

Appendix – 1.1
(Referred to in paragraph 1.8)

Position of outstanding ATNs as on 31.12.2009

Sl. No.	Year of Report	Chapter of the Report	Para No.	Pertains to	Brief subject
1.	Ended 31 March 1995	V	5.4	Delhi Jal Board	Construction of Water Treatment Plant at Nangloi
2.	Ended 31 March 1996	III	3.13	Public Works Department	Construction of Police Training School at Jharoda Kalan.
3.	Ended 31 March 1997	III	3.15	Irrigation and Flood Control Department	Wasteful expenditure
4.	Ended 31 March 2001	III	3.11	Medical and Public Health Department	Irregular expenditure incurred by Delhi State AIDS Control Society
5.	Ended 31 March 2002	III	3.2	Welfare of SC/ST/OBC Department	National Scheme of Liberation and Rehabilitation of Scavengers and their Dependents
6.	Ended 31 March 2002	IV	4.4	Public Works Department	Avoidable financial burden
7.	Ended 31 March 2004	III	3.3	Education Department	Irregular payment of Transport Allowance
8.	Ended 31 March 2004	III	3.6	Public Works Department	Wasteful expenditure due to poor planning and defective execution
9.	Ended 31 March 2004	III	3.7	Public Works Department	Avoidable expenditure on price escalation
10.	Ended 31 March 2004	III	3.10	Public Works Department	Undue liability due to non-revision of water charges
11.	Ended 31 March 2004	III	3.11	Public Works Department	Irregular expenditure on deployment of personnel
12.	Ended 31 March 2004	IV	4	Municipal Corporation of Delhi	Development of Rural and Urban Villages
13.	Ended 31 March 2004	VI	6.15	Delhi Financial Corporation	Loss due to fixation of wrong revised repayment schedule
14.	Ended 31 March 2005	III	3.8	Medical and Public Health Department	Undue liability due to non-revision of water charges
15.	Ended 31 March 2005	III	3.11	Public Works Department	Avoidable expenditure on cost escalation
16.	Ended 31 March 2005	III	3.14	Public Works Department	Extra expenditure due to injudicious decisions
17.	Ended 31 March 2005	V	5.16	Delhi SC/ST/OBC/Minorities and Handicapped Financial and Development Corporation Limited	Ineffective implementation of schemes for upliftment of weaker sections
18.	Ended 31 March 2005	Vol.II Ch.III		Medical and Public Health Department	Performance Audit of the Directorate of Indian Systems of Medicine and Homoeopathy
19.	Ended 31 March 2006	Vol.I Ch.III	3.5	Irrigation and Flood Control Department	Unfruitful expenditure due to foreclosure of a work
20.	Ended 31 March 2006	Ch. V	5.11	-	Corporate governance in government companies
21.	Ended 31 March 2006	Vol.II Ch I		H&FW, MCD &NDMC	Performance audit of Four Major Public Hospitals in Delhi
22.	Ended 31 March 2006	Ch II		Dept. for the Welfare of SC/ST/OBC/Minorities	Performance audit .of Educational Development of SC/ST
23.	Ended 31 March 2007	III	3.2	Health and Family Welfare Department	Delay in installation of Medical Equipment

24.	Ended 31 March 2007	III	3.3	Health and Family Welfare Department	Wasteful expenditure on procurement of an incinerator
25.	Ended 31 March 2007	III	3.4	Health and Family Welfare Department	Unfruitful expenditure on purchase of infant ventilators
26.	Ended 31 March 2007	III	3.5	Department of Home	Non-recovery of licence fee
27.	Ended 31 March 2007	III	3.7	Public Works Department	Unintended benefit to a contractor
28.	Ended 31 March 2007	III	3.8	Public Works Department	Avoidable expenditure on cost escalation
29.	Ended 31 March 2007	V	5.11	Delhi SC/ST/OBC/ Minorities/Handicapped Financial & Development Corporation	Construction of building without assessing requirement
30.	Ended 31 March 2007	Vol. II Ch.I		Dept. of Labour	Implementation of Industrial Disputes Act, 1947 and Contract Labour (Regulation and Abolition) Act, 1970
31.	Ended 31 March 2007	Vol. II Ch.II		Directorate of Education	Information Technology Audit of the Directorate of Education
32.	Ended 31 March 2008	III		Health and Family Welfare Department	Performance Audit on procurement of drugs and medical equipment and its impact on delivery of health services in Delhi
33.	Ended 31 March 2008	IV	4.1	Department of forest and wild life	Non-recovery of compensatory plantation charges
34.	Ended 31 March 2008	IV	4.2	Health and Family Welfare Department	Excess payment of service tax amounting to Rs. 40.27 lakh
35.	Ended 31 March 2008	IV	4.4	Department of Social Welfare	Loss of Rs. 13.93 lakh on purchase of foodgrains
36.	Ended 31 March 2008	IV	4.5	Department of Social Welfare	Idle investment of Rs. 1.03 crore in allotment of land
37.	Ended 31 March 2008	IV	4.8	Transport Department	Bus Rapid Transport Corridor (BRTC) Project
38.	Ended 31 March 2008	IV	4.10	Delhi Jal Board	Unintended benefit to a contractor
39.	Ended 31 March 2008	V	5.1	Department of Food, Supplies and Consumer Affairs, GNCT of Delhi	Internal Control Mechanism
40.	Ended 31 March 2008	VI	6.13	Delhi SC/ST/OBC/Minorities/Handicapped Financial and Development Corporation Limited	Misplacement of loan files/record
41.	Ended 31 March 2008	VI	6.14	Delhi SC/ST/OBC/Minorities/Handicapped Financial and Development Corporation Limited	Failure of TCPC Scheme
42.	Ended 31 March 2008	VI	6.15	Delhi SC/ST/OBC/Minorities/Handicapped Financial and Development Corporation Limited	Poor recovery of loans due to inadequate recovery follow up
43.	Ended 31 March 2008	VI	6.17	Delhi Transport Corporation	Operational loss due to unplanned deployment of buses

Appendix – 2.1

Performance Audit on Working of Delhi Fire Service

Scope of Audit and methodology

Performance review of the functioning of DFS from 2004-05 to 2008-09 was conducted through test-check of records of office of CFO, Connaught Place, Central workshop at Moti Nagar, FSMA at Rohini, Wireless workshop and General store at Shankar Road and 15 selected fire stations of DFS.

