Medicine and Equipment

Annex 7.1

(Refers to paragraph 7.4.3)

Cases of excessive and infructuous purchases: State specific audit findings

(Rupees in lakh)

State	Audit findings	Amount
Maharashtra	i) As per the terms and conditions of the contract, 100 <i>per cent</i> payment was to be made only on submission of Receipt and Acceptance Certificate in the prescribed proforma from all the consignees. However payment was made to the suppliers without receipt of certificate of delivery of medicines from consignees.	188.08
	ii) Medicines were procured and distributed by State Family Welfare Bureau (SFWB) Maharashtra, Pune without requirement.	63.72
	iii) Irregular payment of Rs.14.49 lakh to the supplier without receipt of final installation certificate from the consignee.	14.49
Bihar	i) 1452133 indelible ink marker pens were purchased under pulse polio immunization programme having capacity of 300 impressions only, against the GOI norm of 600 impressions per pen. However only 473921 pens (includes 10 per cent extra) were required for the number of children immunised (1: 600 ratio of pen and children). This resulted in excess expenditure on purchase of 978212 pens.	128.00
	ii) In East Champaran district 800 plastic chairs were purchased in March 2006 without observing the purchase procedure and ensuring good quality.	2.15
Assam	i) Medicines were procured during 2006-07 though the same were not required as components of any kit.	19.94
	ii) Drugs and medicines were procured in excess of the quantity required for kit preparation resulting in excess expenditure.	8.34
	iii) Avoidable payment of central sales tax, as medicines were not purchased directly from the manufacturer.	36.16
	iii) Procurement of condom in excess of requirement resulting in extra expenditure.	19.06
	iv) 5000 beds were procured and assembled at National Games Village, Guwahati out of which 4265 beds were finally distributed to various health centres in the district level. The whereabouts of remaining 735 beds were not known.	52.94
Uttarakhand	i) AYUSH Kits supplied on the basis of sanction strength of doctors instead of actual number of doctors posted resulted in excess procurement.	101.00
	ii) 33 computers were purchased (instead of the sanctioned 40) at a higher cost with TFT monitor. As a result 7 PHCs/CHCs were deprived of computerised facilities.	2.50
Daman and Diu	Drugs procured in excess of requirement resulted in extra expenditure.	7.41
Karnataka	Against the supply order of 12 lakh immunisation cards, the firm supplied only 11.45 lakh cards while the department paid for entire 12 lakh cards. Thus, short supply of indented item resulted in extra payment.	3.56
Madhya Pradesh	ASHA kits procured in excess of requirement resulted in extra expenditure.	73.49
Chhattisgarh	i) Double the sanctioned quantity procured in October 2005 against a single sanction order resulted in extra procurement.	15.62
	ii) Payment to firms by the State Malaria Control Society without deducting commercial tax on purchase of Lab equipment/ consumables resulted in excess payment.	52.13
	iii) Neo natal care equipment procured for the hospital was diverted to other CHCs, as the items were not required at the hospital which had no Neo natal care unit.	20.51
TOTAL		809.10

Procurement and Supply of Medicine and Equipment

Annex 7.2

(Refers to paragraph 7.6)

Utilisation of funds released for procurement at SHSs

(Rupees in lakh)

State	Year	Funds released by Central Government for procurement	Actual Expenditure	Unspent amount (% of release)
A & N Islands	2006-07	38.00	Nil	38.00 (100)
Andhra Pradesh	2007-08	1538.27	1346.88	191.39 (12.44)
Assam	2005-08	10189.52	9568.37 ⁸	621.15 (6.10)
Arunachal Pradesh	2005-08	1450.52	1149.90	300.62 (20.72)
Chandigarh	2005-08	61.19	24.57	36.62 (59.85)
D & N Haveli	2006-07	13.00	7.34	5.66 (43.54)
Delhi	2006-08	375.61	30.81	344.80 (91.80)
Gujarat	2005-08	2751.90	2160.99	590.91 (21.47)
Haryana	2005-08	757.66	201.26	556.40 (73.44)
Himachal Pradesh	2006-08	1122.84	969.38	153.46 (13.67)
Jharkhand	2005-08	2015.91	729.63	1286.28 (63.81)
Jammu & Kashmir	2005-07	941.45	480.65	460.80 (48.96)
Lakshadweep	2005-06	23.00	5.93	17.07 (74.22)
Madhya Pradesh	2005-08	6972.15	5721.46	1250.69 (17.94)
Maharashtra	2005-08	15847.70	3558.10	12289.60 (77.55)
Manipur	2005-08	785.65	267.11	518.54 (66.00)
Meghalaya	2006-08	666.05	457.38	208.67 (31.33)
Mizoram	2005-08	1073.98	754.57	319.41 (29.74)
Orissa	2005-08	5316.04	2068.72	3247.32 (61.09)
Puducherry	2006-08	94.17	91.04	3.13 (3.29)
Punjab	2005-08	1170.76	208.76	962.00 (82.17)
Rajasthan	2005-08	8190.15	3757.88	4432.27 (54.12)
Sikkim	2005-08	2493.00	94.00	2399.00 (96.23)
Tamil Nadu	2005-08	12123.16	4961.44	7161.72 ⁹ (59.07)
Tripura	2006-08	186.29	80.39	105.90 ¹⁰ (56.85)
Uttar Pradesh	2005-08	20989.00	3541.00	17448.00 (83.13)
Uttarakhand	2005-08	1141.71	421.35	720.36 (63.09)
West Bengal	2005-07	2606.00	1292.00	1314.00 (50.42)

(Source: Information compiled from SHS records)

⁸ A substantial part of Rs.10.80 crore released for procurement of drugs in 2005-06 had been utilized for supplies made in 2007-08.

⁹ Rs 5330.44 lakh was lying with procurement agencies.

¹⁰ Rs.24.79 lakh was lying unadjusted with M/s Tamil Nadu Medical Services Corporation Limited (TNMSC)

Information, Education and Communication

Annex 8.1

(Refers to paragraph 8.1.1)

Financial irregularities in expenditure on IEC: State specific audit findings

(Rs. in crore)

State	Audit findings	Amount
Assam	IEC activities were outsourced at a cost of Rs. 1512.04 lakh to 18 private agencies through 68 supply orders during 2007-08 without inviting any tender/bid to ensure competitive price in contravention to rules.	15.12
	The SHS entered into an understanding with Assam State Transport Corporation (ASTC) without execution of any formal agreement for displaying the JSY message on 200 buses for one year. The SHS released Rs. 24 lakh during 2006-07 to ASTC in two instalments at the rate Rs. 12,000 per bus. However, the ASTC did not carry out the publicity and the message was removed from buses long before the expiry of the one year period. Consequent upon the failure to re-display the message and despite requests made by the MD, NRHM to refund half of the advance, viz. Rs. 12 lakh, ASTC did not return any amount as of August 2008. The SHS failed to secure its financial interests by releasing full amount as advance in contravention to the rules.	0.24
Chhattisgarh	Directorate of Health Services placed in March 2006 the work order to a private agency for 3,456 programmes of folk play and dance drama @ Rs.1940/- per programme unilaterally which was irregular and a favour to the agency, as neither the agency had quoted any rates for programmes, nor the department obtained rates from SAMVAD (an agency of the State Government dealing with publicity work) that was required under rules.	0.67
	During 2005-06 Directorate of Health Services issued work order to three Kalajatha Samitis to conduct folk dance programmes under IEC. These Samitis were required to submit the certificates from Sarpanchs of the villages or social workers, and photographs of the programmes actually held to the Directorate. The District Chief Medical & Health Officers were made responsible to execute the Kalajatha programmes in the villages under their districts and provide a certificate of proof of execution of programme. No certificate regarding conduct of programmes or photographs supporting the claim of conduct of the show was produced either by the Directorate or CMHOs in the test-checked districts. Further, while CMHOs of two test-checked districts stated that the records relating to execution of programmes were kept at State level, CMHO of one district (Kanker) stated in November 2008 that no such programmes had taken place although the work orders included 850 programmes for Kanker district involving a payment of Rs. 16.49 lakh.	4.16
	State Health Society advertised various health programmes at a cost of 4.03 lakh in various little known magazines like "Janjgir Manch", "Satwan Falak", "Rashmi Pravah", "Souvenir" and "Fight Open" and had no record regarding their circulation. The SHS stated in June 2008 that order for publicity was given in these magazines on the basis of request made by their editors and the range of circulation of the magazines was not known.	0.04
Karnataka	Expenditure was incurred for conducting health check-up camp for legislators in Bangalore in July 2007 out of the funds released for IEC activities which was irregular.	0.11
	Expenditure was incurred on 1000 umbrellas and on printing and supply of hand bills on World Population Day out of IEC funds, which did not serve any IEC objective.	0.02
	In two districts vouchers of expenditure incurred on IEC activities were not produced to audit.	0.05
	The advertisements of the NRHM were placed in magazines/souvenirs/special editions which had urban circulation. The department stated that the expenditure had been incurred as per the orders of the higher authorities.	0.02
Uttar Pradesh	Broadcasting of NRHM messages through Doordarshan and All India Radio was done through a private agency which was selected as a single source without following rules ensuring competitive selection.	8.02
Uttara khand	The NRHM message was published at a cost of Rs. 1.20 lakh in monthly magazine Vichar Mimansa, published from Bhopal, the data on circulation of which in rural areas of the State was not available.	0.01
Madhya Pradesh	During 2006-07, SHS released Rs. 889.00 lakh to the IEC Bureau. The IEC Bureau, however, had shown the receipt of Rs. 697.08 lakh and the remaining amount could not be reconciled.	1.92

