

**OFFICE OF THE PRINCIPAL ACCOUNTANT GENERAL(AUDIT-I),
MADHYA PRADESH, GWALIOR**

No. SMU/F-69/2025-26/0.0-95

Date 23.09.2025

Office Order

Subject: Deployment of 'Compliance Audit Checklist' on OIOS – reg.

As per email letter No ISW-III/56-ISW-2024/Phase-3/16 dated 13.09.2025 received from IS Wing/Hqrs. and instructions contained in SMU Wing (Hqrs)' letter no. 336/68-SMU/2025 /Part-I dated 29.08.2025 regarding checklist for Compliance Audit Teams, an Action plan for all Field Audit teams assigned Compliance Audits has been prepared as below: -

1. All Field Audit teams to select the checklist titled "Compliance Audit Checklist" during audit execution under 'Checklist Details' tab of Field Visit module in OIOS. The checklist will appear when the audit team attempts to submit a field visit for QA/QC in OIOS.
2. All AMGs to instruct Field Audit teams to ensure completion of the checklist before submission of a Field Visit. Completion of the checklist is mandatory, and submission of the Field Visit will be permissible only when check list is duly filled.
3. All Field Audit teams should be aware that response to each question in the checklist will be depending on its applicability to the auditee entity. For questions which are found to be not relevant, the option 'Not applicable' may be selected as response to them. Additional information/figures are to be recorded in the 'Remarks' text box provided.
4. The checklist has been mapped to all units planned for 2025-26 and sent to all AMGs by APDAC for providing it to field parties.
5. All Field Audit teams to contact concerned OIOS Functional Help Desk for any clarification regarding use of the checklist within the OIOS application.

This issues with the approval of Principal Accountant General.

Encl.- As above (Soft Copy)

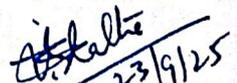
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Sr. Audit Officer/SMU

Endt. No. SMU/2025-26/F-69/0.0-95/STR-1/1983-1995 Date 23.09.2025

Copy for information and necessary action: -

1. Secy. to PAG (Audit)-I, M.P., Gwalior
2. DAG/AMG-I, AMG-II, AMG-III, AMG-IV & AMG-V
3. SrAO/ITA, APDAC, Report, Report (PAC)
4. SrAO/IS Wing for updating on Official Website
5. Assistant Director/Raj Bhasha for Hindi Translation
6. Guard File


23/9/25
Sr. Audit Officer/SMU