The performance audit commenced with an entry conference on 9 April 2009 with the CFO of DFS, Delhi, wherein the objectives, methodology and modalities of the performance audit were discussed. Fifteen (three fire stations from each division) out of 50 fire stations were selected to give adequate representation to industrial areas, rural areas and high rise buildings. The data/information collected from the DFS headquarters and its units (including fire stations) was analysed. An exit conference with the organization was held on 2 December 2009 in which audit observations/aspects pointed out in the Performance Audit were discussed.

Audit Criteria

The audit objectives are benchmarked against the following criteria:

- Delhi Fire Prevention and Fire Safety Act, 1986 and Rules, 1987,
- Government's orders issued from time to time,
- Prescribed monitoring System,
- Standing Fire Advisory Council (SFAC) norms, and
- Delhi Building Bye-Laws, 1983.

Organisational Set-up

Chief Fire Officer (CFO) is the Executive-in-Charge of DFS functioning under the administrative control of Principal Secretary (Home), Government of NCT of Delhi. DFS is divided into five divisions viz. South, North-West, West, East and Central Divisions each headed by a Deputy Chief Fire Officer assisted by Divisional Officer (DO) and Assistant Divisional Officer. It has 50 fire stations having Headquarters at Connaught Place, New Delhi. Each fire Station is headed by a Station Officer or a Sub Officer. Besides, it has an auto workshop, a wireless workshop, general stores and a Fire Safety Management Academy (FSMA) in Delhi.

Appendix – 2.1 (a)

(Referred to in paragraph 2.1.6)

Details of calls attended, loss of lives and property due to fire and property saved by DFS

Year	No. of calls received and attended				Loss of lives	Property lost	Property saved
	Medium fire	Serious fire	Others	Total		(Rs. in lakh)	(Rs. in lakh)
2004-05	27	05	14200	14232	272	4681	2629
2005-06	16	01	16301	16318	154	4720	6457
2006-07	15	03	14273	14291	303	5587	30620
2007-08	09	02	15707	15718	351	5922	29369
2008-09	06	02	16444	16452	380	5902	29471
Total	73	13	76925	77011	1460	26812	98546

Appendix – 2.2

(Referred to in paragraph 2.1.7)

List of Water Tenders which were out of order for more than six months

(Status as on June 2009)

Sl. No.	Vehicle	Fire station	Since not working	Total months upto June 2009
1	WT-17	Jwala Puri	30.10.08	8
2	WT-167	Jwala Puri	01.08.06	35
3	HT-05	Moti Nagar	28.08.08	10
4	WT-91	Shankar Road	24.04.08	14
5	WT-166	Najafgarh	25.11.08	7
6	WT-121	Daryaganj	28.03.08	15
7	WT-180	Kirti Nagar	06.08.08	10
8	WT-174	Rohini Sector 15	12.09.08	9
9	WT- 147	Paschim Vihar	08.04.08	14
10	W.T.- 160	Wazirpur	18.05.06	37
11	W.T-176	Wazirpur	18.05.06	37

Appendix – 2.3

(Referred to in paragraph 2.1.12.2)

Details of Cinema Halls where quarterly inspection not conducted by DFS

Sl. No.	Name	Quarterly inspections not conducted	Total
1	Excelcior, Hauz Quzi	06/08, 09/08, 12/08	3
2	Vishal, Raja Garden	09/04, 12/04, 06/05, 12/05, 12/07, 06/08, 09/08, 12/08	8
3	Shalimar, Ashram	06/04, 09/04, 12/04, 10/05, 12/05, 12/06	6
4	Palam, Air Force	09/04, 12/07, 06/08, 09/08, 12/08	5
5	Shakuntala, Pragati Maidan	03/06, 12/06, 09/07, 12/07	4
6	Sheela, DB Gupta Road	06/04, 03/07, 06/08, 09/08, 12/08	5
7	Suraj, Najafgarh	06/06, 09/06, 06/08, 09/08, 12/08	5
8	Aakash, Azadpur	06/07, 09/07, 12/07, 03/08, 06/08, 09/08, 12/08	7
9	Golcha, Daryaganj	06/06, 06/08, 09/08, 12/08	4
10	3C Cinema, Lajpat Nagar	12/06, 03/07, 09/08	3
11	M2K, Rohini	09/04, 06/05, 09/06, 12/06, 06/07, 09/07, 12/07, 06/08, 09/08, 12/08	10
12	M2K, Pitampura	12/06, 09/06, 03/07, 09/08, 09/08, 12/08	6
13	PVR Naraina	03/05, 09/05, 12/06, 03/07, 06/08, 09/08, 12/08	7

Appendix – 2.4

Performance Audit on Development works in Regularised-Unauthorized colonies undertaken by the DJB and MCD

Audit scope and methodology

The majority of the fieldwork was carried out during April 2009 to August 2009. The records scrutinised relate to the period from 2004-05 to 2008-09. Four out of 12 zones were selected, namely West, Najafgarh, Civil Lines and Shahdara (North), by means of SRSWOR (statistical random sampling without replacement) for taking up the audit. Records were test-checked in the planning department, Quality Circle of MCD and those of 18 maintenance divisions in the selected zones. Audit also scrutinized the records relating to release of loans to MCD by the nodal department of the Government of Delhi. The same zones were covered for the DJB as well and 12 divisions where water and sewerage works were taken up in the last five years were selected for audit. Records relating to the offices of the DJB headquarters, construction and maintenance divisions and zonal revenue officers were scrutinized during the audit. The quality of works executed by MCD was checked through joint physical inspections with the help of a retired professor of IIT, Prof.V.B. Deshpande, who is a specialist in cost effective technologies and value engineering. The quality of drinking water supplied to residents was checked through water samples collected by audit from all water sources of randomly selected R-U colonies. These samples were then subjected to Essential Physico-Chemical Tests and Bacteriological Tests in the NDMC laboratory and the Shriram Institute for Industrial Research.

Entry conferences were held in May 2009 with the Member (Water and Drainage) of DJB and Engineer-in-Chief of MCD and exit conferences were held in February 2010. The entire data utilized in this report was obtained from the records of or replies furnished by the MCD and DJB offices/divisions. Audit analysed the data to provide information on excess spending or savings, diversion of funds, revenue losses, shortfalls against targets, delays in execution of works, undue benefits extended to contractors, blockage of funds and deficiencies in quality management.