Achievements in Healthcare

Annex 9.1

Refers to Paragraph 9.3.1 a (i)

Status of registration and ante natal check-ups of pregnant women

Sl. No.	Name of the state/UT	Year	No. of total pregnancies	No. of pregnant women registered at any health centre	No. of pregnant women registered during 12 weeks of pregnancy	Percentage of women registered within 12 weeks of pregnancy	No. of registered pregnant women receiving four antenatal checkups (ANC)	Percentage of women received four ANC	No. of pregnant women not receiving antenatal checkups	Percentage of women not received any ANC
1.	Jharkhand	2006-08	375777	375777	173901	46	77804	21	201876	53.72
2.	Madhya Pradesh	2005-08	6246000	6246000	2790000	45	2790000	45	1356000	21.71
3.	Orissa	2005-08	2643413	2643413	NA	NA	2352796	89	NA	NA
4.	Rajasthan	2005-08	6150001	5907243	2711253	46	3616654	61	NA	NA
5.	Uttar Pradesh	2005-08	19356000	16047000	16047000	100	8743000	54	500000	3.12
6.	Uttarakhand	2005-08	633506	633506	NA	NA	NA	NA	NA	NA
7.	Chhattisgarh	2005-08	1991851	1991851	NA	NA	1634079	82	NA	NA
8.	Assam	2005-08	2234710	1910244	1910244	100	1080270	57	324466	16.99
9.	Jammu & Kashmir	2005-08	1128696	1102246	1102246	100	420070	38	NA	NA
10.	Manipur ¹¹	2005-08	8609	2361	554	23	554	23	0	NA
11.	Meghalaya	2005-08	NA	237263	NA	NA	100310	42	136953	57.72
12.	Mizoram	2006-08	NA	14583	5028	34	NA	NA	NA	NA
13.	Himachal Pradesh	2005-08	NA	465499	465499	100	351342	75	NA	NA
14.	Sikkim	2005-08	30828	17885	11598	65	11598	65	Not available	NA
15.	Arunachal Pradesh	2005-08	NA	57566	NA	NA	NA	NA	NA	NA
16.	Tripura	2005-08	NA	196502	NA	NA	NA	NA	NA	NA
17.	Andaman & Nicobar	2005-08	NA	NA	NA	NA	NA	NA	NA	NA

¹¹ For one district only

Sl. No.	Name of the state/UT	Year	No. of total pregnancies	No. of pregnant women registered at any health centre	No. of pregnant women registered during 12 weeks of pregnancy	Percentage of women registered within 12 weeks of pregnancy	No. of registered pregnant women receiving four antenatal checkups (ANC)	Percentage of women received four ANC	No. of pregnant women not receiving any antenatal checkups	Percentage of women not received any ANC
18.	Andhra Pradesh	2005-08	NA	5489329	4394767	80	5077078	92	412250	7.51
19.	Chandigarh	2005-08	95806	92201	92201	100	65007	71		NA
20.	Dadra & Nagar Haveli	2005-08	NA	17558	5185	30	12150	69	NA	
21.	Gujarat	2005-08	4092566	4126910	2186737	53	NA	NA	1564077	37.90
22.	Haryana	2005-08	1696055	1696055	1696055	100	NA	NA	NA	NA
23.	Kerala	2005-08	1887878	1852581	1852581	100	1383435	75	35297	1.91
24.	Lakshadweep	2005-08	1102	1102	1051	95	1102	100	Nil	NA
25.	Maharashtra	2005-08	6691569	6691569	6021548	90	6691569	100	NA	NA
26.	Puducherry	2005-08	134476	134476	134476	100	134476	100	0	NA
27.	Punjab	2005-08	1508107	1508107	NA	NA	1296985	86	Nil	NA
28.	West Bengal	2005-08	4967304	4967304	NA	NA	3123726	63	Nil	NA

(Source: Figures from State Audit Reports)

Achievements in Healthcare

Annex 9.2
(Refers to Paragraph 9.3.1 a (ii))
Administration of IFA tablets

Name of the State/UT	Year	No. of pregnant women receiving 100 days of IFA tablets	Percentage of registered pregnant received IFA tablets	Name of the State/UT	Year	No. of pregnant women receiving 100 days of IFA tablets	Percentage of registered pregnant received IFA tablets
Andhra Pradesh	2005-06	1,838,140	99.51	Rajasthan	2005-06	2,172,998	111.44
	2006-07	1,561,118	84.60		2006-07	1,952,280	100.63
	2007-08	1,439,431	80.11		2007-08	1,787,436	88.61
Gujarat	2005-06	982,548	70.64	Sikkim	2005-06	3,596	56.96
	2006-07	980,797	71.83		2006-07	2,642	47.73
	2007-08	902,973	65.88		2007-08	2,404	39.82
Himachal Pradesh	2005-06	147,593	93.13	Uttar Pradesh	2005-06	5,249,000	98.52
	2006-07	97,711	63		2006-07	2,014,000	37.60
	2007-08	112,619	74.13		2007-08	1,480,000	27.60
Jammu & Kashmir	2005-06	192,701	63.16	Uttarakhand	2005-06	191,456	85.69
	2006-07	177,323	44.83		2006-07	87,489	38.68
	2007-08	163,373	40.69		2007-08	57,262	31.14
Jharkhand	2005-06	N.A	NA	West Bengal	2005-06	1,481,520	97.98
	2006-07	45,793	36.96		2006-07	1,120,597	71.26
	2007-08	80,066	31.79		2007-08	1,384,850	73.56
Kerala	2005-06	562,603	84.37	Haryana	2005-06	737,184	134.65
	2006-07	340,988	55.94		2006-07	517,517	86.55
	2007-08	451,487	78.35		2007-08	607,568	110.34
Maharashtra	2005-06	1,322,687	59.16	Madhya Pradesh	2005-06	2,052,000	98.89
	2006-07	705,680	31.65		2006-07	1,693,000	82.38
	2007-08	1,007,441	45.25		2007-08	1,977,000	93.43
Puducherry	2005-06	18,165	44.19	Chhattisgarh	2005-06	674,427	100.24
	2006-07	15,271	33.83		2006-07	444,045	65.71
	2007-08	16,833	34.90		2007-08	557,894	85.67
Punjab	2005-06	597,534	112.12				
	2006-07	223,675	43.88				
	2007-08	25,041	5.38				

(Source: Data provided by SHSs)

Achievements in Healthcare

Annex 9.3

Refers to Paragraph 9.3.1 b (i)

Target and achievement for institutional deliveries under JSY

Sl. No.	Name of the State/UT	Year	Target	Achievement	Percentage shortfall/excess (achievement)
1.	Andhra Pradesh	2005-08	4,516,000	3,865,000	14
2.	Assam	2005-08	670,414	663,429	1
3.	Chandigarh	2005-08	NA	50,207	-
4.	Dadra & Nagar Haveli	2005-08	NA	5,445	-
5.	Gujarat	2005-08	3,575,000	2,486,000	30
6.	Himachal Pradesh	2005-08	NA	163,936	-
7.	Jammu & Kashmir	2005-08	429188	349,977	18
8.	Jharkhand	2006-08	375,777	151,317	60
9.	Maharashtra	2005-08	4,911,563	3,451,231	30
10.	Mizoram	2005-08	NA	46,029	-
11.	Puducherry	2005-08	NA	72,000	-
12.	Punjab	2005-08	1,554,950	299,544	81
13.	Rajasthan	2005-07	3,690,556	3,690,556	0
14.	Sikkim	2005-08	NA	16,655	-
15.	Uttar Pradesh	2005-08	5,794,000	5,204,000	10
16.	Uttarakhand	2005-08	698,425	150,652	78
17.	West Bengal	2005-08	NA	2,113,315	-
18.	Haryana	2005-08	848,027	629,465	26
19.	Madhya Pradesh	2005-08	1,365,516	1,571,933	(15.12)
20.	Arunachal Pradesh	2005-08	NA	25,913	-
21.	Tripura	2005-08	119,782	95,704	20

