




















Course : Audit of Regulatory Bodies					
Day	Session No.	Session Time	Topic	Faculty	Session Feedback
2022-02-07, Monday	Session 1	10:00 - 10:15	Inauguration of the course and Ice breaking :	Dr. Abhishek Gupta, Director General	
	Session 2	10:15 - 11:30	A perspective of Regulatory Principals and Bodies • Concepts of Regulation. • Regulatory bodies in India Objectives of different Regulatory Authorities • Basic objectives of Regulation in India • Objectives in different Reg. Acts • Rationale for economic regulation • Constitutional and legal framework of Regulatory Institutions :	Dr. Savita Nayyar, Associate Professor	
	Session 3	11:45 - 13:00	A perspective of Regulatory Principals and Bodies• Concepts of Regulation. • Regulatory bodies in India Objectives of different Regulatory Authorities• Basic objectives of Regulation in India• Objectives in different Reg. Acts• Rationale for economic regulation• Constitutional and legal framework of Regulatory Institutions :	Dr. Savita Nayyar, Associate Professor	
	Session 4	14:00 - 15:15	Scope of Audit under CAGs (DPC) Act, various methods of conducting Audit using analytical review techniques and sampling techniques :	Mr. Mushtaq Ahmad Mir, Senior Audit Officer	
	Session 5	15:30 - 16:45	Scope of Audit under CAGs (DPC) Act, various methods of conducting Audit using analytical review techniques and sampling techniques :	Mr. Mushtaq Ahmad Mir, Senior Audit Officer	
2022-02-08, Tuesday	Session 1	10:15 - 11:30	Telecom Regulatory Authority of India• Organization, duties, mandate and powers of TRAI. • Appellate tribunal• Regulatory Reform Bill 2013• Core Task – How TRAI fulfills its mandate :	Mr. Ravi Nandan Garg, Deputy Director	
	Session 2	11:45 - 13:00	Telecom Regulatory Authority of India• Organization, duties, mandate and powers of TRAI. • Appellate tribunal• Regulatory Reform Bill 2013• Core Task – How TRAI fulfills its mandate :	Mr. Ravi Nandan Garg, Deputy Director	
	Session 3	14:00 - 15:15	Telecom Regulatory Authority of India(contd.)• Accounts & Audit • Finance & Accounts• Grants by Govt. of India• Tariff Determination process• Reporting process :	Mr. Ravi Nandan Garg, Deputy Director	
	Session 4	15:30 - 16:45	Telecom Regulatory Authority of India(contd.)• Accounts & Audit • Finance & Accounts• Grants by Govt. of India• Tariff Determination process• Reporting process :	Mr. Ravi Nandan Garg, Deputy Director	
2022-02-09, Wednesday	Session 1	10:15 - 11:30	Security Exchange Board of India (SEBI):• Organization, duties, mandate, powers and functions of SEBI• Securities and Exchange Board of India Act, 1992 (As amended by the Finance Act, 2021)• Registration of Stock Brokers and agents etc.. :	Mr. Jitendra Mishra, Senior Audit Officer	
	Session 2	11:45 - 13:00	Security Exchange Board of India (SEBI):• Organization, duties, mandate, powers and functions of SEBI•	Mr. Jitendra Mishra, Senior Audit Officer	

			Securities and Exchange Board of India Act, 1992 (As amended by the Finance Act, 2021)• Registration of Stock Brokers and agents etc.. :		
	Session 3	14:00 - 15:15	Security Exchange Board of India (SEBI) (contd.):• Finance & Accounts• Grants & funds• Audit procedures and practices• Reporting process• Penalties & Adjudications • Group Discussion on CAG's Audit report. :	Mr. Jitendra Mishra, Senior Audit Officer	
	Session 4	15:30 - 16:45	Security Exchange Board of India (SEBI) (contd.):• Finance & Accounts• Grants & funds• Audit procedures and practices• Reporting process• Penalties & Adjudications • Group Discussion on CAG's Audit report. :	Mr. Jitendra Mishra, Senior Audit Officer	
2022-02-10, Thursday	Session 1	10:15 - 11:30	Electricity Regulatory Commission: -• Organisation, Duties, powers & functions of Electricity Regulatory Commissions• Mandatory functions and advisory functions• Electricity Act 2003 and ERC Act 1998 :	Mr. B. Sreekumar, Deputy Chief (Legal)	
	Session 2	11:45 - 13:00	Electricity Regulatory Commission: -• Organisation, Duties, powers & functions of Electricity Regulatory Commissions• Mandatory functions and advisory functions• Electricity Act 2003 and ERC Act 1998 :	Mr. B. Sreekumar, Deputy Chief (Legal)	
	Session 3	14:00 - 15:15	• Tariff Determination process in respect of electricity as per Provisions of electricity Act 2003 and ERC Act 1998.• Revised Tariff Policy• Finance, Accounts and Audit• Reporting process :	Mr. Mahesh Gupta, Assistant Chief Finance	
	Session 4	15:30 - 16:45	• Tariff Determination process in respect of electricity as per Provisions of electricity Act 2003 and ERC Act 1998.• Revised Tariff Policy• Finance, Accounts and Audit• Reporting process :	Mr. Mahesh Gupta, Assistant Chief Finance	
2022-02-11, Friday	Session 1	10:15 - 11:30	Airport Economic Regulatory Authority of India(AERA):• Organization, duties, mandate and powers of AERA• Airports Economic Regulatory Authority of India Act, 2008 as amended in 2019• Transaction of Business• Financing, Accounting and Auditing procedures :	Mr. Sanjay Bhardwaj, Assistant Audit Officer	
	Session 2	11:45 - 13:00	Airport Economic Regulatory Authority of India(AERA):• Organization, duties, mandate and powers of AERA• Airports Economic Regulatory Authority of India Act, 2008 as amended in 2019• Transaction of Business• Financing, Accounting and Auditing procedures :	Mr. Sanjay Bhardwaj, Assistant Audit Officer	
	Session 3	14:00 - 15:15	Airport Economic Regulatory Authority of India(AERA):• Organization, duties, mandate and powers of AERA• Airports Economic Regulatory Authority of India Act, 2008 as amended in 2019• Transaction of Business• Financing, Accounting and Auditing procedures :	Mr. Sanjay Bhardwaj, Assistant Audit Officer	
	Session 4	15:30 - 16:45	Summing up and valediction :	Dr. Abhishek Gupta, Director General	