Organizational Set-up

The Commissioner of MCD is overall incharge of the administration of MCD. The Engineering department is headed by the Engineer-in-Chief, who is assisted by five Chief Engineers (Civil) and two Chief Engineers (Electrical). The MCD is divided geographically into 12 zones. The development works in regularized-unauthorised (R-U) colonies are executed through 46 divisions/units of MCD. To look after the works in 12 zones, each zone has two to three Superintending Engineers, two Executive Engineers (Maintenance) and one to two Executive Engineers to look after major projects of the zone. Each Executive Engineer is assisted by Assistant Engineers and Junior Engineers.

The Chief Minister of Delhi chairs the DJB and is assisted by the Vice Chairperson nominated by the Speaker of the Legislature, and 16 other members consisting of 10 political and ex-officio members and 6 administrative/executive members. Member (Water/Drainage) heads the Engineering wing for water/sewerage activities. The Chief Executive Officer (CEO) is overall in-charge of construction, operation and maintenance of WTPs/STPs, rising mains, water distribution and sewerage services and is assisted by Chief Engineers, Superintendent Engineers and Executive Engineers.

Appendix – 2.5

(Referred to in Paragraph 2.2.3.2)

Details of allocation and expenditure of funds (2004-05 to 2008-09)

(Rupees in lakh)

Division	Water side				Sewer side			
	Budget Allocation	xpd. Incurred	Saving/ Excess	Percentage of saving/ Excess	Budget Allocation	Expdr. Incurred	Saving/ Excess	Percentage of saving/ Excess
Dr.(C)-XIV	-	-	-	-	1791.19	1315.60	474.99	26.52
Dr.(C)-XI	-	-	-	-	452.86	254.62	198.24	43.78
Dr.(C)-X	-	-	-	-	895.58	535.22	360.36	40.24
Dr.(C)-IX	-	-	-	-	608.30	383.05	225.25	37.03
SW-I	395.23	246.06	149.17	37.74	339.75	281.71	58.04	17.08
SW-II	239.08	198.16	40.92	17.12	234.63	141.30	93.33	39.78
West-I	137.11	118.32	18.79	13.70	1445.42	995.98	449.44	31.09
West-II	363.08	255.64	107.34	29.56	1574.58	1148.32	426.26	27.07
West-III	514.88	365.09	149.79	29.09	1592.96	902.41	690.55	43.35
North West-IV	132.33	119.85	12.48	9.43	2087.97	1485.30	602.67	28.86
NE-I	298.98	213.55	85.43	28.57	369.47	236.43	134.04	36.28
NE-II	188.83	171.50	17.33	9.18	532.84	211.79	321.05	60.25

Appendix – 2.6
(Referred to in Paragraph 2.2.3.4)
Diversion of Funds by DJB (2004-05 to 2008-09)

(Rupees in crore)

Sl. No.	Name of Division	Total numbers of works in water sector	Funds sanctioned for laying of water lines in RU colonies	Number of works in which amount was diverted	Amount of diversion	Percentage of diversion
1	South West-I	49	2.31	7	0.32	13.85
2.	South West-II	20	1.99	10	1.19	59.79
3	West-I	53	1.18	14	0.36	30.58
4	North East -I	25	2.35	9	0.52	22.12
Grand Total		147	7.83	40	2.39	30.52

Appendix – 2.7
(Referred to in Paragraph 2.2.3.6)
Details of development charges outstanding from residents

Sl. No.	Name of division of ZRO	Total Nos. of colonies	Test checked cases	Amount (in Rupees)
1.	EE (NW-IV)	1	7	71,194
2.	EE (NE-II)	10	188	98,85,701
3.	EE (NE-I)	7	655	1,93,77,691
4.	EE (West-II)	8	80	44,56,771
5.	EE (West-I)	11	51	20,54,339
Total		37	981	3,58,45,696

Appendix – 2.8
(Referred to in Paragraph 2.2.4.2)
Execution of Plan by MCD

Zone	Year	Total no. of works planned for execution		Total no. of works executed		Total No. of works executed as per AAP		No. of works executed other than AAP		Percentage of shortfall/excess in execution of AAP	%age of works not included in the plan	%age of works executed as per AAP
		No. of works	Estimated amount (Rs. in lakhs)	No. of works	Estimated amount (Rs. in lakhs)	No. of works	Estimated amount (Rs. in lakhs)	No. of works	Estimated amount (Rs. in lakhs)			
Civil Line	2004-05	270	1501.32	88	426.89	62	298.31	26	128.58	67	30	70
	2005-06	134	900.10	117	771.69	91	565.92	26	205.77	13	22	78
	2006-07	164	1198.87	136	921.95	79	552.38	57	369.57	17	42	58
	2007-08	94	813.51	45	279.79	19	121.39	26	158.40	52	58	42
	2008-09	51	333.07	20	241.37	9	130.55	11	110.82	61	55	45
Najafgarh	2004-05	109	457.25	107	416.12	44	181.56	63	234.56	2	59	41
	2005-06	136	1236.86	146	827.26	65	333.84	81	493.42	+7	55	45
	2006-07	211	2236.73	138	1615.24	33	302.85	105	1312.39	35	76	24
	2007-08	308	2391.81	275	1649.85	57	556.58	218	1093.27	11	79	21
	2008-09	206	1468.69	72	441.50	24	150.35	48	291.15	65	67	33
Shahdara (N)	2004-05	49	168.81	56	199.93	28	100.92	28	99.01	+14	50	50
	2005-06	117	670.51	126	736.42	93	521.67	33	214.75	+8	26	74
	2006-07	120	663.93	132	733.97	78	437.97	54	296.00	+10	41	59
	2007-08	150	662.95	113	555.56	41	161.47	72	394.09	25	64	36
	2008-09	61	317.08	64	350.83	43	245.26	21	105.57	+5	33	67
West	2004-05	284	921.88	122	407.85	105	354.56	17	53.29	57	14	86
	2005-06	276	1272.67	219	964.50	127	563.22	92	401.28	21	42	58
	2006-07	435	2198.22	286	1506.34	139	808.57	147	697.77	34	51	49
	2007-08	242	1389.77	273	1250.53	17	136.76	256	1113.77	+13	94	6
	2008-09	160	684.93	75	482.11	13	86.12	62	395.99	53	83	17
Total		3577	21488.96	2610	14779.70	1167	6610.25	1443	8169.45			