(Source: Information compiled from SHS records)

Achievements in Healthcare

Annex 9.4

Refers to Paragraph 9.3.1 (b-iii)

Irregularities in payment of incentive under the JSY: State specific audit findings

Bihar	<ul style="list-style-type: none"> ▪ Out of the cash incentive of Rs 13.75 crore paid to 1,00,192 beneficiaries during 2005-08, payment of Rs 8.72 crore was made to 62685 beneficiaries after delays ranging between 10 and 357 days. ▪ In the audited districts, during 2005-08, payments were not made to 32,575 beneficiaries during their stay at health units due to non-availability of funds. These beneficiaries did not turn up to receive the payment later. ▪ Vouchers in support of payment of transportation cost were not available in the health units. ▪ In PHC, Barhara, records like cash book, JSY payment register, vouchers etc. in support of payment made to the beneficiaries/ASHA during 2006-08 were not maintained.
Uttar Pradesh	Despite the availability of funds, cash incentive was not paid to any of the 1,48,241 beneficiaries during 2005-06 and only 8,626 (6.27 per cent) out of 1,37,494 beneficiaries were paid cash incentive in 2006-07 in the audited district.
Punjab	In 190 cases, payment of Rs. 0.95 lakh was made in advance of delivery for periods ranging from 30 to 210 days in 2006-07 and 2007-08.
Chhattisgarh	Incentive of Rs.1.13 lakh was paid at the rate of Rs.1000 instead of Rs.1400 to 284 beneficiaries of rural areas in District Hospital at Raigarh and Civil Hospital at Dharamjaigarh.
Assam	In Guwahati Medical College and Hospital, in 4,164 cases, payment of cash incentive was made (during the period 2007-08) at the higher rate of Rs.1,500 by the DHS, Kamrup (Metro), against the admissible rate of Rs.1,200 in urban areas.
Madhya Pradesh	Payment of Rs 33.34 lakh in 2840 cases was made to beneficiaries after 7 days of delivery.
Andhra Pradesh	<ul style="list-style-type: none"> ▪ There was a delay of 5 months in paying Rs. 13.80 lakh to 1,474 beneficiaries. ▪ Irregular payment of Rs. 2.66 crore of cash incentive to 38,065 urban women at the rate of Rs. 700 per delivery was paid prior to the date of extending the facility in urban areas. ▪ Incentives were paid to 2,56,010 urban beneficiaries at a higher rate of Rs. 700 instead of Rs. 600 fixed for urban areas resulting in excess payment of Rs. 2.56 crore during 2006-08.
Arunachal Pradesh	Actual pay receipt (APRs) for payments of Rs. 4.47 lakh made to the beneficiaries in the two test-checked districts were not produced to audit.
Chandigarh	Benefit under JSY was allowed without obtaining the requisite documents viz. BPL certificate/ proof of residence etc.
Madhya Pradesh	<ul style="list-style-type: none"> ▪ Payments of Rs.0.58 lakh in Lakhanadaon CHC of Seoni district made in 35 cases under the JSY were doubtful as the names of the patients were different in the payment register and IPD register against the same IPD nos. ▪ The expenditure on cash incentive under JSY increased from Rs. 49.60 crore in 2006-07 to Rs. 194.31 crore in 2007-08 and beneficiaries increased from 3.97 lakh to 11.06 lakh for institutional deliveries while as per IPD figures provided by the SHS, number of in-patients were 2.60 lakh in 37 out of 48 districts in 2007-08.
Maharashtra	In DHS Nasik, irregular expenditure of Rs. 3.82 lakh was incurred in November 2007 for the insurance of JSY beneficiaries.

Achievements in Healthcare

Annex 9.5

(Refers to paragraph 9.3.1 (b-iv))

A - Variation in expenditure under JSY reported to the Ministry

(Rs. In crore)

Name of the State	Expenditure reported in the FMR	Expenditure reported in the progress report	Name of the State	Expenditure reported in the FMR	Expenditure reported in the progress report
Bihar	0.00	126.03	Mizoram	0.91	0.85
Chhattisgarh	10.20	16.50	Gujarat	9.55	9.82
Uttar Pradesh	118.56	113.06	Karnataka	18.28	21.70
Arunachal Pradesh	0.45	0.49	West Bengal	27.15	33.74

B- Inconsistency in data

Ministry	<ul style="list-style-type: none"> ▪ As per the data available with the Ministry, number of domiciliary deliveries were 'Nil' in Andhra Pradesh, Bihar and Chandigarh whereas in the audited districts as per the records of DHS 1,81,748, 10,193 and 11,079 domiciliary deliveries had been noticed respectively during the year 2005-08. ▪ The Ministry provided two different sets of data on the number of beneficiaries under JSY to Audit. According to the quarterly report up to December 2007, the number of beneficiaries of domiciliary deliveries was 15.95 lakh, which came down to 11.30 lakh at the end of the year as per data provided to audit for the whole year. Thus, either the quarterly or annual data reported to Ministry was not correct. 																													
Jharkhand	As per data provided by the SHS, during 2006-07 and 2007-08, the targeted institutional deliveries in the State were 1,23,910 and 2,51,867 out of which total institutional deliveries were 68,900 and 82,417 respectively, but cash incentive was paid to 1,23,910 and 2,51,867 women during the two years. However in audited districts, against the 1,26,565 domiciliary deliveries and 50,900 institutional deliveries noted, cash payment of incentive was made to 7,800 beneficiaries only.																													
Bihar	<p>Inconsistency in the total number of institutional deliveries and payments made to beneficiaries noticed in audited districts were as under:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2">Year</th> <th colspan="2">No. of institutional deliveries</th> <th colspan="2">No. of beneficiary paid incentive</th> </tr> <tr> <th>As per DHS</th> <th>As per records of Health units</th> <th>As per DHS</th> <th>As per records of Health units</th> </tr> </thead> <tbody> <tr> <td>2005-06</td> <td style="text-align: center;">856</td> <td style="text-align: center;">2344</td> <td style="text-align: center;">Nil</td> <td style="text-align: center;">Nil</td> </tr> <tr> <td>2006-07</td> <td style="text-align: center;">24079</td> <td style="text-align: center;">17079</td> <td style="text-align: center;">13590</td> <td style="text-align: center;">7558</td> </tr> <tr> <td>2007-08</td> <td style="text-align: center;">157277</td> <td style="text-align: center;">113344</td> <td style="text-align: center;">137891</td> <td style="text-align: center;">92634</td> </tr> <tr> <td>Total</td> <td style="text-align: center;">182212</td> <td style="text-align: center;">132767</td> <td style="text-align: center;">151481</td> <td style="text-align: center;">100192</td> </tr> </tbody> </table>	Year	No. of institutional deliveries		No. of beneficiary paid incentive		As per DHS	As per records of Health units	As per DHS	As per records of Health units	2005-06	856	2344	Nil	Nil	2006-07	24079	17079	13590	7558	2007-08	157277	113344	137891	92634	Total	182212	132767	151481	100192
Year	No. of institutional deliveries		No. of beneficiary paid incentive																											
	As per DHS	As per records of Health units	As per DHS	As per records of Health units																										
2005-06	856	2344	Nil	Nil																										
2006-07	24079	17079	13590	7558																										
2007-08	157277	113344	137891	92634																										
Total	182212	132767	151481	100192																										

Achievements in Healthcare

Annex 9.6

(Refers to paragraph 9.3.1 (c))

Percentage of women reaching the health centre for post natal care

Year	Name of the State	Percentage of women reaching the centre for post natal care	Name of the State	Percentage of women reaching the centre for post natal care	Name of the State	Percentage of women reaching the centre for post natal care
2005-06	Haryana	67	Maharashtra	78.16	Jharkhand	44
2006-07		76		79.92		45
2007-08		77		68.90		41
2005-06	Dadra & Nagar Haveli	65	Orissa	57	West	57.59
2006-07		59		57	Bengal	64.79
2007-08		79		45		59.40
2005-06	Rajasthan	40	Mizoram	87	Karnataka	27
2006-07		28		91		25
2007-08		29		89		18
2005-06	Gujarat	70	Puducherry	39	Kerala	76.22
2006-07		58		71		59.23
2007-08		66		91		N.A
2005-06	Himachal Pradesh	37	Sikkim	N.A	Jammu & Kashmir	3.20
2006-07		38		0.2		6.82
2007-08		43		4.9		9.24