Appendix – 2.9
(Referred to in Paragraph 2.2.4.3)
Details regarding requirement and availability of water

Sl. No.	Name of Division	Population of Area (in lakh)	Requirement of drinking water (in MGD)	Availability of drinking water in area (in MGD)	Shortage of water (in MGD)
1.	North West-IV	2.61	13.05	7.04	6.01
2.	West-I	5.65	28.40	12.43	15.97
3.	West-II	2.80	14.00	14.49	- 0.49
4.	South West-I	9.00	27.00	8.50	18.50
5.	North East-I	8.00	25.00	22.30	2.70
6.	North East-II	10.50	36.75	30.50	6.25
Total		38.56	144.20	95.26	48.94

Appendix – 2.10
(Referred to in Paragraph 2.2.4.4)
Targets and Achievements of laying of sewer lines (in kms)

Year	EE (West)-I				EE (South West)-I				EE (West)-II				EE (NE)-II			
	T	A	Shortfall	%	T	A	Shortfall	%	T	A	Shortfall	%	T	A	Shortfall	%
2004-05	5.50	4.40	1.10	20.00	1.00	1.05	- 0.05	-	-	-	-	-	-	-	-	-
2005-06	8.50	5.90	2.60	30.58	3.60	3.68	- 0.08	-	-	-	-	-	-	-	-	-
2006-07	8.00	7.40	0.60	7.50	3.50	3.15	0.35	10.00	-	-	-	-	-	-	-	-
2007-08	7.10	6.15	0.95	13.38	5.00	4.33	0.67	13.40	-	-	-	-	-	-	-	-
2008-09	14.00	5.90	8.10	57.85	4.50	3.13	1.38	30.55	-	-	-	-	-	-	-	-
Total	43.10	29.75	13.35	30.97	17.60	15.34	2.26	13.58	-	-	-	-	-	-	-	-

Year	EE (West)-I				EE (South West)-I				EE (West)-II				EE (NE)-II			
	T	A	Shortfall	%	T	A	Shortfall	%	T	A	Shortfall	%	T	A	Shortfall	%
2004-05	1.50	0.90	0.60	40.00	1.00	0.50	0.50	50.00	-	-	-	-	1.00	0.37	0.63	63.00
2005-06	2.00	1.70	1.00	11.11	3.25	3.21	0.04	1.23	-	-	-	-	3.36	3.36	-	-
2006-07	1.50	1.00	0.50	33.33	3.00	1.65	1.35	45.13	-	-	-	-	3.20	3.20	-	-
2007-08	1.00	0.93	0.07	7.00	5.00	2.18	2.82	56.34	4.00	4.00	-	-	0.76	0.76	-	-
2008-09	2.50	2.00	0.50	20.00	5.00	2.49	2.51	50.20	1.50	1.50	-	-	-	-	-	-
Total	8.50	6.53	1.97	23.18	17.25	10.03	7.22	41.85	5.50	5.50	-	-	8.32	7.69	0.63	7.57

T stands for Target

A stands for Achievement

Appendix – 2.11
(Referred to in Paragraph 2.2.5.7)
Details of cost escalation

Sl. No.	Name of division	Total Nos. of works test checked	Total Nos. of works in which cost escalated		Amount in Rs.	
			Works which not completed in time	Works which were completed in time	Works which not completed in time	Works which were completed in time
1.	EE (West-III)	10	2	1	7,37,734	11,13,888
2.	EE (West-I)	10	4	4	11,05,979	5,38,039
3.	EE (West-II)	8	0	5	-	4,48,551
4.	EE (SW-I)	16	3	9	6,54,420	7,09,178
5.	EE (SW-II)	10	0	9	-	6,44,845
6.	EE (NW-IV)	11	3	3	1,01,100	4,44,834
7.	EE (NE-I)	12	2	6	1,48,699	4,89,662
8.	EE (NE-II)	12	-	-	-	-
9.	EE (Dr-IX)	11	1	0	4,56,631	-
10.	EE (Dr-X)	15	1	1	18,898	52,512
11.	EE (Dr-XI)	10	-	-	-	-
12.	EE (Dr-XIV)	12	-	-	-	-
Total		137	16	38	38,23,461	44,41,509
Total amount of cost escalation					82,64,970	

Appendix – 2.12
(Referred to in Paragraph 2.2.5.9)
Delay in Issuing of Notification.

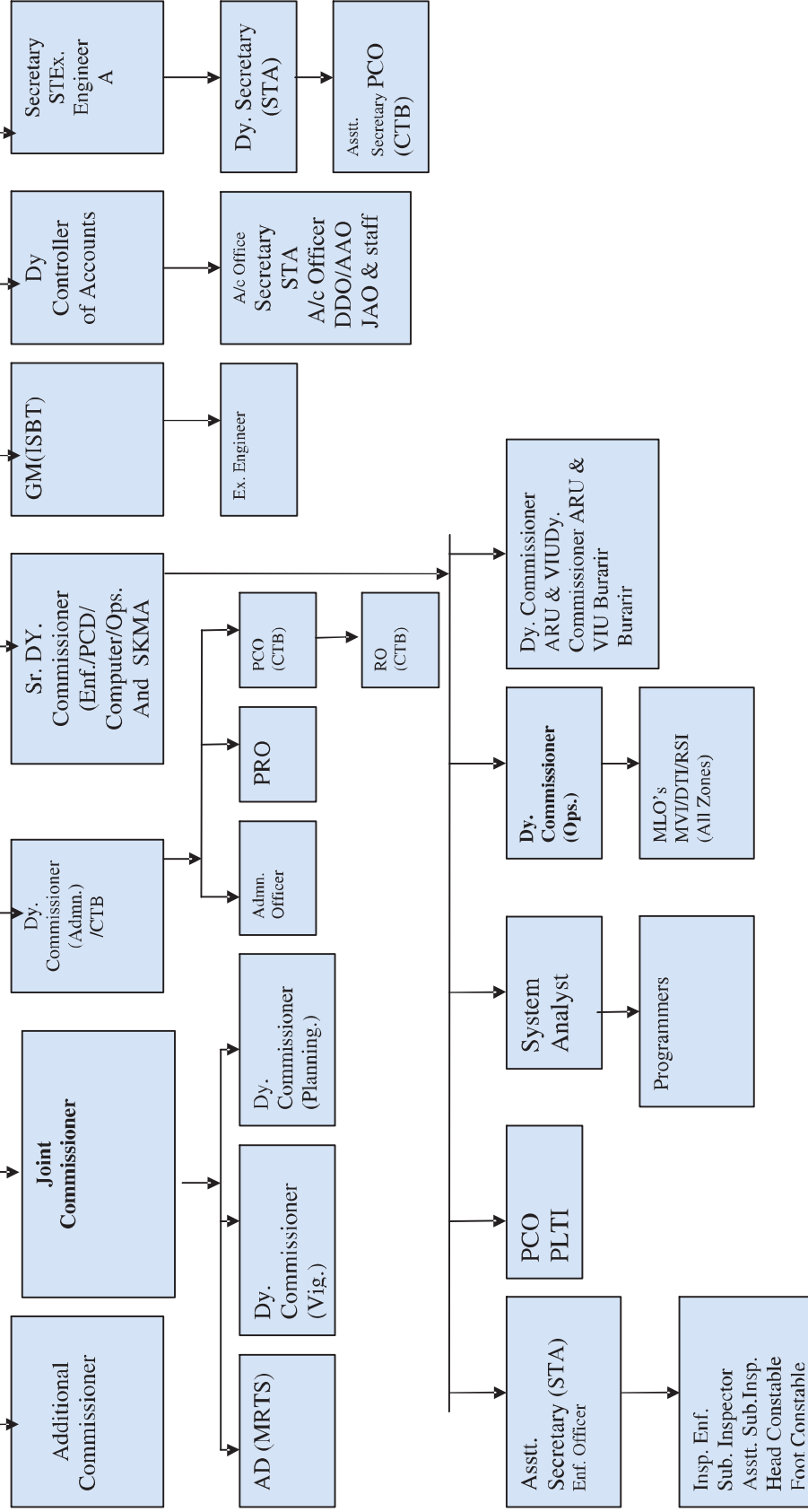
(Rupees in lakh)