(Source: Information compiled from SHS records)

Achievements in Healthcare

Annex 9.7

Refers to Paragraph 9.3.2

A - Status of target and achievement under sterilisation during 2005-08

Name of the State	Targets	Achievement	% shortfall
Bihar	906347	581539	36
Gujarat	1022794	857947	16
Andhra Pradesh	800000	711748	11
West Bengal	1027532	622700	39
Madhya Pradesh	1749000	1192503	32
Uttar Pradesh	2910000	1352200	54
Haryana	311800	259409	17
Sikkim	5580	4864	13
Jharkhand	465699	311430	33
Orissa	528218	382918	27
Tripura	51563	19595	62

B- Performance in vasectomy during 2005-08

Name of the State/UT	Percentage of vasectomy	Name of the State/UT	Percentage of vasectomy
States in which percentage of vasectomy was below 1			
Arunachal Pradesh	0.53	Mizoram	0.03
Bihar	Less than 1	Puducherry	0.18
Dadra & Nagar Haveli	0.18	Tamil Nadu	0.2 – 0.4
Karnataka	0.14	Tripura	0.19
Lakshadweep	0	Uttar Pradesh	0.68
States in which percentage of vasectomy ranged between 1 – 9			
Andaman & Nicobar	1	Jharkhand	9
Assam	9.16	Kerala	1
Andhra Pradesh	3.53 – 3.83	Maharashtra	3.23
Chhattisgarh	6	Madhya Pradesh	6.12
Chandigarh	1.20-1.71	Orissa	1.25
Delhi	9	Rajasthan	4
Gujarat	2.70	Uttarakhand	5
Jammu & Kashmir	4.2	West Bengal	3.75

C - Performance in Laparoscopy

Name of the State/UT	Percentage of laparoscopy	Name of the State/UT	Percentage of laparoscopy
A & N Islands	26	Kerala	12
Andhra Pradesh	11.75	Maharashtra	23.67
Assam	96	Manipur	85.07
Bihar	0	Madhya Pradesh	84
Chandigarh	64.28	Orissa	22.03
Chhattisgarh,	18	Puducherry	1.36
D & N Haveli	72	Punjab	47.42
Gujarat	52	Rajasthan	82
Jammu & Kashmir	63	Tamil Nadu	11-12
Jharkhand	1.58	Tripura	39
Haryana	27	Uttarakhand	66
Himachal Pradesh	87	Uttar Pradesh	87
Karnataka	20	West Bengal	18

D - Cases of unsuccessful sterilisation

Name of the State/UT	Total no. of sterilisations	Cases of failure	Name of the State/UT	Total no. of sterilisations	Cases of failure
Uttar Pradesh	1,828,000	373	Uttarakhand	166,844	15
Chhattisgarh	411,429	41	Himachal Pradesh	85,308	65
Tamil Nadu	1,089,820	1,113	Puducherry	30,999	113
Punjab	305,257	69	Delhi	92,288	52
Rajasthan	940,425	1,012	Maharashtra	2,346,742	33
Mizoram	6,741	21	Gujarat	857,947	13
Haryana	221,768	154			

E - Usage in spacing methods

Name of the State/UT	Percentage of usage of various spacing methods		
	Oral pill	IUD	Condom
Jharkhand	9	1	90
Madhya Pradesh	23	18	59
Orissa	27	23	50
Rajasthan	37	20	43
Uttar Pradesh	37	45	18
Uttarakhand	16	45	39
Chhattisgarh	30	34	36
Assam (2007-08)	38	35	27
Jammu & Kashmir	7	1	92
Manipur	9	2.8	88.2
Mizoram	33.17	3.48	63.35
Himachal Pradesh	19	19	62
Sikkim	63	17	20
Arunachal Pradesh	29	04	67
Tripura	24	0	76
Andaman & Nicobar	29	17	54
Andhra Pradesh	22	25	53
Chandigarh	0.90	0.53	98.57
Dadra & Nagar Haveli	25	02	73
Gujarat	14	26	60
Haryana	14	25	61
Kerala	3.4	0.60	96
Lakshadweep	3.25	0.09	96.66
Puducherry	14	26	60
Punjab	4.51	0.98	94.51
Tamil Nadu	20	55	25
West Bengal	43	6	51

(Source: Information compiled from SHS records)

Achievements in Healthcare

Annex 9.8

(Refers to Paragraph 9.3.3 (a)) Incidence of infant diseases

Sl.No.	Name of State/UT	Year	Number of cases of infant diseases				
			Neonatal tetanus	Diphtheria	Tetanus	Whooping cough	Measles
1	Assam	2005-06	3	7	3	9	2137
		2006-07	0	0	0	0	1924
		2007-08	10	28	10	6	2650
2	Gujarat	2005-06	3	1	1	0	19
		2006-07	9	51	12	0	36
		2007-08	3	4	1	1	336
3	Haryana	2005-06	0	0	0	0	11
		2006-07	0	0	1	0	38
		2007-08	0	0	0	0	16
4	Jharkhand	2005-06		5	0	0	6
		2006-07	0	6	0	0	13
		2007-08	1	0	0	0	11
5	Rajasthan	2005-06	8	26	23	2	262
		2006-07	5	0	15	0	116
		2007-08	9	40	9	3	284
6	Jammu & Kashmir	2005-06	0	0	0	0	0
		2006-07	0	0	0	5	74
		2007-08	0	0	0	572	433
7	Karnataka	2005-06	3	12	5	0	374
		2006-07	0	7	8	2	477
		2007-08	0	18	3	2	384
8	Madhya Pradesh	2005-06	15	34	36	250	240
		2006-07	12	16	46	3	309
		2007-08	69	171	103	7	718
9	Punjab	2005-06	111	0	2	0	0
		2006-07	99	0	0	0	13
		2007-08	297	0	1	0	0
10.	Uttar Pradesh	2005-06	6	0	0	0	89
		2006-07	1	0	6	0	112
		2007-08	1	1	3	0	48
11.	Meghalaya	2005-06	0	0	0	39	1407
		2006-07	0	0	0	13	981
		2007-08	0	0	0	6	453
12.	Sikkim	2005-06	NA	NA	NA	NA	46
		2006-07	NA	NA	NA	NA	247
		2007-08	NA	NA	NA	NA	4
13.	Arunachal Pradesh	2005-06	0	0	0	0	169
		2006-07	0	0	0	0	183
		2007-08	0	0	0	0	91
14.	Tripura	2005-06	19	0	15	326	404
		2006-07	1	0	9	148	320
		2007-08	1	0	5	125	176
15.	Dadra & Nagar Haveli	2005-06	0	0	0	0	101
		2006-07	0	0	0	0	68
		2007-08	0	0	0	0	26
16.	Kerala	2005-06	0	0	0	102	592
		2006-07	0	0	0	34	186
		2007-08	0	2	1	29	437

Sl.No.	Name of State/UT	Year	Number of cases of infant diseases				
			Neonatal tetanus	Diphtheria	Tetanus	Whooping cough	Measles
17.	Puducherry	2005-06	0	2	37	0	231
		2006-07	0	2	9	1	313
		2007-08	0	0	2	0	137
18.	Tamil Nadu	2005-06		0	0	0	1619
		2006-07		2	0	0	1204
		2007-08		1	0	0	1013
19.	West Bengal	2005-06	13	6	38	40	3291
		2006-07	11	3	37	44	3847
		2007-08	6	10	21	3	2909
20.	Bihar	2005-06	0	0	0	24	154
		2006-07	0	2	0	48	0
		2007-08	4	0	0	3	46
21.	Orissa	2005-06	0	0	0	24	7
		2006-07	2	0	0	72	81
		2007-08	90	90	0	37	4
22.	Uttarakhand	2005-06	--	--	--	--	--
		2006-07	--	--	--	--	72
		2007-08	--	--	--	--	--
23.	Andhra Pradesh	2005-06	17				
		2006-07	126				
		2007-08	3				
Total			957	544	462	1980	29321

(Source: Information compiled from SHS records)