Sl. No.	Name of colony	Const. Div.	Date of laying S/Line	Expenditure incurred	Date of issue of notification
1.	Raj Nagar Part-II	Dr.XIV	4-4-09	318.49	29-7-09
2.	Sadh Nagar Part-II	-do-	15-1-09	199.75	15-6-09
3.	Dharam Pura	-do-	31-12-08	130.74	13-7-09
4.	Sadh Nagar Part-I	-do-	30-10-08	29.12	8-6-09
5	Patel Garden	-do-	5-5-09	20.54	13-7-09
6	Makshooda Bad	-do-	N.A.*	N.A.*	N.A.*
7	U-Block Arvind Nagar	Dr. X	18-11-06	9.87	N.A.*
8	L-Block Jai Prakash Nagar	-do-	1-2-07	24.49	N.A.*
9	SeelamPur (Remaining Area)	-do-	12-1-08	1.90	N.A.*
			Total	734.90	

- Not available

Annexure – 4.1
(Referred to in paragraph 4.1.3)
HIERARCHY CHART OF TRANSPORT DEPARTMENT

Secretary-Cum-Commissioner Transport



Appendix – 4.2

(Referred to in paragraph 4.1.7.6)

Vehicles registered, fitness check done/due

Sl. No.	Type of vehicle	Vehicle registered upto 31-3-07	Fitness due during 08-09	Fitness done during 08-09	Vehicles plying without valid fitness certificate
1	Ambulance	1675	1624	441	1183
2	Taxi	34569	32536	21858	10678
3	HPV	16648	15153	12825	2328
4	MPV	632	630	312	318
5	HGV	8503	7507	3047	4460
6	LGV	63480	61375	53080	8295
7	MGV	2389	2377	2029	348
8	TSR	60380	51415	49173	2242
Total		188276	172617	142765	29852

Road Tax, Fitness Fee and Registration Fee due

(Amount in Rupees)

Sl. No.	Type of vehicle	No. of vehicles plying without fitness certificate during 2008-09	Road Tax		Fitness Fee		Registration fee		Total
			Rates	Amount	Rates	Amount	Rates	Amount	
1	Ambulance	1183	665	786695	200	236600	300	354900	1378195
2	Taxi	10678	605	6460190	200	2135600	300	3203400	11799190
3	HPV	2328	1915	4458120	400	931200	600	1396800	6786120
4	MPV	318	1130	359340	300	95400	400	127200	581940
5	HGV	4460	1430	6377800	400	1784000	600	2676000	10837800
6	LGV	8295	665	5516175	200	1659000	300	2488500	9663675
7	MGV	348	940	327120	300	104400	400	139200	570720
8	TSR	2242	605	1356410	100	224200	300	672600	2253210
			(A) Total						43870850
			(B) Penalty on road tax upto 100 per cent of Road Tax						25641850
			Grand Total (A+B)						69512700

Appendix – 5.1
Statement showing particulars of up to date paid-up capital, loans outstanding and manpower as on 31 March 2009 in respect of
Government companies and Statutory corporations)
 (Referred to in paragraph 5.1.3)

(Figures in column 5 (a) to 6 (d) are Rupees in crore)

Sl. No.	Sector & Name of the Company	Name of the Department	Month and year of incorporation	Paid-up Capital [†]		Total	Loans** outstanding at the close of 2008-09			Debt equity ratio for 2008-09 (Previous year)	Manpower (No. of employees)		
				State Government	Central Government		Others	State Government	Central Government			Others	Total
(1)	(2)	(3)	(4)	5 (a)	5 (b)	5 (c)	5 (d)	6 (a)	6 (b)	6 (c)	6 (d)	(7)	(8)
A. Working Government companies													
FINANCE													
1.	Delhi SC/ST/OBC Minorities, Handicapped Financial and Development Corporation Limited (DSC/ST/OBC/M/H FDC)	Welfare	January 1983	22.85	11.88	-	34.73	2.49	-	-	2.49	0.07:1 (0.07:1)	176
Sector wise total				22.85	11.88	-	34.73	2.49	-	-	2.49	0.07:1 (0.07:1)	176
INFRASTRUCTURE													
2.	Delhi State Industrial & Infrastructure Development Corporation Limited(DSIIDC)	Industry	February 1971	22.15	-	-	22.15	-	-	-	-	-	1223
3.	Shahjahanabad Redevelopment Corporation (SRC)	Urban Development	May 2008	0.00*	-	-	0.00*	-	-	-	-	-	3
Sector wise total				22.15	-	-	22.15	-	-	-	-	-	1226
POWER													
4.	Delhi Power Company Limited (DPCL)	Power	July 2001	0.05	-	-	0.05	32.23	-	5.00	37.23	744.60:1 (9464.83:1)	NA
5.	Delhi Transco Limited (DTL)	Power	July 2001	3452.00	-	180.00	3632.00	450.65	-	153.00	603.65	0.17:1 (0.15:1)	1849
6.	Indraprastha Power Generation Company Limited (IPGCL)	Power	July 2001	497.54	-	140.00	637.54	157.06	-	175.00	332.06	0.52:1 (0.58:1)	1650
7.	Pragati Power Corporation Limited (PPCL)	Power	January 2001	1424.19	-	-	1424.19	-	-	320.76	320.76	0.23:1 (0.40:1)	125
Sector wise total				5373.78	-	320.00	5693.78	639.94	-	653.76	1293.70	0.23:1 (0.34:1)	3624

* paid up capital is Rs. 700 only.

Sl. No.	Sector & Name of the Company	Name of the Department	Month and year of Incorporation	Paid-up Capital ^{\$}			Loans ^{**} outstanding at the close of 2008-09			Debt equity ratio for 2008-09 (Previous year)	Manpower (No. of employees) (as on 31.3.2009)		
				State Government	Central Government	Others	Total	State Government	Central Government			Others	Total
(1)	(2)	(3)	(4)	5 (a)	5 (b)	5 (c)	5 (d)	6 (a)	6 (b)	6 (c)	6 (d)	(7)	(8)
SERVICES													
8.	Delhi State Civil Supplies Corporation Limited (DSCSC)	Public Distribution	November 1980	7.00	-	-	7.00	2.14	-	-	2.14	0.31:1 (0.31:1)	738
9.	Delhi Tourism and Transportation Development Corporation Limited (DTTDC)	Tourism	December 1975	6.28	-	-	6.28	-	-	-	-	-	926
10.	Geospatial Delhi Limited (GDL)		May 2008	10.76	-	-	10.76	-	-	-	-	-	2
Sector wise total				24.04	-	0.00	24.04	2.14	-	-	2.14	0.09:1 (0.31:1)	1666
Total A (All sector wise working Government companies)				5442.82	11.88	320.00	5774.70	644.57	-	653.76	1298.33	0.22:1 (0.34:1)	6692
B. Working Statutory corporations													
FINANCE													
11.	Delhi Financial Corporation (DFC)	Finance	April 1967	18.05	-	7.95	26.00	-	-	45.91	45.91	1.77:1 (2.24:1)	134
Sector wise total				18.05	-	7.95	26.00	-	-	45.91	45.91	1.77:1 (2.24:1)	134
TRANSPORT													
12.	Delhi Transport Corporation (DTC)	Transport	November 1971	744.30	-	-	744.30	7566.26	-	-	7566.26	10.17:1 (12.16:1)	28551
Sector wise total				744.30	-	-	744.30	7566.26	-	-	7566.26	10.17:1 (12.16:1)	28551
Total B (All sector wise working Statutory corporations)				762.35	-	7.95	770.30	7566.26	-	45.91	7612.17	9.88:1 (11.66:1)	28685
Grand Total (A + B)				6205.17	11.88	327.95	6545.00	8210.83	-	699.67	8910.50	1.36:1 (1.35:1)	35377

\$ Paid-up capital includes share application money.

** Loans outstanding at the close of 2008-09 represent long-term loans only.

Appendix – 5.2

Summarised financial results of Government companies and Statutory corporations for the latest year for which accounts were finalised
(Referred to in paragraph 5.1.6)

(Figures in column 5 (a) to (6) and (8) to (10) are Rupees in crore)

Sl. No.	Sector & Name of the Company	Period of Accounts	Year in which finalised	Net Profit/ Loss before Interest & Depreciation	Net Profit/ Interest	Depreciation	Net Profit/ Loss	Turnover	Impact of Accounts Comments#	Paid up Capital	Accumulated Profit (+)/ Loss (-)	Capital employed [©]	Return on capital employed ^{\$}	Percentage return on capital employed
(1)	(2)	(3)	(4)	5 (a)	5 (b)	5 (c)	5 (d)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
A. Working Government Companies														
FINANCE														
1.	DSC/ST/OBC M, H FDC	1999-00	2007-08	1.09	0.96	0.13	(-0.00)*	4.63	(-) 6.75	34.73	16.48	44.49	0.96	2.15
Sector wise total				1.09	0.96	0.13	(-0.00)	4.63		34.73	16.48	44.49	0.96	2.15
INFRASTRUCTURE														
2.	DSIIDC	2007-08	2008-09	(-0.34)	0.09	1.71	(-2.14)	355.29	(-) 2.08	22.15	34.43	64.88	(-) 2.05	-
3.	SRC	2008-09	Not yet received	-	-	-	-	-	-	0.00*	-	-	-	-
Sector wise total				(-0.34)	0.09	1.71	(-2.14)	355.29		22.15	34.43	64.88	(-) 2.05	-
POWER														
4.	DPCL	2008-09	2009-10	169.27	275.77	0.03	(-106.53)	NIL	(-) 656.17	0.05	(-)1455.87	(-)2207.28	169.24	-
5.	DTL	2008-09	2009-10	175.40	50.08	53.03	72.29	373.40	-	3632.00	(-)3557.23	701.27	122.37	17.45
6.	IPGCL	2008-09	2009-10	144.08	46.15	36.03	61.90	866.55	(-) 137.40	637.54	(-)120.66	751.32	108.05	14.38
7.	PPCL	2008-09	2009-10	279.67	31.15	53.23	195.29	524.74	(-) 2.46	1424.19	500.43	2270.34	226.44	9.97
Sector wise total				768.42	403.15	142.32	222.95	1764.69		5693.78	(-)4633.33	1515.65	626.10	41.31
SERVICES														
8.	DSCSC	2008-09	2009-10	(-4.61)	-	0.40	(-5.01)	431.00	(-) 1.10	7.00	14.27	19.69	(-)5.01	-
9.	DTIDC	2008-09	2009-10	4.74	-	1.73	3.01	619.44	(-) 1.35	6.28	54.80	74.65	3.01	4.03
10.	GDL	2008-09	2009-10	-	-	-	-	-	NIL	10.76	-	9.69	-	-
Sector wise total				0.13	-	2.13	(-2.00)	1050.44		24.04	69.07	104.03	(-) 2.00	-
Total A (All sector wise working Government companies)				769.30	404.20	146.29	218.81	3175.05		5774.70	(-)4513.35	1729.05	623.01	36.03
B. Working Statutory corporations														
FINANCE														
11.	DFC	2008-09	2009-10	7.00	4.67	0.44	1.89	12.58	(-) 1.68	26.00	1.81	123.97	6.56	5.29
Sector wise total				7.00	4.67	0.44	1.89	12.58		26.00	1.81	123.97	6.56	5.29
TRANSPORT														

* the company incurred loss of Rs. 7981.92 only

* the company had paid up capital of Rs. 700 only.