Achievements in Healthcare

Annex 9.9

Refers to Paragraph 9.3.3 (b)
New polio cases detected during 2005-08

Sl.No.	Name of the State/UT	No. of new Polio cases	No. of children given Polio drops		
			Targets	Achievement	Percentage shortfall / higher (achievement)
1	Bihar	594	N.A	59361078	NA
2	Jharkhand	2	62684524	62684524	0.00
3	Madhya Pradesh	3	28494000	33520000	(17.64)
4	Orissa	2	14214696	13644860	4.01
5	Rajasthan	4	124436401	121966358	1.98
6	Uttar Pradesh	948	116780000	116780000	0.00
7	Uttarakhand	20	27874582	27541132	1.20
8	Chhattisgarh	0	7011390	7029580	(0.26)
9	Assam	2	14083345	13626420	3.24
10	Manipur	NA	1061569	1015754	4.32
11	Meghalaya	0	186135	169927	8.71
12	Himachal Pradesh	1	2213417	2144454	3.12
13	Sikkim	NA	35865	30260	15.63
14	Arunachal Pradesh	0	183823	333702	(81.53)
15	Tripura	0	1253260	1250762	0.20
16	Andaman & Nicobar	0	NA	NA	NA
17	Andhra Pradesh	0	57409372	59546851	(3.72)
18	Chandigarh	1	NA	1538784	NA
19	Delhi	12	NA	64162000	
20	Dadra & Nagar Haveli	0	110372	112434	(1.87)
21	Jammu & Kashmir	1	7299259	7295762	0.05
22	Haryana	26	62718442	62884762	(0.27)
23	Kerala	0	8813282	8610411	2.30
24	Lakshadweep	0	17920	17953	(0.18)
25	Maharashtra	7	142491191	140473088	1.42
26	Puducherry	0	278296	292913	(5.25)
27	Punjab	10	50158816	49826519	0.66
28	Tamil Nadu	0	54908456	57562785	(4.83)
29	West Bengal	1	NA	NA	NA
30	Gujarat	6	919,9100	925,45,000	(0.01)

(Source: Information compiled from SHS records)

Achievements in Healthcare

Annex 9.10

(Refers to paragraph 9.3.3 (b))

Deficiencies in Pulse Polio Immunisation

Bihar	<ul style="list-style-type: none"> • As per the SHS new polio cases detected during 2006-07 were only 61 for the entire State, whereas in six test-checked districts alone, the new polio cases reported were 64. • In Bhojpur district, functional Sub Centres were 303 during 2005-08 whereas the number of Sub Centres shown by the district while incurring expenditure on courier services was 308 to 355 (During July 2007 to March 2008 funds were provided for 924 immunisation centres). Hence, against requirement of funds of Rs 46.15 lakh for courier services, Rs 62.92 lakh was made available to and spent by PHCs during 2005-08 on an inflated figure of functional Sub Centres. No supporting vouchers were available in the DHS. Hence, suspected misappropriation of Rs 16.77 lakh could not be ruled out. • In Bhojpur district, only one outsourced generator was available and functional since July 2006 in each PHC for which payment of Rs 42.80 lakh had been made in advance by the Civil Surgeon-cum-CMO during 2006-2008. Thus, no separate fund was required to be spent for maintaining cold chain system in the PHC, as on an average each PHC had only one Ice Lined Refrigerator and deep freezer. In Bhojpur Rs. 27.36 lakh made available to PHCs for Petrol, Oil and Lubricant had never been refunded and was booked as spent. Thus, possibility of misappropriation of the entire amount of Rs 27.36 lakh could not be ruled out. • Despite acute shortage of cold chain equipment, supplies against the requisitioned quantity ranged between 16 and 44 per cent only. 7000 vaccine carriers supplied by the Ministry at a cost of Rs. 25.13 lakh during 2005-06 were found to be substandard. Moreover, there was acute shortage of thermometers. 																
Mizoram	<p>The Mission had not conducted any survey to identify the number of children (0-5). In the absence of this baseline survey, the basis for fixation of targets remained adhoc. However, based on population of the State, the number of children of different age groups during 2005-06 to 2007-08 was higher than the target fixed and the achievement claimed by the Department was not correct.</p> <table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th>Year</th> <th>Target to be fixed</th> <th>Target actually fixed</th> <th>Shortfall</th> </tr> </thead> <tbody> <tr> <td>2005-06</td> <td>122683</td> <td>117318</td> <td>5365</td> </tr> <tr> <td>2006-07</td> <td>132272</td> <td>115397</td> <td>16875</td> </tr> <tr> <td>2007-08</td> <td>132775</td> <td>123809</td> <td>8966</td> </tr> </tbody> </table> <p>The Department stated in November 2008 that shortfall would be covered in the subsequent rounds.</p>	Year	Target to be fixed	Target actually fixed	Shortfall	2005-06	122683	117318	5365	2006-07	132272	115397	16875	2007-08	132775	123809	8966
Year	Target to be fixed	Target actually fixed	Shortfall														
2005-06	122683	117318	5365														
2006-07	132272	115397	16875														
2007-08	132775	123809	8966														

Achievements in Healthcare

Annex 9.11

Refers to Paragraph 9.3.3 (c)

Target and achievement for administration of Vitamin A

Name of the State/UT	Targets	Ist dose	Percentage shortfall	2 nd dose	Percentage shortfall	3 rd - 5 th dose	Percentage shortfall/higher (achievement)
Bihar	1406193	730374	48.06	552570	60.70	855862	39.14
Jharkhand	630586	604607	4.12	562300	10.83	1300025	(106.16)
Mizoram	41945	10771	74.32	11240	73.20	24363	41.92
Orissa	620678	543656	12.41	229819	62.97	1412610	(127.59)
Rajasthan	1524152	968976	36.43	592044	61.16	699948	54.08
Uttar Pradesh	1250000	1055000	15.60	687000	45.04	703000	43.76
Uttarakhand	188528	120695	35.98	84423	55.22	121487	35.56
Chhattisgarh	365399	362533	0.78	348911	4.51	839824	(129.84)
Himachal Pradesh	50821	48378	4.81	46027	9.43	111740	(119.87)
Jammu & Kashmir	398773	113759	71.47	34395	91.37	81720	79.51
Meghalaya	400122	84828	78.80	62842	84.29	77717	80.58
Sikkim	35238	20109	42.93	20305	42.38	32180	8.68
Chandigarh	159685	32403	79.71	27473	82.80	36340	77.24
Maharashtra	1646129	981150	40.40	976187	40.70	2126637	(29.19)
Gujarat	544747	351829	35.41	515117	5.44	824670	(51.39)
Haryana	890095	271503	69.50	238998	73.15	363248	59.19
Karnataka	718380	460818	35.85	410997	42.79	784822	(9.25)
Kerala	474529	253856	46.50	213620	54.98	624944	(31.70)
Puducherry	54014	42968	20.45	42211	21.85	74650	(38.20)
Punjab	1162366	159305	86.29	243773	79.03	270342	76.74
West Bengal	1120485	887770	20.77	857495	23.47	1521063	(35.75)
Tamil Nadu (sample)	3243971	2485098	23.39	2109901	34.96	741449	77.14

(Source: Information compiled from SHS records)

Achievements in Healthcare

Annex 9.12

Refers to Paragraph 9.5.1
Sector wise status of cataract operations performed

Name of State	Performance of cataract operations in Government sector		Performance of cataract operations by NGOs		Performance of cataract operations by private practitioners and others		Total cataract operations
	Number	Percentage	Number	Percentage	Number	Percentage	
Jharkhand	8857	4.92	106401	59.15	64632	35.93	179890
Madhya Pradesh	194945	21.65	405752	45.07	299647	33.28	900000
Orissa ¹²	21111	22.06	63629	66.50	10950	11.44	95690
Uttar Pradesh	450000	24.17	697000	37.43	715000	38.40	1856000
Uttarakhand	43960	31.31	60642	43.19	35795	25.50	140397
Chhattisgarh	68744	26.51	91821	35.41	98752	38.08	259317
Assam	40572	36.39	42617	38.23	28295	25.38	111484
Jammu & Kashmir	24197	47.70	3242	6.39	23289	45.91	57899
Himachal Pradesh	27514	42.71	29484	45.77	7426	11.52	64424
Sikkim	1007	89.99	55	4.92	57	5.09	1119
Andhra Pradesh	274668	17.47	663618	42.21	633826	40.32	1572112
Chandigarh	10995	52.74	1002	4.81	8850	42.45	20847
Dadra & Nagar Haveli	533		1206		NA		
Gujarat	175226	9.71	639714	35.47	988639	54.82	1803579
Haryana	168243	47.62	84573	23.94	100458	28.44	353274
Maharashtra	438249	21.35	441446	21.51	1172709	57.14	2052404
Puducherry	18265	52.86	0	0.00	16289	47.14	34554
Tamil Nadu	201349	13.34	1070171	70.90	237791	15.76	1509311
West Bengal	166820	21.29	364520	46.52	252185	32.19	783525
Arunachal Pradesh	2600	76.45	801	23.55	0		
A & N Islands	1946	100	0	0	0		1946

(Source: Information compiled from SHS records)

¹² 2007-08

Achievements in Healthcare

Annex 9.13

Refers to Paragraph 9.5.1

A - Cataract operations in camps

Name of the State/UT	No. of cataract operations performed		Camps as percentage of total
	Total	In camps	
Madhya Pradesh	900000	406000	45.11
Puducherry	18265	1593	8.72
Lakshadweep	110	1	0.91
Karnataka	60038	1046	1.74
Haryana	353274	100458	28.44
Dadra & Nagar Haveli	1739	111	6.38
Andhra Pradesh	547899	203621	37.16
Tripura	16906	970	5.74
Himachal Pradesh	64424	14008	21.74
Manipur	1642	45	2.74
Jammu & Kashmir	57899	7171	12.39
Assam	111484	42617	38.22
Uttarakhand	140397	60642	43.19
Uttar Pradesh	18.56 lakh	11.52 lakh	62.07

B - Cataract surgery rate

State/UT	Cataract Surgery Rate			State/UT	Cataract Surgery Rate		
	2005-06	2006-07	2007-08		2005-06	2006-07	2007-08
Jharkhand	158	204	232	Madhya Pradesh	455	482	534
Assam	107	148	163	Rajasthan	480	471	560
Orissa	276	242	260	Manipur	210	747	685
Jammu & Kashmir	160	211	200	D & N Haveli	153	61	481
Andaman & Nicobar	172	164	170	Haryana	511	535	629
Bihar	200	200	100	Uttarakhand	501	501	650
Kerala	287	304	298	Maharashtra	678	707	736
Uttar Pradesh	300	307	314	Punjab	669	640	621
Himachal Pradesh	335	354	370	Tamil Nadu	760	780	760
Chhattisgarh	376	394	387	Puducherry	993	1110	1185
West Bengal	335	285	356				

(Source: Information compiled from SHS records)

C. State Specific Cases: Cataract operation

Orissa	Against the requirement of 314 Ophthalmic assistants for 314 block level health institutions (CHC/Block PHCs), only 197 posts were sanctioned and 194 were in position as of March 2008. In the remaining 117 block PHCs/ CHCs, facility for ophthalmic care was not available. In 4 out of 15 test-checked CHCs ophthalmic care was not available. No post of eye surgeon was available in any of the 231 CHCs of the State.
Manipur	No eye surgeon was posted in the health centres and the eye surgeons from the State Hospital and Medical College performed cataract surgeries in eye camps.
Chandigarh	Rs. 6.90 lakh was reimbursed to NGOs for cataract operation whereas surgeries were performed by the Government doctors using Government infrastructure and NGOs performed only IEC activities.

Achievements in Healthcare

Annex 9.14
Refers to Paragraph 9.5.3

A- Performance of eye banks

State	Year	No. of eyes						
		Opening balance	Donated	Utilised	Transferred	Rendered unfit	Used for research	Closing balance
Government sector								
Jharkhand	2005-08	0	0	0	0	0	0	0
Orissa	2005-08	0	28	28	0	0	0	0
Uttar Pradesh	2005-08		186	174	0	0	10	2
Assam	2005-08	-	39	34	-	-	-	-
Delhi	2005-08		3552	1944	103	804	517	
Gujarat	2005-08		4452	1431			3021	
Haryana	2005-08	0	175	145	0	0	30	0
Tamil Nadu	2005-08	--	3752	--	--	--	1660	0
West Bengal	2005-08	0	1802	570	0	1	1231	0
	Total	0	13986	4326		814	6469	
Voluntary sector								
Jharkhand	2005-08	0	10	10	0	0	0	0
Orissa	2005-08	0	64	34	0	0	0	0
Uttar Pradesh	2005-08	10	654	531	83	15	94	10
Assam	2005-08	-	322	145	-	-	-	-
Delhi	2005-08		5268	2515	375	1427	1039	
Gujarat	2005-08		14917	4910	9095		912	
Haryana	2005-08	0	704	177	345	169	13	0
Tamil Nadu	2005-08	--	20284	--	--	--	10317	Nil
West Bengal	2005-08	0	2741	1040	0	100	1331	Nil
	Total	10	44964	9362		1711	13706	
Government sector (States provided data for Government sector only)								
Madhya Pradesh	2005-08	NA	834	506	0	0	0	NA
Chhattisgarh	2005-08	184	380	285	NA	NA	NA	279
Tripura	2005-08	0	6	6	0	0	2	0
Chandigarh	2005-08		870	624		94	152	
Puducherry	2005-08	0	796	407	0	0	389	0
	Total	184	2886	1828	0	94	606	
Aggregated data on Government and voluntary sector								
Rajasthan	2005-08	0	3335	1753	400	526	445	0
Maharashtra	2005-08	N.A.	13125	4741	2404	498	4786	N.A.
	Total		16460	6494		1024	5231	

(Source: Information compiled from SHS records)

B- State specific cases

Bihar	Against the target of establishing 50 vision centres, 2 eye banks, 3 eye donation centres and 2 paediatric ophthalmic units and collection of 1500 eyes through donation, there was Nil achievement during the period 2005-08.
Orissa	Eye donation facility was made available in Government sector from 2007-08 only. As against the target of collection of 500 donated eyes, achievement was only 92 (28 in Govt. and 64 voluntary sector) during 2007-08 of which only 62 were utilised.
Andhra Pradesh	Against the annual target of collection of 5000 eyes per annum 9231 (62 per cent) eyes were collected during 2005-08. The details of performance of the voluntary sector were not maintained.

Achievements in Healthcare

Annex 9.15

(Refers to Paragraph 9.7.1)

Status of ABER and API during the period 2005-08

Name of the State/UT	ABER			API		
	2005	2006	2007	2005	2006	2007
Jharkhand	10.08	7.12	6.65	6.84	6.59	6.15
Madhya Pradesh	13.44	14.16	13.22	1.55	1.40	1.31
Orissa	12.40	12.48	12.24	10.14	9.57	9.20
Uttar Pradesh	2.53	2.33	2.02	0.63	0.54	0.47
Rajasthan	12.42	15.32	12.52	0.92	1.76	0.97
Uttarakhand	3.5	3.22	2.51	0.13	0.12	0.10
Chhattisgarh	16.51	15.38	14.03	8.01	7.53	5.95
Assam	7.92	9.75	8.09	2.34	4.30	3.19
Jammu & Kashmir	8.37	8.30	7.68	0.05	0.032	0.04
Meghalaya	9.4	12.5	13.6	7.2	12.9	14.7
Himachal Pradesh	9.7	9.4	9.1	0.03	0.02	0.02
Sikkim	6	5	4	0.46	0.61	0.29
Arunachal Pradesh	24.51	26.18	23.16	29.54	37.13	30.36
Tripura	8.6	9.1	8.3	5.5	6.8	5.3
Andaman & Nicobar	25.62	30.99	33.92	5.26	7.76	9.28
Andhra Pradesh	14.2	13.2	12.	0.55	0.47	0.38
Chandigarh	9.6	7.7	8.8	0.4	0.04	0.3
Dadra & Nagar Haveli	17.90	22.39	20.71	4.32	14.41	13.45
Gujarat	19.9	19.6	16.4	3.2	1.6	1.2
Haryana	11.32	11.87	11	1.48	2.10	1.36
Kerala	6.4	5.62	5.58	0.077	0.062	0.57
Karnataka	18.9	16.8	NA	1.2	0.9	NA
Maharashtra	16.6	21.9	13.6	0.5	0.7	0.6
Punjab	10.5	9.78	10.22	0.072	0.072	0.07
Tamil Nadu	11.85,	9.67	8.72	0.61	0.43	0.34
Puducherry	21.22	18.71	11.73	0.04	0.05	0.06
West Bengal	5.5	6.15	5.63	2.32	1.86	1.06

(Source: Information compiled from SHS records)

Achievements in Healthcare

Annex 9.16

(Refers to Paragraph 9.7.2)