Sl. No.	Sector & Name of the Company	Period of Accounts	Year in which finalised	Net Profit (+)/Loss (-)			Turnover	Impact of Accounts Comments #	Paid up Capital	Accumulated Profit (+)/ Loss (-)	Capital employed [@]	Return on capital employed \$	Percentage return on capital employed
				Net Profit/ Loss before Interest & Depreciation 5 (a)	Interest 5 (b)	Depreciation 5 (c)							
12.	DTC	2008-09	2009-10	(-) 553.37	1065.34	78.98	368.00	(7) SAR under finalisation	744.30	(-) 7883.95	864.80	(-) 632.35	(12)
	Sector wise total			(-) 553.37	1065.34	78.98	368.00		744.30	(-) 7883.95	864.80	(-) 632.35	-
	Total B (All sector wise working Statutory corporations)			(-) 546.37	1070.01	79.42	380.58		770.30	(-) 7882.14	988.77	(-) 625.79	-
	Grand Total (A + B)			222.93	1474.21	225.71	3555.63		6545.00	(-) 12395.49	2717.82	(-) 2.78	-

Impact of accounts comments include the net impact of comments of Statutory Auditors and CAG and is denoted by (+) increase in profit/ decrease in losses (-) decrease in profit/ increase in losses.

@ Capital employed represents net fixed assets (including capital works-in-progress) plus working capital except in case of finance companies/ corporations where the capital employed is worked out as a mean of aggregate of the opening and closing balances of paid up capital, free reserves, bonds, deposits and borrowings (including refinance).

\$ Return on capital employed has been worked out by adding profit and interest charged to profit and loss account.

Appendix – 5.3
Statement showing grants and subsidy received/receivable, guarantees received, waiver of dues, loans written off and loans converted into equity during the year and guarantee commitment at the end of March 2009
(Referred to in paragraphs 5.1.4)

(Figures in column 3 (a) to 6 (d) are Rupees in crore)

Sl. No.	Sector & Name of the Company	Equity/loans received out of budget during the year		Grants and subsidy received during the year				Guarantees received during the year and commitment at the end of the year@		Waiver of dues during the year			
		Equity	Loans	Central Government	State Government	Others	Total	Received	Commitment	Loans repayment written off	Loans converted into equity	Interest/penal interest waived	Total
(1)	(2)	3 (a)	3 (b)	4 (a)	4 (b)	4 (c)	4 (d)	5 (a)	5 (b)	6 (a)	6 (b)	6 (c)	6 (d)
A. Working Government Companies													
FINANCE													
1.	DSC/ST/OBC M, H FDC	-	-	-	0.36	-	0.36	-	-	-	-	-	-
Sector wise total		-	-	-	0.36	-	0.36	-	-	-	-	-	-
INFRASTRUCTURE													
2.	SRC	-	-	-	0.18	-	0.18	-	-	-	-	-	-
Sector wise total		-	-	-	0.18	-	0.18	-	-	-	-	-	-
POWER													
3.	DTL	-	93.75	-	-	-	-	-	-	-	-	-	-
Sector wise total		-	93.75	-	-	-	-	-	-	-	-	-	-
SERVICES													
4.	DSCSC	-	-	-	1.82	-	1.82	-	-	-	-	-	-
5.	DTTDC	-	-	0.15	1.61	-	1.76	-	-	-	-	-	-
6.	GDL	10.76	-	-	-	-	-	-	-	-	-	-	-
Sector wise total		10.76	-	0.15	3.43	-	3.58	-	-	-	-	-	-
Total A (All sector wise working Government companies)		10.76	93.75	0.15	3.97	-	4.12	-	-	-	-	-	-
B. Working Statutory corporations													
FINANCE													
1.	DFC	0.06	-	-	-	-	-	-	-	-	-	-	-
Sector wise total		0.06	-	-	-	-	-	-	-	-	-	-	-

Sl. No.	Sector & Name of the Company	Equity/loans received out of budget during the year		Grants and subsidy received during the year			Guarantees received during the year and commitment at the end of the year ^(a)			Waiver of dues during the year			
		Equity	Loans	Central Government	State Government	Others	Total	Received	Commitment	Loans repayment written off	Loans converted into equity	Interest/penal interest waived	Total
(1)	(2)	3 (a)	3 (b)	4 (a)	4 (b)	4 (c)	4 (d)	5 (a)	5 (b)	6 (a)	6 (b)	6 (c)	6 (d)
TRANSPORT													
2.	DTC	250.00	1557.80	-	95.99	-	95.99	-	-	-	-	-	-
Sector wise total		250.00	1557.80	-	95.99	-	95.99	-	-	-	-	-	-
Total B (All sector wise working Statutory corporations)		250.06	1557.80	-	95.99	-	95.99	-	-	-	-	-	-
Grand Total (A + B)		260.82	1651.55	0.15	99.96	-	100.11	-	-	-	-	-	-

^(a) Figures indicate total guarantees outstanding at the end of the year.