Status of morbidity and mortality due to vector borne diseases during 2005-08

States/UTs	Year	Kala Azar		Malaria		Filaria		Japanese Encephalitis		Dengue	
		Cases	Deaths	Cases	Deaths	Cases	Deaths	Cases	Deaths	Cases	Deaths
Bihar	2005-06	23383	125	2744	6	6676	Nil	Nil	Nil	Nil	Nil
	2006-07	29711	162	2411	3	8923	Nil	39	6	Nil	Nil
	2007-08	37738	13	1451	Nil	9094	Nil	1	Nil	Nil	Nil
Jharkhand	2005-06	5990	11	193144	55	56590	Nil	Nil	Nil	Nil	Nil
	2006-07	7509	11	193888	22	39100	Nil	Nil	Nil	13	Nil
	2007-08	4803	20	184878	47	12407	Nil	Nil	Nil	Nil	Nil
Madhya Pradesh	2005	Nil	Nil	104317	44	2552	Nil	Nil	Nil	Nil	Nil
	2006	Nil	Nil	96130	56	2688	Nil	Nil	Nil	16	Nil
	2007	Nil	Nil	90829	41	3214	Nil	Nil	Nil	51	Nil
Orissa	2005-06	Nil	Nil	396573	255	3099	Nil	Nil	Nil	Nil	Nil
	2006-07	Nil	Nil	380216	257	3405	Nil	Nil	Nil	1	Nil
	2007-08	Nil	Nil	365592	218	2862	Nil	Nil	Nil	21	Nil
Rajasthan	2005-06	Nil	Nil	52286	22	Nil	Nil	Nil	Nil	370	5
	2006-07	Nil	Nil	99529	58	Nil	Nil	Nil	Nil	1805	26
	2007-08	Nil	Nil	55043	46	Nil	Nil	Nil	Nil	540	10
Uttar Pradesh	2005-06	68	2	105302	Nil	7613	Nil	5581	1593	121	4
	2006-07	83	Nil	91566	Nil	5738	Nil	2073	476	617	14
	2007-08	69	1	81580	Nil	5791	Nil	2675	577	130	2
Uttarakhand	2005	Nil	Nil	1242	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	2006	Nil	Nil	1108	Nil	Nil	Nil	58	Nil	6	1
	2007	2	Nil	953	Nil	Nil	Nil	2	Nil	46	Nil
Chhattisgarh	2005-06	--	--	187950	3	416	0	--	--	--	--
	2006-07	--	--	176868	3	416	0	--	--	--	--
	2007-08	--	--	145949	--	452	0	--	--	--	--
Assam	2005-06	0	0	67885	113	80	0	140	50	0	0
	2006-07	0	0	126178	304	24	0	363	112	0	0
	2007-08	65	0	94853	152	490	0	360	116	0	0
Jammu & Kashmir	2005-06	Nil	Nil	277	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	2006-07	Nil	Nil	159	Nil	Nil	Nil	Nil	Nil	23	1
	2007-08	Nil	Nil	251	1	Nil		Nil		Nil	Nil
Manipur	2005-06	Nil	Nil	2071	3	-	-	-	-	-	-
	2006-07	Nil	Nil	2709	8	-	-	-	-	-	-
	2007-08	Nil	Nil	1194	4	-	-	-	-	-	-
Meghalaya	2005-06	Nil	Nil	16876	41	Nil	Nil	Nil	Nil	Nil	Nil
	2006-07	Nil	Nil	29924	167	Nil	Nil	Nil	Nil	Nil	Nil
	2007-08	Nil	Nil	33979	237	Nil	Nil	Nil	Nil	Nil	Nil
Himachal Pradesh	2005-06	Nil	Nil	129	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	2006-07	Nil	Nil	114	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	2007-08	Nil	Nil	104	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Sikkim	2005-06	Nil	Nil	69	3	Nil	Nil	Nil	Nil	Nil	Nil
	2006-07	5	Nil	93	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	2007-08	2	Nil	48	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Tripura	2005-06	Nil	Nil	18637	21	Nil	Nil	Nil	Nil	Nil	Nil
	2006-07	Nil	Nil	22369	41	Nil	Nil	Nil	Nil	Nil	Nil
	2007-08	Nil	Nil	18669	52	Nil	Nil	Nil	Nil	Nil	Nil
A & N Islands	2005-06	Nil	Nil	388	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	2006-07	Nil	Nil	298	1	Nil	Nil	Nil	Nil	Nil	Nil
	2007-08	Nil	Nil	554	Nil	184	Nil	Nil	Nil	Nil	Nil

States/UTs	Year	Kala Azar		Malaria		Filaria		Japanese Encephalitis		Dengue	
		Cases	Deaths	Cases	Deaths	Cases	Deaths	Cases	Deaths	Cases	Deaths
Andhra Pradesh	2005-06	Nil	Nil	39099	10	11303	Nil	34	Nil	99	2
	2006-07	Nil	Nil	34081	Nil	7419	Nil	2	Nil	197	17
	2007-08	Nil	Nil	27803	2	6448	Nil	22	Nil	587	2
Chandigarh	2005-06	Nil	Nil	440	Nil	Nil	Nil	Nil	Nil	2	Nil
	2006-07	Nil	Nil	440	Nil	Nil	Nil	Nil	Nil	182	Nil
	2007-08	Nil	Nil	342	Nil	Nil	Nil	Nil	Nil	99	Nil
Dadra & Nagar Haveli	2005-06	Nil	Nil	1144	Nil	81	Nil	Nil	Nil	Nil	Nil
	2006-07	Nil	Nil	3813	Nil	123	Nil	Nil	Nil	Nil	Nil
	2007-08	Nil	Nil	3780	Nil	163	Nil	Nil	Nil	Nil	Nil
Haryana	2005-06	Nil	Nil	33204	Nil	Nil	Nil	4	2	183	1
	2006-07	Nil	Nil	47077	Nil	Nil	Nil	3	1	838	4
	2007-08	Nil	Nil	30895	Nil	Nil	Nil	32	18	365	11
Kerala	2005-06	1	Nil	2554	6	908	Nil	14	1	1028	8
	2006-07	Nil	Nil	2131	6	895	Nil	Nil	Nil	959	5
	2007-08	Nil	Nil	1927	6	532	Nil	Nil	Nil	677	12
Lakshadweep	2005-06	Nil	Nil	Nil	Nil	17	Nil	Nil	Nil	Nil	Nil
	2006-07	Nil	Nil	Nil	Nil	6	Nil	Nil	Nil	Nil	Nil
	2007-08	Nil	Nil	Nil	Nil	8	Nil	Nil	Nil	Nil	Nil
Maharashtra	2005-06	Nil	Nil	45614	103	87926	Nil	9	Nil	396	56
	2006-07	Nil	Nil	56852	133	80736	Nil	14	Nil	609	27
	2007-08	Nil	Nil	67844	176	93912	Nil	Nil	Nil	619	25
Puducherry	2005	Nil	Nil	44	Nil	45	Nil	Nil	Nil	18	Nil
	2006	Nil	Nil	50	Nil	54	Nil	Nil	Nil	42	Nil
	2007	Nil	Nil	68	Nil	57	Nil	Nil	Nil	216	Nil
Punjab	2005-06	Nil	Nil	1883	Nil	Nil	Nil	Nil	Nil	253	2
	2006-07	Nil	Nil	1888	Nil	Nil	Nil	Nil	Nil	1166	6
	2007-08	Nil	Nil	2054	Nil	Nil	Nil	Nil	Nil	28	Nil
Tamil Nadu	2005-06	Nil	Nil	39678	1	234	Nil	48	7	1150	8
	2006-07	Nil	Nil	28219	Nil	139	Nil	18	1	477	2
	2007-08	Nil	Nil	22389	1	123	Nil	42	Nil	707	2
Gujarat	2005	Nil	Nil	177936	54	336	Nil	Nil	Nil	454	11
	2006	Nil	Nil	93071	45	142	Nil	Nil	Nil	545	5
	2007	Nil	Nil	71121	75	112	Nil	Nil	Nil	640	2
West Bengal	2005-06	2710	15	185964	175	130	Nil	72	7	6375	34
	2006-07	1843	10	159646	203	1483	Nil	24	3	1064	8
	2007-08	1817	9	87754	96	67003	Nil	25	1	150	1
Arunachal Pradesh	2005-06	Nil	Nil	31215	11	Nil	Nil	Nil	Nil	Nil	Nil
	2006-07	Nil	Nil	39233	196	Nil	Nil	Nil	Nil	Nil	Nil
	2007-08	Nil	Nil	32071	36	Nil	Nil	Nil	Nil	Nil	Nil

Achievements in Healthcare

Annex 9.17

(Refers to paragraph 9. 7.3)

State specific cases: Population protected by using insecticides

Orissa	In Koraput district, shelf life of 53 MT of DDT costing Rs 13.47 lakh expired in September 2007 without being used and remained in the stock as of May 2008.
Mizoram	Against 2412 bags (120.60 MT) of DDT issued during 2005-08 to the three CMOs (Lunglei, Lawngtlai and Kolasib) only 1069 bags (53.45 MT) were reported as received by the CMOs. Despite the short receipt of DDT powder, the Department claimed that it had fully covered the 186, 161 and 53 villages respectively for 2005-08. The Department could not furnish information on the targeted population for 2007-08. However, even with the available information for two years i.e. 2005-2007, the claim of the Department of having covered the entire targeted population appears to be incorrect. The Department stated in November 2008 that the balance DDT powder (1343 bags) issued to the districts was supplied enroute to the CHCs and PHCs to avoid further transportation from district headquarters. The reply was not substantiated with any records indicating separate centre-wise receipt accompanied with their utilization. The shortfall in receipt of DDT by the CMOs against the required quantity as per prescribed norms for 2005-07, was 33.75 MT which would have adversely affected the achievement of insecticide spray programme for control of malaria in this high risk State.
Bihar	SHS had paid Rs. 2.31 crore in February/March 2007 from RCH Flexipool Fund towards outstanding wages payment for spraying of DDT pertaining to the period 1999-2003. As per guideline of NVBDCP, establishment cost and expenditure on transportation, storage and spraying of DDT was to be borne by the State Government fund. Thus, entire payment of Rs 2.31 crore was irregular.
Sikkim	Due to non supply of DDT by the Ministry, spraying was not done.

LIST OF ABBREVIATIONS

A & N Islands	Andaman and Nicobar Islands
ABER	Annual Blood Examination Rate
ACMO	Additional Chief Medical Officer
AD	Automatic Disposable
AE	Actual Expenditure
AGCA	Advisory Group for Community Action
AMG	Annual Maintenance Grant
ANC	Ante Natal Checkup
ANM	Auxiliary Nursing Midwife
APHC	Additional Primary Health Centre
APMHIDC	Andhra Pradesh Health Medical Housing and Infrastructure Development Corporation
API	Annual Parasitic Incidence
ARC	Apex Resource Centre
ASHA	Accredited Social health Activist
ASTC	Assam State Transport Corporation
AWW	Anganwadi Worker
AYUSH	Ayurveda Yoga-Naturopathy Unani Sidha and Homoeopathy
BCC	Behavioural Change Communication
BCG	Bacillus Calmette-Guerin
BDA	Block Data Assistant
BDO	Block Development Officer
BE	Budget Estimates
BER	Bid Evaluation Report
BFA	Block Finance Assistant
BoB	Bank of Baroda
BPL	Below Poverty Line
BPM	Block Programme Manager
BSEB	Bihar School Examination Board
CA	Chartered Accountant
CAC	Chief Advisor Cost
CAN	Community Need Assessment
CBO	Community Based Organisation
CCA	Chief Controller of Accounts
CDMO	Chief District Medical Officer
CEMONC	Comprehensive Emergency Obstetric and Neonatal Care
CEO	Chief Executive Officer
CHC	Community Health Centre
CMHO	Chief Medical Officer of Health
CMO	Chief Medical Officer
CMSO	Central Medical Store Organisation
CPSE	Central Public Sector Enterprise

CSR	Cataract Surgery Rate
CVC	Central Vigilance Commission
D & N Haveli	Dadra and Nagar Haveli
DC	District Collector
DDM	District Data Manager
DDT	Dichloro Dimethyl Trichloro Ethane
DFM	District Finance Manager
DG	Diesel Generator
DGHS	Directorate General Health Services
DH	District Hospital
DHAP	District Health Action Plan
DHM	District Health Mission
DHS	District Health Society
DPM	District Programme Manager
DPT	Diphtheria Pertusis Tetanus
DRDA	District Rural Development Authority
DSU	District Surveillance Unit
EAG	Empowered Action Group
E-banking	Electronic Banking
EC-SIP	European Commission - Sectoral Investment Programme
EPC	Empowered Programme Committee
EPW	Empowered Procurement Wing
E-transfer	Electronic Transfer
FI	Full Immunisation
FMG	Financial Management Group
FMR	Financial Management Report
FNGO	Field Non-Governmental Organisation
FRU	First Referral Unit
GDP	Gross Domestic Product
GFR	General Financial Rules
GIA	Grant-in-Aid
GMP	Good Manufacturing Practices
GMSD	Government Medical Store Depot
GOI	Government of India
GSDP	Gross State Domestic Product
GUS	Gram Unnayan Samiti
HDFC	Housing Development Finance Corporation
HMDI	Health Manpower Development Institute
HPS	High Performing States
HSCC	Hospital Services Consultancy Corporation
ICICI	Industrial Credit and Investment Corporation of India
IDSP	Integrated Disease Surveillance Project
IEC	Information Education and Communication
IFA	Iron Folic Acid

IMR	Infant Mortality Rate
IPC	Integrated Purchase Committee
IPD	Inpatient Department
IPHS	Indian Public Health Standards
ISRO	Indian Space Research Organisation
IT	Information Technology
IUD	Intra Uterine Device
JSY	Janani Suraksha Yojana
LHV	Lady Health Visitor
LPS	Low Performing States
MBA	Master of Business Administration
MCH	Mother and Child Health
MDA	Mass Drugs Administration
MIS	Management Information System
MLA	Member of Legislative Assembly
MMR	Maternal Mortality Ratio
MMU	Mobile Medical Unit
MNGO	Mother Non-Governmental Organisation
MOU	Memorandum of Understanding
MP	Member of Parliament
MPW	Multipurpose Worker
MSG	Mission Steering Group
MTP	Medical Termination of Pregnancy
NBCC	National Building Construction Corporation
NDCP	National Disease Control Programmes
NE	North Eastern
NGO	Non-Governmental Organisation
NHSRC	National Health System Resource Centre
NIC	National Informatics Centre
NIDDCP	National Iodine Deficiency Disorder Control Programme
NIHFW	National Institute of Health and Family Welfare
NLEP	National Leprosy Elimination Programme
NMBS	National Maternal Benefit Scheme
NOC	No Objection Certificate
NPCB	National Programme for Control of Blindness
NPCC	National Programme Coordination Committee
NRHM	National Rural Health Mission
NVBDCP	National Vector Borne Disease Control Programme
OPD	Outpatient Department
OPHC	Orissa State Police Housing and Welfare Corporation
OPV	Oral Polio Vaccine
ORS	Oral Rehydration Solution
OSIC	Orissa Small Scale Industries Corporation
OT	Operation Theatre

PA	Performance Audit
PB ratio	Patient Bed Ratio
PHC	Primary Health Centre
PHSC	Punjab Health Systems Corporation
PIP	Programme Implementation Plan
PMG	Programme Management Group
PMSU	Programme Management Support Unit
PPI	Pulse Polio Immunisation
PPSWR	Probability Proportion to Size With Replacement
PRI	Panchayati Raj Institutions
PS	Panchayat Samiti
PSU	Public Sector Undertaking
PWD	Public Works Department
RBI	Reserve Bank of India
RC	Rate Contract
RCH	Reproductive and Child Health
RH	Referral Hospital
RHS	Rural Health Survey
RKS	Rogi Kalyan Samiti
RNTCP	Revised National Tuberculosis Control Programme
RRC	Regional Resource Centre
RTI	Reproductive Tract Infection
SBA	Skilled Birth Attendant
SBI	State Bank of India
SC	Scheduled Castes
SCOVA	Standing Committee on Voluntary Action
SDMU	State Drug Management Unit
SFU	State Facilitation Unit
SFWB	State Family Welfare Bureau
SHM	State Health Mission
SHS	State Health Society
SHSRC	State Health System Resource Centre
SIHFW	State Institute of Health and Family Welfare
SIT	Satellite Interactive Terminal
SNGO	Service Non-Governmental Organisation
SOE	Statement of Expenditure
SPMSU	State Programme Management Support Unit
SRSWOR	Simple Random Sampling without Replacement
SSU	State Surveillance Unit
ST	Scheduled Tribes
STI	Sexually Transmitted Infection
TFR	Total Fertility Rate
TNMSC	Tamil Nadu Medical Services Corporation
TOR	Terms of Reference

TT	Tetanus Toxoid
TTD	Thirumala Tirupati Devasthanam
UBI	Union Bank of India
UC	Utilisation Certificate
UHC	Urban Health Centre
UNICEF	United Nations' Children Fund
UNOPS	United Nations Operations
USAID	United States Assistance for International Development
UT	Union Territory
UTI	Unit Trust of India
VC	Video Conferencing
VDF	Vaccine Deep Freezer
VEN	Vital, essential and non-essential
VHND	Village Health and Nutrition Day
VHSC	Village Health and Sanitation Committee
WCD	Women and Child Development
ZSS	Zilla Swasthya Samiti