Appendix 5.4

(Referred to in paragraph No. 5.1.7)

Statement showing investment made by the State Government in PSUs where accounts are in arrear

(Rs. in crore)

Name of PSU	Year upto which accounts finalised	Paid up capital as per latest finalised accounts	Investment made by State government during the years for which the accounts are in arrears			
			Year	Equity	Loans	Grants/ Subsidy
Working Companies/ Corporations						
DSC/ST/OBC/M/H FDC	1999-2000	34.73	2000-01	-	-	0.71
			2001-02	-	-	0.0006
			2002-03	-	-	2.32
			2003-04	-	-	0.53
			2004-05	-	-	2.04
			2005-06	1.81	0.34	0.66
			2006-07	-	2.15	-
			2007-08	7.00	-	-
			2008-09	-	-	0.36
SRC		0.00007	-	-	-	0.18
Total		34.73		8.81	2.49	6.80

Appendix 5.5
(Referred to in paragraph No. 5.1.6)
Statement showing financial position of Statutory corporations

(Rs. in crore)

1. Delhi Transport Corporation			
Particulars	2006-07	2007-08	2008-09
A. Liabilities			
Capital (including capital loan & equity capital)	117.00	494.30	744.30
Borrowings: Government	4961.03	6111.13	7725.97
Others			
Funds* (Reserve and Surplus)	88.03	103.70	152.58
Grant-in-aid	2.62	54.15	127.76
Trade dues and other current liabilities (including provisions)	147.55	207.59	189.33
Total	5316.23	6970.87	8939.94
B. Assets			
Gross Block	623.47	692.90	875.68
Less: Depreciation	379.05	436.12	488.28
Net fixed assets	244.42	256.78	387.40
Capital works-in-progress (including cost of chassis)	1.77	1.72	32.47
Investments	1.55	1.69	1.86
Current assets, loans and advances	105.21	524.42	634.26
Accumulated losses	4963.28	6186.26	7883.95
Total	5316.23	6970.87	8939.94
Capital employed[#]	203.85	575.33	864.80
2. Delhi Financial Corporation			
Particulars	2006-07	2007-08	2008-09
A. Liabilities			
Paid-up capital	12.88	25.08	25.88
Share application money	13.00	0.86	0.12
Reserve fund and other reserves and surplus	48.51	48.69	43.94
Borrowings:			
(i) Bonds and debentures	0.46	-	-
(ii) Industrial Development Bank of India & Small Industries Development Bank of India	70.39	58.14	45.91
Other Liabilities and provisions	14.80	19.77	26.63
Total-A	160.04	152.54	142.48
B. Assets			
Cash and Bank balances	24.66	34.01	42.24
Investments	0.01	0.01	0.01
Loans and Advances	122.70	100.39	81.92
Net fixed assets	4.46	4.19	3.72
Other assets	8.21	13.94	14.59
Miscellaneous expenditure	-	-	-
Total-B	160.04	152.54	142.48
C. Capital employed*	146.61	138.65	123.97

* Excluding depreciation funds/ reserve

Capital employed represents net fixed assets (including capital work-in-progress) plus working capital.

* Capital employed represents the mean of the aggregates of the opening and closing balances and paid-up capital, reserves (other than those which have been funded specifically and backed by investments outside), bonds, deposits and borrowings (including refinance).

Appendix 5.6
(Referred to in paragraph No. 5.1.6)
Statement showing working results of Statutory corporations

(Rs. in crore)

1	Delhi Transport Corporation			
	Particulars	2006-07	2007-08	2008-09
	Operating			
	(a) Revenue	360.89	354.05	368.00
	(b) Expenditure	761.88	801.75	1108.85
	(c) Surplus (+)/Deficit (-)	(-)400.99	(-)447.70	(-)740.85
	Non-operating			
	(a) Revenue	44.36	58.44	97.97
	(b) Expenditure	629.90	826.55	1065.67
	(c) Surplus (+)/Deficit (-)	(-)585.54	(-)768.11	(-)967.70
	Total			
	(a) Revenue	405.25	412.49	465.97
	(b) Expenditure	1391.78	1628.30	2174.52
	(c) Prior period adjustments	31.71	(-)7.17	10.86
	(d) Net Profit (+)/Loss (-)	(-)954.82	(-)1222.98	(-)1697.69
	Interest on capital and loans	633.39	827.73	1065.34
	Total return on Capital employed*	(-)321.43	(-)395.25	(-)632.35
2.	Delhi Financial Corporation			
	Particulars	2006-07	2007-08	2008-09
	1. Income			
	(a) Interest on Loans	19.80	17.16	12.58
	(b) Other income	0.73	3.55	3.74
	Total-1	20.53	20.71	16.32
	2. Expenses			
	(a) Interest on long-term loans	6.68	5.55	4.39
	(b) Other expenses	8.45	7.05	10.05
	Total-2	15.13	12.60	14.44
	3. Profit before tax (1-2)	5.40	8.11	1.88
	4. Provision for tax	1.15	2.28	0.57
	5. Other appropriations	4.25	5.78	5.73
	6. Amount available for dividend	-	0.05	-
	7. Dividend	-	0.05	-
	8. Total return on Capital employed	12.08	13.66	6.56
	9. Percentage of return on Capital employed	8.24	9.85	5.29

* Total return on capital employed represents net surplus/deficit plus total interest charged to profit & loss account (less interest capitalised).

Appendix-5.7
(Referred to in paragraph No. 5.2.1)
Statement showing operational performance of Delhi Transport Corporation

(Rs. in crore)

Particulars	2004-05	2005-06	2006-07	2007-08	2008-09
Average number of vehicles held during the year	3584	3467	3454	3439	3805
Average number of vehicles on road during the year	3010	3138	2814	2836	2931
Percentage of utilisation of vehicles	83.98	90.51	81.47	82.47	77.03
Number of employees	29200	28460	27574	28450	28551
Employee vehicle ratio	8.41	8.20	8.01	8.04	7.51
Number of routes operated at the end of the year	854	852	820	867	842
Route Kilometers	37615.10	35701.70	25086.30	34494.80	26838.30
Kilometers operated (in lakh)					
Gross	2611.06	2686.16	2136.72	1923.49	1911.01
Effective	2522.16	2589.64	2043.34	1833.84	1830.01
Dead	88.90	96.52	93.38	89.65	81.00
Percentage of dead Kilometers to gross Kilometers	3.40	3.59	4.37	4.66	4.24
Average Kilometers covered per bus per day	193	205	162	146	132
Average revenue per Kilometer (Rs.)	16.78	18.02	20.52	22.97	25.90
Average expenditure per Kilometer (Rs.)	44.87	49.60	68.80	89.27	119.27
Loss (-)/Profit (+) per kilometre (Rs.)	(28.09)	(31.58)	(48.28)	(66.30)	(93.33)
Number of operating depots	34	34	34	35	35
Average number of break-down per lakh Kilometers	5.93	6.75	10.12	11.46	13.60
Average number of accidents per lakh Kilometers	0.16	0.15	0.13	0.11	0.10
Occupancy ratio (Load Factor)	67.72	74.42	77.18	87.82	68.83
Kilometres obtained per litre of CNG	2.88	2.99	2.92	2.87	2